

Digitized endocasts and brains: a perspective on measurements and historical analyses of the evolution of 172 fossil and extant amniote specimens

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ABSTRACT

This perspective paper is intended to stimulate future research and discussion of brain evolution in amniotes by sharing 172 digitized endocasts of extinct and extant species spanning 60 million years. Using 3D digital surface scans of physical (e.g., latex, plaster, resin) endocasts, we measured and compared relative endocranial volumes from dozens of extinct amniote taxa with those (endocasts or brain surface scans) of relevant extant species. Additionally, we offer calculated Encephalization Quotients and neocorticalization from digitized endocasts. Using historical methods of analysis, we find that, on average, neocortocalization of mammals increased over time, which is in agreement with recently published findings. Results also showed that, about 60 million years ago, mammalian neocorticalization averaged about 20%, increasing to a present average of 50%, and reaching a maximum of about 80% in primates within the past 10 million years. These results potentially redefine the allometric boundary between mammals and reptiles and confirm that measurements on a single species can adequately represent the brains of the entire species. We encourage other researchers to use our data, results, and conclusions as a springboard for more updated analyses.

Subjects Biodiversity, Evolutionary Studies, Neuroscience, Paleontology, Zoology
 Keywords Encephalization Quotient, Paleoneurology, Neocorticalization, Mammalian evolution,
 Allometry, Brain, Endocast, MorphoSource, Endocranial

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INTRODUCTION

Endocasts and their utility

Cranial endocasts are casts molded by the endocranial cavity of the skull, either naturally through fossilization of interred material, artificially with materials such as plaster or latex, or virtually with computed tomography (CT) scanning and segmentation. Endocasts provide a powerful window into deep time for studying neuroanatomy and brain evolution.

Tilly Edinger, the founder of paleoneurology, described endocasts as fossilen Gehirne (*Edinger*, 1929), or "fossil brains," and by the time of her death in 1967, had compiled a then-comprehensive annotated bibliography of over a thousand vertebrate fossil genera and their cranial cavity endocasts (*Edinger*, 1975).

Throughout her career, Edinger noted that bird and mammal endocasts largely mirror brains in size and appearance, although the narrow space around a brain containing meninges, blood vessels, and cerebrospinal fluid add to the surface area and volume of an endocast compared to a brain. Indeed, endocasts are often faithful proxies for brain size, especially in extant, adult vertebrate groups such as mammals (*Haight & Nelson*, 1987; *De Miguel & Henneberg*, 2001) and birds (e.g., *Iwaniuk & Nelson*, 2002; *Watanabe et al.*, 2019).

Neocorticalization and encephalization in mammals

The cerebral cortex (i.e., neopallium, isocortex; Butler & Hodos, 2005) is an outer layer of forebrain consisting of layers of nerve cells. This layered organization of cells is a unique feature of the brain in all living mammals. In this paper, we measured neocorticalization, or the comparative increase in neocortex relative to other brain structures, as the increase in surface area of cerebral cortex dorsal to the rhinal fissure relative to the total cortical surface area (Fig. 1). Encephalization—the evolutionary increase in brain complexity or relative size reflecting environmental adaptations—has been proposed historically as a general phenomenon in many species of mammals and birds that is more or less correlated to natural selection and independent of their phylogenetic details (e.g., Jerison, 1973; Jerison, 1977; Boddy et al., 2012; Ksepka et al., 2020; Smith, 2022 and sources therein; Van Schaik et al., 2023; but for mammals, see Burger et al., 2019; Smaers et al., 2021). For over a century, brain-body allometry, along with the generally concerted evolution of brain regions (study: Finlay & Darlington, 1995; "concerted evolution" term applied: Barton & Harvey, 2000), have explained a large portion of brain size variation across vertebrates (e.g., Snell, 1892; Montgomery, Mundy & Barton, 2016; Moore & De Voogd, 2017; Kotrschal et al., 2017; but see: Smaers & Soligo, 2013; Barton & Montgomery, 2019; Willemet, 2019; Willemet, 2020). Many birds and mammals have evolved a substantially larger brain for a given body size, or larger encephalization, compared to other vertebrates (e.g., Jerison, 1971; Iwaniuk, Dean & Nelson, 2005; Emery, 2006; Franklin et al., 2014; Ksepka et al., 2020; Smaers et al., 2021).

The measurement of neocorticalization evolution is an outstanding example of a quantitative analysis made possible by digitizing data. Surface scanning and software analysis enable direct measurements from virtual models of natural or physical endocasts. This study exploits digitization technology to review 129 three-dimensional (3D) models of fossil endocasts and compare them with the endocasts and brains of 43 extant specimens. Notably, quality comparisons of human-made (e.g., plaster, resin) and natural endocasts are not always possible due to breaks or lack of preservation in natural endocasts. We are unsure exactly of how our late lead author (HJJ) handled these instances—adding yet another caveat to our results. We caution future researchers to be careful in their own comparisons when using our data. For more information on specific endocasts, see Supplemental Information 1A and 1B.

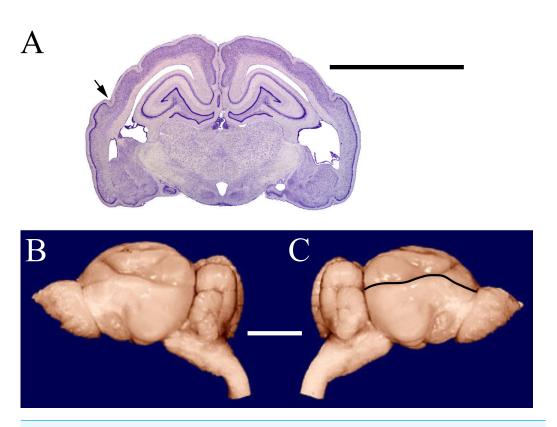


Figure 1 Armadillo rhinal fissure. Armadillo brain (*Dasypus novemcinctus*; NMHM Vertebrates WISC 40-465). (A) Coronal section through the brain showing the rhinal fissure marked with an arrow, neocortex dorsal to the fissure, and paleocortex with dark lamina II ventral to the fissure. (B) Lateral views of the same brain, with (C) rhinal fissure indicated by black line. Images reproduced with permission from http://brainmuseum.org, with copyright retained by said party. Scale bars = one cm.

Importance and limitations of this perspective study

Although components of the dataset have been presented in other venues (e.g., Long, Bloch & Silcox, 2015, using data provided by Jerison, 2012), this is the most comprehensive collection to date. Equally importantly, we provide figures for nearly all of the endocasts, many for the first time in the literature. We hope that these illustrations will be a useful resource for future work on endocranial anatomy.

Lastly, we are aware of widespread leaps in the statistical calculation and determination of evolutionary trends collectively known as phylogenetic comparative methods ((PCM); e.g., Harmon, 2019; Adams & Collyer, 2019). We are also aware that many of these methods are more robust and appropriate for application to studies of brain (or brain region) vs. body size in vertebrates (e.g., see review in Striedter & Northcutt, 2019). The use of these methods provides rich and potentially more nuanced and/or more accurate analysis of datasets than the one presented in our paper. However, because this paper serves as the culmination of decades of work by our now-late lead author (HJJ), who passed while this paper was in review, we have elected to present methods consistent with his previous

studies for the sake of comparison. We eagerly anticipate that future work will incorporate all or parts of the dataset into more advanced and sophisticated analyses.

MATERIALS AND METHODS

Endocast specimens

The first author obtained the majority of the natural and latex endocasts used in this study from the Radinsky Collection at the Field Museum of Natural History (FMNH) in Chicago, most of which were collected and prepared by the late Professor Len Radinsky and catalogued by Collections Manager William Simpson. We also scanned specimens from following collections: University of Adelaide (Adelaide), Victoria, Australia; American Museum of Natural History (AMNH), New York; American Museum of Natural History (AMNH FAM)—Frick Collection at AMNH; The Natural History Museum (NHMUK), London; Carnegie Museum of Natural History (CM), Pittsburgh, Pennsylvania; National Museum of Health and Medicine Defense Health Agency Neuroanatomical Collections Division (NMHM WISC), Silver Spring, Maryland (formerly the University of Wisconsin and Michigan State Comparative Mammalian Brain Collections in Madison, Wisconsin); Falk Collection (Falk), now deposited with Mammals at AMNH; Jura-Museums Eichstaett (JME), Germany; Natural History Museum of Los Angeles County (LACM), California; The Museum of Comparative Zoology (MCZ) at Harvard University, Cambridge, Massachusetts; Muséum National d'Histoire Naturelle (MNHN), Paris; University of California Museum of Paleontology (UCB), California; Museum of the Rockies (MOR), Bozeman, Montana; National Museum of Victoria (NMV), Melbourne, Australia; Canadian Museum of Nature (NMC), Ottawa; Raymond M. Alf Museum of Paleontology at the Webb Schools (RAM), Claremont, California; Senckenberg Natural History Museum (SNHM), Frankfurt, Germany; Texas Memorial Museum (UT) and (TMM), Austin, Texas; United States National Museum (USNM), Washington, DC; University of the Witwatersrand (WITS), Johannesburg, South Africa; and Yale Peabody Museum of Natural History (YPM), New Haven, Connecticut.

Most endocasts in the dataset derive from fossilized mammals, but some endocasts and braincasts (*i.e.*, casted replicas of the actual brain) represent extant species. Four endocasts are from extinct dinosaurs. Prior to our digitization work (see below), and across several decades, collections staff or other researchers prepared non-fossilized endocasts using plaster, latex, thermoplastic, or virtual segmentation. In all cases, the cranial cavity served as a mold for each endocast, with little difference in most cases between endocasts prepared with plaster or latex from a cranial cavity or filled with fossilized matrix preserved when the skull eroded. Idenfication of features on the endocasts best matches the convention put forth by *Macrini, Leary & Weisbecker* (2022, see their fig 11.3).

Digitization

HJJ digitized endocasts using surface scanning with a Cyberware Model M15 Scanner using Headus 3D Tools software (http://www.headus.com.au), which creates endocast images as complete 360° rotation producing a full scan. After performing a first set of scans, HJJ placed the object in a different orientation to expose areas previously hidden from the laser

beam before acquiring a further set of scans. HJJ then merged the final set of 16 scans into a 3D digitized image. Again, we share that there are instances in the dataset of incomplete natural endocasts, and we are unsure of how our late lead author (HJJ) handled these cases while collecting and analyzing data.

Headus outputs the following image measurements: volume in mm³, surface area in mm², and length in mm. HJJ measured endocast lengths from the anterior tip of the forebrain or olfactory bulbs to the posterior hindbrain at the end of the medulla. Surface regions of the endocast, such as olfactory bulbs or neocortex, were virtually measured by HJJ by selecting the region of interest in Cyberware (now Headus 3D) and then measuring the selected region with the CySize tool. HJJ recorded measurements in centimeter-gramseconds (cgs) unless otherwise noted. Most of the 3D models of endocasts are freely available for viewing and download on MorphoSource at the discretion of collections staff. Table S2 is a list of available endocast and MorphoSource DOIs.

Neocorticolization

Unlike other gyri and sulci, endocast rhinal fissures are a reliable landmark of the ventral boundary of neocortex. It is a superficial landmark that is visible on the brains of living mammals (*Welker*, 1990). The rhinal fissure differentiates neocortex dorsally from paleocortex ventrally (*Kappers*, 1909). We define measurements of the surface area of forebrain dorsal to the rhinal fissure as neocortex (see example, Fig. 1).

Brain-body allometry

Brain-body allometry relates endocast volume (representing brain size) to estimated body size. Brain-body allometry was calculated using head+body length as an independent variable and brain size as the dependent variable, as described previously (*Jerison*, 1973; *Jerison*, 1991; *Jerison*, 2001a; *Jerison*, 2001b; *Jerison*, 2002). The historical Jerison equation was used here and is consistent with previous analyses of HJJ, but we note the existence of more recent published equations in the literature (e.g., Burger et al., 2019; López-Torres et al., 2024). Briefly, the power function for the regression of body size relative to body length, which reflects a surface area (the skin) for graphing against brain size, was as in *Jerison* (1973):

$$P = 0.021 L^{3.03} \tag{1}$$

where P is body mass or volume, and L is body length in the cgs system. In a few species for which accurate models of the body were prepared, body volume was determined from 3D scans of the model. We then fit brain-body size relationships in different species measured logarithmically by linear regression:

$$log E = \alpha log P + log b \tag{2a}$$

or the power function:

$$E = b P\alpha$$
 (2b)

where *E* is brain size (from the French, *encephale*); *P* is body size (from the French, *poids*); α is the regression line's slope, and log *b* is its *y* intercept. *E* and *P* were in the same units

(ml and grams or liters and kilograms) in Eqs. (2a) and (2b) for easy interpretation. For extant species, HJJ either weighed the brains and bodies of them or derived the volumes (HJJ: no correction factor (e.g., Stephan, Frahm & Baron, 1981) applied) from log brain size as a function of log body size graphed. Bivariate statistical regression analysis of the log brain-body relationship for vertebrate classes can estimate α empirically. The empirical encephalization quotient (EQ) in brain size in each species is its residual from the regression, but EQ can be different if the allometric factor is determined by theoretical analysis rather than regression. Therefore, we took EQ as the residual relative to Eqs. (2a) or (2b), with α a theoretical constant of exactly 2/3. In this way, the allometric equation transforms the 3D information of the body into a 2D map created by the brain (Jerison, 2001a; Jerison, 2001b; Jerison, 2002). Extensive discussion of body mass estimation sources and methods is available in File S1A.

RESULTS AND DISCUSSION

Important notes

With the exception of measured values taken directly from 3D surface endocasts, the following results and discussions stem from more traditional methods (e.g., phylogenetically un-informed mathematical regressions of raw data) in that they do not incorporate our field's most recent understanding of: (1) phylogenetic comparative methods, (2) updated equations and appropriate applications of encephalization quotient (EQ), and (3) methods for estimating brain and brain region sizes from 2D images (e.g., more refined computational models, extrapolation by artificial intelligence) and in extinct non-mammalian amniotes (e.g., correction factors for brains that do not completely fill the endocranial cavity). As such, the calculated values presented here, as well as their bearing on discussions of evolutionary patterns, will require re-analyses outside the scope of this paper to ensure that they are as accurate as possible.

We feel it is important to publish the traditional findings here for two reasons. First, we wish to acknowledge faithfully the scientific contributions of our late lead author. Second, we wish to allow for any direct comparisons between the findings in this paper and those previously published by Jerison (e.g., 1973; 2007; 2012). We encourage those who read and/or may cite this paper to do so with this note's context in mind. We acknowledge that our dataset is non-comprehensive and that many additional published vertebrate endocasts exist. We encourage other researchers to synthesize and reanalyze all data now available. Some additional published datasets can be found in: Dechaseaux (1958), Silcox, Benham & Bloch (2010), Rowe, Macrini & Luo (2011), Orliac & Gilissen (2012), Long, Bloch & Silcox (2015), Harrington et al. (2016), Bertrand & Silcox (2016), Bertrand et al. (2019), Benoit et al. (2019), Bertrand et al. (2020), and Maugoust & Orliac (2021), Arnaudo & Arnal (2023), and Betrand et al. (2022; 2024b). Additionally, the following recent papers consider relative brain size of vertebrates through time: Tsuboi et al. (2018), Ksepka et al. (2020), Smaers et al. (2021).

Lastly, admittedly, due to the loss of our first author and his insights, we are unable to track down and cite all resources/methods involved in the compilation of body masses reported in Table 1.

Table 1 Measurements of endocasts and brains of fossils and living mammals.												
Species/Genera:	S cm ²	OB cm ²	S-OB cm ²	NC cm ²	L cm	MYA	E ml or g	Р g	%NC	EQ	Taxon	
118 FOSSIL SPECIMENS INCLUDING 7 PRIMATES												
Adapis parisiensis NHMUK M1340 (= FMNH PM 59259)	24.95	1.42	23.53	10.17	4.7	-34.1	8.2	1,600	43.23	0.5	Primates	
Adapis parisiensis FMNH PM 59275 Le Gros Clark	26.52	1.55	24.97	13.25		-34.1	7.85	1,600	53.06	0.48	Primates	
Adinotherium ovinum FMNH P 12986	155.35	7.99	147.35	49.07	10.2	-15.5	111.04	120,000	33.30	0.38	Notoungulata	
Amynodon advenus YPM VP 11453 (= FMNH PM 59231)	239.39	12.41	226.98	75.77		-46	180.99	263,000	33.38	0.37	Perissodactyla	
Anoplotherium commune NHMUK PV M 3753	129.18	6.88	122.31	34.83	10.4	-34.1	78.37	82,000	28.48	0.35	Artiodactyla	
Apterodon macrognathus FMNH PM 57147	115.74	0	107.68	34.33		-37	77.54	47,0075	31.88	0.5	Carnivora	
Archaeolemur majori AMNH FM 30007 (= FMNH PM 59258)	121.19	1.88	119.3	76	7.6	-0.01	95.89	17,000	63.70	1.21	Primates	
Archaeotherium mortoni YPM VPPU 10908 (= FMNH PM 59061)	204	12	192	49	16.2	-32	168.74	230,000	25.52	0.37	Artiodactyla	
Arctocyon primaevus MNHN F CR700	25.89	4.29	21.6	4.86	4.9	-58	7.14	16,144	22.49	0.09	Procreodi	
Arctodus simus FMNH PM 59022 (attributed to LACM)	542.37	44.34	498.03	296.05	16.8	-0.03	654.14	525,763	59.45	0.84	Carnivora	
Argyrocetus joaquinensis USNM 11996					13.3	-23	629.94	80,100		3.01	Cete	
Arsinotherium zitelli NHMUK PV M 8539					18.6	33	926.6	1,500,000		0.59	Embrithopoda	
Aulophyseter morricei USNM 11230					16.7	-16	2,246	8,508,540		0.45	Cete	
Australopithecus africanus Taung 1	241.72		241.72	194.69	10.8	-3.5	440	40,000	80.54	3.13	Primates	
Australopithecus robustus SK1585	356.35		356.35	275.03	11.6	-2.5	530	40,000	77.18	3.78	Primates	
Bathygenys reevesi TMM TXVP 40209-431	33.46	2.35	31.11	8.71	5	-37	12.08	6,795	28.00	0.28	Artiodactyla	
Borhyaena tuberata FMNH P 13266	82.09	13.08	69.01	17.39	7.4	-17	43.05	24,600	25.20	0.42	Marsupialia	

 Table 1 (continued)

Species/Genera:	S cm ²	OB cm ²	S-OB cm ²	NC cm ²	L cm	MYA	E ml or g	Рg	%NC	EQ	Taxon
Aenocyon dirus LACMHC 2300-82	207.83	15.12	192.71	114.41	11.7	-0.03	181	80,000	59.37	0.81	Carnivora
Canis latrans endocast LACMHC 3200-7	117.79	7.79	110	73.97		0.03	97.85	15,000	67.25	1.34	Carnivora
Carpocyon webbi AMNH FM 61328 (= FMNH PM 58964)	142.9	13.12	129.78	61.35	10.6	-13	100.04	32,000	47.27	0.83	Carnivora
Cebochoerus lacustris FMNH PM 59051	34.1	3.84	30.26	7.01	5.6	-38	11.9	8,000	23.17	0.25	Artiodactyla
Chadronia margaretae AMNH FM 109412 (= FMNH PM 57129)	66.47	6.09	60.38	23.48	7.7	-35	28.86	7,500	38.89	0.63	Cimolesta
Cormohipparion occidentale AMNH FM 71886 (= FMNH PM 59220)	333.71	24.39	309.32	184	11.2	-10	363.94	151,000	59.49	1.07	Perissodactyla
Coryphodon hamatus YPM VP 11331 (= FMNH PM 59241)	140.94	13.66	127.28	24.34	11.8	-52	90.6	394,000	19.12	0.14	Cimolesta
Cynodictis cayluxi FMNH PM 59013	33.29	2.5	30.79	12.54		-34	11.6	2,800	40.73	0.49	Carnivora
Cynohyaenodon cayluxi FMNH PM 57153	32.46	4.58	27.88	8.32		-34	11.04	5,392	29.83	0.3	Creodonta
Daphoenus vetus FMNH PM UM1			80.89	39.82		-32	46.87	24,000	49.22	0.47	Carnivora
Daphoenus vetus FMNH PM UM1			84.96	39.1		-32	42.61	24,000	46.02	0.43	Carnivora
Desmathyus (Hesperhyus) CM VP 1423 (=FMNH M 59066)	122.43	13.59	108.84	33.38	8.3	-19.5	71.27	11,291	30.67	1.18	Perissodactyla
Dinictis felina SDSM 2431 (= FMNH PM 58866)	88.4	4.9	83.23	42.14	6.8	-32	60.1	37,000	50.63	0.45	Carnivora
Durodon atrox NHMUK PV M 10173 b					13.1	-40	459.55	393,540		0.71	Cete
Enaliarctos sp. FMNH PM 57161	132		132	80.64	7.2	-22	118	82,000	61.00	0.52	Carnivora
Eomoropus amarorum AMNH FM 5096 (= FMNH PM 59182)	80.41	1.76	78.66	23.46	6.8	-44	36.89	40,000	29.83	0.26	Perissodactyla
Eporeodon socialis YPM VP 13118 (= FMNH PM 59076)	78.72	6.92	71.8	23.67	7.6	-23	41.79	19,400	32.96	0.48	Artiodactyla
Equus occidentalis LACMHC 3500-17	573.34	32.01	541.33	317.55		-0.03	869	550,000	85.19	1.08	Perissodactyla
Eusmilus bidentatus FMNH PM 58871	70.19	4.87	65.32	32.92	7.2	-32	40.12	35,000	50.40	0.31	Carnivora
Paramylodon harlani LACMHC 1717-33	469.74	46.57	423.17	129.54		-0.03	501.94	1,100,000	30.61	0.39	Xenarthra
Halitherium schinzi SMF M 3921	298.77		298.77	94.59	12.2	-25	267	250,000	31.66	0.56	Sirenia
Hapalops sp. LACM	91.8	7.29	84.51	30.12	7.8	-17	54.7	49,000	35.64	0.34	Xenarthra
Hemicyon cf. barbouri AMNH FM 25530 (= FMNH PM 59030)	251.22	8.25	242.97	97.95	12.6	-10	199.28	82,000	40.31	0.88	Carnivora
Heptodon sp. FMNH PM 59193	89.03	11.42	77.6	20.96	9.2	-52	42.67	24,000	27.00	0.43	Perissodactyla
Hesperocyon gregarius FMNH PM 58989	37.81	4.05	33.75	17.58	4.9	-32	18.8	3,000	52.08	0.75	Carnivora
Homalodotherium cunninghami FMNH PM 59291	284.85	15.96	268.89	70.22	14.3	-17.5	227.3	400,000	26.12	0.35	Notougulata

Table 1 (continued)

Species/Genera:	S cm ²	OB cm ²	S-OB cm ²	NC cm ²	L cm	MYA	E ml or g	Рg	%NC	EQ	Taxon
Homotherium sp. AMNH FM 95297 (= FMNH PM 58891)	243.67	19.22	224.45	128.35	11.2	-1.5	192.5	200,000	57.18	0.47	Carnivora
Hoplophoneus primaevus UM2 PF	73.11		73.11	28.48	6.7	-32	42.67	35,000	38.96	0.33	Carnivora
Hoplophoneus primaevus USNM Paleobiology V 22538	79.38		79.38	32.57	6.7	-32	49.47	35,000	41.03	0.39	Carnivora
Hyaenodon FMNH P 12723	114.55	8.31	106.23	32.41		-50	67.37	60,000	30.51	0.37	Carnivora
Hylomeryx quadricuspis CM VP 2915 (= FMNH PM 59055)	26.78	0	26.78	6.71	4.3	-42	9.14	6,000	25.04	0.23	Artiodactyla
Hyrachyus modestus YPM VP 11082 (= FMNH PM 59240)	115.32	8.47	106.85	29.75	8.7	-51.7	68.95	100,000	27.85	0.27	Perissodactyla
Hyracotherium AMNH FM 55268 (= FMNH PM 59207)	57.33	8.53	48.8	10.66	6.6	-52.9	24.16	10,700	21.84	0.41	Perissodactyla
Isectolophus latidens AMNH FM 12222 (= FMNH PM 59179)	51.05	8.29	42.75	9.03	6.6	-47	20.37	11,600	21.13	0.33	Perissodactyla
Leontinia gaudryi FMNH P 13285	346.9	28.2	318.7	106.48	15	-25	356.91	450,000	33.41	0.51	Notoungulata
Leptauchenia decora AMNH FM 627 (= FMNH PM 59074)	50.78	5.02	45.76	10.35	6.3	-31	21.95	39,300	22.61	0.16	Artiodactyla
Harry Jerison's personal collection	15.11	2.08	13.03	1.87	3.2	-32	3.61	500	14.32	0.48	Leptictida
Leptocyon sp. FMNH PM 58961	36.41	2.6	33.81	15.95	5.2	-20	14.14	3,260	47.16	0.54	Carnivora
Leptolambda schmidti FMNH P 26075	143.3	17.77	125.52	6.46	8.7	-56	98.13	620,000	5.15	0.11	Cimolesta
Leptolambda (Barylambda) schmidti FMNH P 15573	126.79	0	126.79	9.71		-56	85.22	620,000	7.66	0.1	Cimolesta
Megalonyx jeffersoni Harry Jerison's personal collection	302.84	27.05	275.75	103.69	12.6	-0.03	332.78	370,000	37.60	0.54	Xenarthra
Meniscotherium robustum USNM V 19509						-53	14.8	6,500		0.35	Condylarthra
Megacerops coloradensis FMNH PM 59199 (possibly from YPM VP 12010)	521.47	36.62	484.85	164.37	17.1	-34	750	4,000,000	33.90	0.25	Perissodactyla
AMNH FM 71150 (= FMNH PM 59208)	248.43	18.23	230.2	119.03	12.4	-15	231.79	105,700	51.71	0.86	Perissodactyla
Merychippus severus LACM (CIT) 2929	296.34	65.09	231.25	106.82	14.5	-15.5	258.77	110,000	46.19	0.94	Perissodactyla
Merycochoerus proprius AMNH FM 43016 A (= FMNH PM 59081)	142.44	9.53	132.91	39.48	10.2	-18	95.74	122,000	29.70	0.32	Artiodactyla
Merycoidodon culbertsoni FMNH PM UM3	78.05	0	78.05	22.57	7.2	-32	47.25	68,000	28.92	0.24	Artiodactyla
Mesatirhinus junius (Eobasileus) YPM VPPU 10041 (= FMNH PM 59197)	227.32	14.56	212.76	58.23	12.3	-46.5	189.5	350,000	27.37	0.32	Perissodactyla
Mesatirhinus petersoni AMNH FM 1509 (= FMNH PM 59196)	221.06	24.68	196.38	37.17	13.2	-46.5	146.9	350,000	18.93	0.25	Perissodactyla
Mesocyon coryphaeus AMNH FM 6946 (= FMNH PM 58979)	71.01	4.99	66.02	26.37	7.2	-25	36.55	10,000	39.94	0.66	Carnivora
Mesohippus bairdi AMNH FM 9814 (= FMNH PM 59221)	127.48	9.86	117.62	48.89	9.6	-32	86.42	28,500	41.56	0.77	Perissodactyla
Mesonyx obtusidens YPM VP 13141 (= FMNH PM 57139)	133	0	133	31	8.2	-48	96	65,000	23.31	0.49	Cete

Table 1 (continued)

Species/Genera:	S cm ²	OB cm ²	S-OB cm ²	NC cm ²	L cm	MYA	E ml or g	Рg	%NC	EQ	Taxon
Mixtotherium cuspulatum FMNH PM 59052	45.93	0	45.93	12.74	6.7	-40	21.04	6,000	27.73	0.53	Artiodactyla
Moeritherium lyonsi NHMUK PV M 9176 b	265.93	34.58	231.35	78.26	11.6	-37	233.33	394000	33.83	0.36	Proboscidea
Mustelictes piveteaui FMNH PM 58907	35.32	3.25	32.07	9.04	4.4	-22	12.61	12,973	28.20	0.19	Carnivora
Mylodon LACM 157696	420.76	21.67	399.1	119.19	15.8	-0.01	514.88	1,100,000	29.86	0.4	Xenarthra
Necrolemur antiquus YPM VP 18302 (= FMNH PM 59261)	39.18	2.08	37.1	14.04		-37	5.05	320	37.84	0.9	Primates
Nesodon umbricatus FMNH P 13076	253.29	9.28	244.01	72.74	12.3	-17	180.06	250,000	29.81	0.38	Notoungulata
Notharctus sp. FMNH PM 59264	40.57	2.32	38.25	10	5	-47	15.38	4,200	26.14	0.48	Primates
Nothrotheriops shastensis LACMHC 1800-6	279.43	40.35	239.08	98.87	11.8	-0.03	277.12	320,000	41.35	0.49	Xenarthra
Orthocynodon (Amynodon) sp. YPM VPPU 10145 (= FMNH PM 59177)	140.52	21.25	119.27	30.82	9	-50	93.99	150,000	25.84	0.28	Perissodactyla
Oxydactylus sp. FMNH P 12117	131.44	9.24	122.2	51.01	10.3	-19.5	86.65	250,000	41.74	0.18	Perissodactyla
Pachyaena ossifraga YPM VPPU 14708	88.51	7.95	80.56	8.4	9.2	-53	32.66	65,000	10.43	0.17	Cete
Pachylemur (Lemur) insignis FMNH PM 59253	80.37	0	80.37	49.61	6.4	-0.01	57.38	10,000	61.73	1.03	Primates
Palaeopropithecus maximus FMNH PM 59250	134.93	1.43	133.5	72.73		-0.01	108.33	50,000	54.48	0.67	Primates
Palaeosyops sp. FMNH PM 59198	288	28.24	259.76	40.2	14.2	-51.7	195.31	191,000	15.48	0.49	Perissodactyla
Panthera atrox LACMHC 2900-1	326.92	21	305	166.65	13.8	-0.03	338.43	325,000	54.64	0.6	Carnivora
Paracynarctus sinclairi AMNH FM 61009 (= FMNH PM 58973)	97.54	8.53	89.01	39.56	8.2	-15	55.93	12,263	44.44	0.88	Carnivora
Paratomarctus euthos AMNH FM 61074	87.6	8	79.6	35.6	7.6	-11	56.3	10,900	44.72	0.95	Carnivora
Patriomanis americana AMNH FM 78999 (= FMNH PM 57103)	33.86	4.21	29.65	5.23		-34.7	11,21	3,000	17.65	0.45	Cimolesta
Phenacodus primaevus AMNH FM 4369 (= FMNH PM 59042)	72.75	10.33	62.42	10.01	7.7	-54	30.82	82,000	16.04	0.14	Condylarthra
Plagiolophus minor Harry Jerison's personal collection	58.6	9.64	48.96	29.51		-34			60.27		Perissodactyla
Platygonus compressus CM VP 12888 (= FMNH PM 59058)	138.82	9.52	129	74.44	9.7	-0.3	130	130,000	57.71	0.42	Artiodactyla
Plesiogale paragale NMB M.A.4641	44.19	3.22	40.96	16.3		-22	17.76	2000	39.79	0.93	Carnivora
Pliohippus sp. FMNH P 15870	291	21	270	135		-5	289	169,700	50	0.79	Artiodactyla
Plionictis AMNH FM 25314 (= FMNH PM 58945)	32.97	2.94	30.03	12.63	5.1	-15	10.99	640	42.07	1.23	Carnivora
Poebrotherium AMNH F:AM 31700 (= FMNH PM 59167)	81.59	0	81.59	33.79	7.5	-33.7	47.82	29,800	41.41	0.41	Artiodactyla
Potamotherium valentoni NHMUK PV M 29357 (= FMNH PM 58906)	64.4	4.02	60.37	35.69	5.7	-22	37.3	10,000	59.11	0.67	Carnivora
Procamelus grandis AMNH FM 40425 (= FMNH PM 59160)	365.7	16	350	131.07	14.3	-11	374.21	200,000	37.45	0.91	Artiodactyla

Table 1 (continued)

Species/Genera:	S cm ²	OB cm ²	S-OB cm ²	NC cm ²	L cm	MYA	E ml or g	Р g	%NC	EQ	Taxon
Procynodictis angustidens AMNH FM 95590 (= FMNH PM 57168)	53.09	1.32	51.77	21.56	6.3	-40	23.33	6,571	41.64	0.55	Carnivora
Promartes olcotti FMNH P 25233	49.16	3.83	45.33	16.96	5.2	-28	24.12	3,000	37.42	0.97	Carnivora
Promerycochoerus superbus YPM VP 11002 (= FMNH PM 59072)	174.4	0	174.4	68.59	10.8	-32	147.12	178,000	39.33	0.39	Artiodactyla
Proterotherium cavum AMNH FM 9245 (= FMNH PM 59742)	106.09	4.54	101.55	29.23	9.2	-17	57.35		28.79		Notoungulata
Protypotherium FMNH P 13046	43.62	2.61	41.01	13.53	5.7	-16.5	16.69	9,683	32.98	0.31	Notoungulata
Pseudaelurus validus AMNH FM 61835 (= FMNH PM 58867)	114.43	5.49	108.94	50.38	9.7	-15	71.72	30,000	46.24	0.62	Carnivora
AMNH FM 70025 (= FMNH PM 59211)	207.87	13.39	194.47	93.65	10.6	-11	168.43	50,000	48.15	1.03	Perissodactyla
Pseudotypotherium pseudopachygnathum AMNH FM 14509 (= FMNH PM 59292)	104.6	5.97	98.64	48	8.6	-6	63.71	80,000	48.66	0.29	Notoungulata
Pterodon dasyuroides NHMUK PV M 25985 b	105.05	0	105.05	37.19	9.6	-36	58.51	37,000	35.40	0.44	Creodonta
Rhynchippus equinus FMNH P 13410	158.13	15.85	142.28	43.14	8.9	-25	103.56	32,000	30.32	0.86	Notoungulata
Smilodectes gracilis YPM VP 12152 (= FMNH PM 56263)	25.93	0	25.93	9.13	3.4	-48	9	1,600	35.23	0.55	Primates
Smilodon fatalis LACMHC 2001-199	256.51	16.45	240.06	120.3	11.7	-0.03	216	250,000	50.11	0.45	Carnivora
Sthenurus cf. orientalis FMNH PM 59245	141.46	11.48	129.98	67.35	8.4	-0.5	107.05	200,000	51.82	0.26	Marsupialia
Thylacoleo carniflex SAMA P18681 (= FMNH PM 59244)	170.89	16.9	153.99	63.7	9.6	-2	120.01	130,000	41.37	0.39	Marsupialia
Tillyhorse YPM VP 11694	49.52	4.83	44.69	5.84	6.4	-52.8	15		13.07		Condylarthra
Titanoides primaevus FM NH PM 8655	152.94	17.87	135.06	18.98	11.7	-59.2	88.35	172,032	14.05	0.24	Cimolesta
Typotheriopsis internum FMNH P 14420	112.8	4.4	108.4	52.69	9.6	-8	75.1	6,846	48.60	1.74	Notoungulata
Uintatherium anceps YPM VP 11036	391.2	76	343.2	64.37	17.2	-49	386	1250,000	18.75	0.28	Dinocerata
Urocyon cinereoargenteus UCMP V 12263	68.05	4.38	63.67	36.94	6.5	-0.03	38.95	5,000	58.02	1.11	Carnivora
Ustatochoerus profectus AMNH FM 33617 (= FMNH PM 59071)	209.04	11.81	197.23	64.2	10.6	-12.5	162.67	24,000	32.55	1.63	Artiodactyla
Zodiolestes daimonelixensis FMNH P 12032	61.59	3.32	58.26	29.03	6.1	-21	31.2	5,000	49.83	0.89	Carnivora
				22 LIVING NO	N-PRIMATES						
Aonyx cinerea (Amblyonyx) Rad 358	69.95	2.38	67.57	44.62	6	0	40.59	3,000	66.04	1.63	Carnivora
Canis latrans brain NMHM Vertebrates WISC 62-301	125.27	8.22	117.05	82.44		0	72.67	15,000	70.43	1	Carnivora
Cerdocyon thous AMNH Mammals 36501 (= FMNH Mammals 146294 = Rad 294)	78.5	6.93	71.57	43.89	7.1	0	45.67	6,000	61.32	1.15	Carnivora
Equus caballus (Arabian)	487.14	42.71	444.43	232.74		0	669	400,000	52.37	1.03	Perissodactyla
Equus caballus (draft horse)	595.08	54.18	540.9	273.23		0	881	800,000	50.33	0.85	Perissodactyla
Equus sp. (zebra) LACM Mammals 342	473.08	41.55	431.54	249.9		0	625	300,000	57.91	1.16	Perissodactyla

Table 1 (continued)

Species/Genera:	S cm ²	OB cm ²	S-OB cm ²	NC cm ²	L cm	MYA	E ml or g	Рд	%NC	EQ	Taxon
Felis catus FMNH Mammals 146456 (= Rad 101)	51.92	2.6	49.31	28.87	5.5	0	25.41	3,000	58.54	1.02	Carnivora
Lama glama NMHM Vertebrates WISC 65-139	232.01	5.28	226.74	144.2	11	0	172.22	150,000	63.60	0.51	Artiodactyla
Lon tra canadensis FMNH Mammals 146394 (= Rad 129)	91.94	2.31	89.63	54.43		0	59.87	10,000	60.73	1.07	Carnivora
Lutra lutra Rad 366	70.54	1.96	68.58	40.6	6.6	0	39.22	10,000	59.20	0.7	Carnivora
Macropus fuliginosus MSU 64023 braincast	89.85	3.8	86.05	38.38	7	0	33.83	23,600	44.60	0.34	Marsupialia
Nasua n arica WISC 62-404 braincast			62.74				28				Carnivora
Odocoileus virgianus NMHM Vertebrates WISC 67-81 2 braincast	181	6.6	174.4	102.24		0	124.6	75,000	58.62	0.58	Artiodactyla
Odocoileus virgianus NMHM Vertebrates WISC 67-81 1 braincast	206.58	6.6	199.98	102.24	11.2	0	124.6	75,000	51.13	0.58	Artiodactyla
Phascolarctos cinereus Maciej Henneberg Lab braincast	71.57	2.46	69.11	19.57		0	15.93	10,000	28.32	0.29	Marsupialia
Phascolarctos cinereus Maciej Henneberg Lab endocast	78.01	6.52	71.49	21.32	7.2	0	36.5	10,000	29.83	0.66	Marsupialia
Procyon lotor brain WISC 61-824			60.99	34.37		0	25.79	7,000	56.35	0.59	Carnivora
Procyon lotor FMNH Mammals 146352 (= Rad 154)	84.42	5.18	79.23	47.03	7	0	54.18	7,000	59.36	1.23	Carnivora
Taxidea taxus Rad 360	87.11	6.83	80.28	48.3	7	0	60	10,000	60.16	1.08	Carnivora
Ursus americanus LACM	281.56	19.26	262.3	160	11.8	0	276.67	140,000	61.00	0.86	Carnivora
Ursus arctos Kodiak Bear LACM	479.97	38.33	441.64	224.44	18.5	0	488.55	700,000	50.82	0.52	Carnivora
Vombatus ursinus NMV C7780			103.23	48.45	7	0	82.2	28,000	46.93	0.74	Marsupialia
				19 LIVING PRI	MATES						
Cercocebus albigena female AMNH Mammals 52583			107.84	86.65	6.7	0	79.64	7,900	80.35	1.67	Primates
Cercopithecus pygenthus male AMNH Mammals 52468			101.93	79.91	6.8	0	71.86	4,200	78.40	2.3	Primates
Chiropotes albinasa female FMNH Mammals 94927			82.57	65.26	5.9	0	53	3,000	79.03	2.12	Primates
Colobus guereza AMNH Mammals 52217			112.01	76.33	7	0	85.27	10,500	68.15	1.48	Primates
Erythrocebus patas female infant AMNH Mammals 52574			116.93	91.23	7.1	0	90.06	17,000	78.02	1.14	Primates
Homo sapiens Falk A			540.59	432.55	14.3	0	945.7	50,000	80.01	5.81	Primates
Homo sapiens Falk B			682.38	530.32	16.3	0	1,369.71	70,000	77.72	6.72	Primates
Hylobates lar Falk 386			123.4	78.11	7.1	0	99.32	8,000	63.30	2.07	Primates
Macacca mulatta brain WISC 69-307			114.72	79.45	6.2	0	71.61	6,000	69.26	1.81	Primates
Mandrillus sphinx AMNH Mammals 274			154.88	119.09	8.1	0	131.85	18,000	76.89	1.6	Primates
Nasalis larvatus male MCZ Mammals 37328			121.94	89.15	5.9	0	97	14,000	73.11	1.39	Primates
Pan troglodytes NMHM Vertebrates WISC 63-307 braincast			331.52	267.73	10.1	0	307.39	40,000	80.76	2.19	Primates

Table 1 (continued)

Species/Genera:	S cm ²	OB cm ²	S-OB cm ²	NC cm ²	L cm	MYA	E ml or g	Рg	%NC	EQ	Taxon
Pan troglodytes MCZ endocast	278.46	1.8	276.66	196.58		0	371.18	50,000	71.05	2.28	Primates
Pithecia monachus female AMNH Mammals 75981			68.05	53.09	5.9	0	39.73	1,500	78.02	2.52	Primates
Presbytis johnii female AMNH Mammals 54644			114.26	82.62	7.2	0	85.85	13,400	72.31	1.27	Primates
Pygathrix nigripes male AMNH Mammals 69555			106.08	80.39	6.5	0	77.71	7,500	75.78	1.69	Primates
Rhinopithecus avunculas male MCZ Mammals 13681			136.64	99.75	7.4	0	114.21	8,000	73.00	2.38	Primates
Simias concolor male AMNH Mammals 103359			82.54	61.19	5.8	0	54	7,000	74.13	1.23	Primates
Theropithecus gelada male FMNH Mammals 8174			146.82	108.74	8.1	0	131.08	17,000	74.06	1.65	Primates

Motoc

S, surface area; OB, olfactory bulb area; S-OB, surface area excluding olfactory bulbs; NC, neocortex; L, length of specimen image; MYA, millions of years ago; E, volume of specimen; P, body size; %NC, neocorticalization; EQ, encephalization quotient, re 2/3.

Assessing neocorticalization and encephalization over 60 million years using endocasts

Table 1 provides complete summary data on the digitized scans of mammal endocasts and brains. A complete description of endocast provenance, endocast features, and body size determinations are presented in the Supplementary Results. Below, we present the digitized endocast images. Geological Time Scale (v. 6.0), published by the Geological Society of America (*Walker & Geissman, 2022*), provided dates and correlated time periods listed below.

Edinger's early horses

To illustrate the use of endocasts in modeling neocorticalization and encephalization, the endocasts of Edinger's horses (*Edinger*, 1948), photographs of which have frequently been used to illustrate progressive brain evolution (*e.g.*, *MacFadden*, 1994; *Simpson*, 1951; subsequent sources that cite them), are first presented. Figure 2 shows scans of endocasts of five of Edinger's species and adds *Hyracotherium* (FMNH PM 59207=AMNH 55268). Edinger's "*Eohippus*" (YPM 11694, listed as "Tillyhorse" in Table 1) is from *Radinsky* (1976). The digitized models of the bodies presented in Figs. 3 and 4 are scans of careful sculptures by *Gidley* (1927), from which the length, surface area, and volume were determined. The endocast of *Mesohippus* (Fig. 4A) was larger, more encephalized, and much more convoluted than that of *Hyracotherium* (Fig. 3A). Figure 5 shows the remainder of the endocasts of Edinger's equoid genera: three fossil genera and three recent genera, including a zebra and two domesticated horses (a pony and a draft horse, reflecting body size variations within the domesticated species).

Paleocene fossils

The earliest digitized mammalian endocasts presented here are of the very large and heavy late Paleocene *Titanoides* and *Barylambda*, and the smaller *Arctocyon*, with the Paleocene sampled, here defined as about 66 Ma to 56 Ma. The Paleocene-Eocene boundary in our sample is somewhat artificial; the *Phenacodus* specimen is an individual that had survived into the early Eocene, but it should be representative of Paleocene members of the genus. These species are shown in Fig. 6.

Early eocene fossils

The Early Eocene dates used here are from 56 Ma to 42 Ma, and the endocasts of *Coryphodon*, *Palaeosyops*, *Heptodon*, and *Isectolophus* are shown in Fig. 7; Edinger's Eocene "Eohippus" and *Hyracotherium* are shown in Figs. 2 and 3; *Hyrachyus*, *Orthocynodon* ("Amynodon"), *Amynodon*, and *Eomoropus* are shown in Fig. 8; *Mesatirhinus junius*, *Mesatirhinus petersoni*, *Pachyaena*, and *Mesonyx* are shown in Fig. 9; and *Smilodectes* and *Notharctus* are shown in Figs. 10A and 10B.

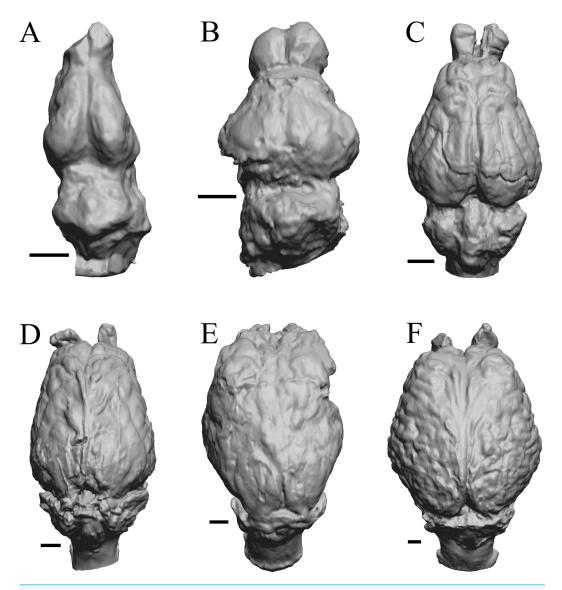


Figure 2 Five Edinger equoids plus one. Digitized images of six fossil endocasts sketched by *Edinger* (1948) and *Radinsky* (1976). Endocasts are in dorsal view with the rostral pole pointed at the top of the figure. (A) Edinger's Eocene "*Eohippus*" ("Tillyhorse") (YPM VP 11694). (B) Eocene "*Hyracotherium*" *tapirinum* (AMNH FM 55268 = FMNH PM 59207). (C) Oligocene *Mesohippus bairdi* (AMNH FM 9814 = FMNH PM 59221). (D) Miocene *Merychippus isonesus* (AMNH FM 71150 = FMNH PM 59208). (E) Mio-Pliocene *Pliohippus* (FMNH P 15870). (F) Pleistocene La Brea Horse *Equus occidentalis* (LACMHC 3500-17). Scale bars = one cm.

Later Eocene fossils

Here, "Later Eocene" is 42 Ma to 34 Ma. The endocast images of *Necrolemur* and *Adapis* are shown in Fig. 10; *Uintatherium*, *Menodus* (*Titanotherium*), *Moeritherium*, and *Arsinotherium* in Fig. 11; *Pterodon*, *Cynodictis*, *Cynohyaenodon*, and *Procynodictis* in Fig. 12;

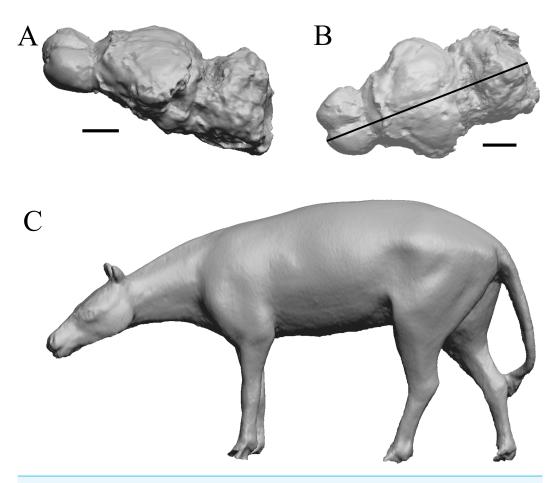


Figure 3 Hyracotherium endocast and body. (A) 3D image of the Hyracotherium endocast (AMNH FM 55268 = FMNH PM 59207, Radinsky, 1976) in left dorsolateral view. (B) Image of endocast in dorsal view prior to rendering with rostral pointing left; measured length (black line) marked by software. (C) Scan of model sculpted by Gidley (1927). Scale bars = one cm. Figure 4. Mesohippus endocast and body. (A) Mesohippus bairdi endocast in left dorsolateral view (AMNH FM 9814 = FMNH PM 59221). (B) Tessellated image of the endocast in left dorsolateral view, showing length line. (C) Digital image of the Gidley model, with length markings and author's notes. Scale bars = one cm.

Cebochoerus, Hylomeryx, Mixtotherium, and Chadronia in Fig. 13; and Anoplotherium, Patriomanis, Poebrotherium, and Bathygenys in Fig. 14.

Oligocene fossils

The "Oligocene" samples date from 34 Ma to 23 Ma. These dates anchor the analysis of neocorticalization as changes with the passage of time. *Daphoenus, Dinictis, Eusmilus*, and *Hoplophoneus* are shown in Fig. 15; *Merycoidodon, Mesohippus, Promerycochoerus*, and *Hesperocyon* in Fig. 16; *Leptictis (Ictops), Leptauchenia, Halitherium*, and *Hapalops* in Fig. 17; *Leontinia, Rhynchippus, Archaeotherium*, and *Promartes* in Fig. 18; and *Mesocyon* in Fig. 19A.

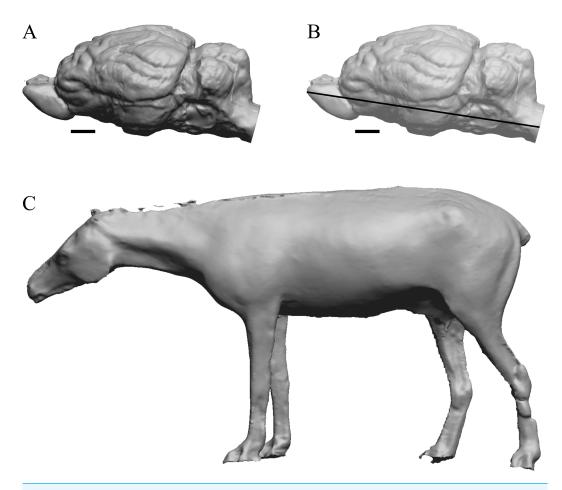


Figure 4 *Mesohippus.* **endocast and body.** (A) *Mesohippus bairdi* endocast in left dorsolateral view (AMNH FM 9814 = FMNH PM 59221). (B) Tessellated image of the endocast in left dorsolateral view, showing length line. (C) Digital image of the Gidley model, with length markings and author's notes. Scale bars = one cm.

Mio-Pliocene fossils

This group dates from 23 Ma to mid-Pliocene, about 3 Ma. Mustelictis, Leptocyon, and Eporeodon are shown in Fig. 19; Enaliarctos, Potamotherium, Plesiogale, and Zodiolestes in Fig. 20; Desmathyus (Hesperhyus), Oxydactylus, Homalodotherium, and Borhyaena in Fig. 21; Protypotherium, Proterotherium, Nesodon, and Merycochoerus in Fig. 22; Adinotherium, Merychippus (Atavahippus), Plionictis, and Pseudaelurus in Fig. 23; Paracynarctus, Ustatochoerus (note: endocast volume is large due to expanded cerebellum, but relative telencephalon size is comparable that of modern species), Carpocyon ("Osteoborus"), and Pseudhipparion in Fig. 24; Paratomarctus, Hemicyon, Pseudotypotherium, and Tyopotheriopsis in Fig. 25; and Cormohipparion, Procamelus, Homotherium, and Mylodon in Fig. 26.

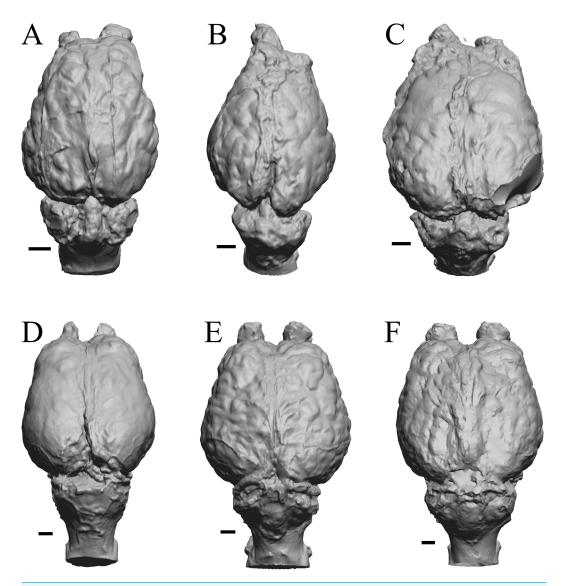


Figure 5 Six of Edinger's later horses; additional digitized Edinger endocasts. Endocasts are in dorsal view with the rostral pole pointed at the top of the figure. (A) *Pseudhipparion* (AMNH FM 70025 = FMNH PM 59211). (B) *Neohipparion* (FMNH P 15871). (C) *Cormohipparion* (AMNH FM 71886 = FMNH PM 59220). (D) *Equus* sp. (zebra, LACM Mammals 342). (E) *Equus caballus* (Arabian horse, LACM). (F) *Equus caballus* (draft horse, LACM). Scale bars = one cm.

Plio-pleistocene and recent fossils

Glossotherium, Arctodus, Aenocyon dirus, and Megalonyx are shown in Fig. 27; Nothrotheriops, Panthera, Smilodon, and Urocyon are shown in Fig. 28; Platygonus, Sthenurus, Thylacoleo, and Archaeolemur are shown in Fig. 29; Pachylemur (Lemur) insignis, and Palaeopropithecus, Australopithecus robustus, and Australopithecus africanus in Fig. 30.

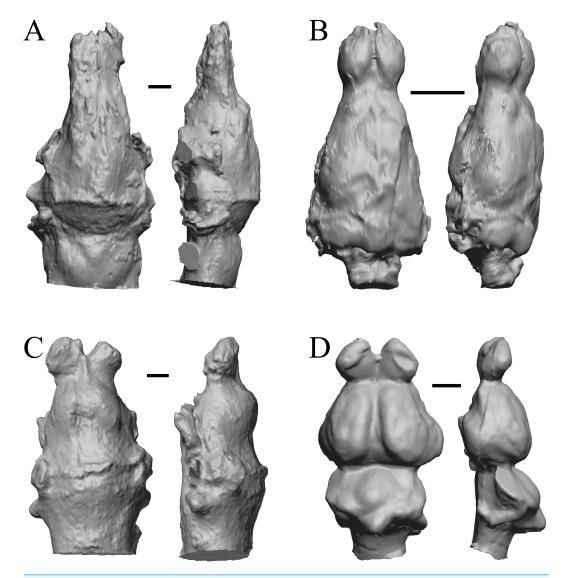


Figure 6 *Titanoides, Arctocyon, Barylambda, Phenacodus*. All endocasts in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (A) *Titanoides primaevus* (FMNH PM 8655). (B) *Arctocyon primaevus* (MNHN F CR700). (C) *Barylambda schmidti* (FMNH P 26075 and FMNH P 15573). (D) *Phenacodus primaevus* (AMNH FM 4369 = FMNH PM 59042). Scale bars = one cm. Further details may be found in Additional Information.

Cetacean fossils

As in the living cetacean brain, no rhinal fissure exists in these fossils and thus no indication of an olfactory bulb or tract; therefore, we could not assess neocorticalization. See scans of the three fossil whales in the left panel of Fig. 31.

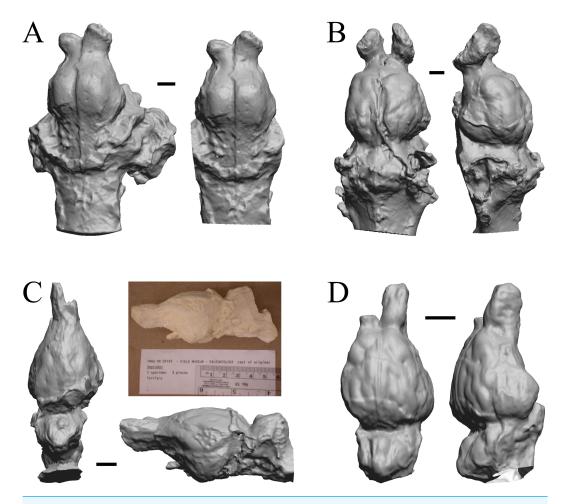


Figure 7 Coryphodon, Palaeosyops, Heptodon, Isectolophus endocasts. (A) Coryphodon hamatus (YPM VP 11331 = FMNH PM 59241) in left dorsolateral (left) and dorsal (right) views with rostral pole pointed at the top of the figure. (B) Palaeosyops leidyi (FMNH PM 59198) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (C) Three views of Heptodon sp. (FMNH PM 59193) Vertically oriented endocast in dorsal view at left; photograph of specimen above center; horizontally oriented endocast in left lateral view below center. (D) Endocast of Isectolophus latidens (AMNH FM 12222 = FMNH PM 59179) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. Scale bars = one cm. Further details may be found in Additional Information.

Extant non-primate mammals

Aonyx, Ursus (Black Bear), Canis latrans, and Felis catus are shown in Fig. 32; Cerdocyon, Odocoileus, Ursus (Kodiak), and Lama are shown in Fig. 33; Lutra lutra, Lutra canadensis, Procyon endocast and braincast, and Nasua are shown in Fig. 34; and Phascolarctos, Macropus, Vombatus, and Taxidea in Fig. 35.

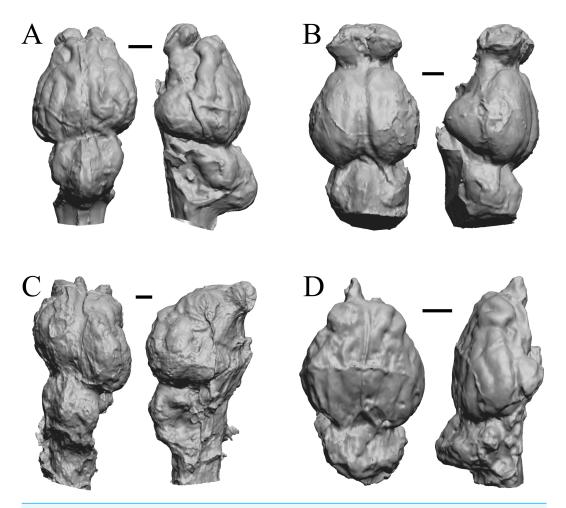


Figure 8 Hyrachyus, Orthocynodon ("Amynodon"), Amynodon, Eomoropus endocasts. (A) Hyrachyus modestus (YPM VP 11082 = FMNH PM 59240) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (B) Orthocynodon sp. (YPM VPPU 10145 = FMNH PM 59177) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (C) Amynodon advenus (YPM VP 11453 = FMNH PM 59231) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (D) Eomoropus amaorum (AMNH FM 5096 = FMNH PM 59182) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. Scale bars = one cm.

Extant primates

Chiropotes, Mandrill, Homo-Falk A, and Homo-Falk B are shown in Fig. 36, and primate endocasts and braincasts (16 left hemisphere endocasts) are shown in Fig. 37.

Brain size—uses and limitations

For closely-related extant mammal species, brain size, and especially relative brain size, has been put forth as a generally effective tool for estimating many traits such as information processing capacity (*i.e.*, "intelligence"; *e.g.*, *Roth & Dicke*, 2005) and sources therein, but also note the authors' emphasis on number of cortical neurons rather than brain size;

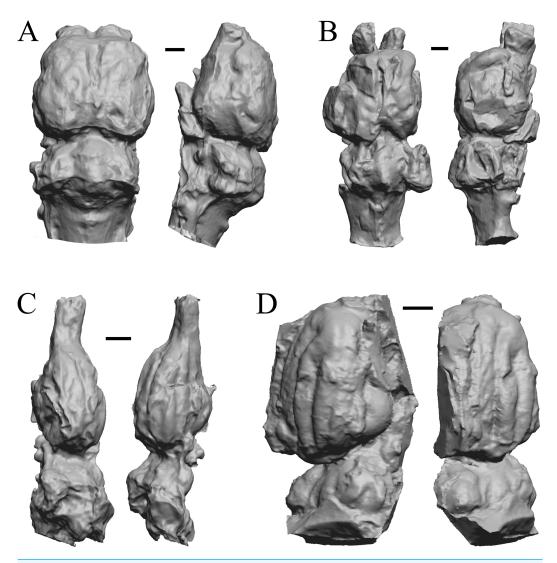


Figure 9 Mesatirhinus junius, Mesatirhinus petersoni, Pachyaena, Mesonyx endocasts. (A) Mesatirhinus junius (YPM VPPU 10041 = FMNH PM 59197) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure; the lateral view on the right shows the rhinal fissure. (B) Mesatirhinus petersoni (AMNH FM 1509 = FMNH PM 59196) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (C) Pachyaena ossifraga (YPM VPPU 14708) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure; the forebrain and hindbrain are linearly aligned, similar to many Eocene species. (D) Mesonyx obtusidens (YPM VP 13141 = FMNH PM 57139) in right lateral (left) and dorsal (right) views with rostral pole pointed at the top of the figure; about half of the brain and matrix was present, and excess matrix was removed when preparing the digital image. Scale bars = one cm.

(Striedter & Northcutt, 2019) and sources therein, (Smaers et al., 2021); but see Van Schaik et al., 2021), the size of certain gross brain regions (e.g., O'Keefe & Nadel, 1978; Stephan, Frahm & Baron, 1981; Stephan, Baron & Frahm, 1991; Jerison, 1991; Barton & Harvey, 2000; Baddeley, 2007; Brown et al., 2016), brain and cortex surface areas (Jerison, 1982;

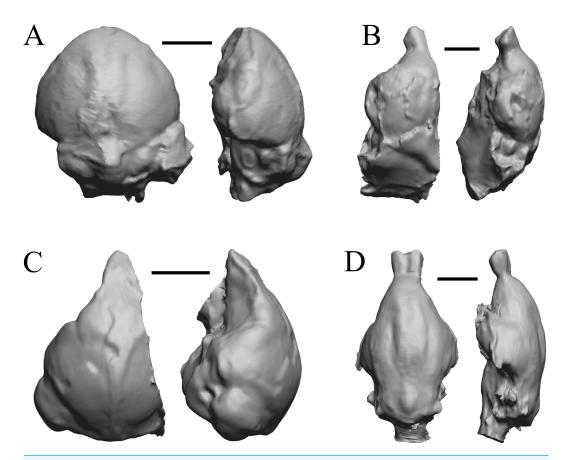


Figure 10 Smilodectes, Notharctus, Necrolemur, Adapis endocasts. All endocasts in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (A) Smilodectes gracilis (YPM VP 12152 = FMNH PM 59263). (B) Notharctus tenebrosus (FMNH PM 59264); estimating neocorticalization was difficult because of the fragmented endocast, which was of approximately half the brain region and included posterior "brain" and matrix. (C) Necrolemur antiquus (YPM VP 18302 = FMNH PM 59261), mostly left hemisphere. (D) Adapis parisiensis (NHMUK M1340 = FMNH 59259). Scale bars = one cm. Full-size DOI: 10.7717/peerj.19826/fig-10

Ridgway & Brownson, 1984; Haug, 1987; this study), and neuronal density (Herculano-Houzel, 2010; Herculano-Houzel, 2017; Dicke & Roth, 2016). Yet, lack of functional demand for, or gradual disuse of, brain regions can result in reorganization of the cortical projections with important changes in the details of the brain maps (e.g., Qi, Stepniewska & Kaas, 2000). Importantly, changes in cortical organization are rarely if ever observable on endocasts and thus represent a limitation to how much can be extrapolated from comparative analyses of extant taxa. Indeed, uniquely specialized behavioral capacities and related brain structures+functions evolved, and it remains unclear if endocasts consistently and fully capture the frequency and/or nuances of these instances of reorganization through deep time. We note that some studies have been successful at showing evolutionary impact of functional demands on the evolution of brain size and shape (e.g., Bertrand et al., 2021; Bertrand et al., 2024a; Bertrand et al., 2024b; Schwartz et al., 2023).

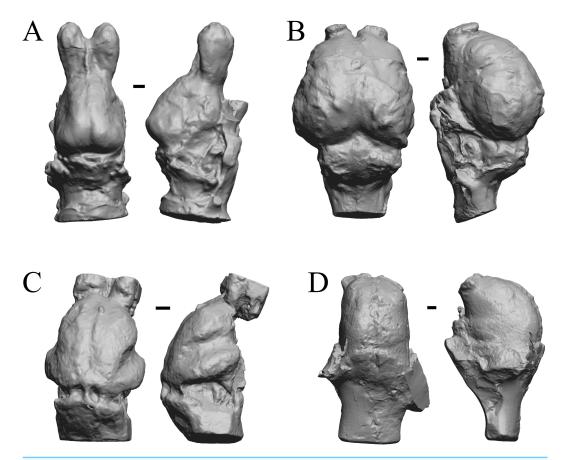


Figure 11 Moeritherium, Arsinotherium endocasts. (A) Uintatherium anceps (YPM VP 11036) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (B) Menodus (Titanotherium) ingens (FMNH PM 59199) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (C) Moeritherium (NHMUK PV M 9176 b) in dorsal (left) and right lateral (right) views, with rostral pole pointed at the top of the figure. (D) Arsinotherium zitelli (NHMUK PV M 8539) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure; rhinal fissure is not visible. Scale bars = one cm. Further details may be found in Additional Information.

Yet, endocasts remain a rich source of information about brain size, shape, and composition in extinct taxa, especially if the uniformitarian hypothesis (Simpson, 1970) holds for the neurobiology and physiology of vertebrates. Further, especially in mammals and birds, fidelity between brain and endocast produces highly detailed endocasts (e.g., Jerison, 1969; Jerison, 1973; Jerison, 1977; De Miguel & Henneberg, 1998; Iwaniuk & Nelson, 2002; Macrini et al., 2007; Watanabe et al., 2019; Early et al., 2020), and these close brain:endocast relationships appears to extend far into the fossil record (e.g., Rowe, Macrini & Luo, 2011; Balanoff, Smaers & Turner, 2016 and sources therein). There are rare but specific cases where evidence from fossils sometimes contests the uniformitarian hypothesis. For example, the endocast volume of the Eocene Hyracotherium in this study is 24 ml, and given endocast shape, we roughly estimate the approximate volume of its

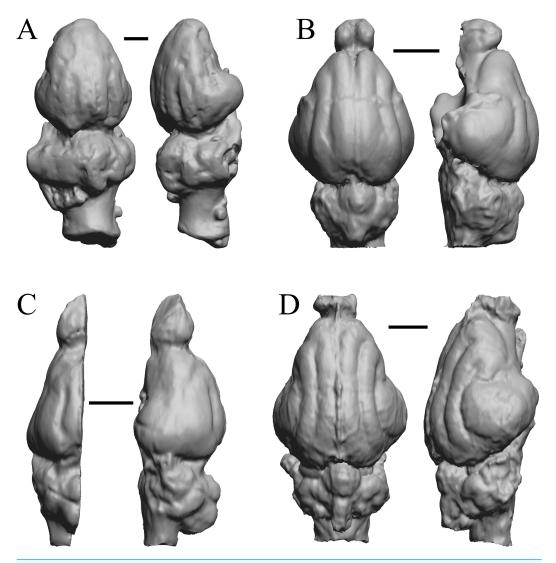


Figure 12 Pterodon, Cynodictis, Cynohyaenodon, Procynodictis endocasts. (A) Pterodon dasyuroides (NHMUK PV M 25985 b) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure; olfactory bulbs not preserved. (B) Cynodictis cayluxi (FMNH PM 59013) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (C) Cynohyaenodon cayluxi (FMNH PM 57153) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure; (D) Procynodictis angustidens, (AMNH FM 95590 = FMNH PM 57168) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. Scale bars = one cm. Further details may be found in Additional Information.

cerebellum as at least five ml—about twice as large as expected given comparative data. Although these cases inject complexity in the interpretation of quantitative trends, there are nevertheless present interesting demonstrations of difference that have potential to shape our understanding of how, when, and, perhaps why, brain regions evolve.

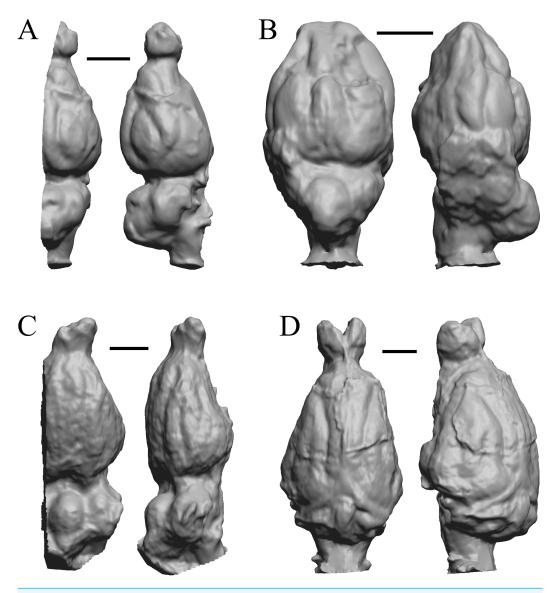


Figure 13 Cebochoerus, Hylomeryx, Mixtotherium, Chadronia endocasts. (A) Cebochoerus lacustris (FMNH PM 59051) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure; only a partial endocast was available. (B) Hylomeryx (Sphenomeryx) quadricuspis (CM VP 2915 = FMNH PM 59055) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure; olfactory bulbs missing. (C) Mixtotherium cuspidatum (FMNH PM 59052) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (D) Chadronia margaretae (AMNH FM 109412 = FMNH PM 57129) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. Scale bars = one cm. Further details may be found in Additional Information.

Full-size DOI: 10.7717/peerj.19826/fig-13

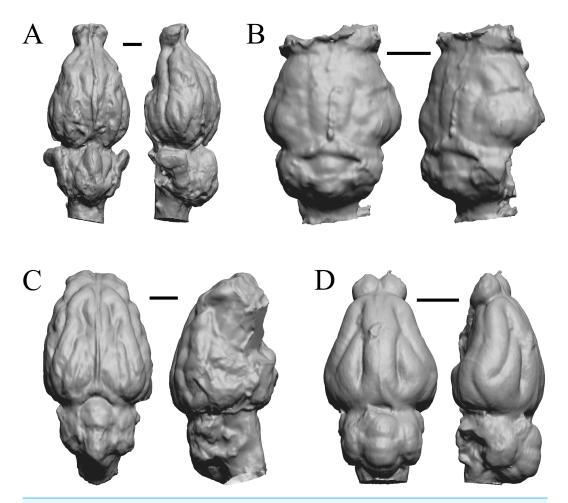


Figure 14 Anoplotherium, Patriomanis, Poebrotherium, Bathygenys endocasts. (A) Anoplotherium commune (NHMUK PV M 3753) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (B) Patriomanis americana (AMNH FM 78999 = FMNH PM 57103) in dorsal (left) and right dorsolateral (right) views with rostral pole pointed at the top of the figure. (C) Poebrotherium (AMNH F:AM 31700 = FMNH PM 59167) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (D) Bathygenys reevesi (TMM TXVP 40209-431) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. Scale bars = one cm. Further details may be found in Additional Information.

Mammalian olfactory bulbs

Evolutionary studies of amniote olfactory bulbs are a challenge, especially for non-mammals, because olfactory bulbs may be broken, distorted, or not visible on natural endocasts. Because of the uncertainties, our analyses of neocorticalization exclude the olfactory bulbs from the measurement of the endocast. However, all digitized endocast files and associated figures in this paper include the olfactory bulb for potential future study. For additional information on specific cases, see Supplemental Information 1B.

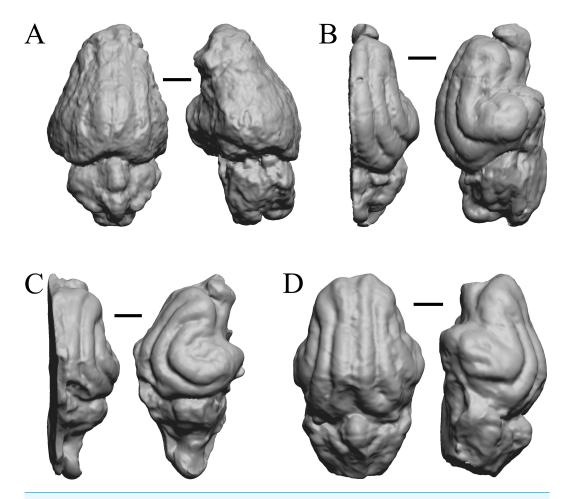


Figure 15 Daphoenus, Dinictis, Eusmilus, Hoplophoneus endocasts. (A) Daphoenus vetus (FMNH PM UM1) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure; olfactory bulbs were not preserved in the specimen. (B) Dinictis felina right hemisphere (SDSM 2431 = FMNH PM 58866) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (C) Eusmilus bidentatus (FMNH PM 58871) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure; only about half of the brain is present in the right hemisphere. (D) Hoplophoneus primaevus (USNM Paleobiology V 22538) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure; olfactory bulbs not recovered. Scale bars = one cm.

Midbrain exposure in mammals

Dorsal midbrain (tectal) exposure is striking in the koala (*Phascolarctos cinereus*) brain (*Haight & Nelson*, 1987) but is obscured on the endocast by the overlying confluence of sinuses (Fig. 35A). The morphological and/or functional underpinnings(s) of this prominent tectum is/are not yet well understood. Interestingly, some, but not all, extant bats have visible tecta on their endocasts (*Maugoust & Orliac*, 2023), and the colliculi in at least some extinct bats are visible in endocasts (*Maugoust & Orliac*, 2021 and sources therein). Thus, we find no predictable pattern for when or how to model an exposed dorsal

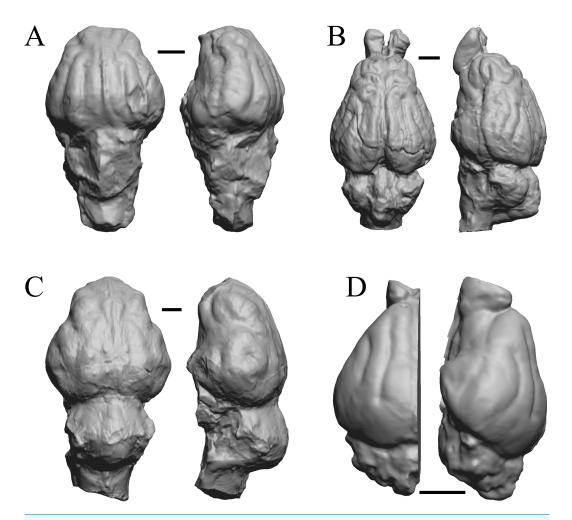


Figure 16 Merycoidodon, Mesohippus, Promerycochoerus, Hesperocyon endocasts. All endocasts in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (A) Merycoidodon culbertsoni (FMNH PM UM3); the scanned endocast did not include an olfactory bulb region. (B) Mesohippus bairdi (AMNH FM 9814 = FMNH PM 59221). (C) Promerycochoerus superbus (YPM VP 11002 = FMNH PM 59072). (D) Hesperocyon gregarius (FMNH PM 58989). Scale bars = one cm. Further details may be found in Additional Information.

midbrain in extinct mammalian taxa unless there is direct evidence for an exposed tectum on the endocast (*e.g.*, four separate bumps for the corpora quadrigemina).

Increased neocorticalization in mammals

We graph increase in neocortical surface ratio (forebrain surface area/total surface area of the brain or endocast) over geologic time in Fig. 38. In Fig. 38, extant species line up as the vertical column of points at 0 Ma. At 60 Ma (X = -60), the average neocorticolization for mammals sampled is 15%. Indeed, we reasonably approximate the earliest sampled extinct species, *Arctocyon* and *Titanoides*, as neocorticalized at 22.5% (compare to 10.3% with olfactory bulbs included in *Bertrand et al.*, 2022) and 14.1%, respectively. Today (0

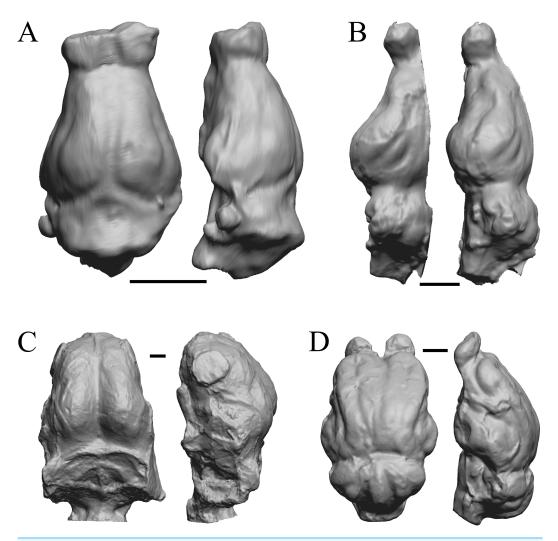


Figure 17 Leptictis (Ictops), Leptauchenia, Halitherium, Hapalops endocasts. All endocasts in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (A) Leptictis (=Ictops acutidens Douglass). (B) Leptauchenia decora left hemisphere (AMNH FM 627 = FMNH PM 59074). (C) Halitherium schinzi (SMF M 3921); the endocast had a small postorbital extension, which was removed. (D) Hapalops sp. (Harry Jerison's personal collection, attributed to LACM). Scale bars = one cm.

Ma, X = 0), average neocorticaliation is 58%. These results are similar to the preliminary study of *Jerison* (2012) that reported an increase of 5% neocorticalization per 10 million years. For further useful comparisons and updates to these findings, see *Bertrand et al.*, 2022 and *Bertrand et al.*, 2024a.

Briefly, we note here that the euprimates included in our analysis and that of *Jerison* (2012) come out to be "above average" with respect to neocortical size. However, we defer to the results and discussion of *Long*, *Bloch & Silcox* (2015) for more in-depth consideration and analyses of stem primates.

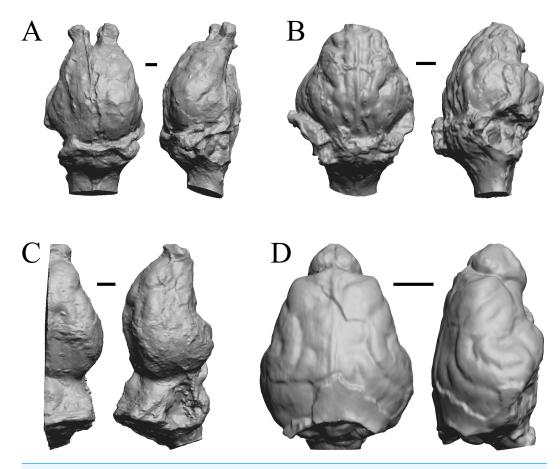


Figure 18 Leontinia, Rhynchippus, Archaeotherium, Promartes endocasts. All endocasts in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (A) Leontinia gaudryi (FMNH P 13285). (B) Rhynchippus equinus (FMNH P 13410). (C) Archaeotherium mortoni right hemisphere (YPM VPPU 10908 = FMNH PM 59061). (D) Promartes olcotti (FMNH P 25233). Scale bars = one cm.

Neocorticalization and encephalization in mammals

As similarly reported in *Jerison* (2012), graphing mammalian neocortical surface area against EQ (Fig. 39) demonstrates that neocortal expansion plateaus at about 80% once EQ reaches \sim 2.0. That this plateau exists suggests that neocorticalization is constrained by factors unrelated to brain size, which itself shows no upper-limit fall-off. Certainly, topics of neural packing constraints (*e.g.*, *Assaf et al.*, 2020) and the phylogenetic conservation of mammalian order connectomes (*Suarez et al.*, 2022), scaling of brain matter composition (*Ardesch et al.*, 2022), and neuronal wiring costs in mammals (*Huang & Yu*, 2023) are hot topics in the literature. We look forward to future illuminating discoveries in these fields.

Mammalian encephalization

As a between-species trait, brain size is determined primarily by body size, and that is its "allometric" factor. Further, mammalian relative brain size enlarged beyond expectations

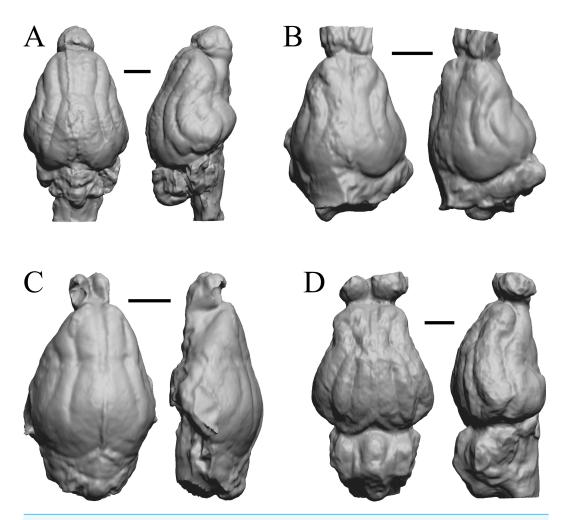


Figure 19 *Mesocyon, Mustelictis, Leptocyon, Eporeodon* endocasts. (A) *Mesocyon coryphaeus* (AMNH FM 6946 = FMNH PM 58979) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (B) *Mustelictis piveteaui* (FMNH PM 58907) in dorsal (left) and right dorsolateral (right) views with rostral pole pointed at the top of the figure. (C) *Leptocyon* sp. (FMNH PM 58961 = F:AM 49063) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (D) *Eporeodon socialis* (YPM VP 13118 = FMNH PM 59076) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. Scale bars = one cm.

set by the trend of non-mammalian vertebrates (Fig. 40). (Notably, independent variations in body size impact changes in relative brain size, too.) Importantly, we remind readers that this result should not be taken at face-value or as a novel finding because our analysis does not include data or results from recent studies on the evolution of relative brain size in mammals (e.g., Bertrand et al., 2022). About 80% of the variance in brain size in extant mammals is attributable to body size differences (Fig. 40B; r = 0.81). The residual from the allometric regression of log brain size on log body size is the statistic that defines an encephalization quotient (EQ). Thus, across species, EQ presumably describes at least some of the remaining 20% variance, which is evidenced by the variability of EQ in our sample.

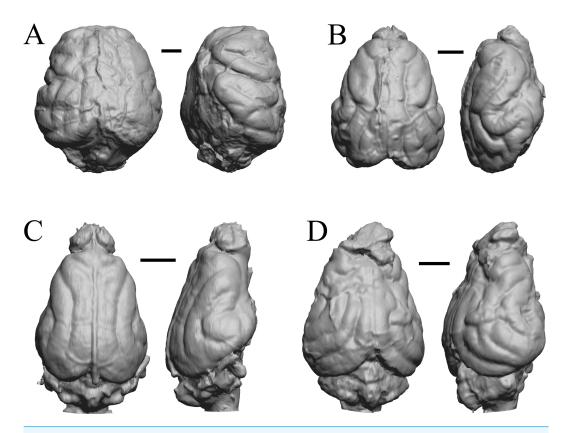


Figure 20 Enaliarctos, Potamotherium, Plesiogale, Zodiolestes endocasts. (A) Enaliarctos sp. (FMNH PM 57161) in dorsal (left) and left ventrolateral (right) views with rostral pole pointed at the top of the figure; olfactory bulbs and partial hindbrain missing. (B) Potamotherium valetoni (NHMUK PV M 29357 = FMNH PM 58906) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (C) Plesiogale paragale (NMB M.A.4641) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (D) Zodiolestes daimonedlixensis (FMNH P 12032) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. Scale bars = one cm.

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Mammal-reptile boundary

Brain-body relationships in large numbers of living amniotes (mammals, N = 647; birds, N = 219; and reptiles, N = 59) have been described historically using convex polygons to better understand inter-class allometric relationships (*Jerison, 2007*; Fig. 40A). Extant birds and mammals show similar encephalization, with the bird polygon overlapping a portion of the larger mammalian polygon. Results for extant reptiles show them to be less encephalized (see also *Van Dongen, 1998* for larger sample size), and their polygon rests below those for birds and mammals. Non-amniote vertebrates (not graphed in Fig. 40) fall within or below the reptile polygon (further evidence: *Van Dongen, 1998, Jerison, 2001b*). Electric fish, however, are within the mammalian range, cartilaginous fish overlap the reptilian and mammalian ranges, and "agnathans" form a small polygon at the lower margin of the main fish polygon (for details, see *Jerison, 2000*).

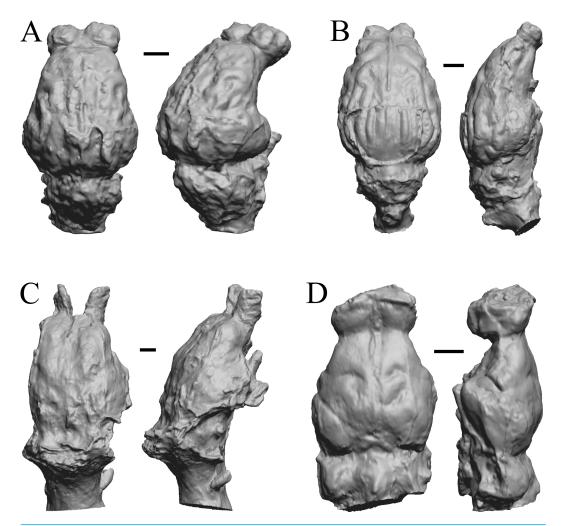


Figure 21 Desmathyus (Hesperhyus), Oxydactylus, Homalodotherium, Borhyaena endocasts. (A) Desmathyus sp. (Hesperhyus) (CM VP 1423 =FMNH M 59066) in dorsal (left) and right dorsolateral (right) views with rostral pole pointed at the top of the figure. (B) Oxydactylus longipes (FMNH P 12117) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (C) Homalodotherium sp. (FMNH PM 59291) in dorsal (left) and right dorsolateral (right) views with rostral pole pointed at the top of the figure. (D) Borhyaena tuberata (FMNH P 13266) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure.

Re-examining these historical polygons in light of this perspective study, we added all digitized data and their regression line in Fig. 40B; only the fossil data and their regression line were added to Fig. 40C. Unsurprisingly, only a few of the extinct mammals fell below the lower boundary of the extant mammalian polygon, representing a potential "starting point" for the encephalization that took place as mammals evolved to reach the present lower limit.

However, the datum contributed by *Arctocyon primaevus* is surprising and represents a mammalian point falling within the (extinct) dinosaur polygon. This requires

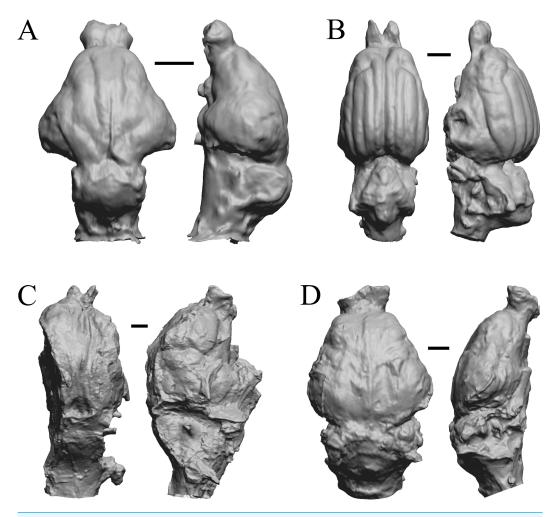


Figure 22 Protypotherium, Proterotherium, Nesodon, Merycochoerus endocasts. (A) Protypotherium australe (FMNH P 13046) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (B) Proterotherium cavum (AMNH FM 9245 = FMNH PM 59742) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (C) Nesodon imbricatus (FMNH P 13076) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure; part of left hemisphere missing. (D) Merycochoerus proprius (AMNH FM 43016 A = FMNH PM 59081) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. Scale bars = one cm.

reconsideration of previous conclusions about the allometric border between mammals and reptiles (*Jerison*, 1973), as dinosaurs have hitherto been considered a natural extension of the polygon of extant reptiles. The datum on *Arctocyon* is robust, with the endocast prepared by *Russell & Sigogneau-Russell* (1965) quite brain-like (Fig. 6), and the measurements accurate. Although the body size was originally uncertain, a reanalysis of the skeletal material by *Argot* (2013) is definitive, making the body size estimate as good as it can be. Interestingly, and relevant to this finding for *Arctocyon*, *Bertrand et al.* (2022) found a temporary lag in

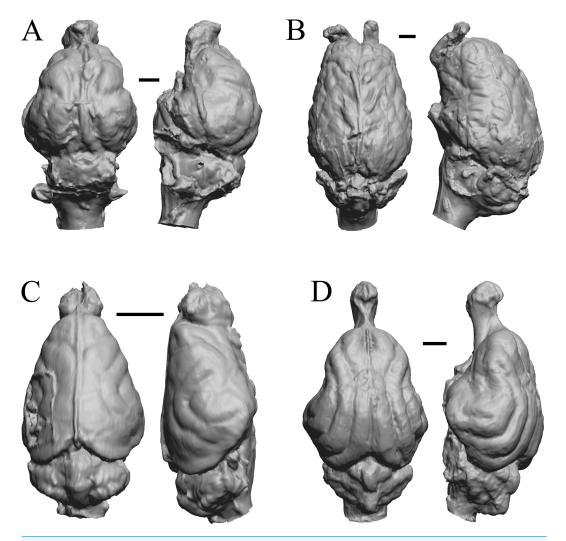


Figure 23 Adinotherium, Merychippus, Plionictis, Pseudaelurus endocasts. (A) Adinotherium ovinum (FMNH P 12986) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (B) Merychippus isonesus (AMNH FM 71150 = FMNH PM 59208) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (C) Plionictis sp. (AMNH FM 25314 = FMNH PM 58945) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (D) Pseudaelurus validus (AMNH FM 61835 = FMNH PM 58867) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. Scale bars = one cm. Further details may be found in Additional Information.

relative brain size for placental mammals in the Paleocene as compared to the Mezozoic due to body size increasing prior to brain size.

Therefore, the area of the polygon drawn for dinosaurs requires reconsideration. Fig. 40D summarizes a new view of mammal-dinosaur allometric relationships. HJJ redrew the upper boundary of the reptile-dinosaur convex polygon, and instead of connecting foci of the extant reptile polygon to a convex polygon that included speculations about

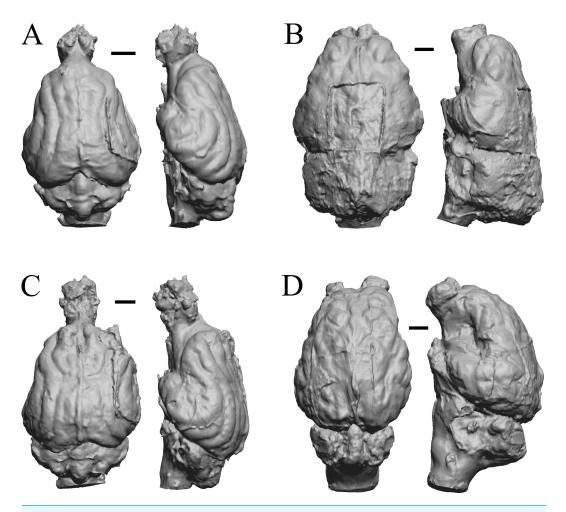


Figure 24 Paracynarctus, Ustatochoerus, Carpocyon ("Osteoborus"), Pseudhipparion endocasts. All endocasts in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (A) Paracynarctus sinclairi (AMNH FM 61009 = FMNH PM 58973). (B) Ustatochoerus profectus (AMNH FM 33617 = FMNH PM 59071); (C) Carpocyon webbi (AMNH FM 61328 = FMNH PM 58964). (D) Pseudhipparion gratum (AMNH FM 70025 = FMNH PM 59211). Scale bars = one cm.

dinosaur brain sizes, in Fig. 40D, HJJ extended the reptile polygon (dotted line) to include larger body sizes. The earlier drawing assumed that dinosaur brains were half the volume of their endocasts and bounded their assumed brain sizes. The new extended boundary of the reptile polygon is a brain boundary (not a brain-endocast boundary) assumes that living reptile brain sizes would best estimate dinosaur brain sizes, with a parallel lower boundary drawn through the smallest reptile brain sizes to complete the new convex polygon. This finding is generally corroborated by the findings of *Morhardt* (2016, chapter 3), which incorporate modern phylogenetic methods.

The newly drawn boundaries do not depend on prior estimates of brain-endocast relationships in dinosaurs. Rather, they assume that dinosaur brains would follow similar

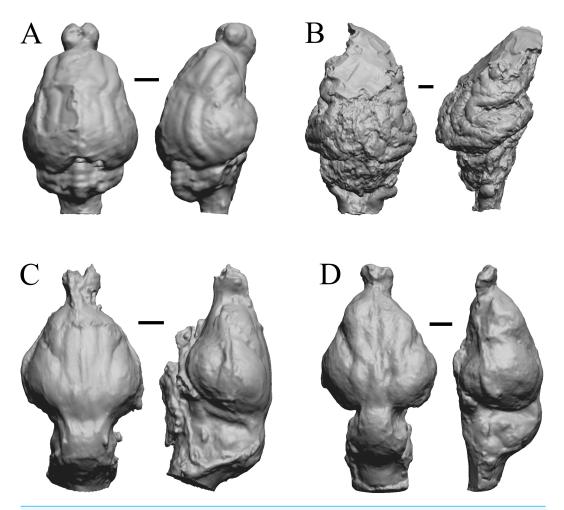


Figure 25 Paratomarctus, Hemicyon, Pseudotypotherium, Tyopotheriopsis endocasts. (A) Paratomarctus euthos (AMNH FM 61074) in dorsal (left) and right dorsolateral (right) views with rostral pole pointed at the top of the figure. (B) Hemicyon cf. barbouri (AMNH FM 25530 = FMNH PM 59030) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (C) Pseudotypotherium pseudopachygnathum (AMNH FM 14509 = FMNH PM 59292) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (D) Typotheriopsis internum (FMNH P 14420) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. Scale bars = one cm.

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size rules as living reptile brains (again, see *Morhardt*, 2016, chapter 3; but see *Caspar et al.*, 2024 for updates and further details on patterns for specific dinosaur groups and their impact on the findings of this study). In Fig. 40D, fifty-nine data points from extant reptiles (*Platel*, 1979) were overlain on the reptile polygon to help visualize how the polygon was drawn, as well as to show that the original polygon, established from far fewer data points (*Jerison*, 2007), remains useful. The maxima of the extant reptile polygon are a 134 kg crocodile (*Crocodylus acutus*) and a 205 kg alligator (*Alligator mississippiensis*), with their brains measuring 15.6 g and 14.08 g, respectively. Using these new boundaries, the lowest

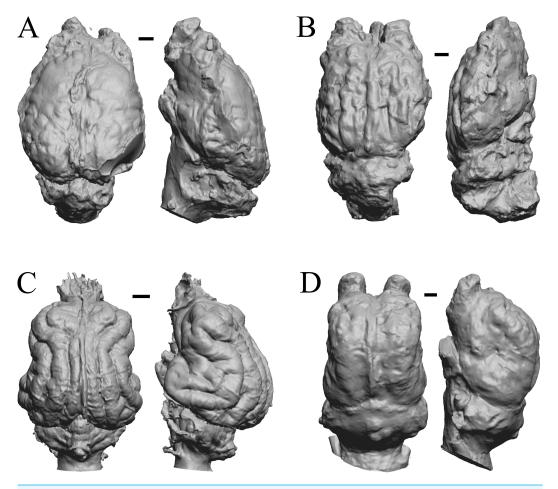


Figure 26 Cormohipparion, Procamelus, Homotherium, Mylodon endocasts. (A) Cormohipparion occidendale (AMNH FM 71886 = FMNH PM 59220) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (B) Procamelus grandis (AMNH FM 40425 = FMNH PM 59160) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (C) Homotherium sp. (AMNH FM 95297 = FMNH PM 58891) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (D) Mylodon sp. Owen (1840) (LACM 157696) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. Scale bars = one cm.

mammalian point, *Arctocyon primaevus*, now lies above the reptile polygon (Fig. 40D), still supporting the hypothesis that there is a distinct mammal-reptile boundary.

Exponential increases in mammalian cortical surface area

Surface-area-to-volume relationships for extant and extinct mammal taxa are presented in Fig. 41, with endocast surfaces of all sampled taxa (extinct and extant) regressed against their respective endocast volumes in Fig. 41A, and *cortical* surface areas of all sampled extant taxa regressed against brain size (volume) in Fig. 41B. The regression equation in Fig. 41B is a power function with the exponent 0.91. That this exponent is much greater than 2/3 (*i.e.*, consistent scaling of a 3D object and its surface area; see exponent in Fig. 41A) shows

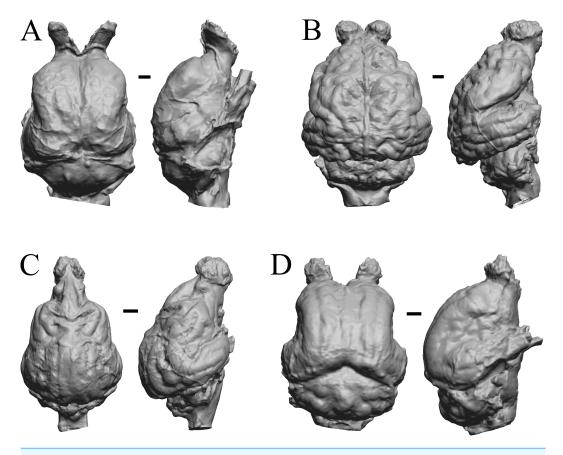


Figure 27 Glossotherium, Arctodus, Aenocyon dirus, Megalonyx endocasts. All endocasts in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (A) Paramylodon harlani (LACMHC 1717-33). (B) Arctodus simus (Tremarctotherium) (FMNH PM 59022, attributed in HJJ's notes to LACM). (C) Aenocyon dirus (LACMHC 2300-82). (D) Megalonyx jeffersoni (Harry Jerison's personal collection). Scale bars = one cm.

that as brain size increases, the *rate* at which surface area increases accelerates in a highly predictable (r = 0.996) fashion. We attribute this rate change to exponential increases in convolutedness. To clarify, although convolutedness appears here to be almost entirely a function of brain size, there is further evidence that species do differ in convolutedness, at least at the ordinal level (*e.g.*, see manatees and beavers in this study). The difference is small (*Pillay & Manger*, 2007), and it reflects the patterning of convolutions in orders of mammals (*Welker*, 1990; *Van Essen*, 1997), in particular of ungulates compared to other orders. Another caveat to consider here is the possibility for interspecific variation in convolution patterns on endocasts (*Welker*, 1990).

Proper mass

As stated earlier, despite general consistency in mammalian brain:brain region scaling, instances have been identified in which brain regions undergo statistically significant size change relative to other closely related species and in response to evolutionary changes

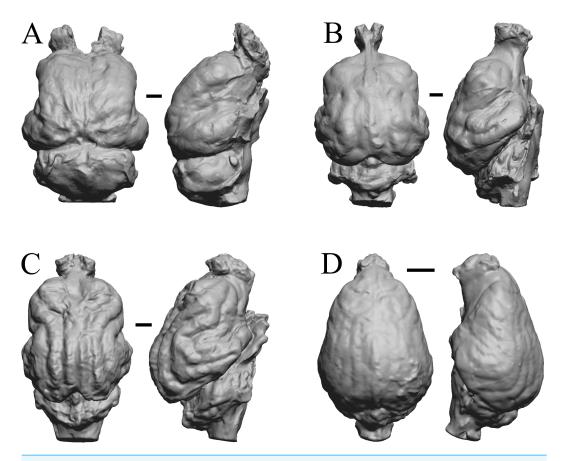


Figure 28 Nothrotheriops, Panthera, Smilodon, Urocyon endocasts. (A) Nothrotheriops shastensis (LACMHC 1800-6) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (B) Panthera atrox (LACMHC 2900-1) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (C) Smilodon fatalis (LACMHC 2001-199) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (D) Urocyon cinereoargenteus (UCMP V 12263) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. Scale bars = one cm.

in function and/or behaviors (i.e., "principle of proper mass" (PPM); e.g., Jerison, 1973; Jerison, 2001a; Jerison, 2001b; Butler & Hodos, 2005). The most dramatic of these features in mammals is the evolutionary enlargement of forebrain and neocortex. One caveat to PPM captured in this paper is the lack of difference in cortical convolutions between raccoons (Procyon lotor) and coatimundi (Nasua narica) despite validated differences in their foraging styles and how those styles map electrophysiologically in the brain (Welker & Campos, 1963; Johnson, 1990; Welker, 1990; but see Boch et al. (2024)) for a potential unifying hypothesis related to the expansion of the postcruciate gyrus). Thus, although the principle of proper mass is intuitive and often demonstrated, it is not always a foolproof assumption in the analysis of endocasts.

Table 2 Intra-species analysis of selected Bathygenys reevesi specimens.				
I.D. #	E ml	S cm ²	nc cm ²	nc/S
443D	11.362	28.5	8.22	0.288421
443F	10.98	31.5	8.64	0.274286
443H	11.386	34	8.3	0.244118
443I	11.914	34.5	8.32	0.241159
443J	13.557	28.8	7.62	0.264583
443K	13.981	30.8	8.24	0.267532
443L	10.386	28.3	6.96	0.245936
443X	11.422	25.8	7.1	0.275194
Mean	11.8735	30.275	7.925	0.26265363
SD	1.253082	2.994161	0.62094	0.01719269
SD/M (CV)	0.105536	0.098899	0.07835	0.06545764
CV percentage	10.60%	9.90%	7.80%	6.50%

Notes.

Within-species variation

A previous analog analysis of twenty natural endocasts—collected at the Reeves Fossil Bed in the Big Bend area of Texas, Chadronian, end of the Eocene (Wilson, 1971) and regarded as variants of a single species, Bathygenys reevesi—revealed that endocast volumes were between 10 and 12 ml and normally distributed, with a coefficient of variation (CV) of about 10% (Jerison, 1979). The same analysis showed that a CV of ~10% was also a good fit for the data of other extant and fossil brains and endocasts including house cats, chimpanzees, living and fossil equoids, and living and fossil hominins (Jerison, 1979). Here, we calculated CVs here using data from eight Bathygenys endocast specimens lacking olfactory bulbs for comparison with the general sample (Table 2). Using digitized endocast data, the CVs for endocast volume, surface area, neocortical area, and neocortical:surface area ratio were 10.6%, 9.9%, 7.8%, and 6.5%, respectively. This compares to a previous analysis of digitized CT images of 157 Bathygenys samples, which showed higher CVs for the length of olfactory bulbs (CV = 15.8%), width of the hypophyseal endocast (CV = 16.3%), and cerebellum (13%) (Macrini, 2009). Although higher, we conclude that these CV values are similar enough to the current results to raise no important questions about the adequacy of measurements on a single specimen of a single species to represent its brain as the information-processing organ. Certainly, the issue of within-species variation is question-specific, with high variability at the species level being more relevant to finer (e.g., intra-Family) versus broader (e.g., inter-Order) comparisons.

E, endocast volume; S, endocast surface area; nc, neocortex area; nc/S, neocortex re surface area; SD, standard deviation; M, mean; CV, statistical coefficient of variation.

Specimens are from the Texas Natural History Museum, where each specimen number is preceded by "TMM" (e.g., TMM 443D is the specimen label at the museum).

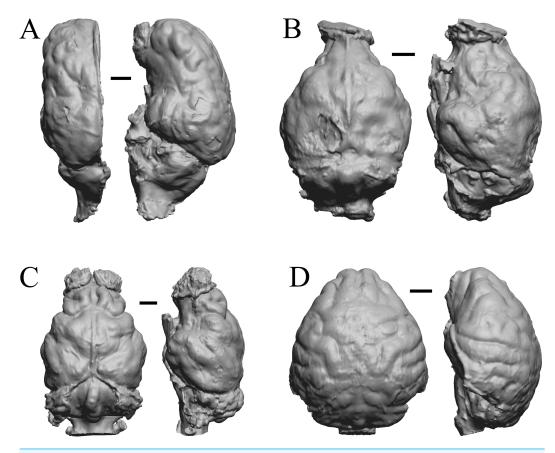


Figure 29 Platygonus, Sthenurus, Thylacoleo, Archaeolemur endocasts. All endocasts in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (A) Platygonus compressus (CM VP 12888 = FMNH PM 59058. (B) Sthenurus cf. orientalis (FMNH PM 59245). (C) Thylacoleo carniflex (SAMA P18681 = FMNH PM 59244. (D) Archaeolemur majori (AMNH FM 30007 = FMNH PM 59258). Scale bars = one cm. Further details may be found in Additional Information.

CONCLUSIONS

This perspective study offers researchers an opportunity to consider our large dataset (172 cranial endocast specimens and incorporating 41 extant species, of broad patterns of relative brain size and neocorticalization across vertebrate evolution) and historical analyses in future paleoneurologic research. Following earlier methods of HJJ, this perspective analysis shows that, on average, mammal neocorticalization increased at about 5% additional neocortex per 10 million years. About 60 million years ago, mammalian neocorticalization averaged about 20%, increasing to a present average of 50%, with a maximum at about 80% in primates reached within the past 10 million years. Compared to results of previous bivariate analyses, these data redefine the observed boundary between mammals and reptiles and confirm that measurements on a single species specimen adequately represent the brains of the entire species. However, these results are products of traditional analyses

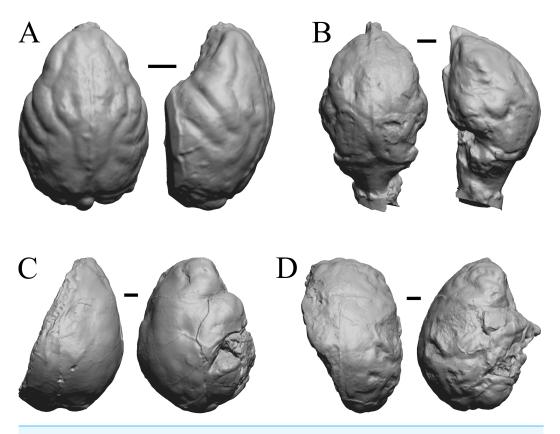


Figure 30 Pachylemur insignis, Palaeopropithecus maximus, Australopithecus robustus, Australopithecus africanus endocasts. (A) Pachylemur insignis (FMNH PM 59253) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (B) Palaeopropithecus maximus (FMNH PM 59250) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (C) Australopithecus robustus partial endocast (SK1585) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (D) Australopithecus africanus Taung 1 in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. Scale bars = one cm.

and should only be considered when viewed alongside other notable studies, especially *Bertrand et al.*, 2022, for updates and context. We encourage future researchers to revisit these findings with modern statistical methods, as well as potentially remove the La Brea specimens and any incomplete natural endocasts from the fossil dataset, as they are likely too recent in age and skew results. In conclusion, this perspective paper draws on the long history of interpreting endocasts as brains in mammals but exploits their quantitative analysis using digitization technology. Our analysis of brain evolution supports previously published allometric relationships and encephalization patterns in living species, and it provides new trajectories for studying brain evolutionary trajectories, interclass boundaries, and interspecies homogeneity.

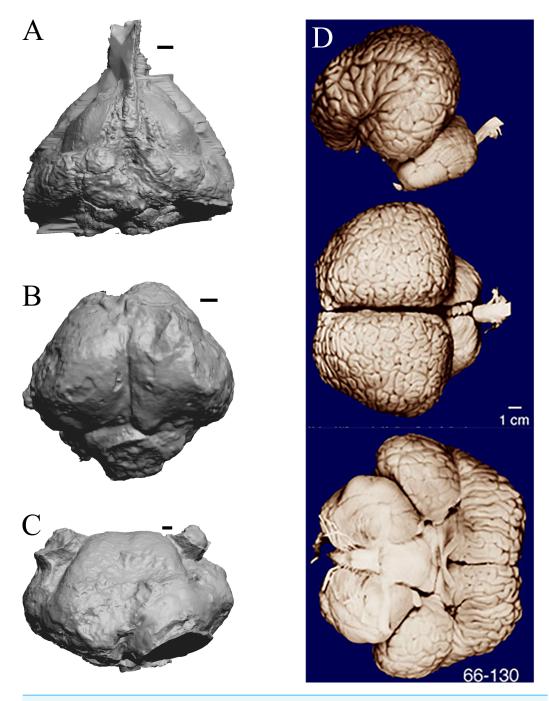


Figure 31 Dorudon, Argyrocetus, Aulophyseter, Tursiops. Endocasts and brain. (A) Dorudon atrox endocast (NHMUK PV M 10173 b) in dorsal view with rostral pole pointed at the top of the figure; endocast shows some non-neural material. (B) Argyrocetus joaquinensis endocast (USNM 11996) in dorsal view with rostral pole pointed at the top of the figure. (C) Aulophyseter morricei endocast (USNM 11230) in dorsal view with rostral pole pointed at the bottom of the figure. (D) Three views of brain of Tursiops truncates (NMHM Vertebrates WISC 66-130): top: left lateral view, rostral to left; center: dorsal view, rostral to left; bottom: ventral view, rostral to right. Images in (D) reproduced with permission from http://brainmuseum.org, with copyright retained by said party. Scale bars = one cm.

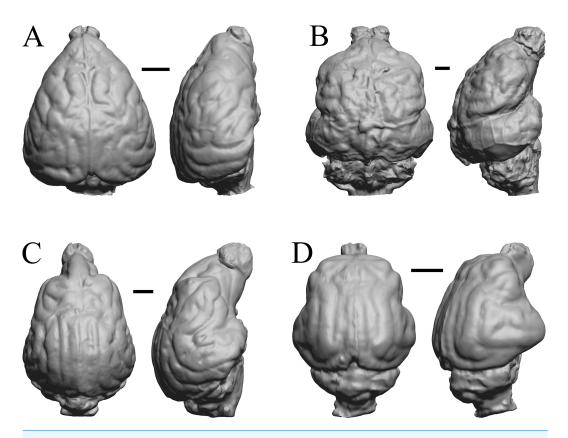


Figure 32 Aonyx, Ursus (Black Bear), Canis latrans, Felis catus endocasts. (A) Aonyx (Amblyonyx) cineria (Radinsky Specimen 358) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (B) Ursus americanus in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (C) Canis latrans (LACMHC 3200-7) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (D) Felis catus (FMNH Mammals 146456 = Radinsky Specimen 101) in dorsal (left) and right dorsolateral (right) views with rostral pole pointed at the top of the figure. Scale bars = one cm.

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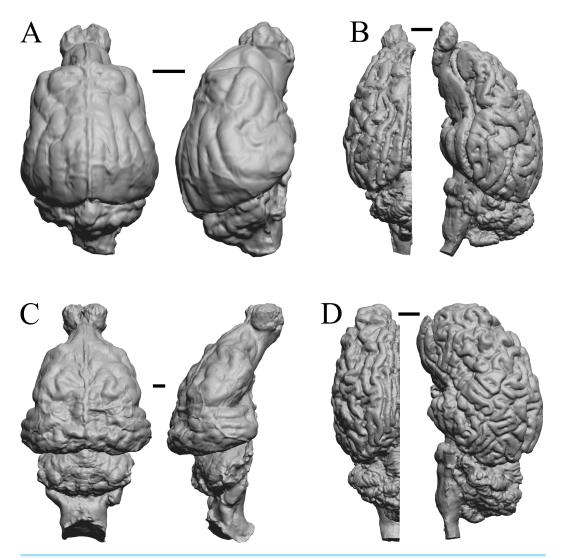


Figure 33 Cerdocyon, Odocoileus, Ursus (Kodiak), Lama. (A) Cerdocyon thous endocast (AMNH Mammals 36501 = FMNH Mammals 146294 = LBR/Rad 294) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (B) Odocoileus virginianus braincast (NMHM Vertebrates WISC 67-81) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure; approximately half of the braincast was available. (C) Ursus endocast (possibly LACM Mammals) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (D) Lama glama braincast (NMHM Vertebrates WISC 65-139) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure; approximately half of the braincast was available. Scale bars = one cm.

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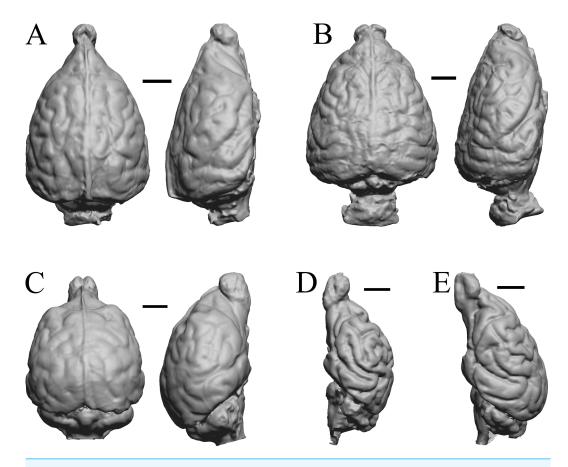


Figure 34 Lutra lutra, Lontra canadensis, Procyon. endocast and braincast, Nasua. (A) Lutra lutra endocast (Radinsky Specimen 366) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (B) Lontra canadensis endocast (FMNH Mammals 146394 = Radinsky Specimen 129) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (C) Procyon lotor endocast (FMNH Mammals 146352 = Radinsky Specimen 154 = AMNH Mammals 8335) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (D) Procyon lotor braincast (NMHM Vertebrates WISC 61-824) in left lateral (right) view with rostral pole pointed at the top of the figure. (E) Nasua narica braincast (NMHM Vertebrates WISC 62-404) in left lateral (right) view with rostral pole pointed at the top of the figure. D and E compare the scans of the raccoon (D) and coati (E) brains. Scale bars = one cm. Further details may be found in Additional Information.

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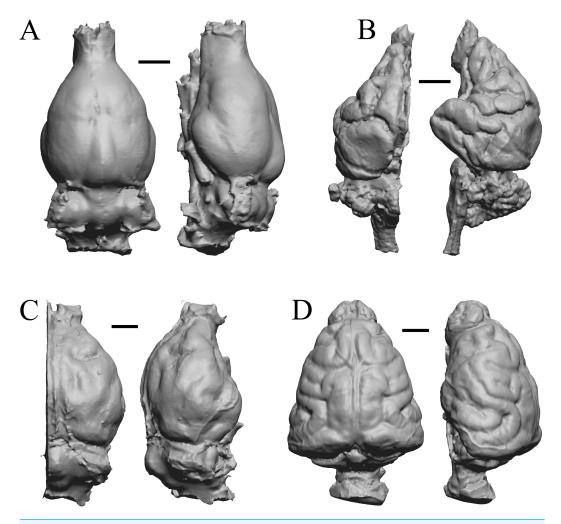


Figure 35 Phascolarctos, Macropus, Vombatus, Taxidea. (A) Phascolarctos cinereus endocast (Maciej Henneberg Lab, University of Adelaide) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (B) Macropus fulginosus left hemisphere braincast (MSU 64023) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of figure. (C) Vombatus ursinus right hemisphere endocast (NMV C7780) in dorsal (left) and right lateral (right) views with rostral pole pointed at the top of the figure. (D) Taxidea taxus endocast (Radinsky Specimen 360) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. Scale bars = one cm. Further details may be found in Additional Information.

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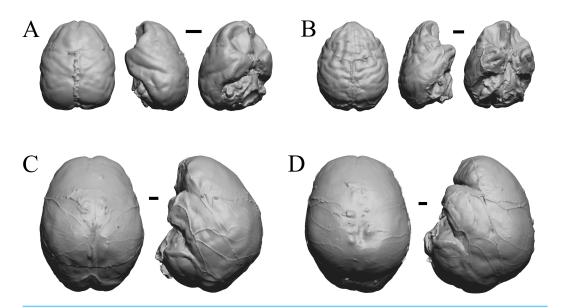


Figure 36 Chiropotes, Mandrill, Homo-Falk A, Homo-Falk B. Four primate endocasts. (A) Chiropotes albinansa (FM 94927) in dorsal (left), left lateral (middle), and right lateroventral (right) views with rostral pole pointed at the top of the figure. (B) Mandrillus sphinx (AMNH Mammals 274) in dorsal (left), left lateral (middle), and right lateroventral (right) views with rostral pole pointed at the top of the figure. (C) Homo sapiens (Falk A) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. (D) Homo sapiens (Falk B) in dorsal (left) and left lateral (right) views with rostral pole pointed at the top of the figure. The ventrolateral view exposes more of the rhinal fissure, though it is not easy to trace it in this figure; the fissure is often hidden in more familiar lateral views in primates. Scale bars = one cm. Further details may be found in Additional Information.

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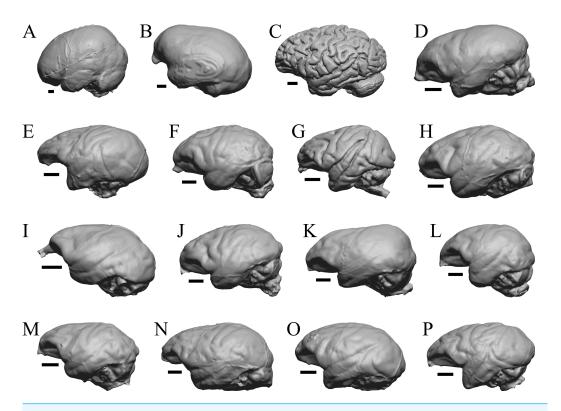


Figure 37 Primate left hemisphere endocasts and braincasts. Endocasts and braincasts are in left lateral views. (A) *Homo sapiens* endocast (Falk B). (B) *Pan troglodytes* endocast (unidentified MCZ Mammals specimen). (C) *Pan troglodytes* braincast (NMHM Vertebrates WISC 63-307). (D) *Colobus guereza* endocast (AMNH Mammals 52217). (E) *Erythrocebus patas* endocast (AMNH Mammals 52574). (F) *Hylobates lar* endocast (Falk 386). (G) *Macaca mulatta* braincast (WISC 62-133; 69-307). (H) *Nasalis larvatus* endocast (MCZ Mammals 37328). (I) *Pithecia monachus* endocast (AMNH Mammals 75981). (J) *Presbytis johnii* endocast (AMNH Mammals 54644). (K) *Rhinopithecus (Pygathrix) avunculis* endocast (MCZ Mammals 13681). (L) *Pygathrix nigripes* endocast (AMNH Mammals 69555). (M) *Simias concolor* endocast (AMNH Mammals 103359). (N) *Theropithecus gelada* endocast (FMNH Mammals 8174). (O) *Cercocebus albigena* endocast (AMNH Mammals 52583). (P) *Cercopithecus pygenthus* endocast (AMNH Mammals 52468). Scale bars = one cm. Further details may be found in Additional Information.

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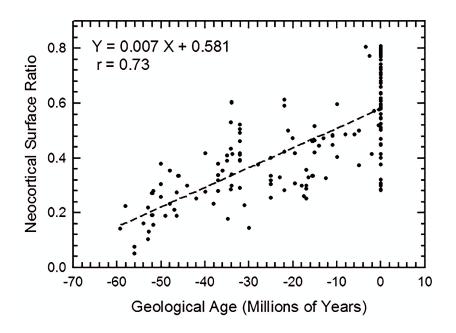


Figure 38 Neocorticalization and geological age. Neocorticalization as a function of geological age in 155 scanned specimens from extinct and extant taxa.

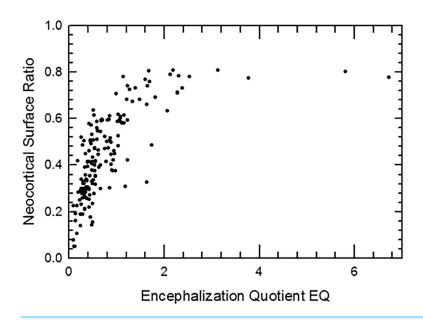


Figure 39 Neocorticalization and encephalization. Neocorticalization as a function of encephalization; maximum is about 81%.

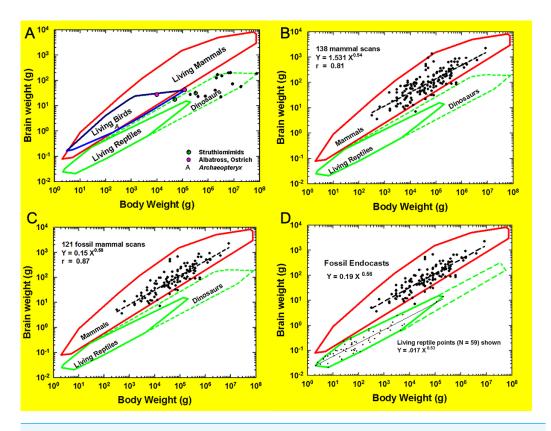


Figure 40 Amniote allometry. (A) Brain-body relationships in amniotes. Convex polygons enclose data on living species: mammals (N=647), birds (N=219), and reptiles (N=59). Additional data points for the late Jurassic bird ($Archaeopteryx\ lithographica$), living albatross ($Diomedia\ exultans$), living ostrich ($Struthio\ camelus$), and fifteen non-avian dinosaurs including Struthiomimids, Late Cretaceous "ostrich-dinosaurs" (from (Jerison, 2007), by permission). (B) Amniote brain-body polygons with data on 155 scanned mammals and polygons of reptiles, including dinosaurs (see Hopson 1979). (C) Encephalization in 122 fossil mammal species shown within allometry polygons. Amniote brain-body polygons with data on 122 fossil mammals. (D) New reptile polygon. Amniote brain-body polygons with fossil mammal and living reptile data; revised reptile polygon based only on brain size.

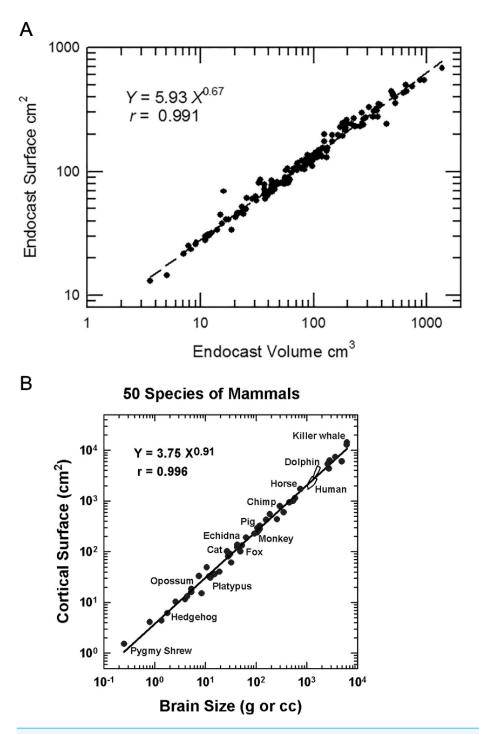


Figure 41 Surface area-volume relationship in endocasts and living mammals. Endocast surface area as a function of endocast volume. (B) Cortical surface area (including that buried within sulci and fissures) as a function of brain size in fifty species of living mammals. Correlation: r = 0.996. Bivariate regression: $Y = 3.75 \times 0.91$. Labeled species indicate the sample diversity. Human and dolphin data are presented as minimum convex polygons enclosing 23 brains for humans and 13 brains for dolphins. (Graph from *Jerison (1991)*, by permission).

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Andrew A. Farke is an Academic Editor and Section Editor for PeerJ.

Author Contributions

- Harry J. Jerison conceived and designed the experiments, performed the experiments, analyzed the data, prepared figures and/or tables, authored or reviewed drafts of the article, and approved the final draft.
- Catherine M. Early analyzed the data, prepared figures and/or tables, authored or reviewed drafts of the article, updating and processing the figures and scans used in the manuscript, and approved the final draft.
- Andrew A. Farke analyzed the data, prepared figures and/or tables, authored or reviewed drafts of the article, updating the text and nomenclature, revision of taxonomy in paper, and approved the final draft.
- Ashley C. Morhardt analyzed the data, prepared figures and/or tables, authored or reviewed drafts of the article, substantial overhaul the text; updates to nomenclature, revision of taxonomy in paper, incorporation of new research into the overall manuscript, and approved the final draft.

Data Availability

The following information was supplied regarding data availability:

The raw data are available in Tables 1 and 2 and the Supplementary File.

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