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Germination pretreatments to break hard-seed dormancy in *Astragalus* (*Fabaceae*)

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Conservationists often propagate rare species to improve their long-term population viability. However, seed dormancy can make propagation efforts challenging by substantially lowering seed germination. Here I statistically compare several pretreatment options for seeds of *Astragalus cicer*: unscarified controls and scarification via physical damage, hot water, fire, acid, and hydrogen peroxide. Although only 30% of unscarified seeds germinated, just physical scarification significantly improved germination, whereas two treatments, hot water and fire, resulted in no germination at all. I recommend that rare species of *Astragalus*, as well as other hard-seeded legumes, be pretreated using physical scarification. Other methods have the potential to be effective, but may require considerable optimization, wasting precious time and seeds.

Germination pretreatments to break hard-seed dormancy in *Astragalus* (Fabaceae)

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ABSTRACT


1 Conservationists often propagate rare species to improve their long-term population viability.
2 However, seed dormancy can make propagation efforts challenging by substantially lowering
3 seed germination. Here I statistically compare several pretreatment options for seeds of *Astragalus*
4 *cicer*: unscarified controls and scarification via physical damage, hot water, fire, acid, and
5 hydrogen peroxide. Although only 30% of unscarified seeds germinated, just physical
6 scarification significantly improved germination, whereas two treatments, hot water and fire,
7 resulted in no germination at all. I recommend that rare species of *Astragalus*, as well as other
8 hard-seeded legumes, be pretreated using physical scarification. Other methods have the
9 potential to be effective, but may require considerable optimization, wasting precious time and
10 seeds.

11

12 **Key words:** Astragalus; Dormancy; Germination; Milkvetch; Propagation; Scarification

13 INTRODUCTION



14 Propagating wild species in greenhouses and common gardens for their restoration or
15 reintroduction in native habitats can be an effective method of improving the size and viability of
16 rare or threatened populations (Maunder, 1992; Menges, 2008). Such *in situ* and *ex situ*
17 propagation techniques are beneficial, so long as these techniques are successful in establishing
18 additional reproductive adults in novel, degraded, or extirpated sites (Maunder, 1992; Menges,
19 2008). If, however, reintroduction is unsuccessful (which it usually is (Godefroid *et al.*, 2011)), it
20 accomplishes nothing more than wasting resources and even further threatening the species by
21 removing seeds that would have become the future seed bank.

22 At ~3270 species, *Astragalus* (Fabaceae) is the largest genus of flowering plants in the
23 world (Watrous and Kane, 2011). Though a few *Astragalus* are weedy, wide-ranging generalists,
24 specialization on uncommon and infertile soils seems to be a hallmark of the genus (Barneby,
25 1964). Unfortunately, this specialization appears to restrict many species to small geographic
26 ranges, making them more vulnerable to extinction. In the United States alone, the US Fish and
27 Wildlife service (2014) has listed 3 *Astragalus* species  under review, 5 as candidate, 5 as
28 threatened, and 16 as endangered. Although the IUCN database (2014) contains less than one
29 half of one percent of known *Astragalus* species, nearly 40 percent of those with sufficient data
30 are considered “vulnerable” or worse (9 vulnerable, 12 endangered, 18 critically endangered, and
31 1 extinct). NatureServe (2014), meanwhile, lists 100 vulnerable, 58 imperiled, and 31 critically
32 imperiled species, which combine to nearly a third of the 616 *Astragalus* species in its database.

33 *Astragalus* species, like most temperate legumes, as well as species of as many as 15
34 different plant families, have hard seed coats and physical dormancy, which often require
35 scarification or stratification to break (Baskin *et al.*, 2008; Long *et al.*, 2012). In particular, low



36 germination rate is a known “weak point” in the life cycle of several rare species of *Astragalus*,
37 including *A. nitidiflorus* (Vicente *et al.*, 2011), *A. bibullatus* (Albrecht & Penzagos, 2012), and *A.*
38 *arpilobus* (Long *et al.*, 2012). Although prolonged dormancy of the seed bank may contribute to
39 the maintenance of genetic diversity in rare *Astragalus* such as *A. albens* (Neel, 2007) in the
40 wild, this dormancy is counterproductive for propagation efforts.

41 Many scarification treatments have been explored in the literature, including dry heat
42 (Albrecht & Penzagos, 2012; Chou *et al.*, 2012; Long *et al.*, 2012), wet heat (Long *et al.*, 2012),
43 stratification (Acharya *et al.*, 2006; Albrecht & Penzagos 2012; Long *et al.*, 2012), physical
44 scarification (Acharya *et al.*, 2006; Albrecht & Penzagos, 2012), acid (Acharya *et al.*, 2006;
45 Long *et al.*, 2012) smoke water (Chou *et al.*, 2012), etc., but it is rare that the results of more
46 than one or two treatments have been compared in the same study.  Because different species and
47 even collections within species vary in germination rate, (Acharya *et al.*, 2006; Albrecht &
48 Penzagos, 2012), the results of these studies are not  directly comparable to one another in order
49 to determine the most effective scarification treatment. I therefore explored six different pre-
50 planting seed treatments (e.g. chemical and physical scarification) to determine which would best
51 promote germination in the generalist forage crop, *Astragalus cicer* “Oxley”.

52



53 METHODS

54 *Astragalus cicer* (L.) (cicer milkvetch) is an old-world native that was introduced to
55 North America as a hardy, palatable forage crop (Acharya *et al.*, 2006). “Oxley” is an ecotype
56 that was first collected in the former USSR and introduced to the United States in 1971 (Acharya
57 *et al.*, 2006). Although *A. cicer* is not rare, it is a suitable model for rare species because it is
58 readily commercially available without threatening wild populations, and because it, like its rare

59 congenics, is well known for its slow stand establishment, largely due to low germination rates
60 and prolonged seed dormancy (Acharya *et al.*, 2006).


61 I exposed 50 *A. cicer* seeds (Granite Seed, Denver, CO) to each of six different
62 scarification treatments, starting March 15, 2013 at Denver Botanic Gardens (DBG) in Denver,
63 Colorado. The scarification treatments were physical damage, hot water, hydrogen peroxide,
64 acid, fire, and a control. Control seeds were planted in 1 cm² germination pots, without
65 scarification, on the surface of a seed starter mix, and covered with approximately 3 mm of
66 vermiculite. Treated seeds, except fire, were planted in the same manner, but after a scarification
67 treatment. I physically scarified seeds by cracking the seed coat opposite the radicle with a pair
68 of infant nail clippers, being careful to not damage the endosperm or embryo. For the hot water
69 treatment, seeds were placed in a thermos and covered with boiling (~95 C) water. I closed the
70 thermos and allowed the seeds to soak for 20 hours before planting. Peroxide seeds were soaked
71 in pure ZeroTol (27% hydrogen peroxide) for one hour before planting. Acid treated seeds were
72 soaked in lab grade sulfuric acid (98%) for five minutes. Fire treated seeds were scattered on the
73 soil surface of two 10 cm clay pots, and then covered with ~2 cm of dry pine needles and grass.
74 The dry material was lit with a butane torch and allowed to burn until naturally extinguished.
75 Approximately 2 mm of ash remained, and the seeds were left undisturbed to germinate in the
76 clay pots. The total number of seeds germinated in each treatment was recorded approximately
77 twice per week for one month.

78 All seedlings were reared in a propagation greenhouse at DBG. The potting soil was
79 checked daily and kept evenly moist by DBG horticulture staff. Plants were exposed only to
80 natural sunlight, which, given the date and latitude, ranged between approximately 12 hours at
81 the beginning of the trial and 13 hours and a half hours at the end of the trial.

82 Germination data were analyzed with a proportional hazards analysis using JMP v10 
83 This analysis type is well suited to germination data in that it is intended for time series datasets
84 composed of binary data in which each observation is a replicate (i.e. each seed has germinated
85 or not germinated), and compares observed and expected frequencies with a χ distribution.
86 Repeated measures ANOVA was not used because calculating the variance of proportions based
87 on grouped binary data is inappropriate in that the proportions are both ordinal and bounded
88 between 0 and 1. 


89


90 RESULTS


91 Seed treatment was an exceptionally strong predictor of seed germination success
92 ($\chi^2=101.4$, $P<0.0001$, $df=5$, $n=300$). Physically scarified seeds germinated most quickly, and
93 were more than twice as successful as any other treatment (Table 1), with a final germination
94 rate of 74% over 33 days (Figure 1). Statistically similar percentages of unscarified, acid
95 scarified, and peroxide scarified seeds germinated (30%, 34%, and 26%, respectively) (Table 1).
96 No seeds from either hot water or fire scarification treatments germinated. Across all treatments,
97 the bulk of germination occurred within the first 2 weeks, with virtually no germination after that
98 point (Figure 1). 

99

100 DISCUSSION


101 Although many scarification treatments have been attempted for *Astragalus* species, my
102 data show that not all treatments are equal in efficacy. In fact, only one treatment, physical
103 scarification, was significantly better than the control, and both the fire and hot water treatments
104 were significantly worse than the control, effectively sterilizing all of the seeds. 

105 Based on my data, I recommend that propagation efforts involving *Astragalus* species use
106 physical scarification as the primary method for breaking seed dormancy. Whereas other
107 scarification treatments have been effective in certain circumstances, physical scarification has
108 generally been shown to be the most effective treatment in studies that have compared it to
109 alternative methods (Acharya *et al.*, 2006; Albrecht & Penzagos, 2012). The only downside to 
110 physical scarification, the labor-intensive nature of damaging the seed coat with sandpaper, a
111 razor blade, or nail clippers, can be overcome with commercial equipment, if necessary, although
112 at the cost of slightly higher seed loss to excessive damage (Acharya *et al.*, 2006).

113 Whereas other studies have demonstrated that methods involving cold, heat, acid, etc.,
114 can improve germination over controls, I recommend against their use in *Astragalus*, as the
115 studies comparing different durations and intensities (temperature, concentration) of these
116 treatments have found a relatively narrow range of optimal conditions (Albrecht & Penzagos,
117 2012; Chou *et al.*, 2012; Long *et al.*, 2012). Treatments of insufficient duration or intensity
118 appear to be incapable of breaking seed dormancy, whereas treatments of excessive duration or
119 intensity damage not only the seed coat, but the embryo as well, causing a loss of viability
120 (Albrecht & Penzagos, 2012; Chou *et al.*, 2012; Long *et al.*, 2012). This is evidenced in our own
121 study by the apparently insufficient acid and peroxide treatments compared to the apparently
122 excessive fire and hot water treatments. 

123

124 CONCLUSIONS

125 Physical scarification is a simple and reliable way to improve germination rates in
126 *Astragalus* species with hard seed dormancy. I advise that, particularly for rare species for which
127 seeds are limited, attempting to optimize other techniques is an unnecessary waste of resources
128 when physical scarification is equally if not more effective. 

129

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135

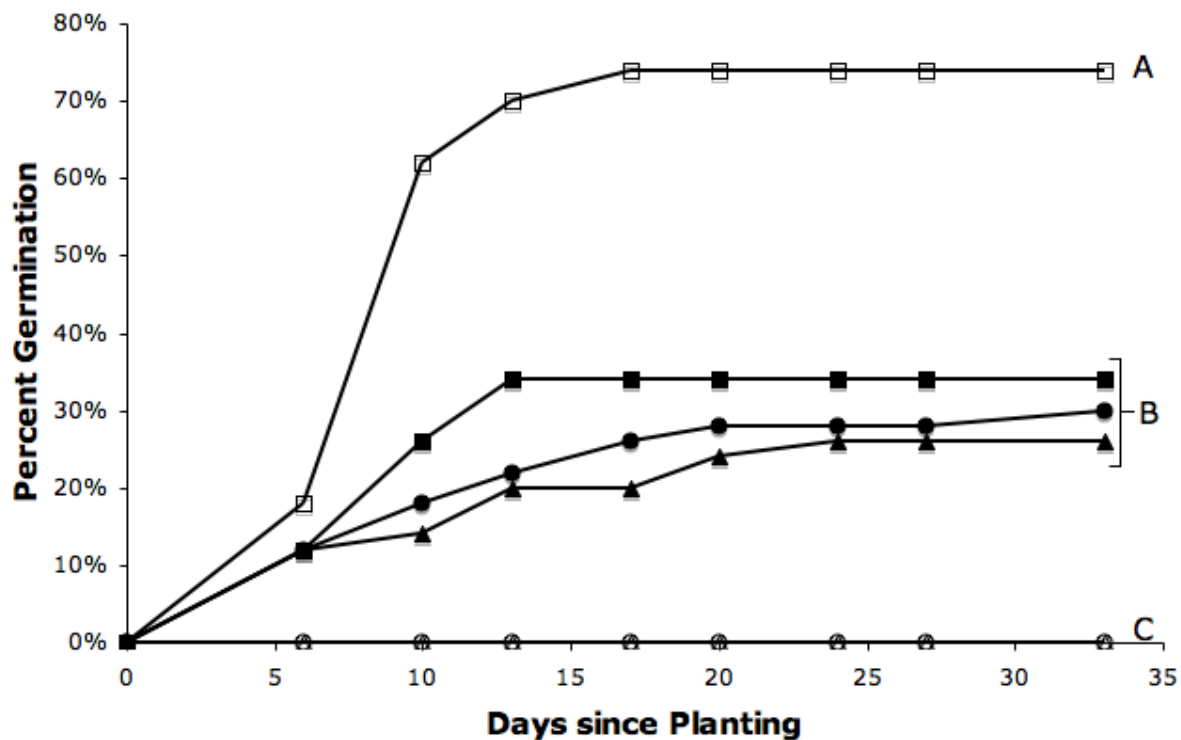
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- 164

164

FIGURES



165

166 Figure 1: Germination rates over time for different scarification treatments for *Astragalus cicer*.

167 The treatments include an unscarified control (closed circles) and seeds scarified with hot water

168 (open circles), sulfuric acid (closed squares), nail clippers (open squares), hydrogen peroxide

169 (closed triangles), and fire (open triangles). Letters indicate statistically different treatments via

170 proportional hazards analysis.

171



171 Table 1: Pairwise risk ratios for treatments, expressed as the ratio of the germination success of
 172 the row relative to the column. n=50 for each treatment. * represents statistical significance at the
 173 P<0.001 level.

Treatments	Control	Hot Water	Sulfuric Acid	Nail Clippers	Hydrogen Peroxide	Fire
Control	1	>100*	0.85	0.32*	1.17	>100*
Hot Water	<0.01*	1	<0.01*	<0.01*	<0.01*	1
Sulfuric Acid	1.17	>100*	1	0.37*	1.38	>100*
Nail Clippers	3.17*	>100*	2.69*	1	3.72*	>100*
Hydrogen Peroxide	0.85	>100*	0.72	0.27*	1	>100*
Fire	<0.01*	1	<0.01*	<0.01*	<0.01*	1

174

175

175 Table of raw data: Number of germinated seeds (out of 50) for each pretreatment, on a given day
176 of the experiment.

Treatment	0	6	10	13	17	20	24	27	33
Control	0	6	9	11	13	14	14	14	15
Hot water	0	0	0	0	0	0	0	0	0
Acid	0	6	13	17	17	17	17	17	17
Physical	0	9	31	35	37	37	37	37	37
Peroxide	0	6	7	10	10	12	13	13	13
Fire	0	0	0	0	0	0	0	0	0

177