

1 **Non-significant influence between aerobic and anaerobic sample transport**  
2 **materials on gut (fecal) microbiota in healthy and fat-metabolic disorder**  
3 **Thai adults**

4  
5 **Running Title:** Aerobic & anaerobic transport microbiota

6  
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## 34 Abstract

35 **Background.** The appropriate sample handling for human fecal microbiota studies is  
36 essential to prevent changes in bacterial composition and quantities that could lead to  
37 misinterpretation of the data.

38 **Methods.** This study firstly identified [the](#) potential effect of aerobic and anaerobic fecal  
39 sample collection and transport materials on microbiota and quantitative microbiota in  
40 healthy and fat-metabolic disorder Thai adults aged 23-43 years. ~~We employed~~  
41 metagenomics followed [by](#) 16S rRNA gene sequencing and 16S rRNA gene qPCR. ~~to~~  
42 analyze taxonomic composition, alpha diversity, beta diversity, bacterial quantification,  
43 Pearson's correlation with clinical factors for fat-metabolic disorder, and the microbial  
44 community and species potential metabolic functions.

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48 **Results.** Our study successfully obtained microbiota results in percent and quantitative  
49 compositions. Each sample exhibited quality sequences with a > 99% Good's coverage index,  
50 and a relatively plateau rarefaction curve. Alpha diversity indices showed no statistical  
51 difference in percent and quantitative microbiota OTU richness and evenness, between  
52 aerobic and anaerobic sample transport materials. Obligate and facultative anaerobic species  
53 were analyzed, and no statistical difference was observed. The beta diversity analysis by non-  
54 metric multidimensional scale (NMDS) constructed using various beta diversity coefficients  
55 showed resembling microbiota community structures between aerobic and anaerobic sample  
56 transport groups ( $P = 0.86$ ). On the other hand, the beta diversity could distinguish  
57 microbiota community structures between healthy and fat-metabolic disorder groups ( $P =$   
58  $0.02$ ), along with Pearson's correlated clinical parameters (i.e., age, liver stiffness, GGT,  
59 BMI, and TC), the significantly associated bacterial species and their microbial metabolic  
60 functions. For example, genera such as *Ruminococcus* and *Bifidobacterium* in healthy human  
61 gut provide functions in metabolisms of cofactors and vitamins, biosynthesis of secondary  
62 metabolites against gut pathogens, energy metabolisms, digestive system, and carbohydrate  
63 metabolism. These microbial functional characteristics were also predicted as healthy  
64 individual biomarkers by LEfSe scores. In conclusion, this study demonstrated that aerobic  
65 sample collection and transport (< 48 hours) did not statistically affect the microbiota and  
66 quantitative microbiota analyses in alpha and beta diversity measurements. The study also  
67 showed that the short-term, aerobic sample collection and transport still allowed fecal  
68 microbiota differentiation between healthy and fat-metabolic disorder subjects, similar to  
69 anaerobic sample collection and transport. The core microbiota were analyzed, and the  
70 findings were consistent. Moreover, the microbiota-related metabolic potentials and bacterial

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**Deleted:** related metabolic potentials and bacterial species biomarkers in healthy and fat-metabolic disorder were suggested with statistical bioinformatics (i.e., *Bacteroides plebeiu*-related metabolic potentials and bacterial species

86 species biomarkers in healthy and fat-metabolic disorders were suggested with statistical  
87 bioinformatics (i.e., *Bacteroides plebeians*).

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## 89 **Keywords**

90 Human gut microbiome, Microbiota, 16S rRNA gene sequencing, Bacteria diversity, Aerobic  
91 and anaerobic sample transport, Fecal, Fat-metabolic disease

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93

## 94 **Introduction**

95 The human intestine (gut) encompasses the complex and dynamic microbial diversity  
96 of an estimated trillion bacterial cells that are culturable and non-culturable, aerobic and  
97 anaerobic bacteria (*HMP Consortium, 2012a; HMP Consortium, 2012b*). These bacterial  
98 communities were reported to be diverse among ethnicities, ages, diets, and health statuses.

Deleted: Human intestine (gut) encompasses the complex and dynamic microbial diversity of...

99 To date, the culture-independent microbiota study technique via 16S rRNA gene next-

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100 generation sequencing has been considered a reliable identification method (*Reynoso-García*  
101 *et al., 2022; HMP Consortium, 2012b*).

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103 Microbiota in the human gut plays a vital role in maintaining health through proper fat  
104 metabolism, prevention of gut leakage immune responses, and providing essential nutrients  
105 such as vitamins B and K, antimicrobials, and metabolites. (*Reynoso-García et al., 2022;*

Deleted: Human gut bacterial diversity (microbiota) plays a crucial role in a health (symbiosis status), for instances, supporting proper fat metabolisms, gut leakage immune responses, antimicrobials, metabolites, and nutrients such as vitamins B and K ...

106 *Valdes et al., 2018*). There are several diseases that can affect fat metabolism, cause

Deleted: Perturbation of the gut microbiota (dysbiosis status) can cause risks to multiple diseases, e.g., fat metabolic disorders, inflammatory bowel disease, autoimmune diseases, lupus erythrematosouserythematosis, and cancer...

120 inflammation in the bowel or autoimmune responses, trigger lupus erythematosus, or lead to  
121 cancer. (Hrncir, 2022). Lipid metabolism disorders occur when the body improperly  
122 processes energy from food, leading to harmful lipid deposits in organs and tissues, such as  
123 the liver, brain, and peripheral blood. (Handzlik et al., 2023; Yan et al., 2023). Studies of  
124 human gut microbiota are now widely performed using fecal samples and metagenomic 16S  
125 rRNA gene high-throughput sequencing, providing culture-independent identification of  
126 bacterial diversity. (Caporaso et al., 2011; Dailey et al., 2019; Kousgaard et al., 2020;  
127 Human Microbiome Project Consortium, 2012a). Our study compared the influence of  
128 aerobic and anaerobic sample transport materials on human gut microbiota utilizing this 16S  
129 rRNA gene profiling technique, and also analyzed if the microbiota differences might affect  
130 interpretation in healthy and gut disease, in which the fat-metabolic disease is presented as an  
131 example.

**Deleted:** Fat (or lipid) metabolism disorders are defined as an improper process of deriving energy from food that causes harmful lipids deposited in the body. These deposited lipids can damage important tissues and organs, e.g. peripheral blood, liver, and brain ...

**Deleted:** Subsequently, the studies of human gut microbiota using fecal samples and metagenomic 16S rRNA gene high-throughput sequencing have become widely performed, providing the culture-independent bacterial diversity identification ...

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133 Numerous studies have been conducted to explore the effects of different sample  
134 collection preservatives and the duration of sample storage time on fecal samples for gut  
135 microbiome analysis. For example, a temperature of -80°C is generally considered as the  
136 standard option for long-term storage ( $\geq 6$  months), and commonly used chemicals such as  
137 70% ethanol and a sample storage time of around 1 week have been reported as sufficient for  
138 sample preservation. Some researchers have also employed FTA cards and the OMNIgene  
139 Gut kit for the same purpose. (Hsu et al., 2019; Ma et al., 2020; Song et al., 2016; Watson et  
140 al., 2019). As the fecal metagenomics could be degraded, the general protocols recommended  
141 cold sample transport ( $\leq 4^\circ\text{C}$ ) within 24-48 h after sample collection (Gorzalak et al., 2015;  
142 Liang et al., 2020; Moossavi et al., 2019; Song et al., 2016). Our study processed

**Deleted:** Many studies have investigated effects of sample collection preservatives and length of sample storage time to guideline fecal sample handling and storage for gut microbiome analyses. For instances, -80°C was respected a standard choice for long-term ( $\geq 6$  months) sample storage temperature, and a common chemical such as 70% ethanol and ~ 1 week sample storage was reported sufficient for sample preservative while other researches utilized FTA cards and the OMNIgene Gut kit ...

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166 metagenomic extraction immediately after each sample collection and cold transport (within  
167 24 h) to prevent this bias. Moreover, the samples were all transported [by the same container](#)  
168 [material and method \(closed-cap containers and by vehicle\) to prevent possible microbiota](#)  
169 [diversity changes due to a bottle effect and](#) vehicle agitation (*Ionescu et al., 2015; Tihanyi-*  
170 *Kovács et al., 2023*). The anaerobic condition was controlled using [the AnaeroPack-Anaero](#)  
171 [pack](#) (Mitsubishi Gas Chemical, Tokyo, Japan). The effect of aerobic vs. anaerobic sample  
172 transport materials [poses an interesting factor](#) for local clinical sample collection settings. In  
173 local clinical settings and/or resource-constrained settings, an anaerobic sample transport  
174 material with the AnaeroPack-Anaero pack or alike is often unattainable, [and the samples are](#)  
175 [collected](#) aerobically in typical sterile closed-cap polypropylene containers without DNA  
176 preservatives (*Dore et al., 2015; Wesolowska-Andersen et al., 2014*). This partial aerobic  
177 condition may cause [oxygen toxicity to extremely oxygen-sensitive bacteria](#) and thus affect  
178 fecal microbiota and quantitative microbiota analyses (*Ndongo et al., 2020; Taur et al.,*  
179 *2018*). Some bacteria, i.e. *Faecalibacterium* spp., were reported [to be](#) unable to retain cell  
180 viability for > 2 min of oxygen exposure (*Duncan et al., 2002*). [L](#)imited studies have  
181 investigated [the impact of aerobic and anaerobic sample transport materials without DNA](#)  
182 [preservatives](#) on quantitative microbiota and whether this affects [the](#) ability to differentiate  
183 between healthy and metabolic-disease gut microbial diversity (*Fofanov et al., 2018; Jenkins*  
184 *et al., 2018; Martínez et al., 2019*). Our analyses included taxonomic composition, alpha  
185 diversity, beta diversity, bacterial quantification, between aerobic vs. anaerobic and between  
186 healthy vs. fat-metabolic disorder, [and included correlation with clinical factors for fat-](#)  
187 [metabolic disorder](#) and the microbial community and species potential metabolic functions.

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## 202 **Materials and Methods**

### 203 **Participant's recruitment, fecal sample collections and metagenomic extraction**

204 Nine healthy and eleven fat-metabolic disorder Thai participants, males and females  
205 of ~~the age range 24-43 years, were recruited,~~ and all methods used in this study were in  
206 accordance with the guidelines by the ethical approval. The ~~Institutional Review Board,~~  
207 ~~Faculty of Medicine, Chulalongkorn University (no. 735/61) granted the ethical approval for~~  
208 ~~the study.~~ Written informed consent was obtained from all participants in this study. Fecal  
209 samples of these twenty total subjects were collected in fecal containers with one aerobic and  
210 one anaerobic transport material; therefore, there were 20 aerobic transport samples and 20  
211 anaerobic transport samples (Fig. 1.). All forty samples were individually metagenomic  
212 extracted, 16S rRNA gene sequenced and qPCR for microbiota and quantitative microbiota  
213 analyses. In aspect of sample size (N), the statistically required sample size:  $N = (p(1-p)$   
214  $z^2)/e^2$  was computed, given p at an estimated incidence between aerobic vs. anaerobic  
215 microbiota difference of 50%, z score of  $\pm 1.44$  for 85% confidence interval, and e of 11.5%  
216 for margin of error. This yielded an N of 40 (20 aerobic and 20 anaerobic transport samples).  
217

218 For aerobic transport material, the fecal container was capped, sealed, and placed in a  
219 plastic bag. For anaerobic transport material, the fecal container was capped, sealed, and  
220 placed in a plastic bag with the AnaeroPack-Anaero (Mitsubishi Gas Chemical) ( $< 0.1\% O_2$   
221 and  $> 15\% CO_2$ ) (van Horn et al., 1997; Wen et al., 2021). The samples were transported on  
222 the same day of fecal collection ~~at a cold temperature ( $\leq 4^\circ C$ ) and processed immediately~~  
223 ~~within 24 h for metagenomic extraction using DNeasy PowerSoil Pro Kit (Qiagen, Hilden,~~

**Deleted:** age range 24-43 years, were recruited

**Deleted:** ethical approval for the study was granted by the Institutional Review Board, Faculty of Medicine, Chulalongkorn University (no. 735/61)...

**Deleted:** samples

**Deleted:** in cold temperature ( $\leq 4^\circ C$ ) and processed immediately within 24 h for metagenomic extraction using DNeasy PowerSoil Pro Kit (Qiagen, Hilden, Germany) following at a cold temperature ( $\leq 4^\circ C$ ) and processed

233 [Germany](#)) following the manufacturer's instruction (*Wongsaroj et al., 2021; Ondee et al.,*  
234 *2022*). The metagenomic DNA was qualified and quantified by agarose gel electrophoresis  
235 and nanodrop spectrophotometry (A260 and A260/A280).

236

### 237 **16S rRNA gene V3-V5 library preparation and MiSeq sequencing**

238 PCR amplification of the 16S rRNA gene at the V3-V5 region was performed using  
239 the universal prokaryotic primers 342F (5'-GGRGGCAGCAGTNGGGAA-3') and 895R (5'-  
240 TGCGDCCGTACTCCCCA-3') with appended barcode and adaptor sequences (*HMP,*  
241 *2012a; Castelino et al., 2017; Wongsaroj et al., 2021; Dityen et al., 2022*). The 342F was  
242 used elsewhere and the 895R position was shared with the 909R. The in-silico analysis  
243 revealed that the V3-V5 primers could identify bacteria on phylum/class/order/family levels  
244 with > 77% efficiency, genus 56.6% and species 21.1% (*Wang & Qian, 2009; HMP, 2012a;*  
245 *Castelino et al., 2017; Johnson et al., 2019; Darwish et al., 2021; Suwarsa et al., 2021;*  
246 *Wongsaroj et al., 2021; Dityen et al., 2022*). Each PCR reaction comprised 1×  
247 EmeraldAmp GT PCR Master Mix (TaKaRa, Shiga, Japan), 0.2 μM of each primer, and 50-  
248 100 ng of the genomic DNA in a total volume of 75 μL. The PCR conditions were 94°C 3  
249 min, and 25 cycles of 94°C 45 s, 50°C 1 min and 72°C 1 min 30 s, followed by 72°C 10 min.  
250 A minimum of two independent PCR reactions were performed and pooled to prevent PCR  
251 stochastic bias. Then, the ~640-base pair (bp) amplicon was excised from agarose gel  
252 resolution and purified using PureDireX PCR Clean-Up & Gel Extraction Kit (Bio-Helix,  
253 Keelung, Taiwan), and quantified using a Qubit 3.0 Fluorometer and Qubit dsDNA HS Assay  
254 kit (Invitrogen, Waltham, USA). Finally, 180 ng of each barcoded amplicon product was

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256 pooled for sequencing using the Miseq600 platform (Illumina, San Diego, CA, USA), along  
257 with the sequencing primers and index sequence (Caporaso et al., 2012; Wongsaroj et al.,  
258 2021; Dityen et al., 2022; Ondee et al., 2022), at the Omics Sciences and Bioinformatics  
259 Center, Chulalongkorn University (Bangkok, Thailand).

260

### 261 **Quantification of total bacteria copy number**

262 The 16S rRNA gene qPCR was performed to quantify total bacteria in copy unit,  
263 using universal primers 1392F (5'-CGGTGAATACGTTTCYCGG-3) and 1492R (5'-  
264 GGTTACCTTGTTAC GACTT-3'), and Quantinova SYBR green PCR Master Mix (Qiagen,  
265 Hilden, Germany) in a 20 µL total volume and 1 ng metagenomic DNA (or reference DNA),  
266 as previously established (Suzuki et al., 2000; Oldham & Duncan 2012; Wongsaroj et al.,  
267 2021). The qPCR thermocycling parameters were 95°C 5 min, followed by 40 cycles of 95°C  
268 5 s and 60°C 10 s. They ended with a 50-99°C melting curve analysis to validate a single  
269 proper amplicon peak (i.e., neither primer-dimer nor non-specific amplification). The  
270 reference for copy number computation was *Escherichia coli*, in which the ~120-bp 1392F-  
271 1492R amplicon fragments were cloned into pGEM-T-Easy Vector (Promega, Wisconsin,  
272 USA) and the recombinant plasmids were transformed into competent *E. coli* DH5α for  
273 expression (Hanahan et al., 1991). The inserted fragments were verified by colony PCR  
274 using the primers M13F (on vector) and 1492R (inserted fragment). Ten-fold serial dilutions  
275 of the extracted plasmids (10<sup>5</sup>-10<sup>10</sup> copies/µL) were used as the reference standard curves in  
276 the bacterial copy number computation as following equation (Smith et al., 2006).

277

$$\text{Copy number per } \mu\text{L} = \frac{\text{concentration (ng}/\mu\text{L}) \times 6.023 \times 10^{23} \text{ (copies/mol)}}{\text{length (bp)} \times 6.6 \times 10^{11} \text{ (ng/mol)}}$$

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279 The qPCR experiments were performed using Rotor-GeneQ (Qiagen, Hilden,  
280 Germany). Three replicates were conducted per reaction. The bacteria copy number of each  
281 sample was quantified against the reference standard curve by Rotor-Gene Q Series Software  
282 (Qiagen).

283

### 284 **Bioinformatic and statistical analyses for bacterial microbiota diversity and potential** 285 **metabolisms**

286 Raw sequences (reads) were processed following Mothur 1.39.5's standard operation  
287 procedures for MiSeq (*Schloss et al., 2009*) (<https://github.com/mothur/mothur/releases/>),  
288 including removal of (a) reads shorter than 100 nucleotides (nt) excluding primer and barcode  
289 sequences, (b) ambiguous bases  $\geq 4$ , (c) chimera sequences, and (d) homopolymer of  $> 7$   
290 homopolymers. The sequences were aligned with the 16S rRNA gene references and  
291 taxonomic database SILVA 13.2 (*McDonald et al., 2012*), and Greengenes 13.8 (*Quast et al.,*  
292 *2013*) to remove lineages of mitochondria, chloroplasts, eukaryotes, and chimera sequences.

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293 Then, the quality sequences were clustered into operational taxonomic units (OTU) with 97%  
294 nt similarity (78% for phylum, 88% order, 91% class, 93% family, 95% genus, and 97%  
295 species) based on naïve Bayesian taxonomic method with default parameters (*Wang et al.,*  
296 *2007; Schloss et al., 2009*). Samples were normalized for an equal sequencing depth (7,137  
297 quality sequences per sample). The count of total bacteria copy number<sub>s</sub> from the 16S rRNA  
298 gene qPCR data was analyzed along with the percent microbiota composition to yield the  
299 quantitative microbiota (the bacterial copy number for each individual OTU) (*Vandeputte et*  
300 *al., 2017a; Vandeputte et al., 2017b; Jian et al., 2018; Wongsaroj et al., 2021*). Alpha  
301 diversity including Good's coverage index (percent sequence coverage to true estimate),

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304 rarefaction curve, Chao1 richness, inverse Simpson and Shannon diversity; and beta diversity  
305 including Smith theta (Thetan), Sorenson (Sorabund), Morisita–Horn, Yue and Clayton theta  
306 (Thetayc), Bray-Curtis (BC), Jaccard (jclass), and Lennon (Lennon) coefficients, and two-  
307 dimension non-metric multidimensional scaling (NMDS), were computed using Mothur  
308 1.39.5 (Schloss *et al.*, 2009; Schloss, 2020). Estimates of the microbial metabolic profiles  
309 were determined by PICRUSt (Phylogenetic Investigation of Communities by Reconstruction  
310 of Unobserved States) based on the reference genome annotations in KEGG (Kyoto  
311 Encyclopedia of genes and genomes pathways) and statistically compared by STAMP  
312 (Statistical Analysis of Metagenomic Profiles) (Parks *et al.*, 2014). The differences in  
313 microbial metabolic profiles were further analyzed by linear discriminant analysis effect size  
314 (LEfSe) method with pairwise Kruskal–Wallis and Wilcoxon tests to identify the microbial  
315 metabolic biomarkers representing healthy and disease groups. For general statistics, non-  
316 parametric multiple *t*-tests were used, and a *P*-value < 0.05 was considered significant.

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### 318 Availability of supporting data

319 [The nucleic acid sequences in this study were deposited in the NCBI open-access](#)  
320 Sequence Read Archive database, accession number PRJNA1020208.

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## 322 Results

### 323 16S rRNA gene sequencing results and percent microbiota compositions

324 The 16S rRNA gene sequencing yielded 2,365,959 total raw sequences (Table S1:  
325 aerobic sample transport 1,517,643 sequences, and anaerobic sample transport 848,316

335 sequences), and 1,623,517 total quality sequences (aerobic sample transport 1,062,335  
336 sequences, and anaerobic sample transport 561,182 sequences). The average quality  
337 sequences per sample were  $40,587 \pm 24,139$  (avg.  $\pm$  SD), and the numbers of OTUs ranged 5-  
338 10 at phylum (Table 1: average  $6.80 \pm 1.22$  OTUs), 55-93 genus, and 77-133 species levels,  
339 respectively (Table S1 and Table 1). The number of OTUs at phylum, genus and species  
340 levels were found approximately equal between aerobic and anaerobic sample transports  
341 (Table 1: phylum OTUs  $6.55 \pm 1.19$  aerobic,  $7.05 \pm 1.23$  anaerobic; genus OTUs  $71.40 \pm$   
342  $10.45$  aerobic,  $72.70 \pm 11.29$  anaerobic; and species OTUs  $101.15 \pm 16.83$  aerobic,  $101.60 \pm$   
343  $15.67$  anaerobic). Following the successfully high number of quality sequences, the Good's  
344 coverage (estimated percent sequence coverage to true diversity) of all samples were above  
345 99.5% at phylum, genus, and species level OTUs: avg. 100% phylum, 99.82% genus and  
346 99.72% species (Tables 1 and S1). Once [data normalization was performed of all samples,](#)  
347 [each to the same sequencing depth, the Good's coverages remained average.](#) > 99% and the  
348 rarefaction curves were relative plateau (Fig. S1). The data disclosed that the further  
349 microbiota bioinformatic analyses had no bias from various quality sequencing numbers per  
350 sample.

351

352 The percent bacterial compositions at phylum, genus, and species levels across all  
353 participants were compared between aerobic vs. anaerobic sample transport materials, and no  
354 statistical difference in the phylum/genus/species was found (AMOVA,  $P > 0.05$ ) (Fig. 2).  
355 Five [major](#) phyla, ranging from Firmicutes as the top abundant (averagely,  $52.03 \pm 17.30\%$ ),  
356 Bacteroidetes ( $24.32 \pm 14.11\%$ ), Proteobacteria, Actinobacteria, to Fusobacteria, were

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363 presented. The latter three phyla accounted for an average < 24%. Twenty-two bacterial  
364 genera (equating 24 bacterial species OTUs), excluded < 1% genus, or species, were  
365 revealed, and the individual percent genus (or species) was compared between aerobic vs.  
366 anaerobic sample transport materials: no statistical difference were found (*t*-test, *P* > 0.05)  
367 (Table S2). The OTU compositions indicated no statistical difference in microbiota percents  
368 and compositions at phylum, genus and species levels, between aerobic and anaerobic sample  
369 transport groups.

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#### 371 **Quantitative microbiota composition analyses between aerobic and anaerobic sample** 372 **transport groups**

373 Following the quantification of bacteria by the universal 16S rRNA gene qPCR, the  
374 number of bacterial counts and the quantitative microbiota compositions could be analyzed.  
375 The quantity of bacterial counts was not significantly different between aerobic and anaerobic  
376 sample transport groups, although slightly lower for the aerobic sample transport group (Fig.  
377 3A: *P* = 0.057). Noted that the relatively low in the aerobic sample transport group was due  
378 to ID3a and the relatively high in the anaerobic sample transport group was due to ID1a; if  
379 except these two, the average bacterial counts of both groups will even become closer to each  
380 other and *P* value increases (Fig. S2).

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382 Next, individual bacterial species corresponding to obligate (or strictly) anaerobes that  
383 consisted of five bacterial species and facultative anaerobes that consisted of three species  
384 were quantitatively compared. No statistically significant difference in quantity was pointed

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396 in these bacterial species between aerobic and anaerobic sample transport groups (Fig. 3B).

397 In detail, the obligate anaerobic *Bacteroides* spp. were found most dominated than other

398 obligate anaerobic bacterial genera in both groups and presented in approximately

399 comparable counts, followed by *Prevotella*, *Faecalibacterium*, *Oscillospira*, *Bifidobacterium*,

400 and the facultative anaerobic *Haemophilus*, *Streptococcus* and *Enterococcus*, respectively.

401 Nonetheless, the slight but non-statistically significant higher counts of obligate anaerobic

402 bacteria were shown. Still, this trend was minute and found inconsistent for facultative

403 anaerobic bacteria genera (Fig. 3B), highlighting the differences in obligate vs. facultative

404 oxygen requirement effect yet at the non-significant statistic. Overall, the percent microbiota

405 composition and the quantitative microbiota did not demonstrate significant differences

406 between aerobic and anaerobic sample transport materials. Subsequently, the alpha diversity

407 by OTU species richness (OTUs and Chao1) and OTU species diversity (inverse Simpson

408 and Shannon) showed very high *P* values between 0.3827 and 0.9497 (Fig. 4), and the beta

409 diversity among individual samples belonging to aerobic and anaerobic sample transport

410 groups showed no separate clustering pattern (Fig. 5A). Noted that the detail analyses of

411 alpha diversity at OTU phylum and genus levels were also analyzed. No statistic differences

412 were found ( $P > 0.05$ ) (Fig. S3). Additionally, other beta diversity coefficients, such as

413 Sorabund, Morisita-Horn, Thetayc and Bray-Curtis, were computed and all dissimilarity

414 coefficient indices did not separate the microbiota community differences between aerobic

415 and anaerobic sample transport groups (Table S3:  $P > 0.05$ ). Meanwhile, we further classified

416 the samples into healthy and unhealthy categories, and the alpha diversities showed relatively

417 no difference between aerobic and anaerobic sample transports (Fig. S3E).

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424 **Quantitative microbiota analyses between healthy and fat-metabolic disorder groups**

425 When we analyzed the quantitative microbiota structure differences by different beta  
426 diversity coefficients, we found the statistical difference between healthy vs. fat-metabolic  
427 disorder (from now on referred as “unhealthy”) groups (Fig. 5B:  $P = 0.02$ ). The differences  
428 were found when considering only aerobic healthy vs. unhealthy, anaerobic healthy vs.  
429 unhealthy, and combined aerobic+anaerobic healthy vs. unhealthy. Supportively, the clinical  
430 parameters corresponding to fat-metabolic disorders demonstrated statistically ( $P < 0.05$ : age,  
431 liver stiffness, GGT, BMI, TC, AST, ALT, TG, LDL, and CAP) and non-statistically ( $P >$   
432  $0.05$ , HDL) associated the same direction with the unhealthy microbiota community structure  
433 (Fig. 5C). Fig. 5D exhibited bacterial species that significantly associated with unhealthy  
434 community structure patterns such as *Prevotella*, *Haemophilus* and *Bacteroides plebeius*; and  
435 healthy community structure such as *Bifidobacterium*, *Ruminococcus* and *Clostridium*.

436  
437 Furthermore, the low-abundance OTUs of  $< 1\%$  and non-shared inter-individual  
438 microbiota were tested and filtered out (remaining as “core microbiota”) for the NMDS  
439 analysis. The result remained consistent, demonstrating no statistical difference in  
440 quantitative core microbiota between aerobic and anaerobic sample transport groups (Fig. 5E:  
441  $P = 0.87$ ), yet the statistical difference between healthy and unhealthy groups (Fig. 5F:  $P =$   
442  $0.019$ ). This finding might infer the importance in the core microbiota pattern that aligned the  
443 unhealthy microbiota association with the fat-metabolic disorder.

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450 **Metabolic function prediction levels via quantitative profiles of prevalent health-**  
451 **associated bacteria, and microbial metabolic function species biomarkers for healthy**  
452 **and fat-metabolic disorder groups**

453 The metabolic potentials of the potentially important bacteria were analyzed. These  
454 included *Bacteroides*, *Prevotella*, *Megamonas*, *Bifidobacterium*, *Hemophilus*, *Clostridium*,  
455 *Ruminococcus* and Pasteurellaceae (Wu, Bushmanc & Lewis, 2013; Schirmer et al., 2019;  
456 Sun et al., 2020; Sabo & Dumitrascu, 2021). The generally most active microbial-related  
457 functions were metabolism pathway (49.92%: primarily amino acid and carbohydrate  
458 metabolisms followed by energy, cofactors and vitamins, lipid and xenobiotics  
459 biodegradation metabolisms), 19.94% in genetic information processing, 16.22% in  
460 environmental information processing, 3.11% cellular process, 0.91% human diseases, 0.65%  
461 organismal systems, and 5.09% poorly characterized. The OTUs of *Bacteroides* and  
462 *Prevotella copri* represented the topmost varying functional metabolisms (Fig. 6A).  
463 Meanwhile, the functional redundancy among bacterial OTUs, the relative abundances of  
464 these health-associated bacteria showed the dynamic functions with some distinguished  
465 categories of metabolisms, cellular process, and genetic information processing between  
466 healthy and fat-metabolic disorder groups. For instance, the relatively more abundance of  
467 amino acids, carbohydrate and energy metabolism functions, cellular processes, genetic  
468 information processing, and human diseases were reported in the fat-metabolic disorder  
469 group. *Prevotella copri*, *Prevotella stercorea*, and *Bacteroides plebeius* were estimated to  
470 have more diverse and abundant functions in the fat-metabolic disorder group, while  
471 *Bacteroides* and *Bifidobacterium longum* were estimated to be more diverse and abundant in  
472 the healthy group (Fig. S4). These microbial metabolism differences between groups allowed

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**Deleted:** and *Bacteroides plebeius* were estimated to have more diverse and abundant functions in the fat-metabolic disorder group while *Bacteroides* and *Bifidobacterium longum* were estimated the more diverse and abundant in...

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481 LEfSe to identify the specific microbial metabolic functions along the bacterial species as the  
482 biomarkers to differentiate between healthy vs. fat-metabolic disorder groups, with statistical  
483 *P* values. *Prevotella copri* and *Bacteroides plebeius* were the biomarkers for the fat-  
484 metabolic disorder. Their microbial metabolic functions included many functions involved in  
485 diseases (immune system diseases, metabolic diseases, and neurodegenerative diseases). In  
486 contrast, the healthy group showed a greater variety of bacterial species and their associated  
487 metabolic functions when compared to the unhealthy group. This supports the existence of  
488 diverse microbial-related metabolic functions in the human gut. It was noted that the  
489 commonly reported functions were related to metabolism and organismal systems pathways,  
490 while the human disease pathway was rare in the healthy group. (Fig. 6B).

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Deleted: On the other hand, more numbers of bacterial species and their microbial metabolic functions were the biomarkers for the healthy group, supporting the diverse microbial related metabolic functions in healthy human guts; and noted that the commonly reported functions were in metabolism and organismal systems pathways meanwhile the human disease pathway was rare for the healthy group...

491

## 492 Discussion

493 As intestine occupies the most number and diversity of bacteria in human body, fecal  
494 (gut) microbiome represents the important field to study bacterial interactions with human  
495 health (or diseases). The fat-metabolic disorder represent one common related disorder with  
496 fecal microbiota dysbiosis. Due to variation in sample transport materials, especially in local  
497 and limited research resource settings, the anaerobic sample transport materials might be  
498 utilized. Hence, this study analyzed influences of aerobic and anaerobic sample transport  
499 materials on percent composition and quantitative composition of gut microbiota, and also  
500 identified whether these influences could affect the interpretation in microbiomes of healthy  
501 compared with the fat-metabolic disorder. Further, we could describe the percent and  
502 quantitative microbiota differences (including the core microbiota analyses) in healthy and fat-  
503 metabolic disorder subjects disrespects of aerobic or anaerobic sample transport materials.

512

513 Our study successfully obtained microbiota results in percent and quantitative  
514 compositions. The number of quality sequences in each sample allowed reliable Good's  
515 coverage index score for OTU diversity and rarefaction curve. Comparing the entire  
516 microbiota diversity changes (and the core microbiota diversity changes) between the aerobic  
517 and the anaerobic sample transport materials, in both percentages and quantitative counts,  
518 showed no significant difference. Recently, rare species are increasingly recognized to  
519 sometimes present an over-proportional role (*Lynch & Josh, 2015; Jousset et al., 2017; Zeng*  
520 *et al., 2022*), our analyses of both the entire microbiota and the core microbiota, in this study  
521 showed consistent reports with the statistic association was found mainly in the dominant  
522 species. No statistical difference in alpha diversity included numbers of OTUs, Chao1  
523 richness, inverse Simpson and Shannon diversity indices, under uncategorized and  
524 categorized healthy-unhealthy conditions.

525

526 Analyses of obligate anaerobic and facultative anaerobic bacteria were compared and  
527 still no statistical difference in these bacterial species between the aerobic and anaerobic  
528 sample transport groups. Supportively, the beta diversity analysis by NMDS could not  
529 separate bacterial communities of aerobic from anaerobic sample transport groups ( $P = 0.86$ ).  
530 Overall, our study indicated no influence between aerobic and anaerobic sample transport  
531 materials during sample collection and sample transport (provided that the metagenomic  
532 extraction was performed within 2 days) on fecal microbiota and fecal quantitative  
533 microbiota. Our results were consistent with *Taguer et al. (2021)* that short period of oxygen  
534 exposure did not affect the nucleic acid content and changes of bacterial microbiota.

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536 Moreover, studies reported that the fecal samples for microbiome studies might be kept  
537 without any DNA stabilizer reagent at 4°C for up to 8 weeks and at -20°C for the longer  
538 period (Choo *et al.*, 2015; Song *et al.*, 2016). Some obligate anaerobes could partially reduce  
539 pressure of aerobic (oxygen) environment by consuming oxygen via their bacterial oxidase  
540 enzymes (Baughn & Malmay, 2004), for examples, a conserved cytochrome *bd* family  
541 enzymes in many bacterial species in phyla Firmicutes, Bacteroidetes, Actinomycetes and  
542 Proteobacteria. This allowed these obligate bacteria tolerate in the presence of oxygen for  
543 several hours (Borisov *et al.*, 2021). Yet, when possible, the minimizing oxygen exposure  
544 remains the gold standard fecal collection and transport (Burz *et al.*, 2019).

545

546 Next, we analyzed if these microbiota communities remained associated and able to  
547 be distinguished by a fat-metabolic disorder, an example of well-known disease that could be  
548 affected by the gut microbiota dysbiosis (Rothschild *et al.*, 2018; HMP Consortium, 2012b;  
549 Zheng *et al.*, 2020). The beta diversity analyses by NMDS could distinguish the different  
550 microbiota community structures between healthy and this disease state, and many clinical  
551 factors representing the fat-metabolic disorders (Dominianni *et al.*, 2015; Loo *et al.*, 2017;  
552 Liu *et al.*, 2019a; Xu *et al.*, 2019; Zheng *et al.*, 2020) were statistically correlated with the fat-  
553 metabolic disorder microbiota subjects (from both aerobic and anaerobic sample transport  
554 groups) (e.g. age, liver stiffness, GGT, BMI, and TC). In addition, we could identify the  
555 bacterial OTUs that statistically associated with the healthy vs. fat-metabolic disorder, their  
556 microbial metabolic functions, and the potential biomarkers for bacterial species and  
557 correlated metabolisms in healthy vs. fat-metabolic disorder. For instances, genera such as  
558 *Ruminococcus* and *Bifidobacterium* were also reported previously in healthy human gut and

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560 provided functions in short chain fatty acid producers, metabolisms of cofactors and vitamins,  
561 biosynthesis of secondary metabolites against gut bacterial pathogens, energy metabolisms,  
562 digestive system, and carbohydrate metabolism (Ze et al., 2012; Christopherson et al., 2014;  
563 Matijašić et al., 2014;). Noted that the presence of *H. parainfluenzae* was reported no  
564 negative effect in gut health (Kosikowska et al., 2016; Tanner et al., 2016). In comparatively,  
565 the microbial functions involved human disease were rare found in the healthy than the fat-  
566 metabolic disorder groups (Fig. 6B), provided that the microbial functional redundancy was  
567 reported in the human gut microbiota in coherence with our analysis that found many shared  
568 species-function relationship (Figs. 6A and S4) (Vieira-Silva et al., 2016; Tian et al., 2020).  
569

570 For fat-metabolic disorder group, *Prevotella copri* and *Bacteroides plebeius* had been  
571 reported as potential gut pathogens for cardiac valve calcification and cardiovascular disease  
572 (Liu et al., 2019b). However, the prevalence of genus *Prevotella* could be found in healthy  
573 gut, and this genus was reported linked with high-fiber diet consumption (Arumugam et al.,  
574 2011). Hence, the reason that we observed this genus correlated with the fat-metabolic  
575 disorder could be biased by the subjects' diets and lifestyles, which we did not have  
576 information in [the](#) study. Furthermore, limitation in this study included a small number of  
577 samples, which could hinder the correlation and bacterial species identification of the  
578 microbiota and quantitative microbiota with the fat-metabolic disorder.

579

580 Together, the successful utilization in short-term anaerobic sample collection and  
581 transport as the genetic preservation method for the 16S rRNA gene profiling through next  
582 generation sequencing and qPCR techniques suggested its expanded use to other

583 metagenomic techniques such as shotgun metagenome sequencing and bacterial genome  
584 sequencing. This genetic preservation method should also be valid for virome studies  
585 (*Gosalbes et al., 2011, Bikel et al., 2020*). Nevertheless, we acknowledged possible  
586 microbiota diversity changes due to sample transport. For future studies, in addition to the  
587 larger sample size for the more significant statistics, one control metagenomic DNA before  
588 sample transport (the original fecal sample microbiota) shall be included to confirm no  
589 statistical difference between the microbiota in our short-term aerobic transport samples, and  
590 the specific analyses of rare species biosphere (e.g. mbDenoise) (*Lynch & Josh, 2015;*  
591 *Jousset et al., 2017; Pan 2021; Zeng et al., 2022*). A series of > 48 h period of sample  
592 collection-transport time shall be included to investigate the possible longer term of sample  
593 collection-transport period.

594

## 595 **Conclusions**

596 The study first analyzed fecal bacterial microbiota and quantitative microbiota, and  
597 revealed no influence of anaerobic sample transport material on the microbiota and  
598 quantitative microbiota. This indicated that short-term aerobic sample collection and  
599 transport does not statistically  affect  the microbiota analyses, with  $\leq 4^{\circ}\text{C}$  sample storage and  
600 sample processing within 48 h are required. Our study aimed to showcase the differences in  
601 gut microbiota between healthy individuals and those with fat-metabolic disorder. We  
602 collected samples using both aerobic and anaerobic transport methods and analyzed the  
603 microbiota's quantitative potential for microbial metabolism and bacterial species biomarkers  
604 in Thai adult subjects. Although the gut microbiota dysbiosis factor that causes this disease

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**Deleted:** Our study could also be able to demonstrate the gut microbiota differences between healthy and fat-metabolic disorder in both aerobic and anaerobic sample transport collections, and analyze quantitative microbiota related microbial metabolic potentials and bacterial species biomarkers in Thai adult subjects. This disease gut microbiota dysbiosis factor exhibited albeit inter-individual human differences like sex, diet patterns and lifestyles...

614 [exhibited differences in individuals based on factors such as sex, diet patterns, and lifestyles,](#)  
615 [we were able to identify commonalities across the subjects tested.](#)

616

## 617 **Acknowledgments**

618 The authors acknowledged Matanee Palasuk, Piraya Chathanathon, Paweena Ouying  
619 and Chitrasak Kullapanich for their technical assistance or advice.

620

## 621 **Authors' Contributions**

622 NT performed molecular biology experiments and data analysis, and drafted the  
623 manuscript. LW helped molecular biology experiments and data analysis. KJ helped clinical  
624 sample collections. KP provided samples. KP and NS advised and conceived the study. NS  
625 designed the study, coordinated the experiments and data analysis, and wrote the manuscript.  
626 All authors read and approved the final manuscript

627

## 628 **Conflict of Interest**

629 The authors declare no conflict of interest.

630

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## 940 **Figure Legends**

941 **Fig. 1.** Schematic diagram of experimental design.

942

943 **Fig. 2.** Relative percent gut microbiota compositions of aerobic and anaerobic transport  
944 groups at phylum, genus and species levels.

945 Color shades represent bacterial phyla: yellow (Firmicutes), blue (Bacteroidetes), red  
946 (Proteobacteria), grey (Fusobacteria), purple (Actinobacteria), white (Other), and pink  
947 (unclassified bacterial phyla). The OTUs where Mothur could not identify the genus (or  
948 species) names were denoted by small letters (o\_ abbreviates order; f\_, family; g\_, genus and  
949 s\_, species) to the deepest taxonomic names that could be identified; k\_ abbreviated kingdom  
950 bacteria but unclassified phylum; and “Other” represented < 1% phylum (or genus, or  
951 species) OTUs. In right-hand legend the names of OTUs were listed from top-to-bottom the

952 same order as in the barchart OTUs (gray lines in barchart to separate OTU names in each  
953 phylum).

954

955 **Fig. 3.** Quantification of bacterial counts for (A) average total bacterial counts and (B)  
956 average strictly anaerobic and facultative anaerobic bacterial genera, comparing between  
957 aerobic and anaerobic sample transport groups.

958 Data were presented as average  $\pm$  SD. Statistical differences between groups were tested using  
959 Student's *t*-test ( $P < 0.05$ ), and no statistical difference was found.

960

961 **Fig. 4.** Scatter plots showing individual and mean range alpha diversity data at species OTUs  
962 of aerobic (filled circle) and anaerobic (empty square) sample transport groups, measured by  
963 (A) number of OTUs, (B) Chao1 richness, (C) inverse Simpson diversity, and (D) Shannon  
964 evenness.

965 Statistical differences between groups were tested using Student's *t*-test ( $P < 0.05$ ), and no  
966 statistical difference was found:  $P > 0.05$ .

967

968 **Fig. 5.** Non-metric multidimensional scaling (NMDS) constructed from Thetan coefficients  
969 displaying beta diversity among quantitative microbiota communities in aspects of (A and E)  
970 aerobic and anaerobic sample transport groups and (B-D and F) health and fat-metabolic  
971 disorder (denoted "unhealthy") groups.

972 In (A, B, E and F), AMOVA test was performed to determine statistical separation between  
973 designated groups ( $P < 0.05$ ). In (C) and (D) showed the Pearson's correlations with health

974 status parameters and the representing bacterial species OTUs, respectively. For species

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975 where Mothur could not identify the names, the deepest taxonomic names were presented. A  
976 vector direction and length represented the direction and strength of that parameter or OTU to  
977 the communities. A red arrow indicated a statistically significant correlation parameter ( $P <$   
978  $0.05$ ), and a black arrow indicated non-statistically significant correlation parameter ( $P >$   
979  $0.05$ ). In (C), GGT abbreviates gamma-glutamyl transferase; BMI, body mass index;  
980 stiffness, liver stiffness indicates the non-elasticity of the liver associated fat accumulation;  
981 TC, total cholesterol; AST, aspartate aminotransferase; ALT, alanine aminotransferase; TG,  
982 triglyceride; LDL, low-density lipoproteins; CAP, controlled attenuation parameter; and  
983 HDL, high-density lipoproteins. In (E) and (F), the low-abundance OTUs of  $< 1\%$  or non-  
984 relevant inter-individual microbiota were filtered out (remaining as “core microbiota”) for the  
985 NMDS analysis.

986

987 **Fig. 6.** Metabolic functional prediction associated to quantitative profiles of (A) prevalent  
988 health-associated bacteria OTUs and (B) linear discriminant analysis (LDA) combined effect  
989 size (LEfSe) as bacterial species and associated microbial metabolic function biomarkers for  
990 healthy or fat-metabolic disorder (denoted “unhealthy”) groups.

991 Microbial metabolic functions were estimated according to KEGG pathways. In (A), a  
992 different color from nude to tangerine represents the level of quantitative microbial metabolic  
993 function abundance from absence to the highest presence level (scale in vertical bar chart). In  
994 (B), a numeric in front of KEGG name represents the KEGG pathway category: 1,  
995 metabolism; 2, organismal systems; 3, diseases; 4, environmental information processing; 5,  
996 genetic information processing; and 6, cellular processes. The LDA score  $> 3.0$  was referred  
997 microbial metabolic function markers (ANOVA Welch’s test,  $P < 0.05$ ).

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