

Effect of fragmentation on the Costa Rican dry forest avifauna

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Deforestation and land use change have reduced the tropical dry forest in the northwestern region of Costa Rica into isolated fragments. We examined the effect of fragment area and length of the dry season (seasonality) on nestedness for the community (entire species matrix), assemblages (forest fragments), and species occupancy across fragments for the native avifauna, and for a subset of forest dependent species. **Area or distance between fragments did not correlate with species richness across fragments.**

Similarity in bird community composition between fragments was related with habitat structure; fragments with similar forest structure have higher similarity in their avifaunas. Fragment area determined the pattern of nestedness of the bird community and species occupancy, but not the nestedness of assemblages across fragments in northwestern Costa Rican avifauna. Forest dependent species (species that require large tracts of mature forest) and assemblages of these species were nested along forest fragments ranked by seasonality gradient, but only occupancy of species nested by fragment area.

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7 **Abstract:** Deforestation and land use change have reduced the tropical dry forest in ~~the~~
8 northwestern ~~region of~~ Costa Rica into isolated fragments. We examined the effect of fragment
9 area and length of the dry season (seasonality) on nestedness ~~for~~ the community (entire species
10 matrix), assemblages (forest fragments), and species occupancy across fragments for the native
11 avifauna, and for a subset of forest dependent species. Area or distance between fragments did
12 not correlate with species richness across fragments. Similarity in bird community composition
13 between fragments was related with habitat structure; fragments with similar forest structure have
14 higher similarity in their avifaunas. Fragment area determined the pattern of nestedness of the
15 bird community and species occupancy, but not the nestedness of assemblages across fragments
16 in northwestern Costa Rican avifauna. Forest dependent species (species that require large tracts
17 of mature forest) and assemblages of these species were nested along forest fragments ranked by
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20 **Key words:** Forest fragments, bird composition, nested community analysis, community
21 similarity.

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23 Deforestation and changes in land use are the main proximate factors causing habitat
24 deterioration and forest fragmentation in tropical regions (Jaeger 2000, Azevedo-Ramos *et al.*
25 2006, Joyce 2006). These activities transform continuous forests into a collection of poorly
26 interconnected small fragments, distantly separated from larger continuous forests (Harris 1988,
27 Daily *et al.* 2001). Fragmentation changes environmental conditions within fragments resulting in
28 a cascade of effects, typically starting with ~~an increasing~~ mortality of forest plant species (Kapos
29 *et al.* 1997). Isolation may also reduce seedling establishment and affect pollination and seed
30 dispersal rates (Murcia 1995, Fuchs *et al.* 2003). Environmental changes at the edge of fragments
31 may also favor invasion and establishment of non-forest species, which may change the
32 composition of fragments and increases predation rates as non-forest predators enter forest
33 fragments (Andren and Angelstam 1988).

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35 Bird communities undergo notable changes in composition and abundance soon after
36 large forests are reduced into smaller fragments (Oostra *et al.* 2008)  in some cases fragmentation
37 could increase the abundance and richness of some bird species (Azevedo-Ramos *et al.* 2006) as
birds aggregate in the remaining available forests patches. This increase in abundance and

38 richness is invariably followed by a steady reduction in diversity (Herkert 1994, Kruess and
39 Tschamntke 1994, Lida and Nakashizuka 1995), because the available habitat is insufficient to
40 sustain a large number of species (Fahrig 2003, Pimm and Askins 1995). Additionally, invasion
41 of non-forest bird-species may increase competition for resources and increase parasite load,
42 which in turn may further reduce the reproductive success and viability of populations within
43 fragments (Christiansen and Pittier 1997, Duncan 1997).

44 Reduction of genetic variability, demographic crashes and higher susceptibility to
45 catastrophic events are also expected for resident bird populations in forest fragments (Zuidema
46 *et al.* 1996). These effects may be prevented if fragments are interconnected or connected with
47 larger continuous forests. Unfortunately, given species-specific differences in behavior, corridors
48 usually are not suitable for all species (Rosenberg *et al.* 1997). Furthermore, newly fragmented
49 habitats are often difficult to re-connect with larger forest fragments or with other small
50 fragments; in most cases continuous habitats are impossible to recover. Hence, isolated forest
51 fragments often become the only species reservoirs of previously widely spread and
52 interconnected avifaunas.

53 Northwestern Costa Rican dry-forest has been reduced to 0.1% of its original extension
54 and the remaining forest consists of small fragments surrounded by large cultivated areas (e.g.,
55 sugar cane, rice field, cattle haciendas) (Janzen 1988, Quesada and Stoner 2004). Consequently,
56 the original terrestrial avifauna is now confined to these isolated, small forest patches; some of
57 which are protected but with little, if any, chance of reconnection. As a first approach to weigh
58 the importance of these forest fragments for the dry forest avifauna, we evaluate the effect of
59 forest fragmentation on species composition for the bird community, but give special attention to
60 forest dependant species in dry-forest fragments of northwestern Costa Rica.  We use nestedness
61 analyses to evaluate whether fragmentation or the length of the dry season (i. e., seasonality
62 gradient) produce a nested species pattern. A nested pattern is expected when species
63 assemblages in species-poor sites are a subset of those assemblages present in species-rich sites
64 (fragment nestedness), or when ~~the presence of~~ species occupying few sites are a subset of those
65 species occupying a large number of sites (species nestedness) (Novak *et al.* 2011). Hence
66 nestedness may be the result of variation in rates of colonization and extinction among sites
67 (Lomolino 1996), or among species (Atmar and Patterson, 1993). We also ~~contrast if~~ differential
68 extinction (the likely cause of reduction of species across forest fragments) is caused by either a
69 reduction in habitat availability, an increase in the distance between forest fragments as
70 consequence of habitat deterioration, or if the nested pattern is associated ~~to~~ a climatic gradient
71 (i.e., seasonality) (Lomolino 1996, Wright *et al.* 1998).

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MATERIALS AND METHODS

76 **Data collection**

77 We gathered information on species composition from five forest fragments in
78 northwestern Costa Rica that varied in size and connectivity (Table 1, Fig.1): Parque Nacional
79 Diría (Dir), Reserva Natural Absoluta Cabo Blanco (CB), Parque Nacional Palo Verde and the
80 Reserva Biológica Lomas Barbudal (PV), Parque Nacional Santa Rosa (SR), and Parque
81 Nacional Rincón de la Vieja (RV). Between years we visited (GB, DO and JDRF) each site from
82 8 to 20 times to compile a comprehensive bird list of each site. We complemented our survey
83 data with information from Stiles (1983), checklist of SR and OTS (PV); Julio Sánchez and Luis
84 Sandoval provided us additional data for PV and RV respectively. Climatically the northwestern
85 region of Costa Rica is characterized by a long dry season from December through May (Mata
86 and Echeverría 2004) followed by a rainy season. However the local conditions affect the length
87 of the dry season across sites (Sánchez-Murillo et al. 2013), and this makes it possible to order
88 the sites along a gradient of seasonality (Table 1). Precipitation patterns influence vegetation in
89 the region, which is dominated by deciduous vegetation with evergreen species along rivers,
90 seasonal and permanent streams (Hartshorn 1983). We obtained the area of each site, for
91 statistical analyses, from the Sistema Nacional de Areas de Conservación de Costa Rica
92 (<http://www.sinac.go.cr>). For PV we excluded the area covered by wetlands and included the area
93 of the Reserva Biológica Lomas Barbudal because it is connected with the PV, and excluded the
94 area on the Caribbean slope of RV because this area is covered with rain forest rather than by dry
95 forest (Hartshorn 1983).

96 We excluded fresh water (e.g. Anatidae, Ardeidae) and marine birds (e.g. Fregatidae)
97 from our analyses because they are restricted to habitats that are not present in all sites. We also
98 excluded migratory birds, ~~because we considered that resident birds are more affected by local~~
99 ~~changes in land use and deforestation.~~ Occasional sightings of native species far from their normal
100 geographic breeding distribution ranges were also excluded. Therefore, the dry-forest bird-
101 community was composed by resident, breeding species with terrestrial habits. Each bird species
102 was classified into one of three forest dependency categories according to Stiles (1985) with
103 modifications made by Sandoval and Barrantes (2009): 1 = species that live and reproduce in
104 extensive mature forest; 2 = species that require habitats with 40-50% of forest cover; 3 = species
105 that inhabit open areas.

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107 **Statistical analyses**

108 We used a Sørensen Similarity Index to evaluate similarity of bird species composition
109 among sites and assessed the relationship between species composition and geographic distances
110 between sites using a Mantel test. We also determined whether composition of resident species
111 across dry forest fragments follows a nested distribution, or if each fragment contains an

112 independent subset of species using the Vegan package (version 1.17; <http://cran.r-project.org>),
113 implemented in the R Statistical Language (version 3.00; R Core Team 2015). A nested species
114 distribution occurs when species richness in smaller fragments is a subset of the richness of larger
115 fragments. To evaluate nestedness in the avifauna of dry forest fragments we first constructed
116 presence/absence matrices in which rows and columns were fragments and species respectively,
117 and then sorted rows (assemblages) and columns (species) by two criteria: along a gradient of
118 fragments area, and along a gradient of rainfall seasonality. On these matrices we calculated the
119 NODF metric (Almeida-Neto *et al.* 2008, Ulrich *et al.* 2009, Bairos-Moreira and Maltchik 2012)
120 and used 999 permutations with the 'quasiswap' algorithm to validate the model's significance
121 (Miklós and Podani 2004) by determining if the estimated nestedness differed from a random
122 (non-nested) distribution. 'quasiswap' retains both rows (sites) and columns (species)
123 frequencies and does not increase Type I or Type II errors (Gainsbury and Colli 2003). We used
124 the NODF metric because it assesses independently nestedness of species assemblages among
125 sites (rows), and nestedness for occupancy or presence among species (columns), and for the
126 entire matrix that we refer to as community (Almeida-Neto *et al.* 2008). NODF assumes values
127 from 0 to 100, and higher values indicate more nested assemblages.

128 We also inferred whether nestedness was either caused by differential extinction due to a
129 reduction in area available habitat or by rainfall seasonality (Cutler 1991, Lomolino 1996,
130 Patterson 1990, Patterson and Atmar 1986) conducting the statistical analyses described by
131 Lomolino (1996): $\%PN = 100 \times (R-D)/R$, where $\%PN$ = % of perfect nestedness, R = mean
132 number of departures from random simulations and D = number of species that departure from
133 perfect nestedness. To obtain the significance of the D statistic we compared the calculated value
134 (no. species that departure from perfect nestedness in the original matrix) with those obtained
135 from 999 randomly generated matrices (scripts for running these analyses are included as
136 supplemental material). Lomolino's statistics were calculated for presence/absence species
137 matrices in which sites were first ordered by decreasing area and then along a gradient of
138 seasonality (Fig. 1). Analyses were conducted for all species and for forest dependant species
139 (categories 1 and 2 of forest dependence). These matrices test if nestedness is caused by
140 differential extinction due to area reduction or rainfall seasonality.

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RESULTS

143 We registered a total of 187 resident species in all study sites (Table S1). PV had the
144 highest number of resident species while CB and Dir had the lowest number, but the number of
145 species did not differ significantly across sites ($X^2 = 5.51$, $df = 4$, $p = 0.2387$; Table 1). RV had
146 the lowest similarity in species composition when compared with other sites, whereas CB and Dir
147 had highest similarity (Table 2). We did not find a correlation between geographic distance

148 between sites and species richness (Mantel test = 0.42, $p = 0.185$; Table 2) 
149 fragments and species richness ($r = 0.41$, $p = 0.494$).

150 Area and rainfall seasonality affected similarly the nested pattern at three different levels:
151 bird community (entire matrix), bird assemblages, and species occurrence among dry forest
152 fragments. The community was significantly nested (based on ~~the~~ overlap and decreasing fill
153 statistics- NODF) by area of dry forest fragments (NODF = 31.63, $p = 0.001$). Furthermore,
154 species occupancy ~~showed a nested pattern~~ (N columns = 31.61, $p = 0.001$), but bird assemblages
155 did not nest by area among sites (N rows = 65.61, $p = 0.099$).  The dry forest avifauna also
156 **showed a**  eral nested pattern in the fragments ranked by a length of dry season gradient
157 (NODF = 31.61, $p = 0.001$). Bird assemblages showed a nearly significant nested pattern in
158 fragments ordered along this gradient of rainfall seasonality (N rows = 32.00, $p = 0.061$) and
159 species occupancy was strongly nested along such gradient (N columns = 31.61, $p = 0.001$). The
160 similarity of these results is ~~understandable since~~  sites ranked by area followed nearly the
161 opposite order when sites are ranked along a seasonality gradient (Table 1).

162 The subset of forest dependent species (categories 1 and 2) were nested  when considering
163 area (NODF = 24.17, $p = 0.001$) or seasonality (NODF = 24.15, $p = 0.001$) of dry forest
164 fragments. Bird assemblages of forest dependent species nested among forest fragments ranked
165 along a seasonality gradient (N rows = 42.15, $p = 0.005$), but not by area of fragments (N rows =
166 57.68, $p = 0.397$). The species occupancy nested along both gradients: seasonality (N columns =
167 24.13, $p = 0.001$) and area (N columns = 24.13, $p = 0.001$). ~~Lomolino's test did not detect effect~~
168 ~~of differential extinction due to reduction in habitat availability~~ (D = 120, R = 128.9, P = 0.336,
169 %PN = 6.91 for all species; D = 80.0, R = 91.0, P = 0.19, %PN = 12.06), nor distance between
170 fragments (D = 133, R = 128.7, P = 0.644, %PN = 3.32) on nestedness of bird assemblages in dry
171 forest fragments. ~~We found similar results~~  when sites were ordered by a gradient of rainfall
172 seasonality and for forest dependant species.

173 In general the proportion of species included in the three categories of forest dependency
174 was similar for all sites ($X^2 = 7.66$, $df = 8$, $p = 0.4677$; Fig. 2). RV (17.3%) and Dir (12.8%) had
175 the ~~highest percentage~~  of forest dependant species (category 1), whereas  SR (8.1%) and CB
176 (9.6%) had the lowest percentages (Fig. 2). The number of forest dependant species (category 1)
177 did not differ across sites ($X^2 = 6.86$, $df = 4$, $p = 0.143$; Fig. 2), **but when the expected number of**
178 **species is corrected by the area of each site (i.e., # spp/ha * total ha), the number of forest**
179 **dependant species varied significantly across sites ($X^2 = 111.35$, $df = 4$, $p < 0.0000001$; Fig. 3)** 
180 had a lower number of forest dependant species than expected, and RV, Dir and CB a higher
181 number of forest dependant species relative to its area.

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DISCUSSION

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Area frequently explains by itself a large percentage of the variance in number of species in archipelagos or isolated fragments (Herkert 1994, Kruess and Tschardt 1994, Lida and Nakashizuka 1995, Barrantes *et al.* 2011). This pattern is the result of decreasing colonization and increasing extinction risk as the area of forest fragments or islands decreases (McArthur and Wilson 1967, Harris 1984). Environmental heterogeneity may also influence the number and/or composition of species in fragments. Fragments and islands with complex topography (e.g., altitudinal gradient, mountains) often have higher species richness, or at least a different subset of species when compared with islands or fragments with relatively homogeneous topographies (Primack 1998, Fernández-Juricic 2000, Mayr and Diamond 2001). The characteristics of the matrix around the fragments, connectivity between fragments, edge effect, and human intervention may also influence species richness within fragments (Bierregaard and Stouffer 1997, Whitmore 1997).

In this study nestedness of the dry forest avifauna and forest dependent species is influenced by a gradient of seasonality (length of dry season) and by the area of fragments. Sites with shorter dry season maintain a larger number of dry forest and forest dependant species. From a conservation perspective, forest dependent species are likely more susceptible to global climatic changes, particularly to changes expected as a consequence of the increasing frequency of ENSO events (Cai *et al.* 2014) and the predicted intensification in the severity of droughts in the region (Sheffield and Wood 2008). Dry forest dependent species require large mature forest tracts to maintain reproductive and viable populations (Stiles 1985). However, both factors, ENSO events and severe droughts, result in longer and more severe dry seasons which consequently increase the frequency of wild fires (Janzen 1986), affecting the physiognomy of the dry forest, changing its composition and structure (Barlow and Peres 2004), and thus affecting the avifauna associated to mature forest tracts.

The Lomolino analysis failed to identify distance and size of fragments as proximate causes of nestedness. Its lack of causality may be attributed to the effect of recent forest fragmentation (1960-1980) on bird communities. The effect of isolation will only become evident as fragmentation persists for longer periods of time (Barrantes *et al.* 2011). Furthermore, other factors may counteract the signature of habitat reduction (or distance between fragments) on nestedness. For instance, the high resilience apparently inherent to dry forest birds (Barrantes and Sánchez 2004). Many dry forest birds are presumably capable of maintaining small reproductive populations in suboptimal habitats (e.g., small patches of secondary vegetation, pastures, Barrantes and Sánchez 2004). Other species (e.g., *Calocitta formosa* and *Campylorhynchus rufinucha*) are capable of moving between distant forest fragments along linear vegetation corridors or flying between isolated trees or bushes (Harvey *et al.* 2005). Thus, the habitat use and behavioral features of some dry forest bird species reduce the probability of detecting the

222 proximal causes of nestedness (e.g., habitat reduction and geographical isolation (e.g., distance
223 among fragments).

224 Species composition across sites is apparently more related ~~with~~ vegetation features than
225 with area of fragments or geographic isolation (e.g., distance). The area of the fragments included
226 in this study does not predict the number of species present in each fragment. For instance, SR
227 nearly triples the area of PV, but they both have similar species richness. Likewise, CB has only
228 one fifth the area of Dir, but the number of species is similar in both sites. SR and PV are ~~covered~~
229 primarily ~~with~~ deciduous forest and small tracts of evergreen vegetation (Hartshorn 1983) and
230 both sites have a similar species composition. Dir and CB share also a high percentage of species
231 and both have more humid conditions than SR and PV and larger tracts of evergreen forest, as a
232 result of a shorter dry season (Janzen 1986, Sánchez-Murillo et al. 2013). On the contrary, RV
233 shares fewer species with other forest fragments. The topography of RV is more complex and
234 includes an altitudinal gradient covered by forests with different structure (Janzen 1986). The
235 differences in species composition across sites highlight two important aspects: first that species
236 composition should be analyzed at a finer scale taking forest structure and composition into
237 account; and second, that to preserve the rich dry forest avifauna is necessary to preserve
238 ecosystem diversity, e.g., through habitat restoration and fragment connection.

239 Our results indicate that between 8.4 to 17.9 % of all native species in dry forest
240 fragments require large areas of mature forests and more than 50% of the species in each forest
241 fragment require at least 50% of forest cover to maintain viable populations (Fig. 2; Stiles 1985).
242 Hence, forest fragments in northwestern Costa Rica support a large number of species that
243 require large tracts of mature tropical dry forests in the most threaten forest ecosystem in
244 Mesoamerica (Janzen 1988). These fragments are then an important reservoir for the rich dry
245 forest Mesoamerican avifauna (Stotz *et al.* 1996), including four endemic species to the Pacific
246 slope of Middle America dry forest region (Lesser Ground Cuckoo, Pacific Screech Owl, Long
247 Tailed Manakin, White Throated Magpie-Jay), but habitat destruction, the removal of isolated
248 trees and forest patches reduces connectivity and may drastically reduce the viability of
249 populations in remnant forest fragments. In these isolated small fragments genetic variability may
250 decrease rapidly (Evans and Sheldon 2008, but see Fuchs and Hamrick 2010) and the recurrent
251 catastrophic events caused primarily by intentional fires (Quesada and Stoner 2004) seriously
252 threaten the long-term maintenance of bird populations.

253 In conclusion, forest fragments in northwestern Costa Rica are reservoirs of a large
254 portion of bird species of the Pacific slope of Central American dry forests. However, species
255 composition varies widely across fragments possibly as a consequence of differences in
256 vegetation, climatic and topographic conditions. In northwestern Costa Rica, the reduction of the
257 original dry forest into small, isolated fragments resulted in a nested pattern of both bird
258 assemblages and species. The lack of connectivity between these fragments and the recurrent

259 intentional fires in the region, and the predicted global climatic changes seriously threaten the
260 long-term viability of many bird species. Nestedness analyses proved to be an important tool to
261 evaluate the consequences of fragmentation of natural environments. Most important, this
262 method can be used periodically to evaluate the effect of changes in climate and land use on the
263 avifauna (or other animals) in forest fragments.

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416 Table 1. Area, location and number of native species recorded in five dry forest fragments in
 417 northwestern Costa Rica. Length of dry season is indicated by ordinal categories, e.g., 1 indicates
 418 the shortest dry season

Locality	Abbreviation	Dry season	Area (ha)	Location	No. of species
Santa Rosa	SR	5	37117	10°50'N, 85°37'W	123
Palo Verde	PV	4	11970	10°20'N, 85°20'W	135
Rincón de la Vieja	RV	1	8411	10°49'N, 85°21'W	127
Diriá	Dir	3	5426	10°10'N, 85°35'W	109
Cabo Blanco	CB	2	1172	09°33'N, 85°06'W	104

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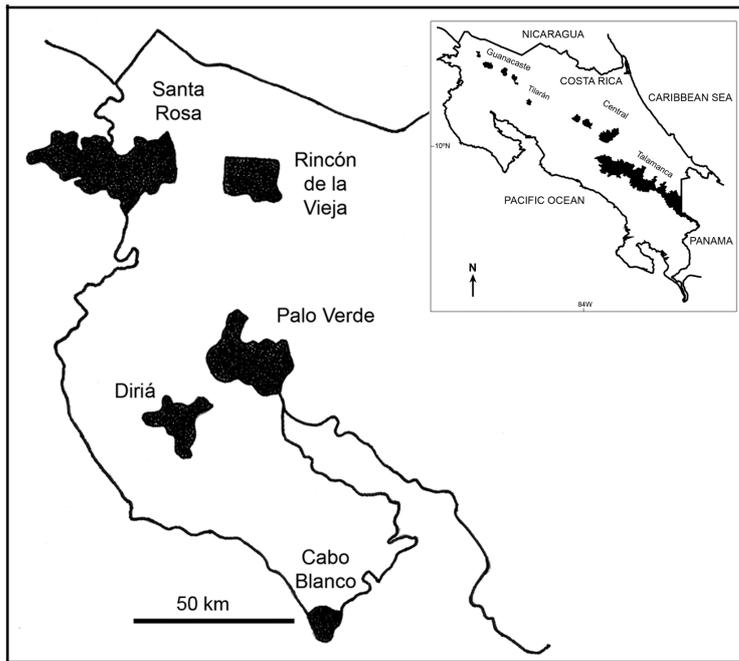
423 Table 2. Values of the Sørensen similarity index between species composition (below the
 424 diagonal) and distance (km) (above the diagonal) between five forest fragments in northwestern
 425 Costa Rica. Fragment abbreviations as in Table 1.

426

		Distance				
		SR	PV	Dir	CB	RV
Similarity	SR		77.9	72.6	71.5	63.6
	PV	0.80		68.9	69.4	58.9
	Dir	0.82	0.71		70.6	61.3
	CB	0.81	0.67	0.85		64.6
	RV	0.73	0.62	0.70	0.76	

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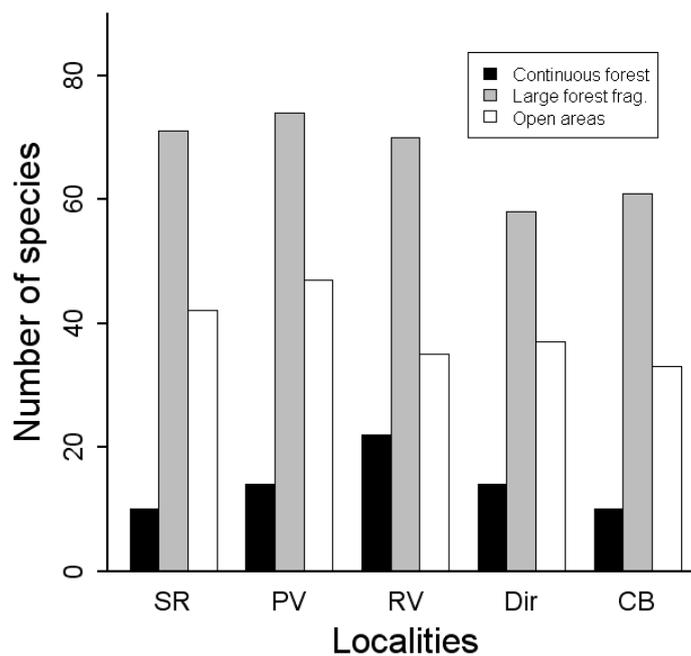
Fig.1. Dry forest fragments studied in northwestern Costa Rica. The inset shows the main

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cordilleras in Costa Rica.

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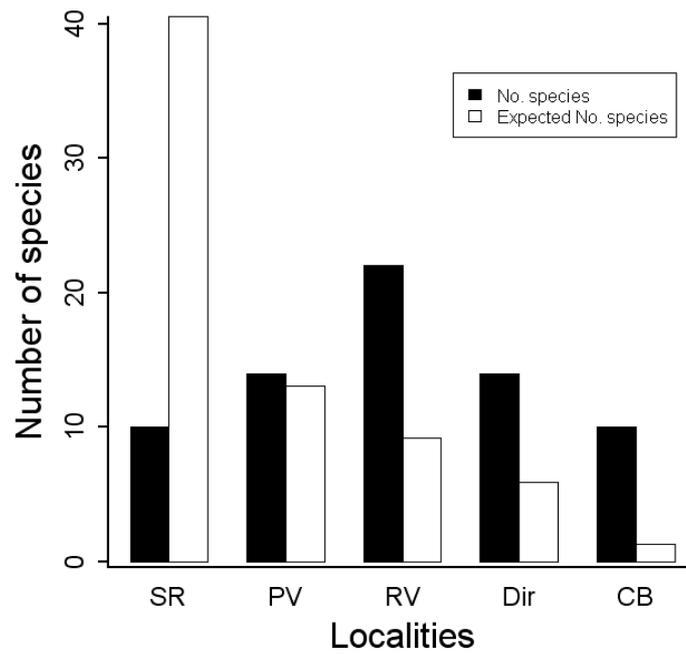
Fig. 2. Number of bird species included in the three categories of forest dependence for the five

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forest fragments in northwestern Costa Rica: SR - Santa Rosa, PV - Palo Verde, RV - Rincón de

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la Vieja, Dir - Diríá, CB - Cabo Blanco.



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439 Fig. 3. Observed number of forest dependent species and the expected number corrected by area
440 for five forest fragments in northwestern Costa Rica: SR - Santa Rosa, PV - Palo Verde, RV -
441 Rincón de la Vieja, Dir - Diría, CB - Cabo Blanco.