# eDNA metabarcoding shows highly diverse but distinct shallow, mid-water, and deep-water eukaryotic communities within a marine biodiversity hotspot (#103636)

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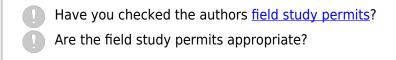
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## eDNA metabarcoding shows highly diverse but distinct shallow, mid-water, and deep-water eukaryotic communities within a marine biodiversity hotspot

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As the impact of human activities continues to move beyond shallow coastal waters into deeper ocean layers, it is fundamental to describe how diverse and distinct the eukaryotic assemblages from the deep layers are compared to shallow ecosystems. Environmental DNA (eDNA) metabarcoding has emerged as a molecular tool that can overcome many logistical barriers in exploring remote deep ocean areas. We analyzed thirty-two paired seawater samples collected via SCUBA and Niskin samplers from shallow (< 30 m) and deep ecosystems (40-500 m) within a recognized hotspot of marine biodiversity (Gulf of California, Mexico). We sequenced an eDNA metabarcoding library targeting the COI gene of eukaryotes. We demonstrated that the diversity of operational taxonomic units (OTUs) did not peak at shallow coastal regions and that the deep samples had similar levels of biodiversity to shallow sites but detected a significant vertical zonation between shallow and deeper habitats. Our results suggest that the deep refugia hypothesis applies to about a third of the 5495 OTUs identified that were shared between shallow and deep layer samples. At the same time, most taxa were exclusive from either shallow or deep zones. The observation that deep communities were as rich but quite distinct as shallow communities supports extending spatial management and conservation tools to deeper habitats to include a significant fraction of phylogenetic and functional diversity exclusive of mid and deep-water ecosystems.

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### **PeerJ**

30	Abstract
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32	ocean layers, it is fundamental to describe how diverse and distinct the eukaryotic assemblages
33	from the deep layers are compared to shallow ecosystems. Environmental DNA (eDNA)
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35	exploring remote deep ocean areas. We analyzed thirty-two paired seawater samples collected
36	via SCUBA and Niskin samplers from shallow (< 30 m) and deep ecosystems (40-500 m) within
37	a recognized hotspot of marine biodiversity (Gulf of California, Mexico). We sequenced an
38	eDNA metabarcoding library targeting the COI gene of eukaryotes. We demonstrated that the
39	diversity of operational taxonomic units (OTUs) did not peak at shallow coastal regions and that
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45	communities supports extending spatial management and conservation tools to deeper habitats to
46	include a significant fraction of phylogenetic and functional diversity exclusive of mid and deep-
47	water ecosystems.
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#### Introduction

As the footprint of human activities continues to expand in the tridimensional space of the 63 oceans (Halpern et al., 2019), the attention to natural resources present in the ocean depths also has increased, including the mid-water layer between 30-150 m depth and the deep-water ocean 64 65 beyond 200 m depth. Interest in deeper regions of the oceans originate from abundant fish 66 resources (Irigoien et al., 2014, Pham et al., 2014), an important role in nutrient regeneration and 67 biochemical processes to sustain the ocean's productivity throughout the biological pump 68 (Martin et al., 2020), gas and oil exploration (Cordes et al., 2016), deep-seabed mineral mining (Flora, 2023), bioprospecting and biomimetics commercial applications (Blasiak et al., 2022) and 69 70 the potential impacts of ocean-based climate interventions (Levin et al., 2023). The effective 71 management and conservation of marine ecosystems and their ecological services require 72 knowing which species are present in them, and their distribution in time and space. Our understanding of marine biodiversity and the impact of human activities has historically focused 73 74 on shallow (< 30 m) coastal waters (Webb et al., 2010; Bongaerts et al., 2019), while mid-water depths and deep-water ecosystems have been considerably less studied (Eyal et al., 2021; 75 76 Jacquemont et al., 2024). Multiple logistical constrains explain the lag in describing biodiversity 77 beyond shallow waters, including the limited sampling accessibility, expertise and prohibitive 78 costs of some of the most common exploration tools like deep-sea submersibles, remotely 79 operated vehicles (ROVs) or sensors (Bell et al., 2023). One promising technology that could 80 boost exploration of biodiversity in the ocean depths is environmental DNA (eDNA) 81 where a sample of seawater or sediment-taken remotely (e.g. with a water or 82 sediment sampler) is processed to capture, amplify and massively sequence a conserved genomic 83 region or metabarcode and used to detect the presence and biodiversity of taxa within a sample 84 (Sinniger et al., 2016; Thomsen et al., 2016). 85 A key scientific question is how rich and distinct the biological communities from the

mid-water depths, and deep-water are compared to shallow ecosystems. A global meta-analysis suggested species diversity in the ocean decreases with depth, and that the 0-100 m depth range contains up to four times the diversity recorded between 100-200 m (Costello & Chaudhary,

Depends on the scale though. There is a commonlyencountered and wellknown mid-slope diversity peak in the deep sea.

2017). The deep refugia hypothesis suggests that mid-water depth reefs could act as a refugee 89

from disturbances for shallow reef communities, and implicitly assumes that many species show

wide depth ranges and considerable vertical ecological connectivity between them (Riegl &



92 Piller, 2003; Bongaerts et al., 2010) sometimes avoiding regional extinction of particular species 93 (del Monte-Luna et al., 2023). Multiple observational studies in fish have shown that mid-water 94 depth communities are diverse but taxonomically and functionally distinct from their shallow 95 counterparts (Rocha et al., 2018, Medeiros et al., 2021; Loiseau et al., 2022), while evidence supporting vertical connectivity within fish species occurring at different depths due to diel 96 vertical migration is mixed (Tenggardjaja et al., 2015; Loya et al., 2016). Studies on benthic 97 communities have shown strong vertical zonation in function of seafloor depth, low connectivity, 98 99 and a clear distinction between shallow and mid-water depth benthic communities (Bongaerts et al., 2017, Stefanoudis et al., 2019). 100 101 Our study focused in the Gulf of California (Fig. 1), a globally recognized hotspot of marine biodiversity on the Northwest of Mexico that is ~1,500 km long, ~100 km width covering 102 103 12 degrees of north latitude and characterized by seasonally reversing ocean gyres that sit on deep basins reaching up to 4 km deep (Munguia-Vega et al., 2018). The Gulf of California is a 104 105 highly productive tropical-subtropical system that supports more than half total Mexico's marine 106 fisheries and an economically profitable growing ecotourism industry, but nonetheless shows 107 signs of significant ecosystem decline due to overfishing and climatic change (Gilly et al., 2022). A recent eDNA metabarcoding study showed that biodiversity levels from shallow coastal areas 108 109 in the Gulf of California are much higher than previously assumed based on historical records and visual surveys (Mac Loughlin et al., 2024), but few studies exist on the biota from mid-water 110 111 depths and deep-water ecosystems. These studies have focused on the central Gulf of California (Figure 1a) based on fish ROV surveys from the mid-water depth zone (Hollarsmith et al., 2020, 112 113 Velasco-Lozano, 2020) and ROV and submersible fish and invertebrate surveys of the deepwaters (Aburto-Oropeza et al., 2011; Portail et al., 2016, Gallo et al., 2020). 114 115 We analyzed paired water samples collected via SCUBA and Niskin samplers from 116 shallow (< 30 m) and deep ecosystems (40-500 m) at the three biogeographic regions of the Gulf of California through eDNA metabarcoding of a fragment of the COI gene targeting eukaryotes. 117 Using eDNA of eukaryotes we tested the deep refugia hypothesis that states that deep reef 118 119 ecosystems, particularly those in the mid-water depths (>30 meters depth), could serve as refuges 120 for species from troubled shallow reefs, offering protection from disturbances affecting shallower areas like climate change and human activity. Our goals were: 1) to contrast the 121 122 diversity of eukaryotes between shallow and mid-water depth and deep-water layers of the Gulf



123 of California, and 2) to establish how distinct the biological communities are according to 124 vertical depth. Evidence on the diversity and distinctiveness of each vertical layer in the pelagic 125 ecosystem could lead to a re-assessment of management and conservation priorities that traditionally have been mostly focused only on shallow ecosystems. 126 127 **Materials & Methods** 128 129 Sampling design

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130	The study area is the Gulf of California, a marginal semi-closed sea of the north-eastern Pacific
131	Ocean that has been recognized with three clearly defined biogeographic regions (North, Central
132	and South, Fig. 1a) (Morzaria-Luna et al. 2018). Due to its oceanic connection to the Eastern
133	Tropical Pacific and its location between temperate and tropical biogeographic regions, it is
134	influenced by at least six sea water masses, each characterized by specific ranges of salinity,
135	temperature and depth (Fig. 1b). The Pacific Deep Water (PDW) is distributed below 1,200 m
136	depth; the Pacific Intermediate Water (PIW) below 500 m depth; the Subtropical Subsurface
137	Water (StSsW) below the 150 m, the Tropical Surface Water (TSW) found at the surface at the
138	Southern Gulf of California, the Gulf of California Water (GCW) found at the surface in the
139	Central and Northern Gulf of California and formed by evaporation of StSsW and TSW and the
140	modified California Current Water (CCW) which is present only in the Southern Gulf of
141	California (Lavin & Marinone 2003; Monreal-Jiménez et al., 2021). We collected sea water
142	samples at 16 sites distributed along the three biogeographic regions of the Gulf of California
143	under research permits from SAGARPA-Comision Nacional de Acuacultura y Pesca de Mexico
144	PPF/DGOPA-035/20 and University of Arizona IACUC 2021-0777. Within each site, the
145	experimental design included a shallow sample (< 25m) and a paired deep sample from either the
146	mid-water depth (40 to 150 m) or deep-water layer (150 m to 500 m) (Fig. 1b, Table 1). Paired
147	samples were collected from live aboard diving vessels (D/V Quino el Guardian) or
148	oceanographic cruises (CAPEGOLCA, R/V El Puma).
149	Each shallow sample ( $n = 16$ ) from 0-30 m was paired either with a mid-water depth
150	sample (n = 13) from 30-150 m or a deep-water sample (n = 3) from 150-500 m deep to obtain

the eukaryotic eDNA signature at different column water depths within the same area and

1). The vertical position of the seawater sample in the water column was also examined by

considering a mean general distance between sampling sites of 2.7 km (range 0 to 45 km, Table

o for each site, you nad three replicate

filters, through which you passed 2L of



measuring the distance between the sampling depth to the bottom, following GEBCO bathymetry (GEBCO Compilation Group, 2021) (*Table 1*).

Shallow seawater samples were collected SCUBA diving above a depth of 25 m with Nalgene TM (Thermo Scientific) lab-quality broadmouth 1 L bottles (6 L total collected at different times during the dive at each site). A Niskin bottle with a capacity of 6 L operated by hand or attached to an oceanographic rosette was used to sample seawater at mid-water depth and deep-water depths between 40 and 500 m. We filtered 2 L of seawater at shallow and deep sites through the same filter for a total of three field replicates at each site and depth. Seawater samples were filtered with an electric pressure pump and nitrocellulose Millipore filters with a pore size of 0.45 µm placed in a Millipore Sterifil filter unit. Each filter was deposited in a 15 ml falcon tube with silica during field work (Miya & Sado, 2019) and refrigerated at 8°C back in the lab until processing. All the collecting and filtering equipment was cleaned by submersion in 1% sodium hypochlorite solution between sampling events and rinsed thoroughly with running freshwater. On every sampling day, 2 L of running fresh water used for cleaning the sampling and filtering equipment was collected and filtered on the field as a field control to test for external contamination.

#### DNA extraction

Total DNA from environmental samples and negative field controls were extracted from the nitrocellulose filters with the DNeasy Blood & Tissue kit (QIAGEN,) according to the manufacturer's instructions and using a QIAvac 24 Plus vacuum manifold to minimize contamination and handling. A blank negative control was incorporated at each extraction event. Total DNA concentration was measured for each sample with the Qubit 3.0 fluorometer (Invitrogen) and the High Sensitivity (Invitrogen) assay. All eDNA extractions were performed in a dedicated eDNA room and inside a hood used solely for this purpose. The hood and all the equipment and materials were sterilized with 1% sodium hypochlorite solution and UV light for 20 min between extraction events. Filter tips were used in all pipetting to reduce the risk of

#### Library preparation and sequencing

cross-contamination among seawater samples.



184	Partial sequences (313 bp) of the cytochrome oxidase subunit I (COI) barcode were amplified
185	per triplicate for each individual DNA extraction (i.e, nine PCR1 for each shallow and deep
186	layer per site, respectively) with primers mICOIintF-XT: 5
187	GGWACWRGWTGRACWITITAYCCYCC 3 (Wangensteen et al., 2018) and dgHCO2198: 5
188	TAIACYTCIGGRTGICCRAARAAYCA 3 (Geller et al., 2013). The primer sets contained a
189	standard Illumina adapter and an anchoring site for PCR2 primers following a library design
190	provided previously (Valdivia-Carrillo et al., 2021). The amplification protocol for a 12 $\mu l$
191	volume reaction included: 5 $\mu$ l of eDNA ( $\geq$ 2ng), nuclease-free water, PCR Buffer (1X), MgCl2
192	(2.5mM), dNTP's (0.2mM), Primers (0.4 $\mu$ M each), 0.02% of BSA and 2 U of Platinum Taq
193	HiFi polymerase (Invitrogen). PCR conditions were 95°C for 5 min, followed by 35 cycles of
194	denaturation at 95°C for 30s, annealing at 45°C for 30 s, an extension of 72°C for 30 s and a
195	final extension of 72°C for 5 min. PCR negative controls were included in each PCR. A
196	simulated mock community constructed from equimolar concentrations of DNA from 25 known
197	species of fish and invertebrates from six different phyla from the Gulf of California was used as
198	a positive control and is described in detail previously (Mac Loughlin et al., 2023).
199	Amplification of final products was verified in 1.2% agarose gels stained with RedGel
200	(Biotium).
201	Individual barcode combinations for each seawater sample were introduced during PCR2
202	that were conducted in triplicate for all field samples, the mock community, three pools of field
203	controls, three pools of DNA extraction controls, and three pools of PCR controls. The protocol
204	for a final volume of 12 $\mu l$ was: 3 $\mu l$ from PCR1 pool, nuclease-free water, PCR Buffer (1X),
205	$2.5 mM$ of MgCl 2, $0.2 mM$ of dNTP's, $0.4~\mu M$ each primer, $0.02\%$ BSA and 1 U Platinum Taq
206	HiFi polymerase (Invitrogen). The thermocycling protocol was as follows: 95°C for 5 min, 12
207	cycles of 95°C for 30 s, 45°C for 30 s, 72°C for 15 s, and a final extension of 72°C for 5 min.
208	The estimated size of the amplicon (448 bp) was verified in 1.2% agarose gels. The PCR2
209	products were quantified and pooled for each individual sample for purification with AmpureXP
210	beads (1.8X) (Beckman Coulter). The final products were quantified with Qubit and standardized
211	to equimolar concentrations. The high-throughput sequencing of the library was carried out on
212	the Illumina MiSeq platform (250bp x 2) at the University of Arizona Genetics Core.
213	

Sequence analysis

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215	The bioinformatic analysis was performed in a Linux Ubuntu system v.20.04.1 (Sobell, 2015)
216	using the USEARCH v11 software (Edgar 2010). Raw demultiplexed sequence reads were
217	merged by maximum (380 bp) and minimum (280 bp) lengths where short alignments (<16 bp)
218	were discarded, along with forward and reverse primers. The reads quality filter was done under
219	a maximum expected number of errors 1.0. The reads were dereplicated with a minimum size (2
220	reads) to get the unique sequences and subsequently clustered (97% similarity threshold) into
221	Operational Taxonomic Units (OTUs) using the UPARSE algorithm (Edgar, 2013), including
222	detection and exclusion of chimeras. The last step consisted of the generation of the OTU table.
223	The final OTUs were compared with the BLAST algorithm to the NCBI platform (Benson et al.,
224	2013).
225	
226	Taxonomic assignments
227	Taxonomic assignments for each OTU were evaluated using the BLAST with the algorithm
228	matching highly similar sequences. We generate XML files of the first one hundred results
229	obtained for each OTU. The XML files were read in the MEGAN 6 Community Edition softwar
230	(Huson et al., 2016) with parameters: Min score of 50.0, Min Percent Identity of 70.0, and Min
231	Support Percent of 0.01. MEGAN used the Tree of Life from NCBI, the Last Common Ancestor
232	algorithm (LCA, 100% to cover and the naive approach). Each OTU was statistically assigned to
233	the LCA in the taxonomic tree, where the less consistency of taxonomic assignment, the higher
234	up in the tree the assignment is placed for the OTU until the LCA of all likely assignments is
235	reached. The taxonomic assignments were manually checked to discard cross-sample
236	contamination and remove bacteria and terrestrial taxa. OTUs with no hits and no assignments in
237	NCBI were compared against the BOLD Systems platform (Ratnasingham & Hebert, 2007) with
238	the following similarity threshold: 100-97% (Species), 97-94% (Genus), 94-91% (Family), 91-
239	$88\%$ (Order), $88-85\%$ (Class) and $<\!85\%$ - $>\!70\%$ (Phylum) following Valdivia-Carrillo et al.
240	(2021). A total of 1586 reads were assigned to 188 OTUs in the nine negative control samples
241	from the field, extraction, and PCR steps, and these OTUs were eliminated from the entire
242	dataset before statistical analyses.
243	
244	Statistical analysis





245	Histograms to explore the distribution of OTUs per sample were created with the ggplot2
246	package and Venn Diagrams with the Venn Diagram package in RStudio v2022.02.0 (R Studio
247	Team, 2020). Species richness was estimated with Chao 1 non-parametric estimator based on the
248	abundance of rare species using the Primer v7 software (Clarke & Gorley, 2015). We only
249	considered the seawater samples from the North and Central regions of the Gulf of California to
250	analyze the richness (alpha diversity) and test for significant differences between biogeographic
251	regions. We found differences in OTUs richness sample size and therefore used the Mann-
252	Whitney test. We used the Wilcoxon test to search for significant differences in OTUs richness
253	between shallow and deep-sea water samples. Graphics were generated in Primer v7, and the
254	statistical analyses were performed in XLSTAT software (Lumivero, 2023). We used the Jaccard
255	presence/absence dissimilarity matrix and a 2D non-metric multidimensional scaling
256	(nMDS) ordination analysis in Primer v7 to test for eukaryote community structure based on
257	biographic region, column water depth, and sea water mass. A one-way ANOSIM test was run in
258	all cases with 9999 permutations, including one which considered the three variables
259	(Biogeographic region, column water depth, sea water mass) to test the statistical significance. A
260	permutational analysis of variance (PERMANOVA) was conducted in the PERMANOVA+
261	package from Primer v7 to analyze the community structure (beta diversity). Datasets were
262	transformed into a presence/absence matrix, and the Jaccard test was applied to each comparison.
263	We designed a 3-factor Global PERMANOVA (Biogeographic region, column water depth, and
264	sea water mass) from the resemblance matrices. A pairwise analysis was additionally done with
<mark>265</mark>	three, three, and four levels, respectively, based on expectations of mean squares: The
266	biogeographic region (North, Central, South), column water depth (Shallow-Mid-water depth,
267	Shallow-Deep-water, Mid-water depth-Deep-water), and sea water masses (GCW-TSW, GCW-
268	PIW, GCW-StSsW, TSW-PIW, TSW-StSsW, PIW-StSsW). All the analyses were performed
269	with 9999 permutations. To assess which of the taxa were responsible for the differences
270	observed among biogeographic regions, column water depths, and sea water masses, we
271	performed a two-way similarity percentage (SIMPER) survey (70% similarity threshold) in
272	Primer v7, which was based on the presence/absence resemblance matrix and the Bray Curtis
273	measure (relative frequencies). Finally, a Spearman correlation rank analysis was performed in
274	XLSTAT to assess the relationship between richness and column water depth.
275	



#### Results

277	The sequenced library resulted in 4,665,588 total paired reads for the three column water depths,
278	including controls (data deposited in GenBank Bioproject ID PRJNA1073001), with an average
279	of 144,706 raw reads per sample (excluding controls) (Table S1). The USEARCH pipeline
280	removed 2,270,370 reads during the merge step and 159,703 through quality control. The
281	clusters <2 sizes were discarded (298,412 reads), along with 747,243 singletons and 31,775
282	chimeras. An OTU table was constructed from 2,110,667 reads, resulting in 228,953 unique
283	reads grouped into 11,922 OTUs. The negative controls resulted in a total of 1,586 reads (Table
284	S2), represented mostly by bacteria and the phyla Apicomplexa, Amoebozoa, Arthropoda,
285	Mollusca, Cnidaria, Rhodophyta, Bacillariophyta and OTUs with no taxonomic assignment. We
286	discarded a total of 6,427 OTUs, including those assigned to bacteria (2,468), terrestrial taxa
287	(444), with no hits or taxonomic assignments (3,229) and all OTUs found in the negative
288	controls (188, Table S2). The final analyses were conducted with 5,495 OTUs (Table S3). From
289	these, 4,493 were taxonomically assigned with BLAST on the NCBI database, and 903 were
290	assigned with the BOLD Systems platform. A total of 1,694 of these OTUs (30.8% from the
291	total) were either assigned above the phylum taxonomic rank within Eukaryota, or these were
292	taxa of the Stremenopiles, Alveolata, and Rhizaria lineages (SAR) for which higher level phylum
293	taxonomy is still unresolved. The mock sample display a total of 4,065 reads grouped in 200
294	OTUs. Within the observed mock community, we successfully identified 20 taxa (80%)
295	across various phylogenetic levels. Out of the expected 25 species, we detected 2 (9%) at the
296	species level, 2 (9%) at the genus level, 5 (22%) at the family level, 4 (18%) at the order level,
297	and 7 (30%) at the class level. We observed wide variation in the number of OTUs and reads
298	assigned to each taxa within the mock community (Table S4).
299	We detected a total of 41 eukaryotic phyla among the samples analyzed. The five most
300	diverse phyla in terms of OTUs were (in decreasing order): Arthropoda, Bacillariophyta,
301	Mollusca, Rhodophyta and Cnidaria (Fig. 2). Most phyla showed a portion of OTUs that were
302	unique to shallow or deep-sea water samples, and about one third of OTUs were found in both.
303	Most phyla that were exclusively present in shallow samples (Evosea, Euglenozoa,
304	Blastocladiomycota, Phoronida, Perkinsozoa, and Prasinodermophyta), or exclusive of deep-sea
305	water samples (Heterolobosea, Chytridiomycota, and Mucoromycota) were represented by a
306	single OTUs each one. Most of the taxonomically unassigned OTUs were exclusively found in



the deep-sea water samples (705); followed by those OTUs shared between shallow and deep-sea water samples (531) and 458 OTUs were only found in the shallow depths. 308 309 The Chao1 species richness estimator did not reach the asymptote for the total number of 310 samples, particularly for the deep water samples, suggesting that the sampling effort was still insufficient to reach saturation of the OTUs present in these communities (Fig. S1). The 311 312 combined OTUs richness from shallow and deep-sea water samples within sites averaged 893.4 OTUs (range = 272-1719) and was highly heterogeneous among the 16 sampling sites and 313 314 within the three layers compared of each site (Fig. 3). Few sites with deep-water samples showed more than double the OTUs richness than their shallow counterparts (e.g., n1, c2, c3, and c6) and 315 some shallow sites had the opposite trend (e.g., n2, n4, c1, c5, c7, and c11). Within all sampling 316 sites, the cumulative richness observed was larger than that observed for the individual shallow 317 318 or deep-water samples, indicating that a variable fraction of the OTUs within each site was not shared between shallow and deep water samples. The mean alpha diversity for the shallow sea 319 water samples was slightly higher than the deep water samples (Shallow = 537.4; Deep = 481.0, 320 321 Fig. 4a); but differences were not statistically significant (Wilcoxon p = 0.077). OTUs mean 322 richness of the sites in the Northern Gulf of California were comparatively higher than those collected in the Central Gulf of California (Northern = 688.2; Central = 439.5, Fig. 4b), but 323 324 differences were also not statistically significant (Mann-Whitney, p = 0.153), (Supplementary *Tables S5, S6*). 325 326 About one third of the OTUs recorded in all sites from the Gulf of California were exclusive shallow samples (30.8%), a third were exclusive to the deep-sea water samples 327 328 (34.2%), and a third were shared between both (34.9%, Fig. 5a). The analyses of taxonomic assignments at class level showed that most of classes were shared between shallow and deep-329 330 sea water samples. However, 16 taxa from 12 different Phyla (Ciliophora, Pseudofungi, 331 Amoebozoa, Platyherlminthes, Euglenozoa, Chordata, Bryozoa, Mollusca, Blastocladiomycota, Ascomycota, Basidiomycota and Chlorophyta) were exclusive shallow sea-water samples 332 333 and nine taxa from eight distinct phyla (Choanozoa, Mucoromycota, Platyhelminthes, 334 Charophyta, Ascomycota, Chytridiomycota, Chlorophyta and Bryophyta) were exclusive to 335 deep-sea water samples (Fig. 5b). The nMDS ordination analyses eategorized per biogeographic regions showed a 336 337 concentration of the Northern Gulf of California sea water samples (Fig. 6a), but the ANOSIM



338 test was not statistically significant (R = 0.11, p = 0.129, Table S7). A separation of shallow, 339 mid-water depth, and deep-water samples was observed (Fig. 6b), where the shallow seawater 340 samples were like each other (homogeneous). In contrast, samples from mid-water depth sites 341 were more heterogeneous than the shallower sea water samples, but less contrasting than sea water samples from the deep-water layer. The ANOSIM test was statistically significant between 342 depths (R =0.291, p = 0.001, Table S7). Regarding the water masses, the Tropical Surface Water 343 (TSW) it is the most influential between shallow and mid-water depth sites, and where sampling 344 sites grouped together independently of the region. The Gulf of California Water (GCW) 345 basically influence the shallow and mid-water depth sites from the North region and one from 346 the Central region (sc1). The Pacific intermediate Water (PIW) and the Subtropical Subsurface 347 Water (StSsW) slightly separate the mid-water depth samples from the rest (Fig. 6c). However, 348 ANOSIM analysis was not significant between water mass (R = 0.046, p = 0.3). The stress value 349 (0.14) reflected a high ordination of the sampling sites. The ANOSIM test including 350 351 biogeographic region, depth and water mass was statistically significant (R = 0.36, p = 0.002). 352 The eukaryotic community structure based on the presence-absence of species at a global level was not statistically significantly different, although did show a significant statistical difference per 353 latitudinal region in the Gulf of California (PERMANOVA df = 29, Pseudo-F = 1.6467, p =354 355 0.0002, Table S8). We showed evidence of significant differences in latitudinal regions after the pairwise comparison: North-Central (PERMANOVA df = 28, t = 1.3235, p = 0.002), North-356 357 South (PERMANOVA df = 8, t = 1.2196, p = 0.042), and Central-South (PERMANOVA df = 22, t = 1.2586, p = 0.0044) (Fig. 6a). We also found statistically significant differences 358 359 comparing between shallow and deep-sea water depth samples (PERMANOVA df = 29, Pseudo-F = 1.3647, p = 0.007) (Fig. 6b). We only found statistically significant differences in depths: 360 361 Shallow-Mid-water depth pairwise comparison (PERMANOVA df = 27, t = 1.3503, p = 0.002). 362 OTUs collected in different sea water mass exhibit a significant statistical difference (PERMANOVA df = 28, Pseudo-F = 1.2656, p = 0.006) (Fig. 6b). Following the pairwise 363 364 comparison, we found significant differences in OTUS recorded from seawater samples collected 365 in different sea water masses: GCW-TSW (PERMANOVA df = 27, t = 1.3067, p = 0.002), 366 GCW-PIW: (PERMANOVA df = 8, t = 1.2492, p = 0.024) (Fig. 6c). The SIMPER analysis of species relative abundance richness showed that the differences 367 found between different column water depths were related to taxa found in the shallow zone 368



within the phyla Mollusca, Arthropoda, and several taxonomically unassigned OTUs. The difference between the North and Central latitudinal regions was mainly due to contribution of taxa associated with the deep zone within the phyla Chordata, Arthropoda, and Bacillariophyta where more than half of the OTUs observed classified as not assigned ( $Table\ S9$ ). Between the Northern and Southern regions, the most important contribution was due to taxa associated with distinct sea water masses (Cnidaria, Rhodophyta, Echinodermata, and Arthropoda) and taxa from different sea water column depths (Arthropoda, Bacillariophyta, and three Not assigned OTUs). In the case of Central and South region comparison, the contribution to differentiation was related to taxa associated with both depth and sea water masses in the Central region (Cnidaria, Arthropoda, Rhodophyta, and two not taxonomically assigned OTUs). Finally, the Spearman rank correlation test ( $Table\ S10$ ) suggested a poor relationship between column water depth and OTUs richness (R = -0.161), and the coefficient test result was not statistically different ( $R^2 = 0.26$ , P = 0.377) ( $Fig.\ 7$ ,  $Table\ S10$ ).

#### **Discussion**

We demonstrated that mid-water depths and deep-water samples showed similar levels of mean OTUs richness shallow layers at the same sites and found evidence supporting the view that the communities found in the deeper layers were statistically distinct at the lowest taxonomic levels from their shallow layer counterparts. About a third of all the OTUs were exclusive the deep-sea water samples and substantial turnover of OTUs was observed between the shallow and deeper sea water layers. Our results from the Gulf of California do not support the observed trend of decreasing marine biodiversity with depth (Costello & Chaudhary, 2017). These observations are in line with other studies along a similar vertically depth gradient comparing data from trawl surveys (Piacenza et al., 2015) and photo transects (Stefanoudis et al., 2019) reporting that biodiversity of the mid-water depth layer and the deep-sea is richer and more distinct than previously assumed. Other reports employing eDNA metabarcoding using universal primers also have detected significant vertical changes in the community composition of eukaryotes comparable to our study (Zhang et al., 2020; Govindarajan et al., 2021; Cote et al., 2023; Hoban et al., 2023). Our results imply that the deep refugia hypothesis could apply to only about a third of all the lower-level taxa identified that are shared between shallow and deep-sea



399 water samples, while most OTUs could be considered exclusive either shallow or deep-sea 400 water layers. 401 The vertical transition in the biotic communities along a depth gradient could be 402 explained by changes in temperature and availability of light and nutrients between the upper (30-60 m) and lower mid-water depth zones (60-150 m) (Lesser et al., 2019; Slattery et al., 403 404 2024). This vertical change is characterized by a sharp change in foundational species from photo autotrophic (hard corals and macroalgae) to heterotrophic taxa (sponges and 405 azooxanthellate octocorals) feeding on large (>2 mm) zooplankton that seems more abundant in 406 the mid-water depth layer (Andradi-Brown et al., 2017; Lesser et al., 2019). Fish species 407 408 assemblages in the mid-water depth layer also show lower abundance of herbivores and higher population biomass (Stefanoudis et al., 2019; Rocha et al., 2018). Additionally, there is a vertical 409 gradual transition to the deep sea represented by rariphotic ecosystems with unique species 410 assemblages located between 150-300 m that are also different from the mid-water depth biota 411 (Baldwin et al., 2018). 412 413 Marine biodiversity assessments have traditionally considered the ocean on a two-414 dimension scale with little focus on depth (Jacquemont et al., 2024). The advent of techniques 415 like eDNA metabarcoding from seawater samples collected remotely opens new possibilities for 416 characterizing marine biodiversity from deep ecosystems that were previously logistically 417 inaccessible and thus lacked enough data. The finding that mid-water depths and deep-water 418 zones are as OTUs diverse as shallow sea water OTUs assemblages and yet quite distinct in their 419 species composition has some important implications for management and conservation. Based 420 on a principle of complementarity, our study supports the view that marine protected areas 421 (MPAs) and other effective area-based conservation measure should extend to include the 422 biodiversity present in mid-water depth and deep-water layers to maximize protection of 423 taxonomic and functional diversity of marine ecosystems against human impacts (Robison, 2009; Loiseau et al., 2022; Jacquemont et al., 2024). Even when some of the same species are present 424 425 between the shallow and deep sea zones likely due to diel vertical migration (Ambriz-Arreola et 426 al., 2017), the ecological and genetic connectivity between them could be limited, at least for 427 some species that do not migrate or when migrate do not cross distinct vertical ecosystem (Palomares-García et al., 2013; Loya et al., 2016; Bongaerts et al., 2017). Copepods for example 428 429 do not migrate daily maintaining maximum densities at 50 m depth independently of the time in

430	(Palomates-Garcia et al., 2013). In contrast, kind higrates from surface to
431	mid-water depths every day explaining sharing of species in different vertical habitats (Ambriz-
432	Arreola et al., 2017). Some evidence suggest that ecosystems from the mid-water depth zone
433	could act as a refugia for some key or foundational species (and the benthic communities
434	associated with them) against stronger climatic impacts evident in shallower sites (Giraldo-
435	Ospina et al., 2020) and being more resilient to local extinctions (del Monte-Luna et al., 2023).
436	Extending the bathymetric range on which MPAs are placed and providing protection for the
437	entire water column would also benefit a third of all the taxa that was not present in shallow
438	layers and was exclusive from the deeper layers of the Gulf of California. Recent studies suggest
439	that some of these taxa exclusive from deep waters are threatened with extinction, but many of
440	these taxa are data deficient (Finucci et al., 2024).
441	Marine species closely track shifting isotherms due their metabolic needs in both pelagic
442	and benthic habitats due to climate change shifting biogeographic regions latitudinally at ~70
443	km/decade towards higher latitudes but also to greater depths (Lenoir et al., 2020; Pinsky et al.,
444	2020). This reorganization of marine biodiversity highlights the need to monitor climate-driven
445	community restructuring in-situ at different ocean depths. Projected range shifts based on climate
446	velocities are faster in the deep ocean compared to the near surface, particularly for the mid-
447	water depths biota (Brito-Morales et al., 2020). Since mid-water depth reefs are ecological
448	relevant habitat for several economically profitable commercial species (Williams et al., 2019),
449	the redistribution of species will have also economic impacts to the regional fishing sector
450	(Gordo-Vilaseca et al., 2023; McClure et al., 2023) as has been documented in the Gulf of
451	California (Gilly et al., 2022). With the availability of marine biodiversity data from the deep
452	ocean, three-dimensional (3D) spatial prioritization analyses could be conducted and likely will
453	become more common in the near future (Venegas-Li et al., 2018), and could incorporate climate
454	velocities to identify climate refugia within present and future MPAs (Brito-Morales et al.,
455	2022). Available data supports a strong ecological and biogeochemical connectivity between the
456	pelagic (sea water column) and benthic mid-water depths and deep-water environments, but
457	more observation evidence needs to be collected regarding the influence of vertically stratified
458	management, particularly on oceanic MPAs (O'Leary and Roberts, 2018; Blanluet et al., 2023).
459	While depth layers showed the largest influence in shaping eukaryotic community
460	composition, we found some evidence suggesting a role of sea water masses in the Gulf of



California on species assemblage composition. Other studies have also shown distinct 461 462 communities detected via eDNA metabarcoding associated with different sea water masses and 463 driven by different planktonic organisms (Adams et al., 2023). The vertical distribution of 464 multiple sea water masses layered in a long, narrow, and deep layers of the Gulf of California seem to contribute to a higher similarity of OTUs among sites located at similar depths and 465 466 influenced by the same sea water mass. Each sea water mass represents a different habitat, characterized by multiple taxa responding to common environmental conditions (Lima-Mendez 467 et al., 2015), in this case, the physical and chemical signatures that define it. The large difference 468 observed between the community from the mid-water depth layer in the Northern Gulf of 469 470 California (represented by sample dn2) from the rest of the samples could be attributed to the oceanographic isolation of the Northern Gulf of California by a series of islands, narrow 471 472 channels, and sills from the central Gulf of California (Figure 1) that seems to separate the PIW water mass into two distinct regions as has been reported in zooplankton (Brinton et al., 1986; 473 474 Quiroz-Martínez et al., 2023). The Northern Gulf of California is characterized by strong currents and complex topography that promotes year-round vertical mixing and primary 475 476 productivity and high zooplankton biomass throughout the year (Salas-de-León et al., 2011). The use of eDNA metabarcoding for biodiversity monitoring beyond shallow coastal zones has 477 478 several logistical challenges. While modeling and empirical studies have shown that the vertical distribution of eDNA often corresponds to the vertical location of the organismal source, sinking 479 480 of eDNA has been proposed and implies that eDNA could be detected in the upper depth limit of any given taxa (Allan et al., 2021; Canals et al., 2021). This should be analyzed considering the 481 482 role of natural diel vertical migrations on plankton and nekton that represent eDNA distribution 483 in the water column (Easson et al., 2020; Canals et al., 2021). A decline of eDNA concentration 484 in function of depth highlights the need of larger seawater volumes of filtered water and higher 485 number of replicates are needed to improve the detection of pelagic biodiversity (McClenaghan et al., 2020; Govindarajan et al., 2022). 486 487 In our study, the proportion of taxonomically unassigned taxa and the slopes of the species accumulation curves indicate a larger sampling effort is required, particularly for the 488 489 deeper layers, including broader geographic coverage, sampling, and sequencing efforts (both sequencing depth per sample and complementing DNA sequence databases), to comprehensively 490 491 describe biodiversity.

### **PeerJ**

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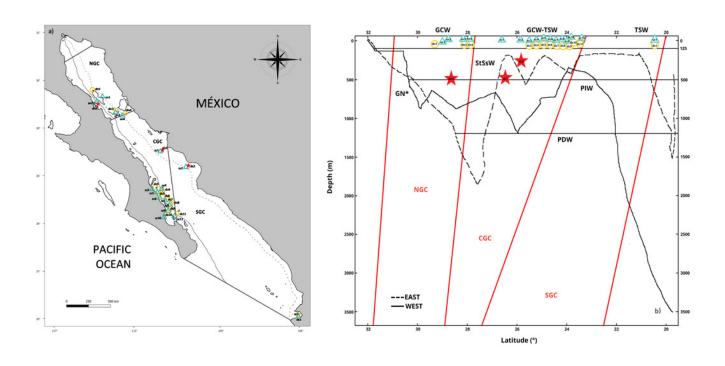
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Geographic and bathymetric distribution of sampling sites in the Gulf of California.

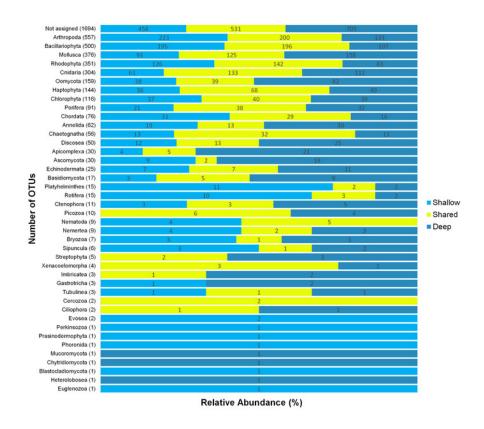
(A) Sampling sites distributed across the three biogeographic regions including the Northern (NGC), Central (CGC) and Southern (SGC) Gulf of California. Shallow sites in green color, mid-water depth sites in yellow, and deep-water sites indicated as red stars. (B) Bathimetric profile of the Gulf of California, indicating sampling sites according to latitude (X axis) and depth (Y axis), sea water masses separated by horizontal lines and biogeographic regions separated by red lines. Continuous and dotted lines along the Gulf of California show the location and depth profile of two transects on the western and eastern margins, respectively. See Table 1 for the classification of water mass for each sample.





Number of eukaryotic OTUs found within 41 phyla in shallow and deep samples from the Gulf of California

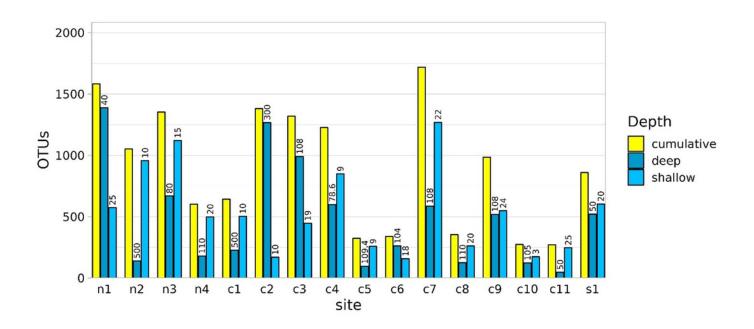
OTU counts for eukaryotes at the phylum level from seawater samples collected at shallow and deep layers in the Gulf of California. Phyla are ordered showing decreasing OTU diversity from top to bottom. Numbers next to each phylum indicate the total number of OTUs, while numbers in each colored bar indicate the number and relative proportion of OTUs exclusive of shallow or deep samples, and those shared between depth layers.





Eukaryotic OTU richness found in 16 sampling sites from the Gulf of California

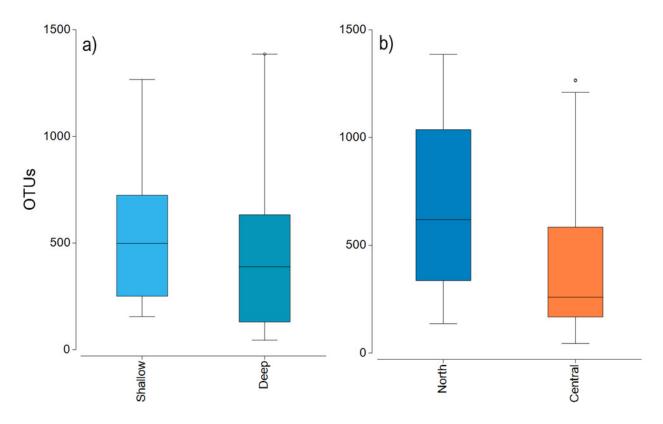
OTU richness for each sampling site ordered according to their latitudinal distribution (Northern samples on the left). Each site is represented by three vertical bars showing the number of OTUs found at shallow and deep layers and the total or cumulative number of OTUs found at that site. The depth (m) from each sample is shown at the top of each bar.





Diversity of eukaryotic OTUs by depth layer and biogeographic region from the Gulf of California

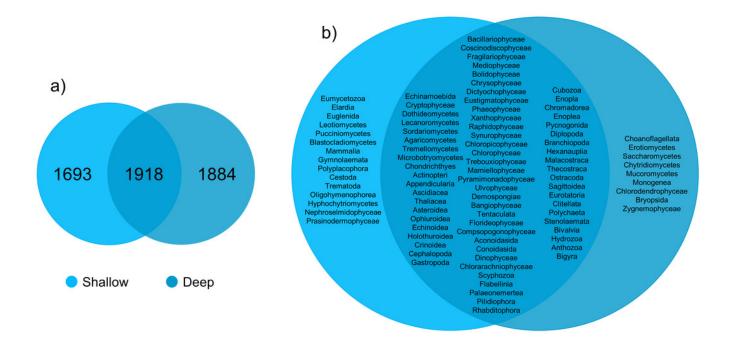
Box plots showing the mean, upper and lower quartiles and 95% confidence intervals (whiskers) of OTU richness. (A) Comparison between shallow and deep-water samples. (B) Comparison between Northern and Central biogeographic regions.





Venn diagrams comparing number of eukaryotic OTUs and taxonomic classes by depth layer.

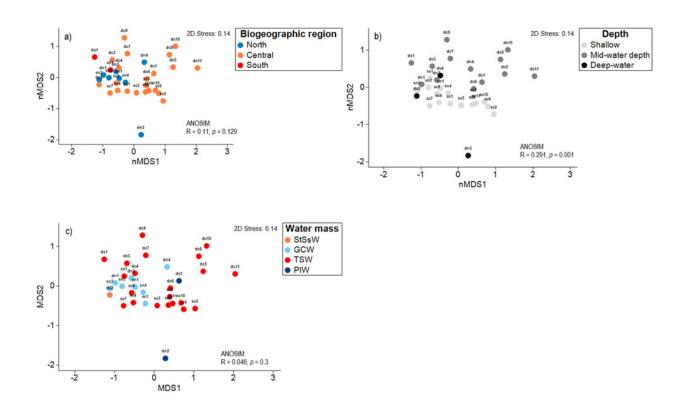
(A) Venn diagram representing the number of exclusive and shared OTUs found in shallow and deep samples. (B) Venn diagram showing the presence of exclusive and shared identified taxonomic classes in shallow and deep samples.





Eukaryotic community structure by depth layer, biogeographic region and seawater masses from the Gulf of California.

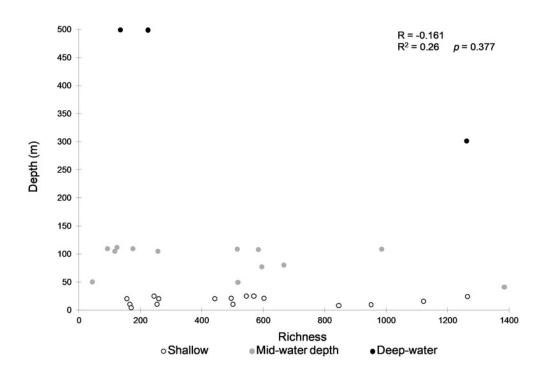
Non-metric multidimensional scaling (nMDS) ordination analysis showing the OTUs community structure. (A) Among three biogeographic regions. (B) Among three seawater sampling depths. (C) Among four sea water masses.





Relationship between depth and OTU richness for each sample

Scatter plot showing the richness of OTUs (X axis) according to sampling depth (Y axis), including Spearman´s rank correlation (R) and coefficient (R²). Data points are colored according the depth layer.





#### Table 1(on next page)

Characteristics of the water samples collected for eDNA analyses.

For each of the 16 sampling sites, we provide details of the paired shallow and deep samples, including biogeographic region, year and month of sampling, sampling site name, site ID, sample depth (SD), sample vertical distance to the bottom in the water column (SB), geographic distance between shallow and deep samples within each site (D), water temperature during sampling (T), corresponding water mass of the sample (WM) following Lavín & Marinone (2003): GCW= Gulf of California Water; TSW= Tropical Surface Water; StSsW= Subtropical Subsurface Water; PIW= Pacific Intermediate Water.





				Shallow					Deep					
Region	Year	Month	Site	ID	SD (m)	SB (m)	T(°C)	WM	ID	SD (m)	SB (m)	T(°C)	WM	D (km)
NGC	2021	July	Ángel de la Guarda	sn1	25	35	22	GCW	dn1	40	4	18	GCW	45.65
	2018	July	Canal de Ballenas	sn2	10	800	25	GCW	dn2	500	310	12	PIW	0
	2021	July	San Esteban	sn3	15	8	21	GCW	dn3	80	7	15	GCW	2.95
	2021	July	San Pedro Mártir	sn4	20	12	23	GCW	dn4	110	83	16	GCW	2.79
CGC	2018	July	Guaymas	sc1	10	1760	27	GCW	dc1	500	1270	15	PIW	0
	2018	July	Huatabampo	sc2	10	500	28	TSW	dc2	300	210	16	StSsW	0
	2021	October	San Damián	sc3	19	14	28	TSW	dc3	108	7	16	TSW	1.42
	2021	October	San Marcial	sc4	9	2	28	TSW	dc4	78.6	71.4	21	GCW-TSW	2.01
	2021	October	San Mateo	sc5	9	3	28	TSW	dc5	109.4	11	15	GCW-TSW	16.52
	2021	October	Punta Botella	sc6	18	5	28	TSW	dc6	104	138	16	StSsW	2.59
	2021	October	Santa Cruz	sc7	22	12	27	TSW	dc7	108	160	17	StSsW	1.56
	2021	October	Las Animas	sc8	20	21	28	TSW	dc8	110	65	16	StSsW	1.5
	2021	October	San Francisquito	sc9	24	18	29	TSW	dc9	108	96	15	StSsW	3.18
	2021	October	Punta Coyote	sc10	3	2	28	TSW	dc10	105	4	16	GCW-TSW	6.03
	2021	October	El Bajo	sc11	25	25	29	TSW	dc11	50	13	22	StSsW	0
SGC	2021	May	Yelapa	ss1	20	325	21	TSW	ds1	50	147	17	StSsW	0