

The use of the 4 pillars of HF management and the factors associated with it: results from the Jordanian Heart Failure Registry (JoHFR)

#### Peer review

The authors addressed an important question, especially in the presence of limited data about it in the Middle East. However, major revision is needed for a smooth and easy read.

#### General comments

1. Writing style refinement. There is unnecessary wording.
2. Results presentation does not flow well and outcome results are missing.

#### Main issue

The inclusion of HFpEF patients, i.e., except for SGLT2i, 4-pillar HF medications or quadruple therapy are not indicated in HFpEF, unless there is other compelling indication, due to the absence of positive evidence on prognosis.

#### Other points that may have impact the results

- Was there any documented reason that prevented the patients from receiving HF medications such as renal function, low blood pressure, etc. This is not clear or not discussed in the discussion section in terms of the collected data.
- It was mentioned that the patients were followed for 1 year, why hospitalization was not reported only the 30-day mortality? Hospitalization is one of the main outcomes that should be planned in HF research.
- A trivial point, why the medications doses were not collected?

I have more comments on the text for clarity.

#### Suggestion

STROBE check list for relevant study type gives direction to reporting observational studies. This may help to respond to the comments would be helpful and give a focused approach ([Checklists - STROBE \(strobe-statement.org\)](https://www.strobe-statement.org))

## Abstract

32 Background: Heart failure (HF) is a complex cardiovascular disease. Effective management  
33 typically involves four main medications: angiotensin-converting enzyme inhibitors  
34 (ACEi)/angiotensin receptor blockers (ARBs), beta-blockers (BBs), angiotensin receptor-  
35 neprilysin inhibitors (ARNIs), and mineralocorticoid receptor antagonists (MRAs), along with  
36 sodium-glucose co-transporter-2 inhibitors (SGLT2). This study assessed the prevalence and  
37 associated factors of these four pillars of HF management in Jordan.

38 Methods: Data from the Jordanian HF registry (JoHFR) was analyzed, encompassing records from  
39 2151 of HF patients treated in various cardiology centers from 2021 to 2023. The study included both  
40 chronic and acute HF cases, with a focus on the combined usage of the four-pillar medications and  
41 the factors influencing this usage.

42 Results: The medical records of 2151 heart failure patients who were admitted to cardiovascular  
43 centers throughout Jordan were collected. Of these, only 0.6% received the complete four-pillar  
44 treatment for heart failure. Beta-blockers were the most frequently used medication, prescribed to  
45 74% of patients, while SGLT2 inhibitors were the least common, used by only 9%. Notably,  
46 patients with diabetes were more likely to be on the four-pillar regimen (P-value = 0.016).  
47 Additionally, patients with a glomerular filtration rate (GFR) below 60 and an HbA1c level above  
48 6% were consistently treated with this comprehensive approach (P-values = 0.044 and 0.066,  
49 respectively). The analysis revealed no significant difference in mortality rates between the patient  
50 groups (P-value = 0.475).

51 Conclusion: The low utilization of the four pillars of HF treatment in Jordan suggests a need for  
52 enhanced collaborative efforts to improve physician awareness and address issues such as  
53 medication costs and availability. Targeted governmental initiatives could also help address these  
54 challenges and improve adherence to these essential medications.

55 Keywords Heart Failure · Guidelines · Pillars · Cardiovascular Disease in Jordan

**Commented [RK1]:** The only abbreviation for the medications that was used in the abstract is SGLT2i so why to mention them?

**Commented [RK2]:** Kindly, refine and make it consistent with the objective stated in the manuscript.

**Commented [RK3]:** This belongs to the results.

**Commented [RK4]:** Be consistent with abbreviation use.

**Commented [RK5]:** Be consistent with the terms used. Above the term "cardiology" not "cardiovascular" centers was used.

**Commented [RK6]:** Usually the result section begins with general information such as age, sex, general characteristics. Then comes more focused results then the main outcome. Here the results section start was with the main point!

**Commented [RK7]:** This is not significant p value.

**Commented [RK8]:** Conclusion should focus on study result but may consider mentioning implications in short especially in the abstract.

**Commented [RK9]:** Key word not sentence.

## 67 Introduction

68 Heart failure (HF) is a complicated and debilitating disease of the cardiovascular system characterized  
69 by the inability of the heart to function normally and to pump blood properly and adequately, leading  
70 to impairment of cardiac input [1, 2]. As one of the major causes of morbidity and mortality, HF  
places

71 a significant burden on health systems worldwide, including those in Jordan. (3) Where the  
prevalence

72 of HF is on the rise, the importance of in-depth checking and inspection of the current guideline-  
73 directed medical therapy (GDMT) is essential, adding to it how adherent the physicians and the  
system

74 are to it, which is fateful towards improving and identifying factors associated with compliance [3].  
75 The main intervention for successfully treating heart failure is pharmacological [1]. The drugs used  
76 worldwide in the management and treatment of HF are angiotensin-converting enzyme inhibitors  
77 (ACEi), beta-blockers (BBs), angiotensin receptor blockers (ARBs), angiotensin receptor-neprilysin  
78 inhibitors (ARNIs), mineralocorticoid receptor antagonists (MRAs), and sodium-glucose co-  
79 transporter-2 inhibitors (SGLT2) [1], for HF with reduced ejection fraction (HFrEF), ACEi, ARNI,  
80 BBs, and SGLT2 are the main disease-modifying treatments for improving symptoms, reducing  
81 hospital admissions, and increasing survival [4,5]. However, only SGLT-2 improved mortality among  
82 patients with HF with preserved ejection fraction (HFpEF) [6].

83 The primary objective of this article is to assess and identify the utilization of HF medications and the  
84 potential variation in clinical practice and explore the factors influencing guideline adherence among  
85 healthcare professionals in Jordan. Ultimately, the results will inform healthcare providers,  
86 policymakers, and other relevant stakeholders of the current state of HF management in the country,  
87 facilitating the implementation of strategies aimed at optimizing patient care, reducing morbidity and  
88 mortality rates, and improving overall health outcomes for individuals living with HF in Jordan.

## Methods

### 90 Setting, Design, and Population

91 This is a national heart failure registry in Jordan. Detailed methodology information is found in the  
92 study protocol registration [7]. In brief, medical records from heart failure patients who reviewed  
93 cardiology medical centers across Jordan from 2021 to 2023 were collected and studied. The study  
94 population includes patients who were seen in outpatient clinics for chronic HF and patients who  
were  
95 admitted through the ER for either new-onset HF or an acute on-top-of-chronic exacerbation attack. A  
96 total of 21 centers were involved in the study, including private centers, teaching and nonteaching  
97 hospitals, and public secondary and tertiary university hospitals. This is a prospective study in which  
98 patients were followed up to 1 year after the first medical record was collected. Patients under the  
age  
99 of 18 were excluded from the study. According to Jordanian research data collection guidelines, each  
site's  
100 principal investigator was responsible for getting an institutional review board (IRB) approval from  
101 their institution. The IRB of the Specialty Hospital approved the conductance of this research  
(approval  
102 number: 5/1T/104826). Patients were informed of the conduct of a heart failure registry and given  
the

**Commented [RK10]:** Why to add the abbreviation and it is not used elsewhere in the manuscript?

**Commented [RK11]:** The transition to the next sentence does not go well. Also, needs refinement to make it concise.

**Commented [RK12]:** Ideally, the introduction section ends with the study objective without excess wording.

**Commented [RK13]:** This sentence with this reference is not appropriate to describe the methodology! In addition, the reference is for a registry with another objective!

**Commented [RK14]:** What does this mean?

**Commented [RK15]:** You have to be clear in using terms. Is this a prospective "study" or "registry" as stated at the beginning? Also in the discussion section, it is stated that the registry continued for two years. Why this popped up in the discussion section. Does this mean that it is still running to recruit patients? Please, add concise proper description of the study design in the methods section. It seems a multicenter study rather than a registry! In addition, there are two comparison groups so this is a cohort study. A patient registry is a long-term, open-ended data collection system that uses observational methods to collect data on a patient population. In a registry, there is no question of 'what intervention is to be performed?'.  
A registry study or a clinical study seeks to answer a specific research question and parameters such as duration, patient population, data to be collected (and analysis to be performed) are defined (and constrained) by a study-specific protocol.

103 option to reject their data entry. A written informed consent was obtained from all the participants.  
All  
104 patients' data were dealt with anonymously.

## 105 Data Collection

106 An online Google form was distributed among the data collection team. This form was based on similar  
107 forms made by other heart failure registries. In summary, the form had several sections, including  
108 demographic characteristics of the patients, baseline co-morbidities, baseline laboratory investigations,  
109 and what drugs the patients were on.  
110

111 This form was filled out after hospital discharge or outpatient clinic visits. It was then shared into a  
112 database managed by this research's administrative and analytic team. Heart failure with reduced  
113 ejection fraction is defined by an echocardiogram ejection fraction <50%; meanwhile, heart failure  
114 with preserved ejection fraction (HFpEF) is diagnosed by an echocardiogram ejection fraction >50%  
115 with diastolic dysfunction. Lipid profiles were abnormal if one or more of the following were  
positive:

116 total cholesterol was  $\geq 200$  mg/dL, LDL  $> 130$  mg/dL was high, triglycerides  $> 150$  mg/dL, or HDL  
117 levels were  $< 40$ . Elevated BNP and NT-ProBNP were  $\geq 100$  pg/L and  $\geq 125$  pg/L, respectively. The  
118 normal sodium (Na) range is 136 to 145 mmol/L. Meanwhile, the normal potassium (K) range was  
119 3.5-5 mmol/L; any result that exceeded or fell outside this range is considered abnormal. Anemia  
was

120 defined when hemoglobin levels (Hg) were less than 10 g/dL. Kidney function tests were abnormal  
121 when urea levels were  $> 20$  mmol/L, creatinine was  $> 115$  micromoles/L, and eGFR was  $< 60$   
122 ml/min/1.73 m<sup>2</sup>. Lastly, HbA1c levels above 6% were considered abnormally high. The 30-day  
123 mortality, need for mechanical ventilation, and length of hospital stay were collected for the patients  
124 admitted to the hospital.

125 In 2021, the ACC (American College of Cardiology) and the AHA (American Heart Association) gave  
126 SGLT2 inhibitors a class 1 indication for treating HFrEF and a class IIa indication for both mildly  
127 reduced EF (41-49%) and HF with preserved EF ( $\geq 50\%$ ). These recommendations made SGLT2  
128 inhibitors one of the main four pillars of treating heart failure. Accordingly, the main 4 pillars of  
129 treating HF are BB, ACEI/ARBs, ARNI, and SGLT2. Therefore, the outcome of interest in this study  
130 was the prevalence of using the main 4 pillars in Jordan. In addition, we aimed to investigate the  
factors

131 associated with the use of these 4 pillars.

## 132 Data Analysis

133 The data was entered using Microsoft Office Excel 2019 and then imported and analyzed using IBM  
134 SPSS v.25 software. The patients' demographics, comorbidities, laboratory investigations, and  
135 echocardiographic measures were compared using 4 pillars of HF using T-test and Chi-square for  
136 continuous and categorical variables, respectively. A p-value  $< 0.050$  was considered statistically  
137 significant.

## Results

**Commented [RK16]:** What about outcomes?

**Commented [RK17]:** Clarify please.

**Commented [RK18]:** This is the current cutoff for HFrEF! AHA and ESC guidelines uses  $< 40\%$ ! This contradicts the definition below.

**Commented [RK19]:** How important to use this space for regular lab values? Especially that the table included data for normal and high values!

**Commented [RK20]:** Unit?

**Commented [RK21]:** Is this for all patients or for those who were admitted to hospital like LOS and MV?

**Commented [RK22]:** Why the aim or objective is re-mentioned here!!

**Commented [RK23]:** Can also consider how to describe the data, i.e., mean (SD)/median (IQR) and proportion (%) for continuous and categorical data.

**Commented [RK24]:** No need; already stated continuous and categorical. In addition, sentence does not mention the comparison groups.

**Commented [RK25]:** Results should be start with the general description of the findings then more specific till the main outcome.

### 139 Characteristics of the included patients

140 The medical records of 2115 heart failure patients who were admitted to cardiovascular centers  
141 throughout Jordan were collected. Males comprised 1235 (58.0%) (1235/2115) of the included  
142 patients. Moreover, number (71.0%) of patients had chronic HF, whereas the rest, 29.0%, had acute HF. A  
143 percentage of 70.3% of patients had heart failure with reduced ejection fraction (HFrEF). whereas, 29.7% had heart  
144 failure with preserved ejection fraction (HFpEF). Patients who were on the 4 pillars of HF (the pillars  
145 are SGLT2 inhibitors, ARNI, B-blockers, and MRA) were 0.6% of all study samples. Figure 1  
146 describes the use of each of the 4 pillars in HF management. The most commonly used medication  
147 was beta-blockers (74%), while the least commonly used were ARNI (10%) and SGLT2 inhibitors (9%).  
148 Figure 2 shows the percentage of usage of the 4 pillars among the included patients. Furthermore,  
149 69.2% of pts had diabetes, whereas 80.7% had hypertension. The percentage of smoking and alcohol  
150 among the included patients was 31.3% and 0.6%, respectively.

### 151 Comparison of the Characteristics of the Patients According to the Use of the

#### 152 HF-4 Pillars

153 Table 1 summarizes the factors studied between two distinct patient groups: those on the four-pillar  
154 regimen for heart failure (HF) management and those not on this regimen. A pronounced  
155 discrepancy  
156 was observed in the prevalence of diabetes between these groups. Remarkably, all patients with  
157 diabetes were treated with the four-pillar regimen (P-value = 0.016), underscoring a targeted  
158 approach  
159 for this subset of the population. This association is further visualized in Figure 3, which delineates  
160 the proportional use of each of the four pillars across patients with and without diabetes,  
161 demonstrating  
162 a higher utilization rate among diabetic individuals. Furthermore, every patient prescribed SGLT2  
163 inhibitors had a concurrent diagnosis of diabetes.

161 The employment of the four-pillar treatment strategy was also evaluated across different types of  
162 heart  
163 failure. Figure 4 highlights a greater percentage of patients with heart failure with reduced ejection  
164 fraction (HFrEF) receiving all four medications in contrast to those with heart failure with preserved  
165 ejection fraction (HFpEF), suggesting a trend towards more aggressive treatment protocols in HFrEF.  
166 However, the analysis indicated no significant distinction in the use of the four pillars when  
167 comparing  
168 patients with acute HF to those with chronic HF, nor was there a significant difference in the  
169 adoption  
170 of this regimen between genders, or across the variables of hypertension (HTN), smoking, alcohol  
171 use,  
172 dyslipidemia, obesity, or family history of atherosclerotic cardiovascular disease (ASCVD) or  
173 premature death.

170 Furthermore, renal function and glycemic control were pivotal in determining the use of the four  
171 pillars,  
172 as all patients who had GFR<60 or HbA1c>6 were found to be on the complete four-pillar treatment  
173 (P-value = 0.044, and 0.066, respectively). In contrast, no significant correlations were observed

**Commented [RK26]:** You defined HF abbreviation above. Try to be consistent. You should define abbreviations in abstract and then in the manuscript once!

**Commented [RK27]:** Be consistent with the style of writing.

**Commented [RK28]:** Why defining the abbreviation again?

**Commented [RK29]:** I have a major issue here, i.e., including patients with HFpEF because the 4-pillar HF therapy is only applicable for HFrEF. Whereas, in HFpEF only SGLT2i are proven to improve outcomes. I am afraid that the results are not meaningful in this case!

**Commented [RK30]:** ARNI is not present in the medication figure. In addition, why ACEI and ARB were reported separately? Reporting ACEI/ARB would give a better idea on the patients on RAASi as a whole. If ARNI was used then better reported as ACEI/ARB/ARNI for a meaningful information. Then it will not hurt if ARNI use can be mentioned as 10%.

**Commented [RK31]:** This is ok

**Commented [RK32]:** Results section, should present the data as is without interpretations or commentary.

**Commented [RK33]:** Be consistent four-pillar versus 4-pillar!

**Commented [RK34]:** Abbreviation issue again

**Commented [RK35]:** This is normal and does not add anything because there is no evidence to force the 4-pillar approach in HFpEF patients and imposing additional cost on patient for no evidence.

**Commented [RK36]:** This is a separate point (acute and chronic HF) and not related to the previous.

**Commented [RK37]:** The inclusion of acute HF, especially the de novo acute HF can be acknowledged in the discussion section if you agree. I think compared to those with acute on top chronic HF, might have lower chance to be prescribed all the 4 pillars before discharge.

**Commented [RK38]:** Please rephrase, needs clarification.

**Commented [RK39]:** Please rephrase. This does not determine the use, it is just the variables that significantly differ between the groups. Multivariate analysis was not attempted.

**Commented [RK40]:** This is not a correlation, it is only difference between groups.

173 between the use of the four-pillar therapy and other laboratory findings, such as lipid profiles, B-type  
174 natriuretic peptide (BNP), N-terminal pro b-type natriuretic peptide (NT-proBNP), sodium,  
175 hemoglobin, urea, and creatinine levels. Furthermore, the 30-mortality rate did not significantly  
176 differ  
176 between the patient groups (P-value = 0.475).

This was mentioned above: The 30-day mortality, need for mechanical ventilation, and length of hospital stay were collected for the patients admitted to the hospital. This needs to be addressed clearly.

## Discussion

178 This is the first large-scale national prospective multi-center registry to study heart failure patients in  
179 Jordan that has continued enrolling patients for two years. Data regarding patient demographics,  
180 medical history, clinical characteristics, prescribed medications, and follow-up visits is collected and  
181 analyzed. The results of this study shed light on several important aspects related to the utilization  
of  
182 medications for the treatment of heart failure (HF) in Jordan and the degree of adherence to current  
183 guidelines. A notable observation is the low percentage of patients receiving the recommended  
184 combination therapy consisting of beta-blockers, angiotensin receptor neprilysin inhibitors (ARNIs),  
185 sodium-glucose cotransporter-2 inhibitors (SGLT2 inhibitors), and mineralocorticoid receptor  
186 antagonists (MRAs).  
187 Our study revealed that only 0.6% of HF patients were prescribed this optimal combination therapy.

188 This low percentage of utilizing these medications can be attributed to several factors, including cost  
189 and availability. SGLT2 inhibitors are relatively newer agents demonstrating substantial  
190 cardiovascular benefits in HF patients. However, their higher acquisition costs compared to  
traditional  
191 HF medications, coupled with their limited availability within healthcare facilities, may contribute to  
192 the lower prescription rates. Cost-related factors and medication availability also play a crucial role  
in

193 the utilization of other HF medications. Patients with limited financial resources or inadequate  
health  
194 insurance coverage may face barriers to accessing prescribed medications, leading to non-  
compliance  
195 or suboptimal treatment.

196 Similarly, the availability of certain medications within healthcare facilities, particularly in resource-  
197 limited settings, can influence prescription patterns. Addressing these barriers through policy  
198 interventions, such as improved reimbursement systems or the inclusion of essential medications in  
199 formularies, may help increase the utilization of guideline-recommended HF therapies. The  
suboptimal

200 usage of combined medications raises concerns about the potential impact on patient outcomes.  
Several  
201 studies have demonstrated the significant benefits of these medications in improving survival,  
reducing

**Commented [RK41]:** First paragraph of the discussion section should focus on presenting the main findings of the study in a concise way. No need to mention the methodology again.

**Commented [RK42]:** Better be written concisely. Same ideas are being repeated.

202 hospitalizations, and enhancing quality of life in HF patients [8, 9]. Cardiovascular risk factors and co-  
203 morbidities, as well as cost and availability, are several patient-related factors that impact the  
selection  
204 and usage of HF medications.

205 Our study highlights the significance of comorbid conditions such as diabetes mellitus (DM),  
206 Haemoglobin A1C, and kidney function measured by estimated glomerular filtration rate [GFR].  
These

207 factors are found to be significant in influencing medication choices and dosing strategies. In a long-  
208 term heart failure registry done by the European Society of Cardiology, the included patients with  
209 chronic HF were 31.9%, 37.7%, and 18.4 for DM, atrial fibrillation, and renal dysfunction,  
210 respectively [8]. HF itself is considered an insulin-resistant state and is associated with a significant  
211 risk for the future development of diabetes [8]. Higher HbA1C levels are associated with increased  
212 mortality, which is mainly multifactorial and may involve both direct and indirect effects of  
213 hyperglycemia. Adverse effects of hyperglycemia are potentially represented by endothelial  
214 dysfunction, high oxidative stress, increased protein kinase C activation, and potentially accelerated  
215 atherosclerosis [8]. In addition, the magnification of glycation end products (AGEs), resulting from  
216 chronic hyperglycemia, may lead to many detrimental processes such as increased myocardial  
stiffness

217 and activation of the receptor for AGEs leads to upregulation of cellular signals and that results in  
218 cellular dysfunction [8] Also, elevated levels of HbA1C may be an indicator for a greater level of  
219 insulin resistance with the associated derangements of myocardial energy and cardiac metabolism  
220 utilization in the insulin resistant myocardium and increased activation of the sympathetic nervous  
221 system [8]. Finally, high HbA1C levels also may be reflective of poor compliance with medications,  
222 which in turn may be associated with poor outcomes, and that could clarify the considerable  
association

223 between high HbA1C and the significant percentage of HF patients who were described in the 4  
pillars

224 [1]. In addition, 100% of the patients on SGLT2 inhibitors had diabetes. This highlights that SGLT2  
225 is still being considered as a medication to control HbA1c and diabetes and not a main pillar of heart  
226 failure treatment as recommended by the international guidelines [4]. Similarly, impaired renal  
227 function, as indicated by decreased GFR, was also associated with the physicians' adherence to  
228 prescribing the 4 pillars of HF, indicating that physicians are still cautious about the safety of these  
229 medications among patients with reduced GFR. Thus, we recommend conducting clinical trials  
230 investigating the safety of the 4 pillars of HF among HF patients with reduced GFR.

231 Several limitations should be acknowledged. Due to the observational design of this study, it is not  
232 possible to establish cause-and-effect relationships for many of the associations mentioned.

233 Additionally, we cannot dismiss the potential impact of unmeasured confounding factors on the  
non-

234 use of the 4 pillars of HF. The study included patients enrolled in the Jordanian Heart Failure Registry  
235 (JoHFR), so we cannot disregard the possibility of changes in the prescription practices following the  
236 termination of the enrolment in the JoHFR trial in 2023. Data about the specific types of BB, ARNI,  
237 ACEI, and SGLT2 used in the registry was unavailable in our dataset. The applicability of our findings  
238 to other countries relies on similarities in population characteristics, healthcare systems, and heart  
239 failure management. Finally, a significant limitation of this and other registry studies is the absence  
of

240 longitudinal data and the ability to establish the timing of clinical variables, particularly prior  
241 measurements of serum potassium levels, which could have influenced decisions regarding the

**Commented [RK43]:** There is proper HF-related outcomes that are specific to HF patients in Jordan properly reported and presented in the study as this will be more convincing for local authority.

**Commented [RK44]:** Rephrase please because the study simply tells us that those with DM and renal impairment received more optimal medications. The subsequent discussion is hard to put in context. In addition ESC registry is reference 9 not 8.

The discussion and detailed comparison with other papers should be to compare and contrast the results of the present study and has been published. In addition, there are several studies that have published on quadrable therapy in HF.

**Commented [RK45]:** Are you influencing the prescribed intervention during the follow-up? This is not relevant for registries! Otherwise needs rephrasing.

**Commented [RK46]:** Other limitations should be addressed as well.

242 initiation of therapy and medication usage.

**Conclusion**

243 In conclusion, we used the data from the JoHFR study, which is considered the largest HF registry in  
244 the Middle East, to assess the prevalence of the four HF management pillars and investigate the  
factors

245 associated with it. Our analysis revealed that the use of the 4 pillars was very low. In addition, a  
history

246 of diabetes, as well as high HbA1c levels and reduced GFR, were significantly associated with  
247 decreased prescription of the 4 medications. This highlights that there is still a large underuse of the  
4

248 pillars of HF. National efforts are needed to collaborate with international HF associations to improve  
249 the knowledge of physicians about the use of the 4 pillars of HF. Also, governmental initiatives  
should

250 focus on reducing the underuse of these medications by addressing high costs, low availability, and  
251 poor insurance coverage.

**Commented [RK47]:** Is it a study or a registry. A distinction should be made.

**Commented [RK48]:** This is the same as saying diabetes! And the difference was not significant between the groups.

**Commented [RK49]:** This is contradictory to the findings in the table where DM and CKD patients received more quadrable therapy.