Development of a stem taper equation and modelling the effect of stand density on taper for Chinese fir plantations in Southern China

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Chinese fir (*Cunninghamia lanceolata*) is the most important commercial tree species in southern China. The objective of this study was to develop a variable taper equation for Chinese fir, and to quantify the effects of stand planting density on stem taper in Chinese fir. Five equations were fitted or evaluated using the diameter-height data from 293 Chinese fir trees sampled from stands with four different densities in Fenyi County, Jiangxi Province, in southern China. 183 trees were randomly selected for the model development, with the remaining 110 trees used for model evaluation. The results show that the Kozak's, Sharma/Oderwald, Sharma/Zhang and modified Brink's equations are superior to the Pain/Boyer equation in terms of the fitting and validation statistics, and the modified Brink's and Sharma/Zhang equations should be recommended for use as taper equations for Chinese fir because of their high accuracy and variable exponent. The relationships between some parameters of the three selected equations and stand planting densities can be built by adopting some simple mathematical functions to examine the effects of stand planting density on tree taper. The modelling and prediction precision of the three taper equations were compared with or without incorporation of the stand density variable. The predictive accuracy of the model was improved by including the stand density variable and the mean absolute bias of the modified Brink's and Sharma/Zhang equations with a stand density variable were all below 1.0 cm in the study area. The modelling results showed that the trees have larger butt diameters and more taper when stand density was lower than at higher stand density.

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24 Abstract

Chinese fir (Cunninghamia lanceolata) is the most important commercial tree species in 25 southern China. The objective of this study was to develop a variable taper equation for Chinese 26 27 fir, and to quantify the effects of stand planting density on stem taper in Chinese fir. Five equations were fitted or evaluated using the diameter-height data from 293 Chinese fir trees 28 sampled from stands with four different densities in Fenyi County, Jiangxi Province, in southern 29 30 China. 183 trees were randomly selected for the model development, with the remaining 110 trees used for model evaluation. The results show that the Kozak's, Sharma/Oderwald, 31 Sharma/Zhang and modified Brink's equations are superior to the Pain/Boyer equation in terms 32 of the fitting and validation statistics, and the modified Brink's and Sharma/Zhang equations 33 should be recommended for use as taper equations for Chinese fir because of their high accuracy 34 and variable exponent. The relationships between some parameters of the three selected 35 equations and stand planting densities can be built by adopting some simple mathematical 36 functions to examine the effects of stand planting density on tree taper. The modelling and 37 prediction precision of the three taper equations were compared with or without incorporation of 38 the stand density variable. The predictive accuracy of the model was improved by including the 39 stand density variable and the mean absolute bias of the modified Brink's and Sharma/Zhang 40 equations with a stand density variable were all below 1.0 cm in the study area. The modelling 41 results showed that the trees have larger butt diameters and more taper when stand density was 42

43	lower than at higher stand density.
44	Keywords: Chinese fir; Taper equation; Tree form; Stand density; Modelling
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48	Introduction
49	Chinese fir (Cunninghamia lanceolata) is the most common coniferous species in southern
50	China, occurring in both naturally regenerated stands and plantations. According to the seventh
51	Chinese National Forest Inventory, Chinese fir plantations occupied almost 8.54 million ha and
52	have a standing stock volume of 620.36 million m ³ as a dominant tree species (SFA, 2009). The
53	estimation of individual tree volume for Chinese fir is often based on existing volume tables.
54	Volume tables are needed to accurately estimate tree volume or merchantable timber volume at
55	any stem diameter along the trunk in accordance with wood use in the industry through the use
56	of compatible volume and taper equations (Kozak, 1988; Riemer et al., 1995; Bi, 2000).
57	A stem taper equation describes a mathematical relation between tree height and the stem
58	diameter at that height. It is thus possible to calculate the stem diameter at any arbitrary height
59	and conversely, to calculate the tree height for any arbitrary stem diameter. Consequently, the
60	stem volume can be calculated for any log specification and a volume equation can be developed
61	for classified product dimensions. Numerous and various mathematical taper functions have been
62	developed in attempts to describe tree taper. Viewed from the structures of these equations, the
63	different taper equations can be divided into three major categories: simple mathematical

64	equations (Kozak et al., 1969; Reed and Byrne, 1985; Pain and Boyer, 1996; Sharma and
65	Odervald, 2001), segmented taper equations, represented by Max and Burkhart (1976), Brink and
66	Gadow (1986), Clark et al. (1991), Gadow and Hui (1998), Brook et al. (2008) and Cao and
67	Wang (2011), and variable-exponent taper equations, the latter being introduced by Newberry
68	and Burkhart (1986), Kozak (1988; 1997; 2004), Newnham (1992), Riemer et al. (1995), Bi
69	(2000) and Sharma and Zhang (2004). Research has shown that variable-exponent taper
70	equations performed better than the other two types of equations, and were found to be the most
71	accurate taper equations (Newnham, 1988; Kozak, 1988; Muhairwe, 1999; Sharma and Zhang,
72	2004; Rojo, 2005).
73	Some variables related to forest management have long been recognized such as planting
74	density, fertilization, thinning and age (Gray, 1956; Bi and Turner, 1994; Palma, 1998; Sharma
75	and Zhang, 2004). Some tree-level or stand-level indices (e.g., crown height, ratio, and site class)
76	have been introduced into taper equations to improve modeling performance (Burkhart and
77	Walton, 1985; Valenti and Cao, 1986; Newnham, 1992; Muhairwe et al., 1994; Özçelik et al.,
78	2014). In contrast, stand density is more easily obtained; in addition, Sharma and Zhang (2004)

79 introduced stand density information into a previously developed variable taper equation for

80 Black Spruce and found improved fit statistics and predictive accuracy. Sharma and Parton (2009)

81 further modeled stand density effects on taper for Jack Pine and Black Spruce plantations using

- 82 dimension analysis, and reported that the difference in bole diameter between trees at lower and
- 83 higher stand densities diminished as stand density increased. Gadow and Hui (1998) have
- 84 developed a taper equation based on the modified Brink's function for Chinese fir plantations,

⁸⁵ but no attempt has been made to quantify the stand density effect on tree taper for Chinese fir.

86 The objective of this study was to develop a taper equation and quantify the effect of

87 planting density on stem taper for Chinese fir in Southern China.

88 Materials and methods

89 **Data**

90 A total of 293 trees sampled from 12 plots of even-aged Chinese fir stands were used in the present study. The trees were taken from unthinned stands that were planted in 1981 for a 91 density-effect study of Chinese fir in Fenyi County, Jiangxi Province, of southern China (Duan et 92 al., 2013). A series of stand planting densities included densities of 1667 (A: 2×3 m), 3333 (B: 93 2×1.5 m), 5000 (C: 2×1 m), 6667 (D: 1×1.5 m), and 10000 (E: 1×1 m) stems/ha. Every 94 planting density plot had three replications. A 2008 ice storm (Zhou et al., 2010) damaged or 95 96 felled numerous trees in the trial plots. The sampled 293 trees were distributed in four kinds of planting densities: B, 50 trees; C, 78 trees; D, 84 trees; and E, 81 trees. Only five trees were 97 felled in the A plots; therefore, these trees were excluded from the analysis. Two hundred and 98 ninety three trees from different planting densities were divided into size classes based on 99 diameter at breast height (D), and random selection was then applied to each of size class for 100 data splitting, with 183 trees selected for model development, and the remaining 110 trees used 101 for model evaluation. 102

The total tree height (H: m) and D (cm) were measured. Diameter outside bark (cm) was also measured at heights of 0.2, 1, 1.3, and 2 m and then at intervals of 1 m along the remainder of the stem. Table 1 summarizes the statistics related to tree characteristics.

106	Table 1 is here.
107	Figure 1 shows a plot of diameter against relative height, which reflects the rate of decline
108	in diameter with increasing height along the bole. Chinese fir stands mentioned in the study all
109	are built and authorized by Research Institute of Forestry of Chinese Academy of Forestry and
110	the data originated from our own survey. So no specific permits were required for the described
111	field data, and the field studies did not involve endangered or protected species.
112	Figure 1 is here.
113	Taper equations
114	Five taper equations were analyzed in the present study, including two simple mathematical
115	equations (Pain and Boyer, 1996; Sharma and Oderwald, 2001), one segmented and variable-
116	exponent taper equation (Riemer et al., 1995), and two variable-exponent taper equations (Kozak,
117	2004; Sharma and Zhang, 2004).
118	Brink and Gadow (1986) assumed that a tree form is composed of upper and lower parts,
119	and developed a three-parameter equation for the whole stem taper:
120	$r(h) = b_1 + (r_{1.3} - b_1) \cdot e^{b_2(1.3-h)} - \frac{b_2 i}{b_2 + b_3} (e^{b_3(h-H)} - e^{b_3(1.3-H) + b_2(1.3-h)}) $ (1)
121	where, $r(h)$: stem radius (cm) at height h (m), h : tree height from the ground, H : total height (m),
122	$r_{1.3}$: stem radius at breast height, b_1 , b_2 , b_3 are parameters to be estimated.
123	Because equation (1) could not fulfill the condition that $r(h)$ is equal to zero when $h = H$,
124	Riemer et al. (1995) proposed the modified Brink's equation:
125	$r(h) = u + v \cdot e^{-b_2 h} - w \cdot e^{b_3 h} $ (2)

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126 where,
$$u = \frac{b_1}{1 - e^{b_3(1.3 - H)}} + (r_{1.3} - b_1)(1 - \frac{1}{1 - e^{b_2(1.3 - H)}}), v = \frac{(r_{1.3} - b_1) \cdot e^{1.3b_2}}{1 - e^{b_2(1.3 - H)}}, w = \frac{b_1 \cdot e^{-b_3 H}}{1 - e^{b_3(1.3 - H)}}$$

Pain and Boyer (1996) assumed that stem diameter was only determined as a function of relative height, and developed a two-parameter taper model as follows:

129
$$d(h) = b_1 (1 - (\frac{h}{H})^3) + b_2 \ln(h/H)$$
(3)

130 where, d(h): diameter (cm) at height (m).

Based on dimensional analysis, Sharma and Oderwald (2001) developed a dimensionally
compatible one-parameter taper equation:

133
$$d^{2}(h) = D^{2}(\frac{h}{1.3})^{2-b_{1}}(\frac{H-h}{H-1.3})$$
(4)

134 where, *D*: diameter at breast height.

135 Sharma and Zhang (2004) assumed that the b_1 in equation (4) could be expressed in terms

136 of the relative height (z), and resulted in a variable-exponent taper equation, i.e.

137
$$d^{2}(h) = b_{1}D^{2}(\frac{h}{1.3})^{2-(b_{2}+b_{3}z+b_{4}z^{2})}(\frac{H-h}{H-1.3})$$
 (5)

138 where, $z = \frac{h}{H}$, b_4 is parameter.

139 Kozak (2004) developed a variable-exponent taper equation as

140
$$d = b_1 D^{b_2} H^{b_3} \left[\frac{1 - z^{1/3}}{1 - p^{1/3}} \right]^{\left[b_4 z^4 + b_5 (1/\exp(D/H)) + b_6 (\frac{1 - z^{1/3}}{1 - p^{1/3}})^{0.1} + b_7 (1/D) + b_8 H^{1 - z^{1/3}} + b_9 (\frac{1 - z^{1/3}}{1 - p^{1/3}}) \right]$$
(6)

141 where, b_4 , b_5 , b_6 , b_7 , b_8 , b_9 and p are parameters.

142 Model development and evaluation with or without density variable

Eqs. (2–6) were fitted first and then compared using fit data set including 183 trees to get the suitable taper equations for Chinese fir trees. Secondly, the fit and validation data set were separately divided into the four density classes mentioned above (B, C, D and E) (Table 1), and

the suitable taper equations from the first step were fitted and validated separately to each 146 density class. To evaluate the predictive ability of the equations over the whole bole, the relative 147 heights (z) were divided into ten sections for each stand planting density. Thirdly, the density 148 variable was introduced into the selected taper equations through discussing the mathematical 149 relationship between the resulting coefficients and the density classes or building and adding a 150 151 stand density function to the exponents of the equations (Valenti and Cao, 1986; Sharma and Zhang, 2004). Lastly, the suitable taper equations with density variable were separately fitted and 152 evaluated by the whole fit data set and the whole evaluation data set. 153

154 Model simulation and evaluation criteria

All the equations were fitted by the NLIN procedure in the SAS statistics program (SAS 155 Institute Inc., 2008). Multicollinearity is defined as a high degree of correlation among several 156 independent variables. The existence of multicollinearity is not a violation of the assumptions 157 underlying the use of regression, and therefore does not seriously affect the parameter estimates 158 and the predictive ability of the equation (Myers, 1990; Kozak, 1997). Two general methods 159 have been suggested to deal with continuous and multilevel longitudinal data. The first is to 160 incorporate random subject effects (Gregoire et al., 1995), and the other is to model the 161 correlation structure directly. In the present study the first method was adopted to test the 162 simulation properties of the taper equations in the presence of autocorrelation. Figure 2 describes 163 the error structure of Eq.(5) with or without random subject effects incorporated. It was found 164 that the simulation result hadn't been obviously altered while considering autocorrelation. 165 Additionally, some studies had found that the Eqs.(2,6) showed very low multicollinearity (Rojo 166

167 et al., 2005; Kozak, 1997). So the correlated error structure in the data was not considered in the
168 SAS MODEL procedure.

169

Figure 2 is here.

The model adjusted coefficient of determinations $(R_{adj.}^2)$, mean difference (bias: M.D.), mean absolute difference (M.A.D.) and standard error of estimate (S.E.E.) were examined while comparing modeling accuracy of the equations. These statistical indices can be calculated using equations (7–10):

174
$$R_{adj.}^{2} = 1 - \frac{\frac{1}{n-k-1} \sum_{k=1}^{n} (obs_{k} - est_{k})^{2}}{\frac{1}{n-1} \sum_{k=1}^{n} (obs_{k} - \overline{obs_{k}})^{2}}$$
(7)

176 M. A.D. =
$$\sum_{k=1}^{n} \frac{|obs_k - est_k|}{n}$$
 (9)

177 S.E.E. =
$$\sqrt{\frac{\sum_{k=1}^{n} (obs_k - est_k)^2}{n - m}}$$
 (10)

where obs_k and est_k are the observed and predicted diameter along the bole for the k^{th} height point, respectively, *n* is the number of height points along the bole, and *m* is the number of equation parameters.

181

182 **Results and discussion**

183 Without stand density

Table 2 presents the fit statistics and parameters of Eqs. (2–6) using the fit data. Based on

 $R_{adj.}^2$ and S.E.E., the Kozak equation, Sharma/Zhang, Sharma/Oderwald and modified Brink's equations have higher precision than the Pain/Boyer equation. The S.E.E. of Kozak, Sharma/Zhang, Sharma/Oderwald and modified Brink's equations were 0.5194, 0.5224, 0.5335 and 0.6629, respectively. The results proved that the variable-exponent taper equations (Eq. (2, 5, 6)) all had the higher modelling precision than the simple mathematical taper equation (Eq. (3)). And it's worth noting that the simple mathematical equation (Eq. (4)) also had high modelling precision for Chinese fir tree's stem taper.

192

Table 2 is here.

193 The accuracy of diameter predictions by these five taper equations was evaluated along the bole of Chinese fir trees using the validation data sets (Fig. 3). Diameter prediction bias of 194 Chinese fir trees for Eqs. (2,4-6) was smaller than for Eq. (3). Obviously, the predicted diameter 195 corresponding to the section closest to the ground was generally underestimated for all of the 196 five equations. When compared with the Sharma/Oderwald and Sharma/Zhang equations, we 197 found the modified Brink's equation only had relatively low prediction precision at the butt 198 diameter. Except for the butt part, the accuracy of diameter predictions of the modified Brink, 199 Sharma/Oderwald and Sharma/Zhang equations showed a trend of decrease with the increase of 200 relative height, and the results from the three equations were very similar, with an average size of 201 error in diameter predictions below 0.2cm. For the Kozak equation, most of the predictions were 202 underestimated, especially corresponding to the lower stem, which led to this equation had a 203 relatively large error near to 1.0cm. The reason that the Kozak equation had low accuracy at the 204 stage of prediction might be due to its some unstable parameters. Rojo et al. (2005) had found 205

206 that parameter b_{δ} of the Kozak equation had not significance at the 95% test level for maritime 207 pine.

208

Figure 3 is here.

Based on the fitting and validation statistics, the Sharma Oderwald, Sharma/Zhang and 209 modified Brink's equations are suggested for use as taper equations for Chinese fir trees. Figure 210 211 4 showed a simulation result of the three equations for a Chinese fir tree's stem taper. Besides the structural difference, the fact that the five taper equations use different sets of predictor 212 variables may be an important reason for the differences in simulation accuracy. Eq. (2 and 4) 213 214 use D and H, together with h. Furthermore, Eq. (3) uses H and h, but not D, Eq. (6) uses D, H and the z, while Eq. (5) uses D, H, h and also the z. Since D is the most important factor for 215 measuring tree size, ignoring this predictor variable may lead to the poorest performance of Eq. 216 (3), the Pain/Boyer taper equation. In contrast, Eq. (5), the Sharma/Zhang taper equation, was 217 shown to have the highest prediction accuracy because it included all important predictor 218 variables (Fig. 3). 219

220

Figure 4 is here.

221 Estimations that consider stand density

Table 3 lists the estimated parameter values and the corresponding fit statistics of Eqs. (2,4-5) for the density-grouped subsets of data. The estimates of most parameters of Eqs. (2,4-5) were significantly different between the four planting densities (p < 0.0001). In the case of Eq. (5), however, only the estimates for b_2 were significantly different between any two of the four planting densities (p < 0.0001). The estimates for b_4 were significantly different between the four

planting densities (p < 0.0001), and the estimates for b_1 were not significantly different between any two of the four planting densities (p > 0.3784). The estimates for b_3 were found having no significance at the 95% level. These comparisons were made based on the confidence limits of the parameters obtained by nonlinear regressions.

231

Table 3 is here.

232 To examine the effect of stand planting density on tree taper, the correlations between some parameters of Eqs. (2) and (4) and stand planting density were analyzed (Figure 5). The 233 relationships of parameter b_1 of Eq. (2) and parameter b_1 of Eq. (4) to stand planting density 234 were well approximated by both an exponential and a linear function. The coefficients of 235 determination (R^2) between parameter b_1 of Eq. (2) and Eq. (4) and stand planting density were 236 0.68 and 0.98, respectively, and the test result of correlation coefficient showed that parameter b_1 237 of Eq. (2) and Eq. (4) were significantly related to stand planting density (p < 0.1 and p < 0.01, 238 respectively). The other parameters all lacked obvious monotonic correlations to stand density. 239 240 The results showed that stand planting density had an obvious effect on some parameters used in 241 the taper equations. So, the resultant parameter prediction equation for predicting b_1 of Eq. (2) and Eq. (4) can be given by Eqs. (11) and (12). 242

243
$$b_1 = i_1 \cdot spd^{i_2}$$
 (11)

244
$$b_1 = k_1 / spd + k_2$$
 (12)

where *spd* refers to stand planting density, and i_1 , i_2 , k_1 and k_2 are parameters to be estimated. Eqs. (11) and (12) can be substituted into Eqs. (2) and (4), and the Eqs. (13) and (14), including a stand planting density term, are then deduced.

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248
$$r(h) = \frac{i_{1} \cdot spd^{i_{2}}}{1 - e^{b_{3}(1.3 - H)}} + (r_{1.3} - i_{1} \cdot spd^{i_{2}})(1 - \frac{1}{1 - e^{b_{2}(1.3 - H)}}) + \frac{(r_{1.3} - i_{1} \cdot spd^{i_{2}}) \cdot e^{1.3b_{2}}}{1 - e^{b_{2}(1.3 - H)}} \cdot e^{-b_{2}h} - \frac{i_{1} \cdot spd^{i_{2}} \cdot e^{-b_{3}H}}{1 - e^{b_{3}(1.3 - H)}} \cdot e^{b_{3}h} (13)$$
249
$$d^{2}(h) = D^{2}(\frac{h}{1.3})^{2 - (k_{1}/spd + k_{2})}(\frac{H - h}{H - 1.3})$$
(14)

The test results of correlation coefficients showed that the parameters of Eq. (6) were found not having significant relevance to stand planting density (p > 0.1). Sharma and Zhang (2004) modified Eq. (6) to accommodate stand density effect by adding a stand density function to the exponent of Eq. (6), i.e.:

254
$$d^{2}(h) = b_{1}D^{2}(\frac{h}{1.3})^{2-(b_{2}+b_{3}z+b_{4}z^{2}+b_{5}/spd)}(\frac{H-h}{H-1.3})$$
(15)

To examine the effect of stand planting density on tree taper in a Chinese fir plantation, Eqs. (13–15) were fitted to the entire fitting data set composed of different stand densities. Two fit statistics ($R_{adj.}^2$ and S.E.E.) both indicated that diameter outside bark taper equations (13–15) all performed well for Chinese fir trees. The S.E.E. of Eqs. (13–15) ranged from 0.7225 to 0.8139 cm. The accuracy in modeling tree taper was improved for all of the selected three taper equations by incorporating a stand density term in the equations (Table 4).

261

Figure 5 is here.

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Table 4 is here.

Eqs. (13–15) were further evaluated using the validation data sets. The bias distribution

- ranges of Eqs. (13-15) with the density variable were -0.0556 to 0.7662, -0.2779 to 0.02330
- and -0.0983 to 0.1513, respectively (Table 5). Clearly, adding the stand density variable

266 improved the evaluation efficacy for Chinese fir trees (Table 6).

- 267Table 5 is here.
 - Table 6 is here.

269	The modified Brink's equation mostly had larger and more positive bias at the butt and tip
270	of tree stems than at mid-stem. However, the Sharma/Oderwald and Sharma/Zhang equations
271	had larger bias at lower stem parts, and the Sharma/Oderwald equation had an almost negative
272	bias along the boles excepting at the butt. The results show that the diameters at two ends of the
273	stems of Chinese fir trees will be underestimated when using the modified Brink's equation, and
274	were mostly overestimated by the Sharma/Oderwald equation (Table 5).
275	The maximum mean absolute bias of the modified Brink's, Sharma/Oderwald and
276	Sharma/Zhang equations with the stand density variable were 0.7662, 0.5498, and 0.5161 cm.
277	Note that the modified Brink's equation had relatively larger bias than the Sharma/Zhang and
278	Sharma/Oderwald equations only because of the great bias at the butt of tree stems. Considering
279	the variable-exponent taper equation's theoretical property, the modified Brink's and
280	Sharma/Zhang equations were the most appropriate equations for describing tree taper of
281	Chinese fir trees.
282	The effect of stand planting density was analyzed visually by generating tree profiles using
283	Equation (15) for $D = 11.0$ cm and $H = 15.0$ m at four different stand densities (1000, 2000, 3000,
284	and 4000 trees/ha) (Figure 6). The results show that the trees have larger butt diameters and more
285	taper when stand density was lower than at higher stand density. Additionally, the difference in
286	bole diameter between trees at both lower and higher stand densities decreases as stand density
287	increases. This phenomenon confirms the findings of Sharma and Zhang (2004) and Sharma and
288	Parton (2009). However, except for the butt diameters, prediction diameters in the middle section
289	only have weak differences among the different stand densities, which was different from a study

of black spruce (Sharma and Zhang, 2004). The reason may lie in the difference between the two tree species. Sharma and Zhang (2004) found that density affected the taper of jack pine more than that of black spruce. Additionally, because stand site can directly affect the diameter and high growth of trees, site may also influence tree volume (Muhairwe et al., 1994). However, Eq. (15), as a variable taper equation that includes a stand density variable, can well predict diameters along the boles and to a certain extent, express the effect of stand density on stem tapers of Chinese fir trees.

297

Figure 6 is here.

298 Conclusions

Variable taper equations were developed for Chinese fir, the most important commercial tree species in southern China. The Sharma/Oderwald, Sharma/Zhang, and modified Brink's equations are superior to the Pain/Boyer equation in terms of the fitting and validation statistics. The modified Brink's equation only had lower prediction precision than the Sharma/Oderwald and Sharma/Zhang equations at the butt diameter. If the final choice must be made, the modified Brink's equation and Sharma/Zhang equation are recommended for use as a taper equation for Chinese fir.

306 Correlation analysis results showed that stand planting density had an obvious effect on 307 some parameters of taper equations. Therefore, the relationships between some parameters of the 308 three selected equations and stand planting densities can be built by adopting some simple 309 mathematical functions to examine the effect of stand planting density on tree taper.

310 The prediction precision of the three taper equations was compared with or without

311	incorporation of the stand density variable. The M.D., A.M.D., and S.E.E. using for estimating
312	diameters along the stems for the validation data sets showed that adding the stand density
313	variable improved the evaluation efficacy of the taper equations for Chinese fir trees. The
314	maximum mean absolute bias of the modified Brink's and Sharma/Zhang equations with a stand
315	density variable were all below 1.0 cm in the study area. The modelling difference of tree
316	profiles among different stand densities mainly appeared below the 10% of total high.
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421	Figure legend:
422	Figure 1 Tree diameter plotted against relative height with a cubic spline soothing curve
423	Figure 2 The error structure of Eq.(5) with or without random subject effects incorporated
424	Figure 3 The observed stem taper and tree profiles generated using Equation 2, 4 and 5 for a Chinese fir
425	tree with the diameter at breast height (15.4 cm) and total height (15.5 m)
426	Figure 4 Bias of taper prediction at relative height for Eqs.(2-6) using validation data sets.
427	Figure 5 The correlativities between some parameters of Eqs.(2) and (4) and stand planting densities
428	Figure 6 Tree profiles generated from Eq.(15) using D= 11.0 cm and H = 12.0 m at different densities
429	(1000, 2000, 3000 and 4000 trees/ha) for Chinese fir. The left figure showing the difference of tree
430	profiles below 0.1 at four different densities.
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Table 1(on next page)

Table1

Summary statistics for total height and dbh of Chinese fir trees used in this study

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1 Table 1

2 Summary statistics for total height and dbh of Chinese fir trees used in this study

•	0				
Plant density (stems/ha)	Number of trees	Mean height (m)	S.D.	Mean dbh (cm)	S.D.
Fit data					
B: 3333 (2×1.5 m)	30	13.49	2.42	12.52	2.61
C: 5000 (2×1 m)	48	12.85	2.29	11.03	2.48
D:6667(1×1.5 m)	54	12.02	1.90	10.26	2.10
E: 10000 (1×1 m)	51	11.83	2.86	9.62	2.09
Validation data					
B: 3333 (2×1.5 m)	20	13.91	2.14	12.94	2.48
C: 5000 (2×1 m)	30	13.25	2.14	11.47	2.06
D: 6667 (1×1.5 m)	30	12.33	1.76	10.47	1.92
E: 10000 (1×1 m)	30	11.93	1.84	9.30	1.74

3 S.D. indicates standard deviation.

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Table 2(on next page)

Table 2

Values of the estimated parameters and fit statistics for Eqs. (2-6) fit to taper

data for all of the 183 trees (ns means not significance at the 95% level)

- 1 Table 2
- 2 Values of the estimated parameters and fit statistics for Eqs. (2–6) fit to taper data for all of
- 3 the 183 trees (ns means not significance at the 95% level)

	Model	b_I	b_2	b_3	b_4	b_5	b_6	b_7	b_8	b_9	p	$R_{adj.}^2$	S.E.E.
	Eq.(2)	1.6318	-0.0294	0.9428								0.9649	0.6629
	Eq.(3)	9.5276	-0.3199									0.6952	1.9540
	Eq.(4)	2.0307										0.9772	0.5335
	Eq.(5)	0.9964	2.0294	-0.0302	0.1051							0.9782	0.5224
-	Eq.(6)	1.2327	0.9968	ns	0.2408	ns	0.5006	-0.4297	ns	ns	pprox 0	0.9785	0.5194

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Table 3(on next page)

Table 3

Parameter estimates (standard errors in parentheses) and fit statistics for Eqs. (2,4-5) while fitting the density-grouped subsets data using nonlinear regression (ns means not significant at the 95% level) 1 Table 3

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- 2 Parameter estimates (standard errors in parentheses) and fit statistics for Eqs. (2,4-5) while
- 3 fitting the density-grouped subsets data using nonlinear regression (ns means not
- 4 significance at the 95% level)

	Demonster		Planting density (stems/ha)					
	Parameter	3333	5000	6667	10000			
Eq.(2)	b_I	2.1568(0.1928)	1.5143(0.1079)	1.6975(0.1371)	1.4346(0.0984)			
	b_2	0.0011(0.0094)	-0.0436(0.0069)	-0.0243(0.0097)	-0.0392(0.0078)			
	b_3	0.6400(0.0781)	1.2003(0.1722)	0.8462(0.0963)	1.1461(0.1409)			
	$R^2_{adj.}$	0.9761	0.9541	0.9653	0.9635			
	S.E.E.	0.6455	0.8007	0.6236	0.6009			
Eq.(4)	b_I	2.0324(0.0015)	2.0319(0.0013)	2.0303(0.0010)	2.0278(0.0011)			
	$R_{adj.}^2$	0.9815	0.9713	0.9810	0.9765			
	S.E.E.	0.6161	0.6198	0.4565	0.4768			
Eq.(5)	b_I	0.9976(0.0077)	0.9893(0.0085)	0.9998(0.0064)	0.9973(0.0074)			
	b_2	2.0280(0.0015)	2.0326(0.0016)	2.0293(0.0012)	2.0273(0.0014)			
	b_3	ns	ns	-0.0712(0.0347)	ns			
	b_4	0.1625(0.0509)	0.0557(0.0551)	0.1561(0.0443)	0.0741(0.0513)			
	$R_{adj.}^2$	0.9848	0.9712	0.9817	0.9769			
	S.E.E.	0.4978	0.6180	0.4426	0.4724			

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Table 4(on next page)

Table 4

Parameter estimates (standard errors in parentheses) and fit statistics for Eqs.

(13-15) using fit data sets

- 1 Table 4
- 2 Parameter estimates (standard errors in parentheses) and fit statistics for Eqs. (13-15)
- 3 using fit data sets

	Parameter	Estimates of parameters	S.E.E.	$R_{adj.}^2$
Eq.(13)	<i>i</i> 1	2.1504(0.5675)	0.6627	0.9650
	i_2	-0.0306 (0.0292)		
	b_2	-0.0282 (0.0040)		
	b_3	0.9331 (0.0575)		
Eq.(14)	k_{I}	21.7021 (8.5436)	0.5327	0.9773
	k_2	2.0266 (0.0017)		
Eq.(15)	b_{I}	0.9964 (0.0039)	0.5219	0.9782
	b_2	2.0257 (0.0017)		
	b_3	-0.0310 (0.0204)		
	b_4	0.1058(0.0259)		
	b_5	19.6487(8.3747)		

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Table 5(on next page)

Table 5

Bias (cm) and absolute bias (cm) in predicting diameters along the bole of Chinese fir trees for Eqs. (13-15) (with stand density variable) using validation data sets

1 Table 5

Bias (cm) and absolute bias (cm) in predicting diameters along the bole of Chinese fir trees
for Eqs. (13–15) (with stand density variable) using validation data sets

Polotivo hoight	Number	Bias (cm)			Abs	Absolute bias (cm)		
Kelative height		Eq.(13)	Eq.(14)	Eq.(15)	Eq.(13)	Eq.(14)	Eq.(15)	
0.0≤h/H≤0.1	279	0.7662	0.0233	0.0829	0.7835	0.3214	0.3250	
$0.1 \le h/H \le 0.2$	205	-0.0226	-0.0185	-0.0053	0.1164	0.1170	0.1228	
$0.2 \le h/H \le 0.3$	157	-0.0215	-0.0453	-0.0379	0.1962	0.2054	0.2048	
$0.3 \le h/H \le 0.4$	151	-0.0297	-0.0984	-0.0757	0.2683	0.2780	0.2745	
$0.4 \le h/H \le 0.5$	151	0.0414	-0.0795	-0.0237	0.3138	0.3089	0.3043	
$0.5 \le h/H \le 0.6$	153	0.0976	-0.0598	0.0454	0.3910	0.3662	0.3656	
$0.6 \le h/H \le 0.7$	154	0.1041	-0.0419	0.1170	0.4514	0.4164	0.4360	
$0.7 \le h/H \le 0.8$	160	0.0624	-0.0564	0.1513	0.4992	0.4817	0.5161	
$0.8 \le h/H \le 0.9$	152	-0.0556	-0.2528	-0.0177	0.4773	0.5498	0.5106	
$0.9 \le h/H \le 1.0$	139	0.2573	-0.2779	-0.0983	0.4725	0.5370	0.4635	

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Table 6(on next page)

Table 6

Values of the statistics in the validation step for Eqs. (2,4-5) with and without the density variable in the model ody

- 1 Table 6
- 2 Values of the statistics in the validation step for Eqs. (2,4-5) with and without the density
- 3 variable in the model

Statistics -	Equations with stand density variable			Equations without stand density variable			
	Eq.(13)	Eq.(14)	Eq.(15)	Eq.(2)	Eq.(4)	Eq.(5)	
M.D.	0.1601	-0.0752	0.0223	0.1612	-0.0758	0.0226	
M.A.D.	0.4155	0.3452	0.3416	0.4157	0.3453	0.3418	
S.E.E.	0.6543	0.5007	0.4835	0.6548	0.5011	0.4838	

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Figure 1(on next page)

Figure 1 Tree diameter plotted against relative height with a cubic spline soothing curve and sta

Figure 1 Tree diameter plotted against relative height with a cubic spline soothing

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Figure 2(on next page)

Figure 2

Figure 2 The error structure of Eq.(5) with or without random subject effects incorporated





Figure 3(on next page)

Figure 3

The observed stem taper and tree profiles generated using Equation 2, 4 and 5 for a Chinese fir tree with the diameter at breast height (15.4 cm) and total height (15.5 m)





Figure 4(on next page)

Figure 4

Bias of taper prediction at relative height for Eqs.(2-6) using validation data sets





Figure 5(on next page)

The correlativities between some parameters of Eqs.(2) and (4) and stand planting densities .5pt;q

The correlativities between some parameters of Eqs.(2) and (4) and stand planting

densities .5pt;q



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Figure 6(on next page)

Tree profiles generated from Eq.(15) using D = 11.0 cm and H = 12.0 m at different densities (1000, 2000, 3000 and 4000 trees/ha) for Chinese fir.

Tree profiles generated from Eq.(15) using D = 11.0 cm and H = 12.0 m at different densities (1000, 2000, 3000 and 4000 trees/ha) for Chinese fir.

