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First revision

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Smith et al (J of Methodology, 2005, V3, pp 123) have shown that the analysis you use in Lines 241-250 is not the most appropriate for this situation. Please explain why you used this method.

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- 1. Your most important issue*
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I commend the authors for their extensive data set, compiled over many years of detailed fieldwork. In addition, the manuscript is clearly written in professional, unambiguous language. If there is a weakness, it is in the statistical analysis (as I have noted above) which should be improved upon before Acceptance.

Estimating body volumes and surface areas of animals from cross-sections

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Background: Body mass and surface area are among the most important biological properties, but such information is lacking for some extant organisms and all extinct species. Numerous methods have been developed for body size estimation of animals for this reason. There are two main categories of mass-estimating approaches: extant-scaling approaches and volumetric-density approaches. Extant-scaling approaches determine the relationships between linear skeletal measurements and body mass using regression equations. Volumetric-density approaches, on the other hand, are all based on models. The models are of various types, including physical models, 2D images, and 3D virtual reconstructions. Once the models are constructed, their volumes are acquired using Archimedes' Principle, math formulae, or 3D software. Then densities are assigned to convert volumes to masses. The acquisition of surface area is similar to volume estimation by changing math formulae or software commands. This paper presents a new 2D volumetric-density approach called the cross-sectional method (CSM).

Methods: The CSM integrates biological cross-sections to estimate volume and surface area accurately. It requires a side view or dorsal/ventral view image, a series of cross-sectional silhouettes and some measurements to perform the calculation. To evaluate the performance of the CSM, two other 2D volumetric-density approaches (Graphic Double Integration [GDI] and Paleomass) are compared with it.

Results: The CSM produces very accurate results, with average error rates around 0.20% in volume and 1.21% in area respectively. It has higher accuracy than GDI or Paleomass in estimating the volumes and areas of irregular-shaped biological structures.

Discussion: Most previous 2D volumetric-density approaches assume an elliptical or superelliptical approximation of animal cross-sections. Such an approximation does not always have good performance. The CSM processes the true profiles directly rather than approximating and can deal with any shape. It can process objects that have gradually changing cross-sections. This study also suggests that more attention should be paid to the careful acquisition of cross-sections of animals in 2D volumetric-density approaches, otherwise serious errors may be introduced during the estimations. Combined with 2D modeling techniques, the CSM can be considered an alternative to 3D modeling under certain conditions. It can reduce the complexity of making reconstructions while ensuring the reliability of the results.

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ABSTRACT

Background: Body mass and surface area are among the most important biological properties, but such information is lacking for some extant organisms and all extinct species. Numerous methods have been developed for body size estimation of animals for this reason. There are two main categories of mass-estimating approaches: extant-scaling approaches and volumetric-density approaches. Extant-scaling approaches determine the relationships between linear skeletal measurements and body mass using regression equations. Volumetric-density approaches, on the other hand, are all based on models. The models are of various types, including physical models, 2D images, and 3D virtual reconstructions. Once the models are constructed, their volumes are acquired using Archimedes' Principle, math formulae, or 3D software. Then densities are assigned to convert volumes to masses. The acquisition of surface area is similar to volume estimation by changing math formulae or software commands. This paper presents a new 2D volumetric-density approach called the cross-sectional method (CSM).

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INTRODUCTION

Body mass and surface area are associated with many biological properties, including physiology, ecology, and evolution (Sato et al., 2006; McClain and Boyer, 2009; Benson et al., 2017; Kinoshita et al., 2021). Accurate estimates of these two values are often needed because unreliable results can lead to serious errors in subsequent research (e.g., metabolic rate and speed calculations, Motani, 2002; Sato et al., 2009). However, body masses are unavailable for many large extant animals and all extinct organisms. Surface area information is also lacking because area can not be measured directly. Previous researchers have developed numerous approaches to solve this problem.

In general, there are two categories of approaches for body mass estimation: extant-scaling approaches and volumetric-density approaches (Campione and Evans, 2020). Extant-scaling approaches utilize skeletal measurements to reveal their relationships with body mass using regression equations (Campbell and Marcus, 1992; Campione et al., 2014). A classic and widely used example of extant-scaling approaches is the equation for quadruped mass based on humeral and femoral circumferences (Anderson et al., 1985).

48 The workflow of volumetric-density approaches is to first create a reconstruction of the animal being
 49 studied, then its volume is obtained, and an overall density is assigned to convert volume to mass (Hurlburt,
 50 1999; Henderson, 1999; Motani, 2001). They have a much longer history than extant-scaling approaches,
 51 and numerous types of reconstructions have been developed over the past century, from physical models
 52 to 2D images to 3D virtual models. The earliest volumetric-density approaches were based on physical
 53 models. Gregory (1905) soaked a *Brontosaurus* model in water and acquired its volume using Archimedes'
 54 Principle, then he scaled the result to obtain the final estimate.

55 Some mathematical methods were developed later to calculate volume and surface area from 2D
 56 images. The first 2D volumetric-density method, Graphic Double Integration (GDI), was invented and
 57 introduced by Jerison (1969). Hurlburt (1999) reviewed this method and presented detailed principles and
 58 computational steps. Protruding structures such as limbs or horns are first separated from the animal's
 59 main body, after which the latter is sliced equally into several parts. Each part is treated as a cylinder with
 60 elliptical bases. The semi-major and semi-minor axes of the two bases of each part are measured, then the
 61 average values are taken. By using the corresponding formulae or approximation formulae, the volume or
 62 lateral area of each elliptical cylinder can be calculated. Then the total volume or area is determined by
 63 summing the values of all component cylinders. Although GDI is not mathematically rigorous, it proves
 64 to have high accuracy (>95%) when dealing with objects with near elliptical cross-sections (Jerison,
 65 1969).

66 Henderson (1999) developed a more rigorous math method called mathematical slicing to calculate
 67 volume and center of mass. This method also assumes that biological cross-sections can be approximated
 68 by ellipses. To enable surface area calculation, Henderson (2013) extended mathematical slicing by
 69 decomposing these ellipses into multiple sets of points that divide the surface of the animal into numerous
 70 quadrilaterals. The area of each quadrilateral can then be calculated using vector cross products.

Motani (2001) noticed that some biological cross-sections in nature can not be well represented by
 ellipses. Due to the presence of such objects, Motani developed Paleomass, a program that brackets the
 true shapes using superellipses. The formula describing a superellipse is

$$\left| \frac{x}{a} \right|^k + \left| \frac{y}{b} \right|^k = 1 \quad (1)$$

71 where a and b are semi-major and semi-minor axes respectively. It is noteworthy that this formula
 72 represents an ellipse when k equals 2. It was recently implemented in R and represents the latest study
 73 on 2D volumetric-density approaches (Motani, 2023). The new version can read bitmaps and generate a
 74 superellipse for every pixel along the sagittal axis. All superellipses are then combined into a 3D mesh,
 75 then Paleomass can calculate the cubic pixels (for volume) or square pixels (for surface area) that the
 76 mesh contains (Motani, 2023). The strength of this method is that it can generate intervals to bracket true
 77 animals with different k -values. Paleomass is good at estimating the volumes and surface areas of marine
 78 vertebrates, and it uses an equation for hydrodynamic foils, which includes a parameter that controls
 79 relative thickness, to approximate their fins (Motani, 2023).

80 With the rise of computer technology, three-dimensional modeling has been widely applied in animal
 81 reconstructions (Bates et al., 2009; Eriksson et al., 2022; Segre et al., 2023). The first step in 3D
 82 reconstruction of extinct vertebrates is to obtain the skeleton, which can be converted from photographs
 83 or 3D scans, then soft tissue is added to the skeleton. Once the reconstruction is accomplished, the
 84 volume and surface area of the 3D model can be acquired instantly using software. In addition, if a
 85 density distribution is assigned, 3D software can be applied to determine the location of the center of
 86 mass. A recent example is the high-resolution model of *Spinosaurus aegyptiacus* created by Sereno
 87 et al. (2022). During the process of soft tissue reconstruction, errors and subjectivity can not be avoided
 88 (Campione and Evans, 2020). Sellers et al. (2012) invented the minimum convex hulling method, which
 89 generates minimum convex hulls to envelope the skeleton and adjusts the amount of soft tissue based
 90 on extant mammals. This method can reduce the errors introduced by soft tissue reconstructions, but
 91 it has the disadvantage of requiring numerous extant organisms as samples (Motani, 2023). Compared
 92 with 2D approaches, three-dimensional modeling requires proficient use of 3D software and is more
 93 time-consuming, so there is still a need to develop 2D methods.

94 This paper presents a new 2D volumetric-density approach called the cross-sectional method (CSM).
 95 The CSM is a flexible approach that can handle any shape and can be applied to both extant and extinct
 96 animals. It processes gradually changing cross-sections directly and produces estimates with high accuracy.

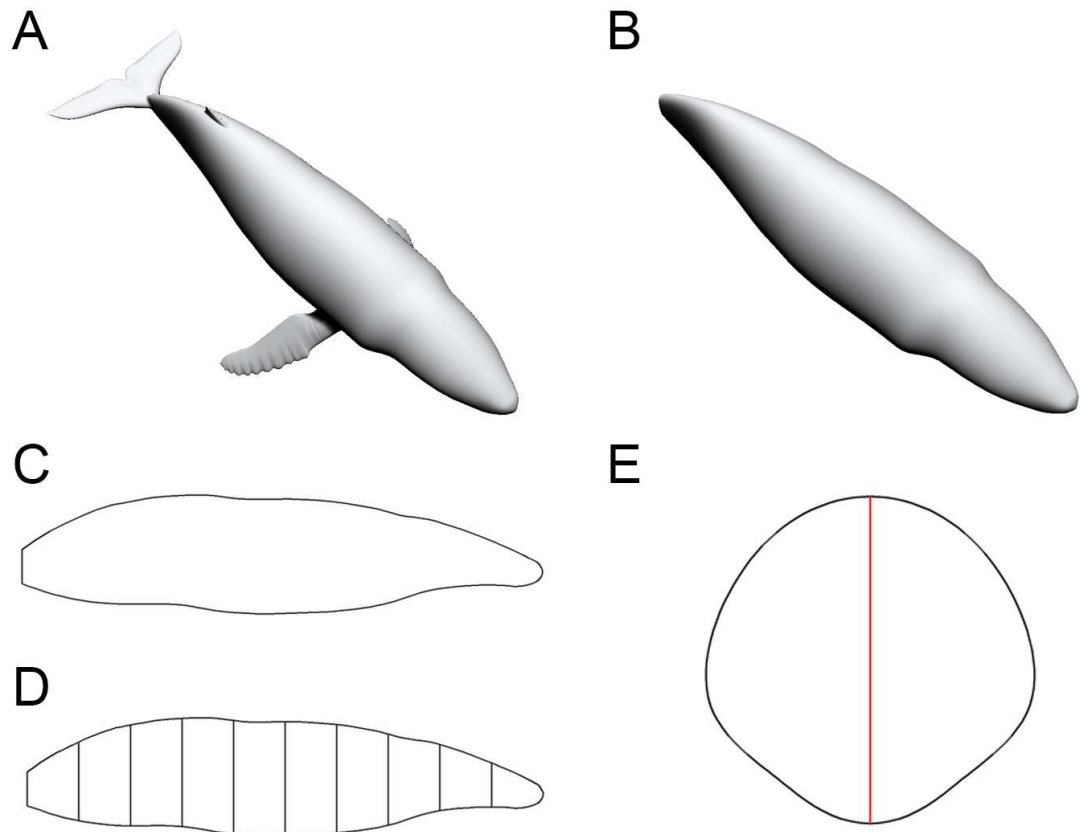


Figure 1. Data collection process of the CSM. (A) The 3D model of a humpback whale (*Megaptera novaeangliae*), from Gutarra et al. (2022), published under the CC BY 4.0 license (<https://creativecommons.org/licenses/by/4.0/>). (B) Main body of the same model, with fins separated and removed. (C) Side view of the main body. (D) Side view of the main body after being sliced into 10 slabs. (E) One of the cross-sections of the main body, with the identity segment marked in red.

97 Combined with 2D modeling techniques, this method can be regarded as an alternative to 3D modeling in
 98 some cases. It can reduce the complexity of constructing animal models while ensuring the reliability of
 99 the results. Elliptical or superelliptical approximations of biological cross-sections, which are assumed in
 100 some other 2D volumetric-density approaches, are shown here to possess limited validity under certain
 101 conditions.

102 MATERIALS AND METHODS

103 Portions of this text were previously published as part of a preprint
 104 (<https://www.biorxiv.org/content/10.1101/2023.10.13.562315v1>).

105 Data Collection

106 To enable the estimation of body volume and surface area, some data are taken from the animal model
 107 being studied. The CSM requires one side view or dorsal/ventral view image and a series of cross-sectional
 108 profiles to perform computation. Figure 1 shows the workflow to collect data from the 3D model of a
 109 humpback whale (*Megaptera novaeangliae*) used in the validation tests of this study. In this particular case,
 110 the cross-sections required by the CSM are truncated from the 3D model, but in practice the cross-sections
 111 can be obtained in other ways (see the Working Examples section below). Protruding structures such as
 112 flukes, limbs and horns are first separated from the main body (Fig. 1B). Their volumes and surface areas
 113 can be calculated independently using the same method applied in the main body part. Then the side view
 114 (or dorsal/ventral view) outline of the animal under study is collected by drawing along the contour from

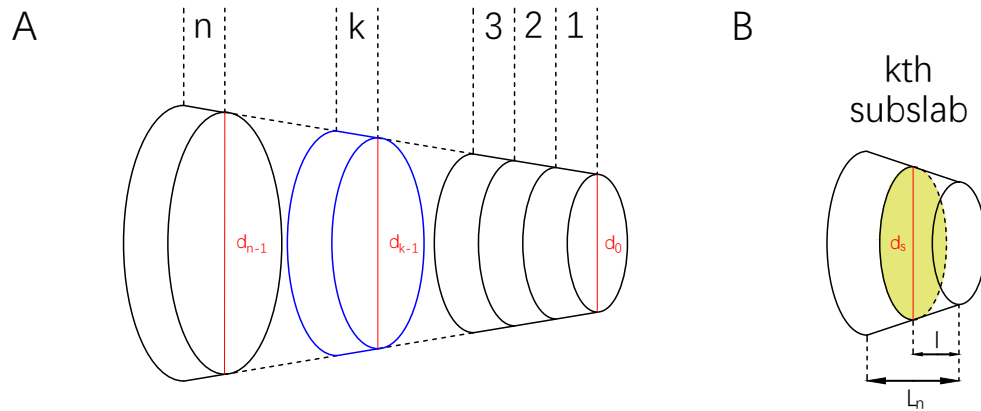


Figure 2. Illustrations of a slab and subslabs. (A) A slab equally partitioned into n subslabs, with the k th subslab marked in blue and identity segments marked in red. (B) The k th subslab, with an arbitrary cross-section B_s marked in green and its identity segment d_s .

115 photos, life reconstructions or orthogonal projections of 3D models (Fig. 1C).

116 The terms “slab” and “subslab” used by Henderson (1999) are inherited here. After the outline is
 117 obtained, the animal’s profile is divided into several slabs using parallel lines (Fig. 1D). The portions
 118 of parallel lines truncated by the profile (i.e., maximum heights in side views or maximum widths in
 119 dorsal/ventral views) are defined here as “identity segments”.

120 After partitioning, each slab (except the first and last one) can be regarded as a frustum with parallel
 121 bases, which are probably different in shape. The slabs at two ends of the animal’s sagittal axis can be
 122 regarded as cones with irregular-shaped bases. In the humpback whale example shown in Figure 1, the
 123 tail fin is separated from the main body, hence only the anteriormost slab can be regarded as a cone. The
 124 next step is to collect the profiles of bases in each slab, which are the original body cross-sections of
 125 the animal (Fig. 1E). Then the area and circumference of each cross-section are acquired using image
 126 processing software.

127 Body Volume Calculation

Consider a slab having two parallel bases which are different in shape (Fig. 2A). Each base has an identity
 segment (denoted by d_0 and d_n respectively), which is used as a proxy for its area (denoted by S_0 and S_n
 respectively). The ratio of S to d^2 is defined and denoted by φ , i.e.,

$$\varphi = \frac{S}{d^2} \quad (2)$$

Then slice the slab equally into n subslabs with all the bases parallel to each other (n is a positive integer).
 Now consider an arbitrary subslab, say the k th one (Fig. 2B). The upper base and lower base of the k th
 subslab are indexed by B_{k-1} and B_k . The parameters (as defined above) of the lower base of the k th
 subslab are d_k , S_k , and φ_k respectively. Total height of the slab is denoted by L , and the height of each
 subslab is L_n . Assume that φ_k follows a linear relationship from φ_0 to φ_n , then

$$\varphi_k = k \left(\frac{\varphi_n - \varphi_0}{n} \right) + \varphi_0 \quad (3)$$

Now consider the volume of the k th subslab. Length of identity segment d can not be simply assumed to
 increase or decrease linearly, because maximum body heights/widths along an animal’s sagittal axis often
 show irregular fluctuation. However, linearity is often used to approximate non-linearity at very small
 scales in calculus. If the partition of the slab is dense enough, it can be assumed that within each subslab
 d also follows a linear relationship. Then for any cross-section (denoted by B_s) in the k th subslab parallel

to the bases B_{k-1} and B_k , it holds that

$$\varphi_s = \left(\frac{\varphi_k - \varphi_{k-1}}{L_n} \right) l + \varphi_{k-1} \quad (4)$$

$$d_s = \left(\frac{d_k - d_{k-1}}{L_n} \right) l + d_{k-1} \quad (5)$$

where l is the distance from B_s to B_{k-1} . Then let

$$\alpha_k = \frac{\varphi_k - \varphi_{k-1}}{L_n} \quad \beta_k = \frac{d_k - d_{k-1}}{L_n} \quad (6)$$

The area of cross-section B_s can be calculated by

$$\begin{aligned} S_s &= \varphi_s d_s^2 \\ &= (\alpha_k l + \varphi_{k-1})(\beta_k l + d_{k-1})^2 \\ &= \alpha_k \beta_k^2 l^3 + (2\alpha_k \beta_k d_{k-1} + \varphi_{k-1} \beta_k^2) l^2 \\ &\quad + (\alpha_k d_{k-1}^2 + 2\beta_k d_{k-1} \varphi_{k-1}) l + \varphi_{k-1} d_{k-1}^2 \end{aligned} \quad (7)$$

Then the volume of the k th subslab is

$$\begin{aligned} V_k &= \int_0^{L_n} S_s dl \\ &= \frac{1}{4} \alpha_k \beta_k^2 L_n^4 + \frac{1}{3} (2\alpha_k \beta_k d_{k-1} + \varphi_{k-1} \beta_k^2) L_n^3 \\ &\quad + \frac{1}{2} (\alpha_k d_{k-1}^2 + 2\beta_k d_{k-1} \varphi_{k-1}) L_n^2 + \varphi_{k-1} d_{k-1}^2 L_n \end{aligned} \quad (8)$$

In particular, if φ is a constant (denoted by Φ), then $\alpha_k = 0$ and

$$V_k = \frac{1}{3} \Phi \beta_k^2 L_n^3 + \beta_k d_{k-1} \Phi L_n^2 + \Phi d_{k-1}^2 L_n \quad (9)$$

The total volume of the slab is

$$V = \sum_{k=1}^n V_k \quad (10)$$

128 The two slabs at both ends of the animal's sagittal axis are processed as slabs with constant Φ if they can
129 be treated as cones, others are regarded to possess gradually changing cross-sections. The total main body
130 volume can be acquired by summing the volumes of all the slabs. The volumes of structures separated
131 (e.g., fins, limbs) from the main body are calculated using the same method.

Some studies require the determination of the center of mass (CM) of an animal (e.g., to find the balance point of animals, Sereno et al., 2022). To determine the vertical plane where the centroid of the k th subslab (denoted by \bar{l}_k) is located, the following formula can be applied:

$$\bar{l}_k = \frac{\int_0^{L_n} S_s l dl}{\int_0^{L_n} S_s dl} \quad (11)$$

Then the plane containing the centroid of each slab (denoted by \bar{x}) can be determined by the following formula if a density distribution is developed

$$\bar{x} = \frac{\sum_{k=1}^n m_k x_k}{\sum_{k=1}^n m_k} \quad (12)$$

where m_k is the mass of the k th subslab, and x_k is the distance from the centroid of the k th subslab to the anteriormost base of the slab, i.e.,

$$x_k = \bar{l}_k + (k-1)L_n \quad (13)$$

132 Eq. (12) can also be extended to determine the location of the vertical plane containing the CM of the
133 whole animal.

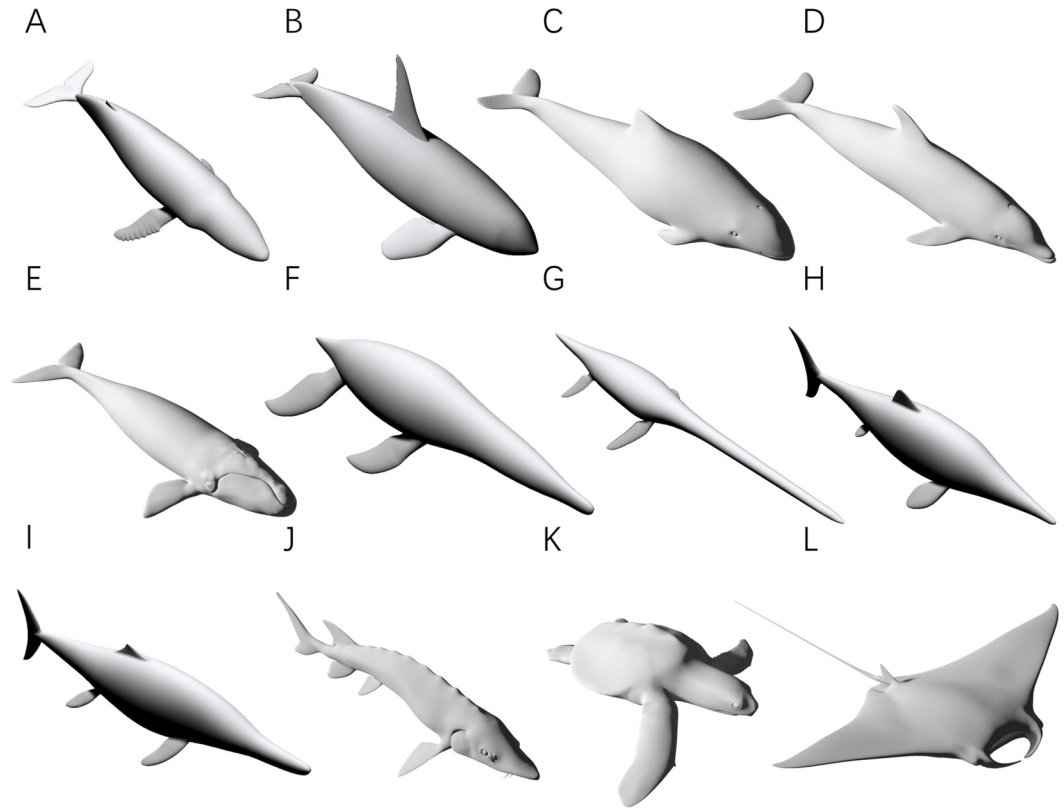


Figure 3. 3D models used for validation. (A) Humpback whale (*Megaptera novaeangliae*). (B) Orca (*Orcinus orca*). (C) Harbor porpoise (*Phocoena phocoena*). (D) Bottlenose dolphin (*Tursiops truncatus*). (E) Southern right whale (*Eubalaena australis*). (F) *Liopleurodon*. (G) *Thalassomedon*. (H) *Ophthalmosaurus*. (I) *Temnodontosaurus*. (J) Atlantic sturgeon (*Acipenser oxyrinchus oxyrinchus*). (K) Hawksbill sea turtle (*Eretmochelys imbricata*). (L) Manta ray (*Mobula cf. birostris*). Image source: (A)(B)(F)(G) are 3D models from Gutarra et al. (2022), and (H)(I) are from Gutarra et al. (2019), all published under the CC BY 4.0 license (<https://creativecommons.org/licenses/by/4.0/>). Other models are from <https://sketchfab.com/DigitalLife3D>, published under the CC BY-NC 4.0 license (<https://creativecommons.org/licenses/by-nc/4.0/>).

134 Body Surface Area Calculation

Similar method is applied to calculate the surface area. All parameters defined in volume calculation except ϕ are inherited here. The circumferences of the upper base and lower base of the slab are denoted by C_0 and C_n . The ratio of C to d is denoted by ψ , i.e.,

$$\psi = \frac{C}{d} \quad (14)$$

The parameters (as defined above) of the lower base of the k th subslab are d_k , C_k , and ψ_k . Assume that ψ_k follows a linear relationship from ψ_0 to ψ_n , then it holds that

$$\psi_k = k \left(\frac{\psi_n - \psi_0}{n} \right) + \psi_0 \quad (15)$$

After slicing the slab equally into n subslabs, linearity is used to approximate non-linearity at very small scales:

$$\psi_s = \left(\frac{\psi_k - \psi_{k-1}}{L_n} \right) l + \psi_{k-1} \quad (16)$$

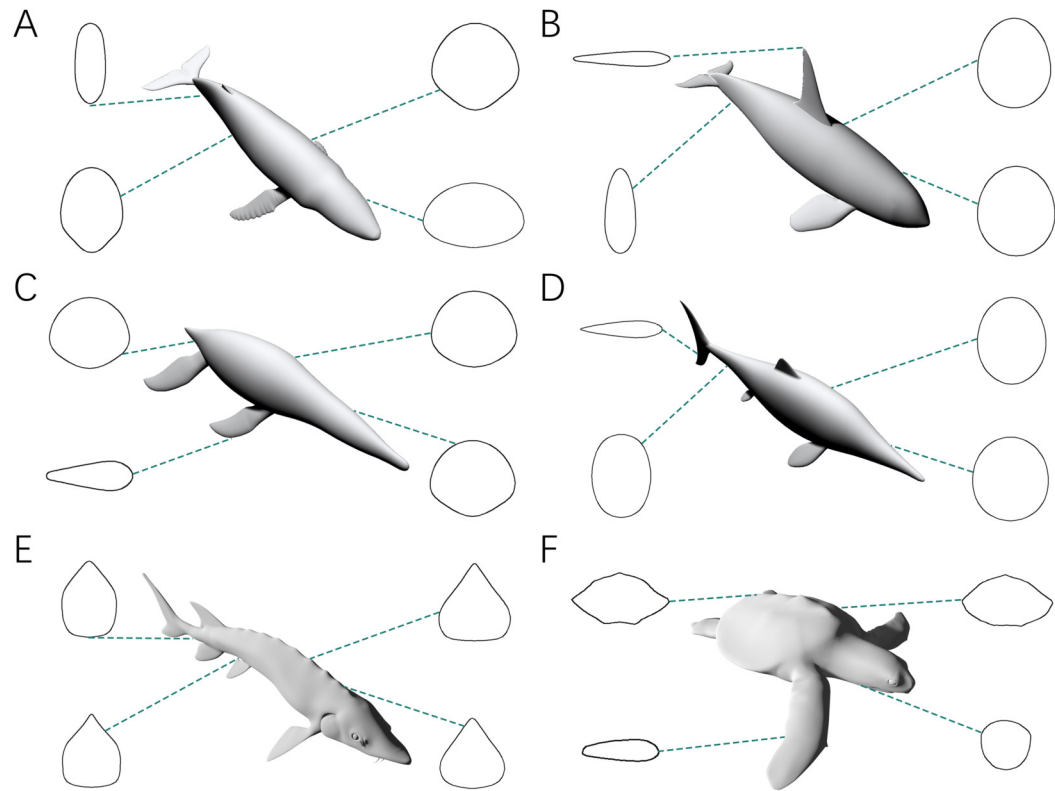


Figure 4. Representative cross-sections of the animal models. (A) Humpback whale (*Megaptera novaeangliae*). (B) Orca (*Orcinus orca*). (C) *Liopleurodon*. (D) *Ophthalmosaurus*. (E) Atlantic sturgeon (*Acipenser oxyrinchus oxyrinchus*). (F) Hawksbill sea turtle (*Eretmochelys imbricata*). Sizes of cross-sections not to scale. 3D models (A) (B) (C) are from Gutarra et al. (2022), and (D) is from Gutarra et al. (2019). (E) (F) are from <https://sketchfab.com/DigitalLife3D>.

$$d_s = \left(\frac{d_k - d_{k-1}}{L_n} \right) l + d_{k-1} \quad (17)$$

where l is the distance from B_s to B_{k-1} . Then let

$$\gamma_k = \frac{\psi_k - \psi_{k-1}}{L_n} \quad \beta_k = \frac{d_k - d_{k-1}}{L_n} \quad (18)$$

The circumference of cross-section B_s can be calculated by

$$\begin{aligned} C_s &= \psi_s d_s \\ &= (\gamma_k l + \psi_{k-1})(\beta_k l + d_{k-1}) \\ &= \gamma_k \beta_k l^2 + (\gamma_k d_{k-1} + \beta_k \psi_{k-1})l + \psi_{k-1} d_{k-1} \end{aligned} \quad (19)$$

Then the lateral surface area of k th subslab is

$$\begin{aligned} A_k &= \int_0^{L_n} C_s dl \\ &= \frac{1}{3} \gamma_k \beta_k L_n^3 + \frac{1}{2} (\gamma_k d_{k-1} + \beta_k \psi_{k-1}) L_n^2 + \psi_{k-1} d_{k-1} L_n \end{aligned} \quad (20)$$

In particular, if ψ is a constant (denoted by Ψ), then $\gamma_k = 0$ and

$$A_k = \frac{1}{2} \beta_k \Psi L_n^2 + \Psi d_{k-1} L_n \quad (21)$$

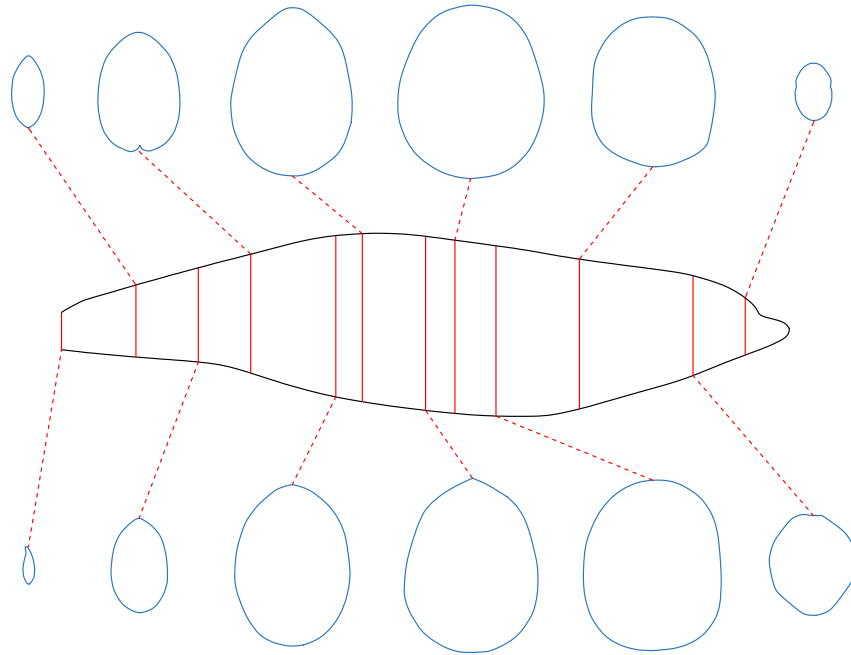


Figure 5. The bottlenose dolphin selected as a working example. Main body of the dolphin, with identity segments (red) and 12 representative cross-sections (blue).

The total lateral surface area of the slab is

$$A = \sum_{k=1}^n A_k \quad (22)$$

135 The two slabs at both ends of the animal's sagittal axis are processed as slabs with constant Ψ if they
 136 can be treated as cones, others are regarded to possess gradually changing cross-sections. The surface area
 137 of the main body is calculated by summing the lateral areas of all slabs. The surface areas of structures
 138 separated (e.g., fins, limbs) from the main body are calculated using the same method.

139 Validation and Comparison

140 Four tests were performed on 3D models to verify the accuracy of the CSM. In all four tests, the volumes
 141 and surface areas of the models were first obtained using 3D software, and then the calculated results
 142 based on 2D methods were compared with these observed values. Only models accurately reproduced
 143 from museum mounts, photographs or 3D scans were used for validation (see Gutarra et al., 2019, 2022,
 144 and <http://digitallife3d.org/>). The models created by the DigitalLife team contain some cavities for mouths
 145 and gullets in their head regions, which may introduce additional errors that affect the evaluation of
 146 the CSM. Therefore, the heads of them were separated and not included in the tests. Each model was
 147 scaled to 1 m in total length prior to the tests. To further evaluate the performance of the CSM, GDI and
 148 Paleomass were included for comparison, which approximate biological cross-sections with ellipses and
 149 superellipses respectively (Hurlburt, 1999; Motani, 2023). To ensure that the three methods could be
 150 compared within the same framework, twelve 3D models of extant or extinct aquatic animals were used
 151 (Fig. 3). Before the tests, protruding structures such as limbs, flukes and fins were separated from the
 152 main body. Different structures from the same model may be used in different tests (see below).

153 The first test is to determine how many subslabs within a slab are required to obtain relatively accurate
 154 volume and surface area estimates. The calculation of the CSM uses linearity to approximate non-linearity
 155 at small scales, and the purpose of this test is to determine how dense the partition needs to be. The main
 156 bodies of the humpback whale (Fig. 3A), the orca (Fig. 3B), the *Liopleurodon* (Fig. 3F) and the Atlantic
 157 sturgeon (Fig. 3J) were selected for this test. Each model was treated as one slab and partitioned into 2-16
 158 subslabs, with 2 increments each time. Two random cross-sections were taken from two ends of each
 159 model. After calculation, the results were compared with the values obtained using 3D software.

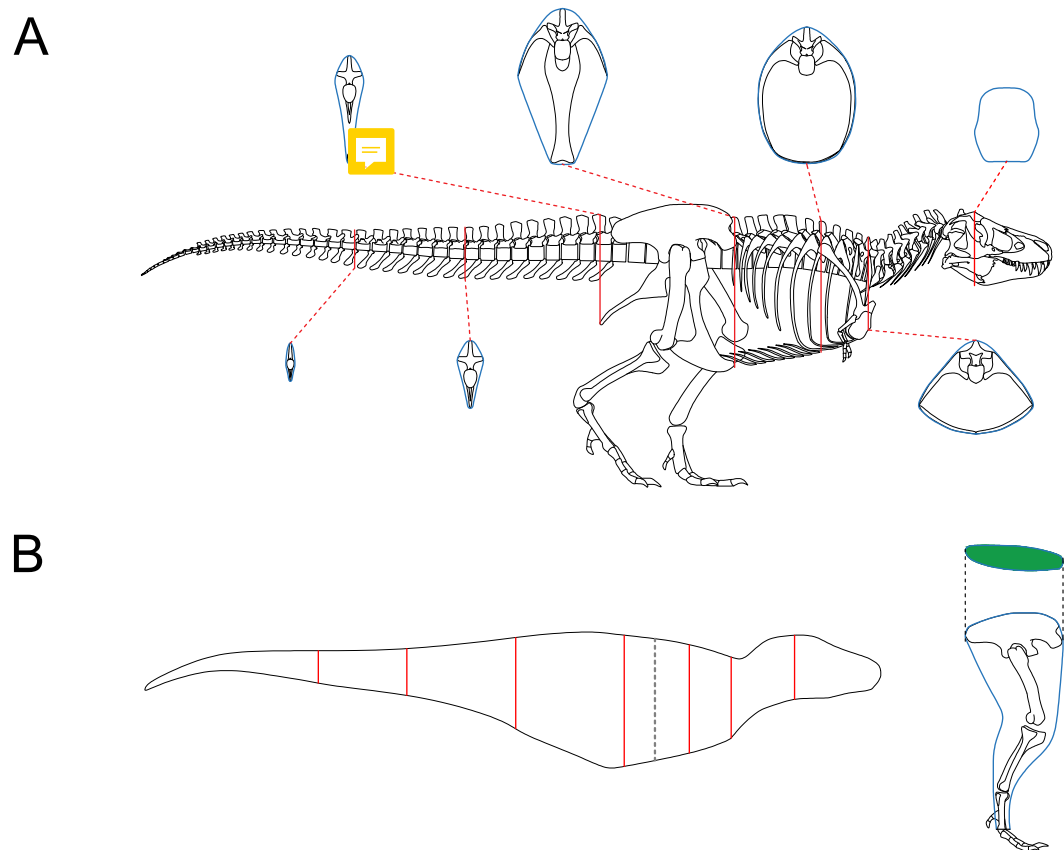


Figure 6. Reconstruction of *Tyrannosaurus rex* AMNH 5027. (A) Skeletal reconstruction and body cross-sections. (B) Main body of AMNH 5027 partitioned into 8 slabs by the 7 cross-sections (red), with the vertical plane containing the CM of the main body marked by the gray dashed line. The posture of hindlimb was adjusted for soft tissue reconstruction. The cross-section of hindlimb (green) was reconstructed following Paul (1988).

160 The purpose of the second test is to determine how many slabs are required to produce relatively
 161 accurate estimates. It is intuitive to expect that as the number of slabs increases, the error rate will decrease.
 162 The four models selected in the first test were also used in this test. Each model was divided into 2-16
 163 slabs, with 2 increments each time. Each slab was further sliced into 10 subslabs prior to computation.

164 The third test aims to find out whether the CSM has comparable or better performance than GDI or
 165 Paleomass in processing animals with near circular cross-sections. The main bodies of five cetaceans, two
 166 plesiosaurs and two ichthyosaurs (Fig. 3A-I) were used in this test. The main body of each model has
 167 rounded or oval cross-sections, which can be well approximated by ellipses or superellipses (Fig. 4A-D).

168 The fourth test is designed to demonstrate that the CSM can still accurately estimate the volumes
 169 and surface areas when dealing with irregular-shaped biological structures. The models used in this test
 170 include fins and flippers of secondarily aquatic tetrapods (Fig. 3BFH), the main body of the Atlantic
 171 sturgeon (Fig. 3J), the main body of the hawksbill turtle (Fig. 3K) and the pectoral fin of the manta ray
 172 (Fig. 3L).

173 Both the third and the fourth tests compare the performance of the CSM, GDI and Paleomass. The
 174 criteria used in these two tests are described below. In GDI, each object was first equally sliced into 120
 175 slabs, then the volume was calculated using the formulae proposed by Hurlburt (1999) after the necessary
 176 measurements were made. Paleomass was performed using the corresponding package in R (Motani,
 177 2023). The four fin specimens in the fourth test were treated as foils and the others were treated as main
 178 bodies (for detailed methods, see Motani, 2023). The k-value range was set to 2-2.3 in the third test.
 179 This is the suitable range for modern cetaceans (Motani, 2023), and it is assumed that the k-values of

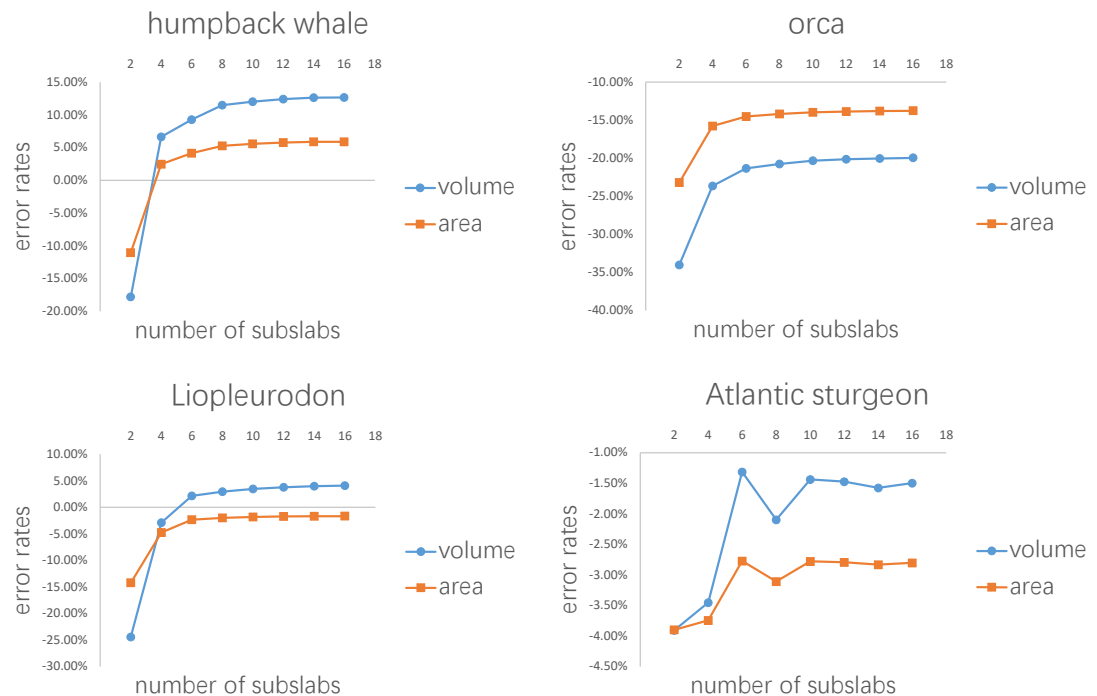


Figure 7. Results of the first test. Each model tested was treated as one slab and partitioned into 2-16 subslabs.

180 plesiosaurs and ichthyosaurs are also in this range. In the fourth test, the k-value range was set to 1.6-2.4,
 181 which successfully bracketed all the aquatic species tested by Motani (2023). To enable the calculation of
 182 error rates and comparison with other methods, the average value of the upper and lower bounds provided
 183 by Paleomass was calculated for each model, following Motani (2023). In the CSM, each object was
 184 equally sliced into 12 slabs and each slab was further divided into 10 subslabs, then the volume and
 185 surface area were calculated after parameters of the bases in each subslab are obtained.

After calculation, the error rates generated by different methods were compared. Error rate is defined as

$$\text{Error Rate} = \frac{\text{Calculated Value} - \text{Observed Value}}{\text{Observed Value}} \quad (23)$$

when the calculation underestimates the true value, the error rate is negative; when overestimating, the error rate is positive. The mean error is calculated as:

$$\text{Mean Error} = \frac{\sum |\text{Error Rate}|}{\text{Sample Number}} \quad (24)$$

186 Working Examples

187 The validation procedure is based on 3D models, but in practice the cross-sections required by the CSM
 188 can be obtained in other ways. This section presents two working examples of the CSM to show how this
 189 method can be used to estimate the sizes of extant or extinct animals.

190 **Example 1: bottlenose dolphin (*Tursiops truncatus*)** For extant animals, the cross-sections can be
 191 obtained by sawing dissection or CT scanning. Once the cross-sectional profiles of an animal have been
 192 successfully collected, the CSM can be used to estimate the masses and surface areas of conspecific
 193 individuals. A series of cross-sections of a female bottlenose dolphin are provided by Huggenberger
 194 et al. (2018). The mass of the female is not recorded, so the cross-sections were applied to estimate the
 195 body mass of a male individual, of which the size information and side view photos are provided in the
 196 same publication. The female was sawn into 73 slices, and 12 of them were selected in this study as
 197 representative cross-sections. Correspondingly, the female was divided into 12 slabs, each containing 6

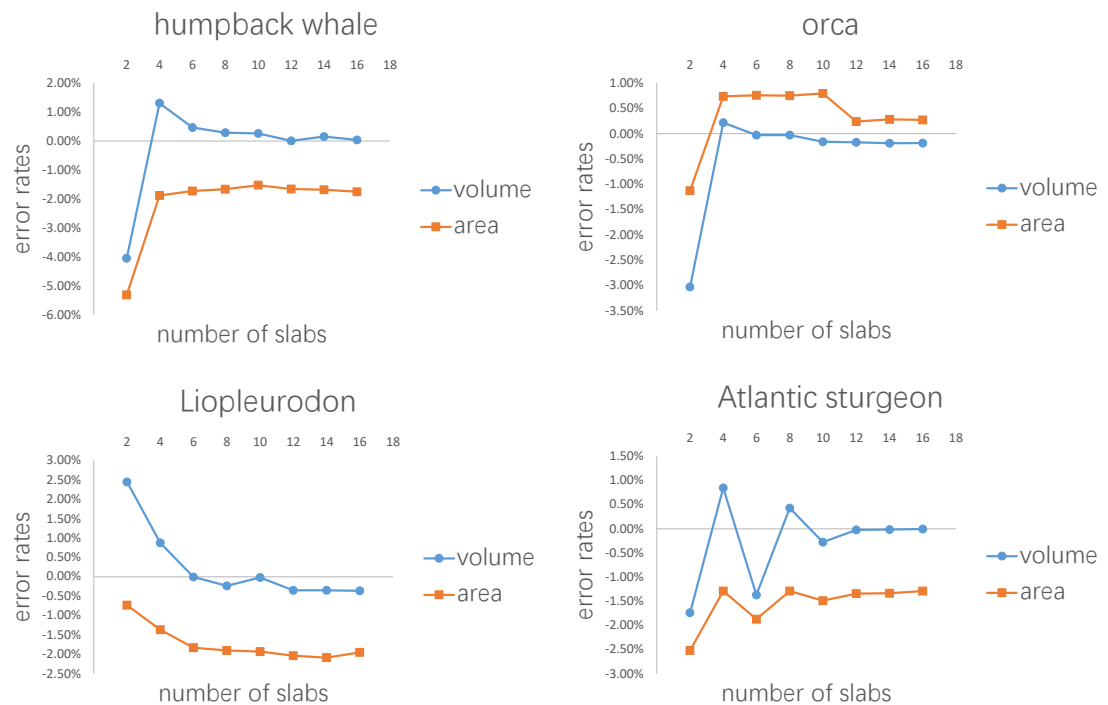


Figure 8. Results of the second test. Every model tested was sliced into 2-16 slabs, each of which was further divided into 10 subslabs.

198 slices, except for the last one that contains 7 slices. The slabs are not of equal length, possibly due to
 199 uneven sawing. The side view silhouette of the male was then sliced in the same way in 2D software (Fig.
 200 5). The volume of the main body of the male was then calculated using the CSM. Side view photos or
 201 cross-sectional profiles of the fins are not provided by Huggenberger et al. (2018), so relative sizes of the
 202 fins to the whole body were summarized from the 3D model used in the third test (Fig. 3D). To convert
 203 volume to mass, a mean density of 1027 kg/m^3 was assigned following Motani (2023).

204 **Example 2: *Tyrannosaurus rex*** Together with 2D modeling techniques, the CSM can be applied to
 205 estimate the body size of extinct vertebrates. To demonstrate how this can be accomplished, I created
 206 a side view reconstruction of *Tyrannosaurus rex* AMNH 5027. Michael Joshua (pers. comm, 2024)
 207 provided the 3D scan of this mount, which allows for precise measurements. Although this individual
 208 has already been mounted, the reliability of the mount can not be guaranteed. For example, the tail was
 209 restored to include 53 caudals (Osborn, 1917), which is unrealistic for *T. rex* (Brochu, 2003). Therefore,
 210 some changes should be made, and it is easier to accomplish this in a 2D environment than in 3D software.
 211 In addition, the CSM combined with 2D modeling can be applied to other extinct vertebrates that are not
 212 mounted. A side view reconstruction of AMNH 5027 and some cross-sections were created first (Fig.
 213 6A; see the supplementary material for detailed process). There are active debates about how much soft
 214 tissue should be added when reconstructing extinct animals (Bates et al., 2009; Hutchinson et al., 2011;
 215 Hurrell, 2019; Ibrahim et al., 2020; Sereno et al., 2022). However, this issue is beyond the scope of this
 216 study, and barely any soft tissue was added to the main body. The cross-section of the hindlimbs was
 217 reconstructed following Paul (1988). The soft contours of the forelimbs and toes of AMNH 5027 are
 218 unknown, and were approximated using cylinders. This would not significantly affect the final result
 219 due to their small sizes. The main body was separated into 8 slabs (Fig. 6B), and each hindlimb was
 220 treated as a single slab. Each slab was divided into 1000 subslabs, and the CSM was used to calculate
 221 their volumes. It is possible that the result presented here underestimates the size of AMNH 5027, but the
 222 amount of soft tissue can be precisely adjusted in 2D software without much effort. In addition, some
 223 previous researchers have provided mass estimates for this individual based on skeletal reconstructions
 224 that also contain little soft tissue (e.g., Paul, 1988). Therefore, the result presented in this paper can be
 225 compared with those from previous studies. It is impractical for the CSM to determine the vertical planes

Table 1. Results of the third test. Models that aren't bracketed by Paleomass are marked with *.

Model	Volume			Surface Area		
	GDI	Paleomass	CSM	GDI	Paleomass	CSM
Humpback whale	4.40%	6.77%*	0.04%	0.47%	6.25%*	-1.66%
Orca	-0.20%	2.05%	-0.17%	1.34%	3.56%	0.24%
Harbor porpoise	0.69%	2.50%	-0.28%	-0.87%	0.02%	-0.83%
Bottlenose dolphin	2.45%	3.31%	0.03%	-0.03%	1.01%	-0.63%
Southern right whale	6.43%	7.90%*	0.10%	1.75%	2.92%*	-1.13%
<i>Liopleurodon</i>	2.94%	5.33%*	-0.35%	-0.82%	2.46%*	-2.04%
<i>Thalassomedon</i>	-1.18%	0.91%	-0.14%	-1.89%	0.38%	-1.29%
<i>Ophthalmosaurus</i>	-1.20%	0.64%	0.89%	-1.68%	0.31%	-1.28%
<i>Temnodontosaurus</i>	-0.34%	2.02%	0.09%	-1.44%	1.04%	-1.37%
Mean	2.20%	3.49%	0.23%	1.14%	1.99%	1.15%

226 containing the centroids of the limbs in this case, so only the CM of the main body was determined using
 227 Eq. (11) and Eq. (12). Henderson (1999) argued that the CM of the main body would still be informative
 228 if the limbs are not included in the calculation. The size and position of the lungs were reconstructed
 229 according to the criteria proposed by Henderson (1999), and the lungs were simplified into an ellipsoid to
 230 facilitate the determination of the centroid. The density of the lungs was set to 0, and that of the other
 231 body parts was set uniformly to 1000 kg/m³.

232 Software Application

233 All the 3D models used in the four validation tests were first processed in Rhino 7. Each model was
 234 divided using the *WireCut* command, then the volume and surface area of the selected part were acquired
 235 with the *Volume* and *Area* commands. Side view and dorsal/ventral view images of the separated models
 236 were obtained with *Make2D*. To generate the cross-sections required by the CSM, the *ClippingPlane*
 237 command was used.

238 Two-dimensional images were then imported into AutoCAD 2020, where they were sliced into slabs or
 239 subslabs. Measurements of each slab or subslab were taken and exported to Excel, where the calculations
 240 of GDI and the CSM were finally performed. To ensure that future users can replicate the CSM, a
 241 step-by-step tutorial can be found at: <https://github.com/Pliosaurus-kevani/Cross-sectional-Method>. The
 242 photos of the bottlenose dolphin selected as a working example were loaded into AutoCAD, then the side
 243 view and cross-sectional silhouettes were created. The *T. rex* model was also constructed in AutoCAD.

244 Both Rhino and AutoCAD are high-precision industrial software. They have been used in previous
 245 studies to estimate the body size of extinct animals and have shown good performance (e.g., Henderson,
 246 2006; McHenry, 2009).

247 Paleomass implemented in R requires bitmaps (Motani, 2023), so the two-dimensional images were
 248 exported from AutoCAD as PNGs. Each PNG was set to possess 6000 × 4000 pixels as suggested by
 249 Motani (2023) for better performance. The images were imported into Photoshop 2020 for editing and
 250 then imported into R 4.1.3 (R Core Team, 2022) for the final calculations.

251 RESULTS

252 Error rates of the four tests are presented in Figure 7, Figure 8, Table 1 and Table 2 respectively. The
 253 detailed results can be found in the supplementary material.

254 Figure 7 shows the results of the first test. It demonstrates that the error rates tend to stabilize for both
 255 volume and area estimations when the number of subslabs reaches a certain value: 8 for the humpback
 256 whale, 6 for the orca, 6 for the *Liopleurodon* and 10 for the sturgeon. The second test similarly shows
 257 that the error rates tend to stabilize when the number of slabs reach a certain value if each slab is equally
 258 divided into 10 subslabs: 6 for the humpback whale, 12 for the orca, 12 for the *Liopleurodon* and 12 for
 259 the sturgeon.

260 Table 1 shows the results of the third test, models that are not bracketed by Paleomass are marked in
 261 red. All the three validated methods show good performance, with error rates below 5% on average. This
 262 corroborates the validity of 2D volumetric-density methods when dealing with animals with rounded or

Table 2. Results of the fourth test. Models that aren't bracketed by Paleomass are marked with *.

Model	Volume			Surface Area		
	GDI	Paleomass	CS	GDI	Paleomass	CS
<i>Liopleurodon</i> flipper	16.79%	24.16%	-0.22%	8.74%	4.30%	0.14%
Orca dorsal fin	12.10%	-29.55%	-0.20%	7.84%	-12.94%	-1.13%
<i>Ophthalmosaurus</i> tail fin	14.18%	15.44%	-0.40%	7.72%	0.74%	-1.36%
Manta ray pectoral fin	16.77%	-57.32%	0.04%	6.62%	-12.38%	-1.86%
Atlantic sturgeon main body	12.64%	9.84%*	-0.03%	-0.54%	5.88%*	-1.35%
Hawksbill turtle main body	18.69%	14.43%*	0.08%	6.16%	8.06%*	-1.34%
Mean	15.20%	25.12%	0.16%	6.27%	7.39%	1.20%

263 oval cross-sections, as shown in previous studies (Henderson, 1999; Motani, 2023). For both volume and
 264 surface area calculations, the CSM has similar or slightly higher accuracy than GDI and Paleomass.

265 In the fourth test, the error rates of GDI and Paleomass increase significantly (Table 2). This indicates
 266 that an elliptical approximation, as assumed in GDI, is not suitable for all biological cross-sections.
 267 Paleomass treats the four fin/flipper samples as foils, which are described by an equation with one
 268 variable that controls relative thickness (t-value, see Motani, 2023). However, high error rates occur
 269 in the estimated results from Paleomass in these samples. Paleomass also fails to bracket the Atlantic
 270 sturgeon and hawksbill turtle with the selected k-value range (1.6-2.4). The CSM generally has much
 271 better performance than GDI or Paleomass in the fourth test, with error rates always lower than 2%.

272 The volume of the male bottlenose dolphin was estimated to be 0.3098 m³. Assuming an overall
 273 density of 1027 kg/m³ following Motani (2023), the body mass is around 318 kg. This suggests that the
 274 method used here overestimates the mass by 10.42%, which is much higher than the error rates in the
 275 validation tests. However, such a high error rate is probably caused by sexual dimorphism (see discussion).

276 The calculated volume of the *T. rex* AMNH 5027 is 6.769 m³. Applying the density distribution
 277 assigned above, the estimated body mass is 6092 kg. This result is within the range of 5709~7908 kg
 278 provided by previous studies (Paul, 1988; Henderson, 1999; Seebacher, 2001; Therrien and Henderson,
 279 2007), based on skeletal reconstructions of this individual that also contain little soft tissue. It is noteworthy
 280 that the vertical plane containing the CM (the gray dashed line in Fig. 6B) lies anterior to the position
 281 calculated by Henderson (1999), possibly because the pelvic region of the current model is much thinner
 282 than those of the ones used by Henderson. **A comprehensive soft tissue reconstruction may shift the CM**
 283 **posteriorly.**

284 DISCUSSION

285 The rationale for including extinct animals in the tests merits a discussion. The body outlines of most
 286 extinct animals are unknown, and the 3D models remain interpretive reconstructions. But body volumes
 287 and surface areas are always obtained after the models are constructed in all volumetric-density methods.
 288 In other words, all volumetric-density methods actually estimate the volumes and surface areas of the
 289 models rather than the true animals. The inclusion of extinct animals in the tests successfully demonstrates
 290 that the CSM can provide accurate volume and area estimates of artificial models if enough cross-sections
 291 are included in the computation. Thus it is a flexible method that can be applied to extant or extinct
 292 animals.

293 Although the validation of the CSM in this paper is based on 3D models, the acquisition of cross-
 294 sections in the actual application process can be accomplished by other means, which are shown in the
 295 Working Examples section. For extant animals, the cross-sections can be obtained through dissection
 296 or CT scanning. Although the bottlenose dolphin selected as an example can be weighed directly, this
 297 method can be extended to other animals, including giant whales that weigh tens of tons. If the dissection
 298 of one captured or naturally deceased individual sheds light on the cross-sectional profiles, the CSM
 299 can be used to study the body sizes of wild populations. In such cases, the CSM requires only one
 300 dorsal/ventral or side view photo rather than two needed in other 2D volumetric-density approaches, and
 301 the results are more accurate. Although the error rate was relatively high (10.42%) in the case of the
 302 bottlenose dolphin, this error may be partly caused by sexual dimorphism. Female bottlenose dolphins
 303 were found to be heavier than males of the same body length (Malette et al., 2015). The male used as an

304 example is 284 cm in body length, and the estimated body mass of a female at this length is 304.8 kg using
305 the regression equation provided by Mallette et al. (2015). This would reduce the error rate to 4.33%.
306 Therefore, if there is a significant sexual dimorphism in the species being studied, it is recommended to
307 obtain different sets of cross-sections for males and females respectively.

308 The *T. rex* example shows how the CSM can be applied to extinct animals. In the study of vertebrate
309 paleontology, the CSM, coped with 2D modeling, can be regarded as an alternative to 3D modeling in
310 some cases. If the user has sufficient knowledge of the skeletal anatomy of the animal under study, the
311 cross-sections can be constructed accurately in 2D software. Although little soft tissue was added to the *T.*
312 *rex* model constructed in this study, it is conceivable that controlling for the amount of soft tissue would
313 be easier in a 2D environment than in 3D software.

314 Although the results of the first and second tests provide guidelines for the CSM in real-world
315 applications to a certain extent, they are based on limited samples. The results of the first test show that 10
316 subslabs for each slab is adequate to satisfy the linear assumption, but future users can choose a number
317 of subslabs larger than this order of magnitude (e.g., 1000, as used in the *T. rex* example), which can be
318 easily accomplished in CAD software like AutoCAD. Figure 8 shows that the number of slabs needed
319 for the error rate to stabilize varies across species. Rather than suggesting a definitive protocol for how
320 many cross-sections should be obtained for each animal, I recommend acquiring a cross-section wherever
321 there is a significant change in shape. The performance of the CSM would be improved if the user have
322 sufficient knowledge of the variation in cross-sectional shapes of the animal under study. In addition, the
323 distances between the cross-sections do not have to be equal, as shown in the two working examples. The
324 amount of cross-sections acquired can be appropriately reduced for regions where shape changes are not
325 significant.

326 The purpose of the comparison of the three methods (GDI, Paleomass, and the CSM) is to demonstrate
327 the limitations of elliptical or superelliptical approximation. It has long been assumed that the cross-
328 sections of an animal's main body or limbs can be approximated by ellipses (Campioni and Evans, 2020).
329 For some species with rounded or oval cross-sections, elliptical approximation has good performance
330 (Table 1).

331 Motani (2001) noticed that some cross-sections in nature can not be well represented by ellipses. This
332 is supported by some of the samples tested in this study (Fig. 4EF). Due to the presence of such objects,
333 the application scope of elliptical approximation methods such as GDI is limited. Paleomass also produces
334 significant errors in the fourth test. The strength of Paleomass is its ability to bracket the true shape using
335 superellipses with different k-values (Motani, 2023). However, some subsequent research requires point
336 estimates rather than intervals (e.g., kinematic analysis, Sato et al., 2006). Taking the average value may
337 be an option, but this would be identical to approximating the shape with a particular superellipse. Instead
338 of superelliptical bracketing, Paleomass treats the fins of animals as hydrodynamic foils, but a single
339 formula with only one variable controlling the thickness may not be sufficient to describe all types of fins
340 and flippers.

341 The CSM presented in this paper calculates volumes and surface areas from cross-sectional profiles
342 directly rather than approximating. Instead of testing the performance of the CSM on complete models,
343 irregular-shaped biological structures were separated and tested independently. This is because such
344 structures (e.g. fins in aquatic animals) are sometimes so small that errors in them have little impact on
345 the overall accuracy. In the third and fourth tests, each model was sliced into 12 slabs and each slab
346 was further divided into 10 subslabs, because they are the minimum values that stabilize the estimates
347 for all the models used in the first and second tests. Under this criterion, the CSM produces more
348 accurate estimates for volume and area than GDI or Paleomass in dealing with irregular-shaped structures.
349 Processing profile images may introduce additional errors, but the total error rates are around or below
350 2% for all the samples tested. Unlike many previous 2D volumetric-density approaches which assume
351 a constant superelliptical k-value ($k=2$ for ellipse) along the sagittal axis, this method is more flexible
352 by assuming and handling gradually changing cross-sections. It generates point estimates rather than
353 intervals, so that the results can be directly incorporated in subsequent studies such as scaling regressions
354 (see "hybrid approaches" in Campione and Evans, 2020).

355 Despite its accuracy, the CSM has some drawbacks and limitations. Compared to other 2D volumetric-
356 density methods, it requires more preparation before calculation. The CSM requires a series of cross-
357 sectional profiles, which can be time-consuming to acquire. To simplify the calculation, the CSM does
358 not introduce a coordinate system, which makes it impractical to calculate the detailed location of the

359 CM of an animal (i.e., only the vertical plane containing the CM can be determined). In addition, the
360 calculation process requires the cross-sections obtained being parallel to each other. When dealing with
361 curved objects, it is recommended to acquire the vertical cross-sections. This issue can be avoided if the
362 animal under study has been subjected to a CT scan since in most cases the cross-sections obtained in
363 this way are vertically oriented. For extinct vertebrates, previous researchers have proposed methods
364 to determine the vertical cross-sections of their ribcages (e.g., Welles, 1943; Hirasawa, 2009; Richards,
365 2011; O’Keefe et al., 2011). Alternatively, the users can straighten the animal model prior to calculation.
366 A representative example is provided by Motani and Pyenson (2024). These two methods can enable the
367 CSM to deal with curved objects, but they may take extra effort to implement.

368 Paul (1997, 2022) suggested that accurate skeletal profiles are essential for reconstructing extinct or
369 extant vertebrates, but a rigorous reconstruction of the ribcage has often been ignored or not published in
370 previous studies. Careful examination of cross-sections is also advocated by other researchers (e.g., Motani,
371 2001). It is suggested that future researchers pay more attention to detailed and careful reconstruction or
372 acquisition of cross-sectional profiles because simply assuming an elliptical or superelliptical cross-section
373 can lead to serious errors, as shown in this paper.

374 CONCLUSION

375 The cross-sectional method (CSM) is a new 2D volumetric-density approach, which processes cross-
376 sectional profiles directly rather than approximating. The CSM requires a side view or dorsal/ventral view
377 image and a series of cross-sectional silhouettes to perform calculation. It integrates biological cross-
378 sections into volumes and surface areas, and produces point estimates with a high accuracy. Combined
379 with 2D modeling, this method can be regarded as an alternative to 3D modeling in some cases. It can
380 reduce the complexity of modeling while producing reliable results. Rather than assuming elliptical or
381 superelliptical cross-sections empirically, future scholars are suggested to carefully examine the profiles
382 to acquire the true shapes.

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