### A new model for simulating growth in fish

A real dynamic population model calculates change in population sizes independent of time. The Beverton & Holt (B&H) model commonly used in fish assessment includes the von Bertalanffy growth function which has time as an independent variable. As a result the B&H model has to assume constant fish growth. However, growth in fish is highly variable depending on food availability and environmental conditions. We propose a new growth model where the length increment of fish living under constant conditions and unlimited food supply, decreases linearly with increasing fish length until it reaches zero at a maximal fish length. The model is independent of time and includes a term which accounts for the environmental variation. It is a simplification of a second order polynomial equation that fits all ages, and can be used after the fish have past the point of maximum length increment. In the present study, the model was validated in Zebrafish held at constant conditions. There was a good fit of the model to data on observed growth in Norwegian spring spawning herring and capelin from the Barents Sea, farmed cod and cod from the North Sea, but not for cod from the Barents Sea. The last result can be explained by environmental factors and food availability for this stock. Data on length increment in mice were also fitted to the model with good results, indicating that it is valid for vertebrates other than fish. The model implicates that the efficiency of energy conversion from food decreases as the individual animal approaches its maximal length and is probably a representation of a natural law of vertebrate growth.

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- 1 Johannes Hamre, Institute of Marine Research, Bergen, Norway
- 2 Espen Johnsen, Institute of Marine Research, Bergen, Norway
- 3 Kristin Hamre, National Institute of Nutrition and Seafood Research (NIFES), Bergen,
- 4 Norway
- 6

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- 7 **Corresponding author:**
- 8 Kristin Hamre,
- 9 NIFES,
- 10 PO Box 2029,
- 11 5017 Bergen,
- 12 Norway
- 13 Phone: +47 48185034
- 14 E-mail: <u>kha@nifes.no</u>
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### 16 Introduction

A main objective in fisheries management is to track the impact of fisheries on the fish stocks 17 18 and predict the Maximum Sustainable Yield (MSY) (Pitcher & Hart 1982). A real dynamic 19 population model calculates changes in population size and biomass independent of time. In 20 mathematical terms, such a model is often formulated with differential equations where the 21 change is initially measured as a function of time. The time factor is then removed by 22 integration, but the differential models describing increment in biomass of fish cannot be 23 integrated (Beverton & Holt 1957; Lotka 1925; Schaefer 1957; Volterra 1926). Furthermore, 24 fish growth, i.e. the size increment with time, varies greatly with food quality and availability, 25 temperature and other environmental factors and the fish will reach the different stages in 26 development more dependent on size than on age (Amara & Lagardere 1995; Aritaki & Seikai 27 2004; Sæle & Pittman 2010). A certain size or energy store is needed for metamorphosis in 28 fish larvae (Amara & Lagardere 1995; Aritaki & Seikai 2004), smoltification in salmon 29 (McCormick & Bjørnsson 1994) and sexual maturation in fish in general. Accordingly, 30 farmed cod grow faster and mature at an earlier age than wild cod (Braaten 1984; Karlsen et 31 al. 1995; Karlsen et al. 2006). If the required size is not obtained, the fish will simply 32 postpone development. Therefore, a growth function which omits time and is based on fish 33 size would be in line with real fish growth and development, and would be preferred for 34 calculation of yield in simulation models.

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Population dynamic models built on the classical Beverton & Holt (B&H) model are often
used in fish stock assessments (Beverton & Holt 1957; Hilborn 1994). This model estimates
the yield per recruit by assuming that growth is a function of age, e.g. accumulated time
(Bertalanffy 1938). As a result, the B&H model cannot sum up the yield in all year classes in
the same year, but it can be used to sum up the yield in one year-class during life. The authors

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therefore assume that the yield of all year-classes in one year is equivalent to the yield of one
year-class through life (Beverton & Holt 1957), e.g. the model assumes constant growth.

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Another way to quantify changes in mass than using differential equations was introduced by Albert Einstein, when he presented the theory of relativity. Instead of using mathematics to deduce from a known physical law, he postulated that energy and mass are equivalents and depend on the speed of light (E=mc<sup>2</sup>) (Einstein 1905). Later experiments with particle acceleration, have verified this postulate. In a similar way, we postulate that the length increment (dL), which is the basis for harvesting fish stocks, is inversely proportional to the length (Ls) and that dL is reduced towards a maximum length (Lmax):

*k* is a variable determined by environmental factors, such as food availability and temperature.

Eq. 1 includes only measurable factors so that dL can be summed arithmetically, either per

year or by year classes, using modern computer techniques. It can be applied for vertebrates,

only, because the dynamic term (Lmax-Ls) cannot be negative, i.e. the animal cannot shrink.

$$dL = k \cdot (Lmax - Ls)$$

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<sup>3</sup> 

Later we intend to use this law to simulate the development in fish stocks, starting with
measurement of the state of the stocks using acoustic surveys, in accordance with Bjerknes'
principle of exact science (Bjerknes 1904)

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### 69 Materials and methods

### 70 Data acquisition and organisation

71 The data on growth in zebrafish were extracted from Gomez-Requeni et al. (2010). Mean 72 lengths (L) of herring, capelin and cod were from ICES working group reports (ICES 2012a; 73 ICES 2012c). In herring, data on 1 to 9 year old fish, from 1985 until 2003 were used, while 74 fish older than 9 years were omitted from the dataset, because they had essentially no length 75 increment. The data were organized as development of length with age in different year 76 classes. Full year classes were obtained from those reaching one year of age in 1985-1995. 77 Data on capelin and cod from the Barents Sea were also organized as development of length 78 per year-class, the 1986-2001 year-classes for capelin and the 1985-1995 year-classes for cod. 79 Data on weights of North Sea cod were taken from the ICES working group report ICES 80 (2012b) and converted to length using a condition factor of 0.0104 (Daan 1974). The data on 81 farmed cod were from cod hatched and reared in pens at Austevoll aquaculture research 82 station, Institute of Marine Research, Norway. All fish were fed in excess with commercial dry 83 pellets, and became sexually mature at age 2 years. Daily water temperature measured at 2 m 84 depth from summer 1990 to autumn 1996 had a clear seasonal pattern, with minimum and 85 maximum temperatures during spring and autumn, respectively (Hoie et al. 2004). Data on 86 growth of laboratory mice were extracted from Sagazio et al. (2007).

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### 88 Calculations and statistics

Increment in length (dL) was calculated as  $dL=L_{(a+1)}-L_{(a)}$ , where a is fish age, using time intervals of one day for zebrafish, one week for mice, 0.5 years for farmed cod and one year for the wild fish stocks.

The statistical treatment was performed with GraphPad Prism, ver. 6 for Windows (GraphPad Inc., La Jolla, CA, USA). For the zebrafish data, the plot of length increment day<sup>-1</sup> by length was fitted to a second order polynomial equation. The points after the maximum of the obtained parable were then fitted to a linear and a second order polynomial equation and the results compared using the GraphPad software. For herring, capelin, and wild cod, average length increment year-1 by length in the year-classes were also fitted to a linear and a second order polynomial equation, and the results compared by the software.

99 For herring, length increment year<sup>-1</sup> was plotted against length for 1-9 year old fish in each 100 year-class and fitted to the linear equation. In all cases, R<sup>2</sup> >0.98 for 3-9 year old fish and 101 Lmax could be calculated from these data (average Lmax=354 mm). The slope of the line (k) 102 between length increment in 1 and 2 year old fish and the zero length increment at Lmax, was 103 compared with the slope of the data on 3-9 year old fish, using GraphPad software. The slope 104 of 1 and 2 year old fish was taken to be significant different from that of the 3-9 year old fish 105 when it was outside the mean±1 confidence interval.

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### 108 Results

109 Zebrafish growth as a function of age fits a sigmoid curve (Figure 1A), and plotting length

- 110 increment as a function of length gave an almost perfect fit to the second order polynomial
- 111  $y=-0.0027x^2+0.078x+0.25$  (R<sup>2</sup>=0.994, Figure 1A). When omitting the first two points
- 112 corresponding to the larval and very early juvenile stages, and comparing the polynomial

(R<sup>2</sup>=0.9988) and the linear equation, the second order polynomial was preferred (p=0.015),
but the straight line still had an R<sup>2</sup>=0.9887 (Figure 1C). Therefore, for simplicity, growth in
zebrafish held at constant conditions after the juvenile stage can be formulated
mathematically by equation 1:

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118 dL=k\*(Lmax-Ls) = -k\*Ls+k\*Lmax

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120 The slope of the curve corresponds to -k, while Lmax can be calculated from the intercept121 with the y-axis; k\*Lmax (Figure 1C).

123 The curve of length by age of the 1985 to the 1995 year-classes of herring from 1 until 9 years 124 of age (Fig 2A) shows rapid growth in length of young fish and a decrease in length increment 125 as the fish gets older and increase in size. The decrease in length increment starts already after 126 the second year and lasts until year 9, where-after the length increment per year is close to 127 zero (data not shown). Figure 2B shows the plot of average length increment by length in all year-classes. The fit to a second order polynomial has an R<sup>2</sup>=0.9979, while the linear fit has 128 an R<sup>2</sup>=0.9904. Here the second order equation is again preferred (p=0.01). Figure 2C shows 129 130 that the plots of length increment by length differ in the different year classes. The points for 1 131 and 2 year old fish deviate from each other and from the straight line obtained for in 3-9 year old fish (R<sup>2</sup>>0.98) in the year-classes 1985, -86, -92, -93 and -94. The points in 1 year old fish 132 133 deviate from the straight line obtained for 2-9 year old fish (R<sup>2</sup>>0.99) in the year-class 1987. All ages fit a straight line in the 1988, -89, -90, -91 and -95 year-classes (R<sup>2</sup>>0.98). 134 135 Representatives of the different groups of year-classes marked in Figure 2C are given in Figure 2D-F. 136

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Assuming that the deviations from the linear relationship are due to differences in
environmental conditions that can be expressed by k, k was plotted by year-class for 1 year
old, 2 year old and 3-9 year old herring, respectively, from 1985 to 1995 (Figure 3). K was
lower in the one and two year old herring, compared to the 3-9 year olds, in the year-classes
142 1985-1987 and 1992-1994 and similar for all ages in the year-classes 1988-1991. The periods
of high k in 1 and 2 year old fish coincided with high temperature in the Kola section,

although there was no significant correlation between temperature and k.

146 Growth in capelin given as length by age is shown in Figure 4A. As in herring, the length 147 increment decreases as the fish increase in age and size, however the number of points in the 148 regression is limited by the life span of capelin, which is only 4-5 years, since almost all fish 149 die after the first spawning. Average length increment by length in the year-classes from 1986 to 2001 is given in figure 4B and C, in 4B with a 2<sup>nd</sup> order polynomial fit (R<sup>2</sup>=0.9983), in 5C 150 151 with a linear fit ( $R^2$ =0.9766). In this case, the linear equation was preferred (p=0.17). Most of 152 the year-classes of capelin had a similar pattern of length increment as the average (data not 153 shown).

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155 Growth in Northeast Arctic cod of different year-classes, given as length by age, seems to fit a 156 linear equation, not a sigmoid curve as in the other fish stocks (Figure 5A). Figure 5 B-D 157 shows that length increment in this case is very variable and does not seem to follow either the  $2^{nd}$  order (R<sup>2</sup>=0.85-0.27) or the linear relationship (R<sup>2</sup>=0.88-0.06) on a regular basis. 158 However, growth in North Sea cod given as length by age (Figure 6A), did follow a sigmoid 159 curve and length increment by length (Figure 6B) showed a good fit to Eq. 1 (R<sup>2</sup>=0.98). The 160 161 2<sup>nd</sup> order polynomial fit was rejected (R<sup>2</sup>=0.98, p=1.0). Furthermore, length increment by 162 length in cod kept in captivity at relatively constant conditions and fed a dry diet to satiation

- showed a good fit to Eq. 1 (Fig 7C, R<sup>2</sup>=0.85) and the linear function was preferred over the
  second order one (p=0.32).
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- 166 Growth in mice held at constant conditions in the laboratory, registered as mouth to anus
- 167 length by age, is presented in Figure 6A. The curve approaches a maximum length, as found
- 168 in fish, and the fit to the  $2^{nd}$  order equation of length increment to length has an  $R^2$ =0.92,

169 however, the fit to Eq.  $1(R^2=0.87)$  was preferred (p=0.18).

#### Discussion 171

172 The questions asked in this study are: 1) Do fish grow according to an unchangeable natural 173 law, independent of time? 2) Can such a law be described by Eq. 1? 3) Is this law also valid 174 for other vertebrates?

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176 Zebrafish is used as a model organism for mechanistic biological research and in this context 177 it is kept under strictly controlled and constant conditions. The Zebrafish from which the 178 present growth curve was extracted had been held at constant environmental conditions and 179 fed Artemia only, according to a standard feeding regime, from 15 days post fertilization until 180 the end of the experiment (Gomez-Requeni et al., 2010). This created a conventional sigmoid 181 curve of weight by age, which seems to be common in all terrestrial vertebrates (Dumas et al. 182 2010). According to Dumas et al. (2010) and references therein, there is doubt that fish 183 approach a maximum weight, since growth continues after sexual maturation.

185 However, the Zebrafish trial also gave a sigmoid growth curve for length by age. In order to 186 omit time from the equation, length increment was plotted as a function of length. This plot 187 had an almost perfect fit to a second order equation, showing that length increment increased 188 in small fish, approaching a maximum, and decreased gradually in larger fish, reaching zero at 189 a maximal length (Lmax). We propose that the second order equation of length increment vs 190 length describes the general law of fish growth. Length increment leads to accumulation of 191 biomass and energy. Therefore, the model implies that energy in the food is used most 192 efficiently for biomass accumulation in relatively small fish, with decreasing efficiency as the 193 fish approach Lmax. The lower growth in larvae and very young juveniles, may be caused by 194 the energy needed to develop organ systems and the immature digestive system, which may 195 prevent optimal utilization of the feed (Rønnestad et al. 2013). The linear model given in Eq. 1

196 is a simple version of the second half of the 2<sup>nd</sup> order growth function and it fits the data for 197 Zebrafish past maximum length increment well, even though it is not statistically preferred 198 over the 2<sup>nd</sup> order equation. Eq. 1 is easy to understand, it can readily be adapted in simulation 199 models and it includes the variable k, which describes changes in the environment and food 200 availability. Furthermore, the assessment of fish stocks most often only includes fish that are 201 larger than the point where maximal length increment occurs, again favoring the use of Eq. 1.

203 Eq. 1 also fits the length increment by length data for the year-classes of fish, one year old or 204 more, of capelin, North Sea cod and farmed cod. The data from Norwegian Spring Spawning 205 herring of 3-9 years are very well described by Eq. 1, but in some of the year-classes, one and 206 two year old fish grow slower than the prediction of Eq. 1 for 3-9 year old fish. The deviation from the straight line is larger than what would be predicted by the 2<sup>nd</sup> order polynomial fit, as 207 208 it extends a minimum of 1 confidence interval. Therefore we have interpreted it as a 209 difference in environmental conditions, e.g. a difference in k. There was no significant mathematical correlation between temperature and k. However, a high k in one and two year 210 211 old fish coincided with high temperature in the Kola section, which is used as an indication of 212 the general Barents Sea temperature (Ottersen et al. 1998). The young herring grow up in the 213 Barents Sea and move to the warmer Norwegian Sea when they are 20-22cm, usually about 214 three years old (Holst et al. 2004).

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Length increment by length over individual year-classes in cod from the Barents Sea does not
fit well to Eq. 1. This may be caused by low and varying food availability, since the Northeast
Arctic cod stock is large and may therefore encounter food shortage (Jørgensen 1992). On the
other hand, North Sea cod is heavily overfished and should have ample amounts of food
(Cook et al. 1997). Furthermore, older and larger Northeast Arctic cod have a tendency to

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distribute further to the west, where they encounter gradually increasing temperatures
(Michalsen et al. 1998). The lack of fit of individual year-classes of Northeast Arctic cod to a
common version of Eq. 1, may therefore be explained by increase in k from one year to the
next over the whole life span. Unfortunately, the data from Northeast Arctic cod cannot be
used to determine Lmax, so that k cannot be defined per year.

The farmed cod were held under semi-controlled conditions, in net pens with ambient sea-water temperature and natural light, but were fed the same diet for one generation. The measurements were taken in summer and winter and the relatively large variation is related to the seasonal variation in temperature and light. When only winter measurements were included, e.g. the length increment from one winter to the next was monitored, there was a perfect fit of the data to Eq. 1 (R<sup>2</sup>=1.00). Eq. 1 also fits well to data from North Sea cod.

234 In Eq. 1, Lmax and k are individual characteristics determined by how the fish have adapted to the environmental conditions. Lmax is different from  $L^{\infty}$  in von Bertalanffy's growth 235 236 function; it is a fixed value, not an asymptote, it has a variance and it is not connected to time. 237 Lmax is assumed to be genetically determined, and may change in response to long term 238 changes in the environment and harvesting strategies, as described for North West Arctic cod 239 by Borrell (2013). It differs between species, between the stocks within a species and between 240 the individuals within a stock. Clearly, there are a considerable number of variables that 241 determine the value of k, and the parameterization will demand expertise within 242 oceanography and in biological fields such as genetics and nutrition.

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Eq. 1 calculates length increment, while yield in biomass is the economically important

variable. It has been shown that specific growth rate (SGR) in % of body weight per day has a

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linear relationship to fish weight on a log-log scale (Bjørnsson & Steinarsson 2002; Braaten 246 247 1984; Brett & Shelbourn 1975; Jobling 1983), which is an alternative formulation of the 248 natural law of animal growth to Eq. 1. One reason for using length in our model is that both length of individual fish and number of fish can be measured directly using acoustic trawl 249 250 surveys. The length increment data can then be converted to yield in weight and biomass 251 using Fulton's condition factor (Fulton 1904). Furthermore, weight can vary greatly due to 252 varying food supply and varying gonad size in relation to sexual maturation, while length is a 253 more stable and positive measure.

255 According to Eq. 1, harvesting of fish which are at the point of maximum size increment is 256 energetically most efficient. In the case of herring, fish of 10 cm length grow at a rate which is 257 four times higher than fish of 30 cm length (Figure 2B). Feed conversion efficiency generally 258 increases with higher growth rates in fish (Kolstad et al. 2004), so utilization of the energy 259 from plankton would be optimized by harvesting relatively small fish. The slaughter of farm 260 animals prior to sexual maturation is a common practice in meat and fish farming which also 261 takes advantage of the high growth rates in young animals. Selective fisheries on large fish 262 may therefore defeat its own end, and prevent a maximum utilization of the marine resources. 263 A similar perspective is presented by Borrell (2013). Ecological principles may also be explained as optimizing the efficiency of energy utilization. An example is the seabirds along 264 265 the Norwegian coast, which mainly feed on 0-group fish (Dragesund et al. 2008). This 266 represents an efficient utilization of zooplankton biomass and energy.

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#### Conclusion 268

The data presented here indicate that there is a natural law for length increment in fish, based 269 270 on achieved length, which can be described by a second order polynomial. Eq. 1 is a 271 simplification of this law that can be used when the fish are past the point of maximal length 272 increment. Length increment by length in laboratory mice held at controlled and constant **PeerJ** Reviewing Manuscript 273 conditions (Sagazio et al. 2007) also gave a good fit to Eq. 1, indicating that the law of length 274 increment can be generalized to vertebrates other than fish. A consequence of Eq. 1 is that the 275 efficiency of energy conversion from food decreases as the individual animal approaches 276 Lmax. Length increment represents yield in biomass and energy and is the way living 277 organisms store energy from the sun. Eq. 1 enables us to quantify these processes. 278 279 Acknowledgements 280

281 We want to thank P. Gomez-Requeni P and I. Rønnestad, the University of Bergen, Norway, 282 for providing the dataset on Zebrafish, Ø. Karlsen, The Institute of Marine Research, Bergen, Norway, for the dataset on farmed cod and Samuel J. Penglase for critical reading of the 283 284 manuscript.

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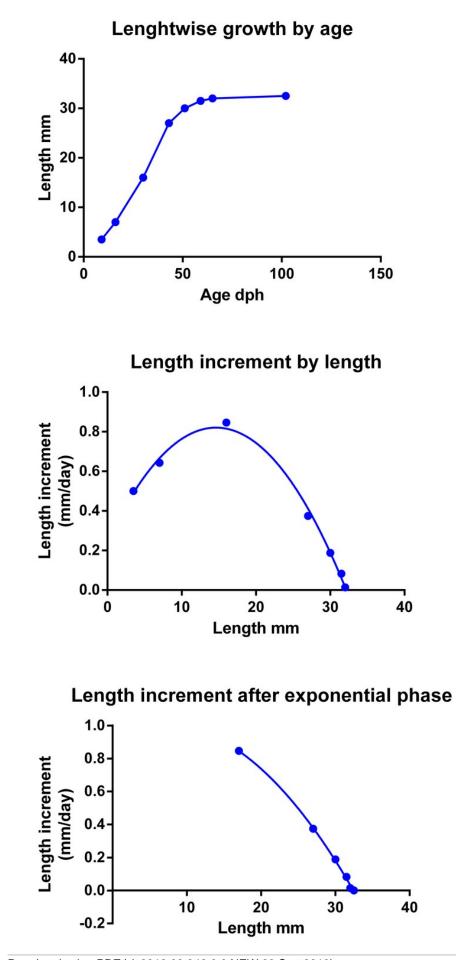
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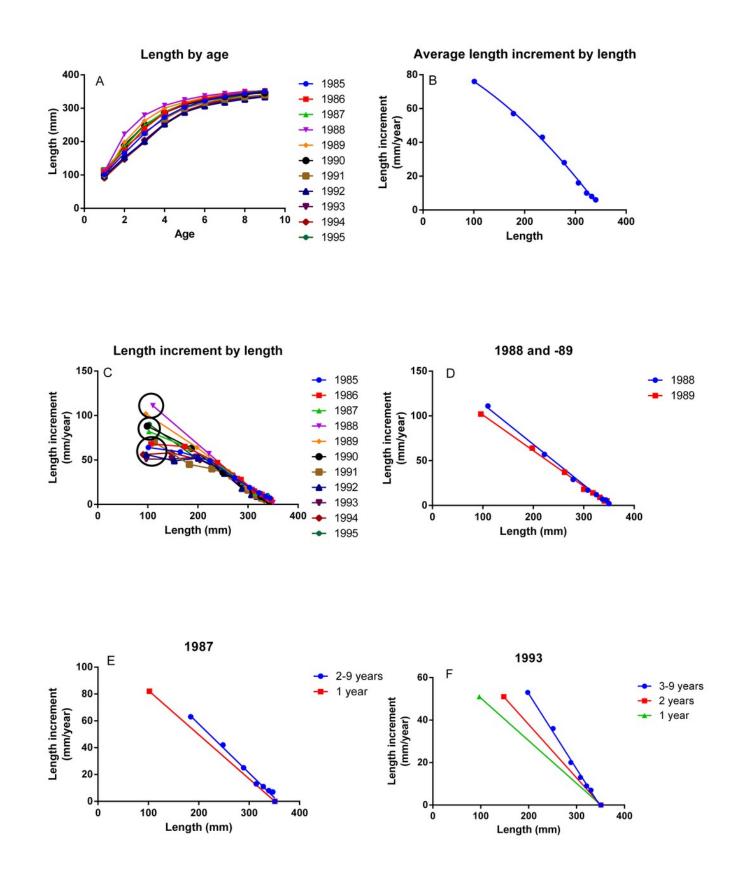
Growth in Zebrafish kept under constant conditions and fed *Artemia* to satiation through one generation

A. Growth in length by age (Extracted from Gomez et al., 2012). B. Length increment by length for all data-points with a second order polynomial fit. C. Points past the exponential growth phase fitted to a linear equation.

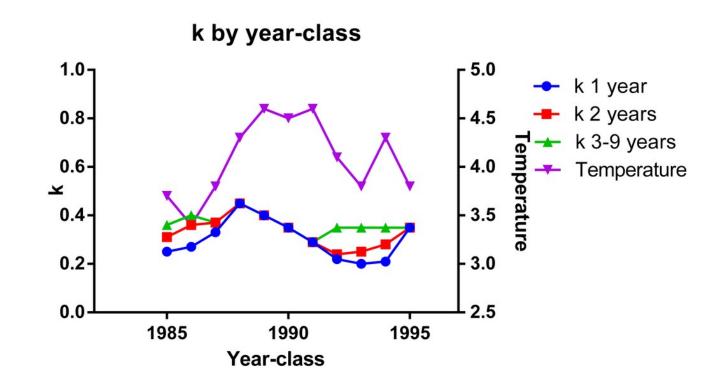


Growth in the year-classes 1985-1995 of Norwegian spring spawning herring

A. Growth in length with age. B. and C. Average and individual year-class length increment by length in all year-classes from 1985 until 1995. D-F. Representatives of the groups marked in C with linear fits (see text for explanation).

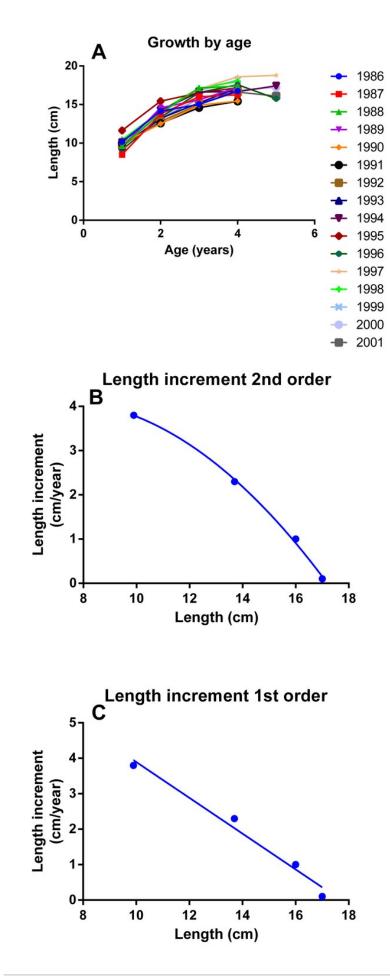


K in herring of different ages in the year-classes 1985-1995 and temperature in the Kola section when the fish were one year of age



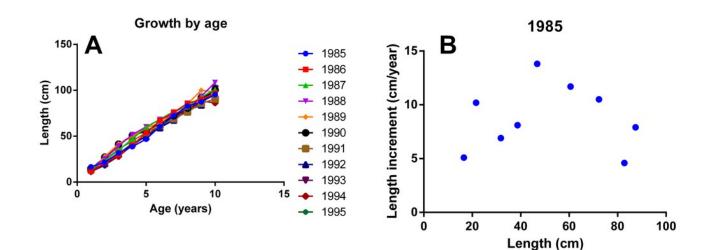
Growth in capelin

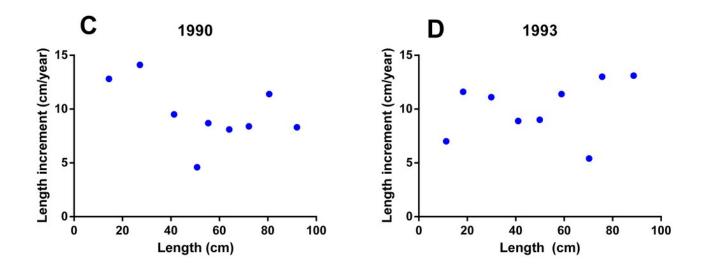
A. Growth as length by age. B. Second order exponetial fit and C. linear fit of length increment by length, average for all year-classes from 1986 until 2001.



Growth in Northeast Arctic cod

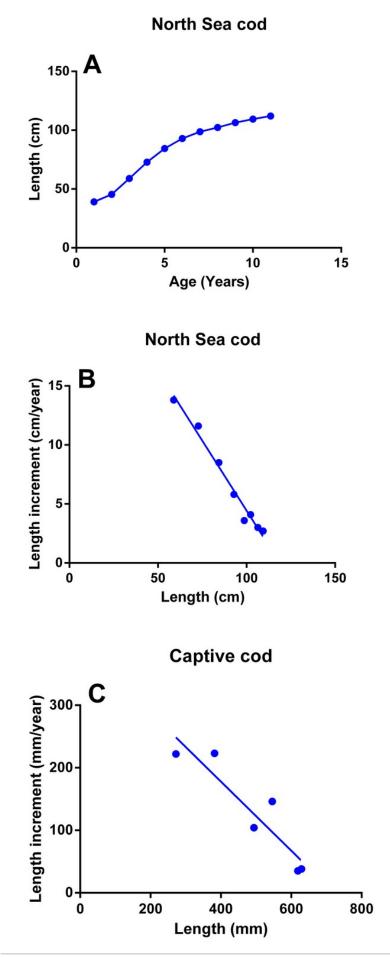
A. Growth as length by age. B-D. Examples of length increment by length in different year-classes.





Growth in North Sea cod

A. Growth as length by age. B. Length increment by length with a linear fit and C. Farmed cod, Length increment by length.





Growth in Laboratory mice

A. Growth as length by age. B. Length increment by length with a linear fit.

