

# Discovery and validation of molecular patterns and immune characteristics in the peripheral blood of ischemic stroke patients

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**Background:** Stroke is a disease with high morbidity, disability, and mortality. Immune factors play a crucial role in the occurrence of ischemic stroke (IS), but their exact mechanism is not clear. This study aims to identify possible immunological mechanisms by recognizing immune-related biomarkers and evaluating the infiltration pattern of immune cells.

**Methods:** We downloaded datasets of IS patients from GEO, applied R language to discover differentially expressed genes, and elucidated their biological functions using GO, KEGG analysis, and GSEA analysis. The hub genes were then obtained using two machine learning algorithms (least absolute shrinkage and selection operator (LASSO) and support vector machine-recursive feature elimination (SVM-REF)) and the immune cell infiltration pattern was revealed by CIBERSORT. Gene-drug target networks and mRNA-miRNA-lncRNA regulatory networks were constructed using Cytoscape. Finally, we used RT-qPCR to validate the hub genes and applied logistic regression methods to build diagnostic models validated with ROC curves.

**Results:** We screened 188 differentially expressed genes whose functional analysis was enriched to multiple immune-related pathways. Six hub genes (ANTXR2, BAZ2B, C5AR1, PDK4, PPIH, and STK3) were identified using LASSO and SVM-REF. ANTXR2, BAZ2B, C5AR1, PDK4, and STK3 were positively correlated with neutrophils and gamma delta T cells, and negatively correlated with T follicular helper cells and CD8, while PPIH showed the exact opposite trend. Immune infiltration indicated increased activity of monocytes, macrophages M0, neutrophils, and mast cells, and decreased infiltration of T follicular helper cells and CD8 in the IS group. The ceRNA network consisted of 306 miRNA-mRNA interacting pairs and 285 miRNA-lncRNA interacting pairs. RT-qPCR results indicated that the expression levels of BAZ2B, C5AR1, PDK4, and STK3 were significantly increased in patients with IS. Finally, we developed a diagnostic model based on these four genes. The AUC value of the model was verified to be 0.999 in the training set and 0.940 in the validation set.

**Conclusion:** Our research explored the immune-related gene expression modules and provided a specific basis for further study of immunomodulatory therapy of IS.

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15

## 16 **Abstract**

17 **Background:** Stroke is a disease with high morbidity, disability, and mortality. Immune factors  
18 play a crucial role in the occurrence of ischemic stroke (IS), but their exact mechanism is not  
19 clear. This study aims to identify possible immunological mechanisms by recognizing immune-  
20 related biomarkers and evaluating the infiltration pattern of immune cells.

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22 differentially expressed genes, and elucidated their biological functions using GO, KEGG  
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26 revealed by CIBERSORT. Gene-drug target networks and mRNA-miRNA-lncRNA regulatory  
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28 genes and applied logistic regression methods to build diagnostic models validated with ROC  
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30 **Results:** We screened 188 differentially expressed genes whose functional analysis was enriched  
31 to multiple immune-related pathways. Six hub genes (ANTXR2, BAZ2B, C5AR1, PDK4, PPIH,  
32 and STK3) were identified using LASSO and SVM-REF. ANTXR2, BAZ2B, C5AR1, PDK4,  
33 and STK3 were positively correlated with neutrophils and gamma delta T cells, and negatively  
34 correlated with T follicular helper cells and CD8, while PPIH showed the exact opposite trend.  
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36 and mast cells, and decreased infiltration of T follicular helper cells and CD8 in the IS group.  
37 The ceRNA network consisted of 306 miRNA-mRNA interacting pairs and 285 miRNA-

38 lncRNA interacting pairs. RT-qPCR results indicated that the expression levels of BAZ2B,  
39 C5AR1, PDK4, and STK3 were significantly increased in patients with IS. Finally, we  
40 developed a diagnostic model based on these four genes. The AUC value of the model was  
41 verified to be 0.999 in the training set and 0.940 in the validation set.

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43 specific basis for further study of immunomodulatory therapy of IS.

44

## 45 Introduction

46 Stroke is the second leading cause of death and the third leading cause of disability in adults  
47 (Campbell & Khatri, 2020), and more than 80% of strokes are ischemic (Hasan et al., 2018).  
48 Ischemic stroke (IS) seriously affects patients' quality of life and causes a heavy burden to  
49 families and society. Therefore, our study focuses on discovering novel targets for diagnosing  
50 and treating IS.

51 Currently, IS diagnosis mainly depends on clinical manifestations and neuroimaging procedures,  
52 such as computed tomography (CT) (Harston et al., 2015) and magnetic resonance imaging  
53 (MRI) (Zameer et al., 2021). The most effective early treatment methods are thrombolysis and  
54 intravascular therapy (Cassella & Jagoda, 2017; Hasan et al., 2018), both of which have time  
55 limitations when administering. The clinical manifestations of the disease are variable, and the  
56 cost of administering CT and MRI scans is expensive and time-consuming. If the symptoms are  
57 not typical or the above examinations cannot be performed promptly, diagnosis and treatment  
58 may be delayed. Additionally, the above treatment methods carry the risk of bleeding. This has  
59 led us to search for new diagnostics and treatments.

60 Genetic factors are related to the occurrence and prognosis of stroke (Malik et al., 2018; Torres-  
61 Aguila et al., 2019). Possible stroke-related gene loci, such as ANK2, CDK6, and KCNK3, were  
62 found using whole genome sequencing (Malik et al., 2018). However, the specific mechanism of  
63 action was not clear. CYP450, COX-2, PTGIS, TBXAS1, P2RY1, TGB3, and GPIIa are  
64 candidate genes that may be associated with stroke prognosis (Torres-Aguila et al., 2019).  
65 Presently, bioinformatics technology has been widely applied to various diseases, so we used  
66 bioinformatics technology to screen and identify key genes associated with IS and build a  
67 classification diagnosis model based on these genes, in order to provide certain auxiliary  
68 methods for the diagnosis of IS and potential therapeutic targets for the management of diseases.

69 Immune factors are also closely associated with IS (Iadecola et al., 2020; Levard et al., 2021). In  
70 the acute phase, the immune response is activated immediately when the brain is in ischemia.  
71 Damaged or dead brain cells release damage-associated molecular patterns (DAMPs) (Wu et al.,  
72 2022). These substances quickly activate intracranial immune cells (such as microglia), release  
73 chemokines and cytokines, and trigger a series of inflammatory cascades (Anrather & Iadecola,  
74 2016; Levard et al., 2021). More importantly, immune cells in the peripheral blood can penetrate

75 the central nervous system (CNS) through the damaged blood-brain barrier (BBB) (Jayaraj et al.,  
76 2019), further exacerbating damage. At the same time, these DAMPS and cytokines can enter the  
77 bloodstream and activate the systemic immune system and inflammatory response, which can  
78 lead to severe immunosuppression and even fatal infections (Iadecola et al., 2020). In the chronic  
79 phase, adaptive immune responses to the brain are mobilized due to antigen presentation, which  
80 may be the basis of neuropsychiatric sequelae, poststroke dementia/fatigue/depression, and the  
81 root cause of post-stroke morbidity (Iadecola et al., 2020). Epidemic-modulated therapy may be  
82 a good alternative to IS therapy. Several studies have shown that immune regulation can delay  
83 disease progression and improve neurological function and prognosis. These changes highlight  
84 the need to maintain a stable immune microenvironment in the CNS (Javidi & Magnus, 2019;  
85 Jayaraj et al., 2019). TGF- $\beta$  1 has a significant neuroprotective effect (Taylor et al., 2017) and  
86 can reduce post-stroke infection (Cekanaviciute et al., 2014; Doll et al., 2014). Relevant targeted  
87 drugs are now being developed. Specific inhibitors of IL-1 $\beta$  can delay atherosclerosis by  
88 interfering with immune pathways associated with atherosclerotic plaque formation (Khambhati  
89 et al., 2018). Meanwhile, IL-1 receptor antagonists have been found to effectively reduce  
90 peripheral inflammation in patients with acute IS and improve clinical outcomes in these patients  
91 (Smith et al., 2018). In conclusion, the analysis of immune infiltration analysis and study of the  
92 relationship between target genes and immune cell distribution may help to elucidate the  
93 immune-related molecular mechanism of IS and provide the specific basis for immunoregulatory  
94 therapy. At the same time, the prediction of drug target gene action network may provide a new  
95 target for the treatment of IS.

96 Competing endogenous RNA (ceRNA) does not represent a specific type of RNA, but a  
97 regulatory mechanism. By combining with microRNA response elements (MREs) existing on  
98 mRNA, miRNAs inhibit mRNA translation or lead to its degradation, thus achieving the function  
99 of regulating gene expression after transcription (Salmena et al., 2011). Different mRNAs can  
100 compete to bind to the same miRNA and thus participate in the regulation of gene expression.  
101 Transcriptome studies have found that MREs exist not only on mRNA, but also on lncRNA,  
102 circRNA, and other types of RNA, which means that the same miRNA can bind to several and  
103 multiple types of RNA. A competitive relationship is formed between RNA molecules that bind  
104 to the same miRNA, which forms the ceRNA network. If the regulation of ceRNA is abnormal,  
105 it may cause the occurrence of diseases, as shown in the research of tumors (Karreth et al.,  
106 2015), stroke (Li et al., 2020), and other complex diseases. It has been reported that miRNAs  
107 intervene in the occurrence and prognosis of stroke by modulating immune and inflammatory  
108 factors. Huang et al. (2018) found that the inhibition of microRNA-210 suppresses pro-  
109 inflammatory response and reduces acute brain injury of IS in mice. Boldin et al. (2011) reported  
110 that miR-146a inhibits the production of inflammatory cytokines. In addition, the occurrence,  
111 progression, and prognosis of acute IS are also related to abnormal lncRNA expression (Bai et  
112 al., 2014; Feng et al., 2019; Fu et al., 2021; Li et al., 2020; Wang et al., 2020). Therefore, they  
113 are potential candidates for stroke diagnosis. Meanwhile accumulating evidence demonstrates

114 that lncRNAs regulate target gene expression by acting as ceRNAs for miRNAs and participate  
115 in immunoinflammation in cerebral ischemia-reperfusion injury and various diseases.  
116 Knockdown metastasis-associated lung adenocarcinoma transcript 1 (MALAT1), a ceRNA for  
117 miR-181c-5p, attenuated inflammatory injury after cerebral ischemia (Cao et al., 2020). lncRNA  
118 SNHG14 promotes inflammatory response induced by cerebral ischemia /reperfusion injury by  
119 regulating miR-136-5p/ROCK1 (Zhong et al., 2019). The lncRNA small nucleolar RNA host  
120 gene 15 (SNHG15) was shown to regulate the expression of programmed death ligand 1 (PD-L1)  
121 by inhibiting miR-141, which in turn promoted the resistance of stomach cancer cells to the  
122 immune response (Dang et al., 2020). The lncRNA HIX003209 functioned as a ceRNA and  
123 enhanced inflammation by sponging miR-6089 via the Toll-like receptor 4 (TLR4)/nuclear factor  
124 (NF)- $\kappa$ B pathway in macrophages in rheumatoid arthritis (Yan et al., 2019). The construction of  
125 a target gene-miRNA-lncRNA-based CERNA network may provide a molecular basis for  
126 understanding stroke and help predict the possible immunological pathways of IS.

127 In this study, the least absolute shrinkage and selection operator (LASSO) regression and support  
128 vector machine-recursive feature elimination (SVM-RFE) algorithm were applied to screen  
129 essential genes, and their relationship was subsequently analyzed with immune cells. The  
130 combined use of two algorithms made the process more rigorous and standard. A ceRNA  
131 network of hub genes-miRNA-lncRNA was then established. Finally, a logistic regression  
132 diagnostic model was built based on hub genes to provide an auxiliary method for the diagnosis  
133 of IS. The technical route is shown in Figure 1.

## 134 **Materials & Methods**

### 135 **Obtaining and processing profile datasets**

136 We obtained and downloaded the IS gene expression profile from the Gene Expression Omnibus  
137 (GEO, <http://www.ncbi.nlm.nih.gov/geo>) (Table 1). The criteria for screening were that the data  
138 in the dataset contained both IS and control groups (CTL), and the number of cases in each group  
139 was no less than 20. Patients in the IS group were those diagnosed with acute IS. In addition, the  
140 data were based on whole blood samples with full gene expression profiles. After screening,  
141 GSE16561 (Barr et al., 2010) and GSE58294 (Stamova et al., 2014) matched the criteria.  
142 GSE16561 was used as a training set. GSE58294 was used for the validation of biomarker genes.  
143 We applied the Perl language script (<https://www.perl.org/>) to get the gene name corresponding  
144 to each probe from the platform annotation file, and the names were then converted. In this  
145 process, we used the average of all probes' expressions if there were multiple probes  
146 corresponding to the same gene name.

### 147 **Differential expression analysis**

148 We applied R package limma (Ritchie et al., 2015) (version 3.6.0) to perform differential  
149 expression analysis to identify DEGs between groups in the dataset GSE16561. FoldChange >

150 1.5 and adjusted  $P$ -value  $< 0.05$  were taken as significant criteria. DEGs were represented by  
151 heatmap, designed by the pheatmap package (Kolde, 2012) (version 1.0.12), and volcano maps,  
152 created by the ggplot2 package (Wickham, 2009) (version 3.3.5).

### 153 **Function enrichment analysis**

154 We not only annotated the function of the differentially expressed genes themselves using Gene  
155 Ontology (GO) enrichment, but also studied the pathways through Kyoto Encyclopedia of Genes  
156 and Genomes (KEGG) analysis. GO Enrichment and KEGG pathways analysis results were  
157 obtained using clusterProfiler (Yu et al., 2012). GSEA software was used to analyze the potential  
158 molecular mechanisms and signaling pathways of Hub genes in IS patients. C2 (c2.cp. Kegg.  
159 v7.4.symbols. gmt) was selected as the reference gene set for analysis. The R package  
160 clusterProfiler also conducted the GSEA analysis of the IS and CTL groups, with an adjusted  $P$ -  
161 value  $< 0.05$ .

### 162 **Screening for hub genes**

163 Two machine learning methods (LASSO and SVM-REF) were used to identify the hub genes of  
164 IS. These two methods were first applied to analyze breast tumors (Wang & Liu, 2015), and then  
165 also to neurological diseases such as AD (Liu et al., 2021). LASSO regression is characterized  
166 by variable selection and complexity adjustment while fitting a generalized linear model. Thus,  
167 the target-dependent/response variable can be modelled and predicted whether it is continuous,  
168 binary, or multivariate discrete (Friedman et al., 2010). The LASSO analysis was undertaken  
169 using the glmnet package (Engelbrechtsen & Bohlin, 2019) with the following parameters: response  
170 type set to binomial, alpha set to 1, and 10-fold cross-validation to adjust the optimal value of the  
171 parameter  $\lambda$ . SVM has shown many unique advantages in solving small samples and in nonlinear  
172 and high-dimensional pattern recognition, and can be extended to other machine learning  
173 problems such as function fitting. SVM-RFE, on the other hand, is used as a practical feature  
174 selection technique to find the best variables by removing the feature vectors generated by SVM  
175 (Wang & Liu, 2015). The algorithm was constructed using the e1071 package and  
176 halve.above=100 and k=5 were used as parameter criteria. The hub genes were the intersecting  
177 genes obtained by the two machine learning algorithms.

### 178 **Evaluation of immune cell infiltration**

179 Analysis of immune cell infiltration relied on CIBERSORT, which is a widespread tool to  
180 research the proportion of 22 immune cells in specimens. Furthermore, we analysed the  
181 Spearman correlation between immune cells and key genes. The corrplot software package  
182 (Friendly, 2002) calculated the Pearson correlation coefficient between hub genes and each  
183 immune cell.

### 184 **Construction of the ceRNA network**

185 First, the miRanda, MicroRNADB, and TargetScan databases were used to simultaneously  
186 predict the target miRNAs of hub genes and determine the intersection. We obtained the  
187 miRNA-mRNA interaction pair file. Second, the spongeScan database  
188 (<http://spongescan.rc.ufl.edu>) was used to predict the lncRNA based on the resulting file from the  
189 previous step. Finally, the ceRNA network was formed, and the feature files were jointly built  
190 based on the lncRNA-miRNA-mRNA files. We visualised ceRNA regulatory networks using  
191 Cytoscape (Shannon et al., 2003).

## 192 **Gene-drug target network analysis**

193 The Drug-Gene Interaction Database (DGIDB, <http://www.dgidb.org/>), an online database that  
194 collects data on drug and gene interactions, was the source of material for building the network.  
195 The hub gene-drug interaction pair was compared with the DGIDB database to identify  
196 immunomodulatory drugs that may be related to IS. The gene-drug target network was  
197 constructed based on the above information.

## 198 **Sample collection**

199 Our samples were all obtained from patients in the Department of Neurology of the Second  
200 Affiliated Hospital of Harbin Medical University, approved by the Medical Ethics Committee of  
201 the Second Affiliated Hospital of Harbin Medical University (NO. KY2022-285) (Document  
202 S1), and all enrolled individuals signed an informed consent form (Document S2). There were  
203 five cases in the disease group and five cases in the healthy CTL. The disease group's (IS group)  
204 inclusion criteria: patients were suffering from their first acute IS confirmed by MRI, meeting  
205 the diagnostic criteria in the International Classification of Diseases (9th Revision). Exclusion  
206 criteria: patients with a history of hematologic disorders, type 1 diabetes, autoimmune, thyroid,  
207 neoplastic, renal, or liver diseases were excluded. We collected 5 ml fasting venous blood  
208 samples across both groups. Peripheral blood for the disease group was required to be collected  
209 within 24 hours of onset.

## 210 **Real-time quantitative PCR (RT-qPCR)**

211 RT-qPCR was used to detect the expression of six hub genes in the peripheral whole blood  
212 clinical samples. Total RNA was isolated from each sample using a Trizol reagent according to  
213 the manufacturer's instructions. Reverse transcription from RNA to cDNA was performed using  
214 HiScript Q RT SuperMix for qPCR (+gDNA wiper) (Vazyme Biotech, Nanjing, China). RT-  
215 qPCR was performed on cDNA samples using ChamQ SYBR Color qPCR Master Mix (2X)  
216 (Vazyme Biotech, Nanjing, China). Results were analyzed using the  $2^{-\Delta\Delta C_t}$  method and  
217 expressed as fold changes, with GAPDH selected as the internal reference gene. The PCR  
218 primers were designed by Majorbio (Shanghai, China) (Table S1). A *P*-value less than 0.05 was  
219 considered statistically significant.

## 220 **Statistical analysis**

221 The Shapiro-Wilk test was used to assess whether the continuous data were normally distributed  
222 in the CTL and IS groups. Normally distributed data were counted using the independent  
223 samples t-test. Non-normally distributed data were evaluated using the Wilcoxon-Mann Whitney  
224 test. A  $P$ -value  $< 0.05$  was considered statistically significant.

## 225 **Development and validation of a diagnostic model**

226 Boxplots were used to show the expression of hub genes in GSE58294 as a validation dataset.  
227 We considered the difference statistically significant at a  $P$ -value  $< 0.05$ . A logistic regression  
228 algorithm was used to build a diagnostic model for IS classification based on crucial genes. The  
229 Proc R package was applied to generate the ROC curve and calculate the area under the ROC  
230 curve (AUC) (Stamova et al., 2014) to verify the accuracy of the genes and the model in dataset  
231 GSE16561. In addition, we calculated the AUC values of the key genes and logistic regression  
232 models in dataset GSE58294 to validate the diagnostic models' classification performance. To  
233 avoid the occurrence of overfitting, we chose to reduce the complexity of the model and reduce  
234 the parameters to make the model simpler. When training the model, the dataset was partitioned  
235 in a 7:3 ratio for training the model and predicting the accuracy of the created model,  
236 respectively, to balance the accuracy. Finally, the visual analysis of the above process was  
237 completed using ggplot2.

## 238 **Results**

### 239 **Differential expression analysis**

240 The volcano plot (Fig. 2A) showed that by using R package limma, we obtained 188 differential  
241 expression genes (Table S2), 85 genes of which were down-regulated in expression and 103  
242 were up-regulated in expression. The top 50 differentially expressed genes are shown in a  
243 heatmap (Fig. 2B) created by the pheatmap package.

### 244 **Function enrichment analysis**

245 The GO analysis results (Table S3) were presented in a barplot (Figure 3A), a bubble plot  
246 (Figure 3B), and a circle plot (Figure 3C). GO-Biological Process (BP) shows that DEGs were  
247 primarily involved in immune-related biological processes, including immune  
248 response-regulating signaling pathway, positive regulation of cytokine production, and immune  
249 response-regulating cell surface receptor signaling pathway; GO- Molecular Function (MF)  
250 enrichment results showed DEGs were primarily associated with integrin binding oxidoreductase  
251 activity, acting on NAD(P)H quinone or a similar compound as acceptor and immunoglobulin  
252 binding; and GO-Cellular Component (CC) analysis was significantly enriched in platelet alpha  
253 granule and secretory granule lumen. KEGG pathways of each module (Figure 4 and Table S4)  
254 were mainly enriched in the MAPK signaling pathway, cell adhesion molecules, complement

255 and coagulation, and the cascades. The GO and KEGG pathway analysis results suggested that  
256 the immune system played a crucial role in IS.

257 We further conducted a GSEA analysis to avoid missing the functions of some insignificant but  
258 biologically significant genes, biological characteristics, and regulatory networks. GSEA results  
259 (Figure 5, Table S5) showed that the pathway involved axon guidance, complement and  
260 coagulation cascades, Fc gamma r mediated phagocytosis, focal adhesion, and regulation of actin  
261 cytoskeleton in the IS group (Figure 5A). Complement and coagulation cascades, the identified  
262 pathway of IS, were consistent with the result of KEGG, and the other pathways are related to  
263 immunity or cellular metabolism. Genes in the treatment group (IS group) were enriched at the  
264 top, which means that this gene set was upregulated. Conversely, genes in the CTL group (Figure  
265 5B) were enriched at the bottom with a down-regulation trend.

### 266 **Identification of the hub gene**

267 To explore the biomarkers of IS, we performed feature screening through LASSO regression and  
268 the SVM-RFE algorithm. Twelve genes were identified as the most associated with IS by  
269 LASSO regression (Fig. 6A). The SVM-RFE algorithm evaluated 34 characteristic genes in IS  
270 (Fig. 6B). Both machine algorithms were subjected to 10-fold cross-validation to ensure the  
271 accuracy of the results. Six differential expression genes (ANTXR2, BAZ2B, C5AR1, PDK4,  
272 PPIH, and STK3) (Fig. 6C) were then identified as the hub genes by these two joint algorithms  
273 for subsequent research.

### 274 **Results of immune cell infiltration analysis**

275 The immune cell infiltration analysis results (Table S6) were presented by barplot (Figure 7A)  
276 and heatmap (Figure 7B). Barplot showed a difference in the percentage of immune cells  
277 between the IS group and CTL. Compared with the CTL, monocyte, macrophage M0, neutrophil,  
278 and mast cell activated infiltration increased, but T follicular helper cells and CD8 T cell  
279 infiltration decreased in the IS group. The relationship between immune cells and key genes is  
280 presented in Figure 8. ANTXR2, BAZ2B, C5AR1, PDK4, and STK3 were positively correlated  
281 with neutrophils and negatively correlated with T follicular helper and CD8 T cells, but the PPIH  
282 gene was positively associated with T follicular helper and CD8 T cells and negatively correlated  
283 with mast cell activation and neutrophils. Only PPIH was a down-regulated expression gene,  
284 while the other five genes (ANTXR2, BAZ2B, C5AR1, PDK4, and STK3) were all up-regulated.  
285 Meanwhile, the correlation between individual hub genes and immune cells is shown in a scatter  
286 plot (Figures S1-8). R-value indicates positive and negative correlation.  $P$ -value $<0.05$  is  
287 statistically significant. This evidence suggests that changes in the immune microenvironment of  
288 IS patients may be related to these six hub genes.

### 289 **Construction of ceRNA networks for hub genes**

290 Many studies have confirmed that ceRNA regulatory networks play a role in the biology and  
291 pathophysiology of various diseases. We also constructed networks (Figure 9, Table S7) to  
292 determine whether the six hub genes have similar regulatory relationships. The six hub genes  
293 were separately entered into the database, yielding a total of 306 miRNA-mRNA interaction  
294 pairs, of which 117 were ANTXR2-miRNA interaction pairs, 87 were BAZ2B-miRNA  
295 interaction pairs, and 285 were miRNA-lncRNA interaction pairs. We hypothesised that  
296 ANTXR2 and BAZ2B might be involved in the regulation of ceRNA. According to the value of  
297 a degree (Table S8), hsa-miR-766-3p, hsa-miR-149-3p, hsa-miR-1972, hsa-miR-186-5p, hsa-  
298 miR-1207-5p, MUC19, and LINC01002 may play a vital role in the ceRNA network.

### 299 **Establishment of drug-hub genes regulatory networks**

300 Drugs related to the six essential genes were screened out, and three genes (BAZ2B, C5AR1, and  
301 STK3) had target drugs. The gene-drug network is shown in Figure 10 and Table S9. We  
302 retrieved a total of 58 drugs acting on the Hub gene. BAZ2B is predicted to have the most targets  
303 of drug action, and a total of 30.25 drugs targeted STK3. Only one drug targeted C5AR1.

### 304 **Validation of hub genes and diagnosis model**

305 The RT-qPCR results showed that the expression of BAZ2B, C5AR1, PDK4, and STK3 was  
306 significantly higher in the IS group (Figure 11 and Table S10). A diagnosis model for IS  
307 classification was established using a logistic regression algorithm based on the four key genes.  
308 The results show that the diagnostic model could distinguish patients from normal samples. The  
309 ROC curves for the HUB genes and models are shown in Fig 12A and Fig12B. The AUC values  
310 are as follows: BAZ2B (AUC=0.892), C5AR1 (AUC=0.966), PDK4 (AUC=0.891), STK3  
311 (AUC=0.966), and Model (AUC=0.999). Finally, we verified the accuracy of hub genes (Figure  
312 12C) and the model (Figure 12D) using another dataset, GSE58294. AUC values for genes and  
313 models were as follows: BAZ2B (AUC=0.936), C5AR1 (AUC=0.734), PDK4 (AUC=0.697),  
314 STK3 (AUC=0.751), and Model (AUC=0.940). The boxplot (Figure 13) shows the differential  
315 expression of hub genes in the CTL and IS groups in the validation dataset. Our established  
316 model performed well in distinguishing between IS and normal samples.

### 317 **Discussion**

318 IS is a disease that threatens people's health worldwide, with high incidence, disability rate, and  
319 mortality. It is urgent to develop more convenient diagnosis and treatment programs in addition  
320 to the current methods in order to help solve this problem. Primary ischemic brain injury is  
321 combined with multiple mechanisms, including excitotoxicity, oxidative stress, apoptosis, and  
322 inflammation (Moskowitz et al., 2010). After that, a series of immune cascade reactions can  
323 further aggravate the damage to the brain tissue, such as the production of proinflammatory  
324 cytokines and activation of destructive serine proteases (Anrather & Iadecola, 2016; Xu et al.,  
325 2011). In our research, we explored possible biomarkers of IS, mechanisms of action, and  
326 potential drug targets in terms of inflammation and immunity.

327 In this study, 188 DEGs were observed through R package limma. By applying the joint LASSO  
328 and SVM algorithm, we finally obtained six genes (ANTXR2, BAZ2B, C5AR1, PDK4, PPIH,  
329 and STK3) most associated with IS. However, only BAZ2B, C5AR1, PDK4, and STK3 were  
330 upregulated expression in IS patients as verified by RT-PCR. C5AR1 has been proven to play a  
331 crucial role in regulating inflammatory and neurocognitive functions in IS, Alzheimer's disease,  
332 malaria, and neuropathic pain (Brandolini et al., 2019; McDonald et al., 2015; Moriconi et al.,  
333 2014). A marked increase in C5AR1 expression was observed in the MCAO- and OGD-induced  
334 models. Meanwhile, C5AR1 inhibitors have significant neuroprotective effects and notably  
335 inhibit neuro-inflammation and apoptosis in primary cortical neurons and MCAO-induced stroke  
336 models (Brandolini et al., 2019; Shi et al., 2017). TLR4 and C5AR1 promote apoptosis and  
337 inflammation by activating the cAMP/PKA/I- $\kappa$ B/NF- $\kappa$ B signaling pathway during brain  
338 ischemia-reperfusion (Kim & Jang, 2017; Shi et al., 2017; Zaal et al., 2017b). Previous studies  
339 showed that C5AR1 can inhibit human monocyte-derived dendritic cells and potentially  
340 exacerbate inflammatory responses (Zaal et al., 2017a; Zaal et al., 2017b).

341 There have been no studies on the correlation between IS and BAZ2B, PDK4, and STK3 genes.  
342 The biological function of BAZ2B remains unclear, besides its involvement in nucleosome  
343 remodelling (Oppikofer et al., 2017). However, it includes at least four functional domains that  
344 could encode or bind multiple proteins or DNA to perform various roles. Due to this  
345 characteristic, we speculate that BAZ2B may be associated with many diseases, including IS.  
346 However, previous studies were limited to suggesting that it is associated with  
347 neurodevelopment, its functional loss and haploinsufficiency are one of the causes of autism, and  
348 it may act through transcriptional regulation (Krupp et al., 2017; Scott et al., 2020). Another  
349 study found that BAZ2B activates M2 macrophages to participate in the inflammatory response  
350 (Xia et al., 2021), indicating its research value in immunity. PDK4 is a mitochondrial matrix  
351 enzyme essential in cellular energy regulation, which regulates the pyruvate dehydrogenase  
352 complex in the CNS and has important implications for neuron-glia metabolic interactions (Jha  
353 et al., 2012). Atherosclerosis is the result of cholesterol and lipid deposition in the arterial wall,  
354 usually associated with calcification, and is the most common cause of IS. Ma et al. (2020) found  
355 that PDK4 could promote vascular calcification by interfering with autophagic activity and  
356 metabolic reprogramming, contributing to the development of atherosclerosis. STK3 (MST2) is a  
357 component of the MAPK module and Hippo signaling pathway. In cardiovascular disease  
358 studies, STK3 was found to mediate mir155 to initiate inflammation and redox stress, leading to  
359 vascular smooth muscle cell proliferation and remodeling. It regulates the ERK1/2 signaling  
360 pathway by competing with MAP2K of the MAPK pathway, which in turn triggers inflammation  
361 and oxidative stress after vascular injury (Thiriet, 2018). In IS, the MAPK pathway also acts by  
362 regulating cytokines, inflammation, apoptosis, and death (Qin et al., 2022; Sun & Nan, 2016).  
363 STK3 is highly expressed in most cell types in the brain and may play a role in stroke by  
364 regulating the MAPK pathway. It has also been described as a substrate for CASP6 to intervene  
365 in neurodegeneration and apoptosis (Riechers et al., 2016; van Raam et al., 2013). Cho et al.  
366 (2021) found that STK3 increases phagocytosis of adipocytes, leading to obesity due to reduced

367 catabolic function of adipocytes. In obese human patients, STK3 expression levels were  
368 elevated. STK3 inhibitors improved metabolic patterns in obese mouse models, suggesting that  
369 there may be a viable pathway to investigate and develop drugs targeting STK3 to treat obesity-  
370 related diseases including stroke. All of the above suggest that STK3 may be relevant to the  
371 onset of IS, but the exact mechanism needs to be further investigated.

372 The critical pathways enriched by GO and KEGG analysis were related to immunity, including  
373 the immune response—regulating, immune response—regulating cell surface receptor, and MAPK  
374 signaling pathways. Notably, C5AR1 and STK3 were enriched in the positive regulatory  
375 pathway of the MAPK cascade. Previous studies have found that the MAPK signaling pathway  
376 in inflammation and BBB dysfunction MAPK is comprised of three main effectors: ERK1/2,  
377 JNK, and p38 (Qin et al., 2022; Sun & Nan, 2016). C5AR1 affects inflammation in bone by  
378 activating the MAPK pathway and regulating gene expression in pathways associated with  
379 insulin and transforming growth factor- $\beta$  (Modinger et al., 2018). STK3, as described above,  
380 mediates cardiovascular injury through the MAPK pathway and also promotes apoptosis by  
381 inducing the activation of JNK (Chen et al., 2018). These results are consistent with our  
382 bioinformatics analysis and suggest that the MAPK signaling pathway plays an important role in  
383 these key gene-mediated biological processes. Additionally, the results of the GSEA enrichment  
384 analysis showed that C5AR1 was involved in the complement and coagulation cascades  
385 pathway, which has been proven to correlate with IS (Berkowitz et al., 2021). The functions of  
386 PDK4 are all focused on the involvement of lipid metabolism in the process of atherosclerosis.  
387 These results are also in accordance with the role of C5AR1 and PDK4 found in some studies  
388 (Berkowitz et al., 2021; Ma et al., 2020).

389 Many stroke models have confirmed that neutrophils in the acute phase of IS are among the  
390 earliest immune cells recruited into the brain tissue (Gokhan et al. 2013; Jickling et al., 2015;  
391 Kaito et al., 2013). Activated neutrophils may contribute to the development of IS by stimulating  
392 the systemic inflammatory response and destroying the BBB. Van Duijn et al. (2018) found that  
393 CD8 T cells can exacerbate the inflammatory response by secreting various inflammatory  
394 cytokines, resulting in increased instability of atherosclerotic plaques. But CD8 T cells also have  
395 an anti-atherosclerotic effect, which is mediated by stimulation of inhibitory receptor production  
396 and cytolytic killing of antigen-presenting cells. The results of immunological infiltration  
397 analysis by CIBERSORT revealed that monocyte, macrophage M0, neutrophil, and mast cell  
398 activation increased, while T follicular helper and CD8 cells decreased infiltration in the IS  
399 group, which was consistent with previous studies (Zheng et al., 2022). Our research also  
400 showed that neutrophils were significantly and positively correlated with genes ANTXR2,  
401 BAZ2B, C5AR1, PDK4, and STK3, negatively correlated with PPIH. CD8 was significantly and  
402 negatively correlated with genes ANTXR2, BAZ2B, C5AR1, PDK4, and STK3, and positively  
403 correlated with PPIH. This evidence further suggests that neutrophils play a significant role, and  
404 hub genes are involved in regulating the immune microenvironment in IS patients.

405 The ceRNA network we conducted included nodes (six mRNAs, 249 miRNAs, and 218  
406 lncRNAs) with 591 edges. In these nodes, the miRNAs with high degrees, hsa-miR-766-3p, hsa-  
407 miR-149-3p, and hsa-miR-186-5p, have been reported as essential molecules in cerebral  
408 ischemic/reperfusion injury (Cai et al., 2019; Hu et al., 2020; You et al., 2022). Of these  
409 miRNAs, hsa-miR-766-3p is known to be involved in immune responses or inflammation in  
410 various diseases. It contributes to anti-inflammatory responses through the indirect inhibition of  
411 NF- $\kappa$ B signaling in rheumatoid arthritis (RA) (Hayakawa et al., 2019). The miR-766-3p/NR3C2  
412 axis is participating in the protection against cerebral ischemia and reperfusion (Cai et al., 2019).  
413 LINC00689, one of the highest degree lncRNAs in the ceRNA network, is a key regulator of the  
414 toll-like receptor (TLR) signaling pathway controlling innate immunity (Liu et al., 2020).  
415 However, the mode of regulation between them and hub genes still needs further validation.  
416 Meanwhile, we constructed a hub gene-based gene-drug regulatory network and predicted  
417 possible targeted drugs. The result reflected that BAZ2B had the most targets of drug action,  
418 likely due to its multiple functional areas. The network provides a theoretical basis for  
419 developing targeted immunotherapies for IS.

420 Finally, based on the four key genes mentioned above, we constructed an IS diagnostic model  
421 using logistic regression methods. We also verified the validity of the model in a publicly  
422 available dataset. Bioinformatics analysis combined with machine learning methods achieved  
423 good results.

424 However, there are some limitations to our study. First, although we analyzed clinical data and  
425 performed RT-qPCR validation, the sample size was relatively limited. Second, more in vivo and  
426 in vitro studies are needed to identify and validate the underlying mechanisms between these  
427 genes and stroke. These will be the most critical aspects of our future research to gain insight  
428 into the immunological pathogenesis of IS and to provide additional options for clinical  
429 treatment.

## 430 **Conclusion**

431 We explored six hub genes (ANTXR2, BAZ2B, C5AR1, PDK4, PPIH, and STK3) for IS and  
432 revealed the immune cell infiltration pattern via bioinformatics analysis and machine learning  
433 algorithm. We successfully constructed the ceRNA and drug-gene networks, which provide new  
434 ideas to explore the possible molecular mechanisms and develop targeted drugs of IS. Finally,  
435 BAZ2B, C5AR1, PDK4, and STK3 were verified to be the biological markers of IS. Based on  
436 the four genes, we developed a diagnostic model and validated its effectiveness, providing  
437 additional tools for screening and diagnosing IS.

438

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716

**Table 1** (on next page)

*Basic information on gene expression profiling*

**Table 1 Basic information on gene expression profiling**

1

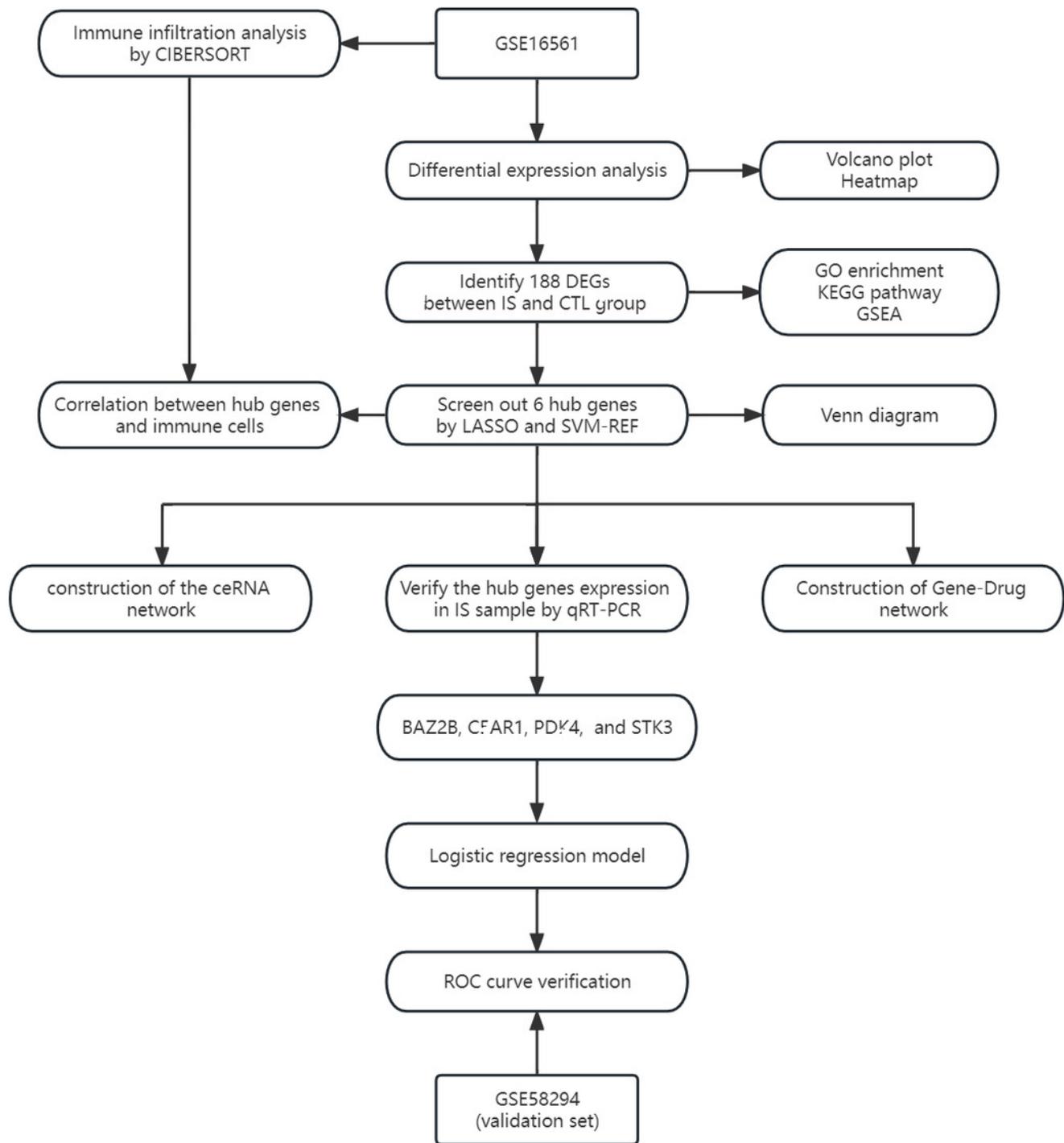
<b>Location</b>	<b>Dataset ID</b>	<b>Platform</b>	<b>Type</b>	<b>Number</b>
Whole blood samples	GSE16561	GPL6883	Microarray	39IS VS 24 CTL
Whole blood samples	GSE58294	GPL570	Microarray	69IS VS 23CTL

2

# Figure 1

*The technical route*

*Abbreviations: IS, ischemic stroke; CTL, healthy control*

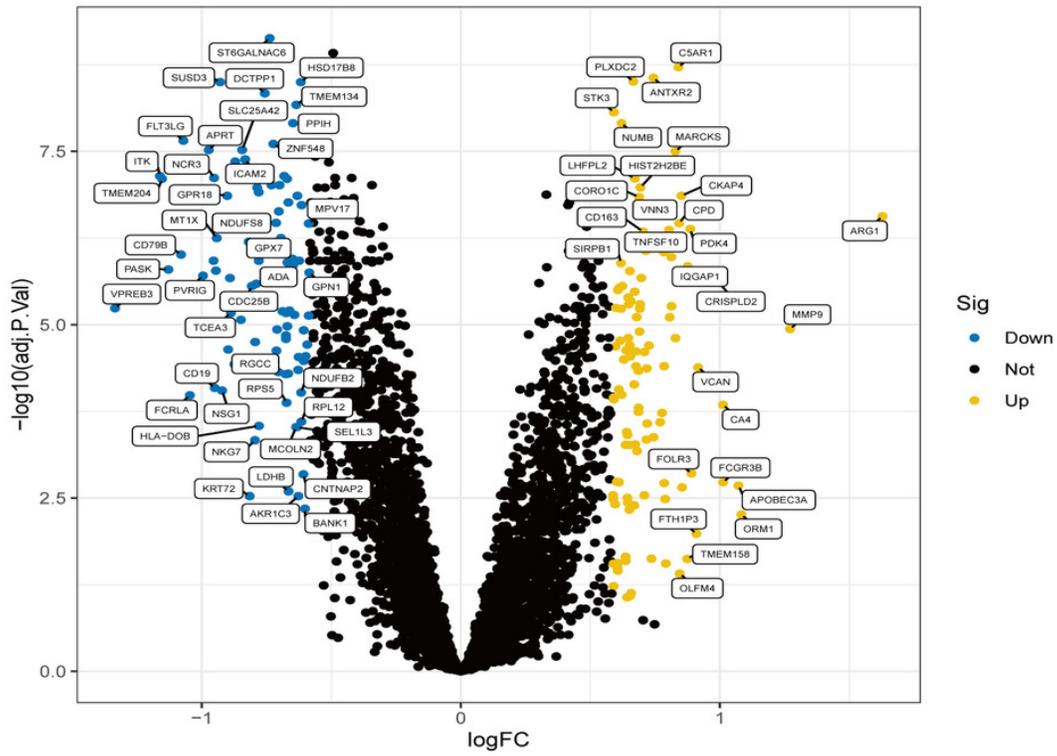


## Figure 2

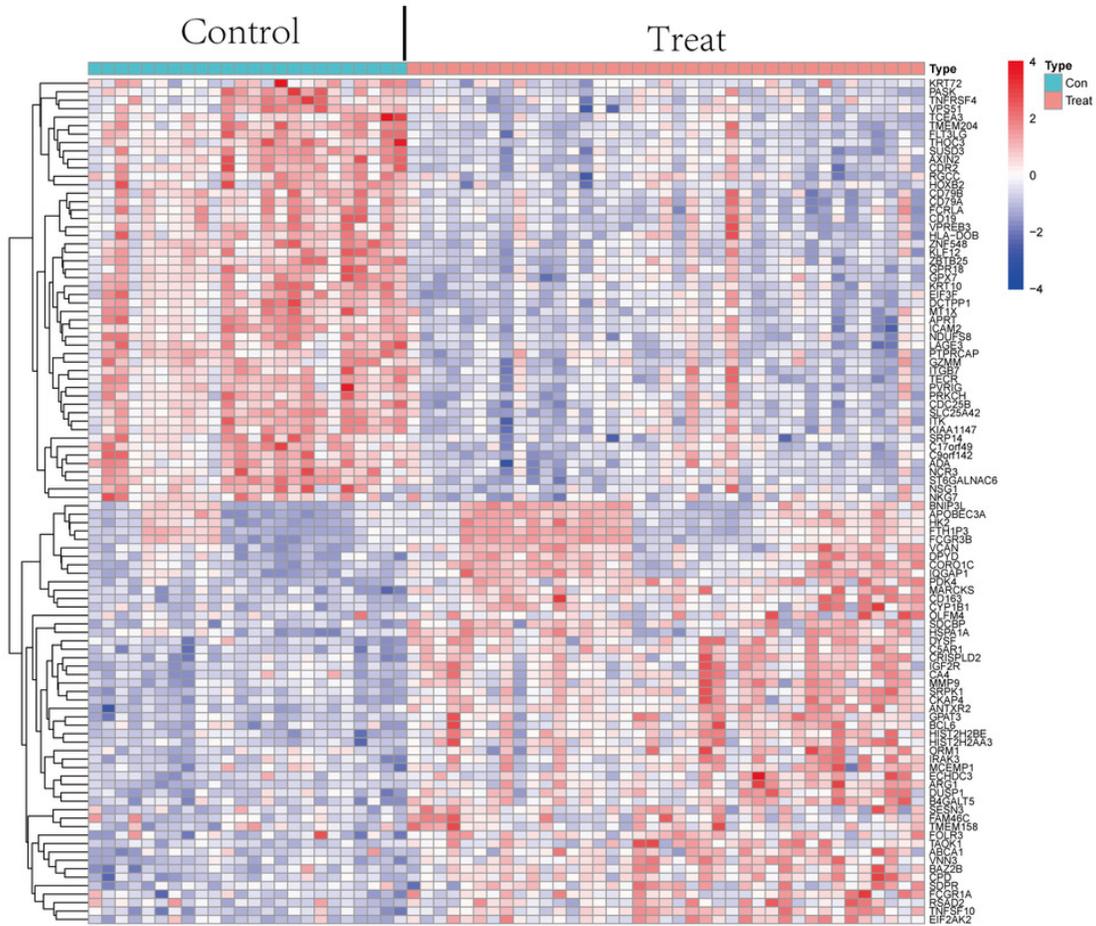
Differential expression analysis

*(A) The differential expression analysis results are shown in the volcano plot. The x-axis represents  $\log_2$  (fold change), and the y-axis represents  $-\log_{10}$  (adjusted p. value). Green dots represent downregulated genes, red dots represent upregulated genes, and black dots represent genes with no evident differential expression. (B) Heatmap of DEGs. Each column in the graph represents a sample, each row represents a gene, and the expression status of the gene is indicated from high to low in orange to blue, respectively, and at the top of the heatmap, red/blue represents the IS group/CTL group, respectively. Abbreviations: ischemic stroke (IS), healthy control (CTL), differentially expressed genes (DEGs)*

A



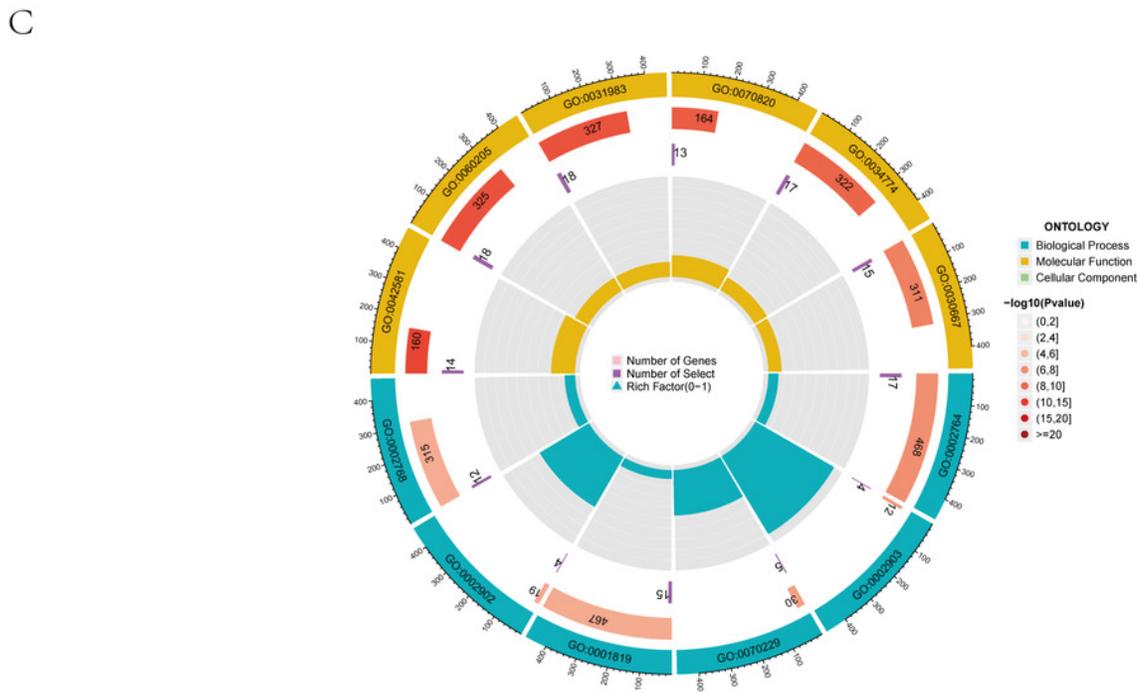
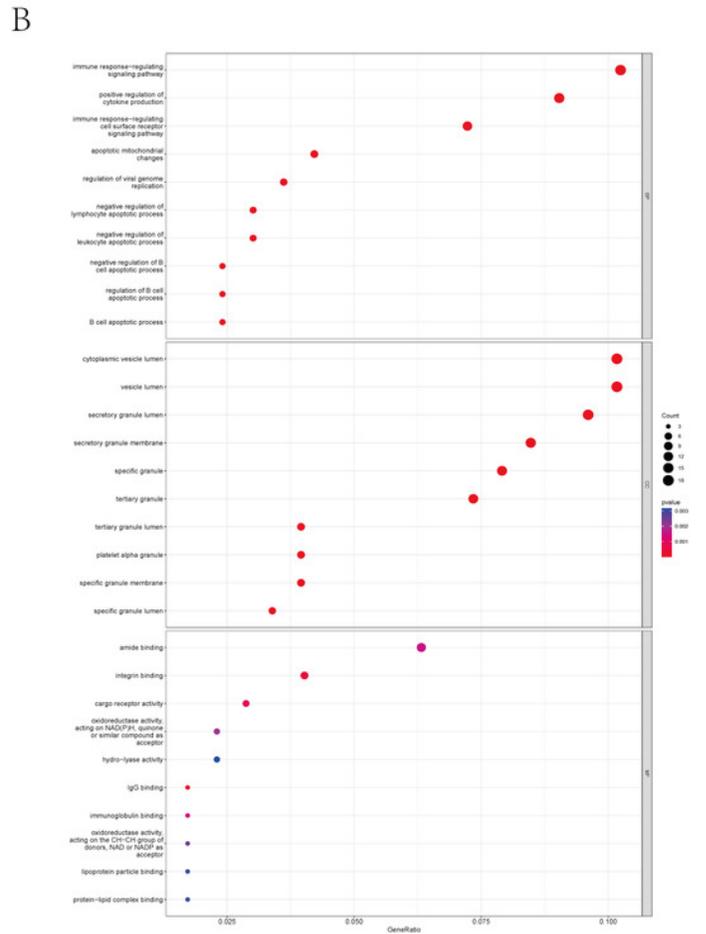
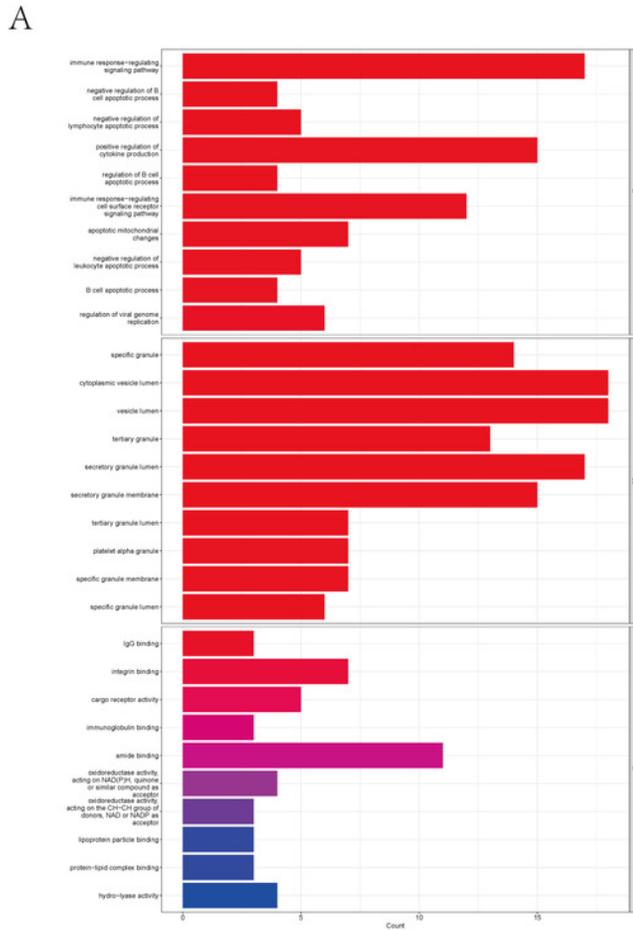
B



## Figure 3

The top 10 significantly enriched biological process (BP), cellular component (CC), and molecular function (MF)

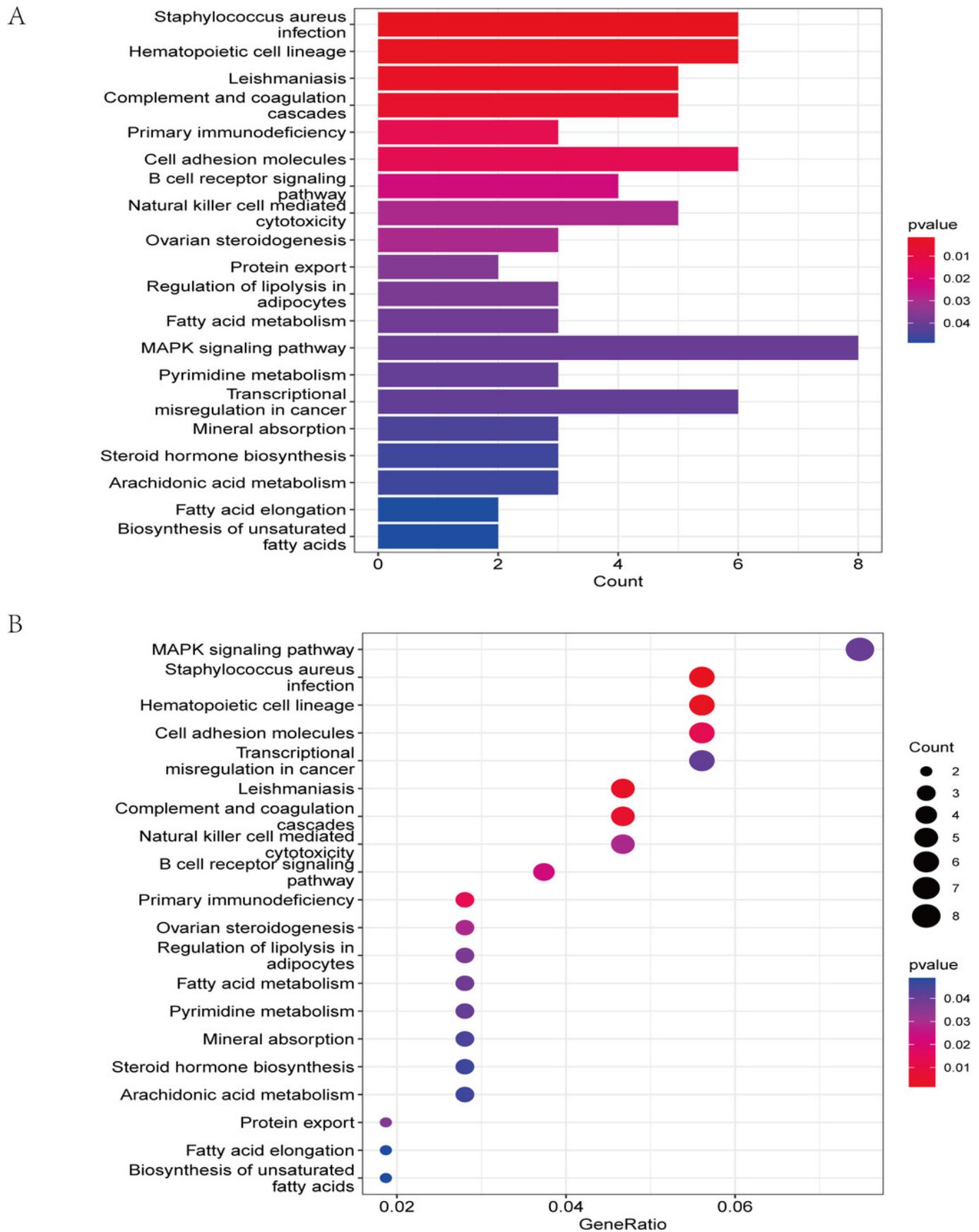
*(A) In the barplot, the bar size represents the number of genes, and the colour shades represent the p-value. (B) In the bubble plot, the x-axis represents the gene ratio, the y-axis represents the  $-\log_{10}$  (FDR) value, the bubble size represents the number of genes, and the colour shades represent the size of the p-value. (A) and (B) The  $p\text{-value} \leq 0.001$  is shown in red;  $0.001 < p\text{-value} \leq 0.002$  is shown in pink;  $0.002 < p\text{-value} \leq 0.003$  is shown in purple;  $p\text{-value} > 0.003$  is shown in blue. Immunoglobulin binding and amide binding are shown in pink. Oxidoreductase activity, acting on NAD(P)H, quinone or similar compound as acceptor and oxidoreductase activity, acting on the CH-CH group of donors, NAD or NADP as acceptor are shown in purple. Lipoprotein particle binding, protein-lipid complex binding and hydro-lyase activity are shown in blue. Others are shown in red. (C) The Gene Ontology (GO) enrichment analysis results of DEGs are shown as circle plots. First circle: enriched classification, outside the process, is the sitting scale for the number of genes. Different colours represent different classifications; Second circle: the number of genes in the category in the background and the Q or P value. The more genes, the longer the bar and the smaller the value the redder the colour; Third circle: the total number of foreground genes; Fourth circle: RichFactor value for each classification (the number of foreground genes divided by the number of background genes in that classification), with each cell of the auxiliary background line indicating 0.1.*



## Figure 4

*The KEGG pathway analysis results of DEGs*

*(A) In the barplot, the bar size represents the number of genes, and the colour shades represent the p-value. (B) In the bubble plot, the x-axis represents the gene ratio, the y-axis represents the  $-\log_{10}$  (FDR) value, the bubble size represents the number of genes, and the colour shades represent the size of the p-value. The  $p\text{-value} \leq 0.01$  is shown in red;  $0.01 < p\text{-value} \leq 0.02$  is shown in light red;  $0.02 < p\text{-value} \leq 0.03$  is shown in light purple;  $0.03 < p\text{-value} \leq 0.04$  is shown in purple;  $p\text{-value} > 0.04$  is shown in blue. Staphylococcus aureus infection, hematopoietic cell lineage, leishmaniasis, and complement and coagulation cascades are shown in red. Primary immunodeficiency and cell adhesion molecules are shown in light red.*

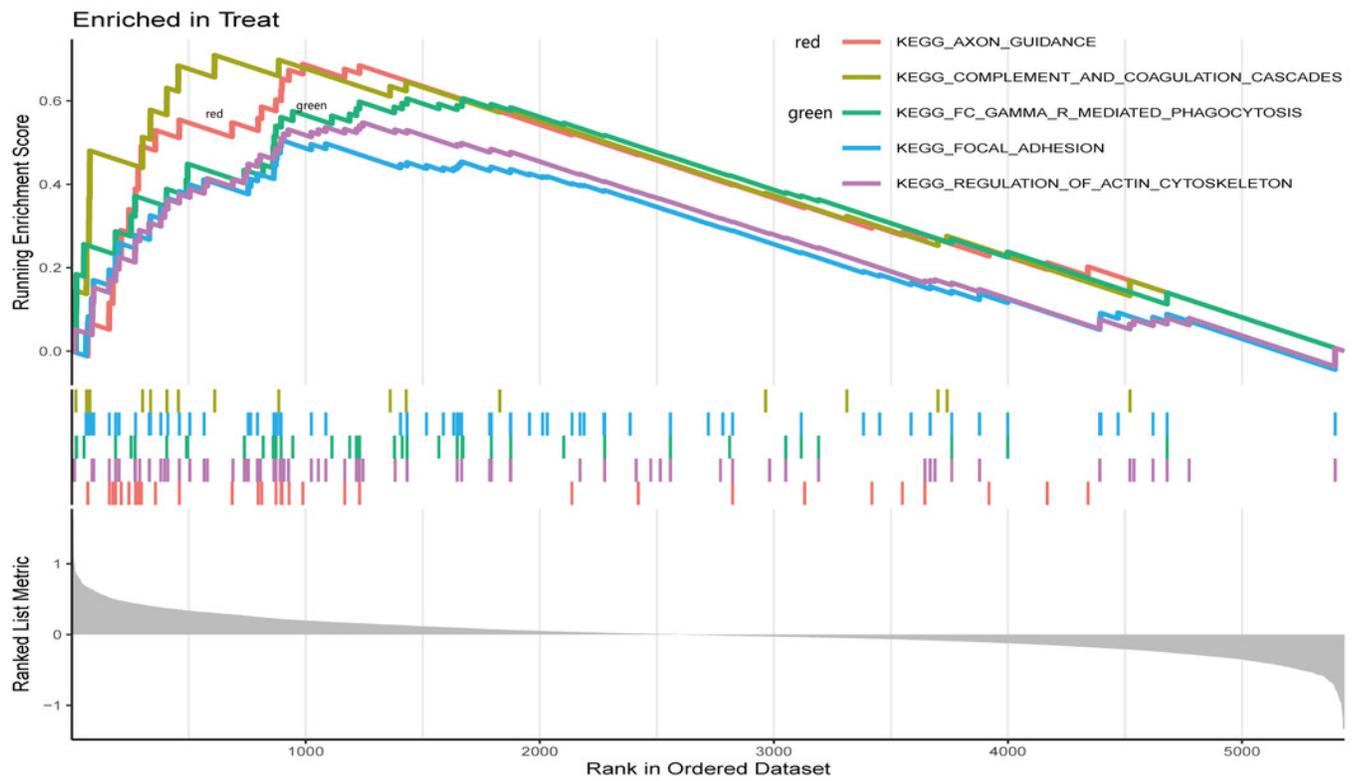


## Figure 5

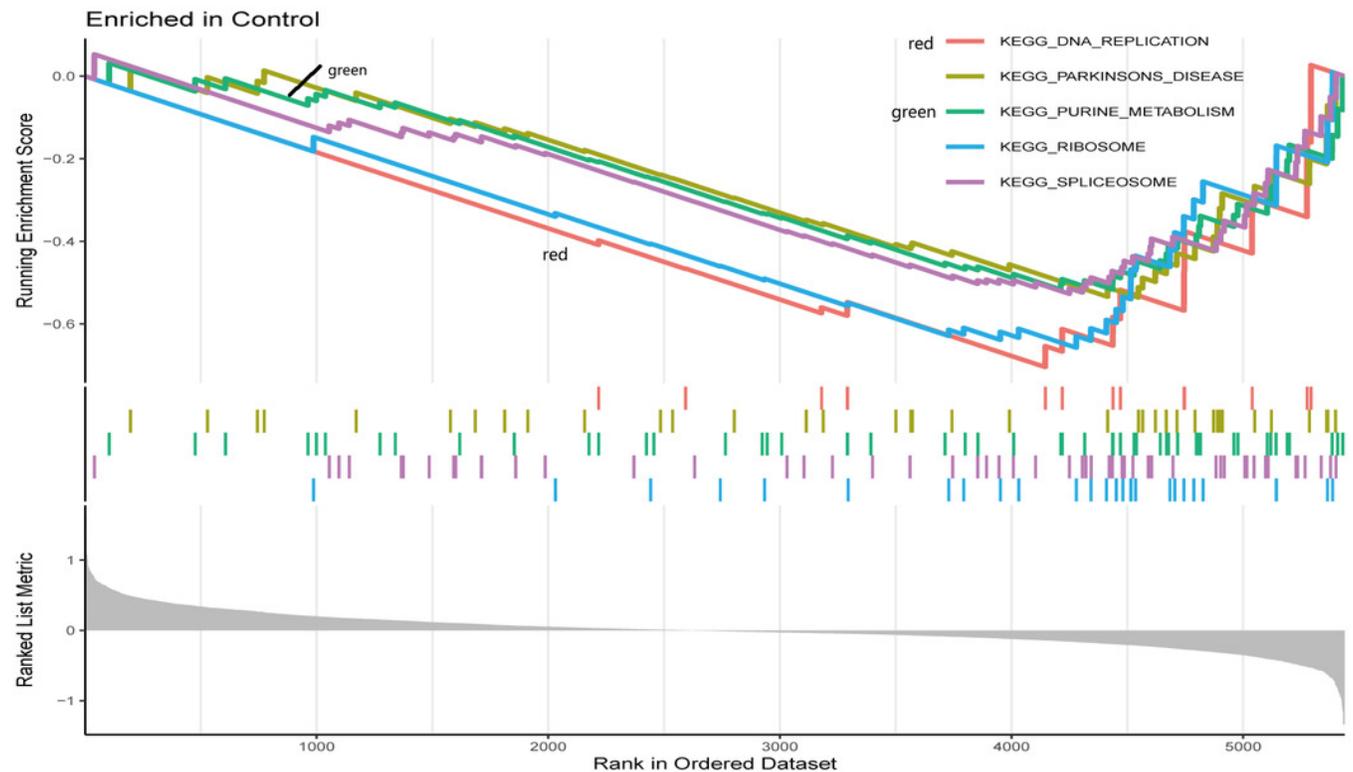
*Gene set enrichment analysis of IS and CTL groups in the GSE dataset.*

*The different colours represent the different pathways obtained by enrichment. The figure has three parts. Part I: A line graph of the gene enrichment score (ES). The vertical axis is the corresponding running ES. There is a peak at the top or bottom of the line. The core genes are those before the peak for the treatment group with a positive ES, while the core genes are those after the peak for the CTL group with a negative ES. The horizontal axis represents each gene under this gene set and corresponds to the barcode-like vertical line in the second part. Part II: Hits, each vertical line corresponds to a gene under this gene set. Part III: The distribution of rank values for all genes, and the vertical coordinate is the ranked list metric, which is the value of the rated amount of that gene. (A) The GSEA results of the IS group. (B) The GSEA results of the CTL group.*

A



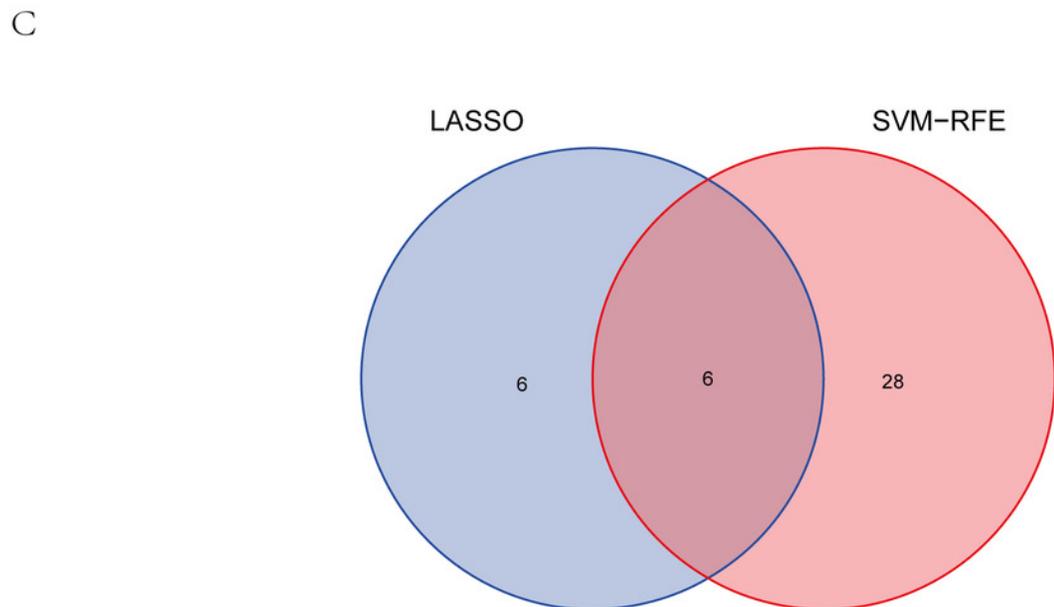
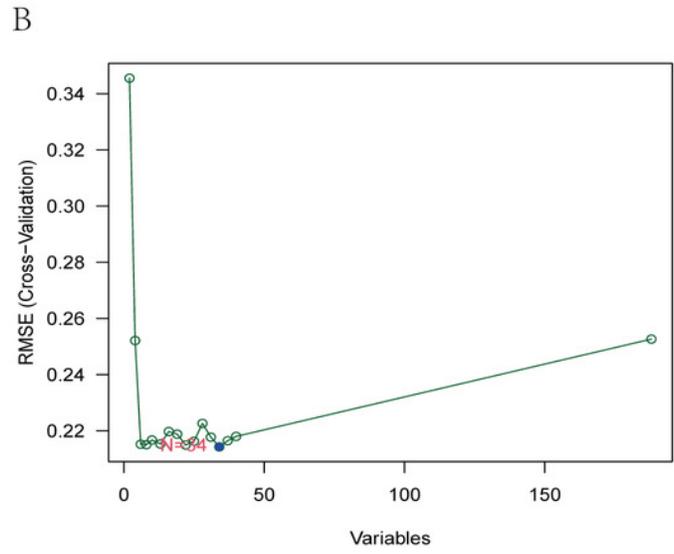
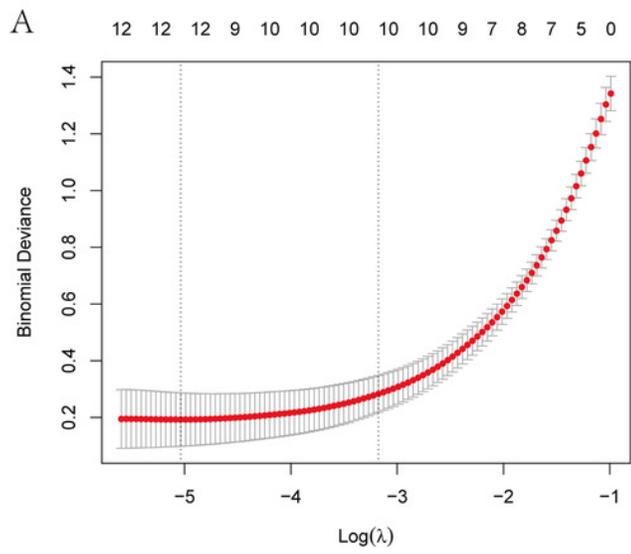
B



## Figure 6

The identification of six hub genes.

*(A) The LASSO logistic regression algorithm identified 12 IS-related features with a 10-fold cross-validation set for selecting the penalty parameter to determine the optimal lambda value. (B) A total of 34 feature genes were filtered out using the SVM-RFE algorithm. (C) Venn diagram of genes extracted from LASSO and SVM-RFE methods. Abbreviations: least absolute shrinkage and selection operator (LASSO), support vector machine (SVM), recursive feature elimination (RFE).*

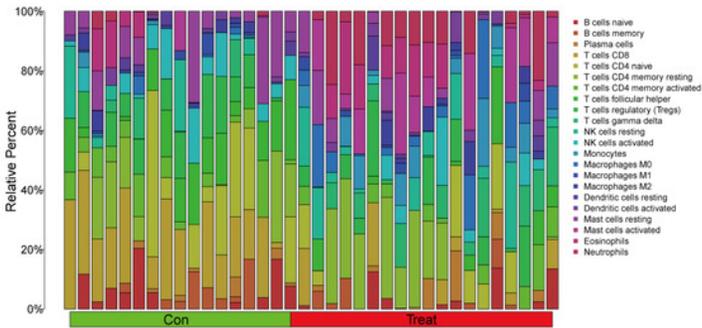


## Figure 7

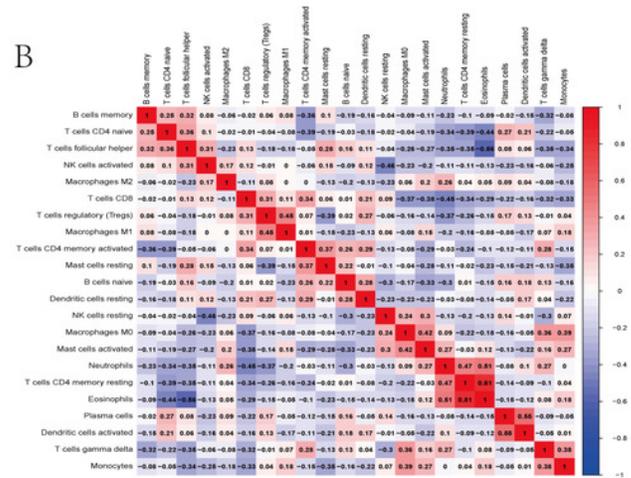
*Immune infiltration landscape between the IS and CTL groups obtained by CIBERSORT analysis.*

*(A) The bar-plot diagram indicates the relative percentage of different types of immune cells between IS and CTL. (B) The heatmap shows the correlation in the infiltration of innate immune cells. (C) Violin plot showing the difference in immune cell infiltration between IS (red) and Control (purple),  $P < 0.05$ , was considered statistically significant.*

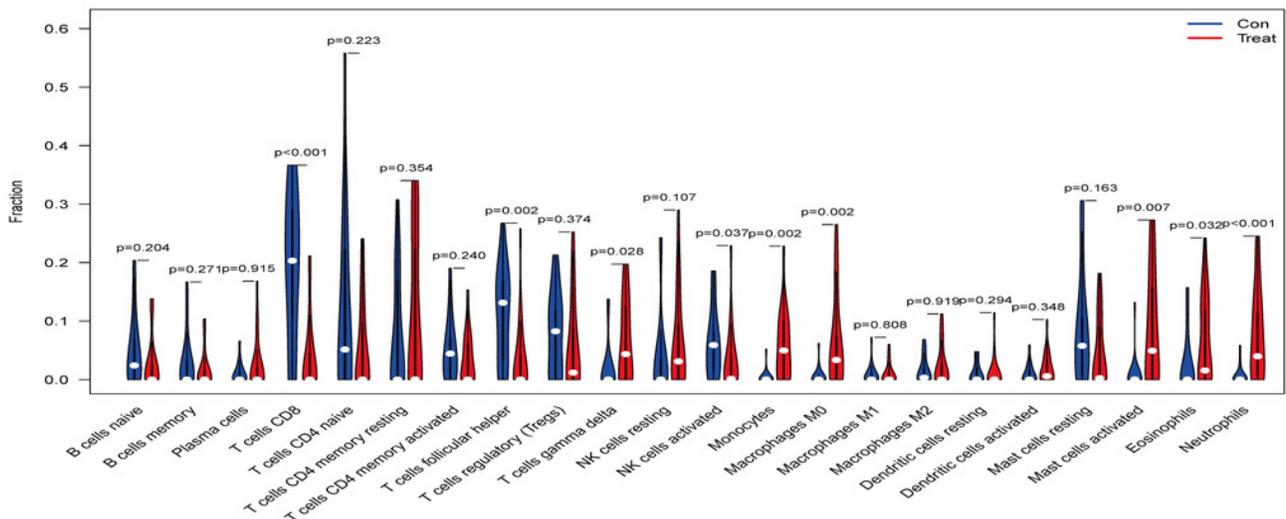
A



B



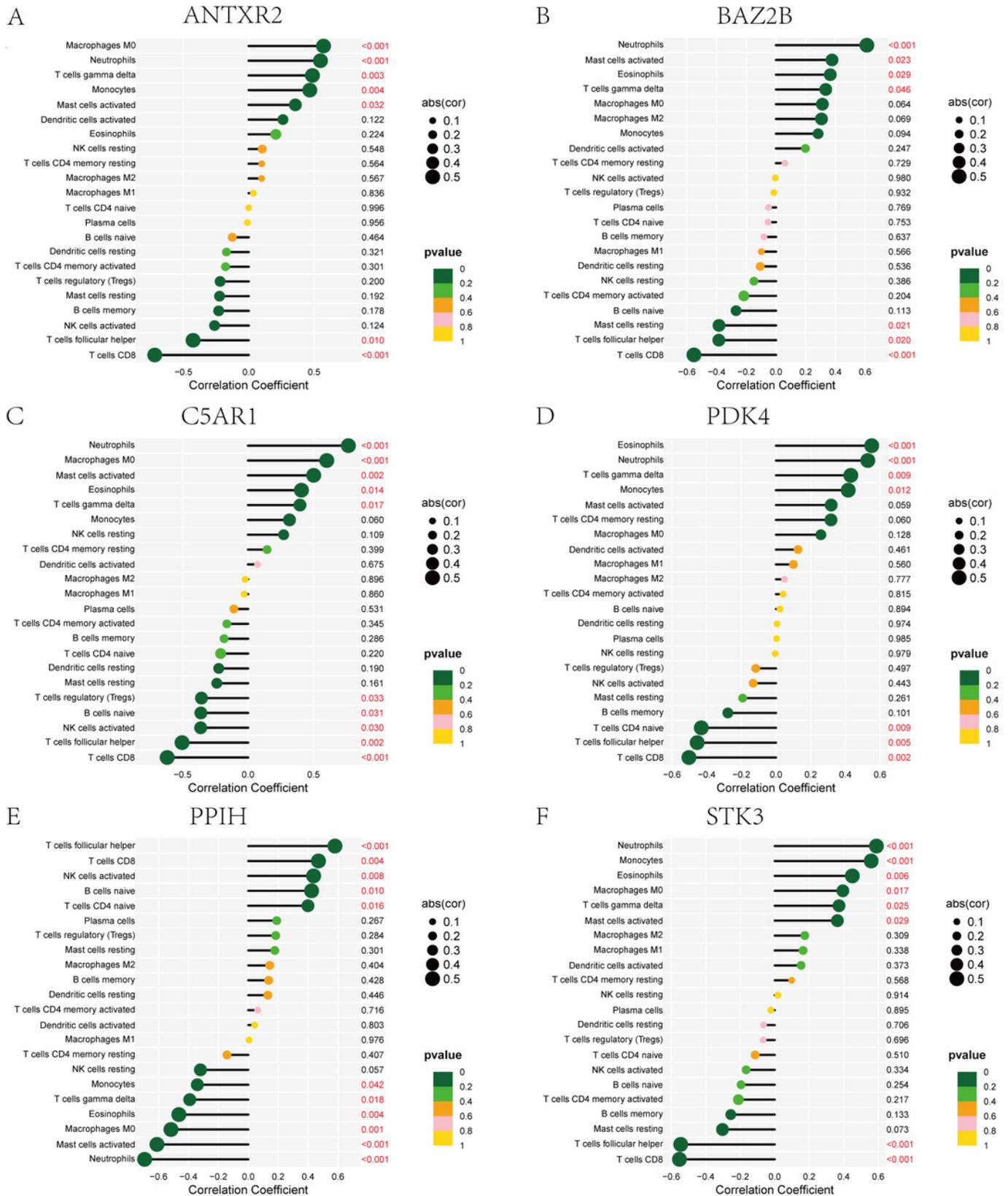
C



## Figure 8

*Lollipop charts show the correlations between hub genes and infiltration level.*

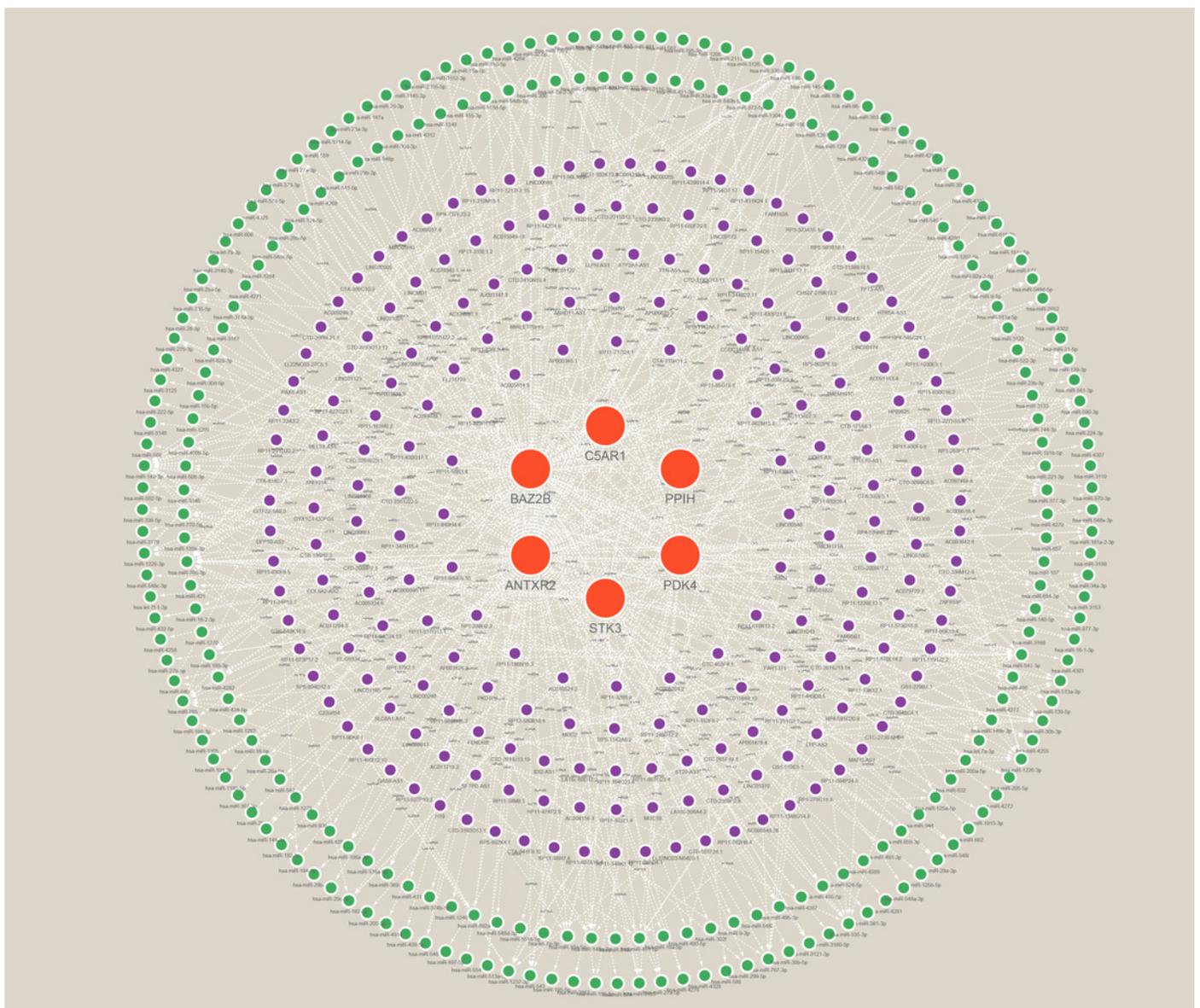
The p-value  $\leq 0.2$  is shown in green;  $0.2 < \text{p-value} \leq 0.4$  is shown in lime green;  $0.4 < \text{p-value} \leq 0.6$  is shown in orange;  $0.6 < \text{p-value} \leq 0.8$  is shown in pink;  $0.8 < \text{p-value} \leq 1$  is shown in yellow. (A) ANTXR2, (B) BAZ2B, (C) C5AR1, (D) PDK4, (E) PPIH, (F) STK3.



## Figure 9

*A ceRNA network based on hub genes.*

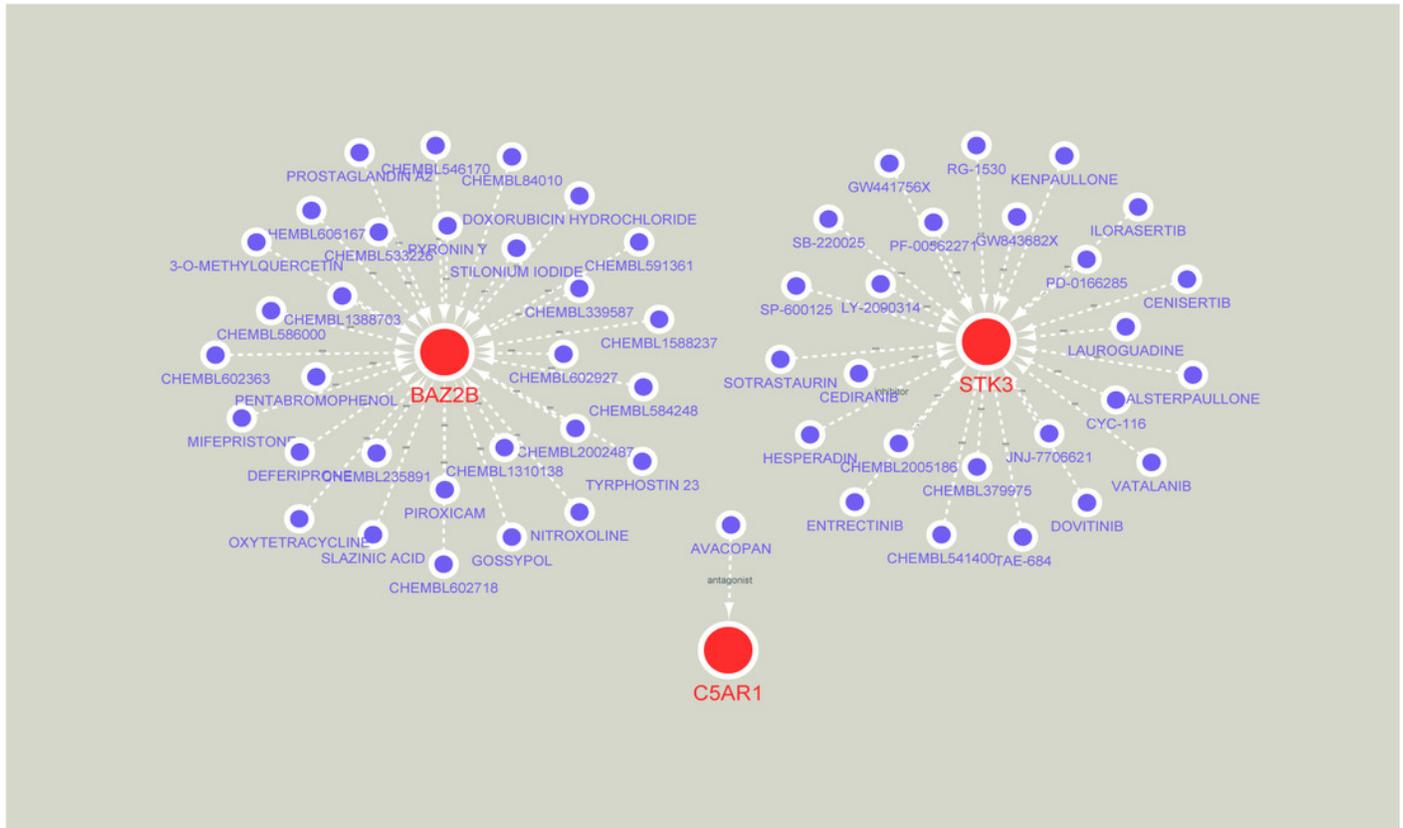
*The network includes nodes (six mRNAs, 249 miRNAs, 218 lncRNAs) with 591 edges. The most central part uses red orbs to represent the hub genes. The outermost two laps are shown with green orbs representing the miRNAs, and purple orbs represent lncRNAs.*



# Figure 10

*Prediction of marker gene-targeted drugs*

*Red orbs represent up-regulated mRNA and purple orbs represent drugs.*

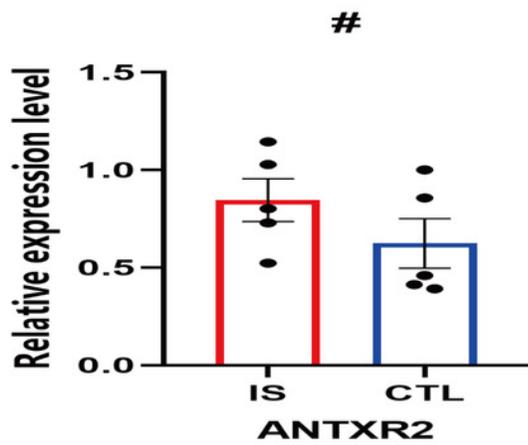


# Figure 11

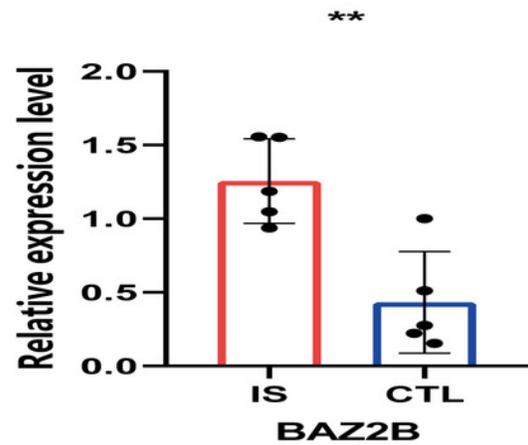
*Prediction of marker gene-targeted drugs.*

The relative expression levels of (A) ANTXR2,  $P=0.2233$ ; (B) BAZ2B,  $P=0.0034$ ; (C) C5AR1,  $P=0.0018$ ; (D) PDK4,  $P=0.0026$ ; (E) PPIH,  $P=0.9792$ ; (F) STK3,  $P=0.0226$  in IS and CTL. # $P>0.05$ , \*  $0.01 \leq p\text{-value} \leq 0.05$  \*\* $P<0.01$ . Results for the IS group are shown in red. Results for the CTL group are shown in blue.

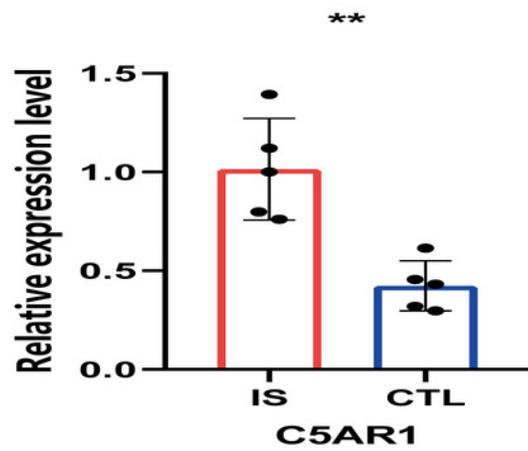
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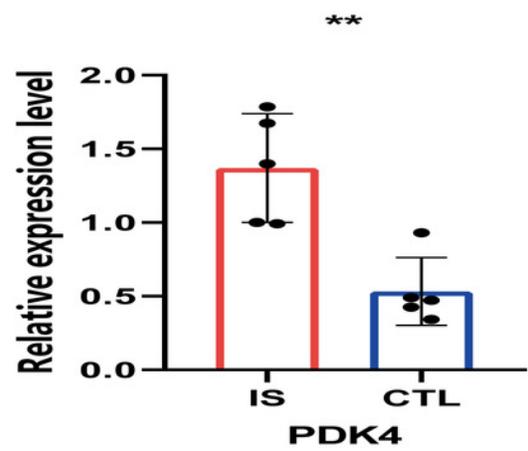
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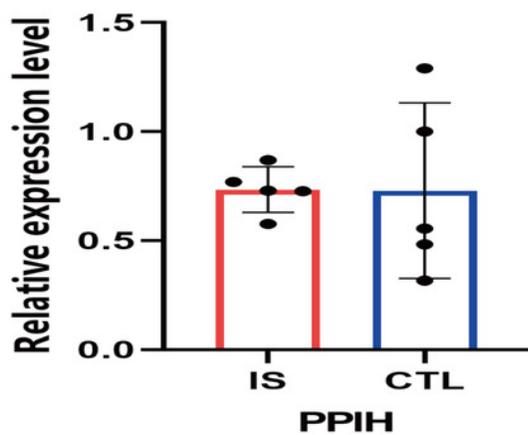
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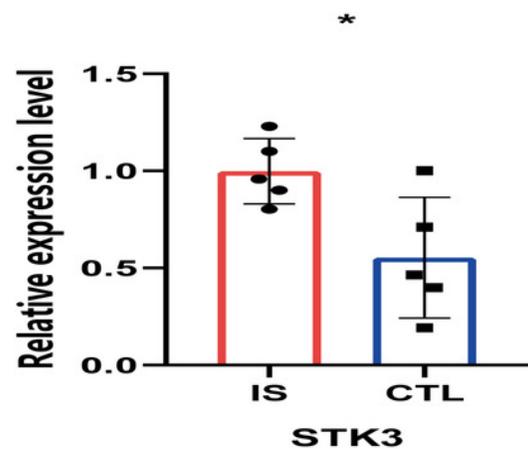
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E



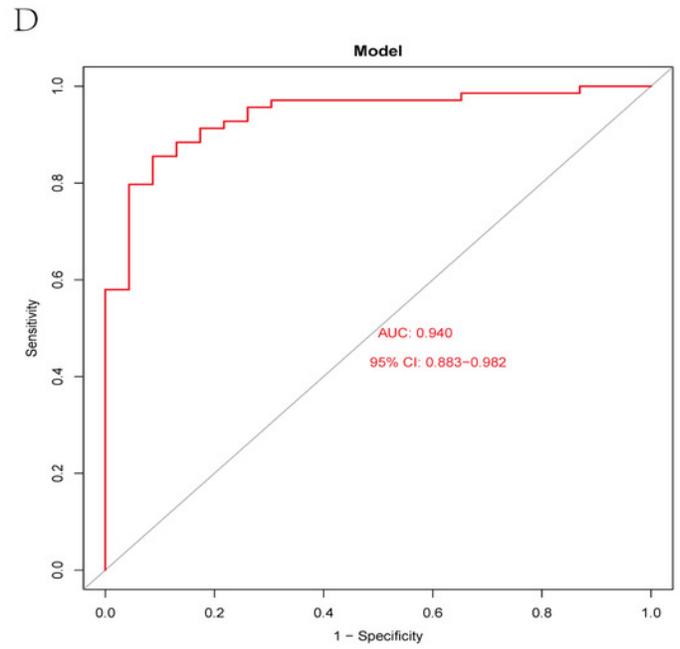
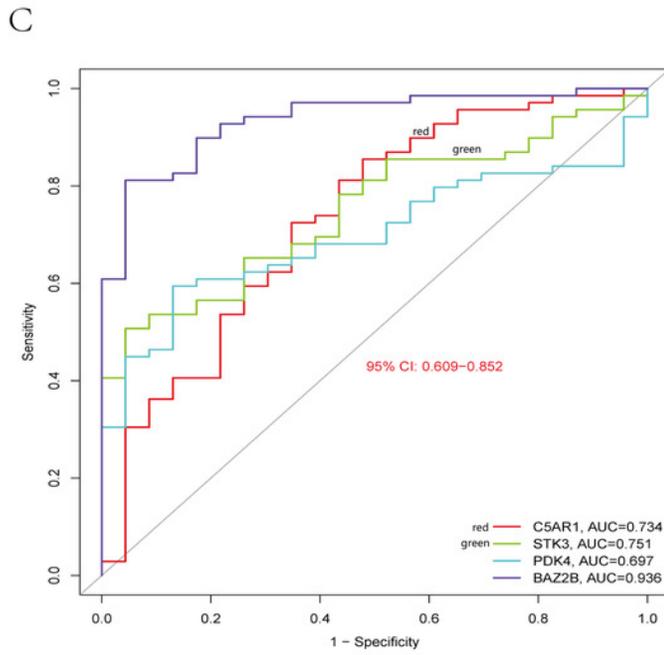
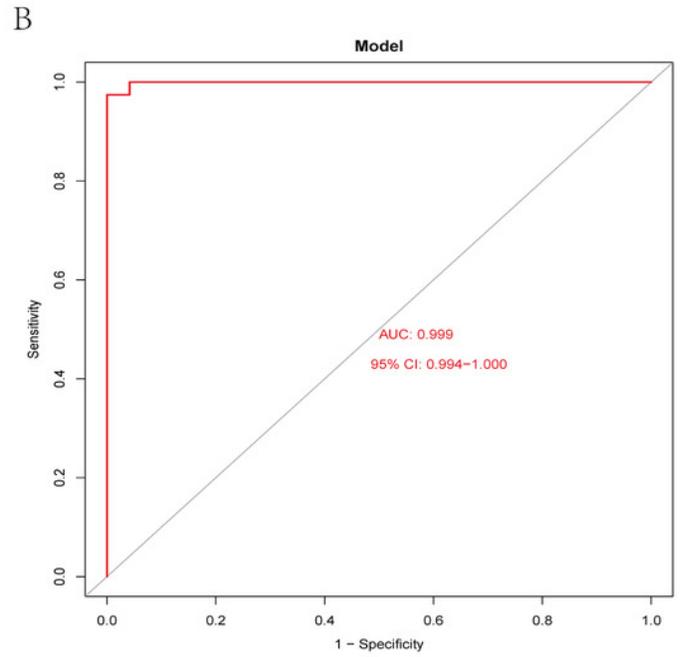
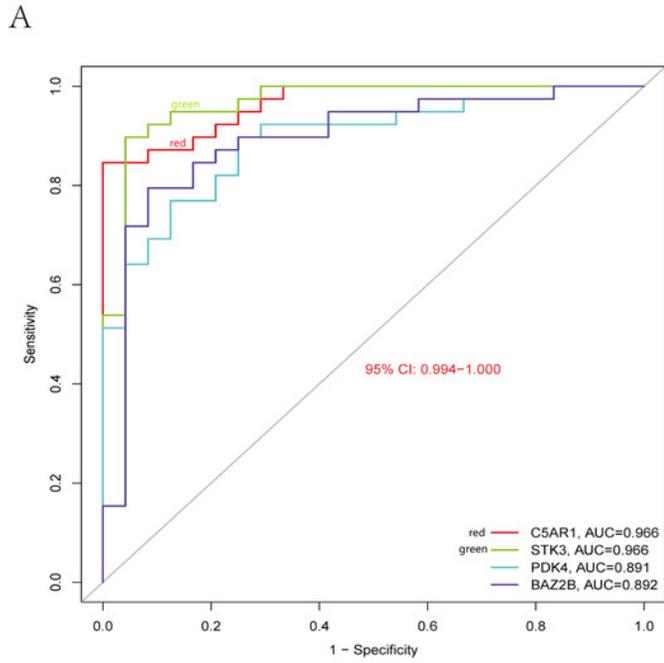
F



## Figure 12

*Diagnostic model building and ROC curve validation.*

*(A) ROC curves for evaluating the accuracy of hub genes of the training set. (B) ROC curves for evaluating the accuracy of the model of the training set. (C) ROC curves for assessing the accuracy of hub genes using the validation set. (D) ROC curves for assessing the accuracy of the model using the validation set.*



# Figure 13

*External validation of the key genes.*

*The expression levels of hub genes (A) BAZ2B, (B) C5AR1, (C) PDK4, (D) STK3 in the GSE58294 testing set. The results for the treatment group are shown in red. The results for the CTL group are shown in blue.*

