

# FTH1 indicates poor prognosis and promotes metastasis in head and neck squamous cell carcinoma

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## Abstract

**Background:** Currently, ferritin heavy chain (FTH1) has been increasingly found to play a crucial role in cancer as a core regulator of ferroptosis, while its role of non-ferroptosis in head and neck squamous cell carcinoma (HNSCC) is still unclear.

**Methods:** Herein, we analyzed the expression level of FTH1 in HNSCC using TCGA database, and FTH1 protein in HNSCC tissues and cell lines was determined by immunohistochemistry (IHC) and western blotting, respectively. Then, its prognostic value and relationship with clinical parameters were investigated in HNSCC patients. Additionally, the biological function of FTH1 in HNSCC was explored.

**Results:** The current study showed that FTH1 is significantly overexpressed in HNSCC tissues and related to poor prognosis and lymph node metastasis of HNSCC. FTH1 knockdown could suppress the metastasis and epithelial-mesenchymal transition (EMT) process of HNSCC.

**Conclusion:** Our findings indicate that FTH1 plays a critical role in the progression and metastasis of HNSCC and can serve as a promising prognostic factor and therapeutic target in HNSCC.

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32 **Conclusion:** Our findings indicate that FTH1 plays a critical role in the progression and metastasis  
33 of HNSCC and can serve as a promising prognostic factor and therapeutic target in HNSCC.

34 **Keywords:** HNSCC (head and neck squamous cell carcinoma), FTH1 (ferritin heavy chain),  
35 Prognosis, Metastasis, Biomarker

## 36 1 Introduction

37 Head and neck cancers are the sixth most common tumor globally, arising in the upper respiratory  
38 tract, of which squamous cell carcinoma accounts for about 90% ([Bray et al. 2018](#)). The  
39 pathogenesis of the incidence of HNSCC between Occident and China is very different. The  
40 leading causes of HNSCC in China are smoking and drinking, while the incidence of HNSCC  
41 caused by HPV infection has increased, especially oropharyngeal squamous cell carcinoma in the  
42 Occident ([Chaturvedi et al. 2011](#)). The incidence of HNSCC is increasing globally, but the  
43 continuous development of traditional surgery, radiotherapy, chemotherapy, targeted therapy and  
44 even immunotherapy have failed to improve the 5-year survival rate of patients significantly.  
45 Compared with HPV-positive patients, the treatment prognosis of negative patients is relatively  
46 poor, which prompts scientists to seek personalized treatment based on individual patients'  
47 genomic information ([Johnson et al. 2020](#); [Network 2015](#)). An in-depth understanding of the  
48 molecular biological mechanisms of HNSCC will help discover new molecular biomarkers and  
49 potential targets, also provide a basis for early diagnosis and precise treatment.

50 In 1937, Laufberger isolated a new protein from horse spleen with iron content as high as 23% of  
51 dry weight, called ferritin ([Laufberger 1937](#)). Although there were some reports of ferritin found  
52 in human serum, it was not until 1972 that Addison used immunoradiometric assay to confirm that  
53 ferritin does exist in human serum ([Addison et al. 1972](#)). Ferritin is composed of 24 middle-hollow  
54 round subunits, including heavy chain (FTH1) and light chain (FTL1) ([Arenas-Salinas et al. 2014](#);  
55 [Goralska et al. 2005](#)). Ferritin plays essential functions such as iron metabolism, signal  
56 transduction, immunity, angiogenesis and inflammation, and plays a vital role in many diseases  
57 and even tumors ([Hazard & Drysdale 1977](#); [Wang et al. 2010](#)). Dysregulated iron metabolism is a  
58 tumor Hallmark ([Torti & Torti 2013](#)). In HNSCC, it was first reported by Peter in 1986 that ferritin  
59 may be a valuable tumor biomarker. In the serum of HNSCC patients, the ferritin content was  
60 significantly higher than in the healthy group and the ferritin content in advanced patients was also  
61 much higher than that in early-stage patients. There is evidence that the ferritin content in HNSCC  
62 patients decreased significantly after receiving successful treatment for five months and returned  
63 to normal after five years ([Hu et al. 2019](#)). Among the genes encoding the light and heavy chain  
64 proteins in ferritin, only FTH1 has ferroxidase activity, while FTL1 is mainly related to iron  
65 nucleation and the stability of assembled ferritin ([Arosio & Levi 2002](#)). Recent proteomics studies  
66 of some tumors have found that ferritin is mainly present in the form of ferritin H in malignant  
67 tissues ([Lukina et al. 1993](#)). In recent years, FTH1 as a master regulator of ferroptosis has been  
68 reported as a prognostic factor in brain cancer, pancreatic cancer, kidney cancer and breast cancer  
69 ([Chekhun et al. 2014](#); [Huang et al. 2019](#); [Rosager et al. 2017](#); [Su et al. 2017](#)). However, the  
70 prognostic value and biological function of FTH1 in HNSCC is still worth further exploration.

71 Herein, we aimed to clarify the clinical significance and biological function of FTH1 in HNSCC.  
72 The results reveal that the expression of FTH1 in cancer was elevated compared to adjacent tissues  
73 and FTH1 overexpression indicated a higher risk of lymph node metastasis and poor prognosis of

74 patients with HNSCC. Furthermore, FTH1 deletion dramatically inhibited the metastasis and EMT  
75 of HNSCC cancer cells. Collectively, the current study suggests that FTH1 represented a novel  
76 prognostic and metastatic biomarker and a potential therapeutic target for HNSCC. A preprint has  
77 previously been published ([Liu et al.](#)).

## 78 **2 Materials & methods**

### 79 **2.1 Data acquisition and tissue specimens**

80 A workflow framework of this research is presented in **Figure 1**. The RNA-Seq and clinical data  
81 of HNSCC dataset (528 cases) were downloaded from The Cancer Genome Atlas (TCGA)  
82 database. A total of 499 HNSCC samples, with 41 cases of matched adjacent normal tissues,  
83 without missing expression and missing follow-up were selected for the subsequent analysis.  
84 Besides, 21 sets of HNSCC tissue sections including cancer and adjacent normal tissues used for  
85 Immunohistochemistry (IHC) were collected from the First Affiliated Hospital of the University  
86 of South China. None of the patients received any preoperative anticancer treatment before  
87 surgical procedures. Their pathological diagnosis was confirmed by at least two pathologists. This  
88 study was approved by the Medical Ethics Committee of The First Affiliated Hospital of  
89 University of South China (Number: 2021110916001) and the requirement of consent was waived  
90 for the retrospective analysis.

### 91 **2.2 Immunohistochemistry (IHC)**

92 IHC was performed as our previous studies ([Deng et al. 2020](#); [Gong et al. 2018](#)), using the PV-  
93 9000 IHC Reagent (ZSGB-BIO, Beijing, China). In brief, tissue sections were dewaxed with  
94 turpentine and then hydrated with a gradient of decreasing concentration of ethanol. The antigen  
95 retrieval was executed by boiling 10 mmol/l citric acid buffer (pH 6.0) for 15 min. After  
96 endogenous peroxidase was inactivated, the sections were blocked with normal goat serum  
97 (ZSGB-BIO, Beijing, China) for 10 min. Then the sections were incubated overnight with anti-  
98 FTH1 antibody (Affinity, 1:200) at 4 °C, followed by the horseradish peroxidase labeled secondary  
99 antibody. For the negative control, the normal rabbit IgG was applied. Finally, the positive signals  
100 were visualized by chromogenic agent DAB and nuclei were counter-stained with hematoxylin.  
101 Signal strength was scored as follows: 0 (negative), 1(weak), 2 (moderate) and 3 (strong). The  
102 staining distribution score is based on the percentage of positive cells: 0 (0-5%), 1 (5-24%), 2 (25-  
103 49%), 3 (50-74%) and 4 (75-100%).

### 104 **2.3 Cell culture and transfection**

105 HNSCC cell lines (Fadu, SCC4, Cal27, HN8 and Cal33), 293T and immortalized non-malignant  
106 cell line DOK were obtained from Shanghai Cell Bank (The Chinese Academy of Science,  
107 Shanghai) or ATCC. DOK cells were cultured with RPMI 1640 (Gibco). HNSCC cell lines and  
108 293T cells were cultured in DMEM (Gibco). The culture mediums were supplemented with 10%  
109 FBS (Gibco) and all cells were cultured at 37 °C with 5% CO<sub>2</sub>.

110 The shRNA-encoding lentiviral vectors for FTH1 knockdown were purchased from GeneCopoeia  
111 (GeneCopoeia, Rockville, USA). The shRNA target sequences for human FTH1 were as follows:  
112 shFTH1-1: 5'-CCATGTCTTACTACTTTGACC-3'; shFTH1-2: 5'-  
113 CCATCAAAGAATTGGGTGACC-3'. The procedures of lentivirus packaging were performed

114 using Lenti-Pac™ HIV lentivirus packaging kit (GeneCopoeia, Rockville, USA). The negative  
115 control, nominated as Lv-control, was packaged with the empty vector. After 48-72 hours of  
116 transfection, lentiviral particles were harvested to infect cell lines. Stable transfected cells were  
117 selected with puromycin (GeneCopoeia, 2 µg/ml) for 2 weeks and the inhibitory efficiency of FT  
118 H1 was confirmed by Western blotting.

## 119 **2.4 Cell migration and invasion**

120 Cell migration and invasion assay was conducted using wound healing and Transwell invasion  
121 assays as reported in our previous studies ([Liu et al. 2020](#); [Yang et al. 2020](#)). For wound healing  
122 assay, stable transfected cells were seeded in a 6-well plate and scratched using a 10 µl tip when  
123 cells reached 90% confluency and then cells were cultured using serum-free medium for 48 h.  
124 Transwell invasion assays were performed using Transwell chambers (Corning, USA) pre-coated  
125 with 15% Matrigel (Corning, USA). Cells were seeded into the upper chamber ( $2 \times 10^4$  cells/well),  
126 while the lower chamber was placed with the medium containing 10% FBS. After 48 hours, the  
127 cells on the lower surface were fixed utilizing paraformaldehyde and stained using crystal violet.  
128 After removing the cells on the upper surfaces, the stained cells were counted under a microscope.

## 129 **2.5 Gene Set Enrichment Analysis (GSEA)**

130 Gene set enrichment analysis (GSEA) was performed using GSEA 4.0.1 software to explore the  
131 potential functions enriched in subgroups of high or low expression of FTH1. The gene set  
132 'c2.cp.kegg.v7.4.symbols.gmt [curated]' from the MSigDB database was used as reference for  
133 GSEA. The enriched sets with  $P$ -value  $< 0.05$  and FDR (false discovery rate)  $< 0.25$  were  
134 considered statistically significant.

## 135 **2.6 Western blotting**

136 Whole-cell proteins were extracted using RIPA lysis buffer (NCM Biotech, China) with the  
137 proteasome inhibitor (Beyotime Biotechnology, China) and centrifugated to collect the  
138 supernatant. After determination of the protein concentration, the collected supernatant was added  
139 with SDS buffer, incubated for 10 min at 100 °C, separated by SDS-PAGE and then transferred to  
140 the PVDF membrane (Millipore, Bedford, MA). The membranes blocked with 5% skimmed milk  
141 was incubated overnight with primary antibody against FTH1 (1:1000 dilution, Affinity), E-  
142 cadherin (1:2000 dilution, Proteintech), N-cadherin (1:1000 dilution, CST), Vimentin (1:1000  
143 dilution, CST) at 4 °C. Finally, the antigen-antibody complexes were visualized using enhanced  
144 chemiluminescence reagents (Thermo Fisher Scientific).

## 145 **2.7 Statistical analysis**

146 All statistical analyses were performed using R software (v4.1.0). Student's  $t$  test and Wilcoxon test  
147 were used to evaluate differences between two groups. Kruskal Wallis test and one-way analysis  
148 were used to analyze differences among multiple groups. Overall survival (OS) and disease-free  
149 survival (DFS) analysis were conducted using the Kaplan-Meier method with the log-rank test.  
150 The chi-square test and Fisher's exact test were utilized to analyze the correlation between FTH1  
151 expression and the clinicopathologic parameters. Univariate and multivariate Cox regression  
152 analyses were performed to identify independent prognostic factors. A value of  $P < 0.05$  indicates  
153 statistical significance. \*, \*\* and \*\*\* represented  $P < 0.05$ ,  $P < 0.01$  and  $P < 0.001$ , respectively.

## 154 3 Results

### 155 3.1 Differentially expression and prognostic value of FTH1 and FTL1 in HNSCC

156 The differential analysis of TCGA-HNSC transcriptome data showed that the expression levels of  
157 FTH1 and FTL1 in HNSCC samples were significantly higher than those in adjacent normal  
158 samples (**Figure 2A, Supplemental Figure 1A**), which were also confirmed using paired sample  
159 analysis (**Figure 2B, Supplemental Figure 1B**). FTH1 in HNSCC cells was overexpressed  
160 compared with DOK, an immortalized non-malignant cell (**Figure 2C**). The IHC staining showed  
161 that FTH1 protein was highly expressed in HNSCC tissues compared with matched adjacent  
162 epithelial tissues (**Figure 2D-F**). Based on FTH1 and FTL1, the coding genes for the heavy chain  
163 and light chain of ferritin significantly highly expressed in tumor samples from HNSCC patients,  
164 and we further analyzed their clinical prognostic value. In the Kaplan-Meier survival estimate, we  
165 found that only high expression of FTH1 in tumors indicates worse OS probability ( $P = 1.39e-03$ )  
166 and DFS probability ( $P = 4.054e-05$ ), while FTL1 has no prognostic value (**Figure 3A and B,**  
167 **Supplemental Figure 1C and D**). From the above results, it can be indicated that the expression  
168 of FTH1 has the prognostic value of OS and DFS simultaneously and the clinical outcomes of  
169 patients with high FTH1 expression are worse.

### 170 3.2 Correlation between FTH1 and clinical parameters

171 We generalized these patients' baseline parameters with age, gender, smoking, drinking history,  
172 pathological grade, clinical stage, T/N /M stage, OS and DFS according to the high and low  
173 expression levels of FTH1 (**Table 1**). The preliminary correlation between FTH1 expression and  
174 clinicopathological features was obtained by logical regression analysis, as shown in **Table 2**. It  
175 shows that FTH1 expression is associated with smoking (no vs. yes,  $P = 0.008$ ), pathological grade  
176 (G3&4 vs. G1&2,  $P < 0.01$ ), N stage (N+ vs. N0,  $P < 0.01$ ) and clinical stage (Stage III&IV vs.  
177 Stage I&II,  $P < 0.05$ ). After that, we further performed a Wilcoxon signed-rank test on  
178 clinicopathological features that distribute in high and low FTH1 expression groups. In addition  
179 to the differences in FTH1 expression among patients with a history of drinking ( $P < 0.001$ ), the  
180 results of smoking (no vs. yes,  $P < 0.05$ ), pathological grading (G3&4 vs. G1&2,  $P < 0.01$ ), N  
181 staging (N+ vs. N0,  $P < 0.01$ ) and clinical stage (Stage III&IV vs. Stage I&II,  $P < 0.05$ ) conform  
182 to logistic regression (**Figure 4A-I**).

183 We further performed a stratified Kaplan-Meier analysis for OS and DFS in TCGA-HNSC patients  
184 with the differential clinical features related to FTH1 expression. The related results showed that  
185 FTH1 expression had the prognostic value of OS ( $P = 0.004$ ) and DFS ( $P < 0.001$ ) in the G1&2  
186 stage, but not in the G3&4 group (**Figure 5A and B, Figure 6A and B**). FTH1 Expression has  
187 prognostic value of OS (both  $P < 0.05$ ) and DFS (both  $P < 0.05$ ) whether lymphatic metastasis or  
188 not (**Figure 5C and D, Figure 6C and D**); FTH1 Expression has a predictive value of DFS ( $P =$   
189  $0.002$ ) but no predictive value of OS ( $P = 0.072$ ) in the early stage (Stage I&II) population, while  
190 in advanced patients, have prognostic value regardless of OS ( $P = 0.013$ ) or DFS ( $P = 0.004$ )  
191 (**Figure 5E and F, Figure 6E and F**). Finally, we were pleasantly surprised to find that FTH1  
192 Expression has a good prognostic value for both OS and DFS in smokers ( $P < 0.001$ ), while not in  
193 non-smokers (**Figure 5G and H, Figure 6G and H**). From the results of the stratified analysis,  
194 we guess that FTH1 Expression has better prognostic value for smokers, advanced and  
195 pathologically well-differentiated patients, and its prognostic value is not affected by the status of  
196 lymph node metastasis.

### 197 3.3 Independent predictive power of FTH1

198 The Cox regression analysis results of FTH1 expression level and clinicopathological features for  
199 OS prognosis have been shown in **Table 3**. Univariate cox analysis showed that metastasis ( $P =$   
200  $0.026$ , HR: 3.721, 95%CI: 1.177-11.764) and FTH1 expression ( $P < 0.001$ , HR: 1.646, 95%CI:  
201 1.254-2.161) were statistically significant. Moreover, multivariate cox analysis showed that both  
202 of them still had good statistical significance (metastasis,  $P = 0.031$ , HR: 3.547, 95%CI: 1.122-  
203 11.214) and (FTH1 expression level,  $P < 0.001$ , HR: 1.658, 95%CI: 1.252-2.194). The above  
204 analysis results indicate that in addition to the independent prognostic value of metastasis, FTH1  
205 expression level can also be used as an excellent independent prognostic factor.

### 206 3.4 GSEA reveal FTH1-related pathways and molecular functions

207 Through GSEA, we explored the differences in the downstream activated signaling pathways  
208 between the low and high FTH1 expression groups to search for its potential carcinogenic  
209 mechanism. After screening of high expression in the group in MSigDB gene set (c2. Cp. Kegg.  
210 V7.1. Symbols. gmt), we found that 24 significant enrichment pathways (FDR  $< 0.25$ , NOM  $p$ -  
211 value  $< 0.05$ ) are mainly concentrated in six aspects between the degree of enrichment of pathways:  
212 energy metabolism, glycometabolism, protein and amino metabolism, other metabolisms, cell  
213 adhesion and motility and tumor-associated signal pathways (**Figure 7A-D**).

### 214 3.5 FTH1 knockdown suppresses HNSCC metastasis by attenuating EMT

215 As previously mentioned, FTH1 was associated with lymph node metastasis. Hence, wound-  
216 healing and Transwell assays were performed to identify FTH1 affecting migration and invasion  
217 capabilities of HNSCC cells. Attractively, FTH1 knockdown suppressed wound healing rates in  
218 Fadu and HN8 cells (**Figure 8A-D**). Similar results were obtained in the Transwell invasion assays  
219 (**Figure 8E and F**). Furthermore, we investigated molecular markers of EMT by Western blotting.  
220 After FTH1 depletion, mesenchymal markers (N-cadherin and vimentin) are suppressed, while the  
221 epithelial marker E-cadherin was upregulated (**Figure 9A and B**).

## 222 4 Discussion

223 Currently, FTH1 has attracted much attention as a core regulator of ferroptosis ([Tang et al. 2021](#)),  
224 but its role of non-ferroptosis in HNSCC is still ambiguous. The current study suggests that the  
225 role of FTH1 in tumors depends on the context in which it is present. FTH1 can be used as a tumor  
226 promoter in metastatic melanoma cells ([Di Sanzo et al. 2011a](#)), brain cancer ([Rosager et al. 2017](#)),  
227 pancreatic cancer ([Su et al. 2017](#)) and a tumor suppressor in non-small cell lung cancer ([Biamonte  
228 et al. 2018a](#)) and ovarian cancer ([Lobello et al. 2016](#)), while the role of FTH1 in breast cancer is  
229 still controversial ([Aversa et al. 2017b](#); [Chekhun et al. 2014](#)). In this study, we discovered that  
230 FTH1 is significantly overexpressed in HNSCC tissues in the TCGA-HNSC database, and further,  
231 IHC was used to verify the above results. Simultaneously, we found that the high expression of  
232 FTH1 correlated with lymph node metastasis and higher pathological grade and clinical stage can  
233 act as an independent predictor of the poor prognosis of HNSCC. The results are consistent with  
234 previous studies of FTH1 in multiple solid tumors, except for clinical stage ([Ali et al. 2021](#); [Hu et  
235 al. 2021a](#); [Hu et al. 2021b](#); [Huang et al. 2019](#)). Hence, we aimed to the biological function of FTH1  
236 in HNSCC, and the results demonstrated that endorsed the metastasis of HNSCC cells. Briefly, the

237 current study indicates that FTH1 plays a vital role in the pro-oncogenic functions and is a potential  
238 biomarker and therapeutic target in HNSCC.

239 Iron metabolism plays a crucial role in cancer metastasis by affecting some enzymes activities.  
240 Iron overload increases the activity of metalloprotease-2/9 (MMP-2/9) activating AP-1 via  
241 ERK/Akt pathway ([Kaomongkolgit et al. 2008](#)). Increased iron concentration caused by FPN  
242 overexpression attenuates the ROS generation and impedes EMT ([Shan et al. 2018](#)). The role of  
243 ferritin as an important iron metabolism regulator has been extensively studied in tumors.  
244 However, there are few studies focusing on ferritin subunits FTH1 and FTL1. Among them, only  
245 FTH1 has the enzyme activity to oxidize divalent iron to trivalent iron ([Timoshnikov et al. 2015](#)).  
246 FTH1 helps the synthesis of ferritin by enhancing the storage of iron in cells and mainly affects  
247 tumors' progress by regulating iron metabolism. The ratio of FTH1 and FTL1 in ferritin is specific,  
248 and ratio H/L and ferritin are essential for cell survival. These two subunits are not interchangeable,  
249 and FTL1 cannot compensate for the function of FTH1 ([Ferreira et al. 2001](#)). FTH1 plays an  
250 essential role in the regulation of proliferation, angiogenesis, migration, EMT, stemness,  
251 inflammation and immunoregulation. At present, the research of FTH1 in tumor pathogenesis  
252 mainly exerts its functions by affecting iron metabolism. In the study of the proteomics in human  
253 metastatic melanoma cells knocking down FTH1, 200 differential proteins were found, mainly  
254 distributed in metabolic pathways related to tumor progression and metastasis ([Di Sanzo et al.](#)  
255 [2011b](#)). And knockdown FTH1 can inhibit the growth and invasion of melanoma. The regulation  
256 of iron depletion by FTH1 can slow down the self-renewal of breast cancer stem cells ([Kanojia et](#)  
257 [al. 2012](#)), while silencing FTH1 in SKOV3 cells can promote the stemness of cervical cancer cells  
258 and the up-regulation of NANOG, SOX2, OCT4 ([Lobello et al. 2016](#)). After down-regulating  
259 FTH1 in breast cancer, cervical cancer and non-small cell lung cancer, cancer cells tend to be in a  
260 mesenchyme state, which helps tumor metastasis ([Aversa et al. 2017a](#); [Lobello et al. 2016](#)). Here,  
261 we found that FTH1 silencing hampers the EMT process in HNSCC cells. Our results illuminate  
262 that FTH1 functions as a crucial regulator of EMT to enhance the metastasis of HNSCC.

263 In addition to regulating iron metabolism, FTH1 also directly acts on oncogenes, oncomiRs and  
264 the chemokine pathway. Paola et al. found that iron depletion can up-regulate p53 and induce  
265 apoptosis ([Dongiovanni et al. 2010](#)). Further studies in NSCLC found that FTH1 regulates miR-  
266 125b/p53 axis up-regulates the pro-apoptotic protein BAX down-regulates anti-apoptotic protein  
267 Bcl2 by destroying the mitochondrial membrane potential (MMP) and mediating a cascade of  
268 enzymatic apoptosis ([Biamonte et al. 2018b](#)). Silenced-FTH1 MCF-7 and H460 cells produced a  
269 large amount of ROS and activated the CXCR4/CXCL12 signaling pathway, thereby promoting  
270 cancer high migration ([Aversa et al. 2017a](#)). Our GSEA analysis results suggest that FTH1 may  
271 plays an important role in multiple cell adhesion signal pathways, such us ECM receptor  
272 interaction, focal adhesion, gap junction and regulation of actin cytoskeleton.

273 FTH1 is present not only as a biological marker but can also be used in magnetic resonance  
274 imaging (MRI) and nanomaterials. In breast cancer, serum biomarkers (CA 15-3) combined with  
275 tumor-associated antigens and autoantibodies (heterogeneous nuclear ribonucleoproteins F and  
276 FTH1) can improve the accuracy of breast cancer diagnosis ([Dong et al. 2013](#)). FTH1 was used as  
277 an MRI reporter gene in liver cancer, which can be used for the diagnosis and treatment of early  
278 liver cancer; also, with additional iron added, it was more efficient and safer in nasopharyngeal  
279 carcinoma ([Feng et al. 2012](#); [Genove et al. 2005](#); [Zhou et al. 2020](#)). FTH1 nanoparticles coated  
280 with EGF have been successfully applied to breast cancer in vitro and in vivo ([Li et al. 2012](#)). The  
281 nano-ferritin-HFt-MP-PAS40-Dox packaged with doxorubicin was safely applied to HNSCC,

282 which had a higher maximum tolerated dose (MTD) and better efficiency (Damiani et al. 2017).  
283 Currently, siRNA, miRNA, piRNAs and other carriers can be effective tools for targeted FTH1 in  
284 the tumor (Balaratnam et al. 2018), of which H-ferritin siRNA has achieved initial success in  
285 improving the curative effect of gliomas in patients receiving chemotherapy (Liu et al. 2011).  
286 Although ferritin is present in serum but not synthesized in serum, it is mainly leaked by damaged  
287 tumor cells, and tumor cell damage mainly occurs in the advanced stage (Kell & Pretorius 2014),  
288 so this may cause the ferritin in serum to be unable to predict early HNSCC. The overexpression  
289 of FTH1 in tumor tissues may be a good indicator of its cancer-promoting function. In addition to  
290 ferroptosis, upregulation of FTH1 may promote the invasion and metastasis of HNSCC. Therefore,  
291 the prognostic value of FTH1 for patients in the advanced stages and even posttreatment is worthy  
292 of further attention.

293 However, some limitations of our research should be acknowledged. Firstly, a larger cohort of  
294 patients are required for exploring clinical significance. Additionally, the heterogeneity and  
295 subsites of HNSCC deserves further exploration. Furthermore, *in vivo* experiments and validation  
296 of intermolecular regulation need to be further completed. Thus, future studies with a larger cohort,  
297 *in vivo* experiments in mice and molecular mechanism are warranted to further validate the current  
298 results.

## 299 5 Conclusions

300 This study found that the high expression of FTH1, not FTL1, could be an independent predictor  
301 of the prognosis of HNSCC. In addition, FTH1 downregulation could weaken the metastasis and  
302 EMT process of HNSCC. Henceforth, FTH1 could represent a promising biomarker and have  
303 value as a therapeutic target for the inhibition of metastasis in HNSCC.

### 304 Data availability

305 The initial data of HNSCC could be downloaded from TCGA (<https://portal.gdc.cancer.gov/>).

### 306 Conflict of interest

307 The authors declare that the research was conducted in the absence of any commercial or financial  
308 relationships that could be construed as a potential conflict of interest.

### 309 Ethical statement

310 This study does not contain animal experimentation, and has been approved by the Medical Ethics  
311 Committee of The First Affiliated Hospital of University of South China (Number:  
312 2021110916001), and the requirement of consent was waived for the retrospective analysis.

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319 A preprint has previously been published ([Liu et al.](#)).

### 320 Authors' contributions

321 Zhifeng Liu performed study design and wrote the manuscript; Qingyun Liao and Jing Yang  
322 conducted experiment performing and data analysis; Zhaoyi Lu, Qingshan Jiang, Yongqian Gong,  
323 Lijun Liu, Hong Peng, Qin Wang and Xin Zhang conducted data interpretations, technical support  
324 and depicted the figures. All authors approved the manuscript.

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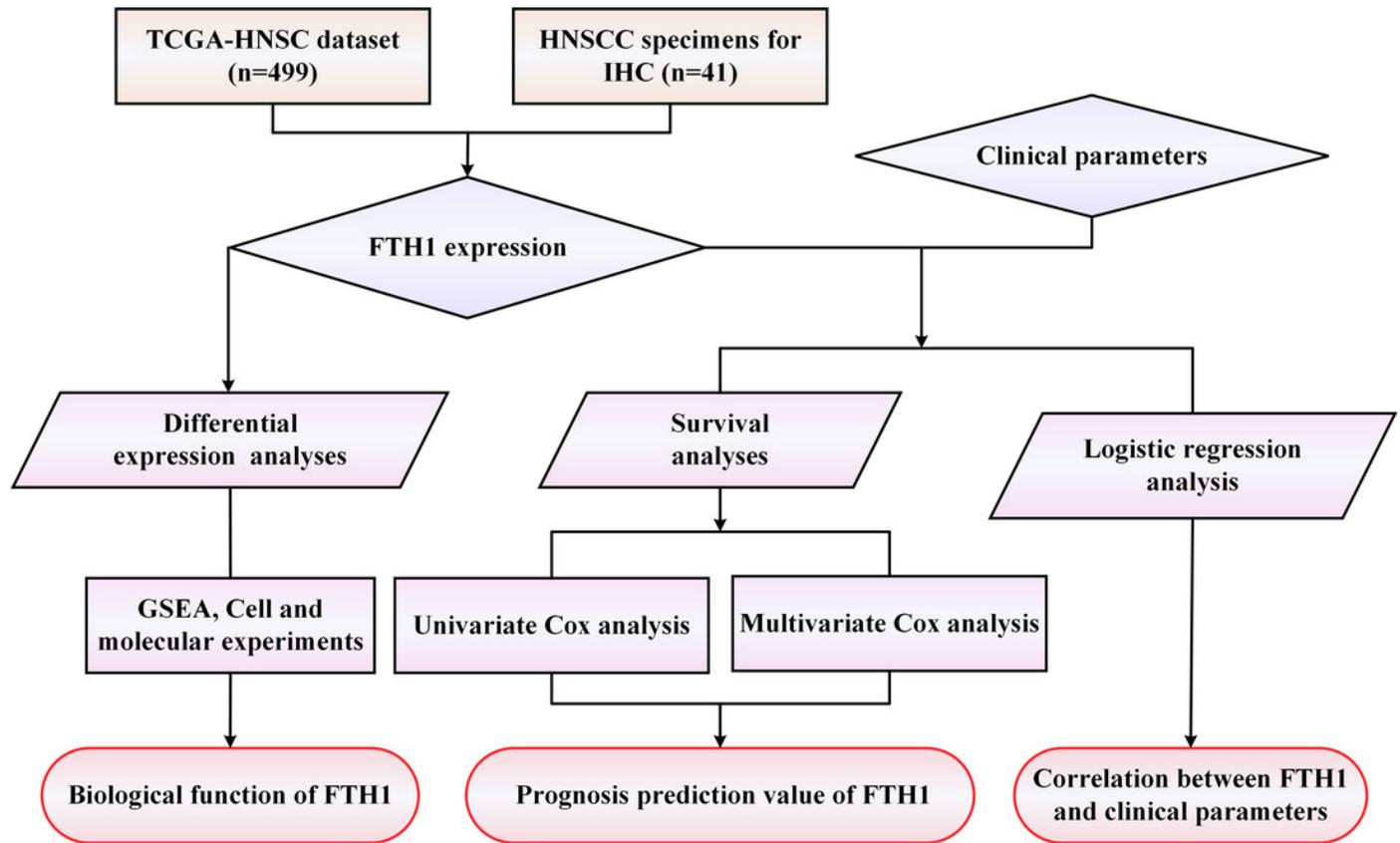
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# Figure 1

The workflow framework of this study.

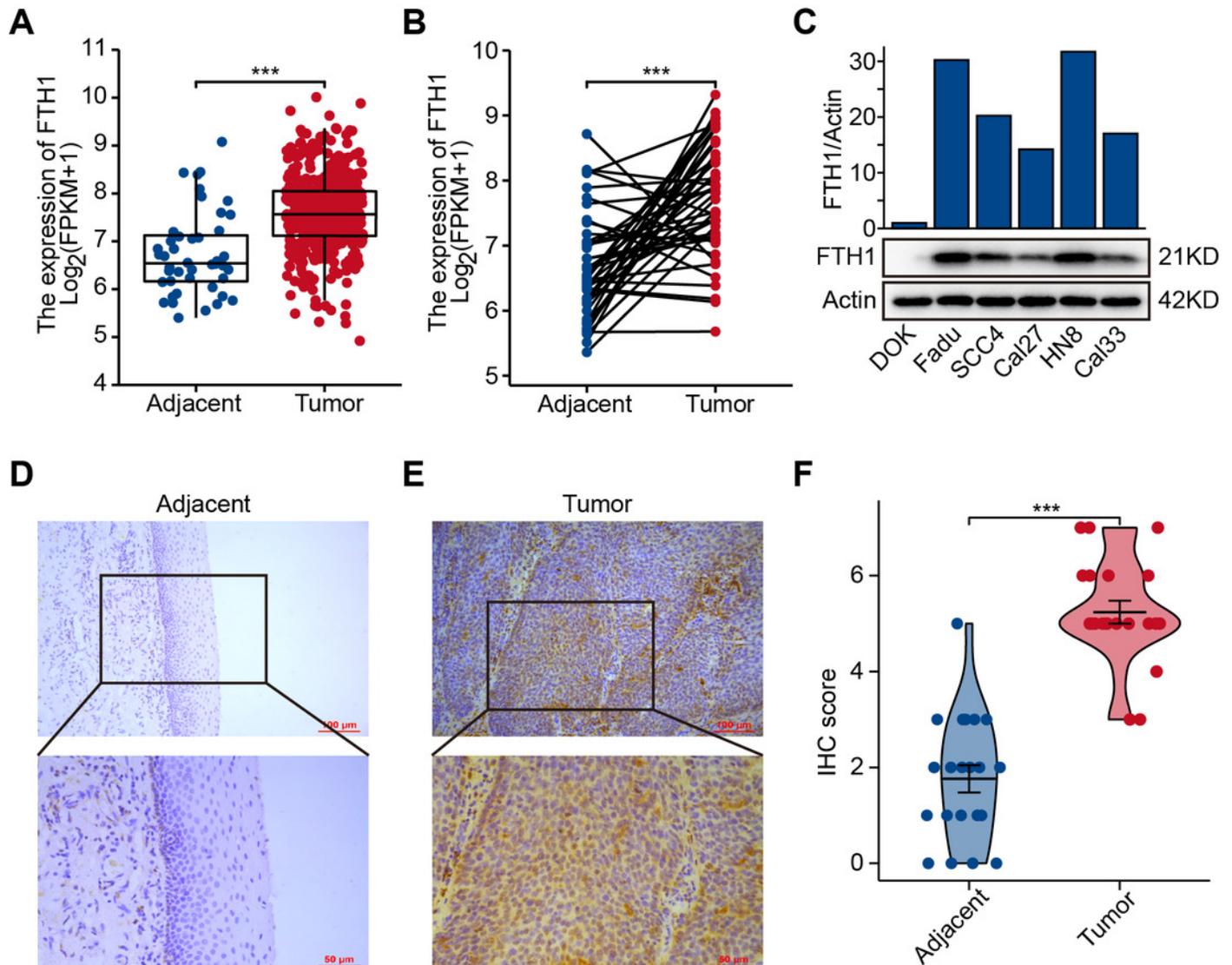


## Figure 2

Elevated expression of FTH1 in HNSCC tissues.

(A) Differential expression of FTH1 in HNSCC and adjacent normal tissues in TCGA dataset.

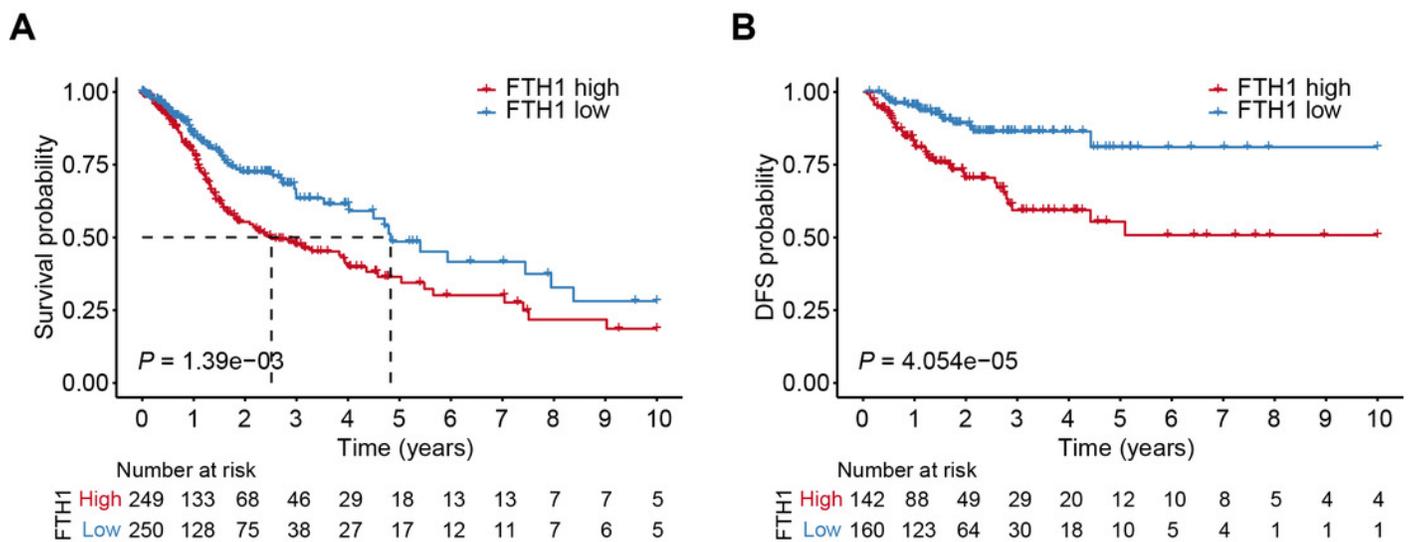
(B) Paired difference analysis of FTH1 mRNA expression in TCGA-HNSCC dataset. (C) The protein level of FTH1 in HNSCC cell lines and immortalized non-malignant cell line DOK was tested by western blot. Representative IHC staining demonstrates the expression of the FTH1 protein in adjacent normal (D) and HNSCC (E) tissues (100 $\mu$ m: 200 $\times$ , 50 $\mu$ m: 400 $\times$ ). (F) The IHC score was significantly higher in HNSCC tissues than in adjacent tissues. \*\*\*  $P < 0.001$ .



## Figure 3

High levels of FTH1 predicts a worse prognosis of HNSCC patients.

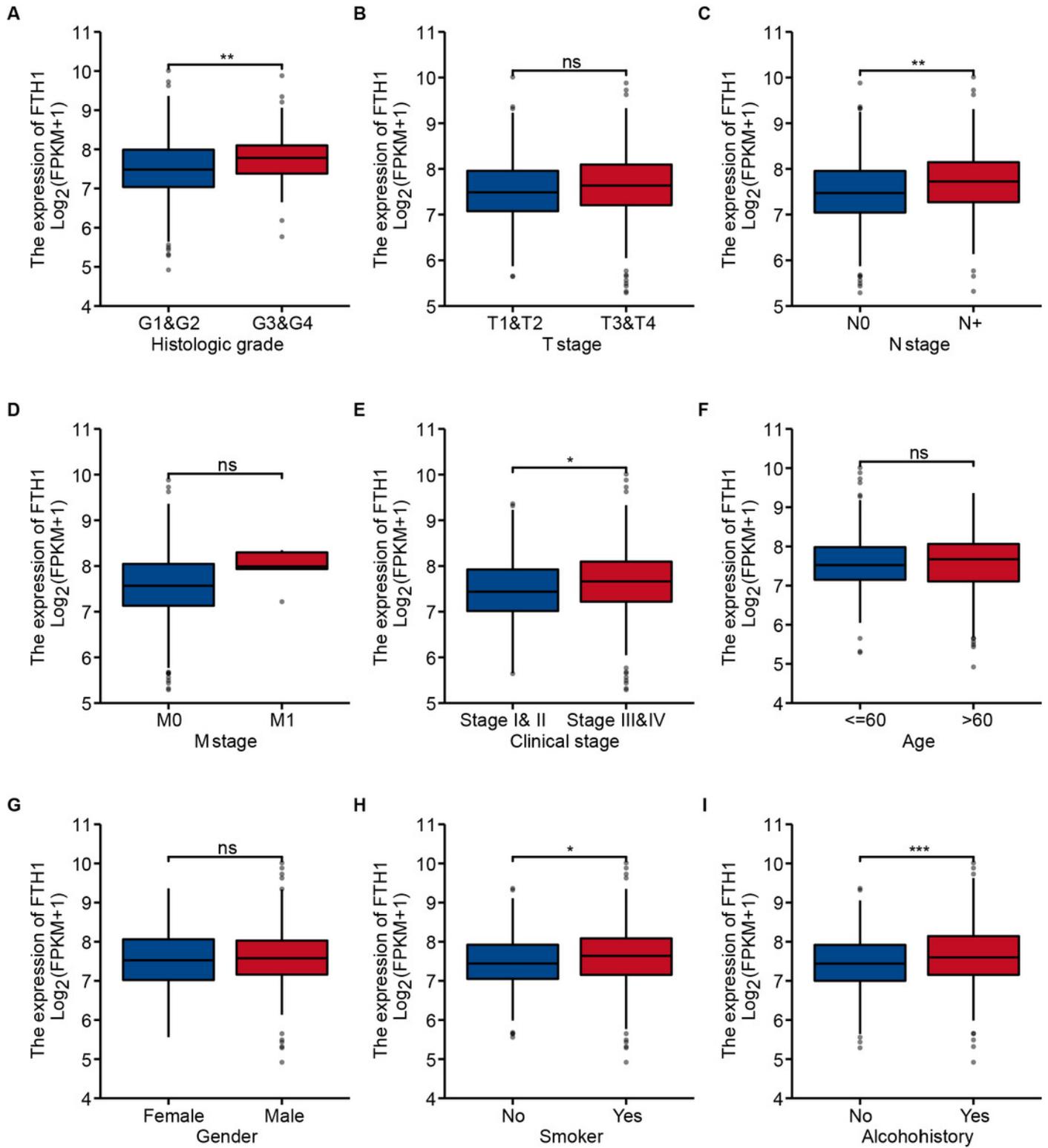
Kaplan-Meier curves showed OS (A) and FDS (B) in HNSCC patients in terms of FTH1 expression.



## Figure 4

Correlation between FTH1 expression and clinicopathologic parameters.

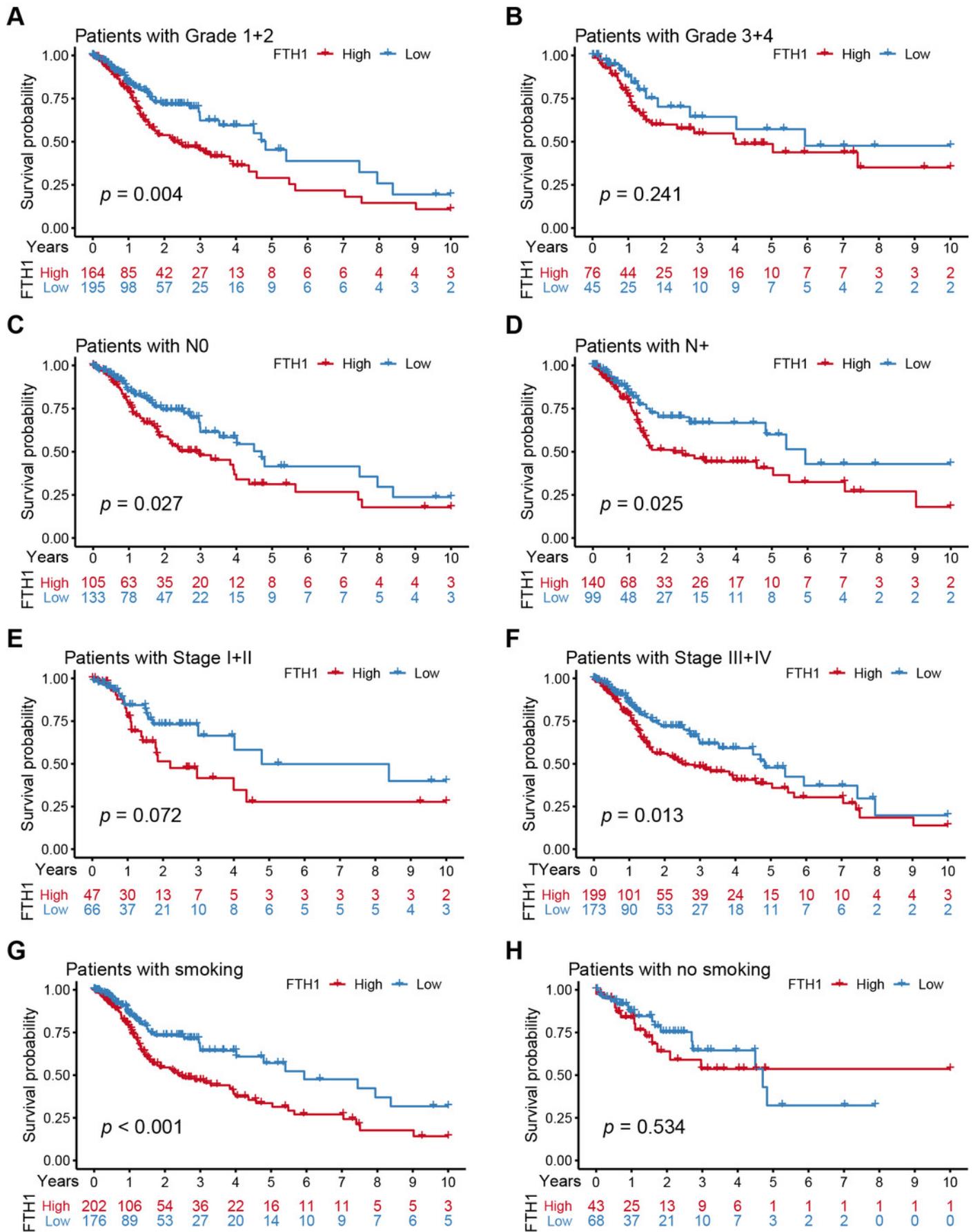
Distribution of the FTH1 expression stratified by clinicopathologic parameters: (A) pathological grade (G1&2 and G3&4), (B) T stage (T1&2 and T3&4), (C) N stage (N0 and N+), (D) M stage (M0 and M1), (E) clinical stage (Stage I&II and Stage III&IV), (F) Age ( $\leq 60$  and  $> 60$ ), (G) Gender (Female and Male), (H) smoking (No and Yes), (I) Alcohol history (No and Yes). ns  $P > 0.05$ ; \*  $P < 0.05$ ; \*\*  $P < 0.01$ ; \*\*\*  $P < 0.001$ .



## Figure 5

Stratified Kaplan-Meier analysis for OS in HNSCC patients.

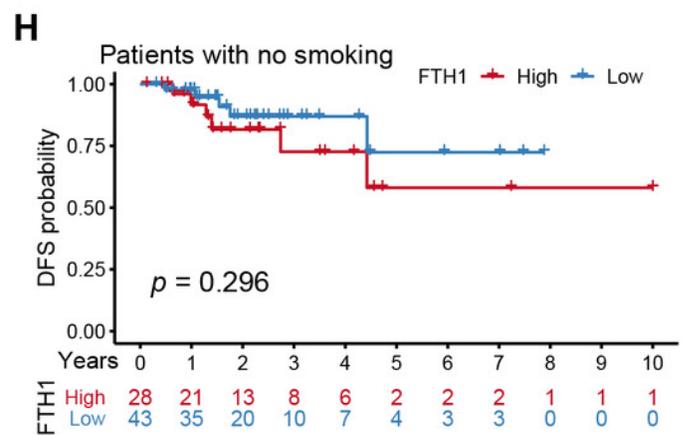
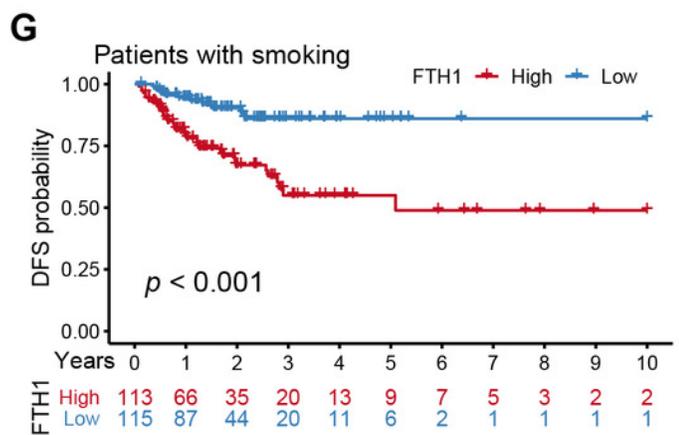
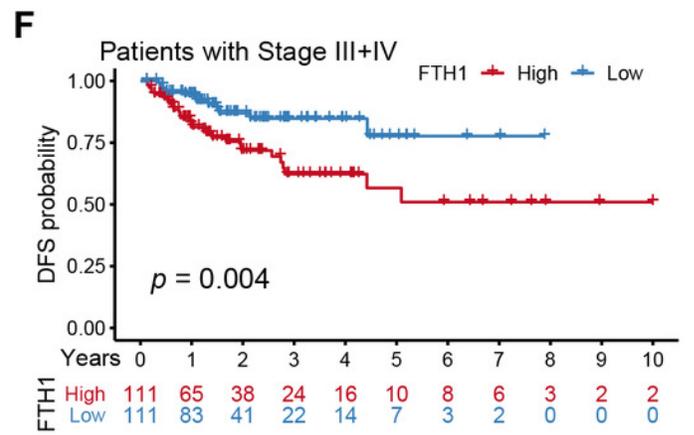
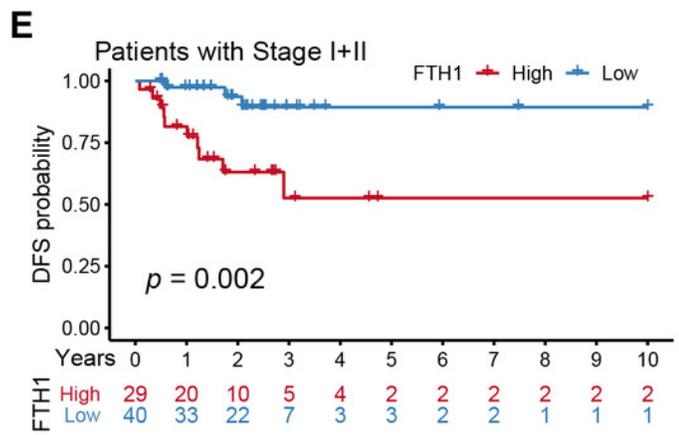
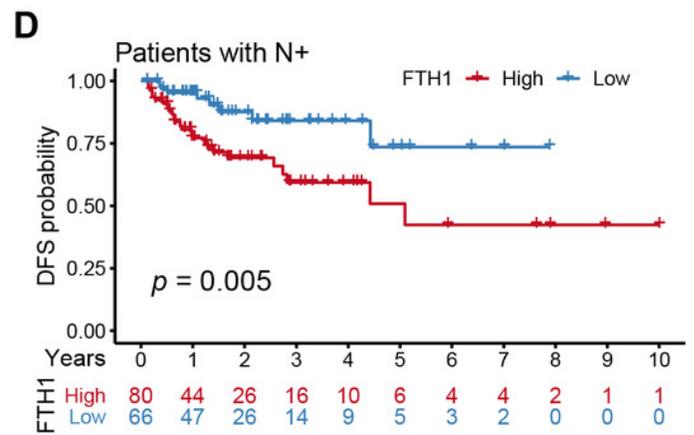
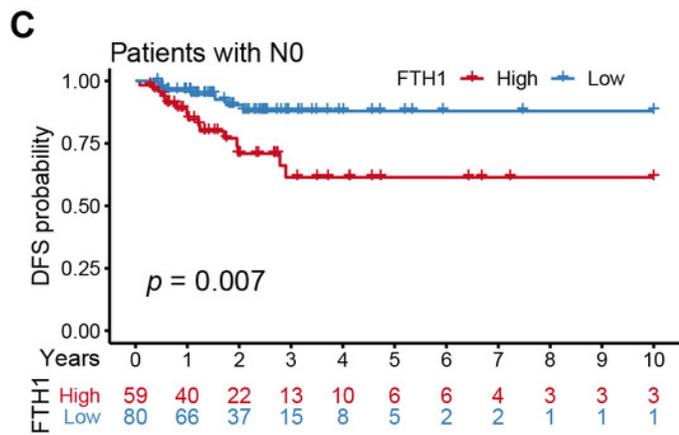
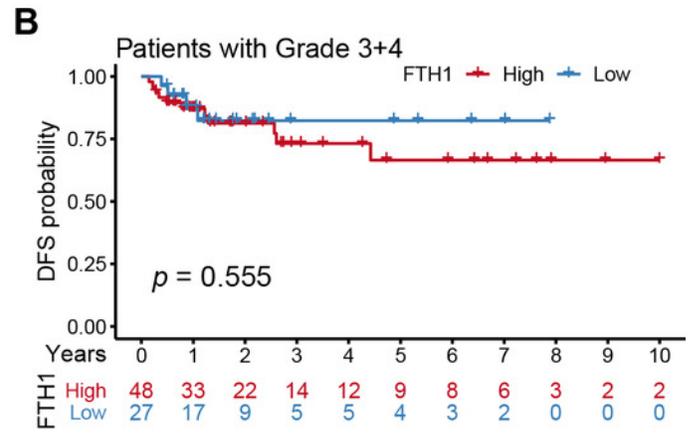
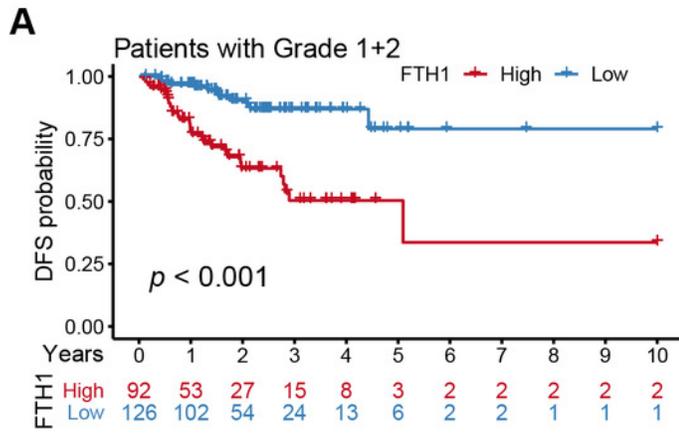
Stratified Kaplan-Meier analysis for OS with the differential clinical features, (A) Grade 1+2, (B) Grade 3+4, (C) N0, (D) N+, (E) Stage I+II, (F) Stage III+IV, (G) smoking, (H) no smoking, related to FTH1 expression in HNSCC patients.



## Figure 6

Stratified Kaplan-Meier analysis for DFS in HNSCC patients.

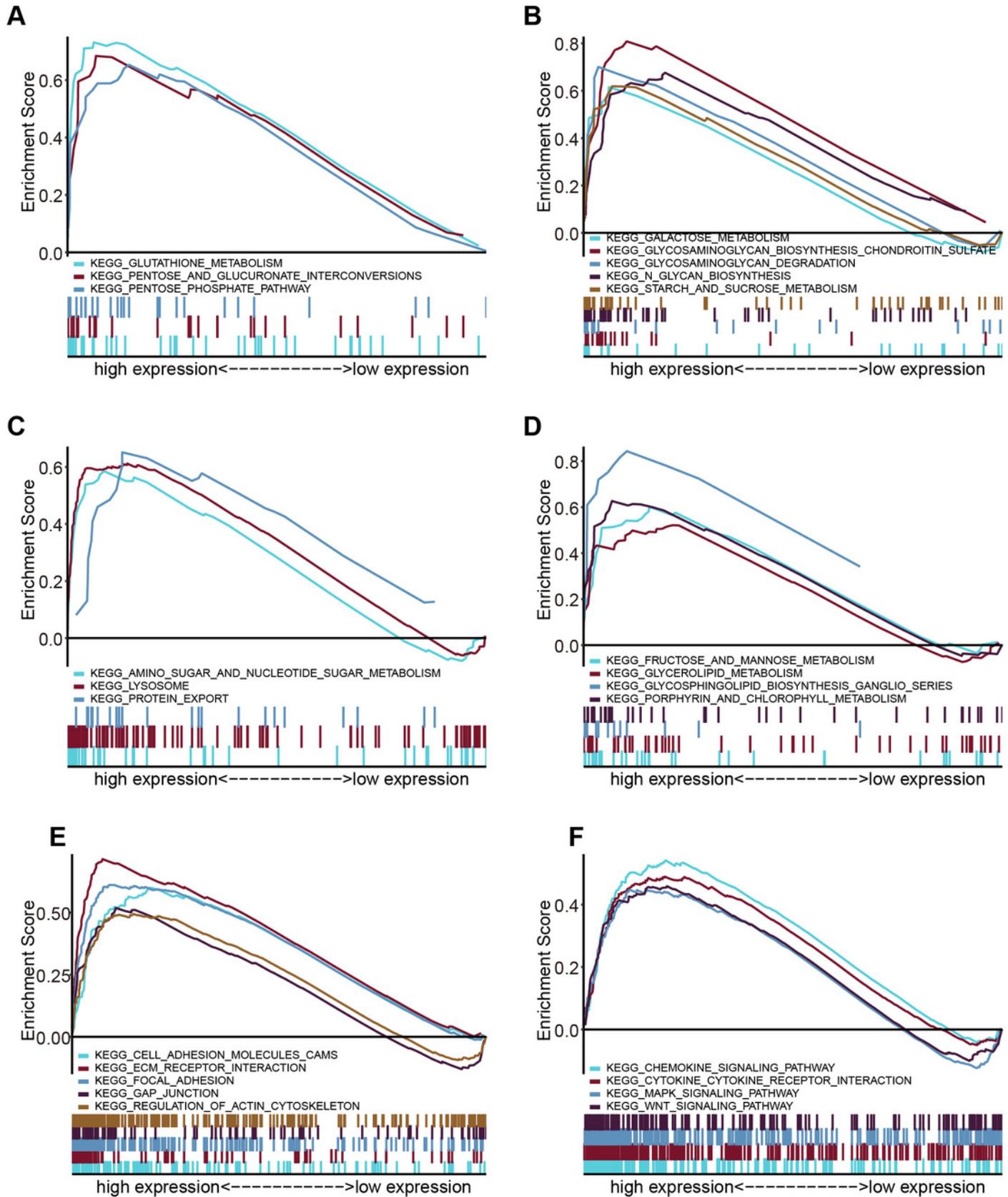
Stratified Kaplan-Meier analysis for DFS with the differential clinical features, (A) Grade 1+2, (B) Grade 3+4, (C) N0, (D) N+, (E) Stage I+II, (F) Stage III+IV, (G) smoking, (H) no smoking, related to FTH1 expression in HNSCC patients.



## Figure 7

Enrichment results from multiple GSEA.

(A) energy metabolism, (B) glycometabolism, (C) protein and amino metabolism, (D) other metabolisms, (E) cell adhesion and (F) motility and tumor-associated signal pathways.

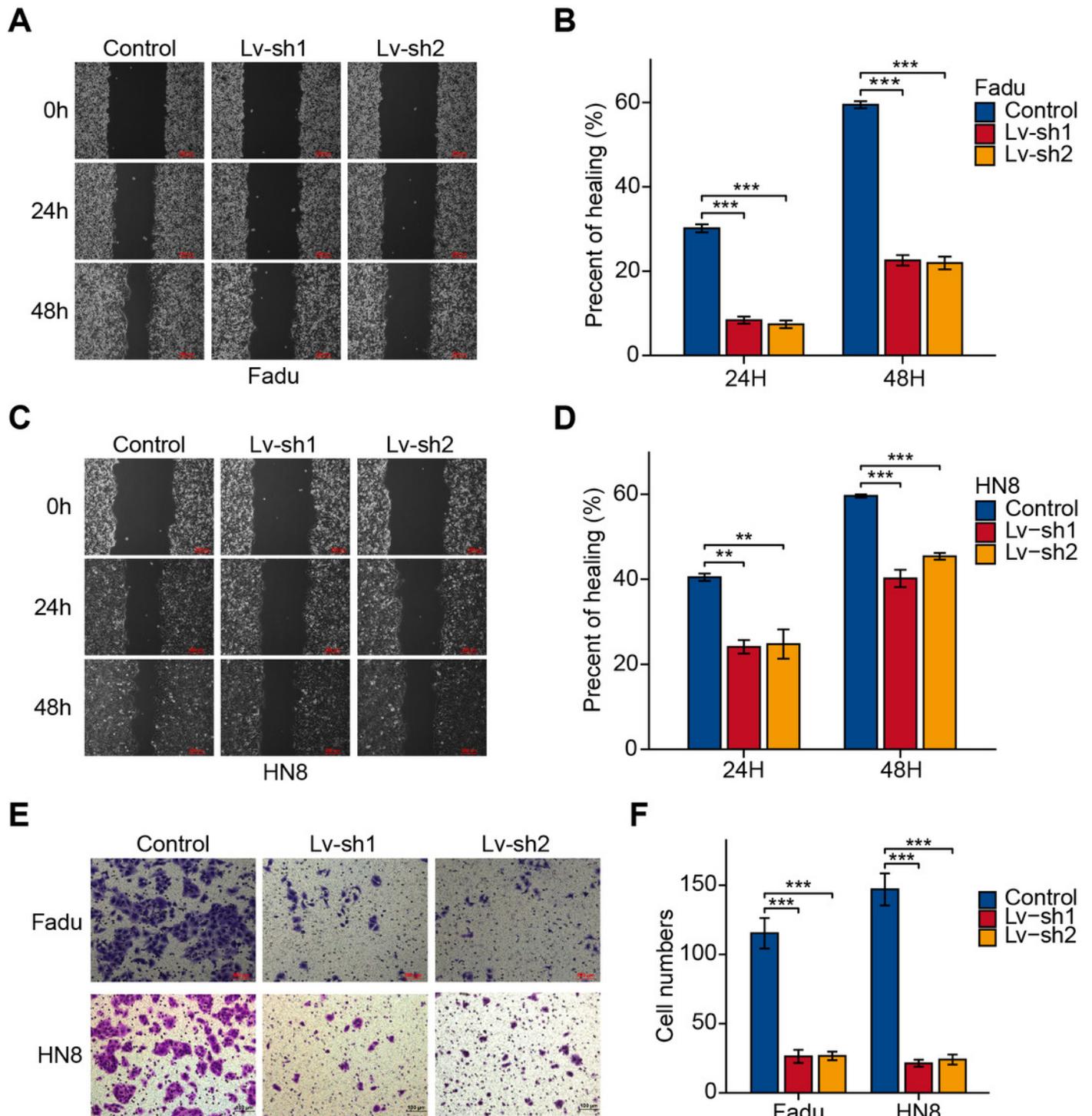


## Figure 8

FTH1 inhibition suppressed HNSCC cell migration.

Migration ability of HNSCC cells (A-D) were tested and quantified by wound healing assay.

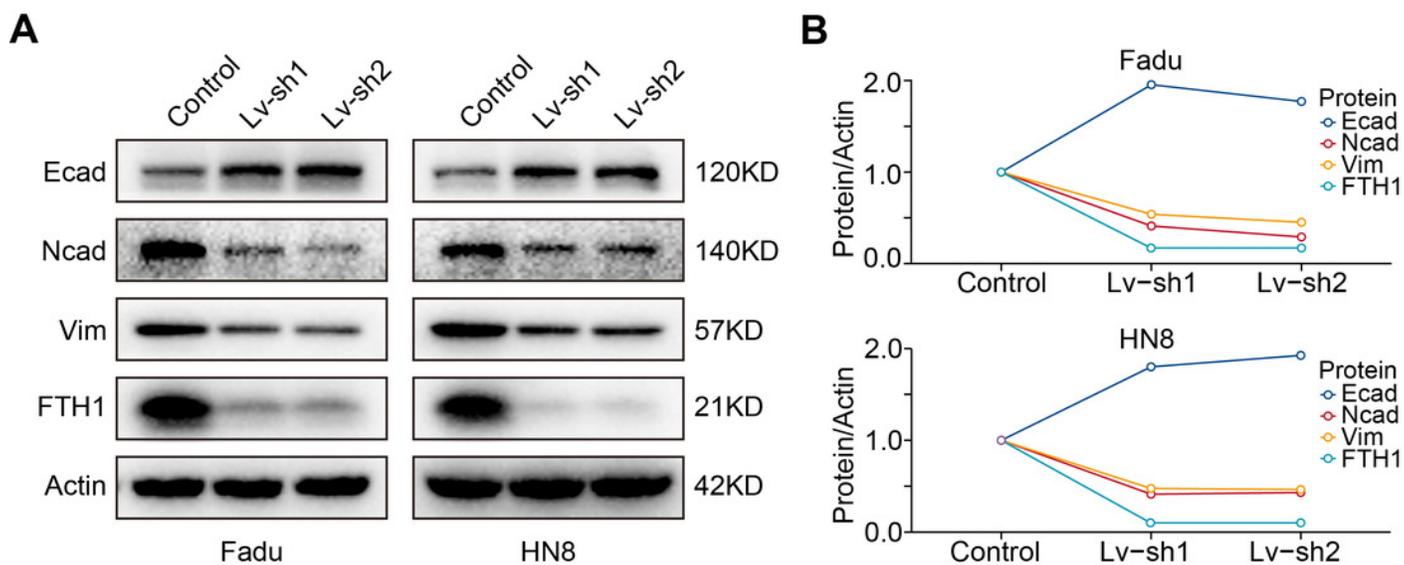
Invasive ability was examined and quantified by Transwell invasion assay (E and F).



## Figure 9

FTH1 knockdown suppresses HNSCC EMT.

(A and B) Western blotting was performed to examine the expression of E-cadherin, N-cadherin and vimentin proteins after FTH1 depletion.



**Table 1** (on next page)

Clinicopathologic parameters of TCGA HNSCC patients.

1 **Table 1 Clinicopathologic parameters of TCGA HNSCC patients.**

Clinicopathologic parameters	FTH1 expression				P-value
	Low (n=250)		High (n=249)		
<b>Age</b>					0.177
<60	118	23.65%	102	20.44%	
>=60	132	26.45%	147	29.46%	
<b>Gender</b>					0.418
Female	71	14.23%	62	12.42%	
Male	179	35.87%	187	37.47%	
<b>Smoker</b>					<b>0.009</b>
No	68	13.63%	43	8.62%	
Yes	177	35.47%	201	40.28%	
<b>Alcohol history</b>					0.059
No	34	6.81%	21	4.21%	
Yes	69	13.83%	80	16.03%	
<b>Histologic grade</b>					<b>0.001</b>
G1 + G2	196	39.28%	163	32.67%	
G3 + G4	45	9.02%	76	15.23%	
<b>T stage</b>					0.186
T1 + T2	94	18.84%	81	16.23%	
T3 + T4	146	29.26%	163	32.67%	
<b>N stage</b>					<b>0.001</b>
N0	99	19.84%	140	28.06%	
N1-N3	134	26.85%	104	20.84%	
<b>M stage</b>					0.372
M0	234	46.89%	235	47.09%	
M1	1	0.20%	4	0.80%	
<b>Clinical stage</b>					<b>0.018</b>
Stage I + Stage II	67	13.43%	46	9.22%	
Stage III + Stage IV	173	34.67%	199	39.88%	
<b>OS event</b>					<b>&lt;0.001</b>
Alive	186	37.27%	147	29.46%	
Dead	64	12.83%	102	20.44%	
<b>DFS event</b>					<b>&lt;0.001</b>
Free	184	36.87%	132	26.45%	
No	52	10.42%	86	17.23%	

2

**Table 2** (on next page)

Correlation between the clinicopathologic parameters and FTH1 expression (logistic regression).

1 **Table 2 Correlation between the clinicopathologic parameters and FTH1 expression**  
2 **(logistic regression).**

Parameters	Total (n)	Odds Ratio (OR)	<i>P</i> -value
Age (>60 vs. ≤60)	499	1.288 (0.904-1.838 )	0.161
Gender (Male vs. Female)	499	1.196 (0.804-1.783 )	0.377
Smoker (Yes vs. No)	489	1.796 (1.17-2.781 )	<b>0.008</b>
Alcohol history (Yes vs. No)	489	1.877 (1.004-3.573 )	0.051
Histologic grade (G3+4 vs. G1+2)	480	2.031 (1.335-3.117 )	<b>0.001</b>
T stage (T3+4 vs. T1+2)	484	1.296 (0.894-1.881 )	0.172
N stage (N+ vs. N0)	477	1.822 (1.269-2.625 )	<b>0.001</b>
M stage (M1 vs. M0)	474	3.983 (0.584-78.228 )	0.218
Clinical stage (Stage III+ IV vs. Stage I+ II)	485	1.675 (1.096-2.579 )	<b>0.018</b>

3

**Table 3** (on next page)

Univariate and multivariate cox regression of OS and clinicopathologic parameters in HNSCC patients.

1 **Table 3 Univariate and multivariate cox regression of OS and clinicopathologic parameters**  
 2 **in HNSCC patients.**

Parameters	Univariate analysis		Multivariate analysis	
	HR (95% CI)	<i>P</i> -value	HR (95% CI)	<i>P</i> -value
Age (>60 vs. ≤60)	1.252 (0.956-1.639)	0.102		
Gender (Male vs. Female)	0.764 (0.574-1.018)	0.066		
Smoker (Yes vs. No)	1.089 (0.778-1.525)	0.618		
Alcohol history (Yes vs. No)	0.952 (0.716-1.265)	0.733		
Grade (G3+4 vs. G1+2)	0.939 (0.688-1.282)	0.692		
T stage (T3+4 vs. T1+2)	1.245 (0.932-1.661)	0.137		
N stage (N+ vs. N0)	1.263 (0.964-1.653)	0.090		
M stage (M1 vs. M0)	3.721 (1.177-11.764)	<b>0.026</b>	3.547 (1.122-11.214)	<b>0.031</b>
Clinical stage (III+IV vs. I+II)	1.217 (0.878-1.688)	0.238		
FTH1 (High vs. Low)	1.646 (1.254-2.161)	<b>&lt;0.001</b>	1.658 (1.252-2.194)	<b>&lt;0.001</b>

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