A cross-sectional questionnaire study of the rules governing pupils' carriage of inhalers for asthma treatment in secondary schools in North East England

Wendy Funston, Simon J Howard

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The primary objective of this study was to assess the rules governing secondary school pupils' carriage of inhalers for emergency treatment of asthma in the North East of England.

Design

This study was based upon a postal questionnaire survey.

Setting

The setting for this study was mainstream free-to-attend secondary schools which admit 16 year old pupils within the 12 Local Authority areas which make up the North East of England.

Participants

All 153 schools meeting the inclusion criteria were invited to participate in the study, of which 106 (69%) took part.

Main outcome measures

Our three main outcome measures were: whether pupils are permitted to carry inhalers on their person while at school; whether advance permission is required for pupils to carry inhalers, and from whom; and whether the school has an emergency 'standby' salbutamol inhaler for use in asthma emergencies, as permitted since October 2014 under recent amendments to The Human Medicines Regulations 2012.

Results

Of 98 schools submitting valid responses to the question, 99% (n=97) permitted pupils to carry inhalers on their person while at school; the remaining school stored pupils' inhalers in a central location within the school. 22% of included schools (n=22) required parental permission before pupils were permitted to carry inhalers. Of 102 schools submitting valid responses to the question, 44% (n=45) had purchased a 'standby' salbutamol inhaler for use in asthma emergencies.

Conclusions

Most secondary schools in North East England permit pupils to carry inhalers on their person. The requirement in a minority of schools for parental permission to be given possibly contravenes the standard ethical practices in clinical medicine for children of this age. Only a minority of schools hold a 'standby' salbutamol inhaler for use in asthma emergencies. Wider availability may improve outcomes

for asthma emergencies occurring in schools.

1   **A cross-sectional questionnaire study of the rules governing pupils' carriage of**

2   **inhalers in secondary schools in North East England**

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44 salbutamol inhaler for use in asthma emergencies.

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46 **Conclusions**

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48 requirement in a minority of schools for parental permission to be given possibly contravenes the

49 standard ethical practices in clinical medicine for children of this age.

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51 Only a minority of schools hold a 'standby' salbutamol inhaler for use in asthma emergencies. Wider

52 availability may improve outcomes for asthma emergencies occurring in schools.

53

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Introduction

55

56 Asthma is the most common long term condition in childhood in the UK,[1] with 1.1 million children

57 currently receiving treatment.[1] The National Review of Asthma Deaths [2] found that 14% of all

58 asthma deaths in the UK occurred in those aged under 20 years, and 75% of asthma deaths in this age

59 group occurred outside of hospital. In addition to a high burden of mortality, asthma accounts for large

60 numbers of hospital admissions: in the twelve months to May 2014, there were 54,300 emergency

61 hospital admissions for asthma, of which 20,510 (38%) were in children.[3] Up to 70% of emergency

62 asthma admissions may be preventable with appropriate early interventions. [1]

63 The ability to improve outcomes in young people who suffer acute exacerbations of asthma in the

64 community depends on high quality emergency care in settings such as schools, where young people

65 spend a large proportion of their time. Indeed, Asthma UK estimates that two thirds of children with

66 asthma aged 5 to 18 years have experienced an asthma attack at school. [4]

67 The unpredictable nature of asthma requires that those aiming to deliver high quality community care

68 recognise acute exacerbations and promptly initiate acute medical management. In most cases, initial

69 medical management will include use of a short acting bronchodilator delivered via an inhaler. Hence, if

70 the exacerbation is to be controlled, rapid access to inhaler medication is a prerequisite.

71 Some variation in the method of achieving ready access to inhaler medication is to be expected since

72 access will be affected by the wider context of school layout and competing policies. However, for older

73 children, a simple method of facilitating ready access is to allow pupils to carry inhaler medication with

74 them. The age at which a child achieves the maturity necessary to responsibly carry their own

75 medication will vary from child to child. In England and Wales, under section 8 of the Family Law Reform

76 Act 1969, [5] those aged 16 years are presumed to be capable of consenting to their own medical

77   treatment and any ancillary procedures involved in that treatment (though refusal of treatment may, in

78   certain circumstances, be overridden by a person with parental responsibility or a court of law). As a

79   result, it is probably reasonable for schools to presume that children aged 16 years are responsible

80   enough to carry their own emergency asthma inhalers.

81   Under statutory guidance introduced in 2014, [6] schools are responsible for ensuring that appropriate

82   policies are in place to support children with medical conditions. However, anecdotal evidence suggests

83   that schools have adopted a variety of approaches to supporting children with asthma. In particular, the

84   rules regarding storage of inhalers appear to vary, ranging from inhalers being locked in a central

85   location to pupils being permitted to keep inhalers in their pockets. Location of reliever medication

86   forms a key part of its level of accessibility in an emergency situation. Indeed, there have been a number

87   of media reports of children in the UK [7, 8] and elsewhere [9, 10] dying or becoming seriously unwell as

88   a result of an asthma attack in school, with reports citing a lack of ready access to inhaler medication as

89   a contributory factor. However, there is little published data describing the variation in practice. Having

90   a better understanding of the rules governing carriage of inhalers in schools may highlight areas in which

91   asthma management can be improved for young people.

92   As a result of extensive campaigning on the issue of inhaler availability in schools, the UK Government

93   laid legislation before Parliament in July 2014 [11] to amend The Human Medicines Regulations 2012

94   [12] to allow schools to purchase emergency salbutamol inhalers. Such inhalers are for the use of

95   children diagnosed with asthma and prescribed an inhaler, where parents have given written permission

96   for the emergency inhaler to be used. The legislation [11] permitted schools to purchase emergency

97   inhalers from 1 October 2014. This regulatory change may represent a useful step in facilitating access

98   to emergency asthma treatment in schools; however, there is no published data on the number of

99   schools who have availed of this new power, and so no assessment of the impact of the legislation is

100   possible.

101   This study aims to contribute data to two substantial knowledge gaps in asthma policy. Firstly, we assess

102   the rules governing carriage of inhalers in secondary schools in North East England in aiming to gain a

103   better appreciation of current practice. Secondly, we assess the proportion of secondary schools in

104   North East England which have taken the opportunity to purchase an emergency salbutamol inhaler.

105   **Methods**

106   We compiled a list of all free-to-attend schools within the 12 Local Authorities in North East England

107   using listings on Local Authority websites between October 2014 and February 2015. We reviewed the

108   website belonging to each school, or (when no accessible website could be located) the latest Ofsted

109   report. Schools whose website or Ofsted report indicated that they served 16-year-old mainstream

110   pupils were included in our sample population.

111   We limited our study to schools which served 16-year-old mainstream pupils in order to aid

112   interpretation of our results. Given the presumption of capacity to accept medical treatment in this age

113   group, we felt that it was reasonable to presume capacity to carry inhalers in mainstream pupils in this

114   age group. In addition, we excluded schools serving only pupils with special educational needs and

115   educational institutions other than schools (such as Local Authority 'pupil referral units' for children with

116   behavioral difficulties). Without these restrictions applied, results would be difficult to interpret: a

117   policy allowing pupils to carry their own inhalers in a school catering only for young children or those

118   with learning or behavioral difficulties may be considered irresponsible. We also excluded fee-paying

119   schools as we were unable to locate a complete listing of these.

120   We undertook an initial pilot study in one Local Authority. Where available, the school policy governing

121   inhaler carriage was accessed via the school website. As few schools had an available online policy, we

122   sent emails to invite the remainder to supply their policy by email. As few schools responded to emails,

123   we sent postal letters to invite the remainder to complete a brief online or postal questionnaire

124   describing their school policy. Each questionnaire response was considered and categorised by both

125   authors.

126   Since postal letters resulted in the highest response rate in the pilot phase, all included schools in the 11

127   remaining Local Authorities were invited by postal letter to complete an online or postal questionnaire.

128   Postal letters were addressed to the head teacher of each school. The questionnaire consisted of two

129   questions. The first question asked the responder to select a response describing the school's policy

130   regarding storage or carriage of inhalers for asthma. A free text box was also provided with this question

131   to enable responders to describe any other arrangements for carriage of inhalers that did not feature in

132   the prepared list of options. The second question asked if the school had an emergency salbutamol

133   inhaler for use by any pupil in an asthma emergency. The questionnaire did not specify any age group of

134   pupils to which the policies applied. A copy of the questionnaire is available as a supplementary file.

135   On receipt of the questionnaires, both authors considered the responses and entered the data into a

136   central database. Discussion was used to reach a consensus where responses were not clear cut (such as

137   where multiple responses were selected, and had to be considered in conjunction with clarifying free

138   text). Responses which the authors felt unable to reliably interpret (for example, where multiple

139   mutually exclusive responses were given) were categorised as invalid.

140   Data was collected during the period November 2014 to May 2015.

141   Ethical approval was not required for this research as it was based solely on collation of policy

142   information.

143 **Results**

144 Our review of 12 local authority websites found 1,133 free-to-attend schools for consideration. The

145 number of schools per local authority ranged from 35 to 275 (mean 94.4). On review of the schools'

146 websites, 980 of these (86%) were excluded from further consideration in this study. The majority of

147 these (n=915, 93%) were excluded as the schools did not accept pupils aged 16 years. The remaining

148 exclusions were due to: the school serving only pupils with special educational needs (n=55, 6%); the

149 institution being an educational institution other than a school, such as a Local Authority pupil referral

150 unit (n=9, 1%); or the school being a fee-paying private school mis-categorised on the Local Authority

151 website (n=1, 0%). Hence, the policies of 153 schools were included in this study, with the number per

152 local authority ranging from 5 to 31 (mean 12.7).

153 Of 153 schools, we received responses from 106 (69%). 100 (94%) of these responses were received as

154 postal questionnaire responses; 3 (3%) as electronic questionnaire responses; and 3 (3%) were answers

155 derived by the authors from reviewing school policies. The response rate varied between Local

156 Authorities, ranging from 43% to 100%.

157 The first question concerned the rules governing pupils' carriage of inhalers at school. Of the 106

158 responses received to this question, we excluded 8 (8%) due to a lack of clarity: for example, selecting

159 two or more mutually exclusive responses, or adding a free-text comment which was inconsistent with

160 the selected multiple choice response. Hence, 98 responses were included in this part of the study.

161 Of these 98 responses, 75 (77%) indicated that children were automatically allowed to carry their own

162 inhalers at school. 22 (22%) indicated that children were only allowed to carry their inhalers with

163 parental consent. The remaining school (1%) indicated that pupils were not allowed to carry inhalers,

164 but that inhalers were stored in a single central location within the school.

165   The second question concerned whether schools had a generic salbutamol inhaler for use by any pupil in

166   an asthmatic emergency. We excluded the three schools whose response to the first question had been

167   derived from policies since the policies were unclear in this area. We additionally excluded one

168   questionnaire response due to a lack of clarity. Hence, 102 questionnaire responses were included in

169   this part of the study.

170   Of these 102 responses, 45 (44%) indicated that the school had an emergency generic salbutamol

171   inhaler available, while 57 (56%) indicated that the school did not. The proportion of schools in which

172   emergency generic salbutamol inhalers were available varied by local authority from 0% to 71%.

173   **Discussion**

174   Within our study sample, 99% of mainstream secondary schools in North East England permitted pupils

175   to carry their own inhaler medication: 77% without need for special permission or parental consent, and

176   22% with parental consent. Only 1 school (1%) stored inhalers brought in by pupils in a central location

177   within the school, forbidding pupils from keeping them on their person.

178   Of the secondary schools in our study, 44% indicated that they held a generic salbutamol inhaler for use

179   in an emergency.

180   **Strengths and weaknesses**

181   To our knowledge this is the first study assessing the rules governing inhaler carriage in secondary

182   schools within the UK and the first to assess secondary schools' uptake of an emergency salbutamol

183   inhaler. This contributes data to a substantial gap in knowledge regarding the community-based clinical

184   management of acute asthma exacerbations in children in the UK.

185   This data must, however, be carefully interpreted. The majority of our data collection was achieved via

186   postal questionnaire. While this ensured a healthy response rate (69%), there is the possibility that the

187   answers given do not reflect actual practice. Analysis of the medications policy for each school would

188   have yielded more robust data; however, from our pilot study, few schools had a medications policy

189   available on the school website making data collection via this method difficult. In addition, the school

190   medication policy may be equally poorly reflective of actual practice. In addition, our questionnaire did

191   not ask about policies in relation to any specific age group; we believe that this has limited impact on

192   our results, as schools were asked to clarify in free text comments where policies varied from pre-

193   described options, and several schools which had age-differentiated policies did so. An observational

194   study would be ideal, but may be impractical.

195   All responses were independently scrutinised by both authors, and consensus reached in all cases. This

196   limits the likelihood of observer bias in assessment of responses.

197   Data was collected over a seven month period from November 2014 to May 2015. Practice may have

198   changed during the study period, especially in relation to whether the schools held an emergency

199   inhaler. Schools that responded to the postal questionnaire at the beginning of the research period may

200   have subsequently changed their practice prior to the end of the study. Hence, our study is unlikely to

201   be representative of practice at any single moment in time.

202   While the response rate was relatively high (69%), there is a substantial risk of response bias in this

203   study. An unwillingness to respond to a postal questionnaire regarding asthma practice may plausibly be

204   associated with poor practice in this area, such as not having a clear policy. At the individual level, it is

205   well established that those who have not committed to a position on an issue are less likely to respond

206   to questions about that issue; [13] it is possible that the same is true of organisations such as schools.

207   The generalisability of the results is unclear. While coverage of secondary schools across a whole region

208   of the UK is a strength of this study, there is no data to suggest whether similar practices are used in

209   other parts of the UK. If, for example, Local Authorities took a collective approach to school medication

210 policies in other parts of England, then practice may differ substantially. Further, the specific English

211 legislative framework which sets the context for school policies in this area limits the international

212 generalisability of the study.

213 **Comparison with other studies**

214 The paucity of evidence in this area limits direct comparison with other studies.

215 The finding that 99% of included secondary schools in North East England allowed pupils to carry

216 inhalers contrasts with a similar, but much older, study of primary schools in Birmingham in 1997, [14]

217 where only 48% allowed pupils to carry inhalers. However, as discussed above, policies which may be

218 rational regarding inhaler carriage in older children may be irresponsible when applied to younger

219 children. Legislation surrounding carriage of inhalers in schools has also changed since this study was

220 published.

221 The finding that 22% of included schools required parental permission for pupils to carry asthma

222 inhalers bears further consideration against the literature on medical ethics. In England and Wales, the

223 clear legal position is that children aged 16 or 17 years can assent to treatment, but that refusal of

224 treatment in this age group can be overridden by a person with parental responsibility or a court of law.

225 Yet, in certain schools, the described practice could possibly constrain pupils aged 16 years from

226 independently assenting to prescribed, usually self-administered, medical treatment while on school

227 grounds. This appears to represent something of an anomaly. Similar anomalies have been reported in

228 the ethical literature: for example, children of this age being permitted to assent to treatment but not

229 being permitted to sign the accompanying paperwork. [15] Empirical ethical literature is less clear on the

230 age at which children are capable of assenting to treatment, [16, 17] but clearly clinical practice is

231 constrained by the contextual legal framework. It is notable that the legal framework in this area is

232   inconsistent even within the constituent countries of the United Kingdom,[18] perhaps reflecting a high

233   degree of ethical uncertainty.

234   In addition, it is notable that the legislation regarding use of emergency salbutamol inhalers in schools

235   requires parental consent. This appears to place a legal limit on the ability for schoolchildren aged 16

236   years or over to assent to this particular medical treatment. This curious legal anomaly has not yet been

237   tested in court.

238   **Unanswered questions and future research**

239   There is clear evidence that community-based treatment of acute asthma exacerbations in children is an

240   important area for consideration in the wider picture of paediatric asthma cases.[1] More research

241   needs to be undertaken both regionally, nationally and internationally with the aim of highlighting areas

242   in which asthma management can be improved for young people.

243   There are several unanswered questions raised by our study. Most importantly, our study used a

244   process rather than outcome measure: it is unclear whether access to emergency asthma medication

245   reduces the likelihood of adverse outcomes.

246   While our research gives an early indication of the proportion of schools who have purchased a generic

247   emergency salbutamol inhaler, it is unclear whether the number of schools with a generic emergency

248   salbutamol inhaler changed over the course of the study.

249   **Meaning of the study and implications**

250   Our results provide the first research data on policies regarding carriage of inhalers in secondary schools

251   in the UK, and an insight into school's uptake of the emergency salbutamol inhaler. Further research is

252   required to determine whether the results are replicated elsewhere. In addition, the level of variation in

253   school policies demonstrated by this study may indicate a degree of variation in the quality of asthma

254 care provided in schools. Standardisation to a policy based on robust evidence at a local, regional or

255 national level may be desirable.

256 More consideration should be given to the ethical framework in which such studies exist. Current local

257 policies and national legislation may unduly limit the right of 16-year-old pupils to assent to medical

258 treatment in England and Wales.

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