# Sorted gene genealogies and species-specific nonsynonymous substitutions point to putative postmating prezygotic isolation genes

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Not all genes contribute equally to reproductive isolation. In the *Allonemobius socius* complex of crickets, reproductive isolation is primarily accomplished via postmating prezygotic barriers. We show that two ejaculate protein-coding genes exhibit patterns of evolution consistent with a putative role as speciation genes. Both genes express male ejaculate proteins transferred to females during copulation and were previously identified through comparative proteomics. We <u>found</u> gene genealogies indicating advanced degrees of lineage sorting, and fixed nonsynonymous substitutions and elevated ω values on the mutational steps separating species, between both pairs of species, on the haplotype networks of these genes compared to other candidate and control genes. At a contact zone between two members of the species complex, these genes maintained speciesspecificity of alleles despite ongoing gene flow. The putative speciation genes arginine kinase (AK) and apolipoprotein A-1 binding protein (APBP) are two of the first examples of sperm maturation, capacitation, and motility related proteins that show evidence of fixed nonsynonymous substitutions between species-specific alleles that may lead to reproductive isolation. Our results show that when speciation is ongoing and insufficient time has passed for nucleotide variation to accumulate, hypothesis testing based on haplotype networks and gene trees are more powerful than sequence-based population genetic metrics at detecting signatures of positive selection that may have led to speciation.

- Sorted gene genealogies and species-specific nonsynonymous substitutions point to putative postmating prezygotic isolation genes

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- 15 **Running title:** Postmating prezygotic isolation genes
- 16 **Key words:** postmating prezygotic isolation, positive selection, lineage sorting, haplotype
- 17 networks, ejaculate proteins
- 18 **Word count:** 5016
- 19 **Data Archival Location:** Sequences formatted as haplotypes are available from NCBI GenBank
- 20 PopSets 372477483 (AK), 372477513 (APBP), 372477527 (EJAC-SP), 372477535 (GOT),
- 21 372477555 (SPAG6), 372477561 (SPI), 372477571 (ACG69).

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25	crickets, reproductive isolation is primarily accomplished via postmating prezygotic barriers. We
26	show that two ejaculate protein-coding genes exhibit patterns of evolution consistent with a
27	putative role as speciation genes. Both genes express male ejaculate proteins transferred to
28	females during copulation and were previously identified through comparative proteomics. We
29	found gene genealogies indicating advanced degrees of lineage sorting, and fixed
30	nonsynonymous substitutions and elevated $\boldsymbol{\omega}$ values on the mutational steps separating species,
31	between both pairs of species, on the haplotype networks of these genes compared to other
32	candidate and control genes. At a contact zone between two members of the species complex,
33	these genes maintained species-specificity of alleles despite ongoing gene flow. The putative
34	speciation genes arginine kinase (AK) and apolipoprotein A-1 binding protein (APBP) are two
35	of the first examples of sperm maturation, capacitation, and motility related proteins that show
36	evidence of fixed nonsynonymous substitutions between species-specific alleles that may lead to
37	reproductive isolation. Our results show that when speciation is ongoing and insufficient time
38	has passed for nucleotide variation to accumulate, hypothesis testing based on haplotype
39	networks and gene trees are more powerful than sequence-based population genetic metrics at
40	detecting signatures of positive selection that may have led to speciation.

43	Introduction
44	Not all genes contribute equally to reproductive isolation during speciation. 'Speciation' (Wu,
45	2001; Wu & Ting, 2004; Nosil & Schluter, 2011), 'isolation' (Rieseberg, Church & Morjan,
46	2004), or 'barrier' (Noor & Feder, 2006) genes are expected to show very different patterns of
47	evolution compared to genes that are not directly involved in reproductive isolation when species
48	are still undergoing lineage sorting (Wu 2001). Therefore we expect to find putative speciation
49	genes among those genes that become fixed for alternative alleles within each incipient species
50	early in the process of divergence, with said alleles rarely crossing the species boundary in
51	sympatry (Ting, Tsaur & Wu, 2000; Dopman et al., 2005).
52	Rapidly evolving reproductive proteins that can affect fertilization success have an
53	important role in the evolution of postmating prezygotic reproductive isolation. Many
54	reproductive genes are known to evolve rapidly in a variety of organisms (Civetta & Singh, 1998;
55	Swanson & Vacquier, 2002; Clark, Aagaard & Swanson, 2006; Panhuis & Swanson, 2006;
56	Snook et al., 2009). In <i>Drosophila</i> where some of the most extensive work has been done, genes
57	that show male-biased expression evolve faster compared to female-biased and somatically
58	expressed genes (Zhang, Hambuch & Parsch, 2004; Zhang & Parsch, 2005; Metta et al., 2006;
59	Pröschel, Zhang & Parsch, 2006; Haerty et al., 2007), and seminal fluid proteins in particular
60	tend to show an excess of nonsynonymous substitutions (Begun et al., 2000; Swanson et al.,
61	2001; Wagstaff & Begun, 2005; Almeida & DeSalle, 2008). Similar patterns have also been
62	observed in mice and primates (Clark & Swanson, 2005; Karn et al., 2008; Ramm et al., 2008;
63	Turner, Chuong & Hoekstra, 2008; Dean et al., 2009). Using a proteomics approach on insect
64	spermatophores to isolate male reproductive protein coding-genes that can directly interact with

65 female counterparts has proved to be an efficient way of narrowing prospects in the search for 66 putative speciation genes (Andrés, Maroja & Harrison, 2008; Marshall et al., 2011). 67 The male ejaculate proteome comprises sperm-expressed proteins and seminal fluid 68 proteins. Sperm not only contribute half of the diploid genome, but are also involved in sperm-69 egg interactions including egg activation and deliver paternal factors during fertilization (Dorus 70 et al., 2006). Seminal fluid proteins, the majority of which are produced by male accessory 71 glands, contain conserved functional classes of peptides and pro-hormones that are involved in 72 sperm binding, proteolysis, lipid metabolism, and immune function (Mueller et al., 2004; 73 Chapman & Davies, 2004; Poiani, 2006; Avila et al., 2011). Once transferred into the female 74 reproductive tract, these proteins can initiate a wide-range of physiological functions including 75 increased egg production and oviposition, decreased receptivity, decreased lifespan, and 76 increased feeding in females (reviewed in (Avila et al., 2011). The interacting female 77 counterparts to these ejaculate proteins (EPs) are not well known (Ram, Ji & Wolfner, 2005; 78 Ram & Wolfner, 2007; Snook et al., 2009) though genomic data is proving to be invaluable for 79 identifying candidates (Findlay et al., 2014). The evolution of EPs has been hypothesized to be 80 driven by one or more processes including female sperm preference, sperm competition, and sexual conflict (Mueller et al., 2004; Snook et al., 2009). Here, we show through multiple lines 81 82 of evidence that two EP-coding genes in the *Allonemobius socius* complex of crickets show 83 patterns of molecular evolution and gene genealogies consistent with a putative role as speciation 84 genes. 85 The A. socius complex of ground crickets, A. socius, A. fasciatus, and A. sp. nov. Tex, 86 represents a powerful system to explore the hypothesized link between EP divergence and 87 reproductive isolation. Members of this complex are primarily isolated from one another by two

88	postmating, prezygotic phenotypes – conspecific sperm precedence (Gregory & Howard, 1994;
89	Howard et al., 1998a,b; Marshall, 2004) and the superior ability of conspecific males to induce
90	females to lay eggs (Gregory & Howard, 1993; Howard et al., 1998b). Two other compelling
91	features of this organismal system are species boundaries that remain intact in sympatry despite
92	some gene flow (Howard, 1986; Howard & Waring, 1991; Traylor et al., 2008) and the very
93	recent nature of divergence between these species (i.e., within the last 30,000 years; (Marshall,
94	2004, 2007). Indeed, divergence is so recent that few species-specific alleles have been identified;
95	for example, only 2 of 17 allozyme markers (Howard, 1983), 2 of 5,400 AFLP markers (Howard
96	et al., 2002), ~21 of 1,660 thorax proteins and ~33 of 922 ejaculate proteins (Marshall et al.,
97	2011) and 1 of 16 randomly chosen reproductive genes spanning >7,500 bp of coding sequence
98	(Marshall et al., unpublished data), yield evidence of species specificity. Taken together, the
99	above data suggest that while there is sufficient genetic divergence to produce reproductive
100	isolation and maintain species boundaries in sympatry, the vast majority of genes show no
101	evidence of divergence and thus, no lineage sorting. In all, the A. socius complex represents a
102	system whereby speciation is ongoing with relatively few genes contributing to the postmating,
103	prezygotic reproductive isolation between species. Therefore, if we can identify those ejaculate
104	and female reproductive tract genes that exhibit signatures of positive selection, and maintain
105	species-specificity in sympatry, we will gain insight into the genes that contribute to reproductive
106	isolation and ultimately are involved in driving speciation.
107	In this study, we expanded analyses from a previous study comparing EPs between the
108	species A. socius and A. fasciatus (Marshall et al., 2011) by including more genes and an
109	additional species, A. sp. nov. Tex (Traylor et al., 2008). Specifically, longer fragments of the
110	five original proteins (ACG69, AK, APBP, EJAC-SP, SPI) plus two additional EPs (GOT,

111	SPAG6) were compared for patterns of nucleotide variation, evidence of lineage-specific
112	positive selection and different degrees of lineage sorting, and species-specificity of alleles in the
113	contact zone between A. socius and A. fasciatus. These combined analyses point toward an
114	important role for some but not all examined EPs during the evolution of reproductive isolation
115	within this complex of crickets.
116	
117	Methods
118	Background
119	Striped ground crickets of the A. socius complex inhabit moist grasslands across North
120	America and do not show significant habitat isolation (Howard 1986). The three species $A$ .
121	socius, A. fasciatus, and A. sp. nov. Tex form two contact zones, one between A. fasciatus (north)
122	and A. socius (south) from Illinois to New Jersey (Howard & Waring, 1991), and one between A.
123	sp. nov. Tex (west) and A. socius (east) near the Louisiana – Texas state line (Traylor et al.,
124	2008). A. fasciatus and A. socius seem to have diverged from a common ancestor approximately
125	30,000 years ago, and A. sp. nov. Tex seems to have subsequently diverged from A. socius
126	approximately 24,000 years ago (Marshall, 2004, 2007). They have previously been shown to be
127	isolated primarily via postmating prezygotic reproductive isolation (Howard et al., 2002;
128	Marshall, 2004; Marshall & DiRienzo, 2012).
129	
130	Population and gene sampling
131	Crickets were collected from each population and genotyped in the lab via allozymes
132	(Isocytrate dehydrogenase and Hexokinase) to determine species identity (Howard, 1983, 1986).
133	Sampling localities spanned the range of each species. A. socius populations were sampled near

134	Texarkana, AR (AR), Bottom, NC (Bot), Mt. Vernon, IL (IL), Pleasantville, NJ (Mi), Ruston,
135	LA (LA), Gastonia, NC (NC), and Ardmore, OK (OK). A. fasciatus populations were sampled
136	near Akron, OH (Akn), Frankfort, IL (FF), and New Paltz, NY (NP). A. sp. nov. Tex populations
137	were sampled near Terrell, TX (Tx20), Royse City, TX (Tx30), and Gainesville, TX (Tx35).
138	Contact zone populations of A. fasciatus and A. socius were sampled from two habitats at a
139	single location in Kenna, WV. A. fasciatus was collected from a hillside habitat, which we call
140	Kenna Hill (KH), and A. socius was collected along the base of hill near a creek which we call
<u>141</u>	Kenna Creek (KC). We did not have samples from the contact zone between A. socius and A. sp.
142	nov. Tex. General maintenance protocols followed Marshall et al (2009).
143	We dissected male accessory glands and testes from three individuals per allopatric
144	population and 9 individuals per contact zone population. cDNA was synthesized from each
145	tissue using RNA isolated via an Ambion RNAqueous-4PCR (#AM1914) kit and standard
146	protocols for 1st strand cDNA synthesis. General PCR and sequencing procedures followed
147	Marshall et al (2011). Standard PCR chemistry was followed with annealing temperatures
148	between 50-60 °C depending on individual primer melting temperatures (primers used are shown
149	in Supplementary Table 1). We compared nucleotide sequences of five candidate EP genes with
150	two control EP genes. Among the five candidate genes, two were chosen based on species-
151	specific proteome profiles (Marshall et al., 2011): 1) arginine kinase (AK), a phosphotransferase
152	enzyme expressed in the sperm that may be involved in sperm motility, capacitation or the
153	acrosome reaction (Strong & Ellington, 1993; Niksirat et al., 2015); 2) apolipoprotein A-1
154	binding protein (APBP), a phosphoprotein expressed in sperm and hypothesized to be involved
155	in sperm capacitation (Jha et al., 2008). Two were chosen based on previous sequencing data
156	showing species-specific molecular variation: 3) ejaculate serine protease (EJAC-SP), an

abundant accessory gland-expressed serine protease previously shown to be involved in the
induction of egg laying in successfully mated females (Marshall et al., 2009); 4) aspartate
aminotransferase (GOT), a pyridoxal-phospate-dependent aminotransferase expressed in the
testis and an allozyme historically used to diagnose species identity among A. socius complex
crickets (Howard, 1983, 1986). The last candidate gene was chosen based on a review of sperm
biology literature: 5) sperm-associated antigen 6 (SPAG6), important for sperm flagellar motility
and the structural integrity of the central apparatus (Neilson et al., 1999; Sapiro et al., 2002).
The control genes had non species-specific proteome profiles (Marshall et al., 2011) and

were: 6) *serpine inhibitor* (SPI), a testis-expressed serine-type endopeptidase inhibitor; 7) *acg69* (ACG69), a protein of unknown function expressed in the accessory glands. Sequences formatted as haplotypes are available from NCBI GenBank PopSets 372477483 (AK), 372477513 (APBP), 372477527 (EJAC-SP), 372477535 (GOT), 372477555 (SPAG6), 372477561 (SPI), 372477571 (ACG69).

Sequence evolution-based analyses

Male biased genes have been shown to exhibit patterns of molecular evolution associated with relaxed selective constraints or strong positive selection, such as higher rates of nonsynonymous substitutions (Zhang, Hambuch & Parsch, 2004). We investigated multiple metrics of molecular sequence evolution to test for evidence of selection and a departure from neutral sequence evolution. We applied Tajima's D and Fu and Li's D tests to each gene to look for evidence of departure from neutral allelic distributions within species (Tajima, 1989; Fu & Li, 1993). We compared polymorphism within species to divergence between species using HKA

1/9	tests (Hudson, Kreitman & Aguade, 1987), and tested for differences in these ratios at each
180	branching node of the species tree.
181	Next, we compared polymorphism and divergence between synonymous and
182	nonsynonymous sites within each gene at each branching node of the species tree. We compared
183	$\omega$ , the rate ratios of synonymous substitutions per synonymous site $\kappa_a(d_N)$ and nonsynonymous
184	substitutions per nonsynonymous site $\kappa_s(d_S)$ . We used McDonald-Kreitman tests to compare the
185	ratio of nonsynonymous to synonymous intraspecific polymorphisms to the ratio of
186	nonsynymous to synonymous fixed differences between species (McDonald, Kreitman & others,
187	1991). All tests were based on sequences aligned in BioEdit v.7.0.5.3 (Hall, 1999) and metrics
188	calculated using DnaSP v.5.10.01 (Librado & Rozas, 2009). For HKA tests, we used the program
189	hka provided by Jody Hey (Wang & Hey, 1996).
190	
191	Gene genealogy-based analyses
192	Evolutionary relationships between species are tested with phylogenetic trees while
193	hypotheses of intraspecific relationships benefit from haplotype network-based approaches
194	(Posada & Crandall, 2001). Because our species are recently diverged, we used both tree-based
195	and haplotype network-based analyses to detect interesting patterns of gene evolution.
196	We used statistical parsimony haplotype networks (Templeton, Crandall & Sing, 1992) of
197	alleles from all three species to test for species-specificity of alleles. We used TCS (Clement,
198	Posada & Crandall, 2000) to generate the haplotype networks using only allopatric individuals.
199	Species-specific alleles were defined as those found only within each respective species.
200	Common or shared alleles were those observed in more than one species. Once alleles were
201	designated common or specific to a species, we turned to the fasciatus - socius contact zone. We

looked at nine individuals each of contact zone A. fasciatus and A. socius and determined what
types of allele these contact zone individuals possessed. As noted above, these individuals had
previously been designated as fully (homozygous) A. fasciatus or A. socius based on allozymes.
We used Fisher's exact tests with Freeman-Halton extensions for 2x3 contingency tables to
determine the probability of observing the distribution of fas vs. soc vs. shared alleles for each
gene.
We tested for lineage-specific positive selection on individual gene tree topologies using
the Genetic Algorithm (GA) Branch method (Pond & Frost, 2005) via the Datamonkey
webserver of the HyPhy package (Delport et al., 2010). GA Branch uses a genetic algorithm that
allows estimates of the nonsynonymous to synonymous substitution rate ratio $(d_N/d_S = \kappa_a/\kappa_s = \omega)$
to vary freely across branches within a phylogeny and compares models with different $\boldsymbol{\omega}$ classes.
Only allopatric individuals were included in the analysis and neighbor-joining trees used by GA
Branch were generated natively within Datamonkey.
The genealogical sorting index (gsi) reflects the degree of lineage sorting of individual
gene genealogies that occurs during speciation, with values ranging from zero (complete
polyphyly) to 1 (complete monophyly) (Cummings, Neel & Shaw, 2008). We calculated gsi for
each gene using the online server ( <u>www.genealogicalsorting.org</u> ) with gene trees including both
allopatric and contact zone individuals. Sequences were phased in DnaSP prior to tree building
for all genes except APBP, which had no heterozygous individuals. Sorting is more difficult to
observe in phased data. We generated maximum likelihood gene trees with PhyML 3.0 (Guindon
et al., 2010) via the Mobyle server (Neron et al., 2009). We used nearest neighbor interchange
(NNI) tree search and HKY85 as our nucleotide substitution model. MEGA6 (Tamura et al.,
2013) was used to visualize these trees.

225	
226	Results
227	Sequence evolution-based analyses
228	We found a general lack of both synonymous and nonsynonymous nucleotide variation
229	among all EP genes we investigated (Table 1). The Watterson estimator $\theta$ =4N <sub>e</sub> $\mu$ ranged from
230	$0.001$ to $0.011$ . Levels of $\theta$ in the EP candidate genes were approximately an order of magnitude
231	lower than the control genes, although this difference was not statistically significant (fas -
232	Mann-Whitney $U = 0$ , $P = 0.051$ ; $soc$ - Mann-Whitney $U = 4$ , $P = 0.688$ ; Tex - Mann-Whitney $U$
233	= 4.5, $P = 0.845$ ). In no cases were Tajima's D or Fu and Li's D tests significantly different from
234	neutral expectations (Table 1) (all $P > 0.1$ ).
235	To compare polymorphism within species to divergence between species, we used a
236	standard multilocus HKA test and HKA outlier tests for each branching event. We included all
<u>237</u>	loci and performed 9999 rounds of coalescent simulations. The multilocus HKA test did not find
238	a significant departure from neutral expectations for the first branching event between $A$ .
239	fasciatus and the two other species ( $X^2P = 0.916$ ). The outlier cell, which was A. fasciatus for
240	polymorphism in ACG69, was not significantly different in its pattern of polymorphism to
241	divergence ( $P = 0.68$ ). The multilocus HKA test did find a significant departure from neutral
242	expectations for the second branching event between A. socius and A. sp. nov. Tex. $(X^2 P =$
243	0.012). However, the outlier cell, which was polymorphism at GOT in A. sp. nov. Tex., was not
<u>244</u>	significantly different in its pattern of polymorphism to divergence $(P = 0.06)$ .
245	We compared the rate ratios of nonsynonymous to synonymous substitutions $\omega = \kappa_a/\kappa_s$ at
246	each branching event of the species tree. When $\boldsymbol{\omega}$ is larger than 1 and the nonsynonymous
247	substitution rate exceeds the synonymous substitution rate, positive or diversifying selection is

inferred. When $\omega$ is smaller than 1, negative or purifying selection is inferred. However, $\omega=1$ is
recognized as a conservative threshold because the average $\kappa_{\text{a}}$ is expected to be much smaller
than $\kappa_{s}$ given the expectation of widespread purifying selection acting on functional genes
(Nielsen, 2001). Therefore the value $\omega$ = 0.5 has been suggested as an alternate cutoff for the
detection of positive selection as subsequent analyses generally indicate that such genes are
indeed under positive selection (Swanson et al., 2004). In none of our genes did $\omega$ exceed 1, but
in the older split between A. fasciatus and the other two species, $\omega$ exceeded 0.5 for the genes
AK and APBP (Table 2).
We used McDonald-Kreitman tests to compare the ratio of nonsynonymous to
synonymous intraspecific polymorphisms $(P_N/P_S)$ to the ratio of nonsynymous to synonymous
fixed differences between species $(D_{\rm N}/D_{\rm S})$ . Not all genes had fixed nonsynonymous substitutions
between species and in these cases we were unable to apply the McDonald-Kreitman test. For
those genes that were testable, we did not find significant differences in $D_{\rm N}/D_{\rm S}$ compared to
$P_{\rm N}/P_{\rm S}$ at either branching event (Fisher's exact test $P=0.07\sim1$ ) (Table 2).
Gene genealogy-based analyses
The statistical parsimony haplotype networks generated using allopatric individuals of all
three species showed the presence of only species-specific alleles in AK, APBP, and GOT, while
the other genes had alleles shared between two species each (Figure 1). Within the contact zone
between A. fasciatus and A. socius, AK, APBP, EJAC-SP and SPAG6 had upwards of 16
species-specific alleles out of 18 possible alleles (approximately 88%) (Figure 2). In comparison,
many GOT and SPI alleles were shared between the contact zone populations. Fisher's exact
tests indicated the allelic distributions were nonrandom for all genes except ACG69.

The GA Branch method detected elevated ω classes in all genes except EJAC-SP and SPAG6 (Table 3). We mapped the substitution rate changes detected by GA Branch onto the haplotype networks (Figure 1). In AK and APBP, elevated ω were detected on mutational steps between both species pairs, between *A. fasciatus* and *A. socius* and between *A. socius* and *A. sp. nov.* Tex, and were associated with one or more fixed nonsynonymous substitutions. In GOT and SPI, elevated ω were detected on mutational steps between *A. fasciatus* and *A. sp. nov.* Tex, and also within *A. sp. nov.* Tex. Elevated ω were detected on several branches in ACG69 (Figure 1).

Comparisons of genealogical sorting index values based on maximum likelihood gene trees including all sampled individuals, both allopatric and contact zone, indicated that only AK and APBP showed advanced lineage sorting for all three species (Table 4, Supplementary Figures 1 & 2). Excluding *A. sp. nov.* Tex, which had high gsi-values overall most likely due to its limited range and lack of data from its contact zone with *A. socius*, the two control genes were unsorted across the species ranges. The remaining three candidate genes showed asymmetrical lineage sorting.

#### **Discussion**

Many reproductive genes, and in particular those that are male biased, are known to evolve rapidly, often exhibiting higher rates of nonsynonymous substitutions (Zhang, Hambuch & Parsch, 2004), reduced codon usage bias (Hambuch & Parsch, 2005), and evidence suggesting they are more likely to evolve by duplication (Ellegren & Parsch, 2007). However recent divergence can hinder the application of many metrics of molecular evolution that rely on sequence variation since not enough evolutionary time has passed to allow for differences to accumulate between incipient species. Thus data from relatively recently (~30,000 years)

diverged species such as ours show a general lack of both synonymous and nonsynonymous nucleotide variation among all investigated genes (Table 1 & 2). In addition, our estimates of sequence variation were also at least an order of magnitude smaller compared to other known estimates from accessory gland protein coding genes in various other species groups (Mueller et al., 2005; Wagstaff & Begun, 2005; Almeida & DeSalle, 2008), including some *Gryllus* crickets whose species are of roughly similar age (Andrés et al., 2006). Therefore, while relatively young species offer an opportunity to observe the ongoing process of the genetics of species divergence, attempting to identify putative speciation genes based on DNA sequences requires an approach that takes into account gene trees and haplotype networks, along with species trees.

The ratio of nonsynonymous to synonymous substitution rates  $\omega$  is commonly used to detect signatures of selection acting upon protein coding genes (Yang & Bielawski, 2000; Nielsen, 2001, 2005; Jensen, Wong & Aquadro, 2007). The original intended application of  $\omega$  was for the analysis of sequence evolution among distantly related species, though in practice, it is not uncommonly applied to sequences between closely related populations of a species (Kryazhimskiy & Plotkin, 2008). This turns out to be problematic because when sequence evolution under selection was simulated over short evolutionary timescales, representative of genetic variation segregating within a species, vs. long evolutionary timescales, intraspecific  $\omega$  behaved very differently from interspecific  $\omega$  (Kryazhimskiy & Plotkin, 2008). In fact, Kryazhimskiy and Plotkin show that under positive selection,  $\omega=1$  when selection was moderate but showed asymptotic behavior and eventually decreased below 1 as the selection coefficient increased. Over short timescales, its variance also increased as  $\theta$  (=  $2N\mu$  in the paper) became smaller, making it more difficult to accurately detect positive selection.

316	Another complication with using $\boldsymbol{\omega}$ for short evolutionary timescales is that during initial
317	sequence divergence $\omega$ could be higher than expected because slightly deleterious
318	nonsynonymous mutations can persist in a population for generations due to genetic hitchhiking,
319	and a time lag before they are removed by purifying selection (Rocha et al., 2006). Based on
320	simulations, Rocha and colleagues showed this is why unexpectedly high $\boldsymbol{\omega}$ values are frequently
321	observed among closely related $(1-2\%$ sequence divergence) bacteria species. As evolutionary
322	time progresses further, they show that synonymous mutations continued to accumulate and
323	exceeded the initial overrepresentation of nonsynonymous mutations. Therefore if $\omega$ is estimated
324	too soon after sequence divergence, one would expect to find high rates of false positive
325	detection.
326	Finally, even at longer evolutionary timescales the assumption that $\kappa_{s}$ is effectively
327	neutral may need reconsideration, as a survey of 16 vertebrate genomes indicates that genes with
328	high $\omega$ are more strongly influenced by small $\kappa_s$ rather than large $\kappa_a$ (Wolf et al., 2009). Similar
329	patterns are observed in Drosophila species, where fast evolving genes show a negative
330	correlation between $\kappa_a$ and synonymous site polymorphism $\pi_s$ (Andolfatto, 2007; Macpherson et
331	al., 2007; Jensen & Bachtrog, 2010). Thus, the interaction between linkage and selection makes it
332	challenging to distinguish between recurrent positive selection, background selection, and Hill-
333	Robertson effects (Hill & Robertson, 1966; Charlesworth, 1994; Andolfatto, 2007; Charlesworth
334	et al., 2009). Therefore in order to detect adaptive evolution due to positive selection, applying
335	combinations of metrics including $\omega$ , Tajima's or Fu and Li's $D$ and site frequency spectra, as
336	well as estimates of population size and recombination rates seems necessary (Nielsen, 2005).
337	We failed to detect positive selection based on $\omega$ , and estimates of D for all genes
338	compared here were not significantly different from neutral expectations (Tables 1 and 2). While

339	population bottlenecks are thus likely to have contributed to sequence variation patterns since
340	speciation in the A. socius complex is thought to coincide with glaciation history (Marshall, 2004
341	2007), for our data sequence evolution-based tests are generally inconclusive as to demographic
342	reasons for why our genes might lack nucleotide variation.
343	Instead, tests based on individual gene genealogies and haplotype networks indicated AK
344	and APBP as putative speciation genes. Within the contact zone of A. fasciatus and A. socius,
345	AK, APBP, and EJAC-SP show significantly nonrandom patterns of allelic distributions and had
346	no shared alleles (Figure 2). When all allopatric and contact zone individuals were examined,
347	only the genealogies of AK and APBP indicated that these genes were more advanced in their
348	degree of lineage sorting compared to other candidate and control genes (Table 4, Supplementary
349	Figures 1 & 2). These patterns fit models of ongoing speciation in the face of gene flow, where
350	speciation genes are more likely to be fixed early on during lineage divergence (Wu, 2001).
351	Incomplete lineage sorting and introgression have been suggested to be confounding factors in
352	understanding speciation with ongoing gene flow (Machado & Hey, 2003; Broughton &
353	Harrison, 2003; Payseur, 2010). However, speciation genes are more likely to become fixed for
354	species-specific alleles early in the process of speciation and therefore are expected to be
355	relatively exempt from incomplete sorting and subject to reduced introgression. Similar patterns
356	have been observed in <i>Drosophila</i> , field crickets, and moths (Ting, Tsaur & Wu, 2000; Dopman
357	et al., 2005; Maroja, Andrés & Harrison, 2009; Andrés et al., 2013; Larson et al., 2013). It is
358	possible that these genes are not the direct targets but rather linked to targets of divergent
359	selection. Because both genes were identified through comparative proteomics (Marshall et al
360	2011) this seems relatively unlikely, but the genomic regions around these genes should be
361	investigated for evidence of selective sweeps to rule out this possibility.

Many studies of reproductive proteins report evidence of positive selection acting on a
subset of the genes examined, in both males (Begun et al., 2000; Swanson et al., 2001; Clark &
Swanson, 2005; Wagstaff & Begun, 2005; Andrés et al., 2006; Karn et al., 2008; Ramm et al.,
2008; Almeida & DeSalle, 2008; Walters & Harrison, 2010) and females (Swanson et al., 2004;
Panhuis & Swanson, 2006; Lawniczak & Begun, 2007; Prokupek et al., 2008; Kelleher &
Markow, 2009; Kelleher, Clark & Markow, 2011). However, there are few examples of adaptive
reproductive protein evolution leading to reproductive isolation outside of gamete recognition
proteins (e.g. (Geyer & Palumbi, 2003; McCartney & Lessios, 2004; Springer & Crespi, 2007).
Our putative speciation genes AK and APBP two of the first examples of sperm maturation and
capacitation related proteins that show evidence of fixed nonsynonymous substitutions between
species-specific alleles leading to reproductive isolation. In contrast to the other genes examined,
fixed nonsynonymous substitutions and elevated $\omega$ <u>values only on</u> the mutational steps
separating species on the haplotype network of APBP, and to a less exclusive extent in AK and
GOT, indicate that these EPs may have evolved under positive selection and contribute to the
reproductive isolation between these species (Table 3, Figure 1). We had previously observed
this pattern between A. fasciatus and A. socius for both AK and APBP (Marshall et al 2011), but
finding the same pattern in the mutational steps between A. socius and A. sp. nov. Tex with
different species-specific nonsynonymous substitutions emphasizes the potential importance of
these candidates.
Whether there are functional consequences to the species-specific nonsynonymous
substitutions in AK and APBP needs to be investigated further. Since both candidates were
identified by proteomic screens, we hypothesize that an interaction between each male EP and
the female reproductive tract during capacitation is responsible for the postmating prezygotic



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isolation observed between the A. socius complex species. AK is a phosphagen kinase that catalyzes ATP-regeneration and energy transport in invertebrates and some protozoa (Ellington, 2001; Noguchi, Sawada & Akazawa, 2001; Uda et al., 2006). Insects and other ecdysozoans possess AK as their sole phosphagen system for cellular energy metabolism, and accordingly, arginine phosphate and its phosphagen kinase AK are found primarily in muscles, but also in sperm and compound eyes (Strong & Ellington, 1993; Kucharski & Maleszka, 1998; Ellington, 2001). The possible roles of AK as an EP can be related to sperm motility (Strong and Ellington 1993), capacitation, or the acrosome reaction (Niksirat et al., 2015). Two structural loops and several active sites near them are the proposed interaction interface of AK with the guanidinium groups of its substrates (Zhou et al., 1998; Pruett et al., 2003; Azzi et al., 2004; Clark, Davulcu & Chapman, 2012). As expected for an integral enzyme, the nonsynonymous substitutions we observed do not occur at these specific sites, though they may still influence its activity. APBP becomes phosphorylated during murine sperm capacitation and co-localizes with cholesterol during this process, but its specific function is unknown (Jha et al 2008). It does possess a Rossmann-like fold, indicating an enzymatic role. The nonsynonymous substitutions we observed in APBP occur in the Rossmann-like fold and are hypothesized to influence the activity of its binding site (Marshall et al., 2011).

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#### **Conclusions**

A. socius complex crickets provide an excellent opportunity to identify patterns of evolution in speciation genes for two major reasons: speciation is incomplete as evidenced by ongoing gene flow in the field, and isolation is through a single type of reproductive isolation barrier (i.e., postmating prezygotic phenotypes). Therefore we looked for genes that contribute to

postmating prezygotic isolation and exhibit fixed, or nearly fixed, nonsynonymous substitutions between species as putative speciation genes. We find that when speciation is ongoing, standard population genetics analyses based on  $\theta$  and  $\omega$  values are unable to detect signatures of positive selection contributing to fixed differences between species because insufficient time has passed for nucleotide variation to accumulate. Instead, hypothesis testing based on haplotype networks and gene trees proved to be more powerful at identifying putative postmating prezygotic isolation genes with fixed nonsynonymous substitutions between both pairs of species that may have led to speciation.

#### Acknowledgments

We thank Diana Huestis and Shanda Wheeler for their help in isolating RNA and screening individuals for species status with allozymes. This is contribution no. 12-015-J from the Kansas Agricultural Experiment Station. The authors declare no conflicts of interest.

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#### Table 1(on next page)

Nucleotide variation within each A. socius complex species

Table 1. Nucleotide variation within each *A. socius* complex species with Tajima's *D*-values.

Fu and Li's *D*-values were similar (not shown)

1 Table 1. Nucleotide variation within each A. socius complex species with Tajima's D-values. Fu and Li's D-values were similar (not

#### 2 shown)

			V	vithin A. f	asciatus		within A. socius					within A. sp. nov. Tex				
Gene	Length	n	$\pi_{\rm s}$	$\pi_{\rm a}$	$\theta_{fas}$	D	n	$\pi_{\rm s}$	$\pi_{\rm a}$	$\theta_{ m soc}$	D	n	$\pi_{\rm s}$	$\pi_a$	$\theta_{Tex}$	D
AK	1173	9	0.002	< 0.001	0.001	0.975	15	0.004	0.001	0.002	-1.316	6	0.003	0.001	0.002	0.355
APBP	705	9	0.001	0	0.001	-1.088	15	0.005	0	0.001	-0.334	8	0	0	0	n/a
EJAC-SP	726	9	0	0	0	n/a	16	0.001	< 0.001	0.001	-1.311	9	0.003	0	0.001	1.401
GOT	1122	9	0.002	0	< 0.001	0.986	17	0	0	0	n/a	9	0.005	0.001	0.002	0.578
SPAG6	426	9	0	0	0	n/a	17	0	0	0	n/a	8	0.005	0	0.001	1.167
SPI	315	9	0.007	0	0.002	-1.362	16	0	0	0	n/a	9	0.008	0.001	0.002	0.196
ACG69	414	9	0.005	0.004	0.007	-1.286	14	0.021	0.009	0.011	0.264	7	0	0	0	n/a

#### Table 2(on next page)

Nucelotide variation at each branching node of the A. socius complex species tree.

Table 2. Nucelotide variation at each branching node of the *A. socius* complex species tree. ( $P_N$ : nonsynonymous polymorphisms;  $P_s$ : synonymous polymorphisms;  $D_N$ : nonsynonymous fixations;  $D_s$ : synonymous fixations;  $K_s$ : rate of nonsynonymous substitutions per nonsynonymous site;  $K_s$ : rate of synonymous substitutions per synonymous site;  $K_s$ : rate of synonymous substitutions per synonymous site;  $K_s$ : rate of synonymous substitutions per synonymous site;  $K_s$ : rate of synonymous substitutions per synonymous site;  $K_s$ : rate of synonymous substitutions per synonymous site;  $K_s$ : rate of synonymous substitutions per synonymous site;  $K_s$ : rate of synonymous substitutions per synonymous site;  $K_s$ : rate of synonymous substitutions per synonymous site;  $K_s$ : rate of synonymous substitutions per synonymous site;  $K_s$ : rate of synonymous substitutions per synonymous synonymous site;  $K_s$ : rate of synonymous substitutions per synonymous synonymous

- Table 2. Nucelotide variation at each branching node of the A. socius complex species tree. ( $P_N$ : nonsynonymous polymorphisms;  $P_S$ :
- 2 synonymous polymorphisms;  $D_N$ : nonsynonymous fixations;  $D_S$ : synonymous fixations;  $\kappa_s$ : rate of nonsynonymous substitutions per
- 3 nonsynonymous site;  $\kappa_a$ : rate of synonymous substitutions per synonymous site;  $\omega = \kappa_a / \kappa_s$ )

		between fas & (soc+Tex)						between soc & Tex							
Gene	Length	$P_{ m N}$	$P_{\mathrm{S}}$	$D_{\rm N}$	$D_{\mathrm{S}}$	K <sub>S</sub>	κ <sub>a</sub>	ω	$P_{ m N}$	$P_{\mathrm{S}}$	$D_{\mathrm{N}}$	$D_{\mathrm{S}}$	K <sub>S</sub>	κ <sub>a</sub>	ω
AK	1173	3	12	2	0	0.006	0.003	0.557	2	9	1	2	0.011	0.002	0.206
APBP	705	1	4	1	0	0.005	0.003	0.523	0	3	1	0	0.007	0.002	0.278
EJAC-SP	726	1	3	0	0	0.008	0.001	0.131	1	2	0	1	0.014	0.002	0.123
GOT	1122	3	7	1	1	0.011	0.002	0.142	3	4	0	2	0.011	0.001	0.116
SPAG6	426	0	1	0	2	0.029	0	0	0	1	0	0	0.004	0	0
SPI	315	3	4	0	0	0.02	0.003	0.15	1	1	2	3	0.054	0.009	0.158
ACG69	414	7	6	0	0	0.024	0.009	0.374	7	6	0	0	0.021	0.007	0.317

#### Table 3(on next page)

Tests of lineage-specific positive selection

Table 3. Tests of lineage-specific positive selection that detects different  $\omega$  classes along branches of a gene tree. The model with best c-AIC score is shown.

- 1 Table 3. Tests of lineage-specific positive selection that detects different  $\omega$  classes along
- 2 branches of a gene tree. The model with best c-AIC score is shown.

	Best model found by GA Branch							
Gene	c-AIC	Classes	ω classes					
AK	3539.54	3	1: 0, 2: 0.148, 3: >>1					
APBP	2018.52	2	1: 0, 2: >>1					
EJAC-SP	2068.19	1	1: 0.079					
GOT	3218.71	2	1: 0, 2: 0.545					
SPAG6	1208.88	1	1: <0.001					
SPI	955.82	2	1: 0, 2: 0.822					
ACG69	1377	2	1: 0.081, 2: >>1					

3

#### Table 4(on next page)

Genealogical sorting index values based on individual gene trees

Table 4. Genealogical sorting index values based on individual gene trees (see Supplementary Figure 1). Values range from zero (complete polyphyly) to one (complete monophyly).

- 1 Table 4. Genealogical sorting index values based on individual gene trees (see Supplementary
- 2 Figure 1). Values range from zero (complete polyphyly) to one (complete monophyly).

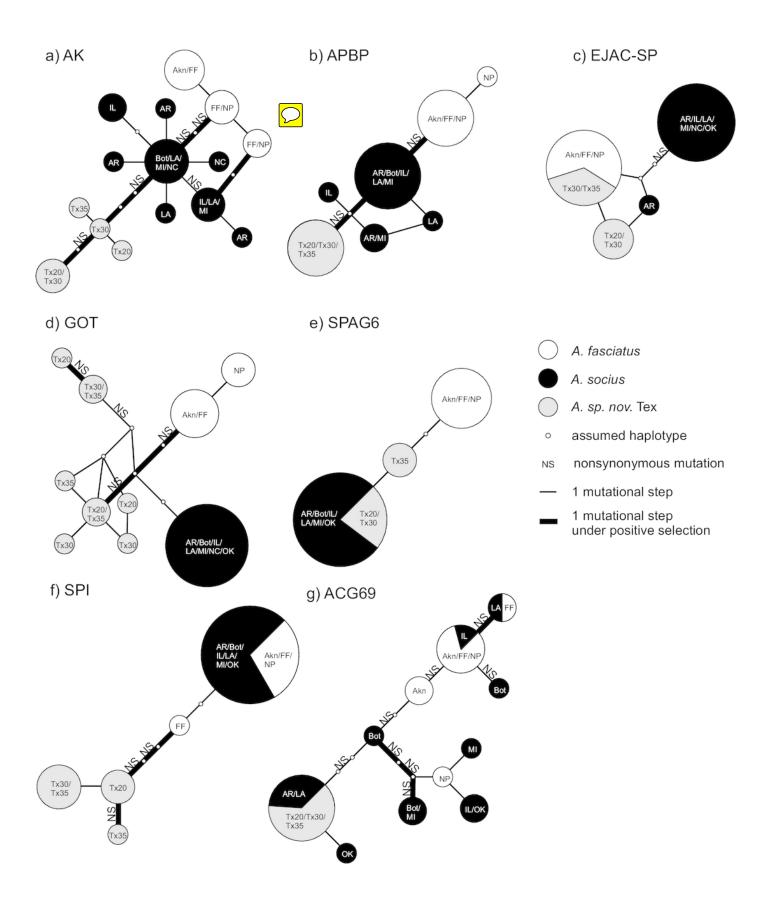
Gene	gsi-fas	P <sub>perm</sub>	gsi-soc	P <sub>perm</sub>	gsi-Tex	P <sub>perm</sub>
AK	0.956	<0.001	0.919	< 0.001	0.906	< 0.001
APBP	1	< 0.001	0.849	< 0.001	1	< 0.001
EJACSP	0.663	<0.001	0.732	< 0.001	0.728	< 0.001
GOT	0.919	<0.001	0.630	< 0.001	0.934	< 0.001
SPAG6	0.956	<0.001	0.670	< 0.001	0.753	< 0.001
SPI	0.140	0.001	0.339	< 0.001	0.934	< 0.001
ACG69	0.596	<0.001	0.05	0.644	0.917	< 0.001

3

1

Statistical parsimony haplotype networks for all 7 genes from allopatric individual only

Figure 1. Statistical parsimony haplotype networks for all 7 genes (a-e: test; f-g: control) from allopatric individuals only, with nonsynonymous substitutions and mutational steps with elevated  $\omega$  indicated. When more than two rate classes were detected (AK, see Table 1), only the largest rate class is indicated as a mutational step under positive selection. Population abbreviations are as in the main text.



2

Distribution of species-specific vs. common (shared) alleles within the *A. fasciatus* and *A. socius* contact zone in Kenna, WV

Figure 2. Distribution of species-specific vs. common (shared) alleles within the *A. fasciatus* and *A. socius* contact zone in Kenna, WV. Nine individuals each with allozyme identities of pure (homozygous) *A. fasciatus* and *A. socius* had varying patterns of allelic identities for the seven genes. Numbers (2-9) indicate the sampled individual and letters (a & b) indicate the alleles within each individual.

