# Phylogenetic and taxonomic revisions of Jurassic sea

# stars support a delayed evolutionary origin of the

# **Asteriidae**

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23 **Abstract** 24 25 Background. The superorder Forcipulatacea comprise a major clade of sea stars with 26 Commenté [ST1]: Or is? 27 approximately 400 extant species across three orders (Forcipulatida, Brisingida, Zorocallida). 28 Over the past century, the systematics of Forcipulatacea have undergone multiple revisions by 29 various authors, with some considering numerous families such as Asteriidae, Zoroasteridae, 30 Pedicellasteridae, Pycnopodiidae, Labidiasteridae, and Neomorphasteridae, while others 31 recognized only two families (i.e., Asteriidae and Zoroasteridae). Recent molecular analyses 32 have shown the artificial nature of some of these groupings. Notably, four well-supported clades 33 (Zorocallida, Brisingida, Stichasteridae, and Asteriidae) emerged from a synthesis of 34 morphological and molecular evidence. The majority of extinct forcipulatacean species have 35 been placed in modern families. However, many of these fossil species are in need of revision, 36 especially those species placed within the Asteriidae, the largest of all forcipulatacean families. 37 38 Methods. In light of recent advancements in forcipulatacean systematics, we comprehensively 39 reassess six well-preserved Jurassic forcipulatacean taxa, including the earliest crown-group 40 members from the Hettangian stage (~201.4 Ma), and also describe two new Jurassic genera, Commenté [ST2]: age 41 Forbesasterias gen. nov. and Marbleaster gen. nov. We assembled the largest and most 42 comprehensive phylogenetic matrix for this group, sampling 42 fossil and extant forcipulatacean 43 species for 120 morphological characters. To infer phylogenetic relationships and construct an 44 evolutionary timeline for the diversification of major clades, we conducted a Bayesian tip-dating 45 analysis incorporating the fossilized birth-death process. A total of 13 fossil species were

sampled in our analysis, including six taxonomically revaluated herein, two recently reappraised species from the Jurassic, and five additional species from the Cretaceous and Miocene. Results. Contrary to prior assumptions, our results indicate that none of the Jurassic taxa investigated belong to Asteriidae or any other modern families, and instead represent stem-forcipulatids. Furthermore, our phylogenetic results suggest that Asteriidae likely originated during the late Cretaceous. Our findings highlight a greater early diversity within the Forcipulatacea than previously presumed, challenging existing perceptions of the evolutionary history of this significant clade of marine invertebrates. 

## Introduction

The Forcipulatacea is one of the major clades within the Neoasteroidea, with about 400 described extant species (Mah and Blake, 2012). The crown-group Neoasteroidea is believed to have diversified after the Permo-Triassic mass extinction (Blake, 1987; Gale, 1987). However, the earliest unambiguous forcipulatacean fossils are dated from the Lower Jurassic (Blake, 1990), resulting in a major gap in the understanding of the early history of the group. The Triassic group Trichasterospida has been interpreted as stem Forcipulatacea (Blake 1987; Blake & Hagdorn 2003, Blake et al. 2006), but recent discoveries have challenged the phylogenetic status of trichasteropsids (Thuy et al., 2017; Villier et al., 2018). The early history of the Forcipulatacea and its relationship with Triassic groups is important for understanding the history of the Neoasteroidea, because of the ongoing uncertainty concerning their phylogenetic position within the Neoasteroidea and relationships with Palaeozoic taxa (e.g., Blake, 1987;

Commenté [ST3]: selected

Commenté [ST4]: Use either Trichasterospida or trichasterospids throughout

69 Gale, 1987; Blake & Hagdorn, 2003; Gale, 2011a; Mah & Foltz, 2011a; Mah & Foltz, 2011b; 70 Mah & Blake, 2012; Blake & Mah, 2014; Linchangco et al., 2017). The interpretation of fossils 71 and the timing of character appearances are central to the debate of clade definition and deep 72 phylogenetic relationships. 73 The superorder Forcipulatacea comprises three orders: the Forcipulatida, (about 250 74 extant species); the Brisingida, (about 110 extant species) and the Zoroacallida (less than 40 75 extant species) (Mah & Blake, 2012). The systematics of the Forcipulatacea has changed 76 multiple times over the course of the last century, with some authors recognizing many families 77 (e.g. Asteriidae, Zoroasteridae, Pedicellasteridae, Pycnopodiidae, Labidiasteridae, 78 Neomorphasteridae; e.g. Clark & Downey, 1992; Clark & Mah, 2001) while others accepted 79 only two (Asteriidae, Zoroasteridae; e.g. Fisher, 1928). 80 The majority of extinct forcipulatid species have been placed in modern families. Most 81 of these have been assigned within the Asteriidae (e.g., Hystrixasterias hettangiurnus Blake, 82 1990; Germanasterias amplipapularia Blake, 1990; Polarasterias Rousseau & Gale, 2018 (in 83 Rousseau et al., 2018); Savignaster villieri Gale, 2011b). including the monospecific subfamily 84 Dermasterinae (containing the fossil genus *Dermaster*) (Hess 1972). Outside of the Asteriidae, 85 only three extinct species have been interpreted as Stichasteridae (Argoviaster occultus Hess, 86 1972; Pegaster stichos Blake & Peterson, 1993; and Atalopegaster gundersoni Blake & 87 Guensburg, 2016) and one interpreted as a Pedicellasteridae (Afraster scalariformis Blake et al., 88 1996). There are no extinct taxa known for the Heliasteridae, but exceptionally preserved 89 specimens attributed to the extant species Heliaster microbrachius have been reported from the 90 Pliocene of Florida, USA (Jones & Portell, 1988). More generally, Cenozoic fossils are rare and 91 have all been compared to extant genera (Barker & Zullo, 1980; Blake & Zinsmeister, 1988;

Commenté [ST5]: Do you mean extant?

92 Blake & Aronson, 1998; Palópolo et al., 2021). The Brisingida are very rare in the fossil record, 93 and are only known from the Miocene of Japan (Yamaoka, 1987). 94 Recent phylogenetic analyses allowed reappraisal of the historic classifications of the Commenté [ST6]: Which are and how do you define "historic" classifications? Please give references. 95 Forcipulatacea (Mah, 2000; Foltz et al., 2007; Mah & Foltz, 2011a; Mah et al., 2015). The most 96 comprehensive molecular-based phylogenetic analysis of Mah & Foltz (2011a) identified 4 main 97 clades: Asteriidae, Stichasteridae, Zoroasteridae and Brisingida. They further suggested that the Commenté [ST7]: corresponding to the families and order... 98 family Pedicellasteridae is polyphyletic, that Labidiasteridae is a synonym of Heliasteridae, and 99 that Neomorphasteridae is a synonym of Stichasteridae (Mah & Foltz, 2011a). More recently, a 100 study based on morphological data by Fau & Villier (2020) found congruent evidence supporting 101 the same four major extant subclades within the Forcipulatacea as Mah and Foltz (2011a). In a 102 second study focused on extant Zoroasteridae and their fossil relatives, Fau & Villier (2023) 103 suggested the Mesozoic Terminasteridae are not monophyletic, instead arguing to resurrect and 104 expand the Order Zorocallida Downey, 1970 to include both the crown group Zoroasteridae, and 105 fossil stem-group taxa. These recent phylogenetic analyses have greatly restricted the definition Commenté [ST8]: of another family ? Please precise. 106 of the Asteriidae and suggest greater phylogenetic and taxonomic diversity within the 107 Forcipulatacea than currently expressed in the literature (Mah & Foltz, 2011a; Mah et al., 2015; 108 Fau & Villier, 2020). 109 To better understand the origin and early diversification of this major superorder, we 110 present a taxonomic re-evaluation of six well-preserved Jurassic forcipulatacean taxa, including Commenté [ST9]: Species ? 111 the earliest crown-group members. Further, we revise two species: Forbesasterias gavevi gen. Commenté [ST10]: And before all describe two new genus! 112 nov. (Forbes, 1850) and Marbleaster spiniger gen. nov. (Wright, 1880) and redescribe 113 Argoviaster occultus Hess 1972 and Dermaster boehmi de Loriol, 1899. Using the largest

morphology-based character matrix ever constructed for the Forcipulatacea (Fau & Villier,

2020), we apply Bayesian tip-dating phylogenetic methods (Warnock and Wright, 2020; Wright et al., 2021) to simultaneously co-estimate phylogenetic relationships and divergence times among fossil and extant Forcipulatacea. In addition to the six species revised herein, we also sampled seven other fossil forcipulatacean taxa (from Jurassic to Miocene) in our tip-dating analysis to assist the estimation of divergence times. Finally, the results of our divergence dating analysis allows us to investigate questions surrounding the origination and diversification of major forcipulatacean subclades, including the species-rich Asteriidae.

Commenté [ST11]: selected

Commenté [ST12]: species ?

### **Material and Methods**

### Taxon sampling

The analysis focuses on the most completely known extinct Jurassic taxa to ensure the maximum number of characters could be scored. The six Jurassic taxa reappraised are represented by 37 specimens (see material examined under systematic palaeontology). The phylogenetic analysis is based on our reappraised descriptions and taxonomic revision. Seven extinct species were added in the phylogenetic analysis in order to obtain a more comprehensive temporal coverage of the Forcipulatacea. Two recently reappraised Jurassic taxa: *Psammaster davidsoni* reappraised in Fau et al. (2020); *Terminaster cancriformis* reappraised in Fau & Villier (2023); and three Cretaceous taxa: *Pegaster stichos* Blake & Peterson, 1993; *Cretasterias reticulatus* Gale & Villier, 2013; *Viridisaster guerangeri* Fau & Villier 2023; and two Cenozoic taxa: *Zoroaster marambioensis* Palópolo et al., 2021; *Brisingella* sp..

Terminology

The anatomical descriptions follow the terminology outlined by Fau and Villier (2018; 2020). Anatomical terms and abbreviations from Fau and Villier (2018; 2020) are in italics in the text. We use conventional terms for the orientation of the specimen: abactinal (adoral) versus actinal (oral); proximal versus distal; and abradial versus adradial. In the literature, the size of an individual is commonly given with the two measures "r" and "R", with r corresponding to the distance between the centre of the disc and the edge of the disc, and R corresponding to the distance between the centre of the disc and the tip of the arm.

#### Nomenclatural act

The electronic version of this article in Portable Document Format (PDF) will represent a published work according to the International Commission on Zoological Nomenclature (ICZN), and hence the new names contained in the electronic version are effectively published under that Code from the electronic edition alone. This published work and the nomenclatural acts it contains have been registered in ZooBank, the online registration system for the ICZN. The ZooBank LSIDs (Life Science Identifiers) can be resolved and the associated information viewed through any standard web browser by appending the LSID to the prefix http://zoobank.org/. The LSID for this publication is: [urn:lsid:zoobank.org:pub:6A43BD80-6C00-42C6-AFD4-7C4A944396FD]. The online version of this work is archived and available from the following digital repositories: PeerJ, PubMed Central SCIE and CLOCKSS.

#### Phylogenetic Analysis

We expanded the morphological character matrix of Fau & Villier (2020, 2023) to sample a total of 13 fossil species (6 taxonomically re-evaluated herein) and 29 extant species for 120 characters (character matrix available in Supplementary Materials). The extant *Plutonaster bifrons* and *Dactylosaster cylindricus* were also sampled in our matrix as outgroup taxa. In this study, the character/taxon matrix of Fau & Villier (2020, 2023) was revised as followed: the character states of character 50 (number of primary spines per adambulacrals; character number 49 in Fau & Villier, 2020) were modified, as many extinct taxa possess three or four adambulacral spines (i.e., state 0: 1 to 2 adambulacral spines; state 1: 3 to 4 adambulacral spines; state 2: 5 and more adambulacral spines), and two characters were added (character 119 and 120; see Supplementary Materials File). The modified character by taxon matrix was coded using MESQUITE software (Maddison & Maddison, 2023). Our matrix is scored up to 75.1% complete (24.9% of missing or non-applicable characters), among which the 13 extinct taxa are scored up to 50% complete.

To simultaneously co-estimate phylogenetic relationships and estimate divergences times, we conducted a tip-dated Bayesian phylogenetic analysis incorporating the fossilized birth-death process (FBD) (Stadler, 2010; Heath et al., 2014; Gavryushkina et al., 2014; Wright, 2017a; Warnock and Wright, 2020; Wright et al., 2021). Bayesian phylogenetic methods using FBD models leverage both morphological and stratigraphic age information from the fossil record (Barido-Sottani et al., 2020; Wright et al., 2021), which can then be combined with data from extant taxa to generate time-calibrated phylogenies containing both fossil and extant species. Tip-dating approaches using the FBD process provide a more coherent framework for dating lineage divergences than node-based approaches (Heath et al., 2014), and have also been

shown to improve phylogenetic inferences involving fossil taxa compared to undated approaches (Barido-Sottani et al., 2020; Mongiardino Koch et al., 2021). Moreover, Bayesian tip-dating approaches can be used to directly test macroevolutionary hypotheses about character evolution (Wright, 2017b; Wright et al., 2021), patterns of clade diversification (Paterson et al., 2019), and investigate the evolutionary origin of major clades (Wright and Toom, 2017; Thuy et al., 2022).

We applied the sampled-ancestor implementation of the FBD model (Gavryushkina et al., 2014), and placed broad, uniform priors on FBD parameters for diversification, extinction, and fossil sampling. Fossil ages were assigned uniform distributions based on their occurrences in geologic stages. An abundance of paleontological evidence points to a post-Permian origin of the Neoasteroidea (e.g., Blake, 1987; Gale, 1987; Villier et al., 2018). To incorporate this information while allowing for possible alternatives, we placed a prior distribution on the tree age that spans the Permo-Triassic boundary (~240 Ma—260 Ma). Morphological character evolution was modelled using a variant of the simple Mk model (Lewis, 2001) that accounts for ascertainment bias and allows for morphological rates to vary among characters according to a lognormal distribution (Wagner, 2012; Wright, 2017). To account for rate variation among lineages throughout the tree, we applied an uncorrelated morphological clock where branch rates vary according to an independent gamma rates model (Lepage et al., 2007).

To assist the analysis, we applied a topological constraint to the taxon *Brisingella* sp., a taxon so poorly preserved that only 9 characters could be scored. *Brisingella* sp. possesses clear characters showing its affinities with the Brisingida, such as a large number of arms (9 arms), the presence of a rigid circular oral ring and the shape of its ambulacral (robust and hourglass). We followed the work of Zhang et al. (2024), which considers *Brinsigella* sp. as the sister taxa to the

206	Freyellidae. Although poorly preserved, Brinsigella sp. is the only fossil of Brinsigida known to
207	date and was included here to sample fossil representatives for all forcipulataceans orders.
208	Bayesian inference of phylogeny was estimated using Markov chain Monte Carlo
209	(MCMC) simulation in MrBayes 3.2.6 (Ronquist et al., 2012). Two MCMC runs with four
210	chains were run for 80,000,000 generations. Chains were sampled every 500 generations and the
211	first 25% sampled were discarded as burn-in. Chains reached an average deviation split
212	frequency of less than 0.01. Convergence was assessed using Tracer 1.7.1 (Rambaud et al.,
213	2018), parameters attained effective sample sizes (ESS) >100, with all but one >1,000, and
214	potential scale reduction factors (PSRF) of ~1.0. The character/taxon matrix and MrBayes script
215	are available in the Supplementary Materials.
216	
217	Institutional Abbreviations:
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219	NHMUK, Natural History Museum, London, United Kingdom, formerly the British Museum of
220	Natural History (BMNH).
221	NMB, Naturhistorisches Museum Basel, Basel, Switzerland.
222	SMNS, Staatliches Museum für Naturkunde Stuttgart, Stuttgart, Germany.
223	
224	Systematic paleontology
225	
226	ASTEROIDEA de Blainville, 1830
227	FORCIPULATACEA Blake, 1987
228	Forbesasterias gen. nov.

229	
230	Type species. Uraster gaveyi Forbes, 1850.
231	<b>Derivation of name.</b> In honor of Edward Forbes, who described this specimen in 1850.
232	Diagnosis. As for species, by monotypy.
233	
234	Forbesasterias gaveyi (Forbes, 1850)
235	Fig. 1A, 2-3
236	
237	1850 Uraster gaveyi Forbes decade III pl. II
238	1854 Uraster gaveyi, Forbes p. 90
239	1863 Uraster gaveyi, Wright, p. 100-102, pl. 1, fig. 1a, b
240	1870 Uraster gaveyi, Wright, p. 163
241	1876 Uraster graveyi, Quenstedt, p. 85, pl. 93, fig. 29
242	? 1935 Asterias gaveyi, Mercier, p. 47, pl. 2, fig. 18a-b
243	1966 Asterias? gaveyi, Spencer & Wright p. U75, fig. 66.1
244	1972 Asterias? gaveyi, Hess p. 32
245	1993 "Asterias" gaveyi, Lewis, p. 48
246	1996 "Asterias" gaveyi, Blake, p. 179
247	2011 "Asterias" gaveyi, Gale p. 58, text-fig. 24A-C
248	
249	Type specimen. NHMUK PI E 1638, holotype.

251 Type locality. Mickleton tunnel, near Chipping Campden, Gloucestershire, England; 252 Capricornus zone, Pliensbachian, Early Jurassic (Forbes, 1850; Wright, 1863). 253 254 Material examined. NHMUK PI E 1638, holotype. 255 256 **Diagnosis.** Forcipulatacean sea star with five arms, compressed ambulacrals and adambulacrals, 257 and quadriserial ambulacral pores. Adoral carina composed of four elongated adambulacrals, 258 bearing one or two spines each. Other adambulacrals bearing four spines. Actinal plates present. 259 Straight pedicellariae present, regular straight pedicellaria approximately 1 or 2 mm long, and 260 giant strait pedicellaria approximately 5 mm long. Giant straight pedicellariae located around the 261 mouth in interbrachial areas. Abactinal spines short and numerous with ornamented tips. 262 **Description.** The holotype exposes the actinal face of a large individual, R > 99 mm, r = 26 mm, 263 264 with four arms preserved (Fig. 1). The specimen was slightly flattened during preservation 265 processes. The ambulacral grooves are probably wider than they would have been in life. Some 266 portions of the arms are more damaged than others, with disarticulated ambulacral heads in some 267 part of the arms. Ambulacral grooves are less flattened and therefore narrower around the mouth 268 frame. The disc and the structure of the wall skeleton are not exposed. 269 Ambulacrals are compressed lengthwise. The head is slightly broader than the shaft and 270 the teeth are present along the entire width of the ambulacral head (Fig. 2A-B, 3A-B). The actam 271 and the furrow are well defined (Fig. 3B), no wings on the ambulacral bases. Four tube feet rows 272 per ambulacral groove. The distalmost part of one of the arms is crushed, allowing observation

of the proximal side of a few ambulacrals and adambulacrals. The most distal ambulacrals are

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Commenté [ST13]: can you show them on a figure ?

rather straight, their actinal edge being straight to slightly concave, as in many Forcipulatacea.

Arching of the actinal edge of proximal ambulacrals is not visible due to preservation.

Adambulacrals are compressed lengthwise, bearing 4 spines each, arranged in a transverse row. The adambulacral spines are short and thick, slightly flattened at the extremity, but longer than the actinal spines. The spines do not seem to have glassy trabeculae or any ornamentation, instead are composed of undifferentiated labyrinthic stereom.

The adoral carina is composed of at least 4 elongate adambulacrals per ambulacral side (Fig. 2). Adambulacrals of the adoral carina have a triangular shape, whereas they are more or less square in others. They are also, at least, 1.5 times longer than the other adambulacrals with the two most proximal adambulacrals being the longest. There are only one or two spines on the adambulacrals of the adoral carina. The oral ossicles are short and bear at least two spines each.

Actinal and possibly marginal plates are visible, most of them still bearing spines. Actinals overlapping each other. Actinal rows can be distinguished from marginal rows by ending before the terminal ossicle. There are at least two rows of actinals, very likely more, but it is impossible to count actinal rows near the interradius where the number is usually at its maximum. The longest actinal row ends at least six millimetres before the end of the longest preserved arm tip, even though the actual tip is missing. This means that the actinals were an important part of the body wall. Actinal plates are small and stout. They are arranged to form regular lateral and longitudinal rows. Some actinals have a small central *psas* ((i.e. primary spine attachment structure, also called "*pustule*" in Fau & Villier, 2020) supporting a primary spine, but this structure is not visible on every plate.

A row of bigger, slightly triangular plates, is visible in the interradial area. These plates are morphologically differentiated compared to the actinal plates and are here assumed to be

marginal plates. Morphological differences between actinal and marginal plates reduces distally along the arm. Due to preservation, it is impossible to determine if a second marginal series is present. Abactinals and carinals cannot be observed. Short and slender spines, with ornamented extremities, may represent spines of dorsal plate series.

Giant straight pedicellariae (up to 5 mm long) are found proximally in the interradial space around the mouth and inside the ambulacral groove (Fig. 2C, 3C-E). The giant straight pedicellariae are made of two slender and long valves that broaden at the base. Smaller straight pedicellariae, with two slender regular valves that are slightly flattened at the extremity, occur more distally along the arms. No crossed pedicellariae are recognized.

**Remarks.** The identification of the specimen NHMUK PI E 3339 as "*Uraster*" gaveyi is uncertain because numerous morphological differences are apparent with the holotype. The description is therefore based on the holotype only.

Mercier (1935) attributed disarticulated body wall skeleton ossicles materials from the Sinemurian of Normandy, France to "Asterias" gaveyi. However, this material cannot be reliably compared with the holotype and is therefore excluded.

The distinctive giant pedicellariae of Forbesasterias gaveyi gen. nov. are noticeable, but giant pedicellariae also occur in modern forcipulataceans taxa. For instance, the asteriid

Notasterias armata possesses giant crossed pedicellariae on its abactinal surface. Large straight

Forbesasterias gaveyi gen. nov.is clearly distinguished from other extant species of the genus Asterias by the lack of crossed pedicellaria, that it shows no evidence of intermarginals,

pedicellariae are also found in Zoroasteridae.

and possesses four spines per adambulacral. Species of extant *Asterias* possesses both straight

a supprimé: A

321 and crossed pedicellariae, clearly distinguishable intermarginals and adambulacrals with one to 322 three spines. 323 324 Marbleaster gen. nov. 325 326 Type species. Marbleaster spiniger (Wright, 1880) 327 **Derivation of name.** For the Forest Marble Formation. 328 Diagnosis. As for species, by monotypy. 329 330 Marbleaster spiniger (Wright, 1880) 331 Fig. 1B, 4 332 333 1880 Uraster spiniger Wright p. 166-167, fig. 1; pl. XXI, fig. 1 334 1966 Compsaster spiniger, Spencer & Wright p. U74, fig. 65, 1c 335 1993 Compsaster spiniger, Lewis, p. 60 336 337 **Type specimen.** NHMUK PI E 1642, holotype. 338 339 Type locality. near Rode (formerly Road), Somerset, United Kingdom; Forest Marble 340 Formation, Bathonian, Middle Jurassic (Wright, 1880). Wright (1880) refers to the locality as 341 "near Road, Wilts", however the village of Rode sits on the Wiltshire to Somerset boarder and is 342 now regarded as part of the latter county.

Material examined. NHMUK PI E 1642, holotype.

**Diagnosis.** Forcipulatacean sea star with five short arms. At least three spines per oral ossicle, short adoral carina composed of one or two adambulacrals only. Ambulacrals and adambulacrals compressed, 3 to 4 spines per adambulacral. Ambulacrals with an extended *crest* on the ambulacral's *head*, and a well-defined *furrow* on the ambulacral's *shaft*. Body wall plates present with keyhole-shaped primary spine attachment structure (*psas*) in the interradial area of the disc. Body wall ossicles bearing many long and slender spines, made of glassy trabeculae. Straight and crossed forcipulate pedicellariae of the same size sparsely distributed across the body.

**Description.** The specimen has a diameter of about 4 cm. Only the actinal surface is visible. The body is flattened, two arms are broken, and most of the ambulacral grooves are covered by sediments. The body wall skeleton is not accessible. Some plates in the interradial area can be interpreted as actinal plates.

The oral frame is characterised by five pairs of long and narrow oral ossicles, each bearing at least one spine directed proximally and one or two spines oriented actinally. Short adoral carina composed of the first proximal adambulacral only, with some second adambulacrals in contact but not compressed in width. Adambulacrals of the adoral carina are narrower and longer than other adambulacrals. They possess only one *psas*, instead of 3 as the rest of the adambulacrals along the arms.

Ambulacral grooves are partially covered by spines and sediments. Ambulacrals are compressed in length, the *head* larger than the *shaft*, slightly hourglass-shaped. The *actam* and the *furrow* (on the ambulacral *shaft*) are well defined. No *wings* on the ambulacral *bases*. A

*crest*, similar to the ambulacral crest of extant asteriids (Fig. 4; Fau & Villier, 2020) is present on the head and it is tilted in a proximal direction.

The adambulacrals bear 3 to 4 relatively thick and long spines (2 mm) with ornamented tips and attached to a *psas*. The adambulacrals are irregular in size, highly compressed in length, and wider than high.

The body wall plates are present in the interradial area. At least 2 rows of body wall plates can be identified. They are likely to be actinal plates because of their small size and placement. One of these plates possesses a keyhole-shaped *psa*. The arm plates are covered by long and conic spines made of glassy trabeculae.

Both straight, duck-billed pedicellariae and crossed forcipulate pedicellariae are present (Fig. 4C). They are randomly distributed between the spines across the surface of the specimen. Straight and crossed pedicellariae are of similar size (1 mm). The crossed pedicellariae are relatively large, some are as long as half the length of the spines. Crossed pedicellariae are similar in shape to those of modern Stichasteridae and Asteridae (e.g. Fisher 1928, 1930, Clark & Downey, 1992), and are randomly distributed on the abactinal surface. There is no evidence of wreath organs.

Remarks. "Uraster" spiniger was originally classified within the asteriids by Wright (1880). However, he expressed doubt about the systematic position of his new species: "This starfish differs so much from the other fossil species of the genus Uraster that it may possibly prove to be the type of a new genus, when more details are learned anent the anatomy of the skeleton by the discovery of new materials." (Wright, 1880, p. 167). Spencer & Wright (1966) assigned "Uraster" spiniger to the genus Compsaster. However, the type species of the genus Compsaster

390	formosus Worthen & Miller, 1883 from the Carboniferous of Illinois differs in many aspects	
391	from "Uraster" spiniger Wright, 1880. These differences have been outlined by Blake (2002, p.	
392	363): "Although the type specimen of the Jurassic species Compsaster spiniger is imperfectly	
393	preserved, it appears readily assigned to the surviving Asteriidae, a family known from the	
394	beginning of the Jurassic (Blake, 1990), well before the Bathonian occurrence of C. spiniger.	
395	The Compsasteridae therefore here is restricted to the type species." As outline by Wright	
396	(1880), Marbleaster spiniger gen. nov. exhibits distinctive characters that warrant the	
397	establishment of a novel genus to house this species. These include the presence keyhole-shaped	
898	psas, and the presence of straight and crossed pedicellariae of equal size uniformly distributed	
199	across its actinal surface.	
100		
101	Dermaster boehmi de Loriol, 1899	
102	Fig. 5	
103		
104	1899 Dermaster boehmi de Loriol, p.1-6, pl. 1, fig. 1	
105	1972 Dermaster boehmi, Hess p. 32-36, text-fig. 3, 31-39, 89; pl. 2, fig. 1, 3; pl. 3, fig. 1; pl. 4,	
106	fig. 1; pl. 12, fig. 2	
107	1973 Dermaster boehmi, Hess, p. 627	
108	2011 Dermaster boehmi, Gale p. 60	
109		
110	Type specimen: Specimen illustrated by de Loriol, 1899, pl. 1, fig. 1 (assumed lost).	Commenté [ST14]: Can a neotype be defined ?

412 Type locality. Vögisheim, Mülheim, Baden-Württemberg, Germany; ferruginous layers in 413 limestone, Bathonian, Middle Jurassic (de Loriol, 1899). From the information provided by de 414 Loriol (1899; locality, age and geology), the holotype is assumed to come from the ferruginous 415 oolitic layer of the Hauptrogenstein-Formation (Bloos et al. 2006). 416 417 Material examined. Six well-preserved specimens described by Hess (1972): NMB M8985, 418 M10678, M9365, M9600, M10705, and M9168. All specimens originate from the village of 419 Schinznach, Canton of Aargau, Switzerland and were collected from the Upper Hauptrogenstein-420 Formation (Upper Bajocian; Middle Jurassic). 421 422 Diagnosis (emended from Hess, 1972). Aboral skeleton reticulate, pore fields present but small. 423 Body wall ossicles cruciform to triangular, covered by small granule-like spines. One or two 424 psas on primary interradials and primary radials. Primary radials overlapping the primary 425 interradials. Adoral carina present, composed of the two most proximal adambulacrals. 426 Ambulacrals compressed, ambulacral pores biserial. Adambulacrals with 3 to 4 spines each. 427 Straight and crossed pedicellariae present. Crossed pedicellariae differentiated into two 428 morphotypes. 429 430 **Description.** The disc is composed of five primary radials and five primary interradials that are 431 arranged around a circlet of small abactinals and the primary central plate (Fig. 5A-B). The 432 primary central plate is approximal twice the size of the disc abactinals. The superomarginal 433 plate rows extend into the disc to join and to partially overlap the primary interradials. The most 434 proximal superomarginals of adjacent arms are in contact inter-radially on the disc. In NMB

M10678, only one of the enlarged primary radials overlaps the primary interradials directly. The other four primary radials are slightly set distally and not in contact with the other primary interradials. All disc plates are covered by little bumps, that indicate the former presence of spines. NMB M10705 is an arm fragment, with what is likely to be a few plates from the disc. One primary radial is visible and bears a relatively big psas in its center. The radial of NMB M10705 is twice the size of those of NMB M10678 and clearly show two types of attachment for the spines, a big central psas and some smaller bumps around the psas, as already described by Hess (1972). At least two types of spines (i.e., primary spines attached on psas, and secondary spines attached on bumps) are present. The madreporite is not preserved in any of the specimens studied. In NMB M10678, a cavity remains in one of the primary interradials, where the madreporite inserted (Fig. 4.5A-B). De Loriol (1899) and Hess (1972) described the madreporite as a small swollen plate, that "lies near the edge of the interbrachial angle" (translation of de Loriol, 1899 p. 5). Current observations and the description of de Loriol (1899) and Hess (1972) agree with the conclusion that the madreporite was not fused with an interradial. A strong relationship between one of the interradials and the madreporite is possible, as in the Zoroasteridae in which the madreporite sets in a special cavity on the distal edge of an interradial. The arms are composed of at least nine plates rows in addition to the adambulacral and ambulacral columns: one row of carinals, one row of abactinals, two rows of marginals, and one or two rows of actinals, on each side. Proximally, carinals, abactinals and superomarginals are cruciform, but the articular processes get shorter distally (Fig. 5B, D). All plate surfaces are

granulated. The carinal row is regular along the arms. The carinals were formerly adorned by

many small spines, and at least one big stout rounded spine. Spines are better preserved in NMB

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M10705: there are big and stout, blunt primary spines, and small acicular secondary spines.

There is no doubt that there were many secondary spines per plates because of their granulated surfaces. Because of the number of primary spines preserved, it is also likely that each plate was bearing one or more primary spines. However, *psas* are not observed on every plate.

Abactinals are small and irregular in shape. They are overlapped by both the carinals and the superomarginals. Superomarginals are cruciform proximally, but of rather heterogenous shape distally. Inferomarginals are smaller than the superomarginals. All bore many spines, at least one primary spine and many secondary spines. The terminal ossicle is round and relatively big, with a granular surface, probably bearing many spines too. At least one row of actinals is present on the specimens studied. The actinals are small, with a cruciform to triangular shape, the abactinal lobe tends to be reduced.

Ambulacrals are compressed, but not as much as in modern Asteriidae. Tube feet are arranged in two rows in the ambulacral groove. The *head* of the ambulacrals are slightly longer than the *shaft*, but symmetrical, the *furrow* on the *shaft* is well marked, and no wings on the ambulacral *bases*. Adambulacrals are also compressed and bear a transverse row of at least 3, maybe 4 spines per adambulacral. Adambulacral spines are conical, long and slender. They are the longest spines present. These long and slender spines are preserved only around the adambulacrals. There are no signs of long primary spines on the actinals or on the inferomarginals. The adoral carina is short and composed of only the two most proximal adambulacrals.

Both straight and cross pedicellariae are preserved in NMB M10705 and M10678.

Straight pedicellariae are similar to straight pedicellariae of extant taxa and are the most visible on the actinal surface of NMB M10678-B. It is possible to recognize two types of crossed

481 pedicellariae. In NMB M10678, small crossed pedicellariae are abundant, especially between 482 around the marginals and the actinals. In NMB M10705, on the other hand, crossed pedicellariae 483 are larger and more robust. 484 485 Remarks. Dermaster boehmi, seems to present two different types of crossed pedicellariae. Only 486 the "robust" crossed pedicellariae were described and illustrated by Hess (1972, Fig. 33, 35-37). 487 D. boehmi is not the only species to present different morphotypes of pedicellariae. For instance, 488 Pisaster ochraceous has two types of straight pedicellariae while Pedicellaster hypernotius has 489 two types of crossed pedicellariae (Fau & Villier, 2020). Even if this is rare among the 490 Forcipulatacea, this is not a unique case of multi-pedicellariae morphotypes. However, until this 491 polymorphism is found in other fossil taxa, this should be regarded as an autapomorphy of D. 492 boehmi. 493 494 Argoviaster occultus Hess, 1972 495 Fig. 6 496 497 1972 Argoviaster occultus Hess p. 27-32, text-fig. 29-30; pl. 9, fig. 2; pl. 10-11; pl. 12, fig.1; pl. 498 13, fig.1; pl. 14, fig.1 499 500 Type specimen. NMB M8977, holotype. 501 502 Type locality. Schinznach, Canton of Aargau, Switzerland; Upper Hauptrogenstein-Formation, 503 Upper Bajocian, Middle Jurassic (Hess, 1972).

a supprimé:

505 506 Material examined. NMB M8977, holotype; NMB M9359, NMB M9362, NMB M9366 NMB 507 M9344/1-2, NMB M9360/1-2, NMB M9361/1-2, NMB NMB M9364/1-2, NMB M10676, 508 paratypes; NMB M9460, NMB M9465, NMB M9469, NMB M9475, NMB M9479, NMB 509 M9480/1-2, NMB M9481, NMB M9482, NMB M9483, NMB M9487, NMB M9489, NMB 510 M9505, NMB M9506/1-2, NMB M9514/1-2. 511 512 Diagnosis (emended from Hess, 1972). Forcipulatacean sea star with five arms. Ambulacrals and 513 adambulacrals compressed. Ambulacral pores quadriserial. Adambulacral with three short spines 514 each. Plates of the arms arranged in longitudinal and transverse rows, with a small papular field 515 at each corner. Body wall ossicles triangular to cruciform, with reduced ornamentation of granules, sometimes with one central psas, and occasionally a central cavity. Straight and 516 517 crossed forcipulate pedicellariae present. 518 519 Description. The holotype, NMB M8977 is a distal part of an arm, showing mostly the 520 ambulacral groove. NMB M9366 is composed of four arms partially preserved in abactinal view, 521 and a few ossicles of the fifth arm still embedded in the matrix. NMB M9362 has five partially 522 preserved arms, the body wall skeleton is mostly missing, so that the oral frame and the 523 ambulacral skeleton can be observed from the inside. 524 The structure of the wall skeleton is visible on one arm of NMB M9366, but because the 525 specimen is flattened, the arms appear larger than they would have been in life. All the skeleton 526 arm plates in NMB M9366 are small and triangular, the surface is finely granulated with no psas. 527 The central plates row is assumed to be the carinal row (Fig. 6A-B), because of its central

position, and because the carinals overlap their abactinal neighbours on each side of the arm. On each side of the carinals, there is at least one row of abactinals, with small plates intercalated in between the abactinal and carinal rows. All arm plates series are similar in shape and size and it is difficult to differentiate abactinal plates from the marginal plates. There are possibly one row of superomarginals and one row of inferomarginals on each side of the arm. The second-best preserved arm of NMB M9366 shows part of the carinal row proximally, overlapping some abactinals. The rest of the carinals and abactinals have been removed, exposing the ambulacrals, adambulacrals and some actinals and maybe inferomarginals that are cut transversally (Fig. 6A-B). There are at least 3 or 4 rows of actinals. Wall skeleton plates in NMB M9362 look different in shape compared to the triangular plates observed in NMB M9366, but the differences could be explained by the different views offered by the two specimens. Ambulacrals and adambulacrals are easily recognizable in NMB M9362 (Fig. 6C-D), but the wall skeleton plates are more difficult to recognise because they were scattered by taphonomic disarticulation. Hess (1972) recognized difficulties in the identification of superomarginals in M9362. Assuming that the wall skeleton plates of A. occultus follow the Forcipulatid Plating Rules (Gale, 2011a), the homologies of the actinal, inferomarginal, superomarginal, abactinal and carinal series can be recognized from their relative position, shape and number of articulation areas. As the body wall plates overlap one another, they present a number of articulation areas on their external and internal faces. When looking at the internal faces, carinals and superomarginals should exhibit three articulation areas, the inferomarginals only two, and the actinals and abactinals one or two. Carinals are cruciform,

abactinals seems to be triangular in radial cross-section, or rod-like in actinal view.

Superomarginals are also cruciform, but higher than long, with a well-developed actinal lobe.

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Inferomarginals on the contrary have a more developed abactinal lobe. Actinals seems to be more or less cruciform. Two rows of actinals are visible in the holotype. They strongly overlap each other and bear one *psas* per plate (Fig. 6E). All three specimens have wall skeleton plates with a central cavity, which is a unique feature in the Forcipulatacea.

Ambulacrals are highly compressed and gently curved to accommodate four alternate tube feet rows in the ambulacral groove. The ambulacral crest is tilted proximally. There is no wing on the ambulacral bases. A furrow is present and well-marked on the ambulacral shaft. The adambulacrals are compressed as well and bear 3 spines each.

The specimen M9362 partially exhibits the oral frame ossicles. Only the *ramus* of the orals is visible. Several spines are preserved in the center of the circle formed by the oral frame, but it is not possible to count or estimate the number of spines per orals. First ambulacrals have a shape similar to modern Asteriidae with a long head, and a long, well-developed, but not high, proximal process. The odontophores are square and were probably connected to both the orals and first ambulacrals with the articulation areas *poda* and *doda* clearly separated. The crater seems to be present.

Pedicellariae were not found in NMB M9362. In NMB M9366, Hess (1972) described remains of pedicellariae, scattered between the body wall ossicles. In the holotype, both straight and crossed forcipulate pedicellariae are present along the ambulacral groove (Fig. 4.7).

**Remarks.** There is no mention of crossed pedicellaria in the original description of the species by Hess (1972), but they are present at least in the holotype. In addition, the present description provides more detail about the structure of the body wall skeleton (Fig. 6).

574 Germanasterias amplipapularia Blake, 1990 575 Fig. 7 576 1990 Germanasterias amplipapularia Blake p. 103-123, fig. 1-2 577 2011 Germanasterias amplipapularia Gale p. 57 578 579 Type specimen. SMNS 18869a-b. 580 581 Type locality. Göppingen, Baden-Württemberg, Germany; Schlotheimia angulata Zone, late 582 Hettangian, Early Jurassic (Blake, 1990). 583 584 Material examined. SMNS 18869a-b, holotype. 585 586 Diagnosis (emended from Blake, 1990). Forcipulatacean with body wall skeleton consisting of a 587 carinal series, one row of abactinals (and associated smaller plates) on each side of the carinal 588 series, two marginal and three actinal series. Carinals and marginals alternating between spine 589 bearing and non-spine bearing plates. Only one large primary spine per carinal or marginal with 590 spines. Abactinals digitate, arranged in regular transverse and longitudinal rows and separated by 591 relatively large papular area. Ambulacrals compressed, podial pores quadriserial. Adoral carina 592 composed of the first 4 to 5 adambulacrals. Adambulacrals compressed, bearing 4 spines each. 593 Straight duck billed pedicellariae present on abactinal surface only, very abundant. 594 595 Remarks. For complete description, see Blake (1990). Blake (1990) described the adambulacrals 596 of Germanasterias amplipapularia as weakly carinate proximally. In the literature,

597	adambulacrals of forcipulatacean sea stars are described as carinate if they possess an adradial
598	extension (Fau & Villier, 2018). Alternate carinate and non carinate adambulacrals are a
599	synapomorphy of the Zoroasteridae (Fau & Villier, 2020). Some other forcipulatacean taxa (e.g.,
600	Heliaster, Asterias) can have alternating sized adambulacrals, with generally the larger sized
601	adambulacrals bearing one more spine than the smaller sized adambulacrals. However different
602	sized adambulacrals cannot be considered homologous with the adradial extension of the
603	Zoroasteridae. The scoring of G. amplipapularia for phylogenetic study follows the scoring
604	system of Fau & Villier (2020), considering carinate adambulacrals absent on both $G$ .
605	amplipapularia and H. hettangiurnus.
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607	Hystrixasterias hettangiurnus Blake, 1990
608	Fig. 8
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610	1990 Hystrixasterias hettangiurnus Blake p. 103-123, fig. 3-4
611	2011 Hystrixasterias hettangiurnus Gale p. 57-58, text-fig. 24D-G
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613	Type specimens. NMB M9682, holotype; NMB M9681, NMB M9684-8, paratypes.
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615	Type locality. Schechingen, Baden-Württemberg, Germany; Hettangian (Formation unknown),
616	Early Jurassic (Blake, 1990).
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618	Material examined. NMB M9682, holotype; NMB M9684, NMB M9686

619 NMB M9687, NMB M9688, paratypes. Specimen NMB M9685 was missing from the NMB 620 collections, and assume to be lost. 621 622 Diagnosis (emended from Blake, 1990). Forcipulatacean sea star with body wall skeleton 623 consisting of carinals bordered on each side by up to three rows of abactinals, two rows of 624 marginals and two to three rows of actinals. Abactinals arranged in regular transverse and 625 longitudinal rows. Ambulacral moderately compressed, podial pores quadriserial. Adoral carina 626 composed of the first 3 adambulacrals. Adambulacrals with transverse series of four prominent 627 spines. Straight forcipulate pedicellariae present. Straight pedicellariae differentiated in two 628 types: clam-shaped pedicellariae present on abactinal surface only and duck billed pedicellariae 629 present on actinal surface. 630 631 Remarks. For complete description, see Blake (1990). 632 Hystrixasterias hettangiurnus possess two different morphotypes of straight pedicellariae, 633 relatively robust clam shell pedicellariae as illustrated by Gale (2011a, text-fig. 24D-G), and 634 modern-like duck billed pedicellariae (Fig. 8 C-D). H. hettangiurnus lacks keyhole-shaped psas 635 (synapomorphy of the Asteriidae) and possess a madreporite that is not fused with an interradial 636 (synapomorphy shared by the Asteriidae and the Stichasteridae). 637 Blake (1990) described the adambulacrals of *H. hettangiurnus* and *Germanasterias* amplipapularia as weakly carinate respectively medially and proximally. Adambulacrals of H. 638 639 hettangiurnus are not here considerate carinate (see remarks for Germanasterias 640 amplipapularia).

#### Results

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The main clades recovered in both the maximum credibility clade (MCC) tree (Fig. 9) and the 50% majority rule consensus (MRC) tree (Fig. 10) are: Brisingida, Zorocallida, Stichasteridae and Asteriidae (Fau & Villier, 2020; 2023). Terminaster cancriformis is found to be sister taxa to the Zoroasteridae in both the MCC tree and the majority rule consensus tree, retrieving the clade Zorocallida which is consistent with the results in Fau & Villier (2023). The position of Hystrixasterias hettangiurnus, Germanasterias amplipapularia, Forbesasterias gaveyi gen. nov.,

Commenté [ST15]: + Heliasteridae and Zoroasteridae

Commenté [ST17]: unresolved

Commenté [ST16]: Is it not the case of V guerangeri?

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Dermaster boehmi and Psammaster davidsoni are found to be uncertain, but deeply rooted at the

base of the Forcipulatida clade (Fig. 10). The position of P. davidsoni is compatible with the

previous phylogenetic analysis sampling this taxon by Fau et al. 2020. Dermaster boehmi and

Psammaster davidsoni are well supported as sister taxa (posterior probability = 0.75) (Fig. 10).

Our results do not support F. gaveyi, G. amplipapularia and H. hettangiurnus as members of the

family Asteriidae. Argoviaster occultus and Marbleaster spiniger are found to be the most

derived of the Jurassic taxa reappraised here, as sister taxa to the Forcipulatida (Fig. 9).

Commenté [ST18]: they rather seem to belong to the Forcipulatida

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Commenté [ST19]: + the 5 other basal taxa

the extant species Pedicellaster hypernotius and the extinct taxa Argoviaster occultus, Marbleaster spiniger and Pegaster stichos (Fig. 10). Although the phylogenetic positions of these taxa are poorly supported (Fig. 9, 10), our results indicate none of the three extinct species

The Forcipulatida is composed of the clade Asteriidae + Stichasteridae, the Heliasteridae,

belong to any extant families. Both P. stichos and A. occultus have been compared to the extant

Neomorphaster and placed in the subfamily Neomorphasterinae (now synonymized with

Stichasteridae; Hess, 1972; Blake & Peterson, 1993). However, our results do not support either

of them as stichasterids. Cretasterias reticulatus Gale & Villier, 2013 is found to be the sister

Commenté [ST20]: Is it worth keeping these nodes with so low support values ?

taxa to the clade formed by all extant asteriids. This position is compatible with either its inclusion within the family Asteriidae or to be interpretated as a stem-Asteriidae. *Cretasterias reticulatus* possesses 4 out of the 6 synapomorphies proposed by Fau & Villier (2020): char. 28 ambulacral with strongly arched abactinal profile; char. 29 the muscle insertion *lim* represent more than 40% of the ossicle height and finishing under the *actam*; char. 68. Round *psas* absent on the inferomarginals; char. 111. Wreath organ present. However, *C. reticulatus* does not have any differentiated abactinals, which are the last two synapomorphies of the extant family (char. 80 abactinals differentiate with at least two level of plates; and char 82. abactinals, intercalary inter-arc ossicles present).

## **Discussion**

The Asteriidae Gray, 1840 was the first named family in the Forcipulatacea, initially including all known forcipulataceans. The <u>number of</u> species assigned to this family have greatly changed over time, as it was progressively split into several families. Fisher (1928, 1930) placed many species into the family Asteriidae, including taxa that are currently classified in the families Pedicellasteridae and Stichasteridae. Fisher's classification was followed by many authors (e.g., Clark & Downey, 1992; Mah, 2000), until phylogenetic analysis, based on molecular data, radically changed the circumscription of the Asteriidae (Mah & Foltz, 2011a). Mah & Foltz's (2011a) phylogenetic hypothesis supports a restricted definition of the Asteriidae. The Asteriidae and the Stichasteridae were retrieved as two distinct clades, and the family Pedicellasteridae as polyphyletic (Mah & Foltz, 2011a). Even in its current and restricted definition, the Asteriidae is still the most diverse family of all living forcipulatacean,

representing half of the species diversity of the group (Mah & Blake, 2012). The phylogenetic hypothesis proposed by Fau & Villier (2020) based on morphological characters showed high congruence with Mah & Foltz (2011a). Six morphological synapomorphies were found for the clade Asteriidae (Fau & Villier, 2020): (i) a strongly arched abactinal profile of the ambulacrals, (ii) a long muscle insertion *lim* that finishes under the *actam*, (iii) the absence of round *psas* on the inferomarginals (spines attaching on keyhole-shaped *psas*, instead), (iv) abactinals differentiate with at least two distinct plate shapes, (v) the presence of intercalary inter-arc abactinals, and (vi) the presence of wreath organs. Only the presence of wreath organs is a non-ambiguous synapomorphy. In the literature, the early Jurassic *F. gaveyi*, *M. spiniger*, *H. hettangiurnus* and *G. amplipapularia* have all been considered, at some point, as members of the family Asteriidae (Blake, 1990; Blake 2002). Our results no longer support them as members of the clade Asteriidae (Fig. 9, 10).

# Early Jurassic forcipulataceans

The three early Jurassic taxa *F. gaveyi*, *H. hettangiurnus* and *G. amplipapularia*, have been interpreted as asteriids, mostly due to their highly compressed ambulacrals and adambulacrals. Wright (1863-1880 p. 101) wrote about *F. gaveyi*: "The structure of the ambulacral skeleton, which is so admirably preserved in this fossil, removes all doubt as to its true generic position and affinities". Blake (1990) compared *H. hettangiurnus* and *G. amplipapularia* to the Zoroasteridae and Asteriidae, stating that they are 'intermediate in many ways" (p. 104), but still concluded that they were both of asteriid affinities. At the time of Blake's (1990) publication, the family Asteriidae was not as restricted as it is today and

Commenté [ST21]: Asteriidae ?

comprised the subfamilies Stichasteridae and Pedicellasteridae. Therefore, Blake's (1990) assumption of the phylogenetic position of *H. hettangiurnus* and *G. amplipapularia* is actually congruent with our results.

The phylogenetic positions of *F. gaveyi*, *H. hettangiurnus* and *G. amplipapularia* in the analysis suggest a new evolutionary history of the group. None of them possess any of the synapomorphies of the Asteriidae as proposed by Fau & Villier (2020). Instead, they exhibit a mix of plesiomorphic characters of the Forcipulatida and derived characters. Noticeable derived characters shared by *F. gaveyi*, *H. hettangiurnus* and *G. amplipapularia* are the absence of *wings* on the ambulacrals (character 35) and the high level of compression of the adambulacrals (character 42). *F. gaveyi*, *H. hettangiurnus* and *G. amplipapularia* possess the following plesiomorphic characters: (i) they have madreporites that are neither fused or imbricated with a primary interradial (character 114, not applicable in *F. gaveyi*), and (ii) they do not have any crossed pedicellariae. We cannot exclude the hypothesis that the absence of crossed pedicellariae could be a taphonomic bias, as crossed pedicellariae are, in general, smaller than straight pedicellariae. The absence of crossed pedicellariae could be a plesiomorphic characters within the Forcipulatacea, as they are also absent in the Zorocallida, or it could be a convergent loss, as crossed pedicellariae are present in other closely related Jurassic taxa, such as *P. davidsoni*, *D. boehmi*, and *M. spiniger*.

# Psammaster davidsoni and Dermaster boehmi

The Middle Jurassic *D. boehmi* and the Late Jurassic *P. davidsoni* are found to be sister taxa, and to be part of a polytomy in the 50% MRC tree (Fig. 10) along with the Early Jurassic

taxa. They share with the Early Jurassic taxa the following characters: numerous adambulacral spines (four spines per adambulacral; character 50) and numerous actinal rows (at least three actinal rows, character 56). Few extant forcipulatids possess more than 3 adambulacral spines (a notable exception is the asteriid genus *Perissasterias*, which possesses up to 7 adambulacral spines). It is thus noticeable that all the Jurassic forcipulatids analyzed here possess 3 to 4 adambulacral spines, grossly arranged in a transverse row, which could be the plesiomorphic condition.

P. davidsoni and D. boehmi share with G. amplipapularia the following plesiomorphic characters: presence of secondary spines on the abactinal skeleton (characters 62, 70 and 93), and presence of only one row of abactinals between the superomarginals and the carinals (character 79). The presence of secondary spines is shared with Zorocallida. The presence of only one row of abactinals is a plesiomorphic character, shared with Labidiaster annulatus, Pedicellaster hypernotius and Zorocallida, and convergent in the stichasterid Neomorphaster forcipatus.

#### Argoviaster occultus and Marbleaster spiniger

The Jurassic A. occultus and M. spiniger are found to be with the Cretaceous Pegaster stichos higher in the tree, and belong without any doubt to the Forcipulatida. The close relationship of A. occultus, M. spiniger and P. stichos to the Forcipulatida is supported by characters 26 (average compression of the ambulacrals) and 28 (ambulacral arch slightly concave). Average compression of the ambulacrals is shared by all forcipulatid and G. amplipaluaria, H. hettangiurnus, F. gaveyi, D. boehmi, and P. davidsoni. No complete ambulacrals were visible, thus character 28 was scored (1) slightly arch, or (2) strongly arch for

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both *A. occultus* or *M. spiniger*, pending check on availability of better-preserved fossils. Both *A. occultus* and *M. spiniger* possess ambulacrals with small proximal tilting of the ambulacral's head in the proximal directions (character 31). A small proximal tilting of the ambulacral's head is a plesiomorphic character with most forcipulatid having rather pronounced proximal tilting.

Keyhole-shaped *psas* have been found on the actinals in *M. spiniger* (character 120) and are also found on the actinals and inferomarginals of extant asteriids and sitchasterids. Round *psas* are also present on the inferomarginals of stichasterids but absent in asteriids. The presence of a keyhole-shaped *psas* on the actinals of *M. spiniger* supports a derived position.

Of the Jurassic taxa, only *A. occultus*, *M. spiniger*, and *D. boehmi* possess both crossed and straight pedicellariae. Only straight pedicellariae have been observe in *F. gaveyi*, *H. hettangiurnus* and *G. amplipapularia*, and only crossed pedicellaria have been found in *P. davidsoni*. However, the absence of straight pedicellariae in *P. davidsoni* is likely to be a preservation bias (Fau et al., 2020). Except for *Cretasterias reticulatus* (Gale & Villier, 2013), there is no evidence of the presence of wreath organs in any of the fossil taxa included here, which is the only non-ambiguous synapomorphies of the Asteriidae. Wreath organs are a concentration of crossed pedicellariae around some spines with dedicated muscles allowing the wreath of pedicellariae to move up and down the spines (Lambert et al., 1984). Thus, none of the Jurassic taxa can be assigned to crown Asteriidae.

#### Other Jurassic forcipulataceans and their evolutionary significance

Our phylogenetic analysis agrees with the suggestions of Gale (2011a) and Mah & Foltz (2011a) that early Jurassic "asteriids" are not true Asteriidae. Our investigation suggests that

783 crown Asteriidae were not yet present by the Early Jurassic. Historically, many fossil forms were 784 described as Asterias or synonyms of it during the late 19th and early 20th century, including 785 Asteracanthion oolithicum Terquem and Jourdy 1869 (Bathonian), Asterias ranvillensis Porte 786 1927 (Bathonian), and Asterias delongchampsi Morière 1878 (Oxfordian). Their assignment to the genus Asterias appears unlikely, in view of the phylogeny of the Forcipulatacea, and they 787 788 need to be reappraised in the future. Asterias? dubium Whitfield 1877 (Jurassic) is a species 789 based on very poor material that do not allow for observation of morphological characters (Clark 790 & Twitchell, 1915), and is unlikely to represent the genus Asterias either (Clark & Twitchell, 791 1915; Whitfield, 1877; Whitfield, 1880). Two additional Jurassic fossil forms have been recently 792 interpreted as members of the Asteriidae, Savignasterias villieri Gale, 2011b from the Oxfordian 793 of France and Polarasterias janusensis Rousseau & Gale, 2018 (in Rousseau, Gale & Thuy, 794 2018) from the Tithonian of central Spitsbergen. Although Savignasterias villieri and P. 795 janusensis were not included in this analysis, absence of all crown Asteriidae synapomorphies, 796 as outlined here, challenges these classifications. Savignasterias villieri is known only from 797 isolated body wall ossicles, the shape of which leaves no doubt regarding its forcipulatid 798 affinities. Keyhole-shaped psas are present in M. spiniger, the Asteriidae, the Stichasteridae and 799 the genus Heliaster but they are missing in S. villieri (Gale, 2011b). No adambulacral, 800 ambulacral or oral frame ossicles were described. The material remains too incomplete to assess 801 the species' phylogenetic position more clearly. Rousseau, Gale & Thuy (2018) discussed the 802 affinities of P. janusensis and concluded that even though no crossed pedicellariae have been 803 found and the unusual arrangement of the body wall skeleton for extant asteriids, P. janusensis 804 should be considered an asteriid because of its relatively short terminal ossicles, the strongly 805 quadriserial arrangement of the tube feet, the morphology of its oral ossicles and basal piece of

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straight pedicellariae. Although this character combination can be found in Asteriidae, they are also found in other forcipulatid groups such as the Stichasteridae or the polyphyletic family Pedicellasteridae, and none of the listed characters were found to be synapomorphies of the Asteriidae here or by Fau & Villier (2020). A phylogenetic reappraisal of both *S. villieri* and *P. janusensis* is still required but is beyond the scope of the current paper.

Blake & Guensburg (2016) reported a new fossil Stichasteridae from the Oxfordian Swift Formation of Montana, *Atalopegaster gundersoni*. Unfortunately, the fossil's preservation does not permit a detailed description. Blake & Guensburg, 2016 placed it within the family Stichasteridae stating: "Based on overall shape, ossicular expression, and fusion of the arms, *Atalopegaster* is aligned with *Neomorphaster* and the fossil genera *Argoviaster* Hess, 1972, and *Pegaster* Blake and Peterson, 1993, in the Stichasteridae *sensu* Mah and Foltz (2011b)" (Blake & Guensburg, 2016; p. 1161). Unfortunately, a more detailed investigation of the phylogenetic position of *A. gundersoni* is not easily attempted owing to the poor preservation of the limited number of specimens recovered to date.

### Cretaceous and younger forcipulataceans taxa

Only six forcipulataceans species have been described from the Cretaceous to date, among which three belong to the Zorocallida: *Protothyraster priscus* de Loriol, 1874, *Alkaidia sumralli* Blake & Reid, 1998 and *Alkaidia megaungula* Ewin & Gale 2020 (Fau & Villier, 2023). The three other forcipulataceans known to date are *Afraster scalariformis* Blake et al., 1996, an assumed "pedicellasterid" from the Coniacian of Angola, the stichasterids *Pegaster stichos* Blake & Peterson, 1993 from the Campanian of the USA, and the asteriid *Cretasterias reticulatus* Gale

& Villier, 2013 from the Maastrichtian of Morocco. The oldest extinct taxa known to date to present evidence of crossed pedicellariae arranged in wreath organs, an important synapomorphy of the Asteriidae, is C. reticulatus (Gale & Villier, 2013). However, keyhole-shaped psas are restricted to the actinals in C. reticulatus (Gale & Villier, 2013; personal observations), whereas the presence of keyhole-shaped psas on the inferomarginals only, is one of the synapomorphies of the extant Asteriidae. New observations of Afraster scalariformis have shown evidence for preserved pedicellariae, both straight and crossed pedicellariae, and keyhole-shaped psas on inferomarginals, questioning its systematic position among the family Pedicellasteridae (MF personal observations). Investigation of the phylogenetic position of Cretaceous forcipulatacean sea stars is therefore needed to better understand the origin and diversification of the modern families. Brisingids fossil record is almost nonexistent, with only one occurrence known to date, Hymenodiscus, from the Miocene of Japan (Yamaoka, 1987). Mah & Foltz (2011a) argued upon a late diversification of the Brisingida, because of their derived phylogenetic position and their relatively young fossil record. Morphology-based phylogenies usually fail at recognizing a derived position of the Brisingida within the Forcipulatacea (Gale 2011a; Fau & Villier, 2020). A reappraisal of Cretaceous and Cenozoic forcipulataceans are therefore needed to understand the complex evolutionary history of this group.

## Conclusion

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We taxonomically reevaluated six fossil taxa, placed these species in a phylogenetic context, and constructed an evolutionary timeline for major diversification events in the history

852 of the Forcipulatacea. Our results provide substantial evidence for a delayed origination of the 853 family Asteriidae. The combined phylogenetic analysis of fossil and extant taxa suggests that the 854 Jurassic forms exhibited unique combinations of characters that distinguish them from the extant 855 families or genera they were previously assigned to and are characterized by intermediate 856 morphological characters. This also implies a progressive acquisition of characters leading to the 857 extant crown group families after the Jurassic. None of the eight Jurassic species analyzed here 858 were placed within any of the extant families, but instead represent parts of stem-groups. The 859 clade comprising the Asteriidae and Stichasteridae has no fossil record before the Late 860 Cretaceous. The absence of known Jurassic asteriids suggest a Cretaceous or even younger origin 861 for the clade, and phylogenetic divergence dating provides evidence in favor of a delayed 862 origination and diversification of this major clade. Understanding the timing and pace of diversification of the Asteriidae is of great interest to understanding recent biogeographical 863 patterns and the undeniable evolutionary success of the family. Accumulation of the crown-864 865 group characters during the Mesozoic was more progressive than formerly accepted. Moreover, 866 our results contradict the idea of a rapid diversification of the Forcipulatacea during the Triassic 867 or the earliest Jurassic.

Commenté [ST24]: I would rather use "suggest" or write that there is no fossil evidence of an early evolution of the family: the fact that the analyzed fossils do not belong to the family does not mean that the family had not evolved yet (new fossils may be found in the future).

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## **Acknowledgments**

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