

Developing a threat Risk Register based on the IUCN threat hierarchy for five Tropical Important Plant Areas in Guinea (#90504)

1

First revision

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I commend the authors for their extensive data set, compiled over many years of detailed fieldwork. In addition, the manuscript is clearly written in professional, unambiguous language. If there is a weakness, it is in the statistical analysis (as I have noted above) which should be improved upon before Acceptance.

Developing a threat Risk Register based on the IUCN threat hierarchy for five Tropical Important Plant Areas in Guinea

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A pilot study to develop a threat risk register for Tropical Important Plant Areas in Guinea using the International Union for the Conservation of Nature (IUCN) threat hierarchy is outlined. Guinea lost 92% of its total original forest before the end of the 20th Century. In addition, in the Guinée Forestière region alone, a further 25% of the remaining forest has been lost between 2000 and 2018, primarily driven by agriculture. One of the obstacles to effective protected area management in Guinea is the lack of quantitative measurements of the characteristics and location of the threats. Data was collected from five areas in Guinée Forestière to create individual risk registers for mapping and monitoring threats. The results show that the biggest threat is from agriculture, followed by biological resource use and intrusions and human disturbance. The level of threat of agriculture varies between sites but is the greatest threat at Mt Bero and Southern Simandou Mountains, though results could be skewed by sampling density. Further training on identification and classification of threats is needed to ensure consistency of recording across areas. This is a novel technique for recording and quantifying threats to plants in protected areas in Africa as no equivalent has been found during the course of this research. This tool has potential uses, both nationally and internationally, to improve monitoring of threats to rare plants and the forest landscape and can feed into IUCN Red List species and ecosystem assessments, as well as Protected Area Management Effectiveness systems.

1 **Developing a threat Risk Register based on the IUCN** 2 **threat hierarchy for five Tropical Important Plant** 3 **Areas in Guinea**

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20

21 **Abstract**

22 A pilot study to develop a threat risk register for Tropical Important Plant Areas in Guinea using
23 the IUCN threat hierarchy is outlined. Guinea lost 92% of its total original forest before the end
24 of the 20th Century. In addition, in the Guinée Forestière region alone, a further 25% of the
25 remaining forest has been lost between 2000 and 2018, primarily driven by agriculture. One of
26 the obstacles to effective protected area management in Guinea is the lack of quantitative
27 measurements of the characteristics and location of the threats. Data was collected from five
28 areas in Guinée Forestière to create individual risk registers for mapping and monitoring threats.
29 The results show that the biggest threat is from agriculture, followed by biological resource use
30 and intrusions and human disturbance. The level of threat of agriculture varies between sites but
31 is the greatest threat at Mt Bero and Southern Simandou Mountains, though results could be
32 skewed by sampling density. Further **training on** identification and classification of threats is
33 needed to ensure consistency of recording across areas. This is a novel technique for recording
34 and quantifying threats to plants in protected areas in Africa as no equivalent has been found
35 during the course of this research. This tool has potential uses, both nationally and
36 internationally, to improve monitoring of threats to rare plants and the forest landscape and can
37 feed into IUCN Red List species and ecosystem assessments, as well as Protected Area
38 Management Effectiveness systems.

39

40 Introduction

41 In this work, we explore the creation of a risk register for Tropical Important Plant Areas
42 (TIPAs) identified in Guinea in 2019 by Couch et al (2019). The risk register is as a means of
43 providing an efficient way of gathering data for monitoring, mitigation and forward policy
44 planning.

45 In Guinea, there are general known risks to the flora especially forests, as already outlined in
46 management and development plans (MEDD, 2021), but on-the-ground implementation of
47 mapping and monitoring of these risks is still lagging. Conservators and ecoguards patrol the
48 forests for signs of poaching and illegal tree cutting or clearing using the SMART (Spatial
49 Monitoring and Reporting Tool) system (<https://smartconservationtools.org/>), but other smaller
50 - - scale threats go unrecorded. Moreover, interpreting what is a threat can also be difficult for
51 people on the ground when no definitions are provided or if there is a lack of knowledge around
52 the ecology of plant species threatened. Using a unified classification of threats with specific
53 definitions, such as the lexicon developed by Salafsky et al (2008) and now under the
54 management of the IUCN Classification Schemes Working Group, enables threats to be
55 categorised and analysed across sites and between countries (BGCI, 2021).

56 Risk or threat registers are used to identify threats in project or organisational management (RBG
57 Kew, 2021; stakeholdermap.com; UNDP, 2023); however, a risk register framework can provide
58 a useful way to identify, record and manage threats in a wide range of scenarios. In 2015, Mace
59 et al looked at using this concept to create a risk register for Natural Capital. Although not
60 perfect, the process of gathering the information needed for the register helped to indicate areas
61 which could, with more data collection and research, produce a robust and relevant policy level
62 tool. A pilot study was undertaken to create preliminary risk registers for five TIPAs which
63 correspond to the Key Biodiversity Areas (KBAs) (IUCN, 2016a) of Guinée-Forestière as
64 defined by the Critical Ecosystem Partnership Fund Biodiversity Hotspots of the Guinean Forests
65 of West Africa (CEPF, 2015).

66 The study area includes the KBA/Tropical Important Plant Areas (TIPAs) of Mont Béro, Diécké
67 Classified Forests, the Southern Simandou mountains which includes the Pic de Fon Classified
68 Forest, the Guinean part of the UNESCO World Heritage Area of Mts Nimba and the “Man and
69 Biosphere Reserve” of the Massif de Ziama, in the south-east of Guinea (i.e. Guinée Forestière,
70 Fig. 1). These sites contain the largest remnants of lowland and submontane forest in Guinea and
71 are highly important for the conservation of many threatened and endemic plant species (Couch
72 et al, 2019); however, this is not always reflected in the management and development plans
73 (PAG) (MEDD, 2020; MEDD, 2022a,b). It is also important to note that Classified Forests (or
74 Forêts Classées in French) were originally established for sustainable timber production, though
75 protection of wildlife was also taken into some consideration. Those created before
76 independence were largely abandoned and many were largely cleared by farmers for agriculture
77 (Brugière & Kormos, 2007, IUCN/PACO, 2008). Some of the remaining classified forests have
78 become incorporated into the protected area network in Guinea, for example most of those in this

79 study, but this is not the case for the majority nationally. Until recently written management
80 plans for Mt Bero and Diécké consider the general site-based threats but did not consider the
81 diversity of, or specific threats, to the flora. This prompted development of (the first nationally)
82 two Conservation Action Plans for plants of Mt Bero and Diécké (Diaby et al, 2021, Couch and
83 Simbiano, 2021). This led to the realisation for a method to record and quantify these threats is
84 needed.

85 Guinea has an estimated rural population of 63%, increasing annually by 2.1% (World Bank,
86 2021). Many of these rural villages depend on the natural habitats such as forests for medicines,
87 food and materials, often leading to detrimental effects upon those ecosystems (MEDD 2022b).
88 A local study of indigenous socioeconomic species, in the five large markets of Guinée
89 Forestière in 2022, cited perceived reduced availability of certain plant species for medicines and
90 food products (Simbiano et al, in ed). Considering that Guinea had already lost 92% of its total
91 original forest before the end of the 20th Century (Sayer et al, 1996) this use of habitat puts
92 increasing pressure on the remaining natural resources. Additionally, in the Guinée Forestière
93 region, a further 25% of the remaining area was lost between 2000 and 2018 (Fitzgerald et al.
94 2022). Furthermore, Guinea saw strong growth in the average rate of agricultural expansion
95 from 1.3% per year between 1975-2000 to 4.7% per year between 2000-2013, this is largely
96 through slash and burn agriculture and often clearing original vegetation. However, this
97 increased agricultural expansion was not distributed equally between regions (CILSS, 2016).

98 The threat register proposed here is based on the IUCN threat classification scheme Version 3.2
99 (IUCN, 2012) which provides a hierarchical structure of threat types for use in IUCN Red List
100 assessments. This classification scheme was chosen as it is standardised and internationally
101 recognised, allowing comparisons with future datasets, and enables data to be easily incorporated
102 into future Red List assessments.

103

104 **Materials & Methods**

105 **Methodology**

106 Initially, a paper questionnaire was formulated in Microsoft Word for data collection in the field,
107 using 14 of the tier 2 IUCN threat categories (IUCN, 2012). A disturbance score of 'low',
108 'medium', 'high' or 'very high' was recorded for each threat with coordinates and a description
109 of the threat. The timeframe was recorded according to if the threat was in the past, ongoing or
110 with potential to be a future threat.

111 However, this initial questionnaire did not gather precise enough data as the categories were too
112 broad and the descriptions from the field team were not detailed enough, consequently, a
113 different approach was developed.

114 A detailed Excel spreadsheet was prepared using the three-tier IUCN threat classification v.3.2
115 (IUCN, 2012). Since the authors developed the risk register, version 3.3 of the IUCN threat
116 classification (IUCN, 2022) has been released and version 4 is likely to be released soon. This

117 includes additional categories which we have not yet incorporated, but future editions of the
118 register will be updated to be consistent with the new standard.

119 Since the release of version 3.3 in 2022, the scoring scheme IUCN used to quantify the impact of
120 threats to a species has been temporarily suspended in the threats section of IUCN's assessment
121 system (Species Information Service or SIS). In previous editions of SIS, timing of the threat
122 (past, ongoing, future, unknown) was required, scope (how much of the population is affected)
123 and severity (the impact of the threat) were used to calculate the threat; scope and severity were
124 optional (IUCN, 2022). The IUCN scoring system considers scope i.e. the amount of the
125 population affected (minority, majority, whole). We omitted the scope aspect of the IUCN threat
126 scoring since population data is rarely available for plants and therefore non-scientific estimates
127 could introduce false bias into the scoring.

128 To simplify the data presentation in the spreadsheet (Supplementary materials II), the tiers of the
129 threat hierarchy have been grouped and can be collapsed to reduce the number of lines where
130 specific threats are not triggered. There are three threat hierarchy classification columns,
131 followed by columns for Location, Coordinates, Habitat and Description of activities. The next
132 two columns have the scores for Disturbance (severity under IUCN) (1 = low to 4 = very high)
133 and Timeframe (timing) (1 = past, 2 = future, 3 = ongoing) and a third column automatically
134 calculates an overall Disturbance score by multiplying the disturbance and timeframe scores. A
135 fourth, and last, column is dedicated to mitigation measures, either suggestions or actions already
136 in place.

137 The overall disturbance scores are ranked 'low' to 'very high' in increments of 3 and colour
138 coded according to RAG status (Red, Amber, Green) , i.e. a disturbance score of 1-3 is 'low',
139 and therefore green, whereas a disturbance score between 10-12 would be 'very high', and
140 therefore dark red.

141 We suggested that activities with a "low" score would require monitoring; activities with
142 "medium" scores require monitoring and some mitigation; and activities with "high" and "very
143 high" scores require management interventions. For example, overcollection of non-timber forest
144 products (NTFPs) such as collection of bark for medicinal purposes, recorded as a medium risk,
145 local communities could be encouraged to put a harvesting quota in place, with supervision of a
146 local committee. If forest clearance for poacher camps is recorded as a high risk, ecoguards
147 would be required to patrol areas more frequently to apprehend or deter poachers.

148 The risk register format was transcribed into KoboToolbox (www.kobotoolbox.org) to create a
149 user-friendly format to record threats, using the ODK Collect smartphone application. ODK
150 Collect automatically registers a geolocation for the threat and photos can be taken and
151 associated with that datapoint.

152 Training sessions with ten Ecoguards from the five areas were held to introduce the form on
153 ODK Collect and how to identify threats according to the IUCN threat categories. An initial
154 "before- and-after session" was held to refine the data collection and discuss which categories

155 best describe activities, to improve data quality. The Ecoguards subsequently went into the field
156 in all five of the TIPAs to collect data on threats during their patrols, for five days. We did not
157 prescribe a format of data sampling for this initial trial since the aim is that ecoguards will collect
158 data during their routine patrols, with a picture building up over time. Data from all sites was
159 collated through KoboToolbox into a spreadsheet and the datapoints mapped using QGIS 3.16
160 LTR. Quality control of the results was done by the first author, who translated the data into the
161 risk register format in Excel. These registers were then shared with Centre Forestier Nzérékoré
162 and CEGENS who manage the Mt Nimba and Simandou areas. Risk registers for all five sites
163 can be found in the supplementary materials and on the website of the National Herbarium of
164 Guinea (www.herbianguinee.org).

165 **Study area**

166 All five areas studies are documented Tropical Important Plant Areas (TIPAs) (Couch et al,
167 2019), Key Biodiversity Areas identified by CEPF (2015) and were also identified as protected
168 areas by Brugière et al. (2009). These areas were the focus of a CEPF funded project during
169 which this pilot study took place. Many of these areas are designated as Classified Forests,
170 however in Guinea this does not equal protected area. Classified forests were originally
171 designated for timber production and protection of water sources (Brugière, 2007). It was later
172 that some of these areas have been found to be important for conservation and incorporated into
173 the Protected Area network.

174 Mount Béro is a Classified Forest of around 80 km² (Protected Planet, 2021) [central coordinates
175 8.200000°, -8.633333°], located mainly in the prefecture of Nzérékoré, elevation starts at 600 m
176 with the highest peak at 1,182m. The area was classified in 1952, and especially since 2009
177 significant damage has been done to the area; currently, the whole area is subject to development
178 (MEDD, 2022a). **Fourteen** threatened plant species, including the world's largest population of
179 two vulnerable species of massive flowering Acanthaceae (*Brachystephanus oreacanthus* and
180 *Isoglossa dispersa*) and *Allophylus samoritourei* (EN). Submontane forest is present on the
181 flanks of the mountain, and submontane grassland, of the type described as 'high altitude lateritic
182 bowé', at the summit, both are considered nationally threatened habitats (Couch et al, 2019).

183 Diécké's Classified Forest is the largest remaining area of lowland forest in Guinea [central
184 coordinates: 7.525327°, -8.922195°], with an altitude span of 300 m to 550 m. It is located in
185 Yomou prefecture, on the border of Liberia. It consists mainly of moist lowland forest with
186 closed canopy. A total of 29 threatened plant species are found here, including many threatened
187 trees (Couch et al, 2019). The forest has experienced logging in the past, but most of the core
188 area of forest has remained intact with a closed canopy and open or shrubby undergrowth.

189 Zياما Massif Man and Biosphere Reserve, approx. 111,000 ha, is located in the prefecture of
190 Macenta [central coordinates: 8.293572°, -9.344164°]. It has an elevation span of 950m to
191 1,400m, with a highest point at 1,387 meters. It was classified in 1942 and declared as a
192 biosphere reserve in 1980. The Zياما Massif contains 33 threatened plant species and two
193 endangered endemic plant species (*Gymnosiphon samoritoureanus* and *Inversodicraea*

194 *pepehabai*) (Couch et al, 2019). It has significant dense submontane forest, lowland rainforest,
195 swamp forest, gallery and secondary forest.

196 The southern Simandou mountains are situated in the south-east of Guinea [central coordinates:
197 8.538581°, -8.903452°] and includes the Classified Forest of Pic de Fon. They are part of the
198 Loma-Man range that extends from western Ivory Coast into Sierra Leone. The highest peak, Pic
199 de Fon, reaches 1,658m. It has species associations with the Fouta Djallon Highlands and the
200 Nimba Mountains. Over forty threatened plants have been recorded from the area. The ridges
201 and flanks have a mosaic of submontane forest and high altitude lateritic bowé grassland with
202 high species diversity, recognised as nationally threatened habitats of Guinea (Couch et al,
203 2019). A mining concession occupies part of the site, which threaten at least one species globally
204 endemic to Pic de Fon.

205 The Nimba Mountains are situated in the south-east of Guinea, in the Lola Prefecture, extending
206 into Liberia and Ivory Coast [central coordinates: 7.654659°, -8.387906°]. Mt Richard-Molard,
207 the highest peak in Guinea, reaches 1,752m above sea-level. The Guinean part of the Nimba
208 mountains covers 149.2km² and has been protected since 1944. The majority (134.1km²) is
209 recognised as a World Heritage Site (partly in Ivory Coast) and is a core area of the Nimba
210 Mountains Biosphere Reserve, designated in 1980. The Guinean Nimba Mountains 40 threatened
211 plant species, of which at least six are globally endemic to Nimba. In 1993, an area of 15.16km²
212 was excised from the colonial Strict Nature Reserve for mineral exploration (Brugière &
213 Kormos, 2007). There is currently an iron-ore mining concession of 6.25km² in this area (Couch
214 et al, 2019).

215

216

217 Results

218 Of the main threat cases identified, during the survey missions, according to tier 1 of the IUCN
219 threat hierarchy 2. Agriculture and Aquaculture is by far the greatest threat (47.06%), this
220 includes itinerant agriculture such as a field on a hillside which will be abandoned after harvest,
221 small scale agriculture where cattle are used to plough floodplain areas for rice cultivation, or
222 large-scale agriculture such as creation of a new plantation. The next biggest threat is 5.
223 Biological resource use (17.99%) e.g. overharvesting of tree bark, followed by 6. Human
224 intrusions and disturbance (10.38%) this could be poacher smoking racks or camp, 4.
225 Transportation and service corridors (8.30%) such as roads or pathways through the forest or
226 mining roads and 7. Natural systems modification (5.88%) such as an increase in frequency of
227 human set fires (Fig 2). The majority of threat cases (42.56%) were evaluated as medium,
228 26.30% were deemed a high threat with a quarter of cases recorded as low threat and only 6.57%
229 evaluated as very high across all categories (Fig. 2).

230 A breakdown per site (Fig 3) shows that Mont Béro and Southern Simandou Mountains (Pic de
231 Fon) have the highest total number of threat cases, 102 and 73 respectively. Agriculture and

232 Aquaculture class are the most important threat in all areas except in Ziama, where it is
233 Biological Resources Use and Nimba where it is Intrusions and Human disturbance (Table 1).

234 The distribution of threat cases recorded across the five sites can be seen in Fig.4. The density of
235 sampling varied across sites with Ziama, Nimba and Diécké being less well covered during the
236 pilot survey than Mt Bero and Pic de Fon where there is better access for patrols.

237 Breaking this down further into the sub-categories, using Mont Béro as an example, the risk
238 register (Supplementary Material I) shows that 65/68 threat cases recorded under 2. Agriculture
239 & Aquaculture fall under sub-class 2.1) Agriculture & Perennial Non-Timber crops. The third
240 sub-class shows that, at Mont Béro, these are a combination of 2.2.2) Small-scale agriculture
241 (22), 2.2.3) Agro-industrial farming (37) and 2.2.1) Shifting agriculture (6) and three records of
242 grazing at various levels (Table 1). The majority of the agro-industrial farming at Mt Bero is
243 plantations of coffee, oil palm or banana (see risk register in Supplementary Material). The RAG
244 (Red, Amber, Green) status in the risk register shows that only 5 out of 65 agriculture threat
245 cases were recorded as low risk, 41 as medium risk, 16 as high risk and 3 qualify as very high
246 risk (Fig.5). The low-risk areas are either abandoned or not yet fully established and are
247 earmarked for removal by the ecoguards.

248

249 Discussion

250 This pilot study has resulted in the development of a useful tool to identify which threats are
251 present in Tropical Important Plant Areas in Guinée-Forestière and how these threats are
252 perceived by the ecoguards. Our data shows that agriculture is the main threat to forest loss in the
253 Guinée-Forestière TIPAs, particularly Mt Béro (68/102) and Southern Simandou Mountains
254 (45/77) (Fig 3, Table 1, Supplem. Material I). This was evident in fieldwork undertaken in
255 Diécké and Mt Béro in 2022, where the local communities have started to clear areas for
256 cultivation within the boundary of the classified forests. This ground-truthed data confirms the
257 remote sensing analysis by Fitzgerald et al (2022), who singled out Mont Béro as the area with
258 the largest rate of deforestation in relation to area, primarily driven by subsistence agriculture.
259 Mt Béro particularly suffered from lack of oversight by the authorities after the death of
260 President Conté and the subsequent coup d'état in 2009. Ecoguards patrolling this area were
261 recalled and the protection of the area was left with the communities (CFZ to C.Couch, 2021
262 pers.comm.). During the period 2009-2012, a large area of the forest was cleared by the local
263 population, and in 2014, a road widening and upgrading scheme resulted in the loss of five trees
264 of *Allophylus samoritourei* an EN species (Cheek & Haba, 2016). More recently, an area was
265 being cleared for a banana plantation, but as a result of the risk register fieldwork, this was
266 discovered, and the people brought before the local authorities.

267 The history of protected areas in Guinea have been documented to some extent by Brugière &
268 Kormos (2007) and IUCN/PACO (2008) however, successive governments and military coups
269 have caused disruption in both the level of protection and designation of new areas. Changes in
270 name of the organisations and which ministries they come under and how they work together has

271 also caused issues with jurisdiction. In Guinée Forestière, as a result, there are two different
272 offices with conservators /ecoguards. CEGENS manages Mt Nimba and Pic de Fon, CFZ
273 manages Diécké, Ziama, Mt Bero, but OGPNRF (the national parks and fauna reserves
274 department) manages other areas. However, then there are the prefectorial level environment
275 offices which have other jurisdictions, and these can come into conflict with the conservators.
276 Brugière and Kormos (2007) identified 16 KBAs in Guinea in 2007 through an exercise of
277 applying the KBA criteria using mammals as a proxy for biodiversity. Only half of the areas
278 identified correlated to Important Bird Areas, identified by Birdlife International and similarly
279 TIPAs do not necessarily overlap with either designation. However, at the time of their study
280 knowledge of Guinean plant diversity was more incomplete than at present (Gosline et al, 2023).
281 The new proposed protected areas network will take into account many of the TIPAs since the
282 government committed to protecting TIPAs when they were presented in 2019 (Couch et al,
283 2022).

284 Important Plant Area (IPA) designation follows three criteria: 1) threatened species, 2) botanical
285 richness and 3) threatened habitats (Darbyshire et al, 2016). Many tropical countries lack the data
286 to assess their threatened plant species either data is disparate, in unpublished reports and grey
287 literature or there is no database of records even if there are herbaria. Guinea still lacks sufficient
288 data to be able to assess species at a national level, so the majority of IUCN Red List
289 assessments were done at a global scale. This lack of data coverage is not uncommon and many
290 of the counties in the Kew TIPA programme have had to undertake red listing activities initially
291 to know what and where their threatened species are before being able to identify areas to
292 protect. Mozambique (Darbyshire et al, 2019, 2023, Odorico et al, 2022), Bolivia (Moraes et al,
293 2018) and British Virgin Islands (Barrios et al, 2019) had to focus on plant assessment before
294 using criterion A to identify TIPAs.

295 As part of the process to designate TIPAs in Guinea (2016-2019), over 200 plant species were
296 assessed for the IUCN Red List. When conducting initial screening in 2016 for plant species
297 already assessed on the IUCN Red List only 66 assessments of threatened plants were recorded
298 (M Cheek, 2024, personal observations). It was noticeable that many West African timber
299 species, assessed under the old criteria, e.g. *Entandrophragma cylindricum* (Hawthorne, 1998a),
300 *Khaya anthotheca* (Hawthorne, 1998b) were not listed as occurring in Guinea, even though they
301 are present. This is likely because data on Guinean plants has not been available in a digital
302 format on a global database e.g. GBIF, the Flore des Angiospermes de Guinee (Lisowski) was
303 only published in 2009 and many herbaria had only just started to digitise their specimens under
304 project such as the African Plants Initiative (later incorporated into JSTOR plants). Red listing
305 done by RBG Kew, Missouri Botanic Gardens and the Global Trees Initiative (BGCI) has
306 addressed this issue, though the number of threatened species on the IUCN Red List still doesn't
307 match the total known threatened plants of Guinea (230 vs 300) (IUCN, 2023), due to backlogs
308 in publishing. These older assessments also lacked any meaningful threat data. Only because of
309 recent field work since 2005 has detail about threats been collected, often during Environmental
310 Impact Assessment studies. Fieldwork to identify Tropical Important Plant Areas (2016-2019)

311 covered a large part of the country and enabled researchers to understand what the threats are and
312 the extent of these on the flora, enabling more comprehensive Red List assessments. During
313 fieldwork for EIAs and TIPAs, c. 30 new species to science have been described and assessed as
314 threatened (Couch et al, 2022, Gosline et al, 2023).

315 The Southern Simandou Mountains (Pic de Fon) showed additional threats relating to the pre-
316 mining activities in the area, particularly road building and introduction of invasive plants. Pre-
317 mining activities have occurred since 2005 but has gone through periods of high and low
318 activity. Similarly, these threats have been recorded at Nimba, but to a lesser extent since the
319 mining concession is smaller.

320 All areas show that forest resources are harvested as NTFPs, with some being more impacted
321 than others. Sustainable harvesting methods need to be explored with local communities
322 (Supplementary Material I). There is a general attitude that the forest will always be there for
323 people to exploit. Awareness training with local communities has already brought a better
324 understanding of the role that the forest plays in not only providing useful products, but also its
325 role in managing local climatic conditions (Simbiano, F.J. 2023 personal communications).
326 Currently, we are working with several communities to install plant nurseries for threatened and
327 useful plant species to promote conservation and rehabilitation of these forests (Simbiano et al,
328 2023 personal communication).

329 The use of a four-point RAG scale for determining the level of threat was helpful to maintain
330 consistency, though opinions of perceived threats can differ from one area to another. Further
331 training on threats and how they are presented and classified according to the IUCN threat
332 hierarchy will be needed to ensure consistency across TIPAs. Through the training exercises it
333 was noted that some exploitation of particular species was recorded as a threat when in fact it is
334 not, since the species concerned, *Harungana madagascariensis* Lam. ex Poir. (Hypericaceae) is
335 a pan-African pioneer and grows in a variety of habitats. Therefore, this could be termed
336 sustainable use, since only a few stems were extracted. Equally, the threat of unsustainable
337 harvesting of *Raphia hookeri* G.Mann & H.Wendl. (Arecaceae), “raffia palm”, and clearance
338 around these trees, needs better defining to understand the threat processes. Thus, registering and
339 repeated monitoring at sites could be used to gain a deeper understanding of the use of species
340 and habitats by local communities.

341 The tool outlined in this paper can be used for all threats to habitats and species., not just those
342 pertaining to the forest/plant species elements as was the focus here. Data on plant species as
343 mentioned earlier is often disparate, but quantitative data specifically on threats to plants outside
344 of the wider commercial use can be hard to find if it exists at all. It is intended that this could
345 provide a simple method for ecoguards to monitor and manage threats to plant species within
346 TIPAs and other protected areas. All those involved in the pilot study felt that it was a useful tool
347 and could be used for monitoring as well as registering threats, if a suitable database was created
348 to store and access the data. There is currently no system across the PA network in Guinea that is
349 being used to record quantitative data on threats to plants or more generally. The creation of a

350 database is part of follow-on funding secured until 2026. The database is being developed in
351 collaboration with Université Gamal Abdel Nasser de Conakry. The database will have the
352 functionality to produce a user-friendly report of the risk register. Monitoring of threats will be
353 done by resurveying the same areas over time to see if there is a reduction in the RAG status i.e.
354 more activities registered as green, than amber or red following implementation of effective
355 mitigation measures. This will be visible when a new register is generated. Moreover, this
356 quantified threat data can directly feed into IUCN Red List assessments at national, regional or
357 global levels, providing more accuracy and detail on conservation measures and research
358 required. Currently, Guinea does not have sufficient geographic distribution data to conduct
359 national plant Red List assessments, however, the risk register data will contribute to future
360 assessments. The risk register data can also be applied to assessments for the Red List of
361 Ecosystems which requires a review of threats to an ecosystem during the evaluation process
362 (IUCN, 2016b). Thus, our data can assist with future red listing efforts of species and ecosystems
363 both nationally and globally. Using the same system for recording threats will facilitate
364 comparison between TIPAs, countries and projects. We think this could be relevant to other
365 projects across West Africa or globally which are seeking to monitor threats to plants in their
366 research areas.

367 The authors are unaware of other studies using a risk register approach to record and monitor
368 threats to plants and the wider landscape (e.g. TIPAs or KBAs) in other African countries. A
369 recent update to the Management Effectiveness Tracking Tool (METT) (Stolten & Dudley, 2016,
370 Stolten et al, 2020) now includes a datasheet using the IUCN threat hierarchy to assess threats
371 which our data can directly feed into, if METT analysis is performed on any of the study areas.
372 The Integrated Management Effectiveness Tool or IMET system uses a threat calculator, but it is
373 unclear if a standardised list of threats is used (Paolini et Rakotobe, 2023).

374 Protected Area Management Effectiveness (PAME) systems such as RAPPAM (Ervin, 2003,
375 IUCN/PACO, 2008) or Priority Threat Management (Carwadine et al, 2019) are often done
376 through interviews with protected area managers, stakeholders, with spatial analysis etc. This
377 requires a level of existing knowledge about the threats which may not exist quantitatively. The
378 analysis of protected areas in Guinea in 2008 by IUCN used the RAPPAM methodology and
379 mentions that a limiting factor is the knowledge of the participants. IUCN/PACO hoped by
380 having a sufficient cross section of stakeholders that this limitation would be offset.

381 Many see the METT and other PAME tools as separate to the day-to-day management and the
382 outcomes are not always integrated afterwards (Bialowolski et al, 2023). The risk register
383 developed here is designed to be used on the ground for the management of threats-identifying
384 and then implementing mitigation measures.

385 Threats to mammals or birds may be more obvious and therefore better recorded than those for
386 plants which all too often get lumped as 'deforestation' or 'habitat degradation' but are not well
387 defined and could be affecting some already threatened species more than others. Battisti et al
388 (2016) looked at different rating typologies. They made a distinction between a relative approach

389 i.e. all threats are simultaneously evaluated with respect to one another, not independently; and
390 an absolute approach or threat by threat approach which looks at the impact of individual threats
391 on targets. The relative approach may be useful for higher level e.g. regional or national park
392 management. For areas of particular conservation interest, such as TIPAs, our absolute approach
393 detailing classified threats that have been mapped, quantified and monitored can provide insights
394 into where management interventions are most needed for areas of high plant diversity.

395

396 **Conclusions**

397 This study has confirmed that there are significant threats to plants in TIPAs of Guinée
398 Forestière, supporting the results of Fitzgerald et al (2021) who identified agriculture as the most
399 significant threat. The threat risk register is easy to use, by gathering data using KoboCollect and
400 the Excel format can provide a simple way to present the data, though this would be more
401 efficient if it can be automatically generated from the database currently in development. Our
402 approach can be used more widely across TIPAs or Protected Areas networks to record and
403 monitor threats to plants and the wider landscape using a system that is comparable across areas
404 and countries. The data required will be useful for national and regional level Red List species
405 and ecosystem assessments and particularly for those in Guinea in the future. It will also raise
406 awareness of plant specific threats among ecoguards/ conservators by identifying significant
407 threats to threatened or useful plant species, not just wood cutting and harvesting of NTFPs, and
408 identify where interventions are needed. This information can feed into a variety of other
409 assessment processes once available through an accessible database.

410

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418

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Figure 1

Map of Tropical Important Plant Areas in Guinée-Forestière, N'Zérékoré Governorate.

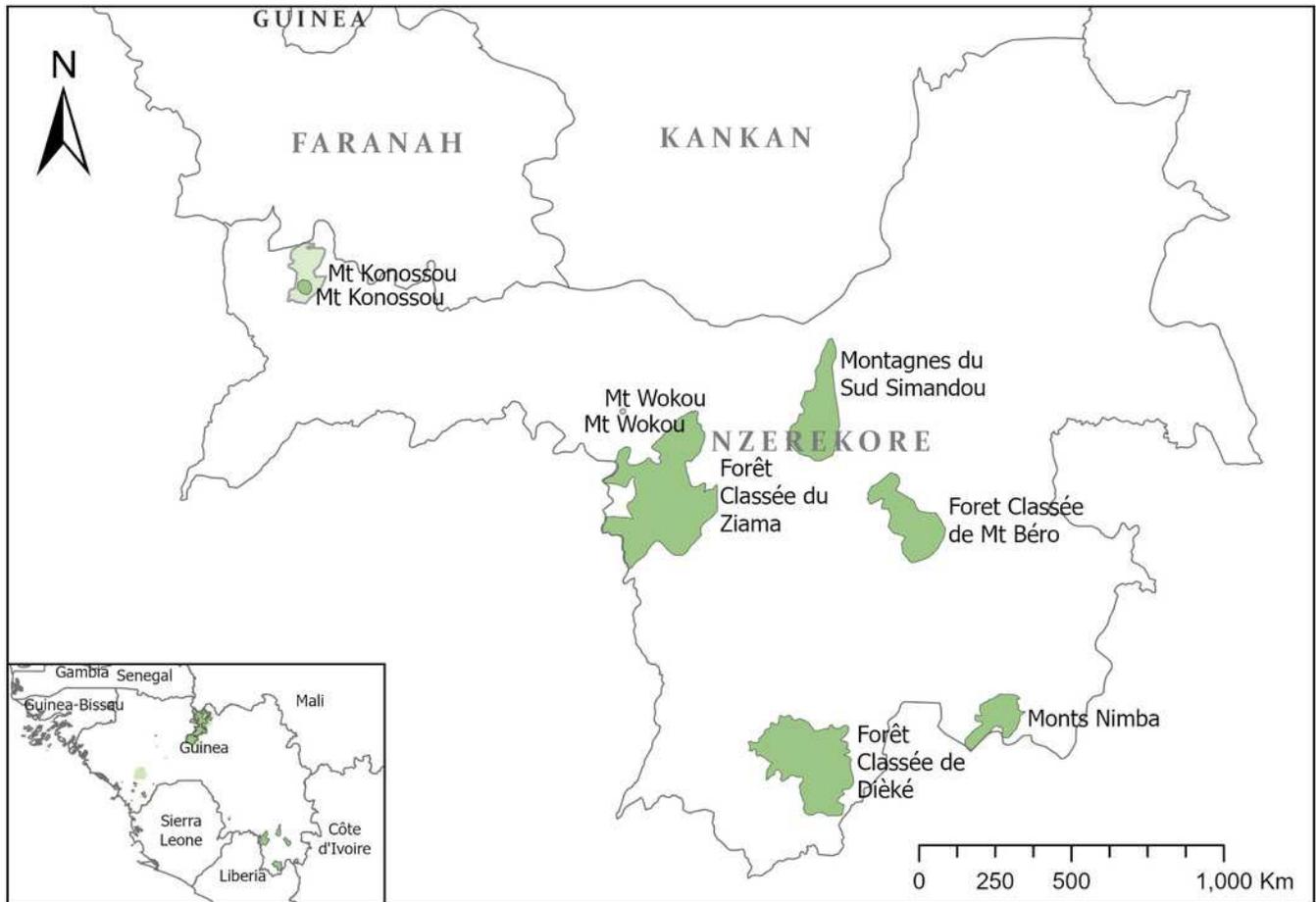


Figure 2

Percentage of threat cases and their threat level per IUCN tier 1 threat class for all TIPAs.

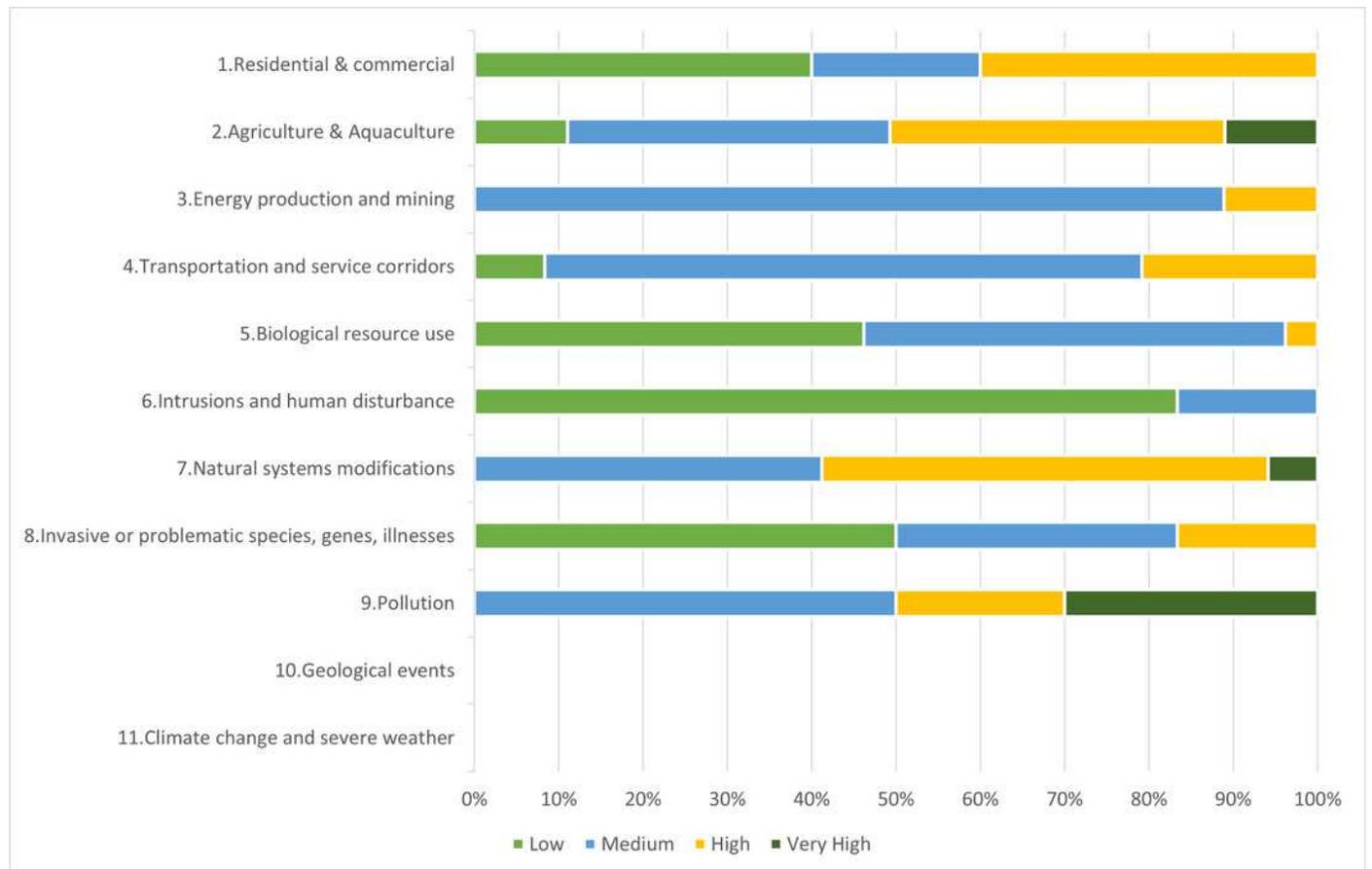


Figure 3

Total number of threat cases recorded per TIPA per tier 1 IUCN threat category

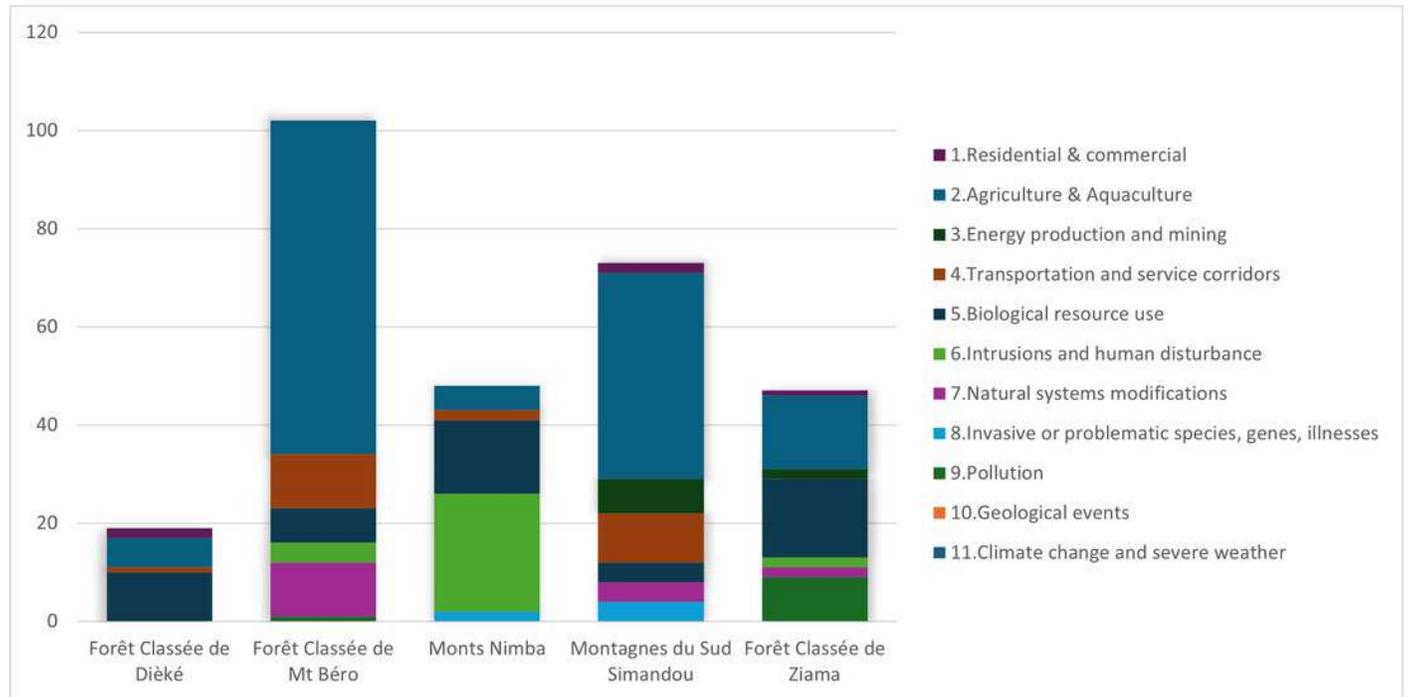


Figure 4

Maps depicting the different threat types and their location at the five TIPAs.

A) Mt Bero, B) Ziama, C) Diecke, D) Monts Nimba, E) Southern Simandou Mountains (Pic de Fon).

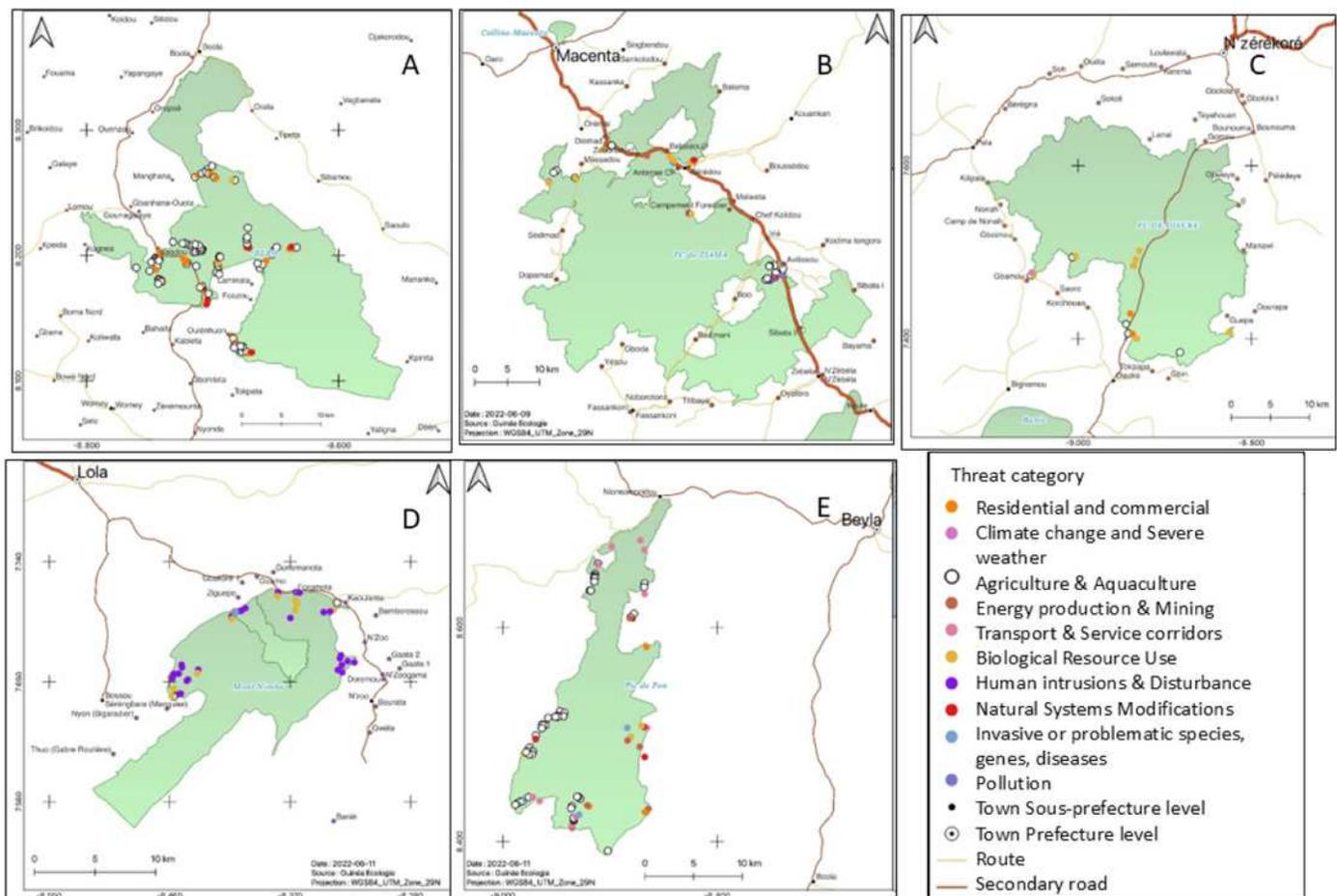


Figure 5

Diagram showing the proportion of threats and their RAG status at Mt Béro for threat class 2.1 Agriculture and Aquaculture subclasses.

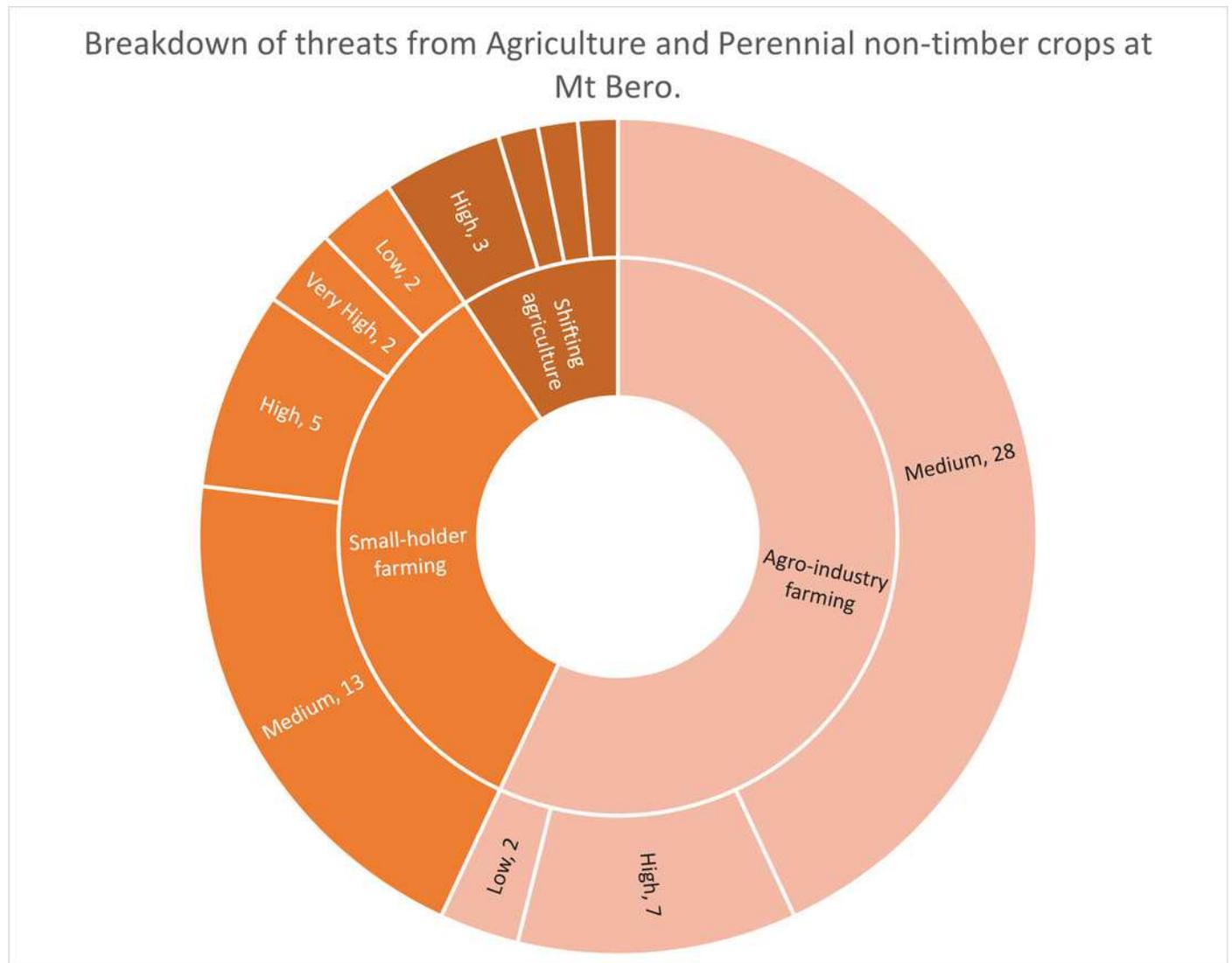


Table 1 (on next page)

Total number of threats per IUCN threat class and per TIPA in Guinée-Forestière.

1 TABLE 1 Total Number of threat cases per IUCN threat class and TIPA in Guinee-Forestiere

2

IUCN Threat Class	Diécké	Mont Béro	Mont Nimba	Pic de Fon	Ziam a	Total # of threat cases per class
1. Residential & Commercial	2	0		2	1	5
<i>1.1 Housing & Urban areas</i>	2			2	1	
<i>1.2 Commercial & Industrial areas</i>						
<i>1.3 Tourism & Recreational areas</i>						
2. Agriculture & Aquaculture	7	68	5	42	15	136
<i>2.1 Agriculture & Perennial Non-Timber crops</i>	7	65	5	41	15	133
2.1.1 Shifting agriculture	4	6	1	23	1	35
2.1.2 Small-holder farming	3	22	1	8	8	42
2.1.3 Agro-industry farming		37	3	10	6	56
2.2 Wood & Pulp Plantations						
<i>2.3 Livestock Farming & Ranching</i>		2		1		3
2.3.1 Nomadic grazing		2				2
2.3.2 Small-holder Grazing, ranching or farming		1		1		2
2.3.3 Agro-industry grazing, ranching or farming						
<i>2.4 Marine & freshwater aquaculture</i>						
3. Energy Production & Mining				7	2	9
<i>3.1 Oil & Gas drilling</i>						
<i>3.2 Mining & Quarrying</i>				7	2	9
<i>3.3 Renewable Energy</i>						
4. Transportation & Service corridors		11	2	10		23
<i>4.1 Roads & Railroads</i>		11	2	10		23
<i>4.2 Utility & Service Lines</i>						
<i>4.3 Shipping lanes</i>						
<i>4.4 Flight paths</i>						
5. Biological Resource Use	10	7	15	4	16	49
<i>5.1 Hunting & Collecting Terrestrial animals</i>	6	7	4	3	6	26
5.1.1 Intentional Use	5	7	4	3	6	25
5.1.2 Unintentional Use	1					1
<i>5.2 Gathering Terrestrial Plants</i>			9	1	5	15
5.2.1 Intentional Use			9	1	5	15
5.2.2 Unintentional Use						
<i>5.3 Logging & Wood harvesting</i>	1		1		6	8

IUCN Threat Class	Diéck é	Mon t Béro	Mont Nimb a	Pic de Fon	Ziam a	Total # of threat cases per class
5.3.1 Intentional Use (Small scale)	1		1		5	7
5.3.2 Intentional Use (large scale)						
5.3.3 Unintentional Use (small scale)						
5.3.4 Unintentional Use (large scale)						
<i>5.4 Fishing & Harvesting Aquatic resources</i>	3					3
5.4.1 Intentional Use (Small scale)	3					3
5.4.2 Intentional Use (large scale)						
5.4.3 Unintentional Use (small scale)						
5.4.4 Unintentional Use (large scale)						
6. Human Intrusions & Disturbance		4	24		1	37
<i>6.1 Recreational Activities</i>						
<i>6.2 War & Civil unrest/ Military exercises</i>						
<i>6.3 Work & other activities</i>		4	24		1	37
7. Natural Systems Modifications		10		4	2	16
<i>7.1 Fire & Fire Suppression</i>		10		4	2	16
7.1.1 Increased Fire frequency/ intensity		10		4	2	16
7.1.2 Suppression of fire frequency/ intensity						
<i>7.2 Dams & Water Management/Use</i>						
7.2.1 Abstraction of surface water						
7.2.2 Abstraction of ground water						
7.2.3 Small Dams						
7.2.4 Large Dams						
<i>7.3 Other Ecosystem Modifications</i>						
8. Invasive or problematic species, genes, diseases			2	4		6
<i>8.1 Invasive Non-Native/Alien Species</i>			2	4		6
<i>8.2 Problematic Native Species</i>						
9. Pollution		1			9	10
<i>9.1 Domestic & Urban waste water</i>						
<i>9.2 Industrial & Military effluents</i>						
9.2.1 Oil spills						
9.2.2 Seepage from Mining						
<i>9.3 Agricultural & Forestry effluents</i>		1			3	4
<i>9.4 Garbage & solid waste</i>					6	6
<i>9.5 Airborne pollutants</i>						
9.5.1 Acid rain, smog, ozone						

IUCN Threat Class	Diéck é	Mon t Béro	Mont Nimb a	Pic de Fon	Ziam a	Total # of threat cases per class
10. Geological Events						
<i>10.1 Volcanoes</i>						
<i>10.2 Earthquakes</i>						
<i>10.3 Avalanches & Landslides</i>						
11. Climate change & severe weather						
<i>11.1 Habitat shifting alteration</i>						
<i>11.2 Drought</i>						
<i>11.3 Temperature extremes</i>						
<i>11.4 Storms & Flooding</i>						
<i>11.5 Other</i>						

3