

# Deciphering the influence of evolutionary legacy and functional constraints on the patella: a case study in modern perissodactyls (#96991)

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# Deciphering the influence of evolutionary legacy and functional constraints on the patella: a case study in modern perissodactyls

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In mammals, the patella is the biggest sesamoid bone of the skeleton and is of crucial importance in posture and locomotion, ensuring the role of a pulley for leg extensors while protecting and stabilizing the knee joint. Despite its central biomechanical role, the relation between the shape of the patella and functional factors, such as body mass or locomotor habit, in the light of evolutionary legacy are poorly known. Here, we propose a morphofunctional investigation of the shape variation of the patella among modern perissodactyls, an order displaying a broad range of body plan, body mass and locomotor habits, to understand how the shape of this sesamoid bone varies between species. Our investigation, relying on three dimensional geometric morphometrics and comparative analyses, reveals that, both within and between the three perissodactyl families (rhinoceroses, equids, tapirs), the patellar shape is more conservative than initially expected. Within families, especially Rhinocerotidae, the shape of the patella strongly follows the phylogenetic affinities rather than variations in body mass. Ontogeny has an impact on the shape as well, being more homogeneous between subadult individuals than between adults. The development of a medial angle, engendering a strong mediolateral asymmetry of the patella, appears convergent in rhinoceroses and equids, while tapirs retain a symmetric bone close to the plesiomorphic condition of the order. This asymmetric patella is likely associated with the presence of a “knee locking” mechanism in both equids and rhinos. The emergence of this condition may be related to a shared locomotor habit (transverse gallop) in both groups. Our investigation underlines unexcepted evolutionary constraints on the shape of a sesamoid bone usually considered as mostly driven by functional factors.

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## 20 Abstract

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# Introduction

In vertebrates, the shape of the skeleton is strongly influenced by different factors like structural, functional, developmental constraints, and evolutionary legacy (Seilacher, 1970, 1991; Gould, 2002). Limb bones, which fulfil various essential functions like body support and locomotion in quadrupeds, are particularly influenced by functional constraints. Variations of body plan, body mass or locomotor habits are indeed known to induce changes in the shape of limb elements (Hildebrand, 1974; Polly, 2007; Biewener & Patek, 2018), as it has been observed in the limb long bones of many clades of tetrapods (see for example Fabre et al., 2013; MacLaren & Nauwelaerts, 2016; Etienne et al., 2020a; Serio, Raia & Meloro, 2020). However, this intense exploration of form-function relationships focused mainly on limb long bones, smaller elements like sesamoid bones remaining poorly studied. Sesamoids are small concentrations of bone generally embedded in dense connective tissue such as tendons or ligaments. They are assumed to increase leverage for muscles and tendons attached to them, while protecting the joints with which they are associated (Vickaryous & Olson, 2008). The emergence of sesamoids in some joints is considered as directly linked to variations in mechanical loads, making them likely to carry a strong functional signal (Vickaryous & Olson, 2008; Eyal et al., 2019).

The patella is the biggest sesamoid bone of the skeleton (Samuels, Regnault & Hutchinson, 2017). Long considered to form within the distal quadriceps tendon, recent findings proved that the patella arises as a bony process at the anterodistal surface of the femur (Eyal et al., 2015, 2019). The patella is later embedded into the quadriceps tendon and articulates with the femur by sliding onto the distal trochlear groove. Onto the patella attach the different ends of the *m. quadriceps* as well as the *m. gluteobiceps*, all being powerful knee extensors (Barone, 2010a; Samuels, Regnault & Hutchinson, 2017; Etienne, Houssaye & Hutchinson, 2021) (Figure 1). The patella is also connected to the tibia through the patellar tendon, and additional lateral and medial tendons (Barone, 2010a; Samuels, Regnault & Hutchinson, 2017). The main functional role of the patella is to modify the lever arm of the *m. quadriceps*. By moving the quadriceps tendon away from the centre of rotation of the knee joint, the patella acts as a pulley and reduces the muscular energy required to extend the leg, while increasing the velocity of the rotation (Aglietti & Menchetti, 1995; Allen et al., 2017). In mammals, the patella also centralizes the forces coming from the four heads of the *m. quadriceps* into a single point of application and transmits them without friction to the tibial tuberosity. In addition, the patella acts as a protective element for the knee joint (Aglietti & Menchetti, 1995).

Given all these complementary functional roles, the patella therefore constitutes a crucial part of the knee and of the hindlimb as a whole. This functional importance led to various works that explored the biomechanical involvement of the patella in the hindlimb movements and its mechanical advantage, mostly in humans and related hominoids (Bizarro, 1921; Ellis et al., 1980; Aglietti & Menchetti, 1995; Lovejoy, 2007; Dan et al., 2018; Pina et al., 2020; Schneider, Rooks & Besier, 2022). These aspects have been rarely addressed in other groups of amniotes (Alexander & Dimery, 1985; Chadwick et al., 2014; Allen et al., 2017), most of the studies focusing more on the evolutionary relevance of the presence or absence of the patella (Jerez, Mangione & Abdala, 2010; Ponssa, Goldberg & Abdala, 2010; Corina Vera, Laura Ponssa & Abdala, 2015; Abdala, Vera & Ponssa, 2017; Samuels, Regnault & Hutchinson, 2017). While the inner bony structure of the patella has sometimes been explored in regards to functional constraints (Raux et al., 1975; Toumi et al., 2006; Houssaye, Perthuis & Houée, 2021), the variation of its external shape, considered as relatively conservative in amniotes (Samuels, Regnault & Hutchinson,

2017), remains largely understudied. Only a few studies tried to investigate the form-function relationships at a larger interspecific scale, mostly in mammals (Valois, 1917; Raymond & Prothero, 2012; Pina et al., 2020; Garnoeva, 2022). Therefore, the variation of the shape of the patella in relation with functional constraints remains virtually unknown in amniotes.

In this context, we chose to explore the shape variation of the patella in modern perissodactyls (within and between rhinoceroses, equids, and tapirs), and to investigate its relations with functional (body mass, locomotor habit) factors within a phylogenetically informed framework. The order Perissodactyla, despite a limited number of living species, presents a diversity of body mass, body plan, ecological affinities and locomotor habits that make this group well suited for a morphofunctional investigation of the patella. Modern rhinoceroses inhabit various environments from open savannas to dense rainforests, associated with diverse feeding strategies, from specialized grazers to generalist browsers (Dinerstein, 2011). These three-toed animals constitute the second heaviest land mammal group after elephants (Alexander & Pond, 1992), and contrary to them, show great variations of body mass (BM) among the five living species: from 600 kg for *Dicerorhinus sumatrensis* (Fischer, 1814) up to 3,500 kg for *Ceratotherium simum* (Burchell, 1817). Body mass in rhinos can vary strongly between males and females in some species (Zschokke & Baur, 2002; Dinerstein, 2011), as well as their body plan, from long-legged black rhino *Diceros bicornis* to short-legged white rhino *C. simum*. This diversity of body construction and ecological preferences makes them a particularly well-suited group for studying the link between patellar shape and functional factors. Modern perissodactyls also comprise seven species of equids and four of tapirs. These two clades show less intragroup variations of size and body plan. Tapirs are forest-dwellers weighting up to 400 kg (Medici, 2011) and retaining some plesiomorphic characters of the order Perissodactyla in their limbs, such as a tetradactyl manus and a tridactyl pes (MacLaren & Nauwelaerts, 2016, 2017). At the opposite, equids, weighting up to 600 kg, show a highly derived limb structure adapted for running and living in open habitats, with monodactyl manus and pes (Rubenstein, 2011). These three families also show a gradient of stature and proportions in their limb construction, from cursorial equids to “mediportal” tapirs and graviportal rhinos, likely to be associated with differences in patellar shape (Eisenmann & Guérin, 1984). Recent advances in functional morphology underlined how body mass could drive – or not – the shape of limb bones in perissodactyls (MacLaren & Nauwelaerts, 2016, 2017; Hanot et al., 2017, 2018; MacLaren et al., 2018; Mallet et al., 2019, 2020, 2021, 2022; Etienne et al., 2020b), but without consideration for the patella.

Moreover, some perissodactyls (equids and rhinoceroses) are known to possess a “knee locking” mechanism in their hindlimb: they are able to lock the patella and the medial patellar tendon above the medial trochlear ridge of the distal femur (Hermanson & MacFadden, 1996; Schuurman, Kersten & Weijs, 2003). Doing so, the natural flexion of the limb that could be engendered in relation with body weight becomes impossible, allowing to save muscular energy for long periods of standing. Long considered as passive, *in vivo* experiments demonstrated that this mechanism does require muscular energy, even though far much less than would be needed without this apparatus (Schuurman, Kersten & Weijs, 2003). Broadly studied in domestic equids for veterinary purposes, this locking mechanism is less documented *in vivo* in rhinos and only inferred through the shape of the distal femur but not directly through that of the patella (Shockey et al., 2008; Danaher, Shockey & Mhlbachler, 2009; Janis et al., 2012; Mhlbachler et al., 2014). A recent investigation on the microanatomy of the patella in modern perissodactyls highlighted microanatomical changes related to different functional factors, notably the presence of the knee locking mechanism (Houssaye, Perthuis & Houée, 2021). But a similar comparative



investigation remains to be conducted for deciphering how functional factors are related to the external shape of the patella in this group.

For all these reasons, we chose to explore both intra- and interspecific variation of the shape of the patella among modern rhinoceroses, and to compare it with tapirs' and equids', in order to shed light on how this crucial sesamoid bone is influenced by functional constraints and evolutionary legacy among Perissodactyla. We relied on a 3D geometric morphometrics approach to investigate the form-function relationships on the patella. Given previous results on the shape variation of hindlimb long bones (Mallet et al., 2019, 2020) and on the inner structure of the patella (Houssaye, Perthuis & Houée, 2021) in modern rhinos, we hypothesize: (1) higher interspecific than intraspecific variation of the shape of the patella in rhinos; (2) a main influence of body mass on interspecific variation despite strong phylogenetic affinities; (3) more marked differences between rhinos and tapirs than between rhinos and equids, given the shared presence of a knee locking mechanism (Schuurman, Kersten & Weijs, 2003; Shockey et al., 2008); (4) this mechanism being nevertheless associated with shape differences in these two latter groups given the differences in body plan, body mass, locomotor habits and phylogenetic affinities.

# Material and Methods

## Studied sample

We selected a sample composed of 65 patellae of modern perissodactyls housed in eight institutions (American Museum of Natural History, New York, USA; Powell Cotton Museum, Birchington-on-Sea, UK; Idaho Museum of Natural History, Pocatello, USA; Muséum National d'Histoire Naturelle, Paris, France; Museum of Vertebrate Zoology, Berkeley, USA; Natural History Museum, London, UK; NMB: Naturhistorisches Museum Basel, Basel, Switzerland; Institute of Natural Sciences, Brussels, Belgium) and representing the five modern species of rhinos (38 patellae), together with four modern species of tapirs (12 patellae) and 7 species (9 subspecies) of modern equids (15 patellae) (Table 1, Figure 2). The sample includes 29 males, 17 females and 19 specimens without sex attribution. It involves 50 adults, 13 subadults or juveniles and 2 specimens of unknown age (see Table 1 for details). Because of the higher intrafamilial diversity of rhinos, we chose to focus first on this group. We performed our analyses successively on three subsamples: a) adult rhinoceroses only, b) all rhinos including young specimens, and c) all rhinos, equids, and tapirs. All anatomical terms follow classic anatomical and veterinary works (Federative Committee on Anatomical Terminology, 1998; Barone, 2010b), and are given in Figure 3.

## 3D models

Patellae were mostly digitized using a structured-light three dimensional scanner (Artec Eva) and reconstructed with Artec Studio professional (v12.1.1.12 – Artec 3D, 2018). Twelve specimens were scanned using a Creaform HandySCAN 300 laser surface scanner and reconstructed using the VX Models software (Creaform, USA). Nine patellae were scanned using high-resolution computed tomography at the micro-CT laboratory of the Natural History Museum, London, UK (Nikon HMX 225 ST system), and six at the AST-RX platform at the Muséum National d'Histoire Naturelle, Paris (UMS 2700; GE phoenix|X-ray v|tome|xS 240), with reconstructions performed using the CT-agent software (Nikon Metrology, Leuven, Belgium), and DATOX/RES software (phoenix datos|x). Bone surfaces were extracted as meshes using Avizo 9.4 (Thermo Fisher Scientific, 2018). Three specimens were retrieved on the online deposit MorphoSource (see Table 1 for details). Only right bones were selected for digitization; when unavailable, we selected left bones instead and mirrored the 3D models before analysis using MeshLab (v2022.02 – Cignoni *et al.*, 2008). ARK identifiers of each 3D model are provided in Supplementary Table T1.

## 3D geometric morphometrics

We analysed the shape variation of our sample using a 3D geometric morphometrics approach. This widely used methodology allows to quantify and visualize the morphological differences between objects by comparing the spatial coordinates of landmarks placed on them (Adams, Rohlf & Slice, 2004; Zelditch *et al.*, 2012; Mitteroecker & Schaefer, 2022). The shape of the patella was quantified by placing a set of anatomical landmarks and **curve and sliding semi-landmarks** on the meshes, as described by Gunz, Mitteroecker, & Bookstein (2005) and Botton-Divet *et al.* (2016). Anatomical landmarks and curves were placed on meshes using the IDAV Landmark software (v3.0 – Wiley *et al.*, 2005). We defined **6** anatomical landmarks associated with 2 curves allowing to cover the shape diversity of the patella in modern perissodactyls (Figure 3). We evaluated the relevance of these anatomical landmarks to describe the shape by conducting repeatability tests. We considered three specimens of *Ds. sumatrensis* chosen to display the closest morphology. We successively digitized ten times the anatomical landmarks on the

three specimens to obtain thirty replications of the landmark datasets. We superimposed these landmark configurations using a Generalized Procrustes Analysis (GPA, see below) then performed a Principal Component Analysis (PCA) to visualise the placement of each repeated set of measurement relatively to each other. It appeared clearly that the variation within specimens was lower than between specimens, confirming the relevance of our landmarks to precisely describe the shape variation (see Supplementary Figure S1).

Anatomical landmarks and curve sliding semi-landmarks were placed on each specimen. A template was created for the placement of the surface sliding semi-landmark on each patella. A specimen (*C. simum* AMNH M-51854) was selected as the initial specimen on which all anatomical landmarks, curve and surface sliding semi-landmarks were placed. This specimen was selected for its average shape and size allowing to ensure the correct placement of the landmarks on all the other specimens despite the great variation of size and shape in the sample. It was then used as a template for the projection of surface sliding semi-landmarks on the surface of all other specimens. Projection was followed by a relaxation step ensuring that projected points matched the surface of the meshes. Curve and surface semi-landmarks were then slid by minimizing the bending energy of a thin plate spline (TPS) between each specimen and the template at first, then four times between the result of the previous step and the Procrustes consensus of the complete dataset (see Gunz, Mitteroecker & Bookstein, 2005; Botton-Divet et al., 2016 for details regarding this process). Once this process **achieved**, all landmarks could be treated as geometrically homologous. We then performed a Generalized Procrustes Analysis (GPA) to remove the effect of relative size, location and orientation of the different landmark conformations (Gower, 1975; Rohlf & Slice, 1990). Projection, relaxation, sliding processes and GPA were conducted using the “Morpho” package (v2.10 – Schlager, 2017) in the R environment (v4.2.1 – R Core Team, 2014).

Superimposed conformations of landmarks were then used to compute a PCA in order to reduce dimensionality (Gunz & Mitteroecker, 2013). PC scores were then used to compute Neighbour-Joining (NJ) trees and morphospaces allowing to visualise the distribution of each individual relatively to each other. To visualise how shape varies in association with the specimen distribution, we computed theoretical shapes associated with both minimal and maximal values for the two first PCs using a TPS deformation of a template mesh. To emphasize the location of the main shape changes on the patella among the sample, we displayed the vectors of displacement between all landmarks of the two theoretical shapes using the “rgl” package (v0.109.6 – Adler & Murdoch, 2020). As described by Botton-Divet (2017) and Pintore *et al.* (2021), we applied a gradient of colour to these segments according to their distance to highlight which parts varied the most, these segments being displayed directly onto the superimposed theoretical shapes.

We explored the relation between shape and **specific attribution**, sex, **age class** and size (see below). Permutational analyses of variance (**PERMANOVAs**) were performed on PC scores against the specific attribution and in interaction with the sex (and in **addition with the age class** for our second subsample including subadult rhinos) to test for the impact of these parameters on the patellar shape. We explored allometric variation (i.e., shape variation linked to size; Hallgrímsson et al. 2009; Zelditch et al. 2012; Mitteroecker et al. 2013; Klingenberg 2016) within our sample using different approaches. Pearson’s correlation tests were performed to test for correlation between the scores of the two first PC and the centroid size of the specimens. We performed a Procrustes **ANOVA** (a linear regression model using Procrustes distances between species instead of covariance matrices – Goodall 1991; Adams & Otárola-Castillo 2013) to quantify the shape variation related to the centroid size **taking into account**

species attribution to highlight respective roles of centroid size and specific determination on the global shape variation. This set of analyses (PERMANOVA, Pearson's correlation tests, Procrustes ANOVAs) was applied respectively on the three subsamples described above. Detailed NJ trees and PCA plots for equids and tapirs only are provided as Supplementary **Data**.

## **Proxies of body mass**

In the absence of most of the mass values of the specimens constituting our sample, we chose two proxies of body mass: 1) the centroid size (CS) of each patella, generally used to address allometric variation, defined as the square root of the sum of the square of the distance of each point to the centroid of the landmark set (Zelditch et al., 2012); it is generally considered as a good proxy of the body mass of the animal ; and 2) the minimal femoral circumference (FC) of each specimen, a measurement classically used in equations of body mass estimation. Indeed, the femur being a crucial bone for weight support in quadrupeds, its circumference is known to strongly covary with body mass (Anderson, Hall-Martin & Russell, 1985; Scott, 1990; Damuth & MacFadden, 1990; Campione & Evans, 2012; Campione, 2017). FC therefore provides a proxy of mass relatively independent of the patella itself.

## **Phylogenetic framework**

To test the presence of a phylogenetic signal in our shape data, we constructed a composite cladogram using trees previously computed on molecular data and craniodental and postcranial characters (Figure 2). Branch relations, lengths and occurrence dates were retrieved and merged following phylogenetic reconstructions proposed in recent works (Steiner & Ryder, 2011; MacLaren et al., 2018; Bai et al., 2020; Cirilli et al., 2021; Antoine et al., 2021; Liu et al., 2021; Pandolfi et al., 2021). The phylogenetic relation of *Ds. sumatrensis* relatively to the other modern species has long been debated (see Mallet et al., 2019). As recent works based on molecular and morphological data agreed on the phylogenetic position of this species (Antoine et al., 2021; Liu et al., 2021; Pandolfi et al., 2021), we considered here *Ds. sumatrensis* as sister taxon of the *Rhinoceros* genus.

We addressed the effect of phylogenetic signal on shape and centroid size in our dataset. Given the small numbers of rhino species (five) on the two first subsamples, tests for phylogenetic signal were only performed on the third subsample, containing all perissodactyl species. For species represented by several specimens, we computed species mean shapes (Botton-Divet et al., 2017; Serio, Raia & Meloro, 2020; Mallet et al., 2021, 2022). We computed a first GPA with all specimens of the third subsample (all perissodactyls) as described previously, then we computed the Procrustes consensus (or mean shape) of each species in this geometric space. These Procrustes consensus were superimposed in a second GPA before computing a new PCA. We then addressed the effect of phylogeny on mean centroid sizes per species with the univariate K statistic (Blomberg et al., 2003), using the function “phylosig” in the “phytools” package (Revell, 2012). We also addressed the effect of phylogenetic relationships on shape data using the multivariate K statistic ( $K_{mult}$ ) on PC scores (Adams, 2014).  $K_{mult}$  index allows the comparison between the rate of observed morphological change and that expected under a Brownian motion on a given phylogeny (Blomberg et al., 2003; Adams, 2014).  $K_{mult}$  was computed using the function “physignal” in the “geomorph” package (v4.0.4 – Adams & Otárola-Castillo, 2013).

All statistic tests were considered as significant for p values  $\leq 0.01$ . However, as we agree with the recent works calling for a continuous approach of the p value (Wasserstein, Schirm & Lazar, 2019; Ho et al., 2019), we chose to mention and comment results having a p value up to **0.05** as well.

# Results

## Shape variation in adult rhinoceroses

The distribution of the specimens both in the NJ tree and in the morphospace shows a clear distinction between African and Asiatic rhinos. Along the NJ tree (Figure 4), specimens of *C. simum* and *Dc. bicornis* plot together and oppose to *Ds. sumatrensis*, *R. sondaicus* and *R. unicornis*. The *Dc. bicornis* and *C. simum* specimens are mixed, as are the *R. sondaicus* and *R. unicornis* specimens. Those of *Ds. sumatrensis* form an isolated and homogeneous cluster. The morphospace of the first two axes of the PCA, representing 47.6%, displays a similar structure (Figure 5A). PC1, which carries 34.3% of the global variance, separates African rhinos towards negative values from Asiatic rhinos towards positive values. *C. simum*, occupies the highest negative values of the axis while *R. unicornis* and *R. sondaicus* occupy the highest positive values. *Ds. sumatrensis* specimens poorly overlap the genus *Rhinoceros* along the PC1. PC2 represents 13.4% of the variance and is mainly driven by the isolation of *Ds. sumatrensis* towards the positive values, while all other species overlap around null and negative values.

A Pearson's correlation test indicates that PC1 is marginally correlated ( $r = -0.36$ ;  $p = 0.06$ ), whereas PC2 is highly correlated ( $r = -0.53$ ;  $p < 0.01$ ) with the centroid size. The PERMANOVA of PC scores against the specific attribution and in interaction with the sex of the specimen confirms a highly significant correlation between the shape of the patella and the specific attribution ( $R^2 = 0.53$ ;  $p < 0.01$ ). The sex attribution alone is not significantly correlated with the shape ( $p = 0.36$ ), while its interaction with specific attribution is marginally not significantly correlated with shape data ( $R^2 = 0.13$ ;  $p = 0.07$ ).

Shape variation along PC1 is mainly related to the proximodistal extension of the base and the aspect of the medial angle (Figure 5B-a). Towards minimal values, patella display a rounded and smooth general aspect. The articular surface for the femur is regularly concave and has a regularly convex cranial surface. The proximal end of the medial articular surface poorly exceeds that of the lateral articular surface. The medial ridge is regularly sigmoid in medial view, with a more rounded distal part. The base is mediolaterally broad and extends poorly proximally, being only slightly higher than the proximal border of the articular surface. The medial angle is rounded and smooth, pointing mediodistally. Towards maximal values, the patella has a more angular general aspect. The cranial surface of the patella forms a relatively straight dorsoventral line, before displaying a caudoventral inflexion towards the apex. The proximal end of the medial articular surface exceeds greatly that of the lateral articular surface. The medial ridge is straight in medial view, forming a shallow relief caudally to the apex. The base forms a salient and regular triangle with a relatively narrow base, and a right angle markedly higher than the proximal border of the articular surface. The medial angle forms a regularly rounded protrusion pointing strictly medially.

Shape variation along PC2 is mainly dominated by changes in the antero-posterior broadness of the patella, the proximodistal extension of the base and the apex, and the aspect of the medial angle (Figure 5B-b). Towards minimal values, the patella has a robust general aspect, especially antero-posteriorly, with a base extending strongly dorsally. The articular surface for the femur has an irregular shape pointing in proximal direction. In medial view, the anterior surface of the patella follows a straight line from the base before forming a marked obtuse angle towards the apex. The proximal end of the medial articular surface exceeds markedly that of the lateral articular surface. The medial ridge is poorly concave, almost straight, in medial view. The base is thick, broadly triangular and forms a salient proximal protrusion. The medial

angle forms a broad triangle pointing directly medially. The apex is thick and short. Towards maximal values, the patella is flatter antero-posteriorly, with a prominent protrusion of the apex. The articular surface for the femur has an irregular triangular shape pointing distally. The anterior surface of the patella forms a regular convex surface from the base to the apex. The proximal end of the medial articular surface poorly exceeds that of the lateral articular surface. The medial ridge is regularly concave in medial view and forms a convex relief above the apex. The base is very short and poorly extended proximally. The medial angle forms a large, rounded protrusion pointing medially. The apex expands largely and forms a regular salient relief directed posterodistally.

The regression plot of the Procrustes ANOVA performed on shape against log centroid size shows a rather important spreading of the specimens around the regression line (Figure 6A). Towards maximal CS values, *C. simum* opposes clearly to *R. unicornis* on each side of the line, while specimens of *Ds. sumatrensis* show a relatively good fit to the line. Specimens of *Dc. bicornis* and *R. sondaicus* spread both above and below the line and their position remains hard to interpret (both being adult males likely to show an unusual shape). Shape variation associated with centroid size mainly involves changes in the general proportions of the patella, as well as the relative development of the base, the medial angle and, at a lesser extent, the apex (Figure 6A and 6B). Towards high values of centroid size, the patella broadens antero-posteriorly, showing a more rounded and smooth general aspect. The base is broad and thick, poorly developed proximally, forming a convex relief posteriorly to the articular surface. The medial angle forms a smooth triangle pointing medially, distally, and posteriorly. The apex appears short and broad.

Moreover, the shape is significantly correlated with both centroid size and specific affiliation ( $p < 0.01$ ) (Table 2). However, while centroid size accounts for 11% of the global variance, specific attribution accounts for almost half of the total variance (44%). Interaction between centroid size and specific attribution is not significant ( $p = 0.60$ ). In accordance with the strong correlation between centroid size and femoral circumference (Pearson's correlation test;  $r = 0.75$ ,  $p < 0.01$ ), very similar results are obtained when considering the log of the minimal femoral circumference instead of the centroid size in the Procrustes ANOVA (Table 2). The regression plot highlights a signal strongly driven by *Ds. sumatrensis* and one specimen of *R. unicornis*. When removing these two species from the Procrustes ANOVA, the correlation between shape data and size is not significant anymore ( $p = 0.18$ ), while the correlation between size and specific attribution remains strong and significant ( $R^2 = 0.44$ ,  $p < 0.01$ ).

#### Ontogenetic variation in rhinoceroses

The addition of the subadult rhinoceroses to the sample (three *C. simum*, two *Dc. bicornis*, three *R. sondaicus*, one *R. unicornis* and one *Ds. sumatrensis*) does not strongly modify neither the general repartition of the specimens in the NJ tree nor the morphospace (Figure 7A and 7B). The different species are however not as well distinguished as previously observed in the first subsample, with two *R. sondaicus* plotting respectively with *Dc. bicornis* and *Ds. sumatrensis*, and one *C. simum* plotting with *Dc. bicornis* and more overlaps on the PC1 and 2. The global dispersion of species drastically increases, especially for *R. sondaicus*. Most subadult specimens occupy a relatively central position in the morphospace and plot close to each other. PC1 and centroid size remain correlated ( $r = -0.35$ ;  $p = 0.02$ ) while PC2 is not correlated anymore with CS ( $p = 0.48$ ). The PERMANOVA of PC scores against the specific attribution and in interaction with the age of the specimen indicates a high correlation between the shape and the specific attribution ( $R^2 = 0.41$ ;  $p < 0.01$ ), although weaker than for adults only. Shape



variation along PC1 and PC2 within this subsample is highly similar to the one observed for adult rhinos alone and is detailed in Supplementary Figure S2.

The results of the Procrustes ANOVA are very similar to what was observed for adult specimens only. The regression plot of shape against log centroid size shows no major difference with the one obtained for adult rhinos only and the associated shape variation is very similar to the same variation for adult rhinos (see Supplementary Figure S2 for details). Detailed results of the PERMANOVA and the Procrustes ANOVA for this subsample are available in Supplementary Table T2.

### All perissodactyls

When considering the third subsample (all rhinos, tapirs, and equids), shape data carries a significant but low phylogenetic signal ( $K_{\text{mult}} = 0.143$ ,  $P < 0.01$ ), while the mean centroid size per species does not carry a significant phylogenetic signal ( $p = 0.54$ ). The distribution of the specimens both in the NJ tree and in the morphospace strictly separates rhinos, equids, and tapirs (Figure 8). Among rhinos, the repartition previously observed is conserved, with a rather good separation between African and Asiatic rhinos – the only specimens plotting outside of their respective species being the subadults. The separation between species is far less clear among tapirs. All specimens of *Tapirus indicus*, the largest and only Asiatic species of tapir, plot together, while the other three species are mixed. When considered separately, the different tapir species discriminate well on the PCA but stay mixed on the NJ tree (see Supplementary Figure S3). Among equids, most *E. quagga* plot together, as do most donkeys (*E. africanus asinus*, *E. hemionus*), far from *E. quagga*. Between them, *E. grevyi* plot together with horses (*E. ferus caballus* and *E. ferus przewalskii*). Two larger specimens of *E. asinus* are mixed among horses and quaggas, respectively. When considered alone, equids show a strong mixing, despite most zebras and horses grouping together, respectively (see Supplementary Figure S4).

The morphospace of the first two axes of the PCA, representing 63.3%, is structured by a strict separation between the three main perissodactyl families, the separation between species within each family being poorly visible (Figure 9A). PC1 carries 40.4% of the global variance and clearly separates rhinos and equids in the negative part of the axis from tapirs in the positive part. All equids group together with no clear structure, except for *E. hemionus*, plotting far away from the equid cluster. Among each family, the separation between species is far less clear. Rhinos, to the exclusion of *Ds. sumatrensis* and to *C. simum*, although to a lesser extent, plot together with almost all equids. Among tapirs, only *T. pinchaque* isolates slightly from other species. Along PC2, which accounts for 22.9% of the variance, rhinos, and tapirs in the negative part of the axis are clearly separated from equids in the positive part.

PC1 and PC2 are both highly correlated with the centroid size (Pearson's correlation test:  $r = -0.68$ ;  $p < 0.01$  and  $r = -0.39$ ;  $p < 0.01$ , respectively). PERMANOVA confirms an extremely high and significant correlation between the shape of the patella and the specific attribution ( $R^2 = 0.77$ ;  $p < 0.01$ ). Conversely, neither sex nor the interaction between specific attribution and sex are significantly correlated with shape data.

Shape variation along PC1 is mainly related to the degree of asymmetry of the patella, the development of the medial angle, and the anteroposterior broadening of the base (Figure 9B-a). Both extreme shapes reflect respectively rhino and tapir morphotypes. Towards minimal values, patellae exhibit a “rhino-like” general aspect. The anterior surface is relatively flat, with a straight anterior border forming a well-marked angle (around  $125^\circ$ ) towards the apex. The articular surface for the femur is globally rounded and

smooth. The medial ridge is regularly sigmoid and poorly concave in medial view. The base is broad mediolaterally and extends poorly proximally. The medial angle is rounded and smooth and extends strongly mediolaterally. The apex is broad and massive antero-posteriorly, and poorly expands distally. Towards maximal values, the theoretical shape of the patella has a “tapir-like” aspect, is strongly symmetric, with no medial angle. The anterior surface is strongly and regularly curved from the base to the apex. The articular surface for the femur is globally rectangular with symmetric medial and lateral facets. The medial ridge is concave in medial view, the rounded distal part towards the apex protruding only slightly. The base is well-developed and broad antero-posteriorly, ending in a marked knob pointing medio-proximally. The medial angle is almost absent, reduced to a smooth relief along the medial border of the articular surface. The apex is thin, wedge-shaped, poorly extended distally relatively to the articular surface.

Along PC2, shape variation is mainly related to the development and aspect of the base and of the medial angle (Figure 9B-b). Towards minimal values, the shape displays again a “rhino-like” general aspect, but more mediolaterally compressed than on the PC1. The base forms a salient relief extending markedly proximally. The medial angle is rounded, smooth and extends in medial direction. Towards maximal values, patellae display an “equid-like” general aspect, shaped as triangular-based pyramid. The anterior surface is strongly convex, extending strongly anteriorly. In medial view, the anterior border of the patella forms a 45-degrees downward slope towards the apex. The articular surface for the femur forms a marked angle pointing medially. The proximal end of the medial articular surface is situated way below that of the lateral articular surface. The medial ridge forms a smooth sigmoid in medial view, the proximal part overhanging the articular surface in posterior direction. The base expands massively in anterior direction and forms a large flat surface strongly inclined distally towards the medial angle. No marked relief is visible on the surface of the base. The medial angle is broad and rounded, extending strongly medio-distally. The apex is short antero-posteriorly.

Shape is significantly correlated with both centroid size (Procrustes ANOVA:  $R^2 = 0.23$ ;  $p < 0.01$ ) and specific affiliation ( $R^2 = 0.55$ ;  $p < 0.01$ ) (Table 3). As for rhinos alone, specific attribution accounts for way more variance than the centroid size itself. The interaction between specific attribution and size is not significantly correlated with shape ( $p = 0.64$ ). Similarly, the femoral circumference among all perissodactyls is extremely correlated to the centroid size of the patella ( $r = 0.89$ ,  $p < 0.01$ ). Consequently, shape is highly correlated with femoral circumference (Procrustes ANOVA:  $R^2 = 0.25$ ;  $p < 0.01$ ) and even more strongly with specific attribution ( $R^2 = 0.54$ ;  $p < 0.01$ ), while the interaction of these two factors is not significantly correlated with shape ( $p = 0.53$ ).

The regression plot of shape against log centroid size shows a limited spreading of the specimens around the regression line (Figure 10A). Towards maximal CS values, *C. simum* is now below the regression line while *R. unicornis* and *Ds. sumatrensis* plot above. Once again, the position of the few specimens of *Dc. bicornis* and *R. sondaicus* remains hard to interpret. Almost all equids plot above the regression line with few exceptions: one *E. caballus* and two *E. asinus*, one of which being a draught breed with the highest CS value of the sample, and one *E. zebra hartmannae* (a subadult) plotting among tapirs. All tapirs plot together below the regression line and are ordered from the lightest body mass to the heaviest one along the centroid size values. Shape variation associated with centroid size mainly reflects differences between tapirs for minimal values and rhinos for maximal values. Changes in centroid size among perissodactyls involve changes in the general asymmetry of the patella, the relative development of the medial angle and the cranio-caudal broadening of the bone (Figure 10A and 10B).



# Discussion

## Intra- and interfamily variation of shape

Our results highlight a marked distinction between African and Asiatic rhinos, despite important weight variations within these two groups, suggesting that the shape of the patella among rhinoceroses is highly related to phylogenetic affinities prior to body mass. Each rhino species possesses a unique patellar shape that can hardly be confused with others'. This confirms our first hypothesis that interspecific variation is stronger than intraspecific one for the patellar shape. In the hindlimb, the patella articulates directly with the trochlear groove of the femur, while it is only linked with the tibia by ligaments. Moreover, the patella in mammals is known to individualize from the femur in the early developmental stages of the embryo (Eyal et al., 2015, 2019). The patella and the femur are therefore strongly bonded bones, both on functional and developmental aspects. These results are congruent with previous ones showing that femoral shape variation is also mostly driven by phylogenetic affinities over functional factors such as body mass, especially the distal part directly linked with the patella (Mallet et al., 2019, 2022).

Although statistically limited, our results allow to draw preliminary considerations on the relation between the shape of the patella, sex attribution and age class among modern rhinoceroses. Our current data do not allow to detect a clear shape distinction between males and females. The appendicular skeleton of modern rhinos is poorly dimorphic (Guérin, 1980; Mallet et al., 2019) with phenotypic dimorphic traits being generally limited to larger horns or slightly heavier males or females (depending on the species) (Dinerstein, 1991, 2011; Zschokke & Baur, 2002). As seen above, body mass variation seems to affect poorly the patellar shape in rhinos. Our results confirm that the patella as well do not bear a significant dimorphic signal among modern rhinos.

Conversely, the inclusion of juvenile and subadults specimens in our sample clearly indicates shape changes of the patella in rhinos along the animal growth, namely ontogenetic allometry (Klingenberg, 2016). Subadults for a given species tend to show similarities with lighter rhino species: young *C. simum* resemble adults *Dc. bicornis*, while young *Dc. bicornis* are close to adult *Ds. sumatrensis*. Young individuals also show more similarities between them than between adults. The shape of the patella in young rhinos is therefore relatively homogeneous between the different species, before shapes diverge later in the animal growth. Studies dealing with ontogenetic allometric trajectories of the patella in mammals are extremely scarce. One of the few available case study, dealing with the distal femur of Hominidae (Tallman, 2016), indicates, contrary to our results, a convergence towards a shared knee morphology in adults among Hominidae, while young individuals display very different knee shapes. This ontogenetic convergence, likely linked to the rise of facultative or permanent bipedalism, is the opposite of what we observe in rhinos.

Among perissodactyls, the three families (Tapiridae, Equidae, Rhinocerotidae) are clearly distinct, with tapirs differing even more from rhinos than equids. This is in accordance with our third hypothesis, assuming more differences between rhinos and tapirs than between rhinos and equids. This result does not reflect the phylogenetic relationships among the family Perissodactyla, rhinos and tapirs being sister taxa, but rather rely on the shared high development of the medial angle, creating a strong mediolateral asymmetry, absent in tapirs. The patella condition in tapirs is very close to the one observed in early perissodactyls, although this bone is poorly described in literature (Bai et al., 2017), while rhinos and equids show a more derived condition.

Furthermore, intrafamily variations reveal to be higher among rhinos than among equids and tapirs. These relative differences in variation within the three families may be related to their respective evolutionary history. Divergences between the different rhino clades occurred from the Oligocene to Miocene (Figure 2) (Bai et al., 2020; Antoine et al., 2021; Liu et al., 2021). Tapir branches also diverged during the Miocene (Steiner & Ryder, 2011; MacLaren et al., 2018) but this clade remained monogeneric, contrary to rhinos. Equids, another monogeneric clade, includes species that diverged very recently during the Pliocene (Steiner & Ryder, 2011; Cirilli et al., 2021). The higher genera richness characterizing the family Rhinocerotidae may explain the higher diversity of patellar shape in this clade relatively to its sister groups.

Microanatomical investigations on the patella of modern perissodactyls revealed no increase in compactness with body mass and no thickening of the cortex on muscle insertions but on the patellar ligament on the cranial side of the bone (Houssaye, Perthuis & Houée, 2021). These results are consistent with ours on the rather conservative nature of this bone in relation to body mass variation. Conversely, the microanatomy distinguishes more rhinos and equids than rhinos and tapirs, contrary to our results, underlining a decoupling between the external morphology of the patella and its internal structure among perissodactyls.

#### Functional constraints and “knee locking” mechanism

In modern rhinoceroses, our results underline a significant relation between the patellar shape and both its size and the femoral circumference, an excellent proxy of body mass in quadrupeds (Scott, 1990; Campione & Evans, 2012; Campione, 2017). The centroid size of the patella therefore appears directly related to body weight in rhinos, as previously observed for long bones and ankle bones in these animals (Mallet et al., 2019; Etienne et al., 2020b). However, shape relation with size is limited, allometry accounting for only 11% of the observed shape variation. The influence of body mass on the patellar shape therefore appears as secondary relatively to phylogenetic relationships in rhinos, which contradicts our second hypothesis, postulating a stronger effect of mass over phylogeny on the patellar shape. Thus, despite the central functional role of this bone in the knee, and the high stress linked to body mass exerted on this joint, the influence of the weight is much more limited than expected.

Variation linked to size mainly affects the base, the medial angle, and the lateral edge of the patella, as well as the cranial surface. All these areas are insertions for powerful knee extensors, respectively the *m. rectus femoris*, the *m. vastus medialis*, the *m. vastus lateralis* (all three, together with the *m. vastus intermedius*, forming the *m. quadriceps*) and the *m. gluteobiceps* (Etienne, Houssaye & Hutchinson, 2021) (Figure 1). The flattening of the base and the general craniocaudal broadening of the patella in heavy rhino species likely provide more surface area for the insertion of stronger *m. rectus femoris* and *m. gluteobiceps*, while in lighter rhinos the dorsal development of the base seems to increase the attachment surface for the *m. vastus lateralis* and *m. vastus medialis* instead. This configuration may reflect that heavy rhinos rely more on central hip muscles, such as the *m. rectus femoris*, to stabilize the knee while lighter rhinos rely on medial and caudal muscles of the *m. quadriceps*, maybe ensuring more manoeuvrability for this joint. Unfortunately, the scarcity of myological data for lighter rhino species (Etienne, Houssaye & Hutchinson, 2021) and the lack of *in vivo* observations prevent us from making further inference between the patellar shape and its relation to attached muscles.

The allometric relation is more strongly marked when considering all perissodactyls (Figure 10), allometry accounting for 23% of the observed variation. However, body mass alone seems insufficient to explain the shape variation among perissodactyls. A developed medial angle exists both in equids and rhinos but not in tapirs, even though tapirs and equids are in the same range of body mass (hundreds of kilograms), while rhinos can reach several tons (Table 1). Medial angle is associated with the presence of a “knee locking” mechanism (Shockey, 2001; Schuurman, Kersten & Weijs, 2003; Janis et al., 2012). Largely studied through the anatomy of the distal femora, this mechanism has poorly been considered on the patellar side (Kappelman, 1988; Hermanson & MacFadden, 1996; Janis et al., 2012; Etienne et al., 2020a). Our results do confirm the high correspondence between the presence of a developed medial angle on the patella and that of a developed medial trochlear ridge on the femur (Hermanson & MacFadden, 1996; Mallet et al., 2019). This developed medial angle shared between equids and rhinos therefore appears as convergent in the two clades, although it does not seem perfectly homologous. Indeed, while the medial angle in equids is extended by a parapatellar cartilage on which the medial patellar ligament attaches (Hermanson & MacFadden, 1996, fig. 2), this cartilage seems absent in rhinoceroses (or is little developed – C. Etienne, pers. com.), where the bony angle is more extended medially than in equids. As shown in our results, the medial angle in rhinos is more extended and ossified medially compared to equids. This could be directly related to their higher body mass, making cartilage alone insufficient to support the weight of the body and ensure an efficient “knee locking”. This would be in line with our fourth hypothesis, assuming different shapes of patella to ensure an efficient “knee locking” mechanism between equids and rhinos.

Similarly, the craniocaudal development of the base in equids offers a larger surface area for insertions of knee extensors, these muscles being more powerful in equids than in rhinos compared to the respective size of these animals (Etienne, Houssaye & Hutchinson, 2021). Myological data lack for tapirs but the reduced surface of the base likely indicates reduced muscle insertions and, therefore, weaker knee extensors in these animals. Such a craniocaudal development shifts the insertion of the extensor muscles away from the axis of rotation of the knee, thus increasing the moment arm of the joint and the muscular efficiency. This craniocaudal development of the patella in equids likely underlines the extreme cursorial specialization of this clade, while the condition in rhinos appears more as a trade-off between the support of their high body mass, their ability to reach a relatively high speed, and their evolutionary legacy.

The convergent emergence of the knee asymmetry in these clades remain poorly understood among ungulates, various authors having supposed a link with either locomotor habit, feeding behaviour or body mass (Kappelman, 1988; Hermanson & MacFadden, 1996; Shockey, 2001; Shockey et al., 2008; Danaher, Shockey & Mhlbachler, 2009; Janis et al., 2012; Mhlbachler et al., 2014; Etienne et al., 2020a). Locomotor habit shows a marked dichotomy between these clades. Rhinos and equids both practice a transverse gallop while tapirs practice rotatory gallop, involving different limb loadings during the gallop phases (Economou et al., 2020). Rotatory gallop involves a phase where both hindlimbs hit the ground, while this never happen in transverse gallop, leading to higher mechanical stress exerted into a single limb in the latter gait. While equids present an extreme cursorial specialization associated with relatively low mass (Carrano, 1999), weight-bearing rhinos are still able to reach high speed despite weighting several tons (Alexander & Pond, 1992; Etienne, Houssaye & Hutchinson, 2021). An asymmetrical patella could be related to the emergence of a similar gallop in both equids and rhinos, compared to tapirs. Differences in body mass may then explain the further divergences existing in patellar shape between these two clades practicing transverse gallop. Further investigations including a large set

of fossil perissodactyls are needed to sharpen the understanding of this puzzling feature and the functional advantages of this presence or absence in ungulates.

## Conclusion

Our investigation of the shape of the patella among modern rhinoceroses and related extant perissodactyls reveals a higher interspecific than intraspecific morphological variation. Contrary to our predictions, and despite its central functional role in the knee joint and its implication in locomotion, the patella is affected poorly by variation of body mass between and within species. Rather, the patellar shape follows clear morphotypes existing in each species and even more marked at the scale of the family. This strong shape conservatism may be directly related to its developmental origin, shared with the femur, another bone whose shape has been proved to be highly linked to phylogenetic affinities before functional constraints in perissodactyls. Despite a strong evolutionary legacy leading to a relative shape inertia, the shared presence of a medial angle in rhinos and equids constitutes a clear case of morphological convergence highlighting that functional constraints are also at work on the shape of this sesamoid bone. This asymmetric conformation of the patella is related to a “knee locking” mechanism and maybe to the shared emergence of transverse gallop in both clades. Within this convergence, rhinos present in addition shape modifications that may be related to the support of a heavy mass. Further investigations of the shape of the patella among a larger set of extinct Perissodactyla may be helpful for better understanding the morphofunctional evolution of this sesamoid bone in mammals.

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3D models are deposited on the 3D online repository MorphoSource at the following address according to the internal policies on 3D data of each institution:

<https://www.morphosource.org/projects/000366286?locale=en>

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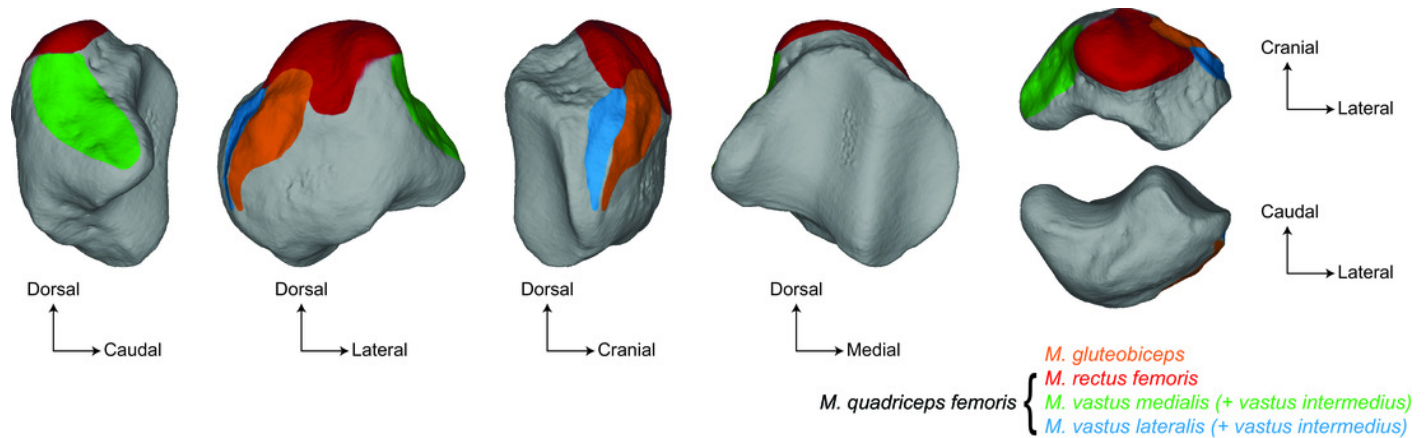
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# Figure 1

Main muscular insertions on the patella of a white rhinoceros (*Ceratotherium simum*).

Derived from Barone 2010a and Etienne et al., 2021.

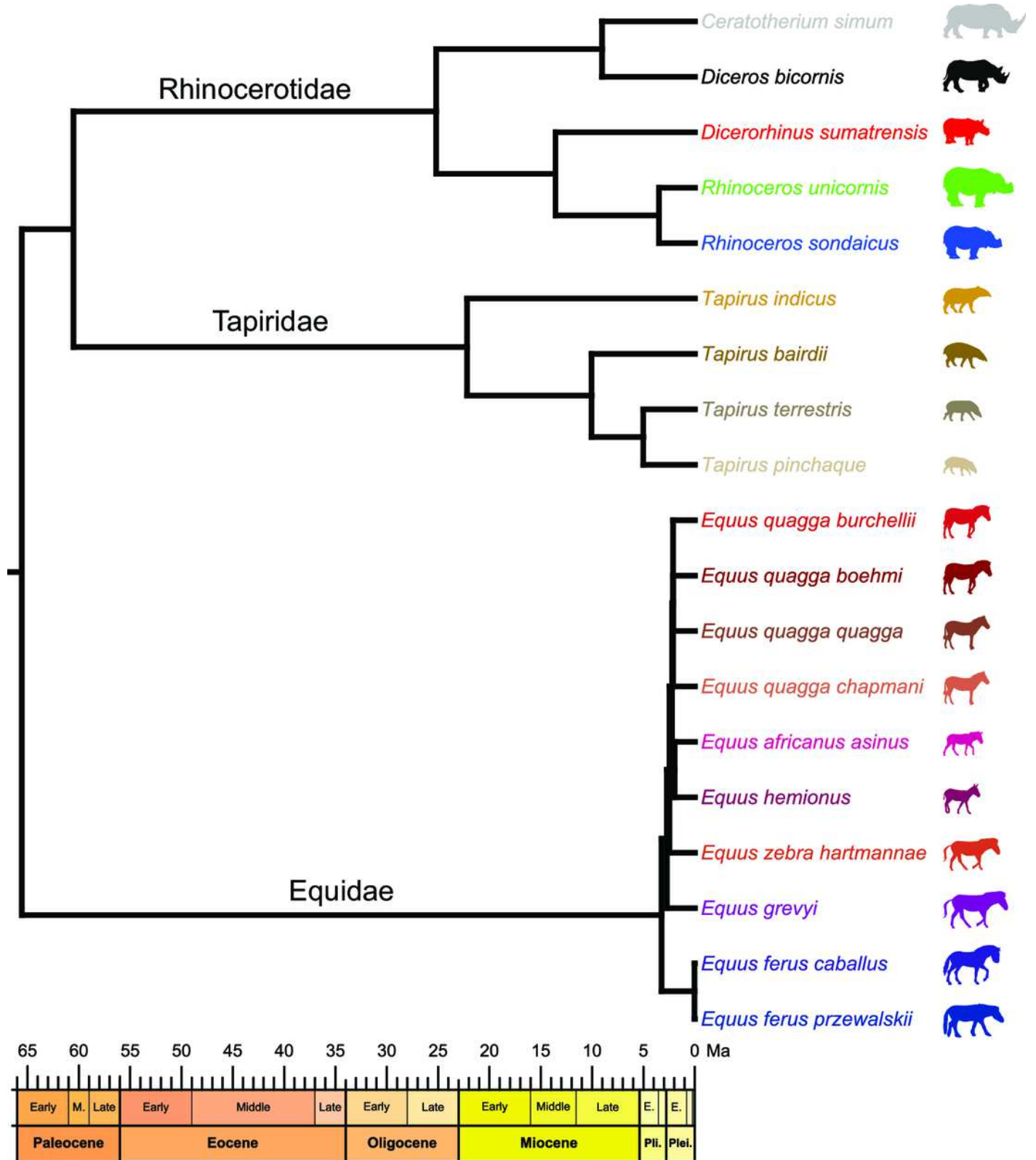


# Figure 2

Composite cladogram of the sampled species.

Branch lengths and relations based on Steiner & Ryder, 2011; MacLaren et al., 2018; Bai et al., 2020; Cirilli et al., 2021; Antoine et al., 2021; Liu et al., 2021; Pandolfi et al., 2021 .

Silhouettes provided by [www.phylopic.org](http://www.phylopic.org) under the Creative Commons license.

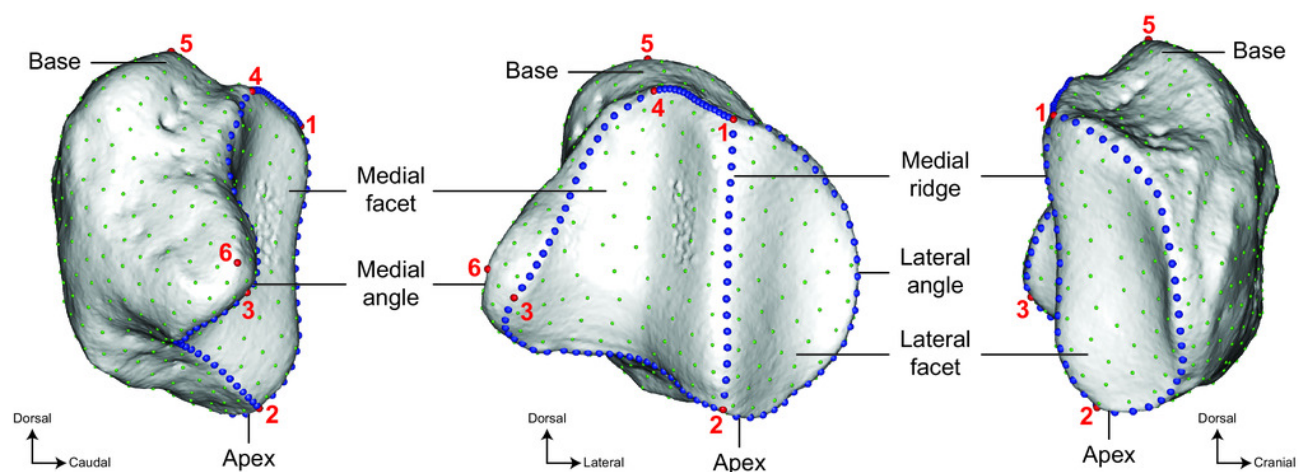




# Figure 3

Location of the landmarks used for the analyses.

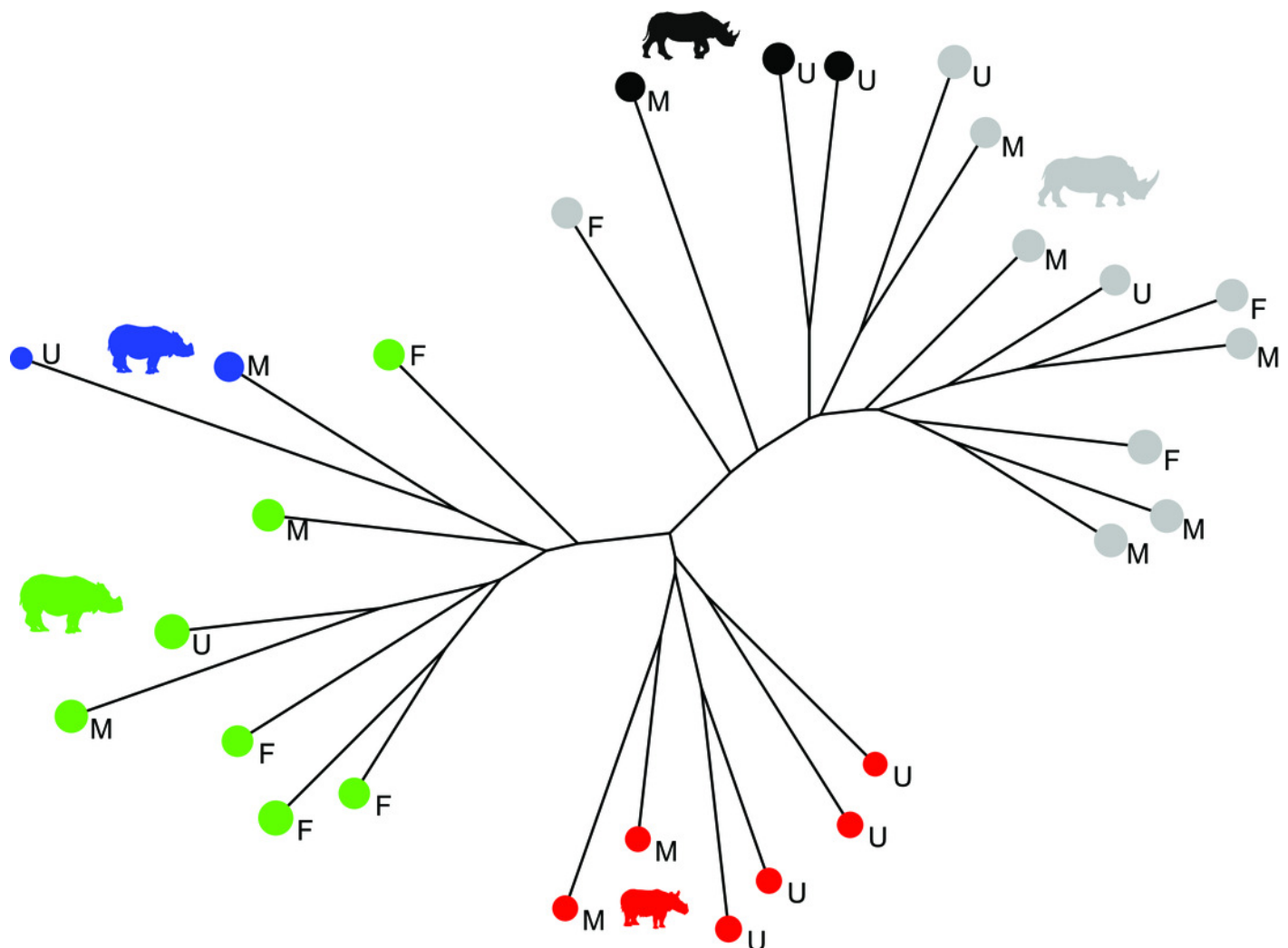
6 anatomical landmarks (red spheres), 103 curve sliding (blue spheres) and 461 surface sliding (green spheres) semi-landmarks were placed on the patella. Designation of the anatomical landmarks – 1: Most proximal point of the medial ridge; 2: Most distal point of the medial ridge; 3: Most medial point of the medial articular surface; 4: Most proximal point of the medial articular surface; 5: Most proximal point of the base; 6: Most medial point of the medial angle.



# Figure 4

Neighbour Joining tree computed on all PC scores obtained from the PCA performed on shape data of adult rhinoceroses

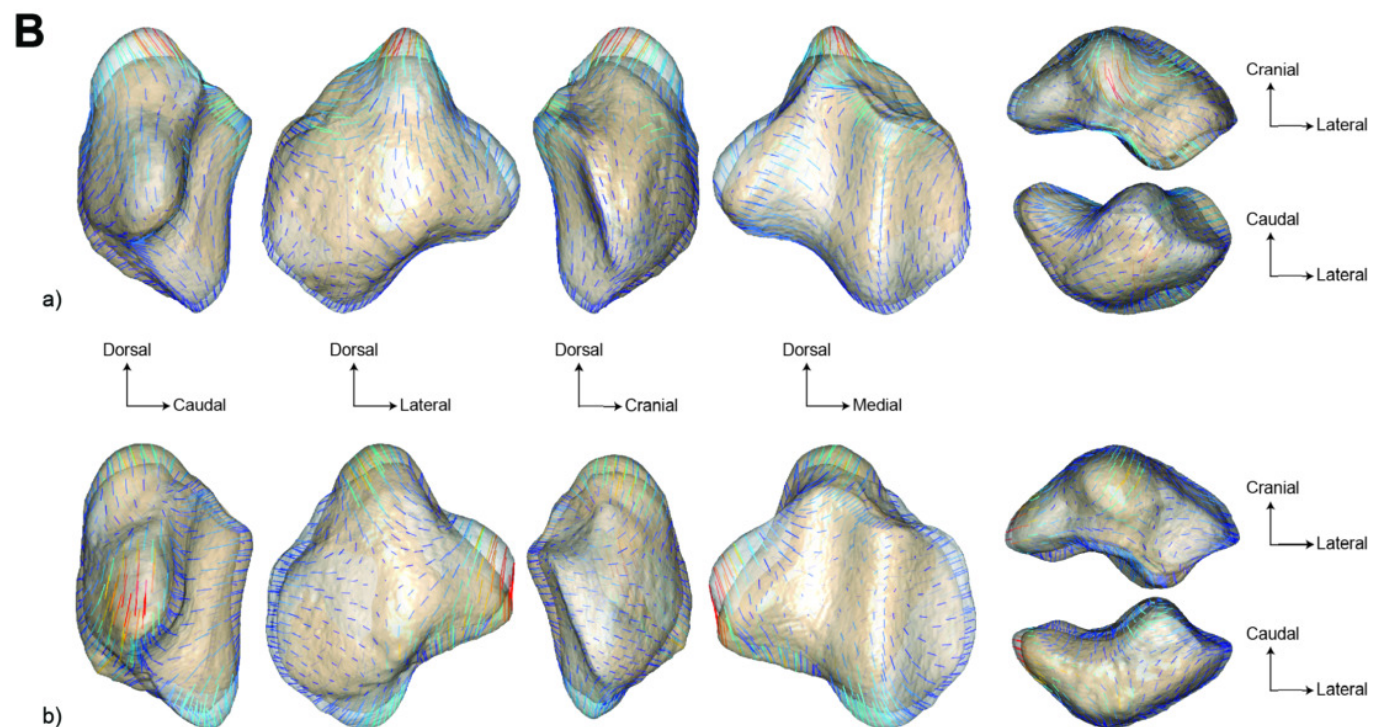
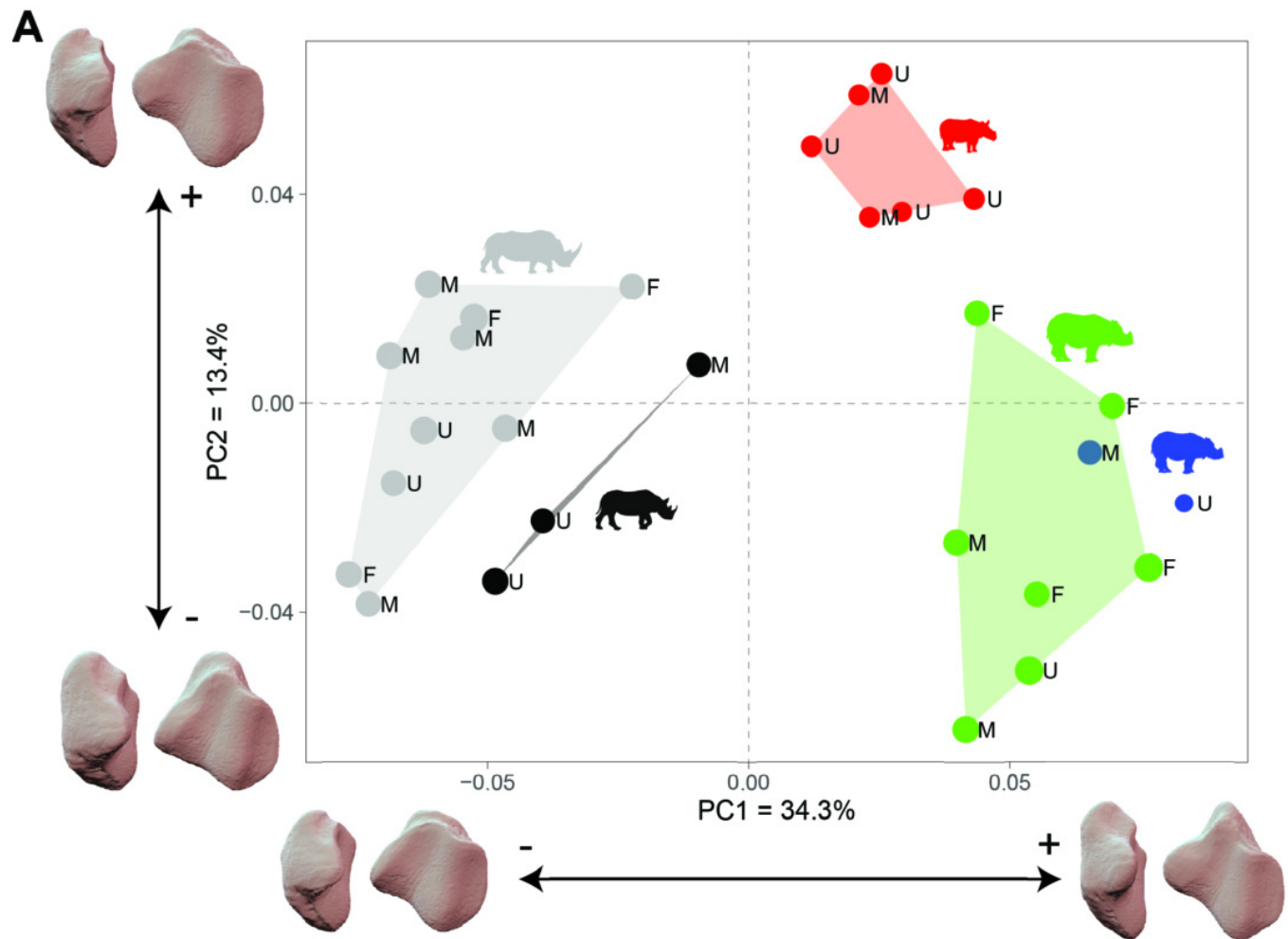
Colour code follows Figure 2. Letters indicate sex attribution as in Table 1 (F: female; M: male; U: unknown). Point size is proportional to the mean log centroid size of each specimen.



# Figure 5

Results of the PCA performed on morphometric data of adult rhinoceroses and associated shape variation.

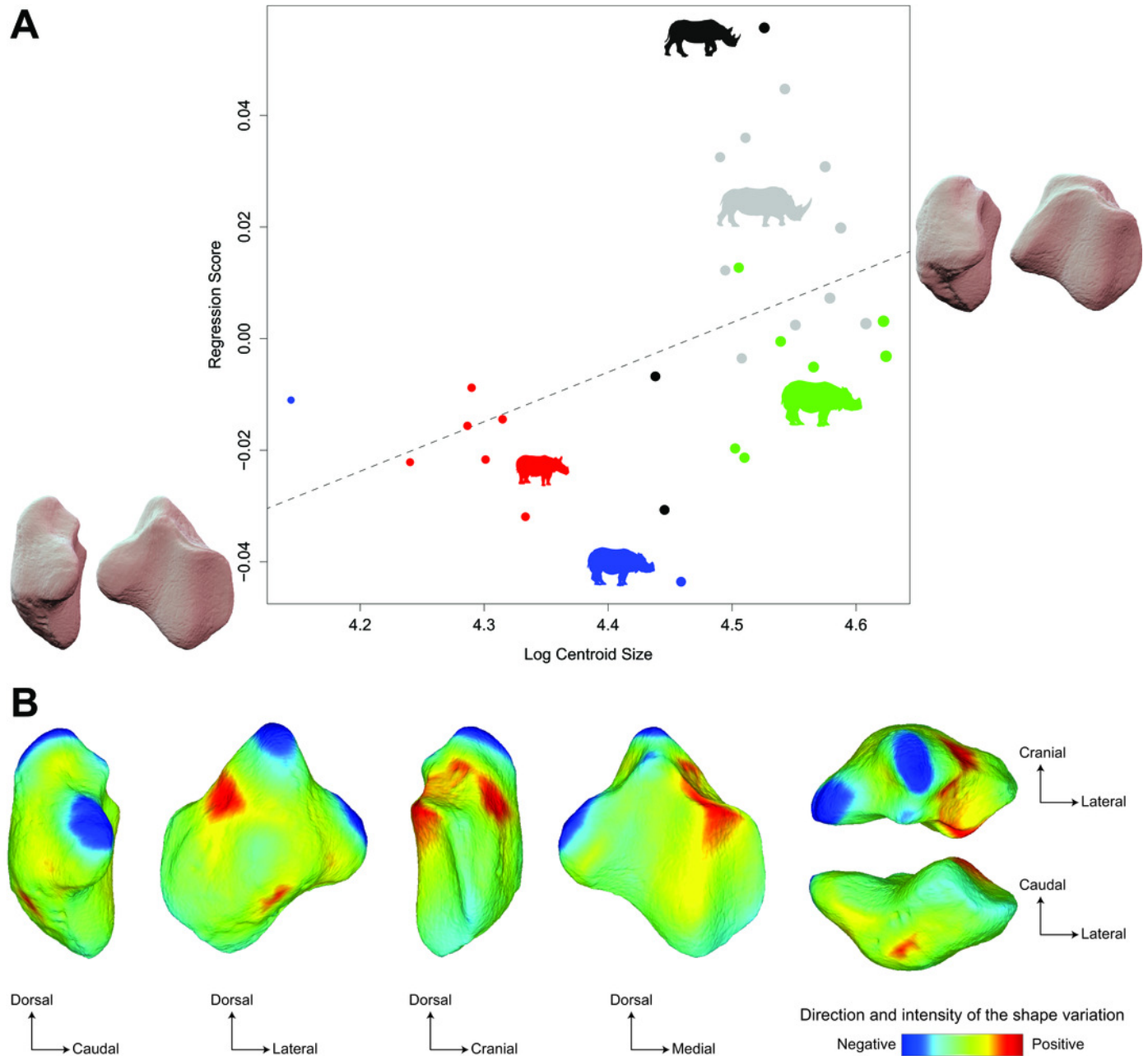
**A:** Biplot of the two first axes of the PCA with minimal and maximal theoretical shape associated with this variation (respectively in medial and caudal views). Colour codes follow Figure 2. Letters indicate sex attribution as in Table 1. Point size is proportional to the mean log centroid size of each specimen. **B:** Morphological variation between minimal (light brown) and maximal (light grey) theoretical shapes along a) PC1 and b) PC2 respectively in medial, cranial, lateral, caudal, dorsal (top) and ventral (bottom) views. Intensities of landmark displacements are shown with vector colorations ranging from blue (low distance) to red (high distance).



# Figure 6

Results of the Procrustes ANOVA on shape data against log-transformed centroid size (CS) for adult rhinos.

**A:** Regression plot with theoretical shapes associated with minimum and maximum fitted values (respectively in medial and caudal views). Colour code follows Figure 2. Point size is proportional to the mean log centroid size of each specimen. **B:** Colour maps of the location and intensity of the shape deformation. The shape associated with the maximal CS value of the Procrustes ANOVA was coloured depending on its distance to the shape associated with the minimal value. Green indicates no deformation; blue indicates a negative deformation of high intensity; red indicates a positive deformation of high intensity.

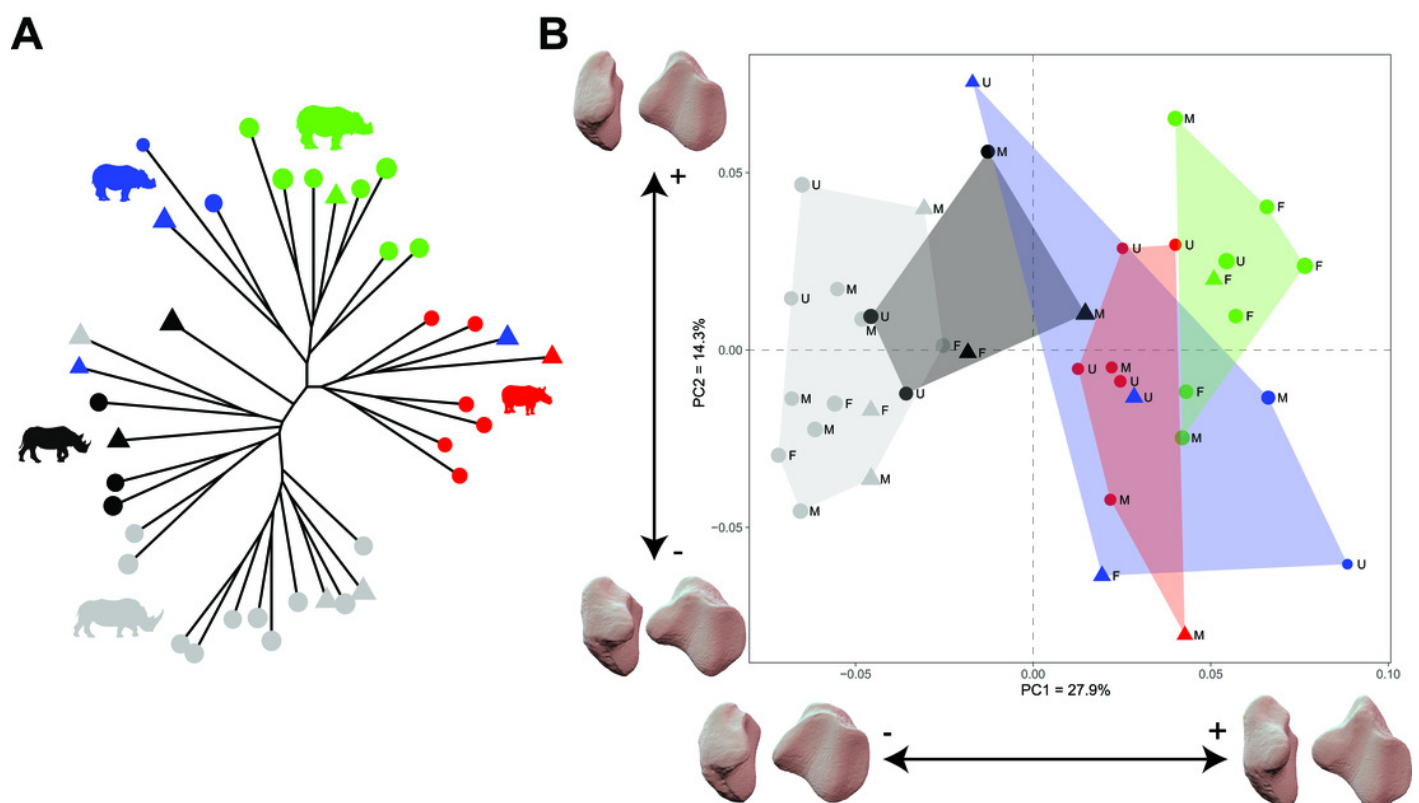




# Figure 7

Shape variation among all rhinoceroses (adults and subadults).

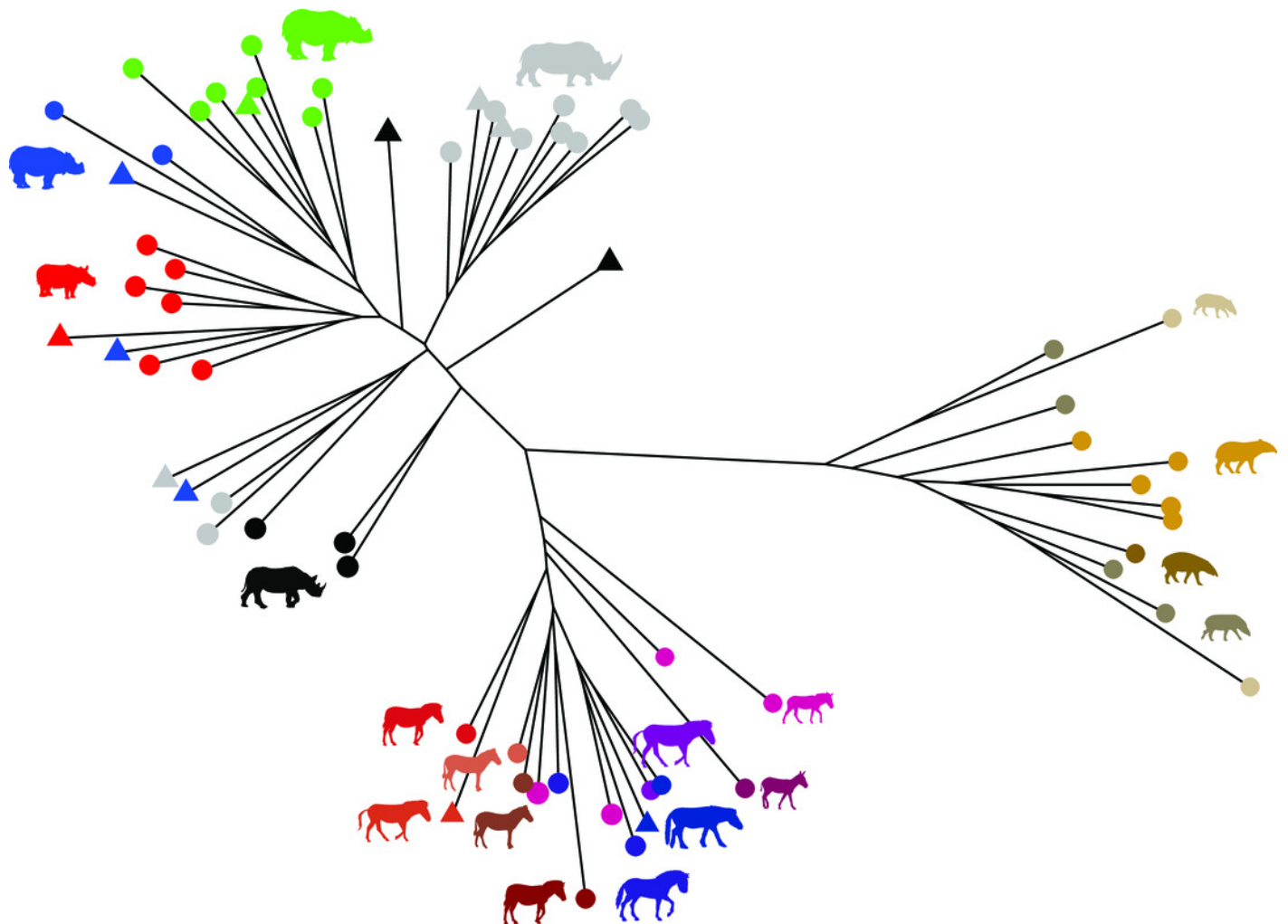
**A:** Neighbour Joining tree computed on all PC scores obtained from the PCA performed on shape data. Colour code follows Figure 2. Symbols indicate age class as in Table 1 (triangle: subadult; circle: adult). Point size is proportional to the mean log centroid size of each specimen. **B:** Biplot of the two first axes of the PCA with minimal and maximal theoretical shape associated with this variation (respectively in medial and caudal views). Colour codes follow Figure 2. Letters indicate sex attribution as in Table 1 (F: female; M: male; U: unknown). Point size is proportional to the mean log centroid size of each specimen.



# Figure 8

Neighbour Joining tree computed on all PC scores obtained from the PCA performed on shape data of all perissodactyls.

Colour code follows Figure 2. Symbols indicate age class as in Table 1 (triangle: subadult; circle: adult). Point size is proportional to the mean log centroid size of each specimen.

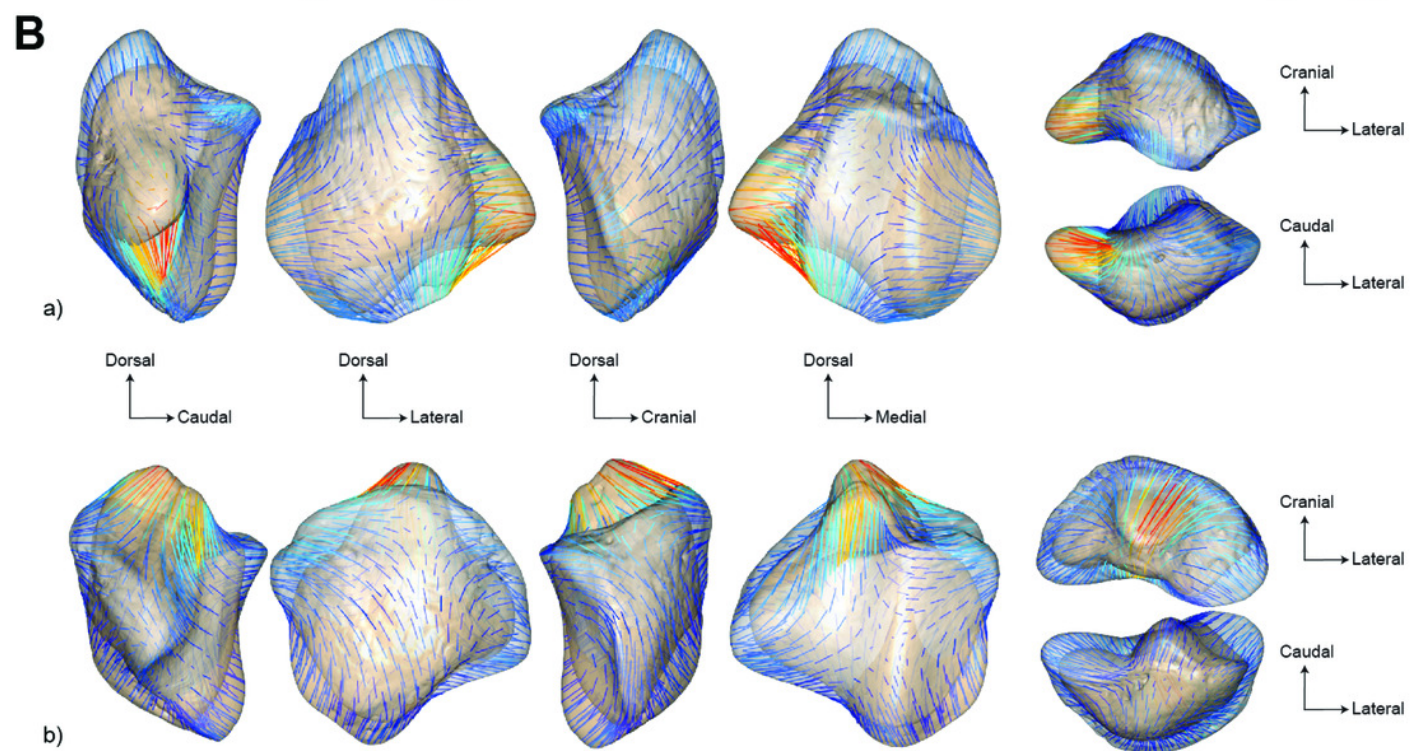
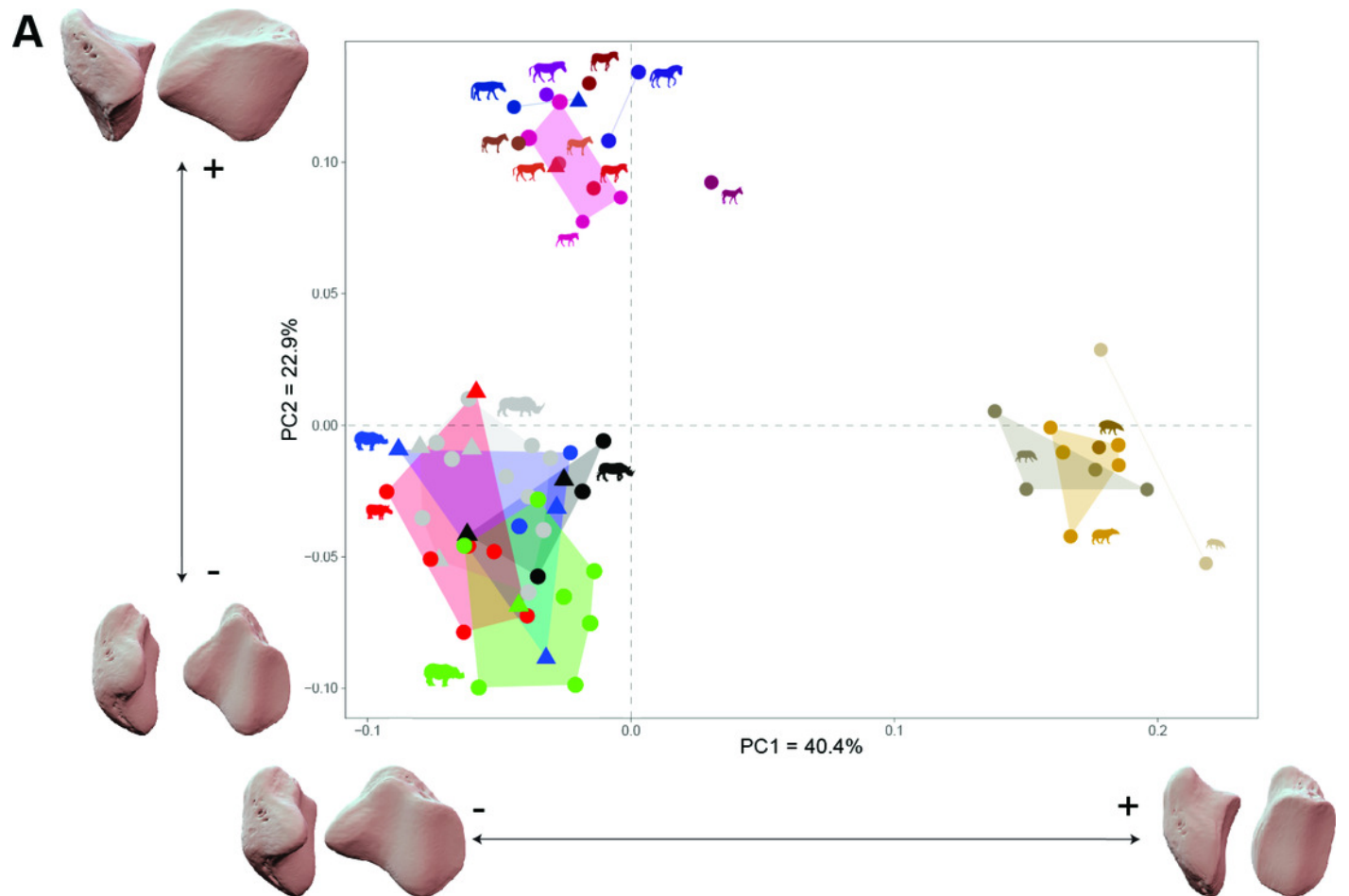




# Figure 9

Results of the PCA performed on morphometric data of all perissodactyls and associated shape variation.

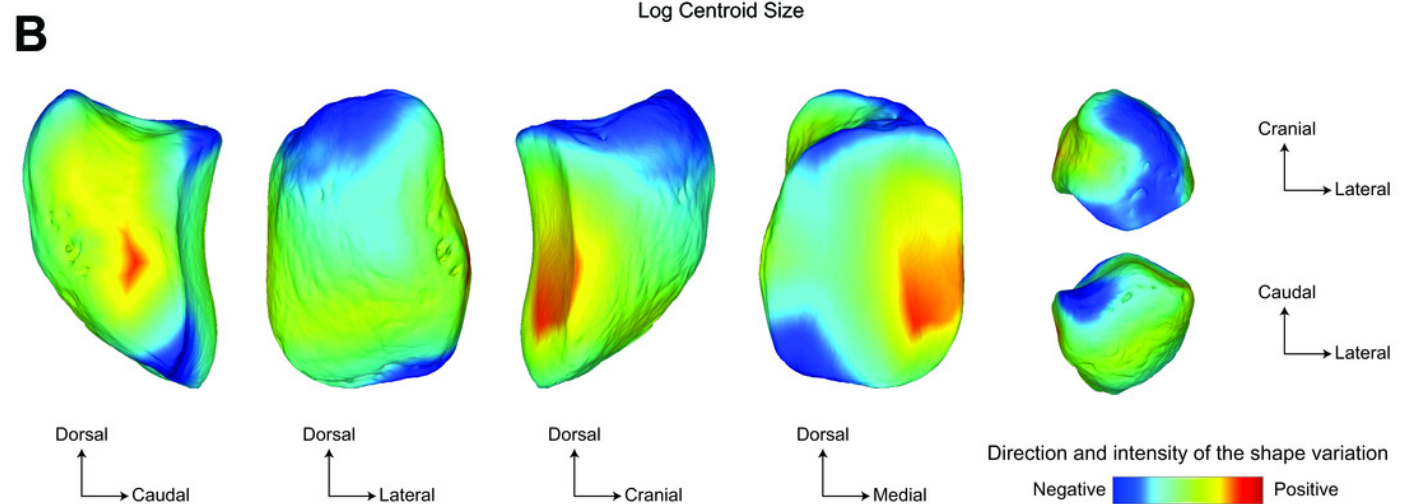
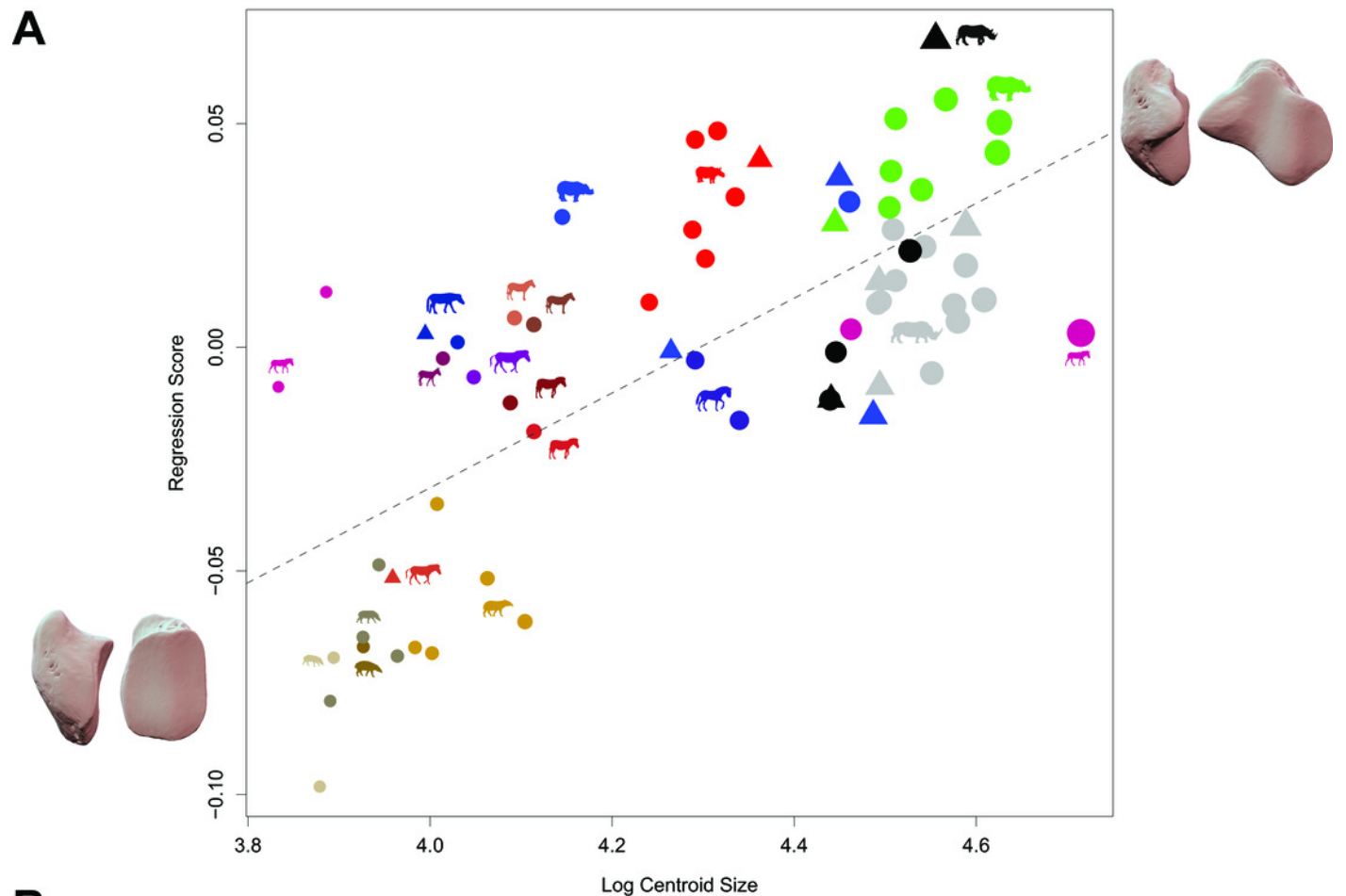
**A:** Biplot of the two first axes of the PCA with minimal and maximal theoretical shape associated with this variation (respectively in medial and caudal views). Colour codes follow Figure 2. Symbols indicate age class as in Table 1 (triangle: subadult; circle: adult). Point size is proportional to the mean log centroid size of each specimen. **B:** Morphological variation between minimal (light brown) and maximal (light grey) theoretical shapes along a) PC1 and b) PC2 respectively in medial, cranial, lateral, caudal, dorsal (top) and ventral (bottom) views. Intensities of landmark displacements are shown with vector colorations ranging from blue (low distance) to red (high distance).



# Figure 10

Results of the Procrustes ANOVA on shape data against log-transformed centroid size (CS) for all perissodactyls.

**A:** Regression plot with theoretical shapes associated with minimum and maximum fitted values (respectively in medial and caudal views). Colour code follows Figure 2. Point size is proportional to the mean log centroid size of each specimen **B:** Colour maps of the location and intensity of the shape deformation. The shape associated with the minimal CS value of the Procrustes ANOVA was coloured depending on its distance to the shape associated with the maximal value. Green indicates no deformation; blue indicates a negative deformation of high intensity; red indicates a positive deformation of high intensity.



# Table 1 (on next page)

List of the studied specimens with family, genus and species names, mean body mass, institutions, sex, age class, condition, and 3D acquisition details.

Abbreviations: Sex: F: female; M: male; U: unknown. Age – A: adult; S: sub-adult. Condition – W: wild; C: captive; U: unknown. 3D acquisition – SS: surface scanner; P: photogrammetry; CT: CTscan. Stars indicate specimens retrieved on MorphoSource deposit. Institutional codes – AMNH: American Museum of Natural History. BICPC: Powell Cotton Museum, Birchington-on-Sea. IMNH: Idaho Museum of Natural History, Pocatello. MNHN: Muséum National d’Histoire Naturelle, Paris. MVZ: Museum of Vertebrate Zoology, Berkeley. NHMUK: Natural History Museum, London. NMB: Naturhistorisches Museum Basel, Basel. RBINS: Royal Belgian Institute of Natural Sciences, Brussels.

Family	Taxon	Mean body maSL (kg)	Institution	Collection number	Sex	Age	Condition	3D acquisition
Rhinocerotidae	<i>Ceratotherium simum</i>	2,300	AMNH	M-51854	F	A	W	SL
			AMNH	M-51855	M	A	W	SL
			AMNH	M-51857	F	A	W	SL
			AMNH	M-51858	M	A	W	SL
			AMNH	M-81815	U	A	U	SL
			BICPC	NH.CON.20	M	S	W	SL
			BICPC	NH.CON.32	F	S	W	SL
			BICPC	NH.CON.37	F	S	W	SL
			BICPC	NH.CON.110	M	A	W	SL
			BICPC	NH.CON.112	M	A	W	SL
	<i>Diceros bicornis</i>	1,050	MNHN	ZM-MO-2005-297	M	A	C	CT
			NHMHUK	ZD 1964.3.9.1	M	S	C	SL
			NHMHUK	ZD 2018.143	U	A	U	SL
			AMNH	M-27757	M	S	W	SL
			AMNH	M-113777	U	A	W	SL
			MNHN	ZM-AC-1936-644	F	S	U	SL
			MNHN	ZM-AC-1944-278	M	A	C	CT
			NHMHUK	ZD 1879.9.26.6	U	U	U	CT
	<i>Dicerorhinus sumatrensis</i>	775	AMNH	M-81892	M	A	W	SL
			NHMHUK	ZD 1879.6.14.2	M	A	W	SL
			NHMHUK	ZD 1894.9.24.1	U	A	W	SL
			NHMHUK	ZD 1931.5.28.1	M	S	W	SL
			NHMHUK	ZE 1948.12.20.1	U	A	U	SL
			NHMHUK	ZE 1949.1.11.1	U	A	W	SL
			NHMHUK	ZD 2004.23	U	A	W	SL
	<i>Rhinoceros sondaicus</i>	1,350	MNHN	ZM-AC-A7970	U	A	U	SL
			NHMHUK	ZD 1865.8.22.1	U	S	W	SL
			NHMHUK	ZD 1871.12.29.7	M	A	W	SL
			NHMHUK	ZD 1921.5.15.1	F	S	W	SL
			NHMHUK	ZD 1861.3.11.1	U	S	W	SL
	<i>Rhinoceros unicornis</i>	2,000	AMNH	M-35759	M	A	C	SL
			AMNH	M-54454	F	A	W	SL
			AMNH	M-54456	F	U	S	SL
			MNHN	ZM-AC-1967-101	F	S	C	SL
			NHMHUK	ZE 1953.8.13.2	F	S	U	SL
			NHMHUK	ZE 1961.5.10.1	M	A	W	SL
			NHMHUK	ZD 1972.822	U	A	U	SL
			NHMHUK	ZD 1884.12.1.2	F	A	W	SL
Tapiridae	<i>Tapirus bairdii</i>	260	MVZ	141172	U	A	U	LS*
	<i>Tapirus indicus</i>	340	MNHN	ZM-AC-1931-528	M	A	C	CT
			MNHN	ZM-AC-1945-460	M	A	C	CT
			NMB	8125	F	A	C	CT
			RBINS	1184D	M	A	C	LS
			RBINS	1184E	M	A	C	LS

	<i>Tapirus pinchaque</i>	175	MNHN	ZM-AC-1982-34	M	A	C	CT
			MNHN	Indet	U	A	U	CT
	<i>Tapirus terrestris</i>	220	MNHN	ZM-AC-1937-1	U	A	C	CT
			MNHN	ZM-MO-1990-20	M	A	C	CT
			RBINS	1185D	M	A	C	LS
			RBINS	1185E	U	A	C	LS
Equidae	<i>Equus africanus asinus</i>	275	MNHN	ZM-AC-1893-634	M	A	C	CT
			MNHN	ZM-2005-717	U	A	U	CT
			RBINS	12970	F	A	C	LS
			RBINS	13076	F	A	C	LS
	<i>Equus hemionus</i>	230	MNHN	ZM-AC-1880-1103	M	A	C	CT
	<i>Equus ferus caballus</i>	490	MNHN	ZM-AC-A541	F	A	C	CT
			MVZ	162289	M	A	C	LS*
	<i>Equus ferus przewalskii</i>	250	MNHN	ZM-AC-1975-124	F	A	C	CT
			RBINS	14281	M	A	C	LS
	<i>Equus burchellii granti</i>	247	RBINS	33386	U	A	U	LS
	<i>Equus grevyi</i>	400	RBINS	32166	M	A	C	LS
	<i>Equus quagga boehmi</i>	247	RBINS	12129	M	A	W	LS
	<i>Equus quagga chapmani</i>	247	RBINS	1218	F	A	C	LS
	<i>Equus quagga quagga</i>	247	IMNH	R2425	U	A	U	LS*
	<i>Equus zebra hartmannae</i>	310	RBINS	3974	M	S	C	LS

## Table 2 (on next page)

Results of the Procrustes ANOVAs on shape data against log centroid size and femoral circumference respectively, and interaction with specific attribution, for adult rhinoceroses only.

Bold indicates results with a p value above 0.05 and considered as highly significant.

Abbreviations: df: degrees of freedom;  $R^2$ : coefficient of determination; F: F-statistics; Z: Z score; P: P value.



	dF	R <sup>2</sup>	F	Z	P
Log Centroid size	1	<b>0.111</b>	<b>5.332</b>	<b>3.210</b>	<b>0.001</b>
Species	4	<b>0.437</b>	<b>5.247</b>	<b>5.368</b>	<b>0.001</b>
Interaction	4	0.076	0.917	-0.298	0.617
Residuals	18	0.375			
Log Femoral circumference	1	<b>0.107</b>	<b>5.135</b>	<b>3.088</b>	<b>0.001</b>
Species	4	<b>0.444</b>	<b>5.323</b>	<b>4.664</b>	<b>0.001</b>
Interaction	4	0.094	1.128	0.646	0.253
Residuals	17	0.355			

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# Table 3 (on next page)

Results of the Procrustes ANOVAs on shape data against log centroid size and femoral circumference respectively, and interaction with specific attribution, for all perissodactyls.

Bold indicates results with a p value above 0.05 and considered as highly significant.

Abbreviations: df: degrees of freedom; R<sup>2</sup>: coefficient of determination; F: F-statistics; Z: Z score; P: P value.

	dF	R <sup>2</sup>	F	Z	P
Log Centroid size	1	<b>0.229</b>	<b>47.005</b>	<b>5.227</b>	<b>0.001</b>
Species	18	<b>0.554</b>	<b>6.305</b>	<b>10.043</b>	<b>0.001</b>
Interaction	10	0.046	0.944	-0.319	0.642
Residuals	35	0.171			
Log Femoral circumference	<b>1</b>	<b>0.253</b>	<b>52.401</b>	<b>5.454</b>	<b>0.001</b>
Species	<b>18</b>	<b>0.541</b>	<b>6.223</b>	<b>9.064</b>	<b>0.001</b>
Interaction	9	0.043	0.981	-0.911	0.533
Residuals	34	0.164			

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