

## Evaluating the adaptive potential of the European eel: is the immunogenetic status recovering?

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The recent increased integration of evolutionary theory into conservation programs has greatly improved our ability to protect endangered species. A common application of such theory links population dynamics and indices of genetic diversity, usually estimated from neutrally evolving markers. Some studies however have suggested that highly polymorphic adaptive genes, such as the immune genes of the Major Histocompatibility Complex (MHC), might be more sensitive to fluctuations in population dynamics. As such, the combination of neutrally- and adaptively-evolving genes may be informative in populations where reductions in abundance have been documented. The European eel (*Anguilla anguilla*) underwent a drastic and well-reported decline in abundance in the late 20th century and still displays low recruitment. Here we compared genetic diversity indices estimated from neutral (mitochondrial DNA and microsatellites) and adaptive markers (MHC) between two distinct generations of European eels. Our results revealed a clear discrepancy between signatures obtained for each class of markers. Although mtDNA and microsatellites showed no changes in diversity between the older and the younger generations, MHC diversity revealed a contemporary drop followed by a recent increase. Our results suggest ongoing gain of MHC genetic diversity resulting from the interplay between drift and selection and ultimately increasing the adaptive potential of the species.

1 **Evaluating the adaptive potential of the European eel: is the**  
2 **immunogenetic status recovering?**

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20 **Abstract**

21 The recent increased integration of evolutionary theory into conservation programs has greatly  
22 improved our ability to protect endangered species. A common application of such theory links  
23 population dynamics and indices of genetic diversity, usually estimated from neutrally evolving  
24 markers. Some studies however have suggested that highly polymorphic adaptive genes, such  
25 as the immune genes of the Major Histocompatibility Complex (MHC), might be more sensitive  
26 to fluctuations in population dynamics. As such, the combination of neutrally- and adaptively-  
27 evolving genes may be informative in populations where reductions in abundance have been  
28 documented. The European eel (*Anguilla anguilla*) underwent a drastic and well-reported  
29 decline in abundance in the late 20<sup>th</sup> century and still displays low recruitment. Here we  
30 compared genetic diversity indices estimated from neutral (mitochondrial DNA and  
31 microsatellites) and adaptive markers (MHC) between two distinct generations of European  
32 eels. Our results revealed a clear discrepancy between signatures obtained for each class of  
33 markers. Although mtDNA and microsatellites showed no changes in diversity between the  
34 older and the younger generations, MHC diversity revealed a contemporary drop followed by a  
35 recent increase. Our results suggest ongoing gain of MHC genetic diversity resulting from the  
36 interplay between drift and selection and ultimately increasing the adaptive potential of the  
37 species.

## 38 Introduction

39 Preserving natural biodiversity while allowing species to maintain their adaptive potential is a  
40 major challenge in modern conservation biology (Frankham et al. 2002). Anthropogenic  
41 activities impact global ecosystems and reduce population sizes of species, whether by  
42 shrinking or fragmenting available habitats, overexploitation, or disruption of population  
43 dynamics (Allendorf et al. 2008; England et al. 2010; Thomas et al. 2004). Small populations are  
44 more vulnerable to environmental, demographic and genetic processes (Keith et al. 2008).  
45 Genetic factors are particularly important as they may not manifest immediately after  
46 population reduction but their effects persist in the population even if the population size  
47 recovers to otherwise sustainable levels, e.g. through bottleneck effects (Spielman et al. 2004).  
48 Populations display complex evolutionary dynamics and evolutionary genetics offers ideal  
49 framework for conservation biologists to monitor population changes and viability (Hendry et  
50 al. 2011). Although genetic studies applied to wild populations of non-model species have  
51 largely focused on the analysis of neutrally evolving loci (see (McMahon et al. 2014)),  
52 conservation managers have expanded their toolbox to include screening of adaptive loci  
53 (Hendry et al. 2011). Such measures are required to fill knowledge gaps regarding species'  
54 evolutionary and adaptive potential (Eizaguirre & Baltazar-Soares 2014).

55 The genes of the Major Histocompatibility Complex (MHC) have repeatedly been shown to be  
56 suitable candidates to evaluate the immune adaptive potential of endangered populations  
57 (Sommer 2005; Stiebens et al. 2013b). This highly polymorphic (high heterozygosity and gene  
58 duplications), multigene family (Apanius et al. 1997; Klein et al. 2007) plays a decisive role in

59 controlling the vertebrate adaptive immune system by presenting self- and pathogen-derived  
60 peptides to T-cells (Janeway et al. 2005). Pathogen-mediated selection is acknowledged to be  
61 one of the primary factors of balancing selection maintaining the extreme MHC polymorphism  
62 in a population (Eizaguirre et al. 2012a; Piertney & Oliver 2006; Spurgin & Richardson 2012).  
63 Therefore, investigating shifts in MHC allele frequencies (Eizaguirre et al. 2012b) may be a  
64 particularly informative tool as an indirect way to detect the emergence of diseases (Sommer  
65 2005). Similarly, examining how MHC genetic diversity fluctuates in parallel with the incidence  
66 of diseases or parasites can provide indirect evidence for the impact of those selective agents  
67 on the dynamics of the host population (McCallum 2008). Lastly, MHC genes have also shown  
68 to be informative of demographic events, particularly when selection plays an important role in  
69 population reductions (Sutton et al. 2011).

70 The European eel is a highly migratory, semelparous fish whose spawning grounds are located  
71 in the Sargasso Sea and whose foraging grounds cover coastal, mixohaline and freshwater  
72 habitats (Harrod et al. 2005) across much of Europe and even extend to North Africa and the  
73 Levantine coast. The post-hatching early larval transport is first facilitated by local currents in  
74 the Sargasso sea, connecting the spawning area with the Gulf Stream (Baltazar-Soares et al.  
75 2014), and by the North Atlantic gyre, that completes the larval migration to the continental  
76 shelf (Bonhommeau et al. 2008; Kettle et al. 2008b; Munk et al. 2010). Once larval eels enter  
77 shelf waters, they undergo a series of substantial changes in morphology and physiology:  
78 individuals become glass eels, with a fusiform, transparent body that facilitates active  
79 swimming towards coastal waters (Miller 2009). The duration of the continental life stage varies  
80 on the location of growth habitats, and may last from as little as two years (EIFAAC/ICES 2013)

81 to several decades prior to metamorphose into silver eel. At this stage, energetic reserves are  
82 collected to allow sexual maturation and the long spawning migration back to the Sargasso Sea  
83 (Tesch 2003).

84 Although the number of glass eels arriving at continental coasts across Europe experienced a  
85 first drop in the 1960s, the major recruitment collapse occurred at the beginning of the 1980s  
86 (EIFAAC/ICES 2011). For the subsequent three decades, the recruitment of glass eels has  
87 remained as low as 1 to 10% of the values prior to the 1980s (EIFAAC/ICES 2011). The low  
88 recruitment regime is hypothesized to have resulted from multiple impairing factors including  
89 productivity changes in the Sargasso Sea (Friedland et al. 2007), habitat degradation including  
90 river regulation, pollution and reduced freshwater habitats (Prigge et al. 2013; Robinet &  
91 Feunteun 2002), changes in oceanic currents (Baltazar-Soares et al. 2014; Kettle et al. 2008a),  
92 introduction and spread of diseases, such as the EVEX (Van Ginneken et al. 2005) and the swim  
93 bladder parasite *Anguillicola crassus* (Kirk 2003), resulting in a severe lack of spawners (Dekker  
94 2003). Experimental studies focusing on this invasive nematode for instance revealed that the  
95 European eel is unable to mount an effective immune response, thus becoming particularly  
96 susceptible to infection (Knopf 2006).

97 The European eel is currently considered a single panmictic population (Als et al. 2011; Pujolar  
98 et al. 2014), even though punctual deviations from panmixia have been reported (Baltazar-  
99 Soares et al. 2014; Dannewitz et al. 2005; Wirth & Bernatchez 2001). While the vast majority of  
100 genetic studies have focused on solving the population structure of the species, few have tried  
101 to determine the impact of the recruitment decline on the species' genetic diversity (Pujolar et

102 al. 2011; Pujolar et al. 2013; Wirth & Bernatchez 2003). Studies that aimed at doing so have  
103 evaluated neutrally evolving genetic markers. In 2003, Wirth and Bernatchez (Wirth &  
104 Bernatchez 2003) analyzed 7 microsatellite loci in 611 European eel individuals and reported no  
105 measurable signature of the 1980s recruitment decline. In 2011, Pujolar and coworkers (Pujolar  
106 et al. 2011) analyzed the diversity of 22 microsatellite markers on 346 individuals. Again,  
107 genetic signature of a population reduction was absent. It was therefore suggested that the  
108 1980s decline, although marked in terms of population biology was not sufficiently extreme to  
109 affect the diversity of these polymorphic and neutrally evolving loci (Pujolar et al. 2011).  
110 Employing a genome-wide reduced representation sequencing technique (RAD) – that  
111 identified in its vast majority neutral markers – Pujolar et al. (Pujolar et al. 2013) did not detect  
112 either evidence for a recent decline of genetic diversity to be associated with the drastic drop in  
113 recruitment (Pujolar et al. 2013).

114 Here, we expand on these studies detailing an extensive evaluation of the current genetic  
115 status of the European eel species, which includes 1) screening of both neutral and adaptive  
116 markers and 2) a temporal approach directly comparing two distinct generations of eels. A  
117 temporal approach is regarded as a key requirement when investigating the signature of  
118 demographic events on genetic diversity in a wild population (Sutton et al. 2015). Specifically  
119 for the eel system, evaluating genetic diversity in two distinct, non-overlapping age cohorts is  
120 important since the major component of genetic distribution of nuclear markers in this species  
121 seems to relate to different age cohorts (Dannewitz et al. 2005).

## 122 **Material and methods**

## 123 **Study scheme**

124 A total of 683 eels were analyzed in this study, 202 of which corresponded to mature silver eels  
125 caught in freshwater while undertaking their spawning migration. The other 481 individuals  
126 were glass eels collected from 2009 to 2012 immediately upon their arrival in coastal waters  
127 (Fig. S1). Number of individuals per sampling site, year of capture, developmental stage, and  
128 geographical locations can be found in Table 1. Note, we mostly sampled in one catchment per  
129 country and used countries' acronyms to refer to the locations where the specimens were  
130 collected. All samples were included in the analyses of mtDNA and microsatellites. Although the  
131 main objective of this work was to evaluate the genetic status of the eel species on a temporal  
132 scale, we also analyzed spatial patterns of genetic differentiation for comparison with  
133 previously published studies (Als et al. 2011; Maes & Volckaert 2002; Pujolar et al. 2014; Wirth  
134 & Bernatchez 2001).

135 Amongst the 683 individuals, 327 were sequenced at the exon 2 of the MHC class II B gene. This  
136 number was achieved as a compromise between costs of 454 sequencing and the need to  
137 provide sample sizes sufficient to provide a robust screen for spatial and temporal patterns of  
138 local adaptation. Locations and respective sample sizes of fish screened for the MHC gene are  
139 highlighted in Fig. S2. Temporal analyses were performed after dividing the dataset in two  
140 distinct age groups: the older "*silver eels*" age group and the younger "*glass eels*" group. The  
141 first group included individuals born soon after the drop in recruitment (late 1990s and early  
142 2000s) while the second group consisted of very recently recruited individuals (2009 onwards)  
143 (ICES 2015). DNA was extracted from fin clips ("*silver eels*") or tail clips ("*glass eels*") with

144 Qiagen DNeasy Kit <sup>©</sup> Blood and Tissue kit (Hilden, Germany) following the manufacturer's  
145 protocol.

## 146 **Neutrally evolving mitochondrial marker**

### 147 **Genetic estimates of diversity, population differentiation and demography**

148 All 683 glass eels were sequenced using Sanger sequencing for the mitochondrial NADH  
149 dehydrogenase 5 (*ND5*) exactly replicating (Baltazar-Soares et al. 2014). Haplotype diversity  
150 (*Hd*) and nucleotide diversity ( $\pi$ ) were calculated for each sampling location in DnaSP v5  
151 (Librado & Rozas 2009). Genetic structure was estimated using Arlequin v3.5 with 10.000  
152 permutations (Excoffier & Lischer 2009). Moment-based demographic parameters that test for  
153 changes in effective population size were calculated for each sampling location in DnaSP v5  
154 under the assumption of mutation-drift equilibrium. Tajima's *D* (Tajima 1989) and raggedness' *r*  
155 (Rogers & Harpending 1992) were also calculated in DnaSP v5. Ninety-five percent confidence  
156 intervals were estimated through coalescence simulations using 1.000 permutations. We  
157 evaluated the nucleotide mismatch pairwise distributions within each geographical location  
158 (Rogers & Harpending 1992). These distributions were compared to expected distributions  
159 under a constant population size and sudden population expansion (Librado & Rozas 2009).

## 160 **Neutrally evolving nuclear markers**

161 **Genetic estimates of diversity, differentiation and demography amongst**  
162 **freshwater locations**

163 All samples were genotyped for twenty-two microsatellite loci optimized from previous studies  
164 (Als et al. 2011; Pujolar et al. 2009; Wielgoss et al. 2008b). Amplification took place in four PCR  
165 multiplexes of four to six loci each. Specifically: multiplex A – 55°C annealing temperature –  
166 included CT77, CT87, CA55, CA58, CT68, and AJTR-37; multiplex B - 55°C annealing temperature  
167 – included CT82, CT76, CT89, CT59, CA80, and CT53; multiplex C - 60°C annealing temperature  
168 – included C01, M23, AJTR-45, AJTR27, I14, and O08; multiplex D - 60°C annealing temperature  
169 – included AJTR-42, B09, B22, and N13. All reactions were performed in a total volume of 10 µl  
170 and followed the QIAGEN® Multiplex PCR kit's recommendations. Genotyping was performed  
171 on an ABI® 3100 Genetic Analyzer. Alleles were called in GENEMARKER® v. 1.91 (Softgenetics  
172 LLC, State College, PA).

173 Nei's unbiased heterozygosity ( $H_e$ ), observed heterozygosity ( $H_o$ ) and  $F_{IS}$  were calculated for  
174 each sampling location in GENETIX (1.000 bootstrap, (Belkirk 1999)). Rarefied allelic richness  
175 ( $A_r$ ) was calculated for each sampling location in HP-RARE v1.0 (Kalinowski 2005). Genetic  
176 structure amongst sampling locations was inferred through pairwise comparisons in Arlequin  
177 v3.5 and Bayesian clustering in STRUCTURE v2.3.3 (Pritchard et al. 2000). STRUCTURE was run  
178 assuming a maximum number of possible groups of  $K = 26$ , i.e. representing the sum of all  
179 spatial and temporal partitions of our sample, with 10 000 MCMC repeats after a 1000 burn-in  
180 while assuming an admixture model with correlated allele frequencies. Three iterations were  
181 performed for each  $K$ .

182 Genetic signatures of a bottleneck were tested for each location using the tests available in  
183 BOTTLENECK (Cornuet & Luikart 1996). These methods are sensitive to recent and severe

184 reductions on effective population size ( $N_e$ ) (Cornuet & Luikart 1996). A two-phase mutation  
185 model was assumed with 10% of the loci allowed to evolve through stepwise mutation (Kimura  
186 & Ohta 1978). Allele frequency distributions were also calculated for each location.

## 187 **Genetic estimates of diversity, differentiation and demography – inter-** 188 **generation level**

189 In order to compare genetic diversity and demographic histories between the two cohorts and  
190 to avoid sampling bias from disproportionate number of samples in the two eel age groups  
191 (“*glass eels*”  $n=481$  and “*silver eels*”  $n=202$ ), we performed 10 rounds of re-sampling of the data  
192 without replacement using PopTools (Hood 2010), hereafter referred to as “replicates”.  
193 Replicates were performed based on 50 individuals. This standardization is critical to validate  
194 future comparisons, as it has been long acknowledged that sample size affects the detection of  
195 genetic signatures of recent bottlenecks (Luikart et al. 1998) and the estimation of effective  
196 population size (Waples & Do 2010).

197 Deviations from Hardy-Weinberg equilibrium (HWE) were calculated for each replicate in  
198 Arlequin v3.5 (10 000 permutations). Nei’s unbiased heterozygosity ( $H_e$ ), observed  
199 heterozygosity ( $H_o$ ), allelic richness ( $A_r$ ) and  $F_{IS}$  were calculated and compared between groups  
200 of replicates, i.e. “*glass eels*” and “*silver eels*”, with two-sided t-tests in FSTAT (1000  
201 permutations) (Goudet 1995). The distribution of genetic variance between “*glass eels*” and  
202 “*silver eels*” was assessed with an analysis of molecular variance (AMOVA, Arlequin v3.5)  
203 amongst groups of replicates. Demographic history was inferred using two approaches. First,  
204 we evaluated the possible genetic signature of the recent population decline using BOTTLENECK

205 (1000 iterations) for each age group as previously described. Second, we estimated the  
206 effective population size ( $N_e$ ) of each replicate of “*silver eels*” and “*glass eels*” using the linkage-  
207 disequilibrium method implemented in NeEstimator V2.01 (Do et al. 2014). We utilized  $P_{\text{crit}} =$   
208 0.05, since lower  $P_{\text{crit}}$  can overestimate  $N_e$  (Waples & Do 2008). All estimates were obtained  
209 with the composite Burrows method (Weir 1990). The unweighted harmonic mean was  
210 calculated for each group according to the following equation:  $\hat{N}_e = \frac{j}{\sum_{i=1}^j (1/N_{e(i)})}$  where  $j$  is the  
211 number of replicates,  $i$  is a given replicate and  $N_{e(i)}$  is the  $N_e$  estimate of the  $i$ th replicate  
212 (Waples & Do 2010).

### 213 **Adaptive marker: diversity and demography of the MHC**

214 We amplified the exon 2 of the MHC class II gene that encodes for the peptide-binding groove  
215 of the molecule following protocols optimized for the European eel (Bracamonte et al. 2015).  
216 We used the forward primer AaMHCIIBE2F3 (5′- AGTGYCGTTTCAGYTCCAGMGAYCTG-3′) and  
217 reverse primer AaMHCIIBE2R2 (5′- CTCACYTGRMTWATCCAGTATGG-3′) which allow the  
218 amplification of different allelic lineages of the MHC class II  $\beta$  genes (Bracamonte et al. 2015).  
219 Sequencing was performed on a 454<sup>®</sup> platform at LGC genomics (Belgium) following (Stiebens  
220 et al. 2013a; Stiebens et al. 2013b). Briefly, two independent reactions were prepared for each  
221 individual. After a first PCR of 20 cycles, a reconditioning step (dilution 1:5) was performed, and  
222 the template was used for a second PCR of 20 cycles. The reconditioning step combined with  
223 independent reactions was shown to significantly decrease the number of PCR artifacts (Lenz &  
224 Becker 2008) and facilitate allele call (Stiebens et al. 2013a). The second set of PCR was  
225 performed using the specific MHC primers extended by the 454 adaptors and a 10 bp individual

226 tag. Allele calling and respective assignment to individuals followed (Stiebens et al. 2013a;  
227 Stiebens et al. 2013b) and primarily relied on matching alleles present in both independent  
228 reactions (Sommer et al. 2013). Genotyping using this method has previously been compared to  
229 Sanger sequencing and showed its high accuracy (Bracamonte et al. 2015). Even though  
230 variants may stem from different loci, we will refer to them as alleles hereafter.

231 Individual MHC allele numbers detected from the different paralogs, nucleotide diversity, and  
232 individual average nucleotide p-distance (Eizaguirre et al. 2012a) were calculated for each  
233 sampling location and for each group, i.e. “*silver eels*” and “*glass eels*”, in DnaSP v5 and using  
234 custom Perl scripts. MHC allele pools were compared amongst sampling locations and between  
235 “*silver eels*” and “*glass eels*” with analyses of similarity (ANOSIM) using Primer v6 (Clarke 1993)  
236 following (Eizaguirre et al. 2011) 1000 permutations). Correlation between MHC divergence and  
237 neutral structure was calculated using a Mantel test between pairwise  $F_{ST}$  matrices (mtDNA and  
238 microsatellites) and pairwise Bray-Curtis similarity matrices (MHC).

239 Minimum number of recombination events ( $R_m$ ) and estimates of recombination rate (R) were  
240 calculated in DnaSP v5 (Hudson & Kaplan 1985), as well as the relative ( $R/\theta$ ) contribution of  
241 recombination (R) and point mutations ( $\theta$ ) in the generation of genetic diversity (Reusch &  
242 Langefors 2005). Gene conversion was investigated using  $\psi$  that measures the probability of a  
243 site to be informative for a conversion event ( $\psi > 0$ , (Betran et al. 1997)) between “*glass eels*”  
244 and “*silver eels*”, using a sliding window method (window length = 2, step size = 1) implemented  
245 in DnaSP v5.

246 In order to test for the mode of evolution of the MHC in *A. anguilla*, overall positive selection  
247 was estimated with a Z-test implemented in MEGA v5 (Tamura et al. 2011). We tested for signs  
248 of codon-specific positive selection using maximum likelihood site models with CODEML  
249 implemented in PAML v4.4 (Yang 2007) and the mixed effects model of evolution (MEME)  
250 (Murrell et al. 2012) implemented in the Datamonkey web server (Delpont et al. 2010; Pond &  
251 Frost 2005). The maximum likelihood procedures evaluate heterogeneous ratios ( $\omega$ ) among  
252 sites by applying different models of codon evolution. Three likelihood-ratio tests of positive  
253 selection were performed comparing the models M1a (nearly neutral) vs M2a (positive  
254 selection), M7 ( $\beta$ ) vs M8 ( $\beta + \omega$ ), and M8a ( $\beta + \omega = 1$ ) vs M8 (Yang 2007). In the models M2a and  
255 M8, positively selected sites are inferred from posterior probabilities calculated by the Bayes  
256 inference method (Yang et al. 2005). We further tested for sites that experienced episodic  
257 events of positive selection by using MEME. This model considers that  $\omega$  varies between sites  
258 (fixed effect), and between branches at a site (random effect) (Murrell et al. 2012). The null  
259 expectation is that all branches have  $\omega < 1$ . In short, this model allows each site to have its own  
260 selection history, contrary to fixed effect models (as the ones implemented in CODEML) that  
261 assume constant selective pressures within a branch (Murrell et al. 2012).

262 To assume selection as the main evolutionary mechanism responsible for changes in genetic  
263 diversity, sites under positive selection were concatenated for downstream analyses (Positively  
264 Selected Sites, PSS). Theoretically, sites interacting with parasite-derived antigens are expected  
265 to be under positive selection while other sites, within the same exon, may evolve differently  
266 but still maintain the integrity of the MHC molecules. Therefore, we also concatenated the  
267 remaining sites (nPSS) and performed identical analyses as for the PSS. Nucleotide mismatch

268 pairwise distributions were calculated for PSS and nPSS of both “*silver eels*” and “*glass eels*”  
269 under the assumptions of a constant population size and sudden expansion using DnaSP v5. The  
270 historical profile of MHC genetic diversity was investigated with Bayesian skyline plots (BSP)  
271 (Drummond et al. 2005) in BEAST v1.8 (Drummond & Rambaut 2007). Although these statistical  
272 procedures are often used to infer demographic events based on fluctuations of neutral genetic  
273 diversities, they have also been used to estimate the strength of adaptive evolution at the  
274 organism level (Bedford et al. 2011), and of functional genomic regions (Padhi & Verghese  
275 2008).

276 Because of several expected characteristics of the MHC, including a deviation from a neutral  
277 mode of evolution, recombination or gene conversion events (Spurgin et al. 2011) and trans-  
278 species polymorphism (Lenz et al. 2013) that also occurs in this species (Bracamonte et al.  
279 2015) as well as the existence at least three loci (Bracamonte et al. 2015), we did not attempt  
280 to associate the substitution rate to a clock-calibrated evolution. As such, we fixed a molecular  
281 clock and assumed three different mutation rates: 0.2, 1 (the default parameter) and 5  
282 substitutions per time unit respectively. The substitution model was chosen in jModeltest  
283 (Tamura-Nei: Tn93) (Darriba et al. 2012; Guindon & Gascuel 2003) and also inserted as  
284 parameter in BEAST’s runs. Markov chain run was set to a length of  $1 \times 10^8$ . The historical  
285 profile of MHC diversity was reconstructed for PSS and nPSS of both “*silver eels*” and “*glass*  
286 *eels*”. Piecewise constant skyline model allowing for five skyline groups were used in three  
287 independent MCMC runs to verify consistence in parameter space. We then compared the  
288 marginal probability distributions of several parameters amongst the runs. Lastly, we

289 constructed lineage-through-time plots. These plots reflect accumulation of lineages through  
290 time translated for a given dated phylogeny (Nee et al. 1992).

## 291 **Results**

### 292 **Neutrally evolving mitochondrial DNA**

#### 293 **Molecular indices, population structure and demography amongst sampling** 294 **locations**

295 Analyses of 355 bp of the mtDNA *ND5* in 683 European eels revealed 102 haplotypes including  
296 73 singletons (Data S1). Forty-eight randomly picked singletons were verified by independent  
297 extraction, amplification and re-sequencing to eliminate possible risks of sequencing errors.  
298 After we eliminated the possibility of sequencing errors (48 out of 48 singletons were verified  
299 by independent sequencing) we included all 73 singletons in the subsequent analyses. Amongst  
300 sampling locations, haplotype diversity ranged between 0.575 (BU) and 0.934 (GL). Nucleotide  
301 diversity ranged between 0.003 (G\_SPA) and 0.008 (GL), with an average of 0.005 ( $\pm$  0.001)  
302 amongst sampling locations (Table 1). Pairwise  $F_{ST}$  comparisons computed from haplotype  
303 frequencies amongst the 26 geographically confined groups revealed 17 significant pairwise  
304 differentiations however none passed corrections for multiple tests following the false  
305 discovery rate (threshold  $p = 0.013$  for 26 tests (Narum 2006)). All results are shown in Table S1.  
306 Tajima's  $D$  values were negative amongst almost all sampled locations, suggestive of population  
307 expansion or of population subdivision (Tajima 1989). The three exceptions were GER

308 (Germany), which belongs to a closed system, the Schwentine river, where recruitment is solely  
309 mediated by stocking (Prigge et al. 2013), as well as G\_TITA (Italy) and G\_WENG (England),  
310 where the values might reflect artificial stochasticity due to low sample sizes. Mismatch  
311 distribution analyses performed at the population level showed the typical pattern of a  
312 historical population expansion where the peak differs from zero (Rogers & Harpending 1992),  
313 Fig. 1a).

### 314 **Molecular indices, population structure and demography between generations**

315 Haplotype diversity and genetic diversity between “*silver eels*” and “*glass eels*” were very  
316 similar:  $Hd_{\text{silver eels}} = 0.821$ ,  $Hd_{\text{glass eels}} = 0.842$ ;  $\pi_{\text{silver eels}} = 0.0048$ ,  $\pi_{\text{glass eels}} = 0.0049$ . No evidence  
317 for temporal genetic structure based on haplotype frequency distributions was detected  
318 between these groups,  $F_{ST}=0.000$ ,  $p=0.138$ . Both groups also had negative and significant  
319 Tajima indices:  $D_{\text{silver eels}} = -2.053$ ,  $D_{\text{glass eels}} = -2.357$ , both  $p<0.05$  (Table 2). Mismatch distribution  
320 analyses revealed that both “*silver eels*” and “*glass eels*” display the distribution of expanding  
321 populations, suggesting that the overall pattern is not driven by a single generation and has a  
322 true biological origin visible in both cohorts (Fig. 2).

## 323 **Neutrally evolving nuclear markers**

### 324 **Molecular indices, population structure and demography amongst locations**

325 Across populations,  $H_e$  ranged between 0.687 (G\_NIRL) and 0.758 (PT),  $H_o$  between 0.557  
326 (G\_TITA) and 0.667 (DK) and the average number of alleles per locus varied between 3.546  
327 (G\_VFRA) and 15.773 (G\_AD2012). Allelic frequencies are reported in Data S2.  $F_{IS}$  varied  
328 between 0.0380 (G\_NIRL) and 0.254 (Q) (Table S1). Even though  $F_{ST}$  estimates are very low,  
329 pairwise comparisons revealed 7 statistically significant pairwise comparisons after correcting  
330 for multiple testing (Table S1). Since six of those included comparisons between locations with  
331 low sample size, i .e. G\_NIRL, G\_WENG, G\_TITA or G\_BNIRL, the significance could be attributed  
332 to stochasticity due to low sample sizes. STRUCTURE analyses did not show any signs of  
333 population clustering as expected under the weak observed differentiation (Fig. S3).

334 None of the sampled locations showed either heterozygote excess or a mode shift in allele  
335 frequencies, genetic signatures characteristic of population bottlenecks (Fig. 1b).

### 336 **Molecular indices, structure and demography between generations**

337 Twenty-one loci were used to analyze “silver eels” and “glass eels” as locus AjTr-45 consistently  
338 deviated from Hardy-Weinberg equilibrium in all “*silver eels*” replicates. No significant  
339 differences between generations were apparent for  $H_e$  ( $H_{e\text{ silver eels}} = 0.744$ ,  $H_{e\text{ glass eels}} = 0.743$ ,  $p$   
340  $= 0.54$ ),  $Ar$  ( $Ar_{\text{silver eels}} = 11.74$ ,  $Ar_{\text{glass eels}} = 11.83$ ,  $p = 0.46$ ) and  $F_{IS}$  ( $F_{IS\text{ silver eels}} = 0.165$ ,  $F_{IS\text{ glass eels}} =$   
341  $0.162$ ,  $p = 0.07$ ).  $H_o$ , however, was significantly higher in the “*silver eel*” group ( $H_{o\text{ silver eels}} =$   
342  $0.621$ ,  $H_{o\text{ glass eels}} = 0.612$ ,  $p < 0.01$ ). The AMOVA between “*silver eel*” and “*glass eel*” groups of

343 replicates revealed a pattern of isolation by time ( $F_{CT} = 0.002$ ,  $p < 0.001$ ), supporting our a-priori  
344 assumption that those groups represent clear age structured cohorts.

345 None of the replicates showed evidence of heterozygote excess or deficiency. Averaged allele  
346 frequencies of neither “*silver eels*” nor “*glass eels*” deviated from an expected L-shape  
347 distribution (Fig. S3). However, we found that the averaged allele frequencies distribution  
348 observed in the “*silver eels*” group showed the signature of a 5-generations-old bottleneck  
349 identified from computer simulations (Luikart et al. 1998). This is particularly evident in the  
350 distribution of the two most common allele classes, 0.8-0.9 and 0.9-1.0. This signature was not  
351 visible anymore in the “*glass eel*” group (Fig. S4).

352 Estimates of effective population size ( $N_e$ ) amongst replicates ranged between 0 - 625.2 for  
353 “*silver eels*” and 0 - 2708.9 for “*glass eels*”. The harmonic mean of effective population size  
354 estimates amongst replicates,  $\hat{N}_e$ , resulted in  $480.9 < \hat{N}_{e \text{ silver eels}} < 2941.7$  and  $1380.2 < \hat{N}_{e \text{ glass eels}} <$   
355  $3506.0$  (Table S2).

## 356 **Adaptive marker: the MHC**

### 357 **Molecular indices and population structure**

358 We sequenced a 247 bp fragment of the exon 2 of the MHC class II region (91% of the total size  
359 of the exon) in 327 individuals using 454 sequencing technology. We detected 229 different  
360 amino acid coding variants. Among those, 226 (98%) were found to be unique in the dataset  
361 but present in both independent replicated reactions and therefore kept as true variants (Data  
362 S3). A total of 116.276 sequence reads were used in this study. Amongst locations, MHC

363 nucleotide diversity ranged between 0.102 (LL) and 0.138 (BT). The mean number of alleles per  
364 individual ranged between 2 (Q, SE = 0.298) and 4 (G\_BU, SE = 0.392) (Table S3) and revealed to  
365 overall significantly differ amongst sampled locations ( $F_{17} = 1.674$ ,  $p = 0.046$ ). However, post-  
366 hoc pairwise comparisons showed no significant differences between pairs of populations after  
367 correction for multiple testing (all  $p > 0.05$ ). The mean nucleotide divergence (p-distance) ranged  
368 between 0.078 (BL) and 0.141 (FI) (Table S4) and was significantly different among sampled  
369 locations ( $F_{17} = 1.860$ ,  $p = 0.021$ ). Post-hoc pairwise comparisons revealed two significant  
370 comparisons after correction for multiple testing (GER vs FI,  $t = -3.551$ ,  $p = 0.045$ , GER vs  
371 G\_AD2011,  $t = -3.961$ ,  $p = 0.010$ ), suggesting a reduced MHC diversity the stocked freshwater  
372 system of the Schwentine river, in Germany.

373 The ANOSIM showed no significant differences in MHC allele pools amongst populations  
374 ( $R = 0.001$ ,  $p = 0.98$ ). Overall, no correlation was found between Bray-Curtis similarity matrices  
375 on MHC and pairwise  $F_{ST}$  for both mtDNA ( $R^2 < 0.0001$ ,  $p = 0.58$ ) and microsatellites ( $R^2 < 0.0001$ ,  
376  $p = 0.62$ ).

377 Between generations, no difference in MHC allele pools were observed ( $R = -0.011$ ,  $p = 0.87$ ).  
378 Interestingly, “glass eels” had a significantly higher individual mean number of alleles (“glass  
379 eels” = 3.423, SE = 0.166; “silver eels” = 2.856, SE = 0.101;  $F_1 = 8.819$ ,  $p = 0.003$ ) and a  
380 significantly higher individual mean nucleotide p-distance (“glass eels” = 0.117, SE = 0.006;  
381 “silver eels” = 0.101, SE = 0.004;  $F_1 = 4.577$ ,  $p = 0.032$ ) (Table 3). Both the nucleotide diversity ( $\pi$ )  
382 and the number of minimum recombination events ( $R_m$ ) detected between “silver eels” and

383 “glass eels” were similar ( $\pi_{\text{glass eels}} = 0.118$ ,  $\pi_{\text{silver eels}} = 0.123$ ;  $Rm_{\text{silver eels}} = 11$ ;  $Rm_{\text{glass eels}} = 10$ )

384 while the  $R/\theta$  ratio was slightly higher in “silver eels” ( $R/\theta_{\text{silver eels}} = 2.174$ ;  $R/\theta_{\text{glass eels}} = 2.089$ ).

### 385 **Screening for novel genetic diversity through events of gene conversion**

386 Gene conversion segments with an average nucleotide length of 4 bp were detected within the  
387 “*glass eels*” group but not in the “*silver eel*” group. The average  $\psi$  of the whole segment was  
388 found to be 0.0002 (Fig. 3). This value is high enough to ascertain the occurrence of conversion  
389 events, but not robust enough to determine the exact length of the observed tracts (Betran et  
390 al. 1997).

### 391 **Testing for positive selection**

392 Model-based tests using CODEML revealed 11 sites under positive selection while MEME  
393 identified 27 sites that have experienced episodic events of positive selection (Table S6). The  
394 discrepancies between the two methods reflect the different assumptions underlying the fixed  
395 effect models implemented in CODEML and the mixed effect models of MEME. Positively  
396 selected sites detected by both methods matched 10 out of 19 antigen binding sites identified  
397 in humans by X-ray crystallography (Reche & Reinherz 2003) (Fig. 3, Text S1). Due to the  
398 functional role of the MHC, all amino acid sites that have experienced at least episodic events  
399 of selection were selected for further analyses (Fig. 3)

### 400 **Demography and historical profile of MHC genetic diversity**

401 All mismatch distributions indicated a clear deviation from a constant population size, fitting a  
402 scenario where a major demographic event occurred (Fig. 4 and Fig. 5). The frequency  
403 distribution of pairwise differences showed different peaks for both PSS (Fig. 4) and nPSS (PSS  
404  $\text{pairwise differences} = 20$ ; nPSS  $\text{pairwise differences} = 10$ ) (Fig. 5). Those peaks reflect old lineages that are  
405 maintained in genes exhibiting trans-species polymorphism as is expected of the MHC (Klein et

406 al. 2007) and recently reported for the European eel (Bracamonte et al. 2015). It was also  
407 possible to observe peaks in PSS and nPSS in the frequency of pairwise differences equaling 1.  
408 Those peaks are suggestive of increases in genetic diversity. No differences in the demographic  
409 profiles were detected between “*silver eels*” and “*glass eels*” (Fig. 4 and Fig. 5).

410 Bayesian demographic reconstructions revealed a steep decline in genetic diversity that  
411 occurred close to the present time. This pattern is characteristic of a genetic bottleneck and is  
412 shared by all reconstructions independently of the substitution rates (Fig. 4). However, lineage-  
413 through-time plots reveal a very recent burst of lineage diversification, also at t=0 and common  
414 to both generations (Fig. 6). The three independent MCMC runs clearly overlap for the  
415 distributions of the posterior, likelihood and skyline estimates (Fig. S5), assuring that the  
416 profiles observed were not a product of the Bayesian stochasticity, but rather a real and  
417 reproducible pattern.

## 418 Discussion

419 We investigated how the steep decline in European eel recruitment observed in the 1980s and  
420 subsequent population reduction may have affected this species’ genetic diversity. We  
421 expanded on previous studies (Pujolar et al. 2011; Pujolar et al. 2013; Wirth & Bernatchez 2003)  
422 and searched for contemporary signature of a population reduction by considering neutral  
423 markers (mtDNA or microsatellites) as well as a highly polymorphic region of the immune genes  
424 of the MHC. Although MHC is an excellent marker to evaluate genetic diversity, as a proxy for  
425 adaptive potential, in endangered populations (Sommer 2005; Sutton et al. 2015), no formal  
426 study of its variation and evolution exist for the European eel.

427 **Location-specific patterns of genetic diversity and demographic**  
428 **estimates**

429 Although it was not the primary focus of this study, spatial comparison of mtDNA genetic  
430 diversity provided information on the genetic differentiation amongst continental locations.  
431 Under neutrality, haplotype and nucleotide diversities are predicted to be a function of  
432 population size (Frankham et al. 2002). Therefore, in the drastically declined European eel  
433 population, we expected to find an overall low genetic diversity. Furthermore, and due to  
434 reports of panmixia (Als et al. 2011), we also expected coherent patterns amongst sampled  
435 locations. Instead, we found variation in nucleotide diversity (0.003-0.008), haplotype diversity  
436 (0.575-0.934) and Tajima's *D* estimates amongst locations. The high variation in genetic indices  
437 amongst geographical areas suggests that processes act differently across the continental  
438 distribution of the European eel. Our observations may result from the post-hatching  
439 transatlantic migration, since simulations showed variability in spawning grounds would leave  
440 genetic signatures across continental locations under low recruitment (Baltazar-Soares et al.  
441 2014). Conversely, it could be explained by a scenario where mtDNA haplotypes are linked to  
442 genes under single-generation local selection (Pujolar et al. 2014). In this scenario, selection  
443 would act mainly in the local foraging environment, and not in the spawning ground, with  
444 specific pressures sorting out genotypes in given locations. Expanding the study towards a more  
445 genomic approach with adult fish sampled from the spawning ground would reveal further  
446 insights into the most prominent scenario.

447 Due to high levels of polymorphism, neutrally evolving microsatellites are thought to be  
448 sensitive enough to detect subtle shifts in population dynamics (England et al. 2010). Here as  
449 well, we hypothesized that the chronically low recruitment in European eel observed since the  
450 1980s had negatively affected estimates of genetic diversity in a coherent spatial pattern.  
451 Estimates of allelic richness ( $A_r = 2.710-2.960$ ) and heterozygosity estimates ( $H_e = 0.687-0.758$ )  
452 were very similar, and neither mode shifts nor heterozygote excesses were observed (Fig. 1 and  
453 Fig. S1). These results are in line with a previous study (Pujolar et al. 2011), which was  
454 conducted focusing on 12 locations (3 locations sampled across a temporal range) and that  
455 employed 22 EST-linked microsatellites. The apparent homogeneity of the allelic indices  
456 amongst locations matches the expectations based on neutral nuclear markers for a panmictic  
457 population where successfully recruited mature fish would mate randomly in the spawning  
458 ground (Als et al. 2011). Note, after correction for multiple testing, we detected significantly  
459 different pairwise  $F_{ST}$  estimates amongst some locations. Although this could suggest deviations  
460 from panmixia, it is likely this pattern is linked to stochasticity due to low sample sizes in those  
461 locations (G\_NIRL, G\_TITA and G\_WENG).

462 Regarding the MHC, we found differences in the mean number of alleles amongst locations  
463 although none of the post-hoc pairwise comparisons revealed to be significant after correction  
464 for multiple testing. MHC allele pool composition did not vary amongst sampled locations. We  
465 found that the mean nucleotide distances vary amongst locations, but only comparisons  
466 between the German populations and two other sampled locations revealed to be significant  
467 after correction for multiple testing (Germany vs Finland, Germany vs France2011). Whether it  
468 relates to the fact that those samples correspond to a freshwater system, the Schwentine in

469 Germany, where all eels are stocked remains to be investigated. Stocking however might be an  
470 issue in other sampling areas as well and therefore understanding why this population  
471 demonstrates a reduction in diversity should be the focus of further studies.

472

### 473 **Genetic diversity and demographic estimates between age cohorts**

#### 474 **Recruitment decline of the 1980s did not affected genetic estimates of neutral** 475 **evolving markers**

476 The major objective of this study was to compare patterns of temporal variation of genetic  
477 diversity post-recruitment collapse observed in the 1980s. Here as well, our mitochondrial DNA  
478 results showed i) no evidence for a genetic bottleneck, ii) no differences in haplotype and  
479 nucleotide diversities between “*silver eels*” and “*glass eels*”, and iii) no signature of bottleneck  
480 in the frequency distribution of pairwise mismatches. The later rather points towards an  
481 historical population expansion (Rogers & Harpending 1992). Given the low variability of the  
482 mtDNA marker – in comparison with the set of highly polymorphic microsatellites and MHC  
483 gene – we suggest that such an event extends back in time to a scenario of expansion related to  
484 ice-sheet retreat after the last glacial maxima, as previously proposed for such a pattern  
485 (Jacobsen et al. 2014).

486 Investigating the distribution of the genetic variance observed at microsatellites, we found  
487 significant differentiation between “*silver eels*” and “*glass eels*” replicates. This pattern of  
488 genetic variance distribution is in line with previous reports that also attributed higher genetic

489 variance amongst temporal, rather than spatial, partitions of *A. anguilla* along the European  
490 coasts (Dannewitz et al. 2005). It also confirmed our a-priori assumption of each group  
491 representing a distinct generation, and therefore excludes possible confounding factors  
492 associated with overlapping generations from the interpretation of demographic estimates  
493 (Cornuet & Luikart 1996; Waples & Do 2010). Note, even though less likely, we cannot exclude  
494 that this observed structure also relates to a spatially structured spawning area of this species  
495 (Baltazar-Soares et al. 2014; Dannewitz et al. 2005).

496 Using microsatellites, we detected no evidence of heterozygote excess in any of the replicates,  
497 nor any differences between allelic richness of “*silver eels*” and “*glass eels*”. This supports  
498 previous reports that the recruitment collapse and subsequent low abundance of the eel  
499 population did not leave the expected genetic signatures of reduced genetic diversity (Pujolar  
500 et al. 2011) suggestive of a system which replenishes genetic diversity rapidly.

501 However, in-depth demographic analyses suggest that the eel effective population size might  
502 actually not be stable. Several lines of evidence support this interpretation: firstly, we  
503 estimated ~20% higher effective population size in “*glass eel*” replicates (harmonic mean  
504  $N_e=3506.0$ ) compared to “*silver eels*” ( $N_e = 2941.7$ ). These contemporary estimates are near the  
505 lower confidence intervals of historic effective population sizes previously reported ( $5000 < N_e$   
506  $<10000$ ; (Wirth & Bernatchez 2003), but within contemporary estimates of  $3000 < N_e <12000$   
507 (Pujolar et al. 2011)). Noteworthy, the confidence intervals calculated in this study for each  
508 generation overlap, raising the need to cautiously interpret those results. Secondly, we  
509 observed fewer alleles in the most frequent class of allele frequencies in “*silver eels*”. The

510 apparent reduction of the most frequent allele class may suggest that the species demography  
511 is experiencing a transitory stage from a severe bottleneck, partly detected in “*silver eels*”. It is  
512 important to mention that such a signature would only be detected under a severe population  
513 reduction a few generations in the past. Hence, we could speculate that the hypothetical  
514 bottleneck detected only in “*silver eels*” may relate to the drops in European eel recruitment  
515 that occurred in the beginning of the 1960s (EIFAAC/ICES 2011). It is possible that the 1960s low  
516 recruitment had a major impact on the overall genetic diversity of the species. By the crash in  
517 the 1980s, the population would have already been depleted from its original genetic diversity,  
518 at least for neutrally evolving markers. Such a scenario requires further studies to be confirmed  
519 and would rely on historical samples to be analyzed.

## 520 **MHC reveals signatures of selection**

521 Extending the evaluation of genetic diversity to the evolutionary analysis of the adaptive  
522 immune genes of the MHC was motivated by two main reasons. The first relates to studies  
523 suggesting MHC diversity to be more sensitive than neutrally evolving markers in the detection  
524 of demographic shifts (Sommer 2005; Sutton et al. 2011). The second relates to the invasion of  
525 European freshwater systems by the nematode parasite, *Anguillicola crassus*, for which the  
526 MHC was found to respond to in the paratenic host, the three-spined stickleback (Eizaguirre et  
527 al. 2012b). Using the exon 2 of the MHC class II  $\beta$  gene, we evaluated 1) genetic diversity, which  
528 might have been affected by the recruitment collapse and subsequent population reduction  
529 and 2) allele frequency shifts between generations which would be a signature consistent with  
530 directional parasite-mediated selection.

531 Using next-generation sequencing, we identified a total of 229 MHC alleles amongst 327  
532 individuals. This indicates that the diversity within this species is not low and directly compares  
533 to observations made in wild populations of other fishes that are not qualified as endangered,  
534 such as for instances, the half-smooth tongue sole (88 MHC class II alleles amongst 160  
535 individuals) (Du et al. 2011). Noteworthy, the characterization of the MHC class II genes in this  
536 species revealed that up to six different alleles may exist per individual, suggesting the presence  
537 of at least three loci (Bracamonte et al. 2015; Bracamonte 2013). Because next generation  
538 sequencing is thought to generally overestimate the number of MHC alleles detected (Babik et  
539 al. 2009; Lighten et al. 2014; Sommer et al. 2013), we took multiple precautions to avoid  
540 artifacts (reconditioning steps, reduced numbers of PCR cycles, duplicates). Despite such  
541 precautions and sequence confirmation using cloning (performed in (Bracamonte et al. 2015)),  
542 we cannot exclude that some variants were called alleles even though artifactual (Sommer et  
543 al. 2013). Again, as mtDNA sequencing revealed a large number of haplotypes (N=102), the  
544 large diversity at the MHC displayed in this species may not be surprising.

545 Generally, our results are suggestive of a pattern of selective sweep at the MHC between the  
546 two generations examined here. We found "*silver eels*" to exhibit lower mean number of alleles  
547 and lower mean nucleotide distance than "*glass eels*", suggesting that the "*silver eel*"  
548 generation was under a selective pressure that reduced its pool of MHC alleles to fewer and  
549 more similar alleles. This observation suggests that either the selective pressure was  
550 widespread amongst continental locations or that it acted when all eels experienced similar  
551 conditions, as for instance, during the fastening spawning migration. A hypothetical selective  
552 pressure imposed by *A. crassus* meets both criteria. Not only is this parasite ubiquitous in

553 European freshwater systems (Wielgoss et al. 2008a) but it also impairs the swimming  
554 performance of infected eels (Palstra et al. 2007).

555 Overall, the higher genetic diversity of “*glass eels*” together with the identification of two short  
556 gene conversions in this study suggest an ongoing regeneration of the species immune adaptive  
557 potential. Indeed gene conversation is a mechanism capable of generating novel diversity  
558 within the MHC region, and seems to be a predominant mechanism in genetically depauperate  
559 populations (Spurgin et al. 2011).

#### 560 **Contemporary loss of MHC diversity: evidence of selection?**

561 The parasite *A. crassus* was presumably introduced in the European freshwater systems at the  
562 beginning of the 1980s (Taraschewski et al. 1987), quickly spreading across continental water  
563 bodies. The European eel is particularly susceptible to *A. crassus* infection (Knopf 2006) and  
564 therefore its introduction provides an excellent biological calibration to evaluate its impact on  
565 the evolution of diversity of the MHC. More specifically, we expected a signature of selection by  
566 the parasite to be reflected in positively selected sites of the MHC variants. In total, we  
567 detected 27 sites to be under or that have experienced positive selection along their  
568 evolutionary history.

569 Bayesian skyline plots showed a steep decline in MHC genetic diversity as time approaches  
570 present. This pattern is visible independently of the substitution rates and is reproducible with  
571 independent runs, i.e. overlap of the probability density distributions of the posterior, skyline  
572 and likelihood. Together, this suggests a real pattern and not an artifact. This decline in genetic  
573 diversity of the adaptive gene is similar to those detected in genealogies exposed to events of

574 episodic positive selection (Bedford et al. 2011), but also in functional regions involved in  
575 adaptive responses (Padhi & Verghese 2008). Two main factors may explain it. Firstly, it can be  
576 attributed to the long terminal branching typical of phylogenies of genes evolving under  
577 balancing selection (Richman 2000), amongst which the MHC is a classic example (Klein et al.  
578 2007). MHC class II genes are also classical examples of genes evolving through recombination  
579 (Reusch & Langefors 2005) and gene conversion (Spurgin et al. 2011) – two mechanisms that  
580 together with a relatively high copy number variation generates rapid genetic novelty (Chain et  
581 al. 2014). Therefore, a null expectation for balancing selection and generation of rapid genetic  
582 diversity – as predicted for MHC - would rather be that of either an expanding or stable  
583 population, which was not what we observed here.

584 Conversely, it can be attributed to an event of selection speculatively associated with the  
585 spread of *A. crassus* across the European freshwater systems. *A. crassus* was unknown to *A.*  
586 *anguilla* before its recent introduction, however it is naturally present in the *A. japonica*  
587 population (Wielgoss et al. 2008a). Hence, the frequency of the MHC alleles, or group of  
588 functionally similar MHC alleles, that confer resistance against this parasite would either be low  
589 or even absent in the European eel population (Eizaguirre et al. 2012b). The selection for those  
590 rare variants could have triggered the major loss of diversity we observed in the Bayesian plots  
591 and confirmed by the lower diversity indices of the “*silver eels*”. Interestingly, the allelic lineage  
592 diversity of the MHC showed a constant increase with a particular acceleration approaching the  
593 contemporary period, as indicated by the lineages-through-time-plots of both generations.  
594 While we are unable to provide a direct functional link between such diversification and our  
595 observations of gene conversion and recombination within this specific MHC region, these

596 mechanisms have been associated with signatures of recovery after a genetic bottleneck in  
597 genes under balancing selection (Richman 2000). Therefore, the inferred recent steep decline  
598 followed by a very recent burst of lineage diversification upholds the occurrence of a selective  
599 sweep in the MHC genealogy that pre-dated both our sampling points, as suggested by the  
600 comparison between “*silver eels*” and “*glass eels*”, with an ongoing recovery of the MHC  
601 diversity.

602 From our results we can hypothesize two scenarios. A first scenario involves a link between a  
603 sudden reduction in population size, a loss of genetic diversity and a constant selective pressure  
604 extending after the bottleneck. In this scenario, genetic drift would affect overall genetic  
605 diversity but since selection would continue to act, genetic diversity of positively selected  
606 regions would remain low (Eimes et al. 2011). A second scenario relates to multiple MHC loci  
607 carrying similar alleles due to recent duplications and to the hypothesis that a population would  
608 experience a size reduction and an event of selection within the same time frame. In this  
609 scenario, the selective pressure through the bottleneck would lead to a faster fixation of  
610 resistant alleles (Eimes et al. 2011).

### 611 **Limits of the study**

612 Evaluating demography in the European eel is complex particularly due to the difficulty of  
613 sampling them at their mating ground and the reliance on indirect genetic evidence. Even  
614 though patterns of selection and recent recovery of the MHC diversity seem robust, due to the  
615 high allelic variation reported in this gene, we acknowledge that other coalescence models  
616 could revealed refined patterns (Árnason & Halldórsdóttir 2015; Wakeley 2013). It is indeed

617 possible that multiple loci analysed together as one locus – although with same functional basis  
618 - could create a pattern similar to multiple coalescence. To the best of our knowledge, multiple  
619 merger models such as those described by Árnason and Halldórsdóttir (Árnason &  
620 Halldórsdóttir 2015) have not yet been applied to investigate the evolutionary signature that  
621 linked copies of the same (functional) gene produce on the evaluation of genetic diversity and  
622 demography. This thus limits working hypotheses and would be difficult to interpret.  
623 Nonetheless, while further investigations are obviously needed to clarify fluctuations in genetic  
624 diversity in such a complex but evolutionary relevant immune gene, we argue that our work  
625 represents a first empirical step along this line of research.

## 626 **Conclusions**

627 In summary, our work reveals signatures of recent reduction in MHC genetic diversity and  
628 suggests signs of ongoing recovery of this gene's diversity contributing to the immunogenetic  
629 adaptive potential of this endangered species (Radwan et al. 2010; Sommer 2005; Stiebens et  
630 al. 2013b). Future research will be needed to provide conclusive evidence as to which scenario  
631 holds, accommodating also newer theories to further verify the validity of our findings. A future  
632 perspective would be to extend the time-series analyses by incorporating screening of MHC  
633 diversity in ongoing monitoring practices. This would be a valuable approach to access the  
634 evolution of the species adaptive potential.

635

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**Table 1** (on next page)

GPS coordinates and summary statistics for each sampling location

The G\_ prefix stands for "glass eels". AD2010, AD2011 and AD2012 refer to the **three cohorts captured in Adour, France, in the years of 2010, 2011 and 2012** respectively. The glass eels of BU (Burrishole, (UK), BNIRL (Bann, Northern Ireland), VSWE (Viskan, Sweden), TENG (Tees, England), EGER (Erms, Germany), OSPA (Oria, Spain), VFRA (Villaine, France), WENG (Wye, England), TITA (Tuscany, Italy), and NIRL (Carlingford, Northern Ireland) were all captured in 2009. All other samples relate to "silver eels". The remaining acronyms have the following meaning: LC (Larne Lagoon), BT (Bann Toome), Q (Quoile), BU (Burrishole), BL (BannLower), SLC (LoughComber), DK (Denmark), LL (LarneLagoon), SLB (Boretree), GL (Glynn Lagoon), FI (Finland), PT (Portugal), Ger (Germany). N (Number of samples) and respective summary statistics for each location is also shown: nHap (number of haplotypes), S (segregation sites), Hd (Haplotype diversity),  $\pi$  (nucleotide diversity), He (expected heterozygosity), Ho (observed heterozygosity), Ar (Rarefied allelic richness), Fis (Inbreeding coefficient). Values in brackets represent confidence intervals, with the exception of He and Ho, which represents standard deviations\* =  $p < 0,05$ ; \*\*= $p < 0.001$ .

Population	GPS coordinates	n	nHap	S	Hd	$\pi$	Tajima-D	He	Ho	Ar	FIS
G_AD2010 (France)		155	31	30	0.818 (0.234-0.859)	0.00443	-2.3905** (-1.6033-1.9883)	0.7343 (0.2689)	0.6177 (0.2440)	2.9	0.1593 (0.1365 - 0.1760)
G_AD2011 (France)	43°31'48"N. 1°31'28"W	129	35	32	0.862 (0.2965-0.8641)	0.00514	-2.0896* (-1.6068-2.1393)	0.7472 (0.2550)	0.6243 (0.2378)	2.94	0.1650 (0.1375 - 0.1838)
G_AD2012 (France)		121	34	35	0.851 (0.2401-0.8568)	0.00516	-2.1804** (-1.5977-2.0335)	0.7480 (0.2488)	0.6245 (0.2344)	2.94	0.1658 (0.1398 - 0.1831)
LC (Ireland)	54°50'54"N. 5°48'51"W	19	4	5	0.7135 (0.1053-0.8714)	0.00482	-0.6022 (-1.7188-1.8481)	0.7356 (0.2725)	0.5769 (0.2695)	2.91	0.2180 (0.1319 - 0.2482)
BT (Ireland)	54°45'23"N. 6°27'48"W	17	9	11	0.8456 (0.3235-0.9044)	0.0069	-0.9266 (-1.7987-1.9496)	0.7314 (0.2624)	0.6528 (0.2695)	2.88	0.1106 (0.0251 - 0.1243)
Q (Ireland)	54°22'0"N. 5°40'4"W	15	5	6	0.6381 (0.1333-0.8667)	0.0043	-0.6099 (-1.8159-1.7688)	0.7315 (0.2504)	0.5521 (0.2693)	2.87	0.2537 (0.1427 - 0.2716)
BU (N.Ireland)	53°55'4"N. 9°34'20"W	16	4	7	0.575 (0.1250-0.8833)	0.0048	-0.6099 (-1.6965-1.8617)	0.7477 (0.2662)	0.6367 (0.2537)	2.95	0.1527 (0.0546 - 0.1761)
BL (Ireland)	55°9'15"N. 6°42'5"W	11	5	5	0.8364 (0.1818-0.9091)	0.00524	-0.6099 (-1.7116-1.8376)	0.7060 (0.3007)	0.6152 (0.2611)	2.82	0.1350 (-0.0315 - 0.1674)
SLC (Ireland)	54°32'20"N. 5°42'6"W	9	7	7	0.8056 (0.2222-0.9167)	0.00612	-0.7082 (-1.6775-1.7558)	0.7461 (0.2605)	0.6301 (0.2936)	2.93	0.1606 (-0.0584 - 0.1802)
DK (Denmark)	57°29'N. 10°36'E	19	13	16	0.9474 (0.2924-0.9006)	0.00703	-1.6199 (-1.8612-1.9447)	0.7547 (0.2183)	0.6658 (0.2193)	2.93	0.1208 (0.0347 - 0.1432)
LL (Ireland)	54°49'26"N. 5°47'40"W	13	7	6	0.8462 (0.1539-0.9103)	0.00545	-0.0199 (-1.7276-1.7731)	0.7169 (0.2788)	0.5865 (0.2776)	2.83	0.1884 (0.0796 - 0.1975)
SLB (Ireland)	54°26'39"N. 5°35'20"W	15	6	5	0.8476 (0.1333-0.8667)	0.00427	-0.0723 (-1.6850-1.8912)	0.7462 (0.2648)	0.6025 (0.2653)	2.94	0.1989 (0.0797 - 0.2264)
GL (Ireland)	54°49'55N. 5°48'40W	14	10	12	0.9341 (0.3846-0.9231)	0.00844	-0.8279 (-1.7574-1.8956)	0.7548 (0.2494)	0.6549 (0.2428)	2.96	0.1377 (0.0057 - 0.1587)
FI (Finland)	60°26'N; 26°57'E	19	9	9	0.883 (0.2982-0.8947)	0.00661	-0.3195 (-1.6406-1.7888)	0.735 (0.2600)	0.6348 (0.2547)	2.89	0.1397 (0.0587 - 0.1621)
PT (Portugal)	38°46'N. 9°01'W	17	7	8	0.8309 (0.2279-0.8971)	0.00644	-0.1299 (-1.7057-1.9351)	0.7581 (0.2414)	0.6472 (0.2387)	2.97	0.1397 (0.0180 - 0.1752)

Ger (Germany)	54°17'16"N. 10°14'54"E	17	6	6	0.7794 (0.1177-0.8824)	0.00536	0.2311 (-1.7057-1.9718)	0.7328 (0.2516)	0.6041 (0.2586)	2.88	0.1809 (0.0779 - 0.2012)
G_BU (Nireland)	53°55'4"N. 9°34'20"W	14	6	6	0.78 (0.1429-0.9011)	0.00494	-0.26534 (-1.6705-1.6921)	0.7224 (0.2741)	0.6294 (0.272)	2.85	0.1332 (0.0309 - 0.1508)
G_BNIRL (Nireland)	55°9'15"N. 6°42'5"W	12	8	9	0.909 (0.3030-0.9242)	0.00629	-1.02555 (-1.7551-1.7575)	0.7224 (0.2741)	0.6294 (0.2720)	2.81	0.1635 (0.0209 - 0.2125)
G_VSWE (Sweden)	57°13'30"N. 12°12'20"E	16	8	7	0.00433 (0.1250-0.8750)	0.00433	-0.96247 (-1.7280-1.9375)	0.7432 (0.2700)	0.6335 (0.2946)	2.93	0.1518 (0.0618 - 0.1637)
G_TENG (England)	54°37'21"N. 1°9'23"W	10	7	7	0.911 (0.0000-0.9111)	0.00515	-1.11638 (-1.7118-1.6872)	0.7402 (0.2584)	0.6591 (0.2404)	2.9	0.1160 (-0.0810 - 0.1260)
G_EGER (Germany)	48°35'35"N. 9°14'11"E	5	4	3	0.9 (0.0000-0.9000)	0.00395	-0.17475 (-1.4554-1.6407)	0.7111 (0.2951)	0.6000 (0.3086)	2.82	0.1724 (-0.2152 - 0.1724)
G_OSPA (Spain)	43°17'25"N. 2° 7'55"W	4	3	2	0.833 (0.0000-1.0000)	0.00282	-0.7099 (-0.7968-2.0118)	0.7265 (0.2894)	0.6705 (0.3483)	2.85	0.0876 (-0.3333 - 0.0876)
G_VFRA (France)	47°30'20"N. 2°29'57"W	3	2	2	0.667 (0.0000-1.0000)	0.00377	- -	0.7439 (0.2442)	0.6970 (0.2800)	2.88	0.0800 (-1.0000 - 0.0800)
G_WENG (England)	51°36'36"N. 2°39'43"W	5	4	4	0.9 (0.0000-1.0000)	0.00565	0.27345 (-1.1743-1.6859)	0.7323 (0.2221)	0.6455 (0.2686)	2.83	0.1315 (-0.2840 - 0.1315)
G_TITA (Italy)	43° 40' 47" N. 10° 16' 36" E	4	3	3	0.833 (0.0000-1.0000)	0.00471	0.16766 (-0.8173-2.0118)	0.7175 (0.3169)	0.5568 (0.3444)	2.85	0.2519 (-0.2609 - 0.2519)
G_NIRL (Nireland)	54.07°N. 6.19°W	3	3	3	1 (0.0000-1.0000)	0.00563	- -	0.6869 (0.2787)	0.6636 (0.3513)	2.71	0.0379 (-0.3398 - 0.0597)

**Table 2** (on next page)

Molecular indices of "*silver eels*" and "*glass eels*"

Summary statistics of neutral evolving markers calculated for the two distinct generations. n = number of samples used; nHap = number of haplotypes; S = segregation sites; Hd = Haplotype diversity;  $\pi$  = nucleotide diversity; He = expected heterozygosity; Ho observed heterozygosity; Ar = Rarefied allelic richness; Fis = Inbreeding coefficient; Values in brackets represent confidence intervals, with the exception of He and Ho which represents standard deviations\* =  $p < 0,05$ ; \*\* =  $p < 0.001$

Populatio										
n	n	nHap	S	Hd	$\pi$	Tajima-D	He	Ho	Ar	FIS
<i>silver eels</i>	202	34	33	0.821 (0.2230- 0.8423)	0.00481	-2.05326 (-1.6500-1.9464)	0.7438 (0.2528)	0.6233 (0.2376)	11.74	0.1649 (0.1450 - 0.1802)
<i>glass eels</i>	481	85	65	0.842 (0.1941-0.843)	0.00489	-2.35741 (-1.5842-1.94939)	0.7427 (0.2598)	0.6215 (0.2304)	11.83	0.1610 (0.1488 - 0.1710)

1

2

3

**Table 3**(on next page)

MHC molecular indices for "*silver eels*" and "*glass eels*"

Summary statistics of the MHC calculated for the two distinct generations. nHap = number of haplotypes; S = segregation sites; Hd = Haplotype diversity;  $\pi$  = nucleotide diversity; k = average number of differences; nr alleles/ind = average number alleles per individual with respective standard error (se); dist\_nt = average nucleotide distance per individual with respective standard error (se); R = recombination rate;  $\theta$  = mutation rate; Rm = minimum number of recombination events detected; R/ $\theta$  = ratio of recombination and mutation.

Life Stage	nAlleles	nIndividuals	nHap	S	$h$	$\pi$	nr alleles/ind	se	dist_nt	se	R	$\theta$	Rm	R/ $\theta$
					0.981							22.983		
<i>glass eels</i>	332	97	115	100	1	0.1179	3.423	0.166	0.117	0.006	48.0000	0	10	2.0885
					0.982							24.385		
<i>silver eels</i>	654	230	184	115	0	0.1232	2.856	0.101	0.101	0.004	53.0000	0	11	2.1735

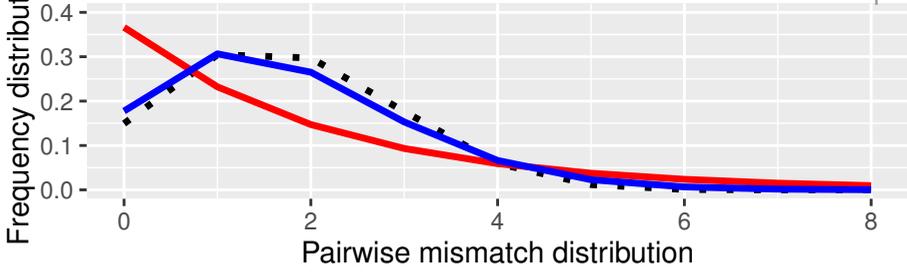
1

**Figure 1**(on next page)

Location-specific demography accessed with neutral evolving markers

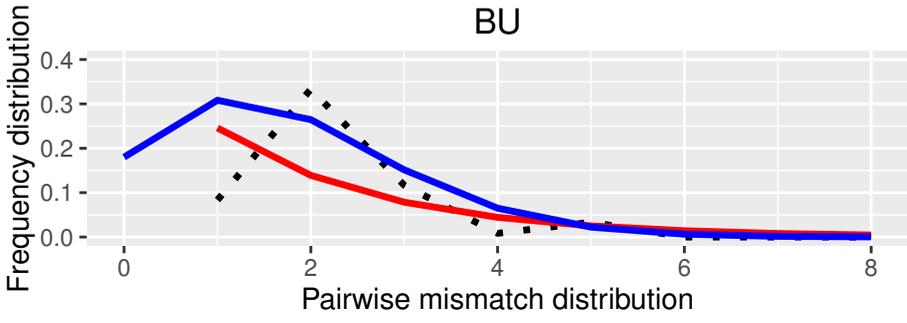
Two types of analyses specific to each marker are presented in this figure: mismatch pairwise distribution of mtDNA's single nucleotide polymorphisms within locations a) (top), and frequency distribution of allelic states across microsatellite loci b) (bot). The locations here exposed are G\_AD2010 (cohort of 2010 from Adour), BU (Burrishole), SLC (LoughComber), Q (Quoile) and were chosen among the others in order to show the variety of demographic signatures produced by mtDNA data. Therefore in mismatch pairwise distribution graphs, full blue lines represent expected distribution under sudden population expansion, full red lines represent expected distribution under constant population size and dotted lines the observed distribution. The x-axis shows the number of mismatches and y-axis its frequency. It is possible to observe the signature of an expanding population in G\_AD2010, stable population or recovery from bottleneck BU and SLC, and stable population in Q. Allele frequency distribution plots obtained from same locations show the signature of non-bottlenecked population b) (bot). In these graphs, the bars correspond to allele frequencies, x-axis corresponds to allele frequency classes and y-plots to number of alleles.

# PeerJ G\_AD2010



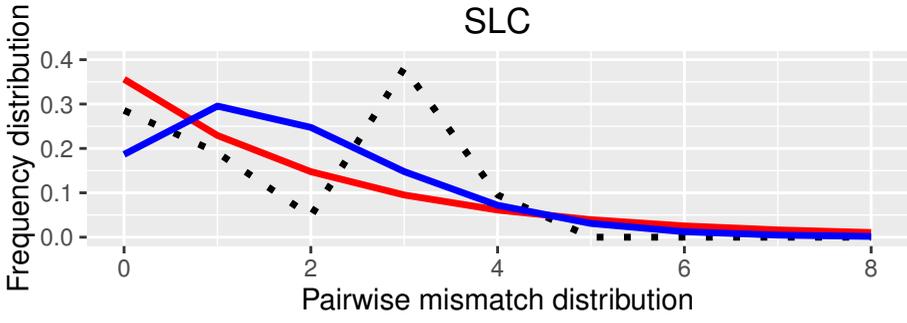
Population size changes

- observed
- expected under decline
- expected under expansion



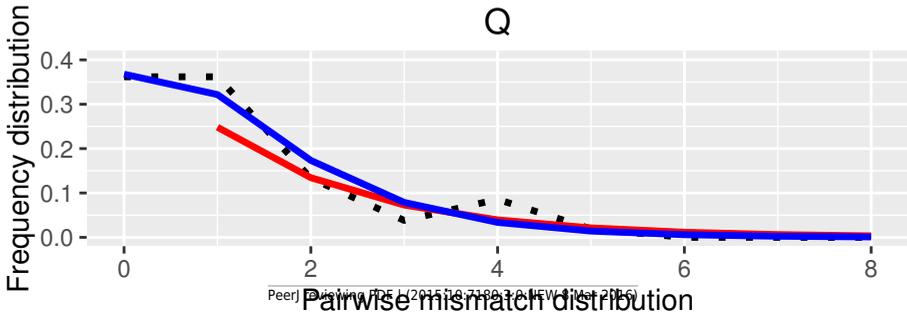
Population size changes

- observed
- expected under decline
- expected under expansion



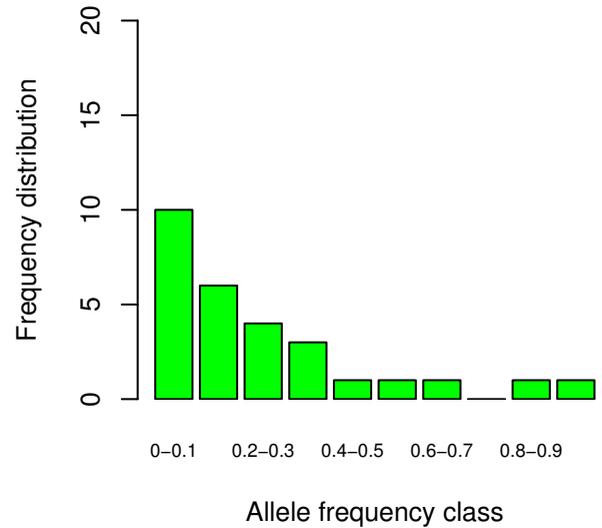
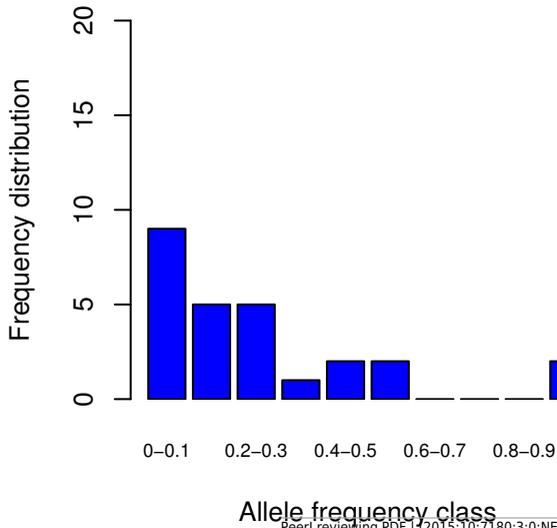
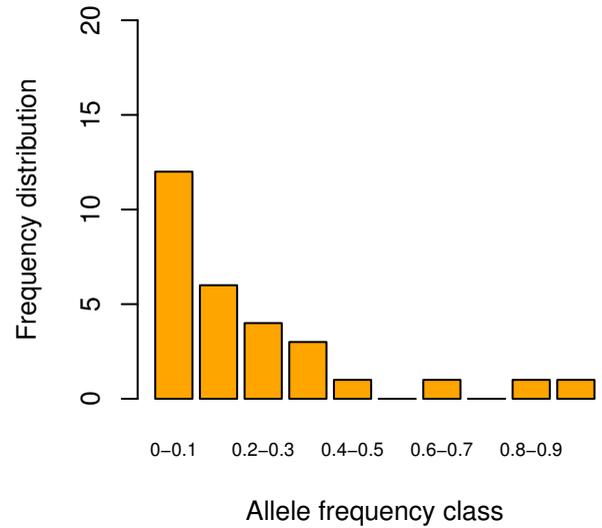
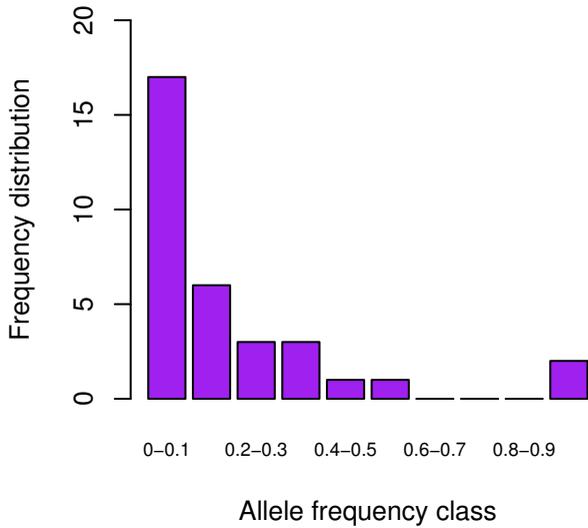
Population size changes

- observed
- expected under decline
- expected under expansion



Population size changes

- observed
- expected under decline
- expected under expansion



**Figure 2** (on next page)

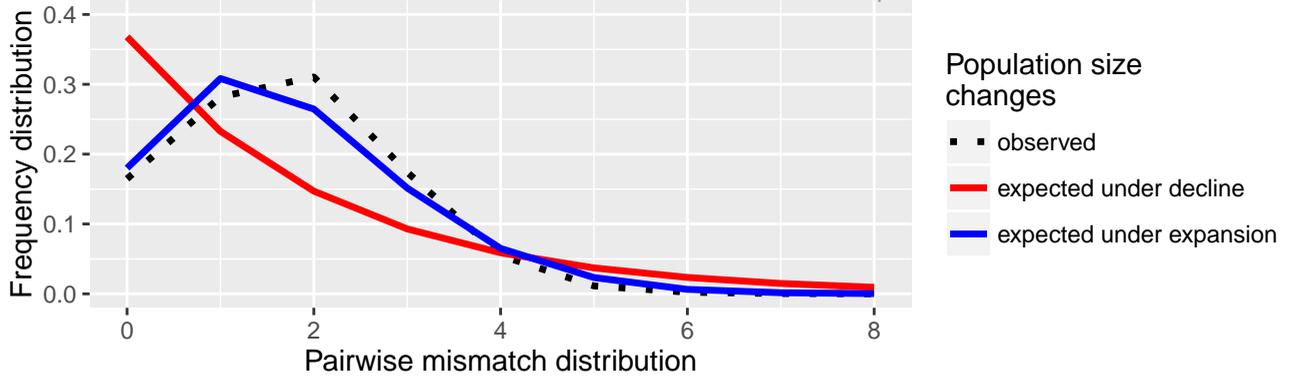
Species and cohort-based demography assessed with neutral evolving markers

Mismatch pairwise distribution of mtDNA's single nucleotide polymorphisms considering both the full data set (top graph) and each generation separately. Here also, the full blue lines represent expected distribution under sudden population expansion, full red lines represent expected distribution under constant population size and dotted lines the observed distribution. All distributions showed a typical signature of expansion.

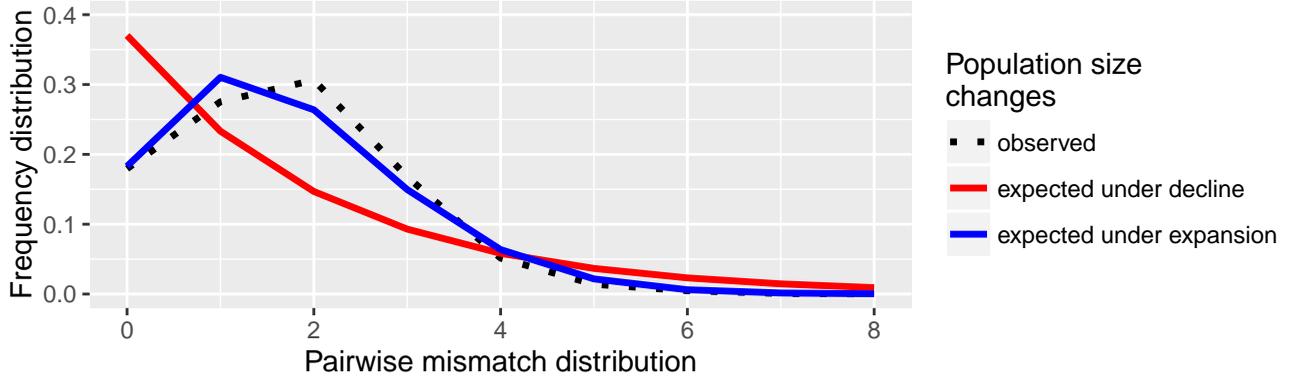
# Frequency distribution of pairwise mismatches on full dataset

Peel

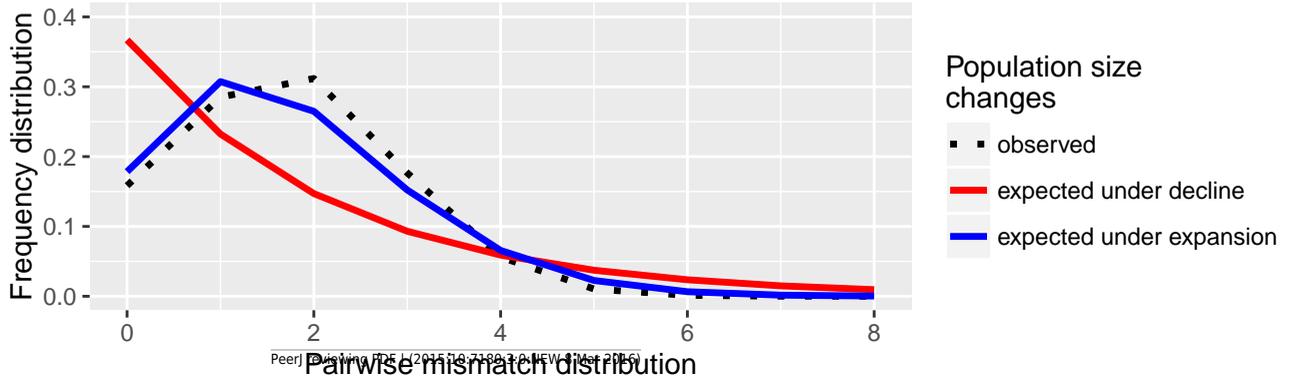
Manuscript to be reviewed



# Frequency distribution of pairwise mismatches on silver eels



# Frequency distribution of pairwise mismatches on glass eels



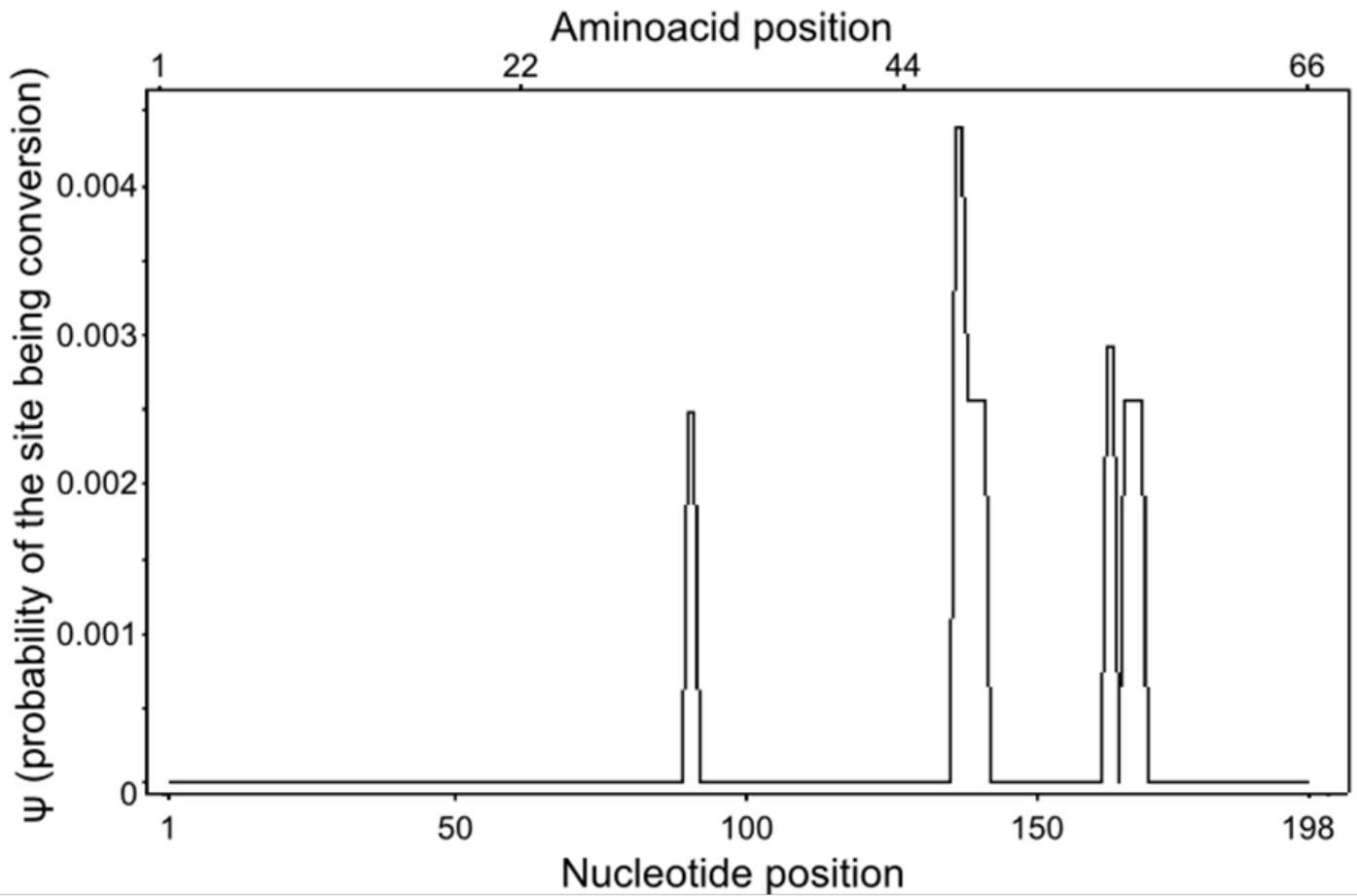
**Figure 3**(on next page)

Landmarks in the allelic sequence of exon 2 of MHC class II B of the European eel.

a) Aminoacid alignment with human HLA-DRB1. For simplification purposes, only some of the alleles are shown. + denotes human antigen binding sites, - T cell receptor contact sites and \* sites that putatively interact with both. Sites estimated to be experiencing or have experienced positive selection are highlighted in green (identified by CODEML only), red (identified by MEME only) and blue (identified by both methods). b) Sliding window graph of  $\psi$ . Measures of the probability ( $\psi$ ) of a site being informative of conversion event in relation to the position in the alignment (in base pairs). Here it is possible to observe the two gene conversion tracts detected amongst “glass eels”, i.e. the regions 137-141 and 166-168.

	1		22		44		66
Anan-DXB0	QDLEFIDRYI	FNKLEYARYN	STLNKFIGYT	EHGVKNADRW	N-RDGEAERQ	HANLDSYCRH	NAEL--SFN
Anan-DXB1	.....	..LL..	.....	AL..Q...L	-.S..G.	.T...G...P	...--R
Anan-DXB2	...L..V	...G...	...Y...	...E.F	...D..	.T...G...P	...--R
Anan-DXB3	...Y.S...	...I...	.....	.L..H.EI	-.S..QT	.TY..G...	...--R
Anan-DXB4	.....	.....	.....	.F.....	...G...	...G...	...--R
Anan-DXB5	.....	.....	.....	.....	-.D..	.T...G...	...--R
Anan-DXB6	...S..V	...L...	.....	...E.A	-QE..P...	...G..KP	...--R
Anan-DXB7	.....	.....	.....	.F...EI	-.K..S..QT	...G...	...--R
Anan-DXB8	...N.Q.	...FL...	.....	.L...L	-.E..P...	...G..KP	...--R
Anan-DXB9	...Y.G...	...FL...	...V...	D...E.L	...A...P	...A...P	...S--
Anan-DXB10	.....	...FL...	.....	.L...EIF	-Q...STD..	.I...EG...	...--R
#DRB1*010101	ERVRLLE.C.	Y.QE.SV.FD	.DVGEYRAV.	.L.RPD.EY.	.SQKDLL.QR	R.AV.T....	.YGVGE..T
	+++ +	++	+	++ -+	- * --*	---+ -++	- + ++ ++

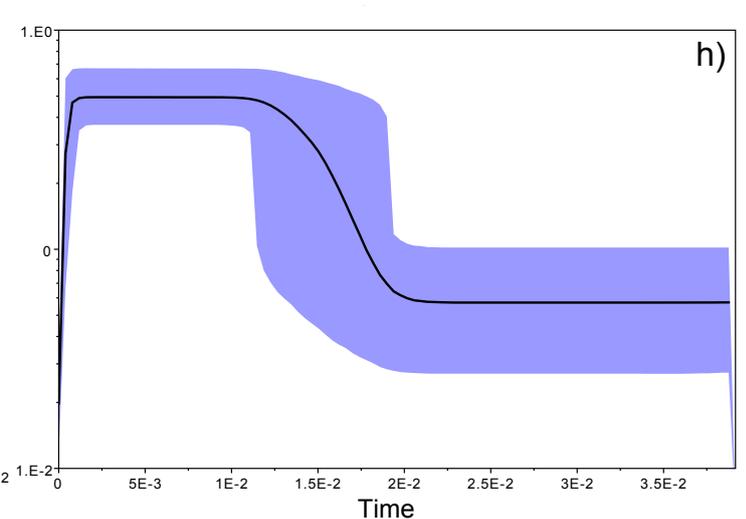
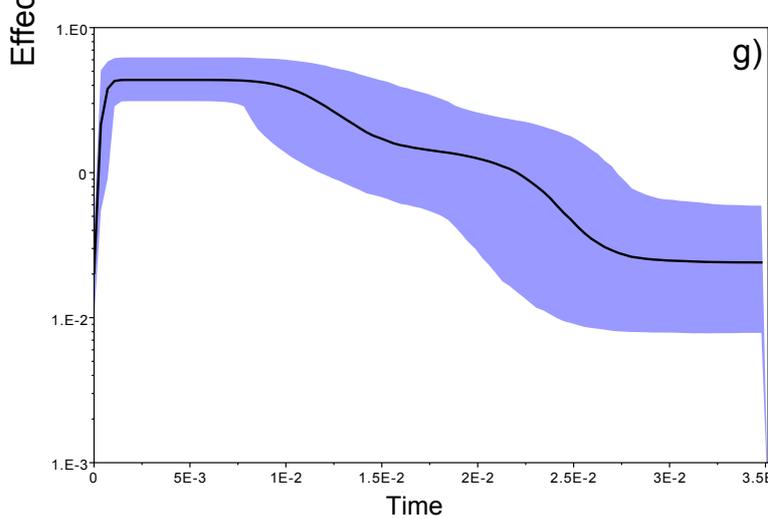
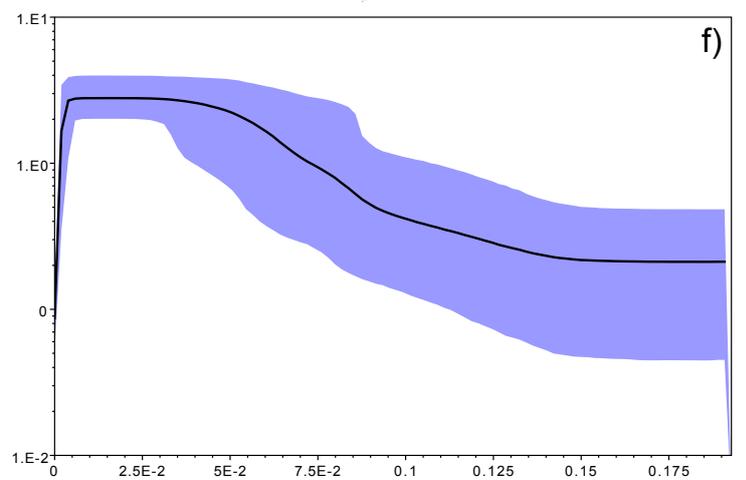
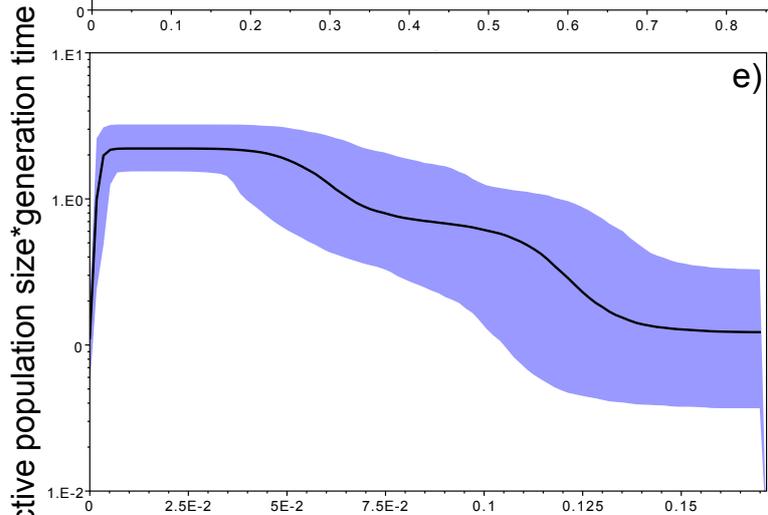
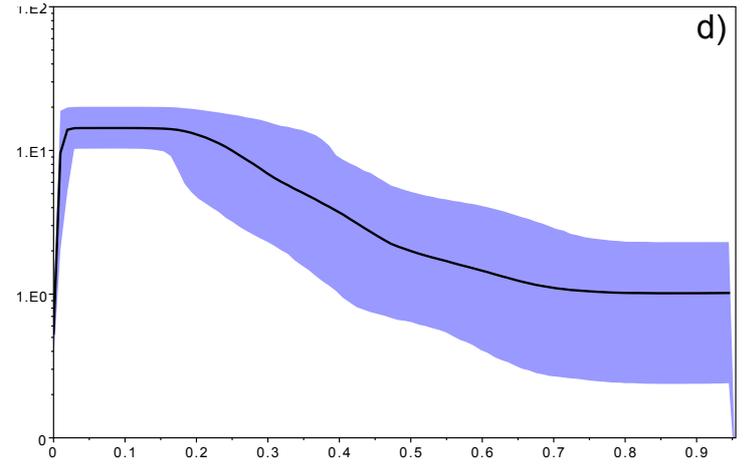
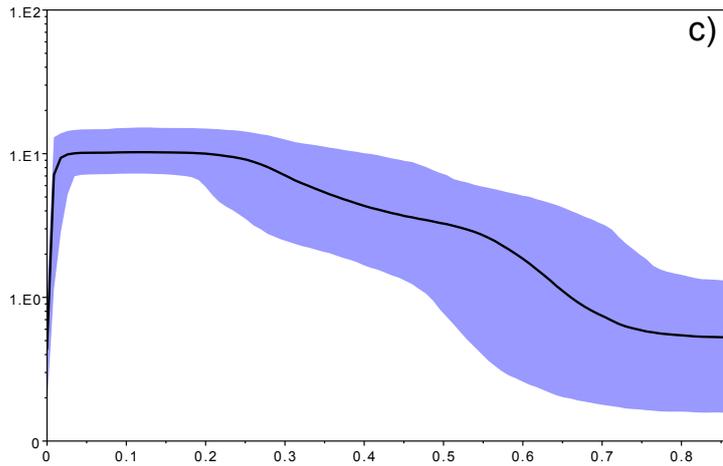
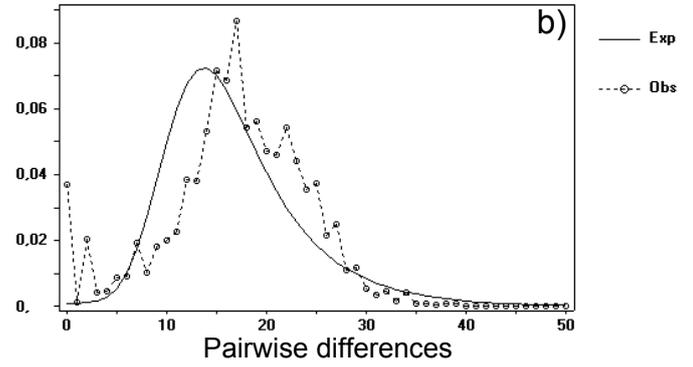
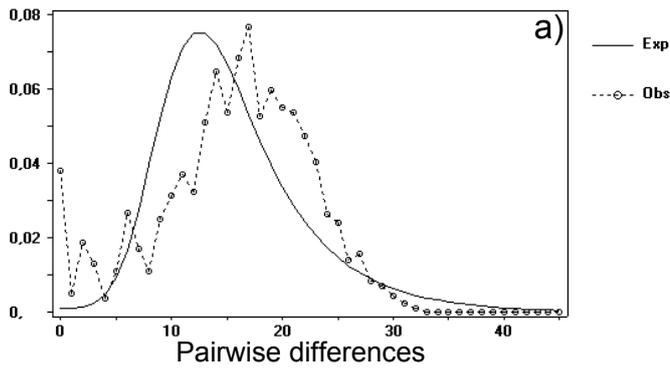
b)



**Figure 4**(on next page)

Historical profile of MHCs genetic diversity considering the positively selected sites (PSS) of the exon 2 of MHC class II B.

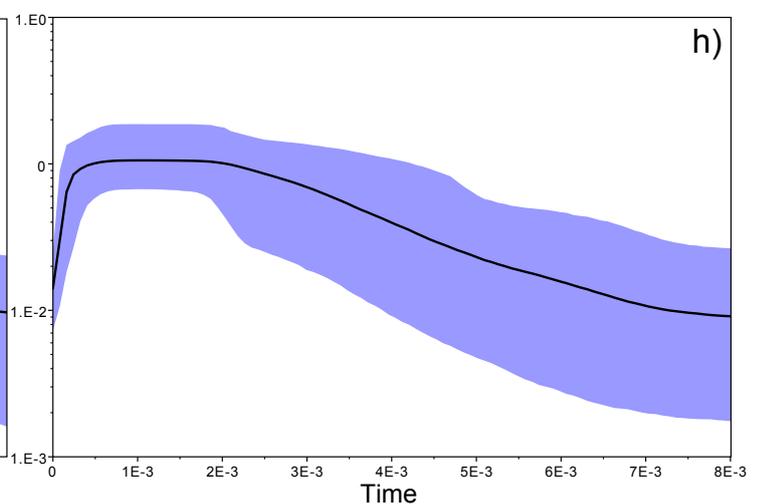
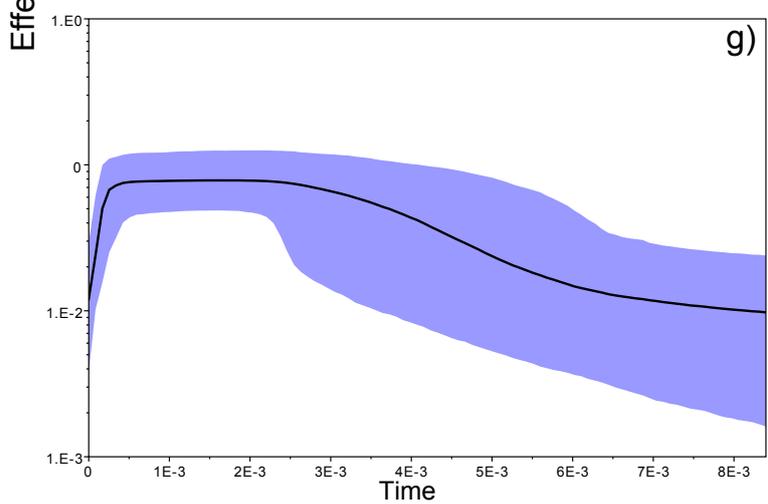
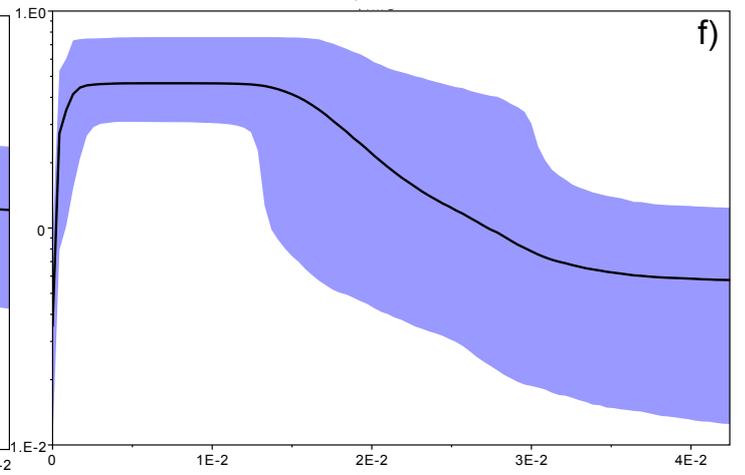
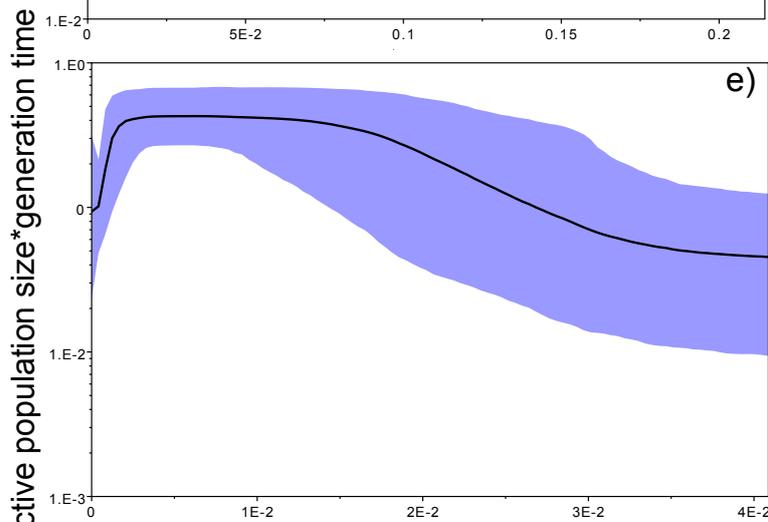
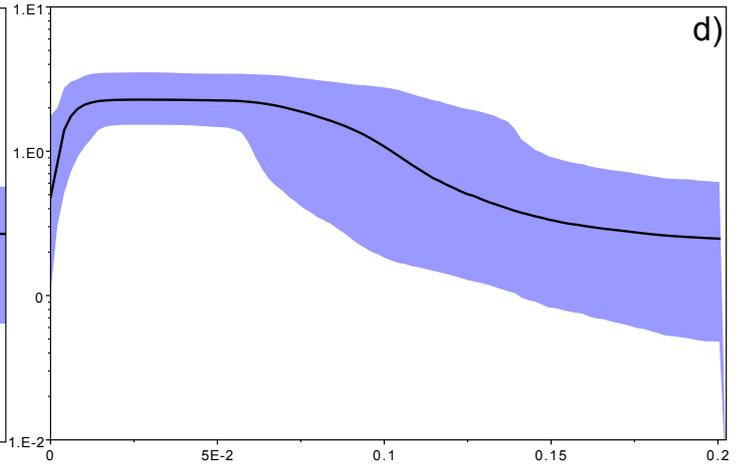
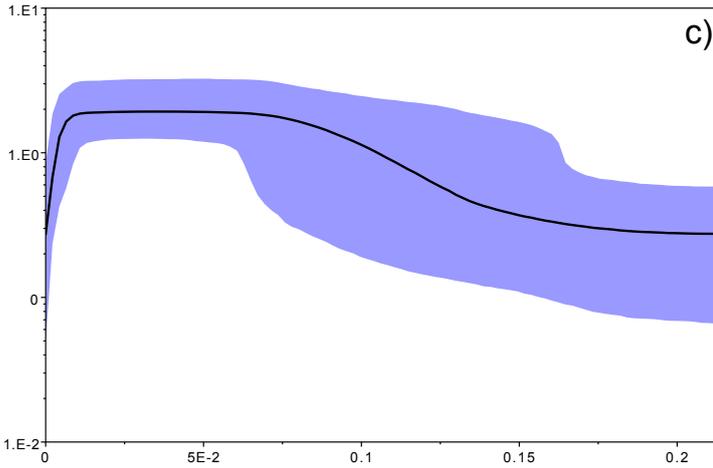
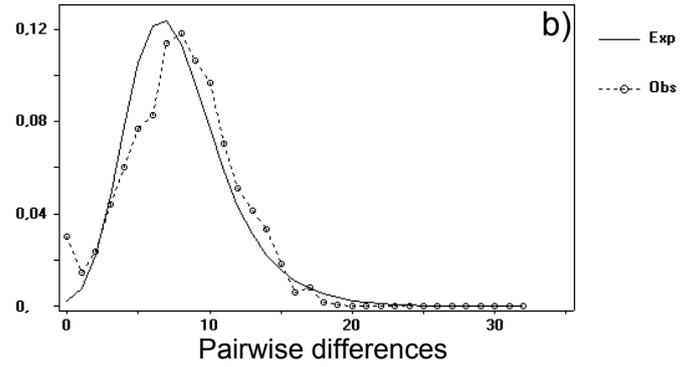
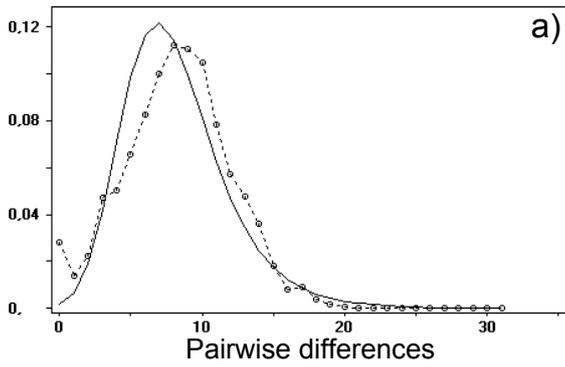
Above are the observed mismatch distributions of a) “glass eels” and b) “silver eels” (dotted lines) plotted against expected distribution of a population expansion (full lines). Below are the Bayesian skyline plots of “glass eels”, considering 0.2 substitutions/ unit of time, c), 1 substitution/unit of time, e), and 5 substitutions/unit of time, g). On the right, Bayesian skyline plots of “silver eels”, considering 0.2 substitutions/ unit of time, d), 1 substitution/unit of time, f), and 5 substitutions/unit of time, h). X-axis represents “time”. The lack of a clock-like evolution did not allow the definition of a time unit. Y-axis is an estimate of the product of  $N_e$  \* mutation rate ( $\mu$ ) per unit of time. The black line represents the mean  $N_e$  and the blue shading the 95% HPD (high probability density) interval.



**Figure 5**(on next page)

Historical profile of MHCs genetic diversity considering the non-positively selected sites (nPSS) of the exon 2 of MHC class II B

Above are the observed mismatch distributions of a) “glass eels” and b) “silver eels” (dotted lines) plotted against expected distribution of a population expansion (full lines). Below, are the Bayesian skyline plots of “glass eels”, considering 0.2 substitutions/ unit of time, c), 1 substitution/unit of time, e), and 5 substitutions/unit of time, g). On the right, Bayesian skyline plots of “silver eels”, considering 0.0002 substitutions/ unit of time, d), 1 substitution/unit of time, f) , and 5 substitutions/unit of time, h).



**Figure 6** (on next page)

Lineages-through-time plots (LTT)

Lineage diversification for a) “silver eels” and b) “glass eels”, with both graphs showing a recent burst of lineage diversification. These graphs were built with PSS using the substitution rate of 5. Like in Bayesian skyline plots, no unit of time is defined. The y-axis represents the number of lineages through time.

