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Evaluation of droplet deposition parameters based on the **Genetic-OTSU algorithm**

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The use of Unmanned aerial vehicles (UAVs) for pesticide spraying is a cost-effective way to control crop pests and diseases. The effectiveness of this method relies on the deposition and distribution of the spray droplets within the targeted application area. There is a critical need for an accurate and stable detection algorithm to evaluate the liquid droplet deposition parameters on the water-sensitive paper (WSP) and reduce the impact of image noise. This study acquired 90 WSP samples with diverse coverage through field spraying experiments. The droplets on the WSP were subsequently isolated, and the coverage and density were computed, employing the fixed threshold method, the Otsu threshold method, and our Genetic-Otsu threshold method. A comprehensive comparison was undertaken to assess the performance and accuracy of these three methods. The results demonstrate that the proposed Genetic-Otsu method significantly diminishes detection errors in liquid droplet deposition parameters. The relative errors of droplet density in the few, medium, and massive droplet groups are 2.7%, 1.5%, and 2.0%, respectively. The relative errors of droplet coverage are 1.5%, 0.88%, and 1.2%, respectively. These results are better than the other two algorithms, the proposed algorithm effectively identifies small-sized droplets and accurately distinguishes adjacent droplets even in massive droplet groups, demonstrating excellent performance. Overall, the Genetic-Otsu algorithm offered a reliable solution for detecting droplet deposition parameters on WSP, providing an efficient tool for evaluating droplet deposition parameters in UAV pesticide spraying applications.

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2 the Genetic-OTSU algorithm

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Abstract

- 19 The use of Unmanned aerial vehicles (UAVs) for pesticide spraying is a cost-effective way to
- 20 control crop pests and diseases. The effectiveness of this method relies on the deposition and
- 21 distribution of the spray droplets within the targeted application area. There is a critical need for
- an accurate and stable detection algorithm to evaluate the liquid droplet deposition parameters on
- 23 the water-sensitive paper (WSP) and reduce the impact of image noise. This study acquired 90
- 24 WSP samples with diverse coverage through field spraying experiments. The droplets on the WSP
- 25 were subsequently isolated, and the coverage and density were computed, employing the fixed
- 26 threshold method, the Otsu threshold method, and our Genetic-Otsu threshold method. A
- 27 comprehensive comparison was undertaken to assess the performance and accuracy of these three
- 28 methods. The results demonstrate that the proposed Genetic-Otsu method significantly diminishes
- 29 detection errors in liquid droplet deposition parameters. The relative errors of droplet density in



30 the few, medium, and massive droplet groups are 2.7%, 1.5%, and 2.0%, respectively. The relative 31 errors of droplet coverage are 1.5%, 0.88%, and 1.2%, respectively. These results are better than 32 the other two algorithms. the proposed algorithm effectively identifies small-sized droplets and accurately distinguishes adjacent droplets even in massive droplet groups, demonstrating excellent 33 performance. Overall, the Genetic-Otsu algorithm offered a reliable solution for detecting droplet 34 deposition parameters on WSP, providing an efficient tool for evaluating droplet deposition 35 parameters in UAV pesticide spraying applications. 36 **Key words:** UAV; Droplet extraction; WSP; Deposition parameters; Genetic algorithm; Otsu 37 threshold method; 38 39 40 41 42 Introduction 43 The chemical control method is one of the crucial means to manage crop diseases and pests, 44 ensuring food security(Ratnadass et al. 2011). According to data from the Food and Agriculture 45 Organization of the United Nations (FAO), approximately 3,319,054 tons of pesticides were used 46

globally on average each year over the past decade, with China alone using around 302,830.8 tons 47 of insecticides annually, accounting for 9.12% of the world's annual average pesticide usage. 48

49 Unmanned aerial vehicles (UAVs) in plant protection, as a highly efficient and versatile spraying tool, have gradually become the mainstream spraying equipment in agricultural production (He 50 51 2018). Research indicates that the deposition distribution of spray droplets on the target is a key indicator for evaluating the quality of UAV spraying (Shan et al. 2021; Zheng et al. 2021). 52 53 Assessing the droplet deposition distribution is of significant importance in optimizing UAV

54 operational parameters, enhancing pesticide utilization efficiency, and ensuring effective control of plant diseases and pests (Hou et al. 2019; Soheilifard et al. 2020).

55

56 Currently, there are two main methods for detecting the parameters of droplet deposition: direct 57 detection method and indirect detection method (Srinivasarao et al. 2021; Yongjun et al. 2017). The direct detection method usually employs tracer substances, instead of pesticides, for spraying 58 59 on crops. Subsequently, the tracer substances are washed off from the plants or leaves using

distilled water or organic reagents, and the droplet deposition amount is determined through 60



61 spectroscopic analysis and other techniques (Palma et al. 2023; Wang et al. 2021; Yuan et al. 2012). Nevertheless, the direct detection method is characterized by intricate operational 62 63 procedures, and high costs, and is not conducive to rapid field testing (Wang et al. 2019). The indirect detection method refers to the use of artificial media such as water-sensitive paper (WSP) 64 and copperplate paper instead of leaves, which are placed in the spray area to collect droplets. By 65 analyzing the deposition parameters of droplets on the sampling media, the deposition status of 66 droplets in the spray area can be indirectly assessed (Sies et al. 2017; Yang et al. 2022). Compared 67 to direct detection methods, utilizing media such as WSP for detecting droplet deposition 68 69 characteristics is more convenient, cost-effective, and provides superior detection results (Salyani 70 et al. 2013; Wang et al. 2019). Therefore, it is widely employed in the assessment of droplet deposition characteristics. 71 72 To rapidly and accurately detect the droplet deposition parameters on WSP, some scholars have proposed image processing-based methods for assessing droplet parameters on WSP. In 2016, 73 74 Ferguson et al. designed a WSP droplet analysis application named SnapCard for smartphones. 75 Through a comparison with five other image-processing software tools, they showed that 76 SnapCard could efficiently quantify droplet coverage without requiring costly software or intricate laboratory procedures(Ferguson et al. 2016). In 2019, Özlüoymak et al. introduced an image 77 78 processing software integrated with a WSP conveyor belt system. Through adjustments in nozzle 79 types, spraying agents, and conveyor belt speeds to replicate field spraying scenarios, they 80 determined the spray deposition area. This software facilitated rapid evaluations of spray coverage and droplet quantities (Özlüoymak & Bolat 2020). In 2021, Bruno et al. presented a new image 81 82 analysis software named Dropleaf, which indirectly assessed pesticide coverage by analyzing droplet areas on WSP(Brandoli et al. 2021). However, these methods are less reliable in extracting 83 84 very small droplets and are sensitive to image noise, hindering the accurate assessment of droplet deposition parameters. 85 To enhance the accuracy of detecting droplet deposition parameters and minimize the influence of 86 image noise on their precision, this study suggests a droplet extraction method with superior 87 88 stability and effective segmentation performance. By combining the genetic algorithm and Otsu 89 thresholding method, this approach substantially mitigates the impact of noise on the algorithm, facilitating the efficient extraction of droplets on WSP and leading to precise evaluation of droplet 90 91 deposition parameters. Through a comparative analysis of the performance of commonly used



- 92 droplet extraction algorithms with the proposed algorithm on WSP containing three distinct levels
- 93 of droplet deposition, the effectiveness of the algorithm presented in this study is further validated.

94 Materials and methods

- 95 **Spraying platform**
- 96 The UAV employed in this study is the 3WQFTX-101S intelligent electric multi-rotor plant
- 97 protection UAV manufactured by Henan Anyang Quanfeng Aviation Plant Protection Technology
- 98 Co., Ltd., utilized as the spraying platform (Fig. 1). Table 1 shows the key performance parameters
- 99 of this multi-rotor plant protection UAV, featuring a spraying system comprising four XR TEEJET
- 100 1100115VS pressure nozzles with spray angles of 80° and 110°.
- 101 Experimental design
- The experiment took place at the Anyang Institute of Technology in Anyang City, Henan Province.
- 103 A vacant area measuring 30m×10m was designated as the spraying zone. Throughout the
- experiments employing the spraying platform, WSP was placed symmetrically along both sides of
- the UAV flight path, with five sampling points on each side, amounting to a total of 10 sampling
- points. The spacing between WSPs on one side in the vertical flight direction was 0.4m. The flight
- path and sampling point configuration are depicted in Fig. 2. Three different flight speeds (2 m/s,
- 108 3 m/s, and 4 m/s) were utilized for the spraying experiments to gather sample data at various
- 109 coverage. For each speed setting, the flight altitude remained constant at 2m, the spray width was
- 110 fixed at 4m, and the total flow rate of the four nozzles was maintained at 2.36 L/min. The spray
- test was repeated three times with the same WSP layout under the same speed conditions to ensure
- test accuracy.
- 113 Sample collection and processing
- 114 After the UAV completed the spraying operation for 10 minutes, the WSP samples were sealed
- and dried using labeled kraft paper envelopes. Subsequently, all the WSPs were scanned using an
- 116 HP SCANJET G4050 high-definition image scanner to obtain sample images. Finally, the
- 117 collected 90 WSP images were categorized based on the droplet pixel area (PA) into massive
- droplets group (40 000 < PA < 90 000), medium droplets group (12 000 < PA \leq 40 000), and few
- droplets group (PA \leq 12 000). Fig. 3 displays the WSP sample images of these three droplet
- groups, which will be used for the subsequent analysis of droplet deposition parameters.



121 Evaluation metrics for droplet deposition effectiveness

- 122 Droplet coverage and droplet density are critical metrics for evaluating deposition uniformity and
- are essential for accurately assessing spray quality (Lv et al. 2019). Droplet coverage represents
- the ratio of the total area covered by all droplet particles deposited on the target surface to the total
- area of the target surface. The formula for evaluating droplet coverage is as follows:

126
$$C = \frac{A_{S}}{A_{P}} \times 100\% \qquad \#(1)$$

- Where C is the droplet coverage; A_S is the total area of droplets; and Ap is the area of WSP.
- 128 The droplet density refers to the number of droplets deposited on a unit area of the target surface.
- 129 The evaluation index formula is as follows:

130
$$D = \frac{N}{A} \times 100\% \qquad \#(2)$$

- Where D is the droplet density; N is the number of droplet particles on the target; and A is the area
- 132 of WSP.

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Fixed threshold segmentation methods

- 134 The fixed threshold method is a widely adopted image processing technique utilized in the fields
- of image segmentation, contour extraction, and feature extraction(Qi et al. 2022). This method
- operates by setting a fixed threshold and analyzing the gray-level distribution characteristics of the
- image. The algorithm scans each pixel value in the image, comparing it with the pre-defined
- threshold. Pixels exceeding the threshold are set to the maximum gray level value, while pixels
- below the threshold are set to the minimum gray level value, resulting in image binarization. The
- 140 basic idea is as follows:

141
$$G(x,y) = \begin{cases} 255, f(x,y) \ge T \\ 0, f(x,y) < T \end{cases} \#(3)$$

Where T is the fixed threshold, f(x, y) is the pixel point gray value.

Otsu threshold segmentation methods

- 144 The Otsu thresholding method, known as the maximum between-class variance method, is a
- widely employed image binarization segmentation algorithm (Xu et al. 2011). The core principle
- of the Otsu algorithm is to compute the intra-class variance and inter-class variance of the image
- at various thresholds to identify the optimal threshold that maximizes the inter-class variance.
- 148 Initially, the algorithm classifies each pixel in the image based on its grayscale value.
- 149 Subsequently, it calculates the probabilities of pixels being categorized as foreground and



background separately, along with the cumulative mean of the target grayscale level. The algorithm then determines the optimal threshold value by maximizing the inter-class variance between the foreground and background. Assuming a threshold value of k, the expression for the

cumulative mean m of the gray level K is:

154
$$P_1 = \sum_{i=0}^{k} pi, P_2 = \sum_{i=k+1}^{255} pi, m = \sum_{i=0}^{k} ipi \# (4)_{\text{where } p_i \text{ is the probability of the grayscale}$$

value and being i, P_1 is the probability of a pixel being assigned to the background region, P_2 is

the probability of being assigned to the target region.

157
$$\sigma^{2}(k) = \max_{0 \le k \le 255} \frac{(m_{G} * P_{1} - m)^{2}}{P1 * P2} \# (5)$$

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- Where m_G represents the average grayscale value of the entire image, σ^2 (k) is the maximum
- inter-class variance, and *K* is the optimal threshold value.

Threshold segmentation method based on the Genetic-Otsu algorithm

The genetic algorithm is a stochastic global search optimization method inspired by natural selection and genetic processes in biological systems (Mirjalili 2019). Commencing with an initial

164 population, the genetic algorithm utilizes a combination of random selection, crossover, and

mutation operations to iteratively generate a population of individuals that exhibit improved

adaptation to the prevailing environment. This evolutionary process enables the population to

167 navigate towards more advantageous regions within the search space, gradually converging

- towards a subset of individuals that are optimally aligned with the environmental conditions.
- 169 The genetic algorithm is adept at globally searching for optimal solutions, irrespective of the
- mathematical characteristics of the problem. It is especially effective for tackling complex, multi-
- 171 parameter, and nonlinear problems. The application of the Genetic-Otsu algorithm in extracting
- droplets from WSP is exemplified in Fig. 4.

Morphological processing

- 174 Morphological processing stands out as a highly utilized technique in the realm of image
- 175 processing. Its basic idea is to use a special structuring element to measure or extract the
- corresponding shapes or features in the input image, to further analyze the image and recognize
- objects (Chanda 2008). This method is commonly used in the preprocessing and postprocessing



of images and is an effective image enhancement technique. It comprises essential operations such 178 179 as erosion, dilation, opening, and closing. 180 Erosion operation is a process that "shrinks" the foreground area in an image, which can be used to eliminate edges and noise, making the image edges smoother. Dilation is a process that 181 "expands" the foreground area in an image, making the image structure more complete. The 182 opening operation begins with erosion followed by dilation, aiming to reduce noise and refine 183 image edges. This process helps in smoothing irregularities and improving image quality. 184 185 Conversely, the closing operation starts with dilation followed by erosion. This operation fills holes in the image and improves image segmentation. By closing small breaks in the image 186 structure, this operation enhances the continuity and completeness of image features. (Zhang 187 2009). 188 189 In this study, the droplets on the WSP were extracted, and it was observed that there were many holes inside the droplet contours after threshold segmentation. This could affect the accuracy of 190 droplet parameter calculation. To address this issue, a morphological closing operation was applied 191 to optimize the segmented image, resulting in more complete and accurate droplet contours. Fig. 192

195 Data processing and analysis

morphological closing operation.

In this study, the actual values of droplet coverage and density were determined by manually measuring and calculating the area and quantity of droplet contours for 90 WSP samples using Adobe Photoshop 2024 software. To enhance the visualization of data distribution and trends, OriginLab 2021 software was employed for graph plotting, and subsequent statistical analysis was conducted. During the one-way analysis of variance, Tukey's method was utilized to assess the differences between various treatments at a significance level of 0.05.

5 compares the effects of droplet contours before and after threshold segmentation and

202 Results

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203 Evaluation results of droplet Coverage

Droplet coverage is one of the important indicators for evaluating the effectiveness of drone spraying. It reflects the uniformity and effectiveness of droplets on the target. Accurate detection of droplet coverage is crucial for optimizing drone parameters and improving pesticide utilization efficiency.



Fig. 6 shows the relative errors of three threshold segmentation algorithms at different droplet 208 groups. The results indicate that, across the three groups, the Genetic-OTSU threshold method 209 210 proposed in this study has lower segmentation errors and better extraction performance compared 211 to the fixed threshold method and the Otsu threshold method. Specifically, in the few droplets group, the extraction performance of the three methods is comparable, and there is no significant 212 213 difference in the relative error of droplet coverage results. In the medium droplets group, the Genetic-Otsu threshold method proposed in this study yields 214 significantly lower relative errors in droplet coverage compared to the fixed threshold method and 215 the Otsu threshold method, with an average error of only 0.9% compared to manually calculated 216 results. The average relative errors in droplet coverage obtained by the fixed threshold method and 217 the Otsu threshold method do not show significant differences, with the Otsu threshold method 218 219 slightly outperforming the fixed threshold method. In the massive droplets group, the extraction performance of the fixed threshold method is poor, 220 221 showing a significant difference in relative error compared to the Otsu threshold method and the 222 Genetic-Otsu threshold method, with a relative error of 4.7%. Conversely, both the Gene-Otsu 223 threshold method and the conventional Otsu threshold method exhibit high segmentation accuracy in massive droplet groups, with no significant discernible difference between the two methods. 224 225 The proposed algorithm in this study has a lower relative error compared to the Otsu threshold method, with only a 1.2% relative error. 226 227 **Evaluation results of droplet density** Droplet density is an important evaluation indicator for the effectiveness of drone spraying and a 228 229 key factor in determining the effectiveness of pesticide control. Fig. 7 shows the relative errors of three threshold segmentation methods at different densities. The results indicate that across the 230 231 three droplet groups, the Genetic-Otsu threshold method proposed in this study demonstrates outstanding extraction performance and outperforms both the fixed and traditional Otsu threshold 232 methods in calculating droplet density. 233 In the few droplets group, the relative error of droplet density obtained using the fixed threshold 234 235 method is significantly higher than that of the Otsu threshold method and the Genetic-Otsu 236 threshold method, with an error of up to 19.7%. The results of the Otsu threshold method and the Genetic-Otsu threshold method are comparable, with no significant difference. In the medium and 237 the massive droplet groups, there are significant differences in the relative errors of droplet density 238



- among the three methods. The Genetic-Otsu threshold method has the lowest average error in
- 240 droplet density, followed by the Otsu threshold method, while the average relative error of the
- 241 fixed threshold method is above 10%, indicating poorer performance.

242 Visualization of droplet Extraction performance

- 243 Fig. 8 illustrates the extraction results of three droplet extraction algorithms in three droplet groups.
- 244 The results indicate that, across the three droplet groups, the fixed threshold method and the
- traditional Otsu threshold method both struggle to extract small-sized droplets. In contrast, across
- 246 the three droplet groups, the Genetic-Otsu method proposed in this study shows effective
- extraction of small droplets and demonstrates superior extraction performance.
- 248 In addition, as the droplet deposition density increases, the fixed threshold method and the Otsu
- 249 threshold method are prone to identifying adjacent droplets as a single droplet, leading to higher
- 250 calculation errors in droplet deposition parameters. In contrast, the Genetic-Otsu threshold method
- proposed in this study exhibits excellent droplet extraction performance in all three droplet groups.
- 252 This algorithm not only can effectively extract small-sized droplets but also can differentiate
- 253 adjacent droplets, thereby enabling accurate calculation of droplet deposition parameters.

254 **Discussion**

- 255 The genetic algorithm is a global search algorithm that can extensively explore the solution space
- and avoid getting trapped in local optima. In this study, the problem of droplet extraction is
- regarded as an optimization problem, aiming to find a suitable segmentation threshold that can
- 258 achieve the best segmentation effect for the image. Subsequently, the genetic algorithm is
- employed to search for this optimal threshold. By performing random selection, crossover, and
- 260 mutation operations on candidate thresholds, the genetic algorithm continuously explores the
- solution space and eventually identifies the most suitable threshold. Due to its parallelism and
- 262 robustness, the genetic algorithm can largely overcome some limitations of traditional algorithms
- and produce satisfactory results, especially in complex image scenarios or in the presence of
- significant image noise.
- 265 Moreover, once the algorithm extracts the outlines of droplets, the presence of glare phenomenon
- on the liquid droplets leads to the generation of numerous holes in the extracted droplet outlines,
- 267 consequently diminishing the coverage of the liquid droplets. In this study, employing dilation
- 268 followed by erosion operations enables the effective filling of small holes within the outlines,
- ensuring precise extraction of the liquid droplet outlines. This approach capitalizes on the attributes



- of morphological operations to adeptly tackle contour defects induced by glare, thereby enhancing
- 271 the overall accuracy of liquid droplet outline extraction.
- 272 By utilizing a genetic algorithm to optimize the threshold selection process of the Otsu method,
- 273 more accurate and stable results have been obtained at different coverage rates. Additionally, the
- use of morphological processing can better address the issue of extracting droplet contours in
- 275 complex scenes. This comprehensive approach can enhance the overall algorithm performance,
- 276 providing more precise and stable information on liquid droplet deposition parameters for
- 277 subsequent applications.

278 Conclusion

- 279 To accurately reflect the distribution of droplet deposition in the sprayed area after drone spraying,
- 280 this study developed a droplet deposition parameter evaluation method based on a genetic
- 281 algorithm and Otsu thresholding method and conducted experimental verification. The main
- research findings are as follows:
- 283 (1) In the calculation of droplet coverage and density, the Genetic-Otsu thresholding method
- proposed in this study outperformed both the fixed thresholding method and the Otsu thresholding
- 285 method across three droplet groups. The average errors in droplet coverage and density were found
- to be 1.5% and 2.7% for the few droplets group, 0.88% and 1.8% for the medium droplets group,
- and 1.2% and 2.0% for the massive droplets group. These results demonstrate lower segmentation
- 288 errors and improved extraction performance with the Genetic-Otsu method as compared to the
- other threshold methods.
- 290 (2) The Genetic-Otsu thresholding method proposed in this study can effectively extract small-
- 291 sized droplets, at high densities, and can accurately segment agglomerated droplets, significantly
- improving the algorithm's accuracy.
- 293 In summary, the Genetic-Otsu thresholding method proposed in this study can effectively extract
- 294 the droplet contours on WSP, achieve an accurate evaluation of droplet deposition parameters, and
- 295 provide an efficient detection method for evaluating droplet deposition parameters.

297 ADDITIONAL INFORMATION AND DECLARATIONS

- ADDITIONAL IN ORMATION AND BEGEARM TONG
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301	
302	Competing Interests
303 304	The authors declare no competing financial interest.
305	Author Contributions:
306	Yanhua Meng conceived and designed the experiments, performed the experiments, authored or
307	reviewed drafts of the paper, and approved the final draft.
308	Xinchao Liu analyzed the data, performed the experiments, authored or reviewed drafts of the
309	paper, and approved the final draft.
310	Xintao Du performed the computation work, prepared figures and/or tables, and approved the final
311	draft.
312	Yifan Zhang analyzed the data, prepared figures and/or tables, and approved the final draft.
313	Wei Chen analyzed the data, prepared figures and/or tables, authored or reviewed drafts of the
314	paper, and approved the final draft.
315	Rui Sun analyzed the data, conceived and designed the experiments, authored or reviewed drafts
316	of the paper, and approved the final draft.
317	Yuxing Han conceived and designed the experiments, authored or reviewed drafts of the paper,
318	and approved the final draft.
319	
320	Data Availability Statement
321	The data that support the findings of this study are available from the corresponding author upon
322	reasonable request.
323	
324	Reference
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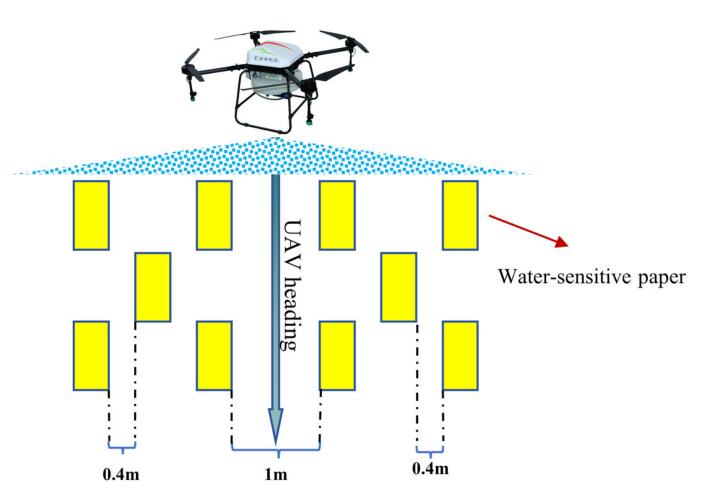
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Quanfeng Aviation 3WQFTX-101S Intelligent Electric Multi-rotor Plant Protection UAV

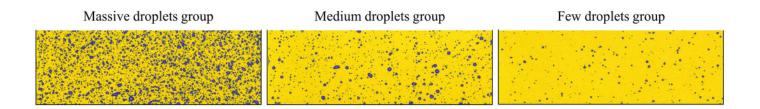


Field sampling point set up

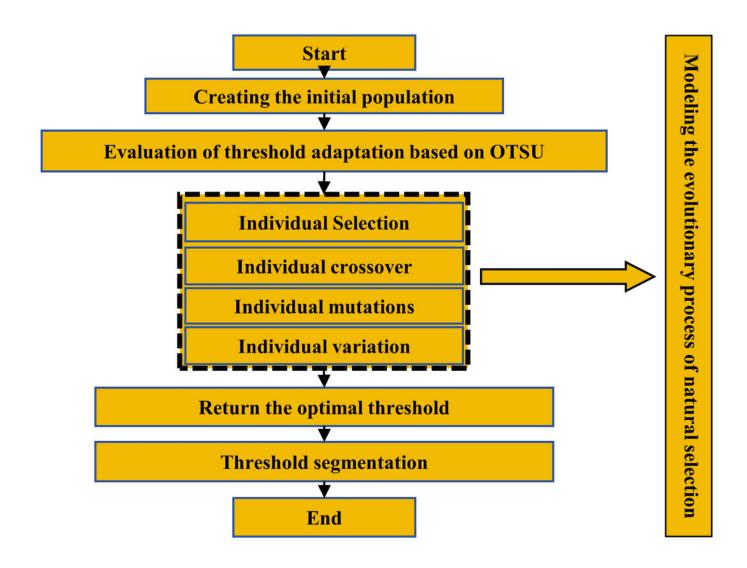




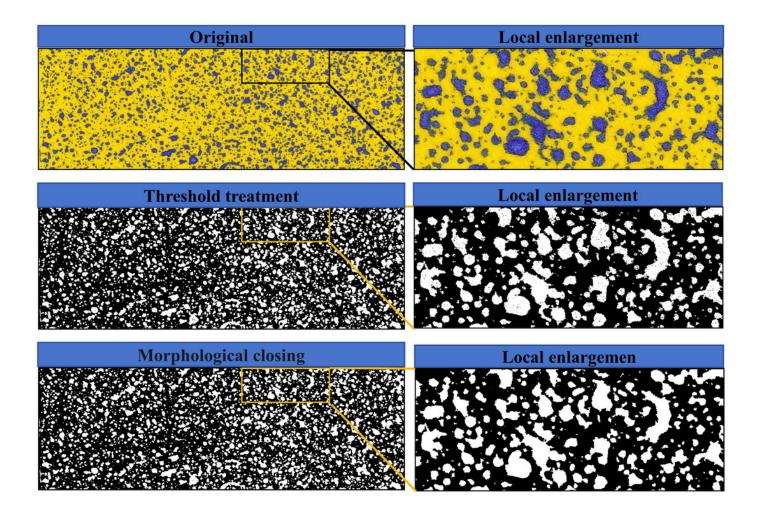
Example of the WSP image group



Threshold segmentation method based on Genetic-OTSU algorithm



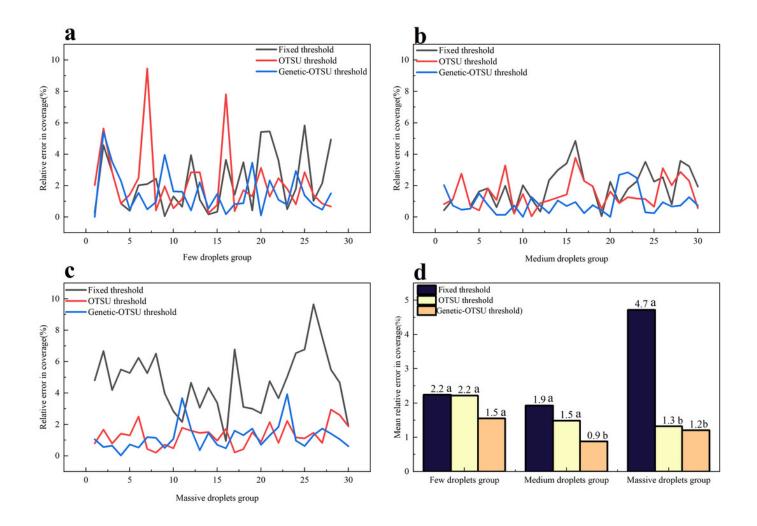
Comparison between before and after morphological closing treatment





Results of droplet coverage for the three methods

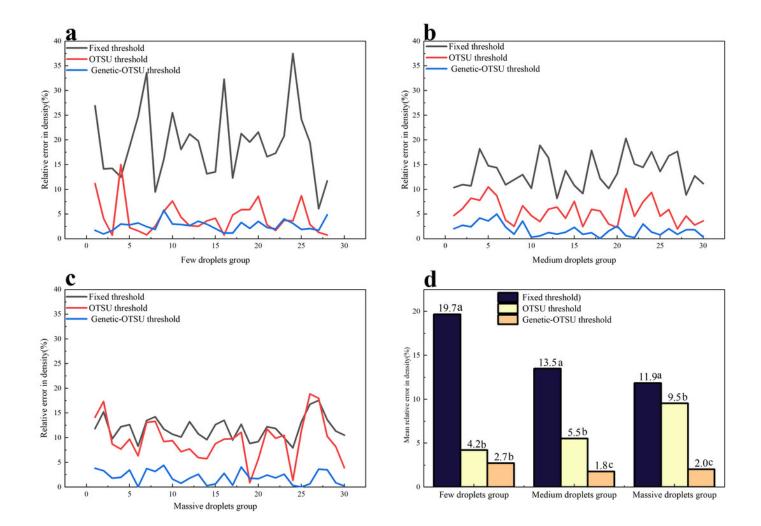
(a) Relative error of droplet coverage for the three methods on the few droplet groups. (b) Relative error of droplet coverage for the three methods on the medium droplet groups. (c) Relative error of droplet coverage for the three methods on the massive droplet groups. (d) Mean relative error of droplet coverage for the three methods on the three droplet groups. Different lowercase letters indicate significant differences among different treatments at the 0.05 level by Tukey's test.





Results of droplet density for the three methods

(a) Relative error of droplet density for the three methods on the few droplet groups. (b) Relative error of droplet density for the three methods on the medium droplet groups. (c) Relative error of droplet density for the three methods on the massive droplet groups. (d) Mean relative error of droplet density for the three methods on the three droplet groups. Different lowercase letters indicate significant differences among different treatments at the 0.05 level by Tukey's test.



Results of the three droplet extraction methods

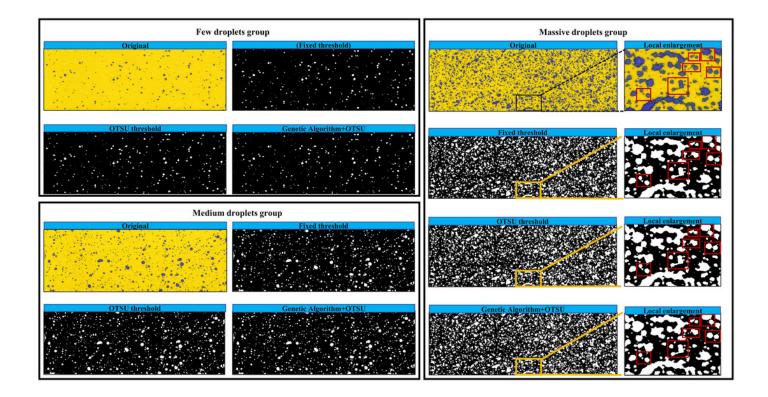




Table 1(on next page)

Main performance parameters of the UAV

1

Main parameters	Value
Size (m)	1.37*1.37*0.65
Spraying height (m)	1-3
Spraying width (m)	3-5
Volume of medicine box (L)	10
Number of nozzles	4
Drone quality (kg)	12±1
Maximum load (L)	10
Spraying flow rate (L/min)	1.92-2.36
Nozzle type	XR TEEJET 1100115VS