

# Neural representation of face familiarity in an awake chimpanzee

Evaluating the familiarity of faces is critical for social animals as it is the basis of individual recognition. In the present study, we examined how face familiarity is reflected in neural activities in our closest living relative, the chimpanzee. Skin-surface event-related brain potentials (ERPs) were measured while a fully awake chimpanzee observed photographs of familiar and unfamiliar chimpanzee faces (Experiment 1) and human faces (Experiment 2). The ERPs evoked by chimpanzee faces differentiated unfamiliar individuals from familiar ones around midline areas centered on vertex sites at approximately 200 ms after the stimulus onset. In addition, the ERP response to the image of the subject's own face did not significantly diverge from those evoked by familiar chimpanzees, suggesting that the subject's brain at a minimum remembered the image of her own face. The ERPs evoked by human faces were not influenced by the familiarity of target individuals. These results indicate that chimpanzee neural representations are more sensitive to the familiarity of conspecific than allospecific faces.

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## 16 Introduction

17 As social animals, primates rely on face recognition to identify individuals and recognize  
18 their emotional states. Until recently, vast knowledge of the primate visual system has been  
19 primarily obtained from the brains of macaque monkeys and humans. Previous research has  
20 suggested that the ventrolateral visual areas are central for face recognition both for monkeys and  
21 humans (Perrett, Rolls, & Caan, 1982; Tsao, Freiwald, Knutsen, Mandeville, & Tootell, 2003).  
22 However, the neural mechanisms responsible for face recognition in our closest living species,  
23 the chimpanzee, remain relatively unknown. Examining these mechanism would shed new light  
24 on the physiological and evolutionary origins of human social cognition. Behavioral studies of  
25 chimpanzees have revealed that face stimuli attract their visual attention, just as in humans,  
26 indicating the importance of face perception for chimpanzees (Kano & Tomonaga, 2009). It has  
27 been further reported that chimpanzees process face configurations in a similar manner to humans  
28 (Hirata, Fuwa, Sugama, Kusunoki, & Fujita, 2010; Parr, 2011; Tomonaga, 2007), they understand  
29 conspecific facial expressions (Parr, 2003), and they have sophisticated abilities to identify  
30 individuals in terms of kinship detection (Parr & de Waal, 1999).

31 Direct examinations of the chimpanzees' neural activities have also been conducted since the  
32 1960s (Adey, Kado, & Rhodes, 1963; Boysen & Berntson, 1985), and are currently a growing  
33 research topic (Fukushima et al., 2010; Hirata et al., 2013; Parr, Hecht, Barks, Preuss, & Votaw,

34 2009; Rilling et al., 2007; Taglialatela, Russell, Schaeffer, & Hopkins, 2008, 2009; Ueno et al.,  
35 2008, 2010). In particular, several research groups have reported neural examinations of  
36 chimpanzee visual processing (Boysen & Berntson, 1985; Fukushima et al., 2010; Parr et al.,  
37 2009). For instance, Parr and colleagues (Parr et al., 2009) conducted a functional neuroimaging  
38 study of chimpanzee face processing using positron emission tomography (PET). In their study,  
39 the subject chimpanzees were given an isotope marker and performed a matching task with  
40 conspecific faces and objects, and they were then sedated before their brains were scanned. Their  
41 results explicitly revealed that the chimpanzees recruit similar neurocognitive substrates to  
42 humans for face perception. However, measurements of neural metabolism using methods such  
43 as PET imaging are poor metrics of the temporal aspects of the neural processing. Furthermore,  
44 non-invasive measurements of primates' brains often require sedation (Boysen & Berntson, 1985;  
45 Parr et al., 2009; Rilling et al., 2007; Taglialatela et al., 2008, 2009), and this severely limits real-  
46 time measurement of their cognitive functions, particularly for elucidating socio-emotional  
47 responses.

48 We have developed a protocol of non-invasive measurement of electrophysiological activities  
49 in an adult female chimpanzee by means of scalp event-related potentials (ERPs) while she is  
50 fully awake (Fukushima et al., 2010; Hirata et al., 2013; Ueno et al., 2008, 2010). Using this  
51 protocol, we previously revealed neural evidence that this chimpanzee discriminates human faces

52 from other categories of objects, as there was a divergence of ERPs in response to face stimuli at  
53 approximately a 100 ms latency at posterior temporal regions (Fukushima et al., 2010).  
54 Furthermore, we demonstrated that chimpanzee neural activities are influenced by observing  
55 emotional expressions of conspecifics with an approximately 210 ms latency (Hirata et al., 2013).

56 Along this line of research, one issue to be further clarified is the influence of experience on  
57 face processing. Particularly, *familiarity* is considered to be the basis of individual recognition  
58 and an important factor in the modulation of social behaviors, by means of in-group and out-  
59 group differentiation, for example. Human ERP studies show that a negative deflection around  
60 140–200 latency (‘N170’) reflects a primal stage of face processing (Bentin, Allison, Puce, Perez,  
61 & McCarthy, 1996; George, Evans, Fiori, Davidoff, & Renault, 1996). Importantly, it has been  
62 shown that the effects of facial familiarity appear in latencies later than 200 ms after stimulus  
63 onset. That is, they appear later than the initial processing of basic facial configuration (Bentin &  
64 Deouell, 2000; Eimer, 2000; Henson et al., 2003; Schweinberger, Pfütze, & Sommer, 1995).  
65 Neuroimaging studies have further elucidated that facial familiarity modulates not only ventral  
66 visual areas, but also distributed networks, including affective and memory-related regions,  
67 including the amygdala and medial parietal areas (Gobbini & Haxby, 2007; Natu & O’Toole,  
68 2011). Concerning chimpanzees, behavioral studies have revealed that familiarity with the target  
69 enhances identification of individuals (Parr, Siebert, & Taubert, 2011), but neural responses to

70 familiarity during face perception remain unknown.

71 Based on these studies, the primary aim of the present study was to examine the effects of  
72 familiarity on neural activities during face processing. The first experiment in this study  
73 compared ERPs during the perception of familiar and unfamiliar conspecific faces. As a topic  
74 somewhat related to familiarity perception, *self-face* recognition in chimpanzees is a research  
75 topic that has received a great deal of attention (Bard, Todd, Bernier, Love, & Leavens, 2006;  
76 Gallup, 1970; Povinelli, 1987). The subject chimpanzee in the present study showed evidence of  
77 mirror self-recognition, as indicated by self-exploratory behaviors of her own body while  
78 watching a mirror (Hirata, unpublished data). Although the present study primarily examined the  
79 effects of familiarity on face processing, the experiment also included an image of the subject's  
80 own face as a stimulus category, as a first preliminary investigation of neural correlates of self-  
81 perception in the chimpanzee.

82 It is also known that the *species* shown in a target stimulus can influence visual processing of  
83 the displayed faces. However, whether or not primates have a specialized mechanism for  
84 perceiving the faces of conspecifics is controversial (Martin-Malivel & Okada, 2007; Parr,  
85 Heintz, & Pradhan, 2008). Among previous behavioral studies in chimpanzees, some findings  
86 have suggested differential perception between conspecific and allospecific faces (Dahl,  
87 Wallraven, Bulthoff, & Logothetis, 2009; Fagot & Tomonaga, 1999; Fujita, 1990; Hattori, Kano,

88 & Tomonaga, 2010; Parr, Dove, & Hopkins, 1998; Parr, Heintz, & Akamagwuna, 2006; Parr et  
89 al., 2011; Tomonaga, Itakura, & Matsuzawa, 1993), but some research has also suggested that  
90 chimpanzees process human faces in a similar manner to conspecific faces (Kano & Tomonaga,  
91 2009; Martin-Malivel & Okada, 2007; Tomonaga, 2007). These inconsistent findings from  
92 behavioral studies motivate further elucidation of the species dependency of face processing by  
93 identifying the neural responses to conspecific and non-conspecific faces and examining possible  
94 differences in their patterns. Therefore, in the second experiment in this study, we presented the  
95 subject with faces of allospecific humans, and compared the ERP responses with familiar and  
96 unfamiliar human faces, to examine whether chimpanzee brain activity is differentially  
97 responsive to the familiarity of conspecific and allospecific faces.

## 98 **Materials and Methods**

### 99 *Subject*

100 The subject was a female chimpanzee (*Pan troglodytes*) named Mizuki, who was housed at  
101 the Great Ape Research Institute of Hayashibara Biochemical Laboratories, Inc., Okayama,  
102 Japan. She was raised by human caregivers from a few days after birth. Since the subject arrived  
103 at the Great Ape Research Institute when she was 2 years and 1 month old, she has spent the

104 majority of her time with other chimpanzees in outdoor and indoor compounds. At the time of  
105 experimentation, the subject was 10–11 years old and living in the institute with other group  
106 members (two were male, two were female, and one was an infant). At that time, the subject had  
107 undergone other behavioral cognitive experiments (Hirata & Fuwa, 2007; Idani & Hirata, 2006)  
108 and earlier ERP experiments (Fukushima et al., 2010; Hirata et al., 2013; Ueno et al., 2008,  
109 2010). She had also participated in informal tests of mirror self-recognition, recognition of self-  
110 images on TV monitors, and match-to-sample tasks of the faces of conspecifics (Hirata,  
111 unpublished data). A playground for the chimpanzees in the institute had a small wall covered  
112 with stainless-iron, and it was observed that chimpanzees including the present subject looked at  
113 the reflective wall and made some gestures, such as opening the mouth and looking into it.

114 This research was conducted in accordance with the “Guide for the Care and Use of  
115 Laboratory Animals” of Hayashibara Biochemical Laboratories, Inc., and the Weatherall report,  
116 “The use of non-human primates in research”. The research protocol was approved by the Animal  
117 Welfare and Animal Care Committee of The University of Tokyo and Hayashibara Biochemical  
118 Laboratories, Inc. (GARI-051101).

### 119 *Apparatus and stimuli*

120 The overall methodology basically followed our previous study (Fukushima et al., 2010). The  
121 experimental room consisted of concrete walls, with moderate lighting. The subject sat on a

122 concrete platform and was fully awake during recordings. A 17-inch CRT display (Iiyama  
123 LA702U) was set up in front of her approximately 40 cm away, at the horizontal level of her  
124 head. An infrared video camera was fixed on top of the CRT display to monitor the subject from a  
125 frontal view. We used this camera to check if the subject's gaze was directed to the stimulus  
126 display.

127 Experimental stimuli in Experiment 1 were color photographs of the faces of chimpanzees  
128 (Figure 1). The stimuli consisted of seven chimpanzee faces: three of unfamiliar (out-group)  
129 chimpanzees that were novel to the subject, three of familiar (in-group) chimps, and the one of  
130 the subject's own face. The images were digitally processed in 24-bit color by graphics software.  
131 The stimuli in Experiment 2 consisted of six human faces: three of familiar persons who were the  
132 caregivers of the subject, and three of unfamiliar humans who were novel to the subject. All of  
133 the human models were Japanese subjects in their 30s, and two male subjects and one female  
134 subject were included in both categories. In both experiments, all stimuli were displayed on a  
135 black background with a visual field approximately  $15^\circ$  high and  $13^\circ$  wide. The average  
136 luminance (mean,  $119.29 \text{ cd/m}^2$ ; SD,  $0.73 \text{ cd/m}^2$ ) and number of pixels (mean, 94213; SD, 265)  
137 among stimulus categories were matched, varying less than 0.8%.

138 *Procedure*

139 Experiment 1 was conducted in three recording sessions in separate days, each consisting of  
140 five blocks with 105 trials (1575 trials in total). Experiment 2 was also conducted in three  
141 recording sessions with different days from Experiment 1, each consisting of five blocks with 60  
142 trials (900 trials in total). On each trial of both experiments, one of the stimuli (seven images in  
143 Experiment 1 and six images in Experiment 2) was presented for 500 ms in a pseudo-randomized  
144 order with no consecutive repetition of the same stimulus. Each stimulus was followed by a 700  
145 ms inter-stimulus interval (an empty black screen). To maintain the subject's attention to the  
146 display, occasional images of several objects that were not related to faces or animals (e.g.,  
147 geometric figures or patterns) were also presented randomly at a rate of once every several trials.  
148 Between blocks, the subject was given a rest period of approximately 1 min, which allowed her  
149 to make considerable body movements and to receive fruit rewards. During the recordings, an  
150 experimenter (one of the subject's caregivers) stood beside her to keep her still and facing the  
151 display. The subject's gaze appeared to occasionally avert from the monitor. When this occurred,  
152 another experimenter, who was monitoring the subject's gaze direction, manually added a marker  
153 in the electroencephalography (EEG) data via a keyboard connected to the measurement  
154 computer.

### 155 *ERP recording and analysis*

156 EEG was recorded from Ag/AgCl electrodes attached to five scalp positions (Fz, Cz, Pz, T5,

157 and T6), according to the international 10-20 system for humans. The signals were referenced to  
158 the forehead midline (FPz) and a ground electrode was positioned at the left earlobe (Fukushima  
159 et al., 2010). The electrodes were filled with Quick GEL and impedances were kept below 6 k $\Omega$ .  
160 Signals were amplified by NuAmp-40 and processed by Acquire 4.3 software (NeuroScan Inc.)  
161 with a 1,000 Hz sampling rate. A 0.1–20 Hz band-pass filter (24 dB/oct) was applied in the  
162 offline analysis. All data were segmented into 700-ms epochs, including a 100-ms pre-stimulus  
163 baseline period, based on time markers of the stimulus onset. These epochs were baseline  
164 corrected with respect to the mean amplitude over the 100-ms pre-stimulus period. Epochs that  
165 exceeded  $\pm 60$   $\mu$ V were excluded from the analysis. Epochs that contained ‘non-looking’ markers  
166 described above were also excluded in the analysis. The numbers of epochs accepted for the  
167 analysis were as follows: in Experiment 1, 115, 126, and 52, for unfamiliar, familiar, and self  
168 faces, respectively; in Experiment 2, 121 and 105 for unfamiliar and familiar faces, respectively.

169 In Experiment 1, a successive ANOVA with a single factor of stimulus type  
170 (unfamiliar/familiar/self) was applied along each data point of each channel to test differentiation  
171 among the ERP amplitudes for stimulus categories. In Experiment 2, a successive two-tailed *t*-  
172 test was applied to explore the difference between ERPs to unfamiliar and familiar human faces.  
173 To avoid the detection of spurious differentiation among categories, we considered a time range  
174 of 30 consecutive time points (30 ms) of *p*-values  $< 0.05$  to indicate a significant main effect.

## 175 **Results**

### 176 **Experiment 1**

177 The images of seven chimpanzee faces (three unfamiliar [out-group] chimpanzees, three  
178 familiar [in-group] chimps, and the subject herself; Figure 1) were pseudo-randomly presented  
179 during EEG measurements. ERPs time-locked to the presentation of the face stimuli were  
180 calculated for each category (i.e., unfamiliar/familiar/self).

181 The basic morphologies of the ERP waveforms remarkably resembled those reported in our  
182 previous studies with the same subject (Fukushima et al., 2010; Hirata et al., 2013). The ERPs  
183 from midline sites (Fz, Cz, and Pz) showed three dominant deflections (Figure 2): early negative  
184 components within a 100 ms latency, positive deflections peaking around a 140–150 ms latency,  
185 and a negative slow wave, which was visible after approximately a 200 ms latency. At the lateral  
186 occipitotemporal sites (T5 and T6), additional negative components appeared over the time range  
187 of 100–150 ms.

188 A successive analysis of variance (ANOVA) with a factor of stimulus type  
189 (unfamiliar/familiar/self) was applied along each ERP data point of each channel to test  
190 differentiation among the stimulus categories. A significant main effect of stimulus type was  
191 detected over the midline electrode sites (Fz, Cz, and Pz), as indicated in Figure 2 (all  $F$ -values >

192 3.027 [ $df_1=2$ ,  $df_2 = 290$ ],  $p$ -values  $< 0.05$ ). This differentiation was found in the time range from  
193 approximately a 200 to 450 ms latency, most prominently at the Cz site. The details of the  
194 latencies that differentiated each category at each electrode position are depicted in Table 1. Post-  
195 hoc two-tailed  $t$ -test revealed that the waveforms for unfamiliar faces significantly diverged from  
196 those of familiar and self faces and no differences in the ERPs related to familiar and self faces  
197 were detected (Table 1).

## 198 **Experiment 2**

199 Following Experiment 1, in which we examined the effects of familiarity in ERP responses to  
200 conspecific faces, Experiment 2 was conducted to investigate the same effects of familiarity in  
201 ERP responses to allospecific human stimuli. The images of six human faces (three persons  
202 familiar and three persons unfamiliar to the subject) were pseudo-randomly presented using the  
203 same basic design as in Experiment 1.

204 Figure 3 illustrates ERP waveforms elicited by the images of familiar and unfamiliar human  
205 faces. A successive  $t$ -test ( $df = 224$ ) along each data point of the ERP waveforms on each channel  
206 failed to detect any significant differences in the ERPs related to familiar and unfamiliar human  
207 faces.

## 208 **Discussion**

209 This study examined whether and how the familiarity of faces is reflected in chimpanzee  
210 ERPs. Based on the results of Experiment 1, we propose that familiarity modulates the ERPs  
211 evoked by conspecific faces, differentiating faces of familiar subjects from the subject's own  
212 face. The familiarity effect was observed in a time range later than approximately 200 ms post-  
213 stimulus onset. Our previous study reported that face-specific modulation of the chimpanzee's  
214 visual ERP was initiated as early as around a 100 ms latency in comparison with images of  
215 scrambled faces or non-face objects (Fukushima et al., 2010). Thus, the results of our previous  
216 study and the current study together indicate an early response to face processing and relatively  
217 late modulation by face familiarity. This pattern is consistent with humans studies, in which ERPs  
218 differentiate familiar and unfamiliar faces in a time period *after* the primary processing of face  
219 configuration (i.e., after the occurrence of the N170 component) (Bentin & Deouell, 2000; Eimer,  
220 2000; Henson et al., 2003; Kaufmann, Schweinberger, & Burton, 2009; Miyakoshi, Kanayama,  
221 Nomura, Iidaka, & Ohira, 2008; Paller, Hutson, Miller, & Boehm, 2003), although a few research  
222 groups have reported earlier latencies of this familiarity effect (Caharel et al., 2002; Caharel,  
223 Courtay, Bernard, Lalonde, & Rebai, 2005; Jemel, Schuller, & Goffaux, 2010; Leleu et al., 2010).  
224 It is considered that the familiarity effect basically occurs in later stages of face recognition

225 because it interacts with multiple face-related processing steps (Gobbini & Haxby, 2007).  
226 Interestingly, the latency of the familiarity effect in the present study resembled that of our  
227 previous study of affective processing, in which the ERP differentiation of affective vs. non-  
228 affective pictures initiated about a 210 ms latency (Hirata et al., 2013). Thus, the familiarity effect  
229 in the current study may be partially modulated by affective processing.

230 With respect to its topography, the familiarity effect was observed around the midline  
231 electrode sites (i.e., Fz, Cz, and Pz) centered on the vertex. This result was different from our  
232 previous study (Fukushima et al., 2010), which demonstrated face-specific patterns around  
233 temporal and posterior regions (i.e., T5/T6 as well as Pz electrode sites), suggesting that the  
234 familiarity effect in the current study was modulated by neural substrates not limited to those  
235 specific to face processing. This consideration is again consistent with neuroimaging studies in  
236 human subjects, which indicate that the familiarity effect is subserved by non-visual networks,  
237 such as memory or affective networks (Gobbini & Haxby, 2007; Natu & O'Toole, 2011). Another  
238 related study from our group examined the ERPs of the same subject while they received auditory  
239 presentations of the names of familiar (including her own name) and unfamiliar chimpanzees,  
240 showing that the evoked potentials from fronto-central sites differentiated unfamiliar names from  
241 familiar ones (Ueno et al., 2010). Although the previous auditory study used different reference-  
242 channels (i.e., A1/A2) from the current visual study, it is possible that similar mechanisms

243 subserved familiarity processing across the two studies. Furthermore, a few human ERP studies  
244 of face perception have reported a familiarity effect not only at temporo-occipital sites but also in  
245 regions around fronto-central sites (Caharel et al., 2002; Miyakoshi et al., 2008). It is difficult to  
246 strictly compare ERP topographies from chimpanzees and humans because of structural  
247 differences in terms of the shapes of the bone and muscles of the skull between the species  
248 (Burrows, Waller, Parr, & Bonar, 2006), and these differences may substantially influence scalp-  
249 surface potentials. However, the results of the present experiments are somewhat consistent with  
250 those of previous human studies.

251 It is noteworthy that the ERPs elicited by the subject's own face diverged from those evoked by  
252 unfamiliar but not familiar faces of conspecifics in Experiment 1. Human studies have shown that  
253 self-face perception is reflected in the modulation of face-evoked ERPs, although the latency and  
254 topography of this effect are somewhat inconsistent among reports (Caharel et al., 2002; Keyes,  
255 Brady, Reilly, & Foxe, 2010; Ninomiya, Onitsuka, Chen, Sato, & Tashiro, 1998; Sui, Zhu, &  
256 Han, 2006). In our previous study mentioned above, the ERPs from the same subject chimpanzee  
257 showed specific patterns in their response to the auditory presentation of the subject's own name  
258 with a 500 ms latency, suggesting that the chimpanzee brain at least differentiated this self-  
259 relevant stimulus (Ueno et al., 2010). The reason why the current study failed to detect self-  
260 specific patterns in terms of visual face recognition may be quantitative differences in the amount

261 of exposure to this stimulus. Auditory symbols had been much more frequently used in the  
262 subject's communication with human caregivers. As for the visual recognition of self-image, the  
263 subject chimpanzee was exposed to the image of its own face in a few experimental sessions  
264 (Hirata, unpublished), and she also had occasional access to visual images of her own face (see  
265 Methods). However, the visual input of her own face may not be sufficient to differentiate ERPs  
266 related to self and familiar non-self faces. This study at least suggests that experience with self-  
267 face perception can influence neural representations of the self-face by shifting it toward that of  
268 "familiar" individuals. In other words, we cannot claim based on our results that the subject  
269 chimpanzee recognized an image of her own face as representing herself, but we can claim that  
270 the subject's brain did respond differently to her own face. Determining whether there is a  
271 chimpanzee neural signature of self-face recognition requires further investigation using  
272 matching experience with the stimuli, and examination of the correspondence between neural  
273 activities and measures of self-oriented behaviors.

274 In contrast to the responses to the chimpanzee faces, ERPs to human faces did not  
275 differentiate familiar and unfamiliar individuals (Figure 2). This result suggests that modulation  
276 of face familiarity in the chimpanzee visual system depends on the species displayed in the target  
277 stimulus; that is, the chimpanzee was more sensitive to familiarity of conspecific faces than  
278 allospecific faces. Behavioral studies in non-human primates have shown that monkeys have a

279 relatively robust preference for conspecific faces despite substantial exposure to other species,  
280 even when they lack visual experiences of conspecific faces (Fujita, 1993; Martin-Malivel &  
281 Okada, 2007; Sackett, 1966). Chimpanzees have also been shown to have self-species superiority  
282 in social cognition, in terms of, for example, fast face recognition (Parr et al., 1998; Tomonaga et  
283 al., 1993) and attracting initial looking at a conspecific face (Hattori et al., 2010). Therefore, the  
284 differences between chimpanzee vs. human faces in the current study are in line with those  
285 reports, and can be further assumed to suggest that visual face processing is an inherent property  
286 of chimpanzees. However, in parallel to this view, a few studies have suggested the presence of  
287 flexible modulation of cross-species face perception in chimpanzees. For example, captive  
288 chimpanzees show similar configural processing to human faces as to conspecific faces (Parr et  
289 al., 1998), and chimpanzees reared by humans show better discrimination of human faces than  
290 chimpanzee faces (Martin-Malivel & Okada, 2007). Furthermore, recent studies have explicitly  
291 examined the effects of experience on cross-species face processing, showing that young  
292 chimpanzees predominantly process conspecific faces, whereas old chimpanzees predominantly  
293 process human faces (Dahl, Rasch, Tomonaga, & Adachi, 2013a, 2013b). Their mathematical  
294 simulations predicted that this shift in face processing occurs around the age of 15 years in  
295 chimpanzees (Dahl et al., 2013a). The subject of our study was 10–11 years old at the time of the  
296 experiments, thus it is reasonable to speculate that she still had a dominant sensitivity to  
297 conspecific faces, and this was reflected in the ERP responses. Such flexibility is also observed in

298 humans, as it has been shown that human infants have a bias to conspecific faces (de Haan,  
299 Pascalis, & Johnson, 2002), but it may be also flexible as human adults perceive chimpanzee  
300 faces with a quite similar process to that used for use of human faces (Carmel & Bentin, 2002).  
301 Further investigations of face processing mechanism of primates should take into account  
302 interactions between innate tendencies and acquired properties (de Haan, Humphreys, & Johnson,  
303 2002).

304 .Finally, we should be cautious of a couple of issues when interpreting the present findings.  
305 First, it may be the case that neural differentiation of human familiarity did occur in Experiment 2  
306 but it was so small that it was below the limit of detection of EEG or occurred in a manner that is  
307 not amenable to measurement by cross-trial averaging of scalp-surface potentials (Luck, 2005;  
308 Tallon-Baudry & Bertrand, 1999). Therefore, it is still premature to conclude that the chimpanzee  
309 is differentially sensitive to the conspecific and allospecific faces. Second, as pointed out in our  
310 earlier discussion of the response to the self-face image, it is also possible that our results simply  
311 reflect quantitative differences in the amount of exposure to the target categories. The present  
312 subject was reared by humans, and interacted every day with the human staff whose faces were  
313 presented as the stimuli of the “familiar” category in Experiment 2. Thus, we suggest that the  
314 subject was very familiar with these human faces, and it is valid to define the set of pictures  
315 depicting her caregivers as the “familiar” category for this subject. However, the amount of

316 exposure to the familiar chimpanzees and humans, particularly the total amount of time  
317 previously spent looking at their faces, could not be exactly and quantitatively matched in this  
318 study. In common with the topic of self-face recognition, the current study was a preliminary  
319 attempt to clarify the effects of familiarity on face processing and cross-species differences in  
320 these effects. Further examinations of how experience modulates face perception should apply  
321 strict control over exposure to the target stimuli and behavioral indices.

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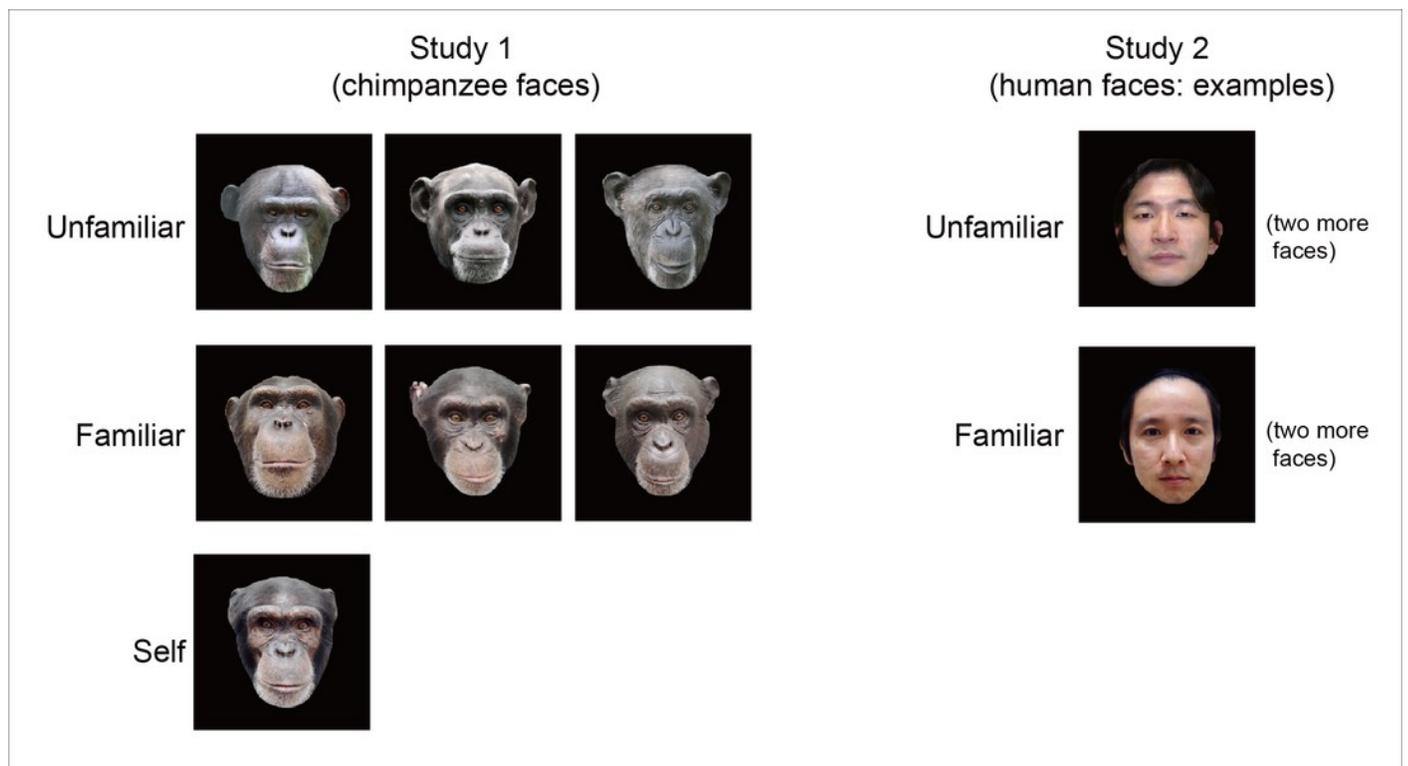
478 **Acknowledgments**

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480 Tokyo.

# Figure 1

Figure 1

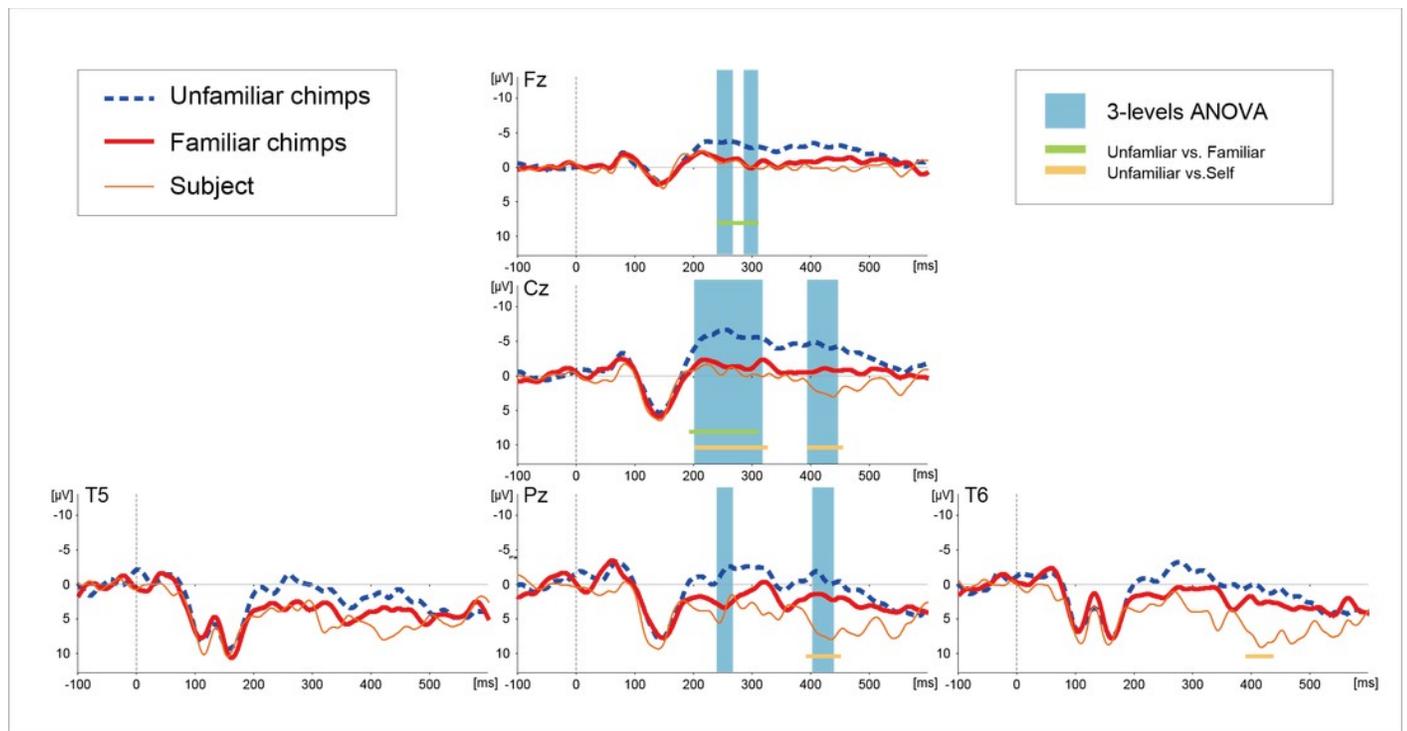
**Stimulus images used in the present study.** In Experiment 1, the subject was presented seven images of chimpanzee faces in the three categories of unfamiliar, familiar, and self-images. In Experiment 2, the subject was presented six images of human faces with unfamiliar and familiar categories. Note that only one example of each category is shown for Experiment 2 for privacy protection.



# Figure 2

Figure 2

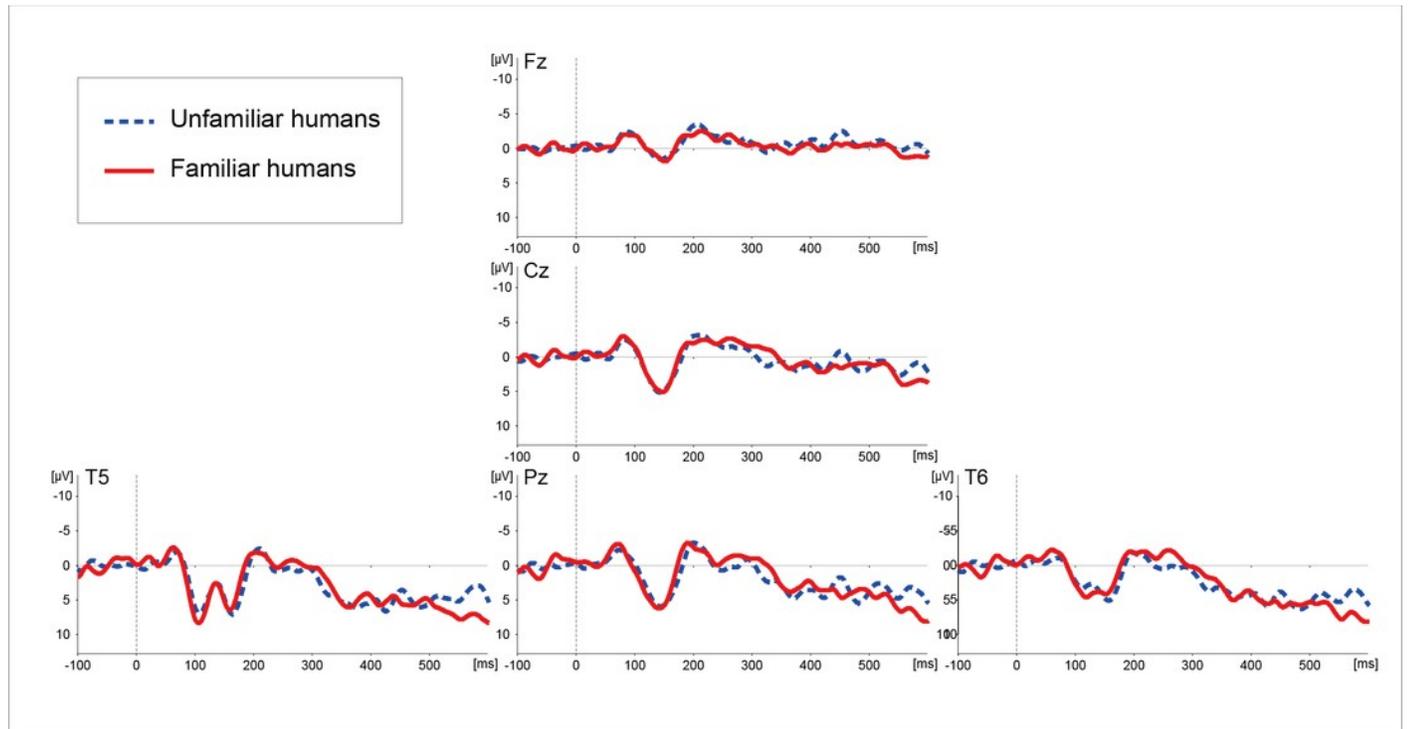
**Averaged ERP waveforms elicited by images of unfamiliar and familiar conspecific faces as well as the subject's own face in Experiment 1.** The blue-shaded squares overlaid on the waveforms show the periods of statistically significant main effects of stimulus category. The solid lines below the waveforms show the periods of significant difference between unfamiliar and familiar (green) and unfamiliar and self (orange) images.



# Figure 3

Figure 3

**Averaged ERP waveforms elicited by images of unfamiliar and familiar human faces in Experiment 2.**



## Table 1 (on next page)

Table 1

Time ranges where ERPs for each category differed significantly in Experiment 1 (post stimulus latencies in ms).

Test	Electrodes	Time ranges of statistical significance
3-levels ANOVA	Fz	232 - 269, 286 - 309
	Cz	201 - 317, 393 - 445
	Pz	232 - 269, 402 - 438
Unfamiliar vs. Familiar	Fz	232 - 310
	Cz	192 - 309
Unfamiliar vs. Self	Cz	201 - 325, 392 - 453
	Pz	396 - 453
	T6	393 - 434