

Transcranial sonography findings related to depression in parkinsonian disorders: cross-sectional study in 126 patients

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Background: Transcranial sonography (TCS) has emerged as a potential diagnostic tool for Parkinson's disease. Recent research has suggested that abnormal echogenicity of substantia nigra, raphe nuclei and third ventricle is associated with increased risk of depression among these patients. We sought to reproduce these findings in an ongoing larger study of patients with parkinsonian syndromes.

Methods: 126 patients with parkinsonian symptoms underwent the Hamilton Depression Scale, and TCS of the substantia nigra (SN) (n = 126), the raphe nuclei (RN) (n = 80) and the third ventricle (n = 57). We then calculated correlation between depression and hyper-echogenic SN, hypo-echogenic RN and a wider third ventricle.

Results: In patients with PD we found no significant difference of the SN between non-depressed and depressed patients (46% vs. 22%; $p = 0.18$). Non-depressed patients with other parkinsonisms more often had hyperechogenicity of the SN than depressed patients (51% vs. 0%; $p = 0.01$). We found no relation between depression and the echogenicity of the RN or the width of the third ventricle.

Conclusions: In patients with parkinsonian syndromes we found no association between depression and hyper-echogenic SN, hypo-echogenic RN or a wider third ventricle, as determined by transcranial sonography.

1 Transcranial sonography findings related to
2 depression in parkinsonian disorders: cross-
3 sectional study in 126 patients: cross-sectional
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24 **Abstract**

25

26 **Background** Transcranial sonography (TCS) has emerged as a potential diagnostic tool for
27 Parkinson's disease. Recent research has suggested that abnormal echogenicity of substantia
28 nigra, raphe nuclei and third ventricle is associated with increased risk of depression among
29 these patients. We sought to reproduce these findings in an ongoing larger study of patients with
30 parkinsonian syndromes of recent onset.

31

32 **Methods** 126 patients with parkinsonian symptoms underwent the Hamilton Depression Scale,
33 and TCS of the substantia nigra (SN) (n = 126), the raphe nuclei (RN) (n = 80) and the third
34 ventricle (n = 57). We then calculated correlation between depression and hyper-echogenic SN,
35 hypo-echogenic RN and a wider third ventricle.

36

37 **Results** In patients with PD we found no significant difference in echogenicity of the SN
38 between non-depressed and depressed patients (46% vs. 22%; $p = 0.18$). Non-depressed patients
39 with other parkinsonisms more often had hyperechogenicity of the SN than depressed patients
40 (51% vs. 0%; $p = 0.01$). We found no relation between depression and the echogenicity of the
41 RN or the width of the third ventricle.

42

43 **Conclusions** In patients with recent-onset parkinsonian syndromes we found no association
44 between depression and hyper-echogenic SN, hypo-echogenic RN or a wider third ventricle, as
45 determined by transcranial sonography.

46

47 **Trial registration:** ITRSCC NCT0036819

49 **Background**

50

51

52 Idiopathic Parkinson's disease (PD) is the second most common neurodegenerative disease with
53 a worldwide prevalence of 41 to 1903 per 100,000 (Pringsheim et al. 2014). Diagnosis,
54 especially in the early stages, is difficult, as there is no definitive diagnostic test. Over the last 10
55 years transcranial sonography (TCS) of the substantia nigra (SN) has emerged as a promising
56 tool in this regard. Numerous ultrasound studies have found that a significant percentage of
57 patients with IPD have a typical enlarged area of echogenicity in the substantia nigra (SN+),
58 which is thought to be associated with increased iron concentrations (Vlaar et al. 2009).

59

60 Although PD is mostly known for its motor symptoms, it has now become clear that non-motor
61 symptoms, such as depression, often contribute to the burden of disease (Reijnders et al. 2008).
62 Depression has a major impact on PD patients: depressed PD patients have worse motor
63 function, more cognitive symptoms, and a lower quality of life (Reijnders et al. 2008; Schrag
64 2006; Schrag et al. 2010). The pathogenesis of depression in PD is still unknown. Studies have
65 suggested that the serotonergic raphe nuclei (RN) might be involved (Becker et al. 2001; Chagas
66 et al. 2013; Kostic & Filippi 2011; Leentjens 2004; Palhagen et al. 2008).

67

68 Sonography researchers have thus investigated the RN in PD patients, and reported that its
69 echogenicity was reduced in depressed PD patients compared to non-depressed PD patients and
70 healthy control subjects (Becker et al. 1997; Berg et al. 1999b; Cho et al. 2011; Stankovic et al.
71 2015; Zhang et al. 2015). Additionally, SN hyperechogenicity and a wider third ventricle has
72 also been reported to be associated with an increased risk of depression (Krogias et al. 2011;

73 Walter et al. 2007c; Walter et al. 2010). TCS could be clinically useful, as diagnosing depression
74 in PD patients is difficult (Bouwman & Weber 2012; Poewe & Luginger 1999; Shulman et al.
75 2002).

76

77 We recently finished a prospective cohort study on the diagnostic accuracy of TCS in early
78 parkinsonian patients (Bouwman et al. 2013), and we used this dataset to explore the association
79 between depressive symptoms and echogenic features of the SN, RN, and third ventricle in PD
80 patients.

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84 **Patients and methods**

85 *Design*

86 This study was a cross-sectional study, nested within a prospective cohort study that aimed to
87 test the diagnostic accuracy of TCS of the SN in patients who were referred to a neurologist by
88 their general practitioner (GP) because of recent-onset parkinsonism of unclear origin
89 (Bouwman et al. 2013) The study protocol was published before patient inclusion started (Vlaar
90 et al. 2007). The main finding of the cohort study was that the diagnostic accuracy of the
91 echogenicity of the SN as a diagnostic test for early PD is not sufficient for routine clinical use.
92 The Institutional Review Board (IRB) of Maastricht University Medical Centre approved the
93 study (MEC 05–228, 4 April 2006), which was registered in the ClinicalTrials.gov database as
94 NCT0036819.

95

96 *Patients*

97 We considered 283 consecutive patients with parkinsonism of unknown origin, who were
98 referred to the neurology outpatient clinic of Maastricht University Medical Centre, Maastricht
99 and the Orbis Medical Centre, Sittard, the Netherlands (Presently: Zuyderland Medical Centre).
100 Patients who did not consent or those in whom a definite diagnosis could be made at the first
101 visit (n=42) were excluded from the study. Hence, 241 patients were included. Of these, another
102 69 were excluded: 24 patients who upon examination did not present with clear parkinsonian
103 symptoms or who presented with drug-induced parkinsonism, as well as 45 patients (18.7%) who
104 did not have a sufficient bone window for an adequate TCS examination (See flowchart).

105

106 *Measures*

107 After signing informed consent, all subjects underwent a structured interview and a neurological
108 examination (Bouwman et al. 2013; Vlaar et al. 2007). These tests were performed by a
109 physician not treating the patient and blinded for information in clinical records. Depressive
110 symptoms were measured with the observer-rated 17 item Hamilton Depression Rating Scale
111 (HAMD). This scale has a good reliability and validity, both in PD patients as well as in the
112 general population. (Leentjens et al. 2000; Schrag et al. 2007). The score range is 0 to 52, with
113 scores of 11 and higher suggesting clinically relevant depressive symptoms. Motor symptoms
114 were measured with the Unified Parkinson's Disease Rating Scale (UPDRS-III) (Movement
115 Disorder Society Task Force on Rating Scales for Parkinson's 2003).

116 Within two weeks of inclusion all patients underwent a TCS at the department of Clinical
117 Neurophysiology of one of the two hospitals. In Maastricht University Medical Center,
118 visualization of the RN was included in the TCS protocol from the start of the study. One year
119 later, measurement of the third ventricle was included as well. In the Orbis Medical Center,
120 Sittard, only the SN was visualized.

121 TCS was performed using a SONOS 5500 system (Philips, Eindhoven, the Netherlands). The
122 examination took place in a darkened room with the patient already lying on the examination
123 table before the investigator entered the room, in order to minimize the risk of identification of a
124 patient's clinical signs. Patient and investigator were instructed not to discuss symptoms or
125 diagnoses.

126 TCS was performed bilaterally through the pre-auricular bone window with a 2–4 MHz phased
127 array transducer. The quality of the bone window was scored as good, moderate or inferior.

128 Two different methods were applied for the evaluation of the SN. First, the presence or absence
129 of a clearly visible SN was scored (qualitative method). Second, the SN area was encircled

130 manually and calculated automatically (quantitative method). This was only performed when the
131 hyperechogenicity was located within the anatomical distribution of the SN, meaning that it
132 showed a typical oblique stripe-shaped configuration. Both the right and left SN were measured
133 from both sides.

134 The RN were identified if they met the criteria of an anatomic structure equally echo-intense to
135 the red nucleus and localized in the transverse plane of the midbrain with a length extending
136 from anterior to posterior, not interrupted. Echogenicity of the RN was rated using a visual
137 scoring system resulting in a semi-quantitative assessment. We scored the RN as hypo-echogenic
138 (RN-) when this structure had a reduced echogenicity compared to the surrounding brain
139 structures or when the anatomic structure was interrupted. We scored the RN as hyperechogenic
140 (RN+) on the TCS when it showed as an uninterrupted relatively echo-intense structure. The
141 patient was scanned from both sides because of the bone window variability in quality of
142 visualization of the RN from right to left. We used the best possible result, so if the RN was
143 absent on one side, but visible at the other side, it was scored as hyperechogenic.

144 The transverse diameter of the third ventricle was measured from both sides on a standardized
145 diencephalic examination plane.

146

147 Two years after inclusion, patients were re-examined by two movement disorder neurologists to
148 obtain a final clinical diagnosis, using the official diagnostic criteria for the several parkinsonian
149 disorders (Gilman et al. 2008; Hughes et al. 1992; Litvan et al. 1996; Litvan et al. 1999; McKeith
150 et al. 2005), which served as a gold standard for our study. These investigators were blinded for
151 all test results, and none of the neurologists had seen the patient before. They were asked to
152 interview and examine the patient, as they would normally do during a routine neurologic

153 consultation. The neurologists filled in the same standard form as had been done by the including
154 investigator during the first visit of the patient, which included, among others, the Unified
155 Parkinson's Disease Rating Scale (UPDRS)-III score. Afterwards the neurologists received these
156 scores of the patient at the first visit, so that they could evaluate whether the patient had had any
157 progression on that scale. Each neurologist was then asked to reach a final clinical diagnosis of
158 the parkinsonian syndrome. One investigator compared these scores and when there was no
159 agreement, the two neurologists were asked to discuss these patients using their notes, in an
160 effort to reach agreement on the final diagnosis (Bouwman et al. 2013).

161

163 Statistics

164

165 SPSS 21.0 for Windows was used for the statistical analysis. Comparing categorical variables

166 was done by chi-square test. The two-sample t-test was used for comparing continuous variables.

167 Before performing a post-hoc test, we used the homogeneity of variances to decide which post-

168 hoc test was suitable. When showing a good homogeneity, we chose the Bonferroni or Tamhane

169 T2 test for further analyses, otherwise. P values of < 0.05 were considered significant.

170

171

172 **Results**

173

174 *Patient characteristics*

175 We allocated patients who were eventually diagnosed with essential tremor (ET) in the group of
176 parkinsonism, because of the pathophysiologic resemblance with PD (Adler et al. 2011; Fekete
177 & Jankovic 2011; Louis & Ottman 2013; Shahed & Jankovic 2007; Tan et al. 2008). We had an
178 insufficient bone window in 18.7% of our patients. This is in line with earlier studies that report
179 an insufficient bone window in 10 to 20% of participants, or even up to 59% of women over 60
180 years (Okawa et al. 2007; Walter et al. 2007a).

181

182 Eventually, we were able to obtain interpretable TCS images of the SN in 126 patients with
183 HAMD rating scale scores. We did TCS of the RN in only one of the two hospitals, so in the end
184 we had 80 patients with RN TCS images. Only later on in the study did we start measuring the
185 width of the third ventricle (An amendment to the study protocol was made), so this echo feature
186 was available for only 57 of the 126 patients (See flow chart).

187

188 At follow-up, 72 (57%) patients were clinically diagnosed with PD. 19 (15%) patients had
189 atypical parkinsonian syndromes (APS), such as multiple system atrophy (MSA), progressive
190 supranuclear palsy (PSP), Lewy body dementia (LBD) and corticobasal degeneration (CBD).
191 Nineteen (15%) patients had vascular parkinsonism (VP) and 16 (13%) were diagnosed with ET
192 (See table 1). The subgroups differed significantly on a number of variables, with PD patients
193 being younger and having higher (worse) UPDRS scores (Table 1). The average HAMD scores
194 did not differ between the groups. Sixteen (13%) of the patients had a HAMD \geq 11, indicating

195 clinically relevant depressive symptoms. The percentage of patients with a hyperechogenic SN
196 and the percentage with hypo-echogenic RN, did not differ between the group with PD and the
197 one with other parkinsonisms. The width of the third ventricle was also not significantly different
198 between the two groups.

199

200 *Depression and echo features in PD and other parkinsonisms*

201 Nine (13%) of the 72 patients with PD had a HAMD > 11 versus 7 of the 4 patients with other
202 parkinsonisms. There were no differences in the three TCS features between depressed and non-
203 depressed PD patients. In patients with other parkinsonisms we found a significantly higher
204 frequency of hyperechogenic SN in non-depressed patients (See Table 2). There were no
205 significant differences in echo features of RN and the third ventricle between depressed and non-
206 depressed patients with other parkinsonisms (See figures 1-3).

207

208

209 Discussion

210

211 In this cross-sectional study of 126 early stage parkinsonian patients we did not find any relation
212 between the presence of depressive symptoms and the echogenicity of the SN, RN nor the width
213 of the third ventricle. We found a higher frequency of hyperechogenicity of the SN in the non-
214 depressed patients with other parkinsonisms, but the significance of this remains unclear as it is
215 the result of a posthoc subgroup analysis.

216

217 The major limitation of our study is that it is a secondary analysis of a study that was not
218 powered to detect differences in echogenicity of the SN and RN, or width of the third ventricle
219 resulting from the severity of depressive symptoms. This resulted in a relatively low proportion
220 of patients with clinically relevant depressive symptoms and subgroup analyses were done with a
221 limited number of depressed patients. Because of that, our results must be seen as exploratory
222 and interpreted with caution. Especially the lack of a significant difference in the proportion of
223 hyperechogenicity of the SN between depressed and non-depressed PD patients may be due to a
224 lack of power. Another limitation is the lack of a formal psychiatric assessment to support a
225 diagnosis of depression based on diagnostic criteria. However, a HAMD score ≥ 11 is considered
226 a good indicator of clinically relevant depressive symptoms and has been used to screen for
227 depression in several studies (Leentjens et al. 2000; Reijnders et al. 2010; Schrag 2011; Schrag
228 et al. 2007).

229

230 As the RN are thought to play a role in the pathogenesis of depression, investigators have used
231 TCS to visualize these structures in depressed patients. They found that depressed (Becker et al.
232 1995; Becker et al. 2001; Becker et al. 1994; Walter et al. 2007c; Walter et al. 2007d) and

233 bipolar patients (Krogias et al. 2011) have a reduced echogenicity of the RN compared to healthy
234 controls. Others reported that PD patients with depression have lower RN echogenicity
235 compared to non-depressed PD patients and healthy control subjects (Becker et al. 1997; Berg et
236 al. 1999b; Cho et al. 2011; Stankovic et al. 2015; Walter et al. 2007b; Zhang et al. 2015)
237 suggesting that hypo-echogenicity of the RN may be a sign of (preclinical) dysfunction of the
238 limbic system. However, in our study we could not confirm these findings.

239

240 There are two essential differences between the above five studies that did find an association
241 and ours that did not. Firstly, patient selection: ours was a prospective, registered, study in which
242 consecutive patients were enrolled. The above studies, with the exception of Stankovic's, did not
243 describe how patients were recruited, which might have biased results (Colditz 2010). Another
244 difference is disease duration of the included patients: with the exception of Cho's study, all
245 included patients with longer disease duration, up to 17 yrs. even (Berg et al. 1999a). This
246 approach is useful in pilot experiments, but when one wants to assess diagnostic accuracy of a
247 technique it is preferable to include patients who have not yet been definitely diagnosed
248 (Bachmann et al. 2009).

249

250 Some studies have suggested a relation between the width of the third ventricle and the presence
251 of depression (Krogias et al. 2011), as enlargement of the third ventricle may be a reflection of
252 the atrophy of the surrounding structures (Hendrie & Pickles 2010). We were also not able to
253 confirm these findings.

254

255 In a meta-analysis we did on TCS in parkinsonian syndromes we found that in 7 retrospective
256 studies a decreased echo-intensity of the RN was found more often in depressed (46%) than in
257 non-depressed IPD patients (16%). Our present study does not accord with that observation. We
258 hypothesize that one of the main reasons for this is publication bias, where negative studies on
259 TCS tend not to be published. We did not formally test that in our meta-analysis, but another
260 example is our recent negative study on the accuracy of TCS to diagnose IPD. Many studies
261 (Becker et al. 1995b; Berg et al. 2001; Gaenslen et al. 2008; Huang et al. 2007; Kim et al. 2007;
262 Mehnert et al. 2010; Ressner et al. 2007; Spiegel et al. 2006; Walter et al. 2002) found a striking
263 association between a hyperechogenic SN and the diagnosis of PD, but in a carefully designed
264 and executed diagnostic accuracy study we could not confirm these results (Bouwman et al.
265 2013).

266

267 In conclusion, in early stage parkinsonian patients we did not find any relation between the
268 presence of depressive symptoms and the echogenicity of the SN, RN nor the width of the third
269 ventricle. At present this technique has limited diagnostic value to diagnose or predict depression
270 in parkinsonian patients.

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Table 1 (on next page)

Patient characteristics divided by final diagnoses

PD= Parkinson's disease; UPDRS = Unified Parkinson's Disease Rating Scale;
DP+= depression present/ having a total score of the HAMD of 11 or more, SN+=
hyperechogenic substantia nigra; RN-= hypo-echogenic raphe nuclei [b]

1

	PD (n=72)	Other parkinsonisms (n=54)	P value
Age, years (SD)	68.6 (9.2)	72.2 (9.3)	0.03
disease duration ,months (SD)	30.1 (47.1)	41.7 (41.4)	0.15
UPDRS total score,mean (SD)	24.5 (10.6)	10.6 (15.6)	0.06
UPDRS motor score,mean (SD)	13.2 (5.7)	15.0 (7.8)	0.16
HAMD,mean, (SD)	4.6 (5.5)	5.8 (5.5)	0.25
DP+ %	12.5	13.0	0.94
SN+ %	43.06	44.4	0.88
RN- %	21.7	17.7	0.65
Third ventricle width,mm	5.4	5.3	0.90

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Table 2 (on next page)

Patient characteristics divided by final diagnosis

PD= Parkinson's disease; UPDRS = Unified Parkinson's Disease Rating Scale;
DP+= depression present/ having a total score of the HAMD of 11 or more, SN+=
hyperechogenic substantia nigra; RN-= hypo-echogenic raphe nuclei

1

	PD without depression (n=63)	PD with depression (n=9)	P value	Other parkinsonisms without depression (n=47)	Other parkinsonisms with depression (n=7)	P value
Mean age, years (SD)	69.8 (8.8)	63.9 (10.9)	0.10	71.9 (9.4)	74.3 (9.4)	0.54
Mean duration complaints, months (SD)	32.0 (49.8)	16.4 (14.6)	0.36	44.7 (42.7)	21.4 (24.8)	0.17
UPDRS total score, mean (SD)	24.2 (10.3)	26.7 (12.8)	0.82	27.5 (14.3)	42.8 (19.7)	0.02
UPDRS motor score, mean (SD)	13.3 (5.4)	12.8 (8.0)	0.82	14.3 (7.5)	20.8 (8.4)	0.05
HAMD, mean(SD)	3.0 (3.2)	16.1 (4.4)	0.00	4.1 (2.7)	17.0 (6.5)	0.00
SN+ %	46.0	22.2	0.18	51.1	0	0.01
RN- %	21.1	25.0	0.81	20.0	0	0.32
Third ventricle width,mm (SD)	5.4 (1.9)	5.5 (3.0)	0.84	5.3 (2.5)	5.3 (1.7)	0.95

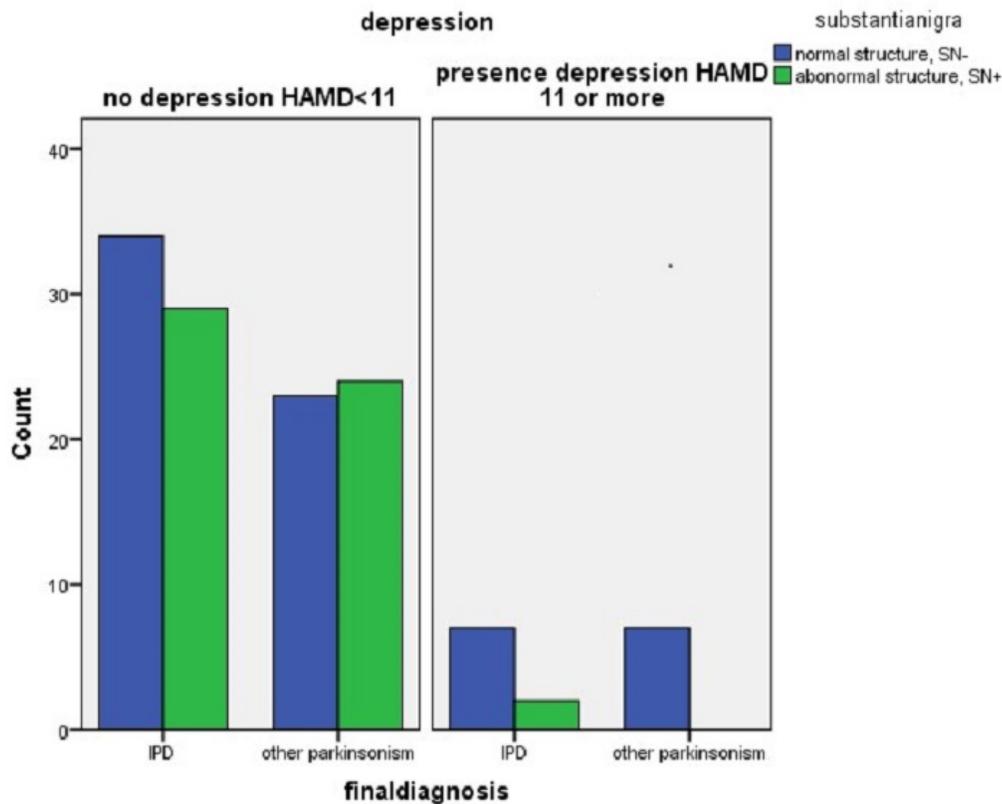
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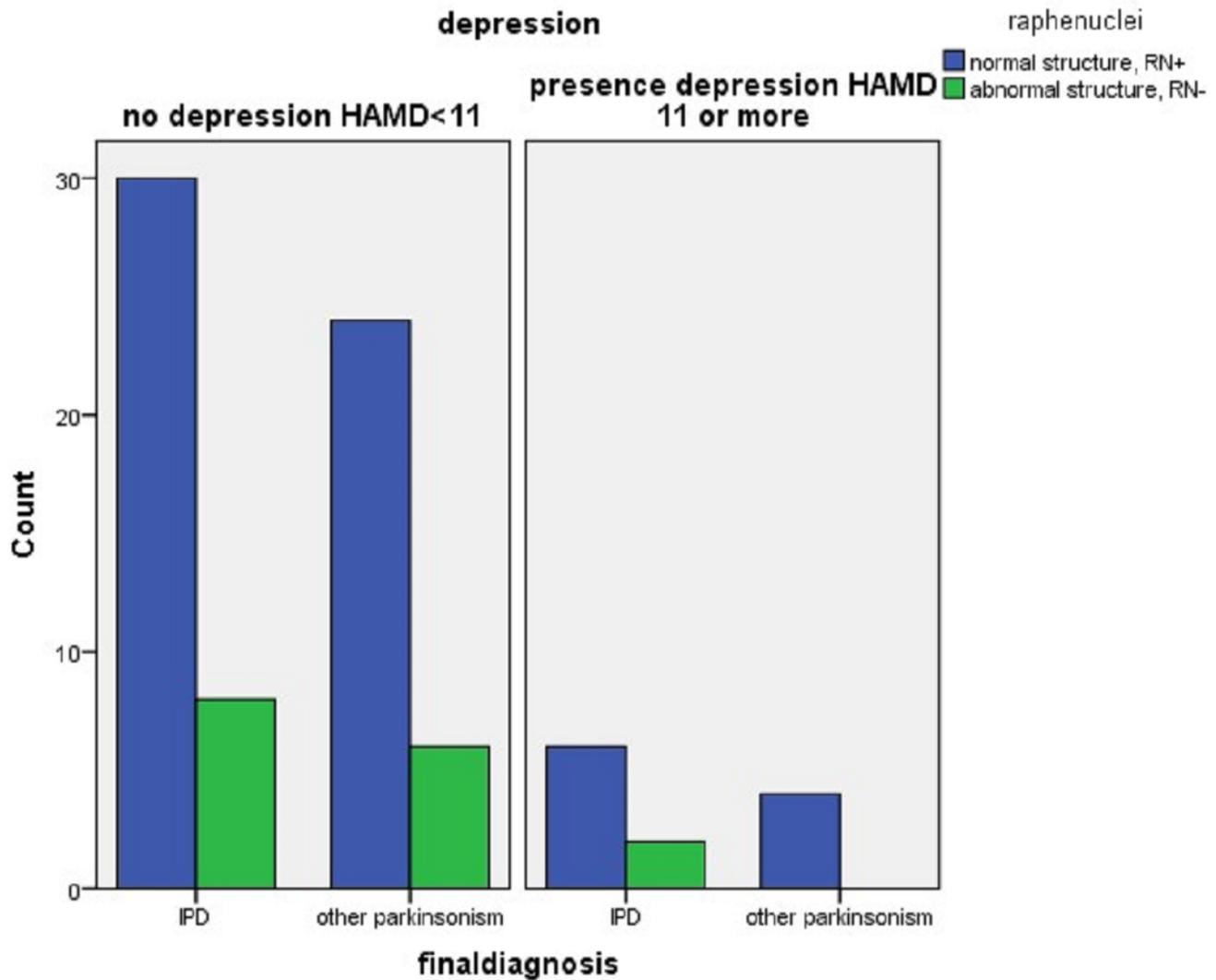
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Division of echogenicity of the substantia nigra between patients with (n=16) and without a depression (n=110) divided by diagnosis IPD (n=72) and other parkinsonisms (n=54)



2

Division of echogenicity of the raphe nuclei between patients with (n=12) and without a depression (n=68) divided by diagnosis IPD (n=46) and other parkinsonisms (n=34



3

Division of width of third ventricle between patients with (n=10) and without a depression (n=47) divided by diagnosis IPD (n=31) and other parkinsonisms (n=26)

