

# An atlas of rational genetic engineering strategies for improved xylose metabolism in *Saccharomyces cerevisiae*

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Xylose is the second most abundant carbohydrate in nature, mostly present in lignocellulosic material, and representing an appealing feedstock for molecule manufacturing through biotechnological routes. However, *Saccharomyces cerevisiae* – microbial cell widely used in the industry for ethanol production – is unable to assimilate this sugar. Hence, in a world with raising environmental awareness, the efficient fermentation of pentoses is a crucial bottleneck to producing biofuels from renewable biomass resources. In this context, advances in the genetic mapping of *S. cerevisiae* have contributed to noteworthy progress in the understanding of xylose metabolism in yeast, as well as the identification of gene targets that enables the development of tailored strains for cellulosic ethanol production. Accordingly, this review focuses on the main strategies addressed to understand the network of genes that are directly or indirectly related to this phenotype, and their respective contributions to xylose consumption in *S. cerevisiae*, especially for ethanol production. Altogether, the information in this work summarizes the recent most relevant results from scientific investigations that endowed *S. cerevisiae* with an outstanding performance for commercial ethanol production from xylose.

1 **An atlas of rational genetic engineering strategies for improved xylose metabolism**  
2 **in *Saccharomyces cerevisiae***

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## 11 ABSTRACT

12 Xylose is the second most abundant carbohydrate in nature, mostly present in  
13 lignocellulosic material, and representing an appealing feedstock for molecule  
14 manufacturing through biotechnological routes. However, *Saccharomyces cerevisiae* – a  
15 microbial cell widely used industrially for ethanol production – is unable to assimilate this  
16 sugar. Hence, in a world with raising environmental awareness, the efficient fermentation  
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20 as well as the identification of gene targets that enable the development of tailored strains  
21 for cellulosic ethanol production. Accordingly, this review focuses on the main strategies  
22 employed to understand the network of genes that are directly or indirectly related to this  
23 phenotype, and their respective contributions to xylose consumption in *S. cerevisiae*,  
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25 most recent and relevant results from scientific investigations that endowed *S. cerevisiae*  
26 with an outstanding capability for commercial ethanol production from xylose.

## 27 INTRODUCTION

28 Modern globalization has been historically structured on the use of energy  
29 provided by fossil sources; however, due to the high industrialization rates and a continual  
30 increase in world energy demand, a climate emergency and fuel crisis seem to be the  
31 main issues that humanity will face in the future if alternative and renewable energy  
32 sources are not fully explored. Within this context, biorefineries – which use lignocellulosic  
33 biomass feedstock to produce a variety of molecules, such as ethanol –are important  
34 vectors for the generation of sustainable biofuels, envisioning the total or partial  
35 replacement of fossil-based fuels. Ethanol is the most used biofuel in the world; in 2021,  
36 103.4 million liters were commercialized worldwide (“Renewable Fuels Association  
37 (2022) Annual fuel ethanol production,” 2022), and, due to the growing concern for  
38 environmental preservation in recent years, more investments are being made in order to  
39 develop new technologies that economically warrant the renewable energy industry.

40 For first generation (1G) ethanol production, the yeast *Saccharomyces cerevisiae*  
41 is used for the fermentation of hexoses, such as glucose, available from the main product  
42 of designated crops. From this process, lignocellulosic residues are generated,  
43 comprising a material with neglected sugar content that can be further exploited to  
44 produce second-generation (2G) ethanol (Santos et al., 2015). The concentration of such  
45 carbohydrates varies depending on the crop used; however, one of the main monomers  
46 found in the hemicellulose fraction is xylose (Chandel et al., 2021). The use of a  
47 microorganism with the ability to consume both hexoses (glucose) and pentoses (xylose)  
48 would be ideal to explore all the energy potential from such biomass. However, natural  
49 xylose-fermenting yeasts, such as *Scheffersomyces stipitis* and *Spathaspora*  
50 *passalidarum*, do not have the same fermentative capacity, tolerance to high levels of  
51 ethanol, or robustness shown by *S. cerevisiae* (Balat, 2011; Cadete et al., 2016). Thus,  
52 one of the main challenges for the efficient production of 2G ethanol is the insertion of  
53 xylose assimilation pathways into *S. cerevisiae*, since it does not consume this pentose  
54 naturally (Wang & Schneider, 1980).

55 There are two known pathways for xylose metabolism, each from distinct  
56 evolutionary origins and harboring different biochemical properties, that can be used for  
57 heterologous expression in *S. cerevisiae*: the oxidoreductive (XR-XDH) (Ho, Chen &  
58 Brainard, 1998) and the xylose isomerase (XI) (Brat, Boles & Wiedemann, 2009)  
59 pathways (**Figure 1**). However, the insertion of these pathways alone does not guarantee  
60 an optimal xylose fermentation, as several works have already stated (Sarchy et al., 1987;  
61 Amore, Wilhelm & Hollenberg, 1989; Moes, Pretorius & Zyl, 1996; Gárdonyi & Hahn-  
62 Hägerdal, 2003). In this context, efforts have been made to endow superior xylose-  
63 fermenting ability in *S. cerevisiae*, aiming at the efficient expression of the genetic  
64 architecture related to this phenotype. Different genetic mapping strategies allow the  
65 understanding of the gene network underlying such traits, and genetic engineering  
66 enables the development of yeast strains that can be used in the industry by increasing  
67 the productivity of lignocellulosic ethanol.

68 In this context, this review focuses on the main approaches used to unravel the  
69 genomic structure that is related to this phenotype and the contribution of such genotypes

70 to enhancing xylose metabolism and ethanol production in *S. cerevisiae*. The main  
71 biotechnological strategies used will be addressed, such as deletion of genes that hinder  
72 xylose metabolism; overexpression of genes that increase xylose metabolism; gene  
73 expression fine tuning for optimized pentose metabolism; improvement in cofactor  
74 availability in the oxidoreductive pathway; and expression of optimized transporters to  
75 increase xylose assimilation. An overview of the xylose assimilation pathways and the  
76 main challenges in the heterologous expression of each of them will also be discussed.  
77 Although most results presented here were developed on a lab scale bearing in mind  
78 commercial applications, minimum industrial settings have been directly applied in  
79 published research, and therefore will not be the focal point in this work.

## 80 **SURVEY METHODOLOGY**

81 Articles were identified in Google Scholar and in National Center for Biotechnology  
82 Information (NCBI) databases for a broader analysis of the results, using the terms:  
83 *xylose consumption genes, new xylose isomerases, new xylose reductase genes, xylose*  
84 *metabolism, xylose reductase, xylitol dehydrogenase, genetic modifications, metabolic*  
85 *engineering, cofactor preference, targets for deletion, targets for overexpression, xylose*  
86 *transporters, Saccharomyces cerevisiae, Pichia stipitis and ethanol production*. After a  
87 thorough reading of the articles, those referring to xylose consumption and ethanol  
88 production by *Saccharomyces cerevisiae* were selected. In the process of choosing  
89 relevant works, the most recent ones with outstanding results and other pertinent studies  
90 in the area were chosen. We tracked articles referring to the first mention in the literature  
91 of a certain genetic target and we identified papers that investigated such genes. The  
92 analysis allowed us to identify the experimental articles with the highest citations, which  
93 were also sorted out. A total of 160 papers were chosen.

## 94 **ENABLING XYLOSE METABOLISM IN *S. cerevisiae***

### 95 **The oxidoreductive pathway**

96 The oxidoreductive pathway for xylose consumption is found in fermenting yeast  
97 and fungal species, and presents two steps catalyzed by the enzymes xylose reductase  
98 (XR) and xylitol dehydrogenase (XDH) (Jeffries, 1983; Ho, Chen & Brainard, 1998). In the

99 first reaction, XR reduces xylose to xylitol, preferentially using NADPH over NADH as a  
100 cofactor, in most cases. The xylitol produced is oxidized to xylulose by the enzyme XDH,  
101 which naturally uses only NAD<sup>+</sup> as a cofactor. The difference in the cofactor preference  
102 between the XR and XDH enzymes causes an imbalance that generates xylitol  
103 accumulation and consequently reduces ethanol production (Jeffries, 2006). Some  
104 studies have also indicated that, although the oxidoreductive pathway can present an  
105 imbalance of enzyme cofactor preference, and consequently the accumulation and  
106 production of xylitol, it is thermodynamically more favorable than the isomerase pathway,  
107 performing faster xylose assimilation in genetically modified strains (Karhumaa et al.,  
108 2007b; Bettiga, Hahn-Hägerdal & Gorwa-Grauslund, 2008; Li et al., 2016). **Table 1**  
109 summarizes the main work that has expressed this pathway in *S. cerevisiae*.

110 The heterologous genes *XYL1* and *XYL2* from *S. stipitis*, which encode the  
111 enzymes XR and XDH, respectively, have already been used in the construction of a  
112 recombinant *S. cerevisiae* strain expressing the xylose oxidoreductive assimilation  
113 pathway (Kötter et al., 1990; Walfridsson et al., 1995; Ho, Chen & Brainard, 1998;  
114 Eliasson et al., 2000). Therefore, Kötter *et al.* (1990) (Kötter et al., 1990) obtained a  
115 theoretical ethanol yield of 10%; later, in a study developed by Ho *et al.* (1998) (Ho, Chen  
116 & Brainard, 1998), a theoretical ethanol yield of 88% was obtained. Two genes encoding  
117 XR (*XYL1.1* and *XYL1.2*) have also been identified in the genome of *Spathaspora*  
118 *passalidarum*. The XR encoded by the *XYL1.2* gene was cloned and expressed in *S.*  
119 *cerevisiae*, and the obtained strain presented a higher activity of XR with NADH. Such a  
120 feature allowed an efficient consumption of xylose resulting in an ethanol yield of 78%,  
121 generating an improvement in ethanol production, as well as a lower xylitol production  
122 (Cadete et al., 2016).

123 Other studies have also used metabolic engineering strategies to reduce the  
124 accumulation of xylitol in the xylose metabolization process. Such accumulation is  
125 attributed to the excessive increase of NADH unable to be recycled by respiration under  
126 oxygen-limited conditions. This condition is related to the difference in cofactor  
127 preferences of XR (greater affinity for NADPH than for NADH - converting xylose into  
128 xylitol) and XDH (preferably NAD<sup>+</sup> - converting xylitol into xylulose) and expression levels

129 of these heterologous enzymes in *S. cerevisiae* (Karhumaa et al., 2007a; Hou et al., 2007;  
130 Matsushika & Sawayama, 2008). By changing cofactor affinity through specific amino  
131 acids changes in the binding domain of such enzymes, it was possible to reduce  
132 unfavorable xylitol excretion during xylose fermentation and optimize the XR-XDH  
133 relationship (Watanabe, Kodaki & Makino, 2005; Watanabe et al., 2007a; Hou et al.,  
134 2007; Matsushika et al., 2008). In addition, other strategies have relied on modifications  
135 to the redox environment in yeast metabolism in order to yield higher cofactor availability  
136 and consequently higher rates of ethanol production (Verho et al., 2003; Bro et al., 2006).  
137 Strategies for cofactor manipulation will be further discussed in this paper.

138

### 139 **The isomerase pathway**

140 The isomerase pathway is mainly found in bacteria, and represents a single-step  
141 conversion of xylose to xylulose, catalyzed by the metal ion-dependent enzyme xylose  
142 isomerase (XI) (Sarthy et al., 1987; Zhou et al., 2012; Kwak & Jin, 2017). This reaction  
143 does not require cofactors, and thus does not exhibit the redox imbalance observed in  
144 the oxidoreductive pathway when expressed in *S. cerevisiae*, also eliminating xylitol  
145 overproduction (Sarthy et al., 1987; Kwak & Jin, 2017). On the other hand, xylose  
146 isomerase genes (*XYLA*) are often not functional in *S. cerevisiae*. Previous efforts to  
147 express XI from *Escherichia coli* (Sarthy et al., 1987), *Bacillus subtilis* (Amore, Wilhelm &  
148 Hollenberg, 1989), *Actinoplanes missouriensis* (Amore, Wilhelm & Hollenberg, 1989),  
149 *Thermoanaerobacterium thermosulfurigenes* (Moes, Pretorius & Zyl, 1996) and  
150 *Streptomyces rubiginosus* (Gárdonyi & Hahn-Hägerdal, 2003) in *S. cerevisiae* have not  
151 been successful. Nevertheless, the possibility to functionally express heterologous XI  
152 (usually with codon-optimization) associated with metabolic flux optimizations and  
153 evolutionary engineering in *S. cerevisiae* has enabled the projection of strains with the  
154 ability to ferment xylose at an industrial scale (Demeke et al., 2013). The main XI  
155 expressed in *S. cerevisiae* with confirmed activity in xylose consumption are described in  
156 **Table 1.**

157 The first functional XI was identified in the bacterium *Thermus thermophilus* back  
158 in 1996: when episomally expressed in *S. cerevisiae* using the yeast *PGK1* promoter and  
159 terminator, the recombinant strain was able to produce ethanol equivalent to 24.6% of the  
160 theoretical yield. Low ethanol productivity in this strain is linked to the thermophilic  
161 enzyme's low activity at 30 °C (Walfridsson et al., 1996). Other functional bacterial *XYLA*  
162 were found in *Clostridium phytofermentans* (Brat, Boles & Wiedemann, 2009),  
163 *Bacteroides stercoris* (Ha et al., 2011) and *Prevotella ruminicola* (Hector et al., 2013). For  
164 the first two sequences, strong and constitutive promoters were used for the construction  
165 of an expression cassette: *HXT7* and *TEF1*, respectively. *C. phytofermentans*'s XI  
166 optimized for expression in *S. cerevisiae* allowed an ethanol production corresponding to  
167 84% of the maximum theoretical yield (Brat, Boles & Wiedemann, 2009), while the *B.*  
168 *stercoris* allele without codon optimization enabled 66% of this value in the engineered  
169 strain (Ha et al., 2011). For *P. ruminicola*, *XYLA* was codon-optimized and expressed in  
170 a high copy plasmid, allowing 68.6% of the ethanol theoretical yield. After an adaptive  
171 laboratory evolution (ALE) using serial batch cultures of the transformed strain in a  
172 medium with xylose, an increase of 14% in ethanol yield was observed (Hector et al.,  
173 2013).

174 Anaerobic fungi are also source microorganisms for functional XI in *S. cerevisiae*.  
175 Initially, an effective *XYLA* was identified in *Piromyces sp. E2* (ATCC 76762) – isolated  
176 from the feces of an Indian elephant (Kuyper et al., 2003). For the expression of this XI,  
177 Kuyper et al. (2003) (Kuyper et al., 2003) used a vector carrying the *XYLA* gene from this  
178 fungus without codon optimization induced by the constitutive promoter *TP11* (Kuyper et  
179 al., 2003, 2004). Subsequently, the yeast underwent genetic modifications combined with  
180 ALE in xylose to optimize sugar consumption (Kuyper et al., 2004, 2005); the evolved  
181 strain showed a high rate of ethanol production from xylose (84.5% of the theoretical  
182 yield), without xylitol accumulation. Zhou et al. (2012) (Zhou et al., 2012) engineered a *S.*  
183 *cerevisiae* strain overexpressing a codon-optimized *Piromyces sp. E2*'s XI under *TDH3*  
184 promoter, via a multiple copy plasmid. Further genetic modifications and evolutionary  
185 engineering rendered ethanol production equivalent to 81% of the theoretical yield. The  
186 authors suggested that the high expression level of *XYLA* was caused by multiple copy

187 genomic integration in the evolved recombinant strain, which contributed to more efficient  
188 xylose assimilation.

189 *Orpinomyces sp.* – another anaerobic fungus, isolated from bovine rumen fluid –  
190 was found to also express the xylose isomerase enzyme, bearing 94% of amino acid  
191 sequence identity to *Piromyces*' *XYLA*, and similar specific enzyme activity (Madhavan  
192 et al., 2009). In the construction of a recombinant *S. cerevisiae* strain expressing XI from  
193 *Orpinomyces sp.*, the gene was cloned in a high copy vector under the control of *GAPDH*  
194 promoter for episomal expression, and other genetic modifications were introduced to  
195 enhance xylose conversion. In this work, Madhavan et al. (2009) reported an ethanol yield  
196 equivalent to 78% of the maximum theoretical (Madhavan et al., 2009).

197 Metagenomics approaches have boosted the identification of new enzymes with  
198 xylose isomerase activity. Parachin & Gorwa-Grauslund (2011) (Parachin & Gorwa-  
199 Grauslund, 2011), reported two new genes encoding functional XI in *S. cerevisiae* that  
200 were isolated from a soil metagenomic library (Parachin & Gorwa-Grauslund, 2011).  
201 Degenerated primers and a protein sequence similarity-based screening were applied to  
202 identify such genetic information. However, despite being functionally expressed, the  
203 aerobic growth rate in xylose of recombinant *S. cerevisiae* strains carrying multiple copy  
204 plasmids expressing such *XYLA* under the same promoter (*TEF1*) was much lower  
205 compared to the growth of yeast expressing the *Piromyces*'s XI under the same  
206 conditions (Parachin & Gorwa-Grauslund, 2011). In this study, yeasts containing the new  
207 XI were able to grow at a rate of 0.02 hour<sup>-1</sup> in xylose, while the strain expressing  
208 *Piromyces*'s *XYLA* grew at 0.07 hour<sup>-1</sup>. Ethanol production was not assessed for the  
209 newly identified XI.

210 Two other functional XIs were prospected in a metagenomics library from bovine  
211 rumen contents (Hou et al., 2016) and from a cDNA library of the protists residing in the  
212 hindgut of the termite *Reticulitermes speratus* (Katahira et al., 2017). The XI obtained  
213 from *R. speratus* was evaluated through episomal expression in *S. cerevisiae* using the  
214 *GAP1* promoter, resulting in an ethanol theoretical yield of 77% (Katahira et al., 2017).  
215 More recently, metagenomic data derived from resident microorganisms in the gut of the  
216 woody beetle *Odontotaenius disjunctus* revealed a new functional XI (Silva et al., 2021).

217 For that, a methodology that associates direct metagenome reconstruction combined with  
218 *in vitro* gene optimization and synthesis was used. The expression of this new XI in *S.*  
219 *cerevisiae* resulted in a 50% faster aerobic growth compared to XI from *Piromyces sp.* on  
220 xylose media, while no ethanol production was observed (Silva et al., 2021).

## 221 **Endogenous xylose metabolism in *S. cerevisiae***

222 While wild-type *S. cerevisiae* strains are not recognized for their xylose-fermenting  
223 ability – which foments research on the expression of heterologous pathways –, the ability  
224 to grow in small concentrations of pentose has been reported (Toivari et al., 2004; Attfield  
225 & Bell, 2006; Wenger, Schwartz & Sherlock, 2010), suggesting the presence of a  
226 complete native xylose metabolization pathway. Studies suggest that this phenomenon  
227 is possible due to the presence of endogenous genes encoding putative enzymes of the  
228 oxidoreductive pathway (XR and XDH). In the genome of laboratory strain S288c, several  
229 genes encoding putative enzymes of the xylose pathway or showing a correlative  
230 contribution to the xylose consumption phenotype were identified. Genes *GRE3*, *GCY1*,  
231 *YPR1*, *YDL124W* and *YJR096W* encode putative XR enzymes while *XYL2*, *SOR1* and  
232 *SOR2* express enzymes with activity homologous to XDH (Wenger, Schwartz & Sherlock,  
233 2010). However, the specific activity of these enzymes is much lower in *S. cerevisiae*  
234 when compared to other xylose-fermenting yeasts (Batt et al., 1986). Therefore, many  
235 efforts have been made to understand the role of these enzymes in *S. cerevisiae* or to  
236 identify other genes linked to xylose consumption.

237 In this sense, Träff-Bjerre *et al.* (2004) (Traäff-Bjerre et al., 2004) performed both  
238 deletion and overexpression of the endogenous *GRE3* to evaluate its contribution to  
239 xylose consumption. The gene knockout led to decreased xylitol formation by 49%, while  
240 its overexpression under a *PGK1* promoter and terminator generated an increment in  
241 ethanol production by 116% in a recombinant strain expressing XDH from *S. stipitis*  
242 (Traäff-Bjerre et al., 2004). Toivari *et al.* (2004) (Toivari et al., 2004) enabled growth of  
243 *S. cerevisiae* in xylose in a medium containing glucose in the presence of oxygen by  
244 overexpressing endogenous *GRE3* and *XYL2*. However, the mutant strains presented  
245 slower growth and greater xylitol accumulation compared to a recombinant *S. cerevisiae*  
246 strain expressing XR and XDH from *S. stipitis*.

247 Wenger, Schwartz & Sherlock (2010) (Wenger, Schwartz & Sherlock, 2010)  
248 described the endogenous gene *XDH1*, encoding a putative XDH, as responsible for  
249 enabling xylose consumption in an *S. cerevisiae* wine strain. Through mass segregation  
250 analysis (BSA) and yeast tiling arrays using the xylose-consuming wine strain and a non-  
251 consuming laboratory strain (S288C), the authors identified that the positive phenotype  
252 for xylose consumption is linked to a unique, dominant locus, located in a subtelomeric  
253 region on the right-end of chromosome XV - not present in the genome of S288C.  
254 Confirmation of the contribution of the *XDH1* gene to the xylose consumption phenotype  
255 was accomplished by its deletion in the *S. cerevisiae* wine strain, after which the  
256 phenotype was nullified. The gene was also cloned and expressed episomally in the  
257 laboratory yeast S288C, endowing this yeast with xylose consumption ability, the  
258 phenotype being lost upon plasmid removal.

259 Furthermore, in the same study (Wenger, Schwartz & Sherlock, 2010), other genes  
260 and their correlation with the positive xylose metabolism phenotype in *S. cerevisiae* were  
261 analyzed by performing different knockout combinations. By deleting each XDH (*sor1Δ*,  
262 *sor2Δ*, *xyf2Δ*) separately, an improvement in xylose consumption was observed, while  
263 deleting the three genes at the same time resulted in an enhanced phenotype, suggesting  
264 that endogenous XDHs may limit the xylose-consuming ability of non-recombinant *S.*  
265 *cerevisiae*. Wenger, Schwartz & Sherlock (2010) also confirmed the contribution of two  
266 putative XR genes (*GRE3* and *YPR1*) to growth on xylose: the two genes were the only  
267 ones that contributed significantly to the ability to utilize xylose in the used background,  
268 *GRE3* being the one that most affected the phenotype. A mutant presenting a *gre3Δ ypr1Δ*  
269 genotype had its xylose consumption phenotype almost completely removed, indicating  
270 that the presence of these genes allows the metabolism of this pentose in *S. cerevisiae*.

## 271 **REWIRING METABOLIC PATHWAYS**

272 Even though genes encoding enzymes of the oxidoreductive pathway, as well as  
273 an active xylitol dehydrogenase, are found in *S. cerevisiae*, this yeast does not efficiently  
274 consume xylose. Therefore, overexpression of endogenous genes and/or insertion of  
275 heterologous enzymes (*i.e.* XR, XDH or XI) are common strategies applied to use this  
276 microbe as a platform for xylose assimilation, as previously discussed. Nevertheless,

277 additional modifications are required to optimize the metabolic flux of this sugar,  
278 especially for commercial purposes. In this manner, different approaches have been used  
279 to optimize the metabolic pathways (**Figure 1**) to increase cellulosic ethanol yield. The  
280 main strategies are: (I) knock-out of genes that hinder the flux of xylose metabolism; (II)  
281 overexpression of genes that can increase xylose metabolism; (III) use of specific  
282 promoters and terminators for gene expression fine-tuning; (IV) improvement of cofactor  
283 availability for the XR-XDH pathway; and (V) expression of transporters with higher affinity  
284 towards xylose to increase sugar assimilation. These different strategies will be discussed  
285 in detail in the following sections.

## 286 **Deletions**

287 Several approaches have been explored to identify genes related to xylose  
288 consumption in *S. cerevisiae*, such as (I) reverse engineering (Bengtsson et al., 2008;  
289 Verhoeven et al., 2017; Tran Nguyen Hoang et al., 2018); (II) genome-wide synthetic  
290 genetic array (SGA) screens (Usher et al., 2011); (III) transposon mutagenesis (Ni,  
291 Laplaza & Jeffries, 2007); and (IV) omics approaches for comparative analysis of mutated  
292 or evolved xylose-fermenting strains and their respective parents (Kim et al., 2013; Sato  
293 et al., 2016; dos Santos et al., 2016; Palermo et al., 2021). Within these studies, several  
294 genes were suggested as knockout targets that either directly contribute to xylose  
295 metabolism or that, associated with other deletion/superexpression gene targets,  
296 enhance this phenotype in *S. cerevisiae*. Following, we present the main gene deletions  
297 described in the literature that are beneficial for ethanol production from xylose in *S.*  
298 *cerevisiae*. This information is summarized in **Table 2**.

299 The *GRE3* gene encodes a non-specific aldose reductase that functions as an  
300 NADPH-dependent XR and consequently contributes to the formation of xylitol (Traäff-  
301 Bjerre et al., 2004). Therefore, the deletion of this gene is paramount for improved ethanol  
302 yield from xylose when using the isomerase pathway (Träff et al., 2001; Lönn et al., 2003;  
303 Karhumaa, Hahn-Hägerdal & Gorwa-Grauslund, 2005). For instance, compared to a  
304 *GRE3* strain, *gre3Δ* were able to reduce xylitol production by 50%, boosting ethanol yield.  
305 In addition, most of the XIs expressed in *S. cerevisiae* strains were sensitive to the  
306 presence of xylitol – indicating that this metabolite can act as a potent inhibitor of these

307 enzymes (Yamanaka, 1969; Lönn et al., 2003). However, it is noteworthy that *GRE3*  
308 knockout was also related to reduction in biomass production, suggesting that the fine-  
309 tuning of gene expression would be preferable to deletion (Traäff-Bjerre et al., 2004).

310 In order to identify new gene targets for improved xylose metabolism, Bengtsson  
311 *et al.* (2008) (Bengtsson et al., 2008) compared strains with varying degrees of this  
312 phenotype using a genome-wide transcription analysis, and further reverse genetic  
313 engineering. Strains with null *NFG1* (Negative regulator of the Filamentous Growth MAPK  
314 pathway); *MNI1* (methyltransferase), or *RPA49* (RNA polymerase) showed growth on  
315 xylose 173%, 62% and 90% times better, respectively, compared to the reference strains.  
316 These results suggested that *NFG1*, *MNI1*, and *RPA49* could be involved in central  
317 carbon metabolism and xylose utilization in *S. cerevisiae* (Bengtsson et al., 2008). Later,  
318 the positive effect of *NFG1* deletion on xylose fermentation was also confirmed in another  
319 study (Parachin et al., 2010). The phenotype for *nfg1*Δ cells included different assimilation  
320 of other sugars and increased xylitol production, suggesting that *NFG1* is related to sugar  
321 transport or signaling. In general, strains with an *NFG1* knockout genotype were able to  
322 consume 27.1% of available xylose, while the reference yeast consumed only 18% of the  
323 sugar (Parachin et al., 2010).

324 Meanwhile, Usher *et al.* (2011) (Usher et al., 2011) used a genome-wide synthetic  
325 genetic array (SGA) screening methodology to identify deletion mutants and evaluate the  
326 contribution of non-essential genes to xylose utilization in a recombinant *S. cerevisiae*  
327 (strain expressing *xylA* from *Piromyces sp. E2*). Four deletion mutants were identified:  
328 *BUD21* (component of the small ribosomal subunit, SSU, processome), *ALP1* (arginine  
329 transporter), *ISC1* (inositol phospho-sphingolipid phospholipase C) and *RPL20B*  
330 (component of the large ribosomal subunit, 60S). In order to evaluate the influence of  
331 each gene on the phenotype, they were individually knocked out, confirming that all  
332 contribute positively to xylose consumption. Xylose consumption improved 27.6%,  
333 15.5%, 22.4%, and 12.1%, respectively, for each deleted gene compared to the reference  
334 strain. The authors suggested that such genes are xylose metabolic suppressors and  
335 could be regulators at the transcriptional or translational level. *BUD21* is of particular  
336 interest, as its exclusion allows certain aspects of the stress response not to be activated,

337 making it possible to circumvent some of the initial stress conditions that occur during  
338 xylose fermentation in *S. cerevisiae*. Despite the improvement obtained in the  
339 consumption of xylose, such genes may have a synergistic relationship with the response  
340 to stress, indicating the need for further study to assess the impact on the robustness of  
341 yeasts used in the fermentation of lignocellulosic hydrolysates.

342         The mutations G249V and G1161A in *PMR1*, a gene responsible for encoding a  
343 Golgi  $\text{Ca}_2^+/\text{Mg}_2^+$  ATPase, was identified by Verhoeven *et al.* (2017) (Verhoeven *et al.*,  
344 2017) in an *S. cerevisiae* strain expressing *Piromyces* E2' XI and other additional  
345 modifications (overexpression of *XKS1*, *RKI1*, *RPE1*, *TKL1*, *TKL2*, *TAL1*, *NQM1* and  
346 *gre3Δ*) after ALE in an anaerobic culture (Verhoeven *et al.*, 2017). In parallel, Tran  
347 Nguyen Hoang *et al.* (2018) (Tran Nguyen Hoang *et al.*, 2018) reported another mutation  
348 (G681A) in *PMR1*, found in an evolved recombinant *S. cerevisiae* strain harboring a  
349 mutant xylose isomerase gene from *Piromyces* *sp.* (*XYLA\*3*) and other additional  
350 metabolic alterations (overexpression of *XKS1* and *TAL1* and, *gre3Δ* and *pho13Δ*). To  
351 understand whether both mutations were accompanied by loss of function, a *PMR1*  
352 deletion was performed by both authors, which allowed phenotype improvement  
353 regarding ethanol production from xylose. The authors suggested that negative regulation  
354 of *PMR1* expression leads to the accumulation of manganese ions inside the cell, which  
355 would be available for ion-dependent enzymes such as xylose isomerases (Tran Nguyen  
356 Hoang *et al.*, 2018). In general, there was an improvement of 114.8% in consumed xylose  
357 and 195.9% in ethanol production, in relation to the strain containing the original gene.

358         In that same study, Tran Nguyen Hoang *et al.* (2018) (Tran Nguyen Hoang *et al.*,  
359 2018) also described a mutation (Q237\*) in *ASC1*, encoding the beta subunit of the G  
360 protein and the guanine dissociation inhibitor for Gpa2p. This gene is known as a negative  
361 regulator of several metabolic and signal transduction pathways. When the mutated gene  
362 was deleted, as well as when the mutation was expressed in knockout strains, a  
363 significant improvement of 59.6% in xylose consumption and 104.4% in ethanol  
364 production was observed. Therefore, the authors concluded that the Q237\* mutation in  
365 *ASC1* is correlated with the loss of function of that gene (Tran Nguyen Hoang *et al.*, 2018).  
366 *ASC1* had already been associated with cell growth in oxygen-limited conditions and,

367 when deleted, with the overexpression of genes correlated with xylose metabolism, being  
368 a negative regulator of metabolic pathways and of signal transduction. In particular, *ASC1*  
369 acts on the repression of the transcription factor (TF) *GCN4*, responsible for the regulation  
370 of genes linked to xylose metabolism in strains that have a high fermentative profile (Tran  
371 Nguyen Hoang et al., 2018).

372 Sato *et al.* (2016) (Sato et al., 2016) described null genotypes – including epistatic  
373 interactions – that alter the metabolic regulation of *S. cerevisiae* and enhance anaerobic  
374 xylose consumption, when analyzing the genome sequencing of a strain (genotype: *TAL1*  
375 overexpression, *XYL3* from *S. stipitis* and *XYLA* from *C. phytofermentans*) that underwent  
376 ALE in lignocellulosic hydrolyzate (Parreiras et al., 2014). Mutations G136A, A844del,  
377 G8782T, C412T were observed in the genes *GRE3*, *HOG1* (a component of MAP kinase,  
378 MAPK, signaling), *IRA2* (a GTPase activating protein) and *ISU1* (a scaffolding protein  
379 involved in mitochondrial iron-sulfur cluster assembly), respectively. For validation, the  
380 four genes underwent deletion in a combined manner in different yeast strains, resulting  
381 in faster anaerobic xylose consumption regardless of the background. It was suggested  
382 that the loss of function of *ISU1* is indispensable for the anaerobic fermentation of xylose,  
383 as well as epistatic interactions with mutations in *IRA2*, *HOG1* and *GRE3*. Null *ISU1*  
384 mutants were able to consume about 75% more xylose under aerobic conditions, and  
385 combined with the deletions of *IRA2*, *HOG1* and *GRE3* resulted in increased xylose-  
386 specific consumption and ethanol production rates comparable to the phenotype of the  
387 evolved strain. The authors claim that these deleterious genetic alterations influenced  
388 different metabolic pathways, such as xylose catabolism, the pentose phosphate pathway  
389 (PPP), the glycolytic pathway and aerobic respiration. Such changes together resulted in  
390 increased aerobic consumption and anaerobic fermentation of xylose (Sato et al., 2016).

391 In the work conducted by dos Santos *et al.* (2016) (dos Santos et al., 2016), two  
392 mutations that improve xylose consumption were identified. In this study, an industrial *S.*  
393 *cerevisiae* strain was modified (*gre3Δ*, *Orpinomyces sp XI* and overexpression of *XKS1*,  
394 *RKI1*, *RPE1*, *TKL1*, *TKL2* and *TAL1*) for pentose metabolism, associated with ALE in  
395 xylose. Genetic mapping of the evolved strains revealed that *ISU1* harbors mutations in  
396 some isolates, whereas *SSK2* (a member of the MAPKKK signaling pathway) presented

397 polymorphisms in others. For phenotype validation, the authors created knockout strains  
398 for both genes, resulting in an improvement in xylose metabolization compared to the  
399 wild-type strain. *SSK2* deletion in the non-evolved parental strain resulted in an 80%  
400 increase in fermentation efficiency. The deletion of *ISU1* allowed a reduction in  
401 fermentation time, from 80 to 40 hours in the evolved lineage (representing an upgrade  
402 in fermentation efficiency of 86% for *isu1* $\Delta$  cells). This is similar to that found in the strains  
403 where the mutations were identified, indicating that these genetic changes led to gene  
404 inactivation (dos Santos et al., 2016).

405 One gene that has been extensively investigated is *PHO13*, encoding a  
406 phosphatase with specific dephosphorylating activity on two side-products of central  
407 carbohydrate metabolism. This gene has been the deletion target of different inquiries, in  
408 order to understand its influence on xylose metabolism in *S. cerevisiae* (Van Vleet,  
409 Jeffries & Olsson, 2008; Fujitomi et al., 2012; Li et al., 2014; Lee, Jellison & Alper, 2014;  
410 Bamba, Hasunuma & Kondo, 2016). Loss of function mutations in *PHO13* in recombinant  
411 strains have been identified in different studies, regardless of the initial xylose uptake  
412 pathway (Ni, Laplaza & Jeffries, 2007; Kim et al., 2013). Insertional transposon  
413 mutagenesis was used to identify that *PHO13* deletion increased transcripts for *TAL1*,  
414 indicating that overexpression of transcripts for downstream enzymes of the xylose  
415 pathway may improve the assimilation of this sugar (Ni, Laplaza & Jeffries, 2007).  
416 Through a metabolomic analysis, it was revealed that the positive regulation of *TAL1*,  
417 which prevents sedoheptulose accumulation, is the critical point for improved xylose  
418 metabolism in *pho13* $\Delta$  mutant *S. cerevisiae* strains (Xu et al., 2016). In the same fashion,  
419 it was suggested that knockout of *PHO13* results in transcriptional and metabolic changes  
420 favorable for xylose fermentation, in particular, transcriptional activation of PPP genes  
421 and NADPH-producing enzymes as part of an oxidative stress response mediated by  
422 *Stb5* activation (Kim et al., 2015). In another study, it was indicated that loss of *PHO13*  
423 function, acquired after ALE in xylose, plays an important role in improving xylose  
424 consumption rates and ethanol yields (Kim et al., 2013).

425 On the other hand, a recent study by Shin *et al.* (2021) (Shin et al., 2021) reported  
426 that the phenotype for xylose metabolization had not been affected by *PHO13*

427 deactivation in *S. cerevisiae* strains. Through resequencing of the *pho13Δ* strains, a loss-  
428 of-function Glu204\* mutation in *GCR2* was identified and indicated as responsible for the  
429 improvement in the xylose consumption phenotype. *GCR2* is a global TF correlated with  
430 glucose metabolism. Deletion of *GCR2* led to positive regulation of the PPP genes, as  
431 well as negative regulation of glycolytic genes, with the changes being more significant  
432 under xylose conditions than in the presence of glucose. Although no synergistic effect  
433 was found between the deletion of *PHO13* and *GCR2* in improving xylose fermentation,  
434 *GCR2* was indicated as a knockout target to enhance ethanol production.

435 Many other genes were also identified and suggested as deletion targets to  
436 improve xylose fermentation in *S. cerevisiae*, directly or indirectly, alone or associated  
437 with other modifications (*i.e.*, combined deletions or overexpression). Deletion of *ALD6* –  
438 encoding a NADPH-dependent aldehyde dehydrogenase, part of the central carbon  
439 metabolism – yielded an improvement in xylose fermentation efficiency (Kim et al., 2013).  
440 In 2018, Wei and colleagues (Wei et al., 2018) suggested that deletion of the TF *THI2*  
441 (activator of thiamine biosynthetic genes) enables the co-fermentation of glucose and  
442 xylose by increasing ribosome synthesis, generating an increase in the specific utilization  
443 rate of xylose by 26.8%. Palermo *et al.* (2021), meanwhile, analyzed the effect of metal  
444 homeostasis under xylose fermentation and suggested two new deletion targets for  
445 metabolic engineering of *S. cerevisiae*: *CCC1* (vacuolar Fe<sub>2</sub><sup>+</sup>/Mn<sub>2</sub><sup>+</sup> transporter) and *BSD2*  
446 (protein involved in heavy metal ion homeostasis) (Palermo et al., 2021). More recently,  
447 interruption of transcription factors of xylose catabolism (*ZNF1*, *SIP4*, *ADR1*, *TUP1* and  
448 *HAP4*) were evaluated in a xylose-fermenting *S. cerevisiae* strain; however only deletion  
449 of *hap4Δ* (global regulator of respiratory gene expression) generated an increase in  
450 ethanol production from xylose compared to the parental strain (Dzanaeva et al., 2021).

## 451 **Overexpression**

452 Among the rational genetic modifications performed in yeast to improve xylose  
453 fermentation, gene overexpression has become a prominent strategy, because it directly  
454 contributes to accelerating the uptake of this pentose and increases xylose metabolism  
455 flux in genetically modified *S. cerevisiae* strains (Nevoigt, 2008). Therefore, in this section

456 the main overexpression targets in *S. cerevisiae* aiming at an optimized xylose  
457 consumption will be highlighted. The summarized information can be found in **Table 3**.

458 In both xylose assimilation pathways, xylulose is converted to xylulose-5-  
459 phosphate by an endogenous xylulokinase (XK) encoded by *XKS1*, driving carbon flux to  
460 the PPP (**Figure 1**). Because XK presents a low activity level, it may limit xylose  
461 fermentation, making *XKS1* a major target for overexpression. Many studies have  
462 evaluated endogenous and exogenous overexpression of *XKS1*, suggesting that this  
463 genetic modification is responsible for a remarkable improvement in xylose fermentation  
464 (Deng & Ho, 1990; Ho, Chen & Brainard, 1998; Kim et al., 2013). The first recombinant  
465 *S. cerevisiae* strain overexpressing *XKS1* with *Pichia stipitis* XR and XDH resulted in  
466 increased ethanol production and reduced xylitol excretion (Ho, Chen & Brainard, 1998).  
467 Meanwhile, some studies have indicated that high XK activity can be harmful to xylose  
468 metabolism, inhibiting or reducing xylose consumption – even in cases where  
469 improvement in ethanol yield was achieved (Johansson et al., 2001; Jin et al., 2003).  
470 Rodriguez-Peña *et al.* (1998) (Rodriguez-Peña et al., 1998) and Johansson *et al.* (2001)  
471 (Johansson et al., 2001) even associated a deleterious effect in strains with uncontrolled  
472 overexpression of *XKS1*. Despite the controversies, there is agreement on the need for  
473 modulated XK expression to obtain efficient xylose fermentation in *S. Cerevisiae*,  
474 especially considering the intrinsic characteristics of the host strain (Jin et al., 2003).

475 Other overexpression targets that have been described to improve xylose  
476 metabolism are the genes responsible for encoding enzymes of the non-oxidative PPP in  
477 *S. cerevisiae* – *RPE1*, *RKI1*, *TAL1* and *TKL1* (**Figure 1**). Studies analyzing the  
478 bottlenecks for xylose consumption argue that the expression levels of such enzymes are  
479 preeminent in the xylose utilization rate in fermenting yeast (Matsushika et al., 2012;  
480 Bamba, Hasunuma & Kondo, 2016). Overexpression of *TAL1* alone is correlated to an  
481 improved xylose assimilation rate in *S. cerevisiae* (Ni, Laplaza & Jeffries, 2007). In other  
482 studies, all genes participating in the non-oxidative PPP, including *XKS1*, were  
483 overexpressed simultaneously, which resulted in improved ethanol production in  
484 recombinant *S. cerevisiae* (Kuyper et al., 2005; Karhumaa et al., 2007a). In a  
485 characterization study of the enzymes of the non-oxidative PPP, the effects of the *TAL1*

486 and *TKL1* genes were analyzed by deletion. It was suggested that the enzymatic activities  
487 of the transaldolase and transketolase encoded by these genes, respectively, are limiting  
488 for efficient xylose utilization. Furthermore, their overexpression is responsible for an  
489 increased flux from the PPP to the glycolytic pathway in recombinant *S. cerevisiae*  
490 (Matsushika et al., 2012).

491 A molecular analysis of a recombinant xylose-consuming *S. cerevisiae* strain  
492 (expressing the XR-XDH pathway) and its mutant obtained through chemical  
493 mutagenesis with ethyl methanesulfonate to improve the ability to metabolize xylose,  
494 allowed the identification of different gene targets for overexpression (Wahlbom et al.,  
495 2003). Besides those already described, *XKS1*, *TAL1* and *TKL1*, *SOL3* (6-  
496 phosphogluconolactonase) and *GND1* (6-phosphogluconate dehydrogenase) were also  
497 evaluated. The authors reported an improvement in growth rate and xylose uptake when  
498 *SOL3* and *GND1* are overexpressed, attributing it to the altered expression of one or more  
499 transcriptional regulators that influence these genes (Wahlbom et al., 2003). Bengtsson  
500 *et al.* (2008) (Bengtsson et al., 2008), in a similar study, performed a transcriptome  
501 analysis of *S. cerevisiae* strains (expressing *S. stipitis* XR-XDH) with increased xylose  
502 consumption phenotype, in order to identify new targets for metabolic engineering. The  
503 authors validated the overexpression of *SOL3* and *TAL1*, in multicopy plasmids, resulting  
504 in 19% and 24% in growth improvement, respectively.

505 In a recent study focusing on the simultaneous co-fermentation of glucose and  
506 xylose, the *RPE1* gene (responsible for catalyzing a reaction in the non-oxidative part of  
507 the PPP) was selected as a target for overexpression, leading to an increased xylose  
508 consumption and ethanol production rate. Such results were attributed to a possible  
509 metabolic rearrangement of the xylose pathway, due to a cofactor-neutral xylose  
510 isomerase mutant present in this recombinant yeast (Hoang Nguyen Tran et al., 2020).

511 Wei *et al.* (2018) (Wei et al., 2018) reported a beneficial effect on xylose  
512 metabolism through overexpression of *NRM1* (Transcriptional co-repressor of MBF-  
513 regulated gene expression) and/or *YHP1* (Homeobox transcriptional repressor) in *S.*  
514 *cerevisiae*. *NRM1* overexpression increased the specific xylose use rate by 30.0%, while  
515 *YHP1* increased the volumetric xylose use rate by 5.6%. The authors suggested that

516 these modifications induced an acceleration in the yeast cell cycles, however, it is still  
517 unclear how such factors are affecting xylose metabolism.

518 In another study by Wei *et al.* (2019) (Wei et al., 2019), in order to assess how TF  
519 *Thi2* affects xylose metabolism, a transcriptomic analysis between a *thi2Δ* strain and its  
520 parent in the glucose depletion and glucose-xylose co-fermentation steps was performed,  
521 allowing the identification of new genes correlated with xylose metabolism. Through  
522 overexpression, it was indicated that the TFs *STT4* (Phosphatidylinositol-4-kinase), *RG12*  
523 (respiratory growth induced, function unknown) and *TFC3* (subunit of RNA polymerase  
524 III transcription initiation factor complex) allowed an increase of specific xylose uptake  
525 rate in the strains by 36.9%, 29.7%, and 42.8%, respectively, in the glucose depletion  
526 step, allowing glucose-xylose co-fermentation in *S. cerevisiae*.

527 In addition to the endogenous overexpression targets, elevated expression of the  
528 initial genes of the xylose assimilation pathways – *XYL1*, *XYL2*, and/or *XYLA* – , also  
529 contributes to more efficient metabolism of this sugar, and has been described as  
530 paramount for efficient xylose fermentation in *S. cerevisiae* (Kim et al., 2012; Zhou et al.,  
531 2012). Overexpression of sugar transporters is another interesting approach to improve  
532 the performance of xylose-consuming strains, enabling even more effective xylose  
533 transport in recombinant *S. cerevisiae* strains (Tanino et al., 2012). The topic of sugar  
534 transporters will be further discussed in this review.

535

## 536 Regulation Fine Tuning

537 Metabolic engineering approaches often require fine-tuning gene expression to  
538 optimize the activity of certain enzymes and regulatory proteins. The modulation of gene  
539 transcription levels is of prime importance to balance metabolic fluxes and increase the  
540 production of metabolites of interest (Xu et al., 2021). In *S. cerevisiae*, promoters are  
541 responsible for controlling gene expression programs in response to a variety of  
542 circumstances (Maya et al., 2008). However, genes participating in the same metabolic  
543 pathway might present different levels of expression, as well as different catabolic  
544 intermediates. In this context, a widely used strategy in optimizing metabolic flux is

545 assembling expression cassettes using promoters with different activity levels to fine-tune  
546 the metabolic pathway in question (Hubmann, Thevelein & Nevoigt, 2014).

547 Endogenous *S. cerevisiae* promoters differ by strength magnitudes (*i.e.*, rates of  
548 transcription initiation) and regulation, and are classified as constitutive or inducible.  
549 Constitutive promoters have stable expression rates and are constantly active in the cell  
550 (Da Silva & Srikrishnan, 2012; Tang et al., 2020). Inducible promoters, on the other hand,  
551 are activated in response to different stimuli (Li et al., 2006; Weinhandl et al., 2014).  
552 Promoters can be obtained by characterizing gene expression or with targeted  
553 modifications in the sequence of already known promoters. The latter can be performed  
554 by either increasing transcriptional activation with the addition of upstream activating  
555 sequences (UASs) or by altering sequences using random mutations, deletions,  
556 nucleosome removal or intron insertion. Error-prone PCR (Feng & Marchisio, 2021), for  
557 instance, is a strategy used to obtain promoters with different activity degrees, due to  
558 mutations added to it during amplification (Alper et al., 2005).

559 Jeppsson *et al.* (2002) (Jeppsson et al., 2002), in an attempt to equilibrate cofactor  
560 imbalance in a strain expressing the XR-XDH pathway, indicated that interruption of the  
561 *ZWF1* gene (Glucose-6-phosphate dehydrogenase, G6PDH) increased ethanol and  
562 decreased xylitol yields (more on cofactor engineering will be reviewed in the next  
563 section). However, the strain showed a significant reduction in the xylose consumption  
564 rate, indicating the need for fine adjustment of this gene expression. In a new  
565 investigation, Jeppsson *et al.* (2003) (Jeppsson et al., 2003) used a synthetic promoter  
566 library to study the influence of different levels of G6PDH activity on xylose fermentation.  
567 Downregulation of *ZWF1* using the synthetic promoter *YRP13* resulted in the lowest  
568 G6PDH activity, which enabled a xylose consumption rate five times faster than the *zwf1* $\Delta$   
569 strain, accompanied by higher ethanol and lower xylitol yields.

570 In order to optimize xylose fermentation, Lu & Jeffries (2007) (Lu & Jeffries, 2007)  
571 developed a multiple-gene-promoter shuffling (MGPS) technique to identify optimal  
572 expression levels of genes of interest induced by different promoters in *S. cerevisiae*. In  
573 this study, the genes *TAL1*, *TKL1* and *PYK1* (pyruvate kinase) were overexpressed in a  
574 recombinant xylose-fermenting *S. cerevisiae*, expressing the oxidoreductive pathway,

575 under control of the weak *GND2* and *HXK2* promoters. Such promoters were selected to  
576 avoid systemic saturation and obtain a balanced flux of metabolites. The authors describe  
577 that the optimum scenario for metabolic engineering was the combination of the *GND2*  
578 promoter overexpressing *TAL1* and the *HXK2* promoter overexpressing *TKL1* and *PYK1*.  
579 Overall, the study states that balanced overexpression of such genes optimized ethanol  
580 production from xylose in *S. cerevisiae*.

581 Zha *et al.* (2012) (Zha *et al.*, 2012) reported a combined strategy of chassis  
582 selection and fine-tuning in the expression of *XYL1* and mutated *XYL2* to obtain efficient  
583 *S. cerevisiae* strains for xylose fermentation. In the engineered strain, promoters *PGK1*,  
584 *ADH1* and truncated *ADH1* were used to modulate the expression levels of *XYL1*, while  
585 *XYL2* was overexpressed under promoter *PGK1* in a multicopy plasmid. The authors  
586 concluded that only the strongest promoter (*PGK1*) was able to improve XR activity,  
587 increasing by a factor of 1.7 the ability to assimilate and metabolize xylose.  
588 Overexpression of *XYL2* allowed for 21% lower xylitol production and 35–40% higher  
589 ethanol production.

590 More recently, Hector & Mertens (2017) (Hector & Mertens, 2017) suggested the  
591 need for regulation at the transcriptional and post-translational levels in *S. cerevisiae*  
592 strains engineered to metabolize xylose. In this study, xylose-regulated synthetic hybrid  
593 promoters were developed from the *Ashbya gossypii* *TEF* constitutive promoter, a  
594 mutation being inserted in the second TATA sequence present at position -63.  
595 Furthermore, to control transcription in *S. cerevisiae*, the xylose-dependent DNA  
596 repressor obtained from *Caulobacter crescentus* was also used. The *TEF-xyIO2-1*  
597 promoter in the presence of xylose showed activity comparable to other known *S.*  
598 *cerevisiae* promoters, with an increase in activity of up to 25 times in the presence of  
599 xylose, revealing an important strategy for further metabolic engineering.

600 Nambu-Nishida *et al.* (2017) (Nambu-Nishida *et al.*, 2018), evaluated 30 *S.*  
601 *cerevisiae* promoters showing different expression levels, selected through microarray  
602 data, in a xylose-metabolizing yeast strain (expressing the XR-XDH pathway and *XKS1*  
603 overexpression). In the study, it was suggested that *TDH3*, *FBA1* and *TDH1* promoters  
604 showed high expression in aerobic culture and moderate expression in microaerobic

605 fermentation, while promoters *SED1*, *HXT7*, *PDC1*, *TEF1*, *TPI1* and *PGK1* had medium-  
606 high expression in the same conditions.

607         The activities of different native promoters and the synthetic hybrid promoter *p3xC-*  
608 *TEF1* (based on the *TEF1* promoter core with insertion of three tandem upstream  
609 activation sequences of the *CLB2* promoter) were evaluated in a *S. cerevisiae* strain  
610 expressing the XR-XDH pathway through a fluorescent reporter protein in the presence  
611 of xylose (Xiong et al., 2018). The *TDH3* promoter showed the highest activity in the  
612 presence of xylose as the only carbon source, followed by the synthetic hybrid (*p3xC-*  
613 *tef1*) and the *TEF1* promoter. In another study, the *TDH3* promoter and the *CYC1*  
614 terminator were used to control expression of the PPP genes (*TAL1*, *TKL1*, *RKI1* and  
615 *RPE1*), and as a result, improved xylose metabolism was obtained (Kobayashi et al.,  
616 2018).

617         Studies have also focused on the role of terminators and how their transcription  
618 regulation interferes with an enhanced metabolic flux (Curran et al., 2013; Matsuyama,  
619 2019). There are two events related to gene expression termination: I) transcriptional  
620 termination and II) post-transcriptional regulation. In the first event, the terminator is  
621 responsible for determining where the mRNA will be cleaved for the addition of the poly(A)  
622 tail; while the second determines the stability, translation efficiency and position of the  
623 mRNA (Guo & Sherman, 1996; Tuller, Ruppin & Kupiec, 2009; Mischo & Proudfoot, 2013;  
624 Yamanishi et al., 2013; Curran et al., 2015). Curran *et al.* (2013) (Curran et al., 2013)  
625 analyzed 30 gene terminators and obtained *S. cerevisiae* strains presenting better growth  
626 on xylose when the *XYLA* gene was combined with the *TDH3* promoter and *CPS1*  
627 terminator. The authors indicated that there was an increase in transcriptional levels and,  
628 consequently, an increase in the xylose growth rate. This scenario suggested that a  
629 strong promoter combined with a weak terminator can increase metabolic flux, with  
630 terminators also being responsible for modulating protein expression. Finally, it was  
631 suggested that a high-expression terminator combined with a weaker promoter could  
632 achieve results equivalent to those obtained by strong promoters paired with standard  
633 terminators.

634 **COFACTORS**

635 As previously stated, although xylose fermentation by *S. cerevisiae* expressing  
636 heterologous XR and XDH is possible, the resulting strains present low ethanol  
637 productivity while accumulating a considerable amount of xylitol. Xylitol production is  
638 mainly attributed to the cofactor imbalance between the conversion steps. XR normally  
639 has a higher affinity for NADPH than for NADH, whereas XDH uses only NAD<sup>+</sup>, which  
640 leads to an excessive accumulation of NADH and a shortage of NAD<sup>+</sup> necessary for the  
641 XDH reaction, as shown in **Figure 1**. Xylitol is formed to re-oxidize the NADH surplus  
642 resulting from those reactions, impairing ethanol yield. In this context, a plethora of  
643 strategies have been outlined to minimize xylitol formation and to improve cofactor  
644 availability, thus increasing ethanol yield. Protein engineering or mutagenesis techniques  
645 have been applied for that purpose, where coenzyme preference is altered - either of XR,  
646 by changing its preference from NADPH to NADH, or of XDH, from NAD<sup>+</sup> to NADP<sup>+</sup>. Also,  
647 metabolic engineering has proven to be an alternative to disturb cofactor availability in  
648 yeast to favor ethanol formation from xylose. For that, strategies usually rely on tuning  
649 the activity of endogenous cofactor-dependent enzymes, or the introduction of exogenous  
650 cofactor-producing ones, to favor the redox environment for the oxidoreductive xylose  
651 pathway in *S. cerevisiae*. However, it is relevant to note that xylitol is an important by-  
652 product in xylose metabolism, and its formation could be advantageous in some  
653 scenarios.

654 For instance, Jeppsson *et al.* (2006) (Jeppsson *et al.*, 2006) expressed a mutant  
655 XR (K270M) from *S. stipitis* (Kostrzynska, Sopher & Lee, 1998) with increased affinity for  
656 NADH in a recombinant *S. cerevisiae* harboring *S. stipitis* XDH and overexpression of  
657 endogenous *XKS1*: higher ethanol yield and reduced xylitol formation were obtained.  
658 Other mutant *S. stipitis* XR (K270R (Watanabe *et al.*, 2007a), K270G (Watanabe *et al.*,  
659 2007a), R276H (Watanabe *et al.*, 2007c), N272D (Watanabe *et al.*, 2007a),  
660 K270R/N272D (Watanabe *et al.*, 2007c), N272D/P275Q (Runquist, Hahn-Hägerdal &  
661 Bettiga, 2010), and K270R/R276H (Watanabe *et al.*, 2007c)) with NADH preference were  
662 obtained and expressed in *S. cerevisiae*, showing the same results on ethanol and xylitol  
663 yields. Mutant XR has also been obtained from *Candida tenuis* (Kavanagh *et al.*, 2002,

664 2003; Petschacher et al., 2005; Leitgeb et al., 2005; Petschacher & Nidetzky, 2005),  
665 which could be used to balance the redox environment in xylose consuming *S. cerevisiae*.  
666 Petschacher & Nidetzky (2008) (Petschacher & Nidetzky, 2008) expressed a double  
667 mutant XR (K274R-N276D CtXR) in a recombinant *S. cerevisiae* and the resulting strain  
668 showed an increase in NADH utilization, which improved ethanol production and  
669 decreased xylitol secretion.

670 Endeavors in changing the specificity of the coenzyme of XDH, from NAD<sup>+</sup> to  
671 NADP<sup>+</sup>, have also been described. Watanabe, Kodaki & Makino (2005) (Watanabe,  
672 Kodaki & Makino, 2005) obtained the quadruple mutant ARSdR  
673 (D207A/I208R/F209S/N211R) that showed 4500-fold higher catalytic efficiency (kcat/Km)  
674 with NADP<sup>+</sup> than wild-type *S. stipitis* XDH. The ARSdR mutant was expressed in  
675 recombinant *S. cerevisiae* strains under the control of a strong constitutive promoter  
676 (*PGK1*), together with *S. stipitis* XR, achieving increased ethanol yield (41%) and lower  
677 xylitol production (86%) (Watanabe et al., 2007b).

678 Because the shortage of NADPH results in less xylitol formation, reducing flux  
679 through the oxidative PPP – where this cofactor is normally generated, and wasteful CO<sub>2</sub>  
680 is produced – is another approach for improved ethanol yield from xylose. The deletion  
681 of the *ZWF1* gene, which encodes G6PDH and is responsible for the regeneration of this  
682 cofactor, and the deletion of *GND1* - one of the isogenes of 6-phosphogluconate  
683 dehydrogenase - were evaluated in strains containing the *XYL1/XYL2* genes. Deletion of  
684 the *GND1* gene resulted in an improvement in ethanol yield by 24% and a decrease in  
685 xylitol production. A *ZWF1* null genotype, however, showed a significant increase in  
686 ethanol yield and a reduction in xylitol production. Although blocking the NADPH-  
687 producing PPP lowered xylitol formation, xylose fermentation was also reduced because  
688 XR reaction was mediated only by NADH (Jeppsson et al., 2002). To overcome this issue,  
689 overexpression of the fungal *GDP1* gene encoding an NADP<sup>+</sup> dependent *GAPDH*  
690 (glyceraldehyde-3-phosphate dehydrogenase) – not linked to CO<sub>2</sub> production - along with  
691 *ZWF1* deletion resulted in an improvement of ethanol yield of approximately 50% (Verho  
692 et al., 2003).

693 Metabolic engineering of ammonium assimilation has also been suggested as an  
694 alternative procedure to modulate redox metabolism and favor xylose fermentation in *S.*  
695 *cerevisiae*. Ammonium, often used as a nitrogen source in industrial fermentations with  
696 *S. cerevisiae*, is converted to glutamate by reaction with 2-oxoglutarate, catalyzed by an  
697 endogenous NADPH-dependent glutamate dehydrogenase encoded by *GDH1* (Moye et  
698 al., 1985). Therefore, deletion of *GDH1* and overexpression of *GDH2*, a NADH-dependent  
699 glutamate dehydrogenase, is expected to shift ammonia assimilation from being NADPH  
700 to NADH dependent, alleviating NADPH shortage for XR. Bearing that in mind, Roca,  
701 Nielsen & Olsson (2003) (Roca, Nielsen & Olsson, 2003) performed such metabolic  
702 engineering in a strain expressing *XYL1*, *XYL2* and overexpression of endogenous *XKS1*.  
703 The final strain presented an increased ethanol yield and a 44% reduction of xylitol  
704 excretion. The same group tested the overexpression of the GS-GOAT complex (*GLT1*  
705 and *GLN1*, participating in ammonium assimilation using NADH as cofactor) in the *gdh1*  
706 deleted strain, which also resulted in an increased ethanol yield. Later on, comparative  
707 metabolic flux analysis revealed that, in a mutant strain with deleted *GDH1* and  
708 overexpression of *GDH2*, a shift in the specific xylose reductase activity towards the use  
709 of NADH as a cofactor could explain the improved ethanol yield due to its benefit to  
710 cofactor imbalance (Grotkjær et al., 2005).

711 Meanwhile, the expression of heterologous enzymes that prevent cofactor  
712 imbalance has also been tested. Through a genome-scale metabolic modeling approach,  
713 Bro *et. al* (2006) (Bro et al., 2006) found that the heterologous expression of *GAPN* gene,  
714 encoding a *Streptococcus mutants* non-phosphorylating NADP<sup>+</sup>-dependent  
715 glyceraldehyde-3-phosphate dehydrogenase, reduced the formation of xylitol by 33%,  
716 while increasing the production of ethanol by 24%. While glyceraldehyde-3-phosphate is  
717 converted to 3-phosphoglycerate in wild-type *S. cerevisiae* in a two-step NAD<sup>+</sup>-dependent  
718 reaction, *S. mutants GAPN* allows the same reaction avoiding competition for the cofactor  
719 used by XDH. Overexpression of *NOXE*, encoding a water-forming NADH oxidase from  
720 *Lactococcus lactis*, in a XR/XDH *S. cerevisiae* led to decreased xylitol formation and  
721 increased ethanol production during xylose fermentation (Zhang, Liu & Ding, 2012).  
722 *NOXE* provides an extra route for the oxidization of NADH resulting from the XDH  
723 reaction, thus rebalancing the cofactor environment to favor xylitol reduction.

724 **TRANSPORTERS**

725           In the production of any metabolite from a cell, the first step is substrate  
726 assimilation. The efficient incorporation of substrate molecules into yeast cells is  
727 suggested as a critical factor for obtaining efficient biofactories (Hara et al., 2017). In  
728 yeast, sugar entry is facilitated by a family of sugar porters known as the major facilitator  
729 superfamily (MFS), present in different species in all kingdoms of nature (Marger & Saier,  
730 1993; Rédei, 2008; Quistgaard et al., 2016). This transport of sugars occurs mostly by  
731 facilitated diffusion, being a passive transport mechanism of substances across the cell  
732 membrane (Jeffries, 1983). In *S. cerevisiae*, at least 18 genes encoding hexose  
733 transporters (*HXT1-17*) and galactose permease (*GAL2*) are found endogenously,  
734 however only *HXT1-7* and *GAL2* show active expression, with *HXT8-HXT17* being  
735 inactive (not transcribed) or expressed at very low levels (Özcan & Johnston, 1999;  
736 Hamacher et al., 2002; Sedlak & Ho, 2004).

737           Although native hexose transporters also have the ability to import pentoses,  
738 xylose-specific transporters are not found in *S. cerevisiae*, in such a way that their  
739 transport occurs inefficiently due to the lower affinity of such a transport system for this  
740 sugar (Sedlak & Ho, 2004; Subtil & Boles, 2012). Moreover, transporters that perform  
741 xylose assimilation suffer a strong inhibition in the presence of other sugars, especially  
742 glucose, and this repression is considered a limiting factor in mixed sugar fermentation,  
743 as most recombinant *S. cerevisiae* yeasts are unable to initiate xylose assimilation before  
744 glucose depletion (Bertilsson, Andersson & Lidén, 2008; Subtil & Boles, 2012; Farwick et  
745 al., 2014), causing a negative impact on the fermentation time of lignocellulosic biomass.  
746 Therefore, many studies have sought to design xylose-specific and/or glucose-insensitive  
747 transporters in order to obtain more efficient *S. cerevisiae* platforms for mixed sugar  
748 fermentation. In this context, cell platforms for sugar transporter characterization are  
749 obtained by deletion of native hexose transporters (*hxt* null), avoiding the interference of  
750 their effect on sugar transport analyses (Wieczorke et al., 1999; Boles & Oreb, 2018;  
751 Wijsman et al., 2019). *hxt* null strains do not exhibit the ability to grow on glucose as the  
752 sole carbon source, and have been used to characterize various endogenous hexose  
753 transporters, as well as those from different origins (Wieczorke et al., 1999; Hamacher et

754 al., 2002; Young et al., 2011; Hara et al., 2017; Boles & Oreb, 2018). Information on the  
755 heterologous expression of transporters for xylose uptake and modification of  
756 endogenous transporters to improve affinity for this sugar in *S. cerevisiae* are summarized  
757 in **Table 4**.

758 One compelling approach to optimizing xylose uptake in *S. cerevisiae* is the  
759 insertion of heterologous specific xylose transporters from bacteria, fungi, yeasts or plants  
760 (Nijland & Driessen, 2020). In this context, many studies have focused on identifying  
761 those proteins in different species, especially from other xylose-fermenting yeasts such  
762 as *Candida intermedia*, *S. stipitis* and *Meyerozyma guilliermondii*. However, although  
763 expression of such transporters allowed growth on xylose in *S. cerevisiae*, glucose  
764 inhibition was still observed (Leandro, Gonçalves & Spencer-Martins, 2006; Runquist et  
765 al., 2009; Tanino et al., 2012). In parallel to heterologous expression, mutagenesis in  
766 native sugar transporters allowed enhanced xylose transport kinetics in the presence of  
767 glucose, as well as the co-utilization of both sugars (Li, Schmitz & Alper, 2016).

768 The high-capacity, low-affinity glucose/xylose facilitated diffusion transporter  
769 (*GXF1*), obtained from *C. intermedia*, showed a threefold improvement in transport  
770 kinetics and xylose utilization when expressed in *S. cerevisiae*; however, *GXF1*  
771 improvements in xylose transport were only observed at low concentrations of this sugar.  
772 No changes in uptake rates at high concentrations of xylose were detected, suggesting  
773 that the expression of this specific transporter in *S. cerevisiae* would be beneficial only  
774 when the xylose concentration is not excessive (Runquist et al., 2009; Fonseca et al.,  
775 2011; Tanino et al., 2012). *GXS1* is another sugar transporter identified in *C. intermedia*,  
776 where a F40 point mutation was located (Young et al., 2012), indicating that substitutions  
777 in F40 have a relationship with sugar transport dynamics and consequently can produce  
778 different phenotypes, including improved xylose transport.

779 Young *et al.* (2014) (Young et al., 2014) evaluated the sequence similarity of  
780 different heterologous transporters expressed in *S. cerevisiae* and reported a conserved  
781 amino acid motif (G-G/F-XXX-G) as responsible for monosaccharide selectivity in sugar  
782 transporters. An improved *C. intermedia* *GXS1* was obtained by adding Phe<sup>38</sup>Ile<sup>39</sup>Met<sup>40</sup>

783 mutations, resulting in a pentose transporter with a slight increase in xylose uptake rate;  
784 nevertheless, transportation remained inhibited by glucose.

785 *S. stipitis* has also been widely used to prospect xylose transporters due to its  
786 natural ability to ferment this sugar. Many transporters from this species were analyzed  
787 and expressed in *hxt* null *S. cerevisiae* mutants, among them *SUT1*, *SUT2* and *SUT3*  
788 (Weierstall, Hollenberg & Boles, 1999); *XUT1* and *XUT3* (Young et al., 2011). The *SUT1*  
789 transporter, when expressed in a strain of *S. cerevisiae*, showed improvement in xylose  
790 transport and ethanol productivity in fermentation (Katahira et al., 2008). The *XUT3*  
791 transporter, on the other hand, had an average efficiency in transporting sugars, but with  
792 a greater preference for xylose (Young et al., 2011). Young et al. (2012) (Young et al.,  
793 2012) suggested that the E538K mutation in *XUT3* is responsible for improved xylose  
794 affinity, in addition to improved growth at low xylose rates.

795 Other fungi have also been a source of efficient xylose transporters when  
796 expressed in recombinant *S. cerevisiae*. Bueno et al. (2020) (Bueno et al., 2020) used an  
797 evolutionary approach combined with analysis of diverse microbiomes to identify new  
798 xylose transporter candidates. In the study, the *CS4130* transporter from *Candida sojae*  
799 was identified and showed functional expression in *S. cerevisiae* at high xylose  
800 concentrations, revealing an appealing alternative for industrial fermentation of that  
801 pentose. The *MGT05196P* transporter identified in *M. guilliermondii* also showed elevated  
802 xylose transport activity in *S. cerevisiae*, and mutant N360F was able to transport xylose  
803 without any glucose inhibition (Wang et al., 2015). From the xylose-consuming  
804 filamentous fungus *Trichoderma reesei*, the *XITR1P* was reported as a xylose transporter  
805 with better efficiency than the endogenous *S. cerevisiae* transporter *GAL2*. Through site-  
806 directed mutagenesis it was indicated that the N326F amino acid mutation is highly  
807 correlated to xylose-uptake activity, and its expression in *S. cerevisiae* conferred high  
808 efficiency in transporting this sugar, while being insensitive to glucose (Jiang et al., 2020).  
809 Many other transporters have been identified in different origins: *HXTB* and *XTRD*  
810 (*Aspergillus nidulans*) are two such examples (Colabardini et al., 2014; Dos Reis et al.,  
811 2016).

812 In *Arabidopsis thaliana*, genes encoding sugar transporters *AT5G17010* and  
813 *At5g59250* were expressed in recombinant *S. cerevisiae* containing the genetic  
814 modifications for xylose consumption, and the consumption of this pentose was analyzed  
815 in fermentations. Strains expressing the *AT5G17010* and *AT5G59250* transporters  
816 consumed 25% and 40% more xylose, respectively, than the control strain (Hector et al.,  
817 2008). However, in another study using different concentrations of xylose, no significant  
818 values were obtained in the transport of the xylose transporter *AT5G59250* compared to  
819 the control strain (Runquist, Hahn-Hägerdal & Rådström, 2010).

820 Although many studies have focused on the expression of heterologous xylose  
821 transporters in *S. cerevisiae*, the low activity and stability of such exogenous proteins, as  
822 well as the fact that most of these transporters exhibit competitive inhibition by glucose,  
823 limits their use in fermentations with co-consumption of sugars (Hou et al., 2017). Thus,  
824 another widely used strategy is the expression of endogenous transporters modified to  
825 reconnect sugar affinity. Although recombinant strains exhibit the ability to ferment xylose  
826 as the sole carbon source, when mixed glucose and xylose fermentations are performed,  
827 xylose is consumed only after glucose depletion because the affinity of endogenous  
828 transporters for glucose is much higher than that of xylose, leading to slow metabolization  
829 of xylose in the presence of this hexose, even at low concentrations of this sugar (Subtil  
830 & Boles, 2012; Hou et al., 2017). Several studies have sought to improve the ability of  
831 simultaneous sugar metabolization in recombinant strains, requiring a reduction in the  
832 affinity of hexose transporters for glucose, as well as an increase in their affinity for xylose  
833 (Farwick et al., 2014).

834 In *S. cerevisiae*, endogenous *HXT1-7* transporters along with *GAL2*, are  
835 responsible for the facilitated diffusion of xylose monosaccharides (Sedlak & Ho, 2004).  
836 Many studies have used different methodologies aiming to improve the ability of  
837 xylose/glucose co-metabolism by increasing the affinity of hexose transporters to xylose  
838 in modified strains. Among the strategies used for this purpose are random mutagenesis,  
839 genetic shuffling, evolutionary engineering, and overexpression, which have identified  
840 several mutant xylose transporters that do not undergo strong inhibition by glucose  
841 (Farwick et al., 2014; Young et al., 2014; Shin et al., 2015; Li, Schmitz & Alper, 2016).

842 In this context, using an ALE strategy, a platform for the evaluation of xylose  
843 transporters that lack inhibition by glucose was developed. Through this approach and  
844 error-prone PCR-based mutagenesis, two glucose-insensitive mutant xylose  
845 transporters, *HXT7* (N370S) and *GAL2* (N376F), have been identified (Farwick et al.,  
846 2014). In another study, an endogenous chimeric transporter (*HXT36*) was constructed  
847 using the endogenous transporters *HXT3* and *HXT6*. After the evolutionary engineering  
848 of a strain expressing the synthetic *HXT36* transporter, an N367A mutation was identified  
849 that generated increased affinity for xylose (Nijland et al., 2014). In another evolutionary  
850 engineering study, an F79S mutation in *HXT7* resulted in improved D-xylose uptake (Apel  
851 et al., 2016). Shin *et al.* (2015) (Shin et al., 2015) identified a mutation on residue N366  
852 in *HXT11* in a recombinant *S. cerevisiae* with gene knockouts *HXT1-7* and *GAL2* that  
853 altered the specificity of the glucose transporter for xylose and enabled improved co-  
854 fermentation of these sugars. Another mutation identified was C505P which resulted in a  
855 3-fold improvement in the xylose affinity of *HXT2* (Nijland et al., 2018).

856 Although many mutations have been identified as contributors to the affinity  
857 change in hexose transporters, a conserved asparagine residue has been identified in  
858 several studies at positions 360, 366, 367, 370 and 376 in *Meyerozyma guilliermondii*  
859 *MGT05196P* (Wang et al., 2015), and endogenous *HXT11* (Shin et al., 2015), *HXT36*  
860 (Nijland et al., 2014), *HXT7* and *GAL2* (Farwick et al., 2014), respectively. This  
861 asparagine residue was mutated to different amino acids, causing a decreased affinity for  
862 glucose and, in some cases, an increased affinity for xylose, indicating this as an  
863 important target for mutagenesis. Later a, *GAL2* N376Y/M435I double mutant was  
864 obtained, reported to be completely insensitive to competitive inhibition by glucose, and  
865 presented an improved ability to transport xylose upon expression in recombinant *S.*  
866 *cerevisiae* (Rojas et al., 2021). Another mutation identified in *GAL2* was threonine at  
867 position 386 (T386A), allowing for increased xylose transport and reduced glucose  
868 sensitivity, as well as co-consumption at reduced substrate concentrations (Reznicek et  
869 al., 2015).

870 Overexpression of hexose transporters has also been shown as another  
871 compelling approach to improve xylose uptake. Different studies have proven that

872 overexpression of the endogenous hexose transporters, *HXT* and *GAL2*, can also provide  
873 improvements in the rate of xylose uptake in recombinant *S. cerevisiae* (Tanino et al.,  
874 2012; Gonçalves et al., 2014).

875

## 876 **CONCLUSIONS**

877 Metabolic engineering has been used to optimize microorganisms through  
878 targeted alteration in simple cellular characteristics. The genetic alterations listed in this  
879 document could be rationally introduced in yeast cells for improved xylose metabolism.  
880 In *S. cerevisiae*, such interventions have contributed to increased growth rates and xylose  
881 assimilation, ultimately leading to better fermentation performance. However, the need to  
882 upgrade this phenotype foments other engineering approaches that could result in highly  
883 efficient strains. Evolutionary engineering, associated with chemical mutagenesis  
884 techniques, genome shuffling, genomic library screenings or transposon mutagenesis,  
885 are feasible approaches to develop mutant strains with enhanced xylose consumption  
886 and increased ethanol production rates. Other complex approaches – such as the omics:  
887 genomics, transcriptomics, metabolomics and fluxomics – directly contribute to advancing  
888 the understanding of different phenotypes at the molecular level through the identification  
889 of new genetic targets responsible for the enhancement of phenotypes.

890 However, despite the success in approaches used to obtain xylose assimilating *S.*  
891 *cerevisiae*, the understanding of the metabolism, regulation and signaling pathways  
892 involved in xylose consumption is still limited. There are hidden features of xylose  
893 metabolism that need to be identified to optimize fermentation processes. New  
894 approaches should be sought to identify non-obvious gene targets and to analyze the role  
895 of essential genes for the xylose consumption phenotype, as well as to evaluate the  
896 optimal expression level of genes directly and indirectly involved in xylose metabolism.  
897 Ultimately, advances in pentose metabolism in *S. cerevisiae* are expected to boost  
898 biotechnological routes for the full exploration of lignocellulosic biomass in a low-carbon  
899 economy.

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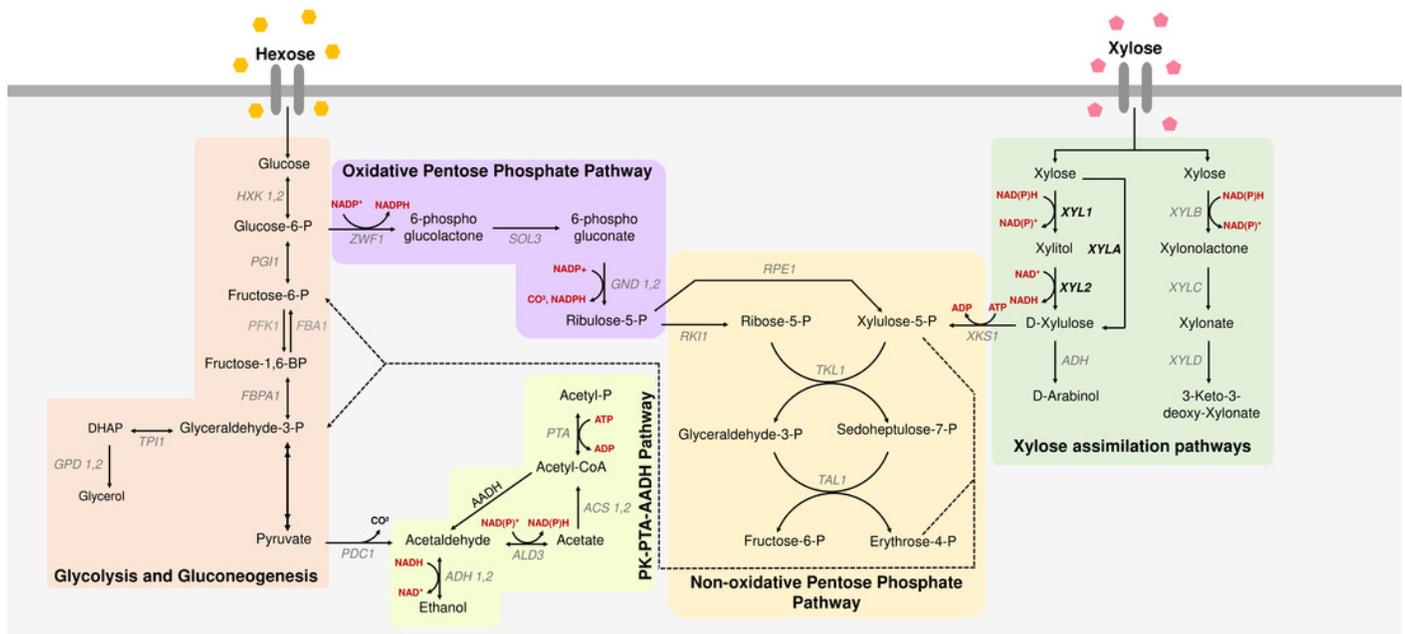
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# Figure 1

## Xylose metabolism in *S. cerevisiae*

The metabolic pathways for glucose and xylose metabolism in *Saccharomyces cerevisiae*. Metabolic pathways for xylose uptake are indicated, including glycolysis and gluconeogenesis, Oxidative and Non-Oxidative Pentose Phosphate Pathway and PK-PTA-AADH Pathway, and the main genes involved in xylose metabolism. Colored boxes represent different paths; names in black represent consumed/produced molecules; the names in gray are the genes that encode the enzymes that participate in each reaction; red are the cofactors; in bold the heterologous genes responsible for key enzymes in xylose metabolism in *S. cerevisiae*. (XYL1 = xylose reductase), (XYL2 = xylitol dehydrogenase) and (XYLA= xylose isomerase)



**Table 1** (on next page)

Heterologous pathways for xylose fermentation in *S. cerevisiae*

Heterologous pathways for xylose fermentation in *S. cerevisiae*: the main genes used for the oxidoreductive (XR/XDH) and xylose isomerase (XI) pathways

- 1 **Table 1.** Heterologous pathways for xylose fermentation in *S. cerevisiae*: the main genes
- 2 used for the oxidoreductive (XR/XDH) and xylose isomerase (XI) pathways.

Pathway	Gene	Source Microorganism	Codon optimization	Xylose consumed/Ethanol produced	Yield in g of ethanol per g of substrate	Ethanol yield	Reference
XR/XDH	<i>XYL1/XYL2</i>	<i>Scheffersomyces stipitis</i>	Y	14 g/L / 0.73 g/L	0.052	10%	(Kötter et al., 1990)
	<i>XYL1/XYL2</i>	<i>Scheffersomyces stipitis</i>	N	34 g/L / NP	-	-	(Walfridsson et al., 1995)
	<i>XYL1/XYL2</i>	<i>Scheffersomyces stipitis</i>	Y	50 g/L / ~22.5 g/L	0.45	88%	(Ho, Chen & Brainard, 1998)
	<i>XYL1.2</i>	<i>Spathaspora passalidarum</i>	N	50 g/L / 20 g/L	0.4	78%	(Cadete et al., 2016)
XI	<i>XYLA</i>	<i>Thermus thermophilus</i>	Y	10.4 g/L / 1.3 g/L	0.125	24.4%	(Walfridsson et al., 1996)
	<i>XYLA</i>	<i>Clostridium phytofermentans</i>	Y	~18 g/L / ~7.74 g/L	0.43	84%	(Brat, Boles & Wiedemann, 2009)
	<i>XYLA</i>	<i>Bacteroides</i>	N	15.7 g/L / 4.9	0.312	66%	(Ha et al.,

		<i>stercoris</i>		g/L			2011)
	XYLA	<i>Prevotella ruminicola</i>	Y	32.1 g/L / 13.6 g/L	0.41	82.9%	(Hector et al., 2013)
	XYLA	<i>Piromyces sp. E2</i>	N	20 g/L / 8.68	0.43	84.5%	(Kuyper et al., 2003, 2004, 2005)
	XYLA	<i>Piromyces sp. E2</i>	Y	40 g/L / 16.8 g/L	0.41	81%	(Zhou et al., 2012)
	XYLA	<i>Orpinomyces sp.</i>	N	15.55 g/L / 6.05 g/L	0.39	78%	(Madhavan et al., 2009)
	XYLA	<i>xym1 and xym2 (soil metagenomic library)</i>	N	NM	NM	NM	(Parachin & Gorwa-Grauslund, 2011)
	XYLA (K11T/D2 20V)	<i>Bovine rumen</i>	N	~18 g/L / ~7.5 g/L	0.06	80%	(Hou et al., 2016)
	XYLA	<i>Reticulitermes speratus</i>	Y	51 g/L / 20 g/L	0.39	77%	(Katahira et al., 2017)
	XYLA	<i>Odontotaenius disjunctus</i>	Y	NM	NM	NM	(Silva et al., 2021)

3 NP\* Not produced.

4 NM\* the value was not measured.

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**Table 2** (on next page)

Deletion targets

Deletion targets that contribute to improved xylose metabolism in *S. cerevisiae*

1 **Table 2.** Deletion targets that contribute to improved xylose metabolism in *S. cerevisiae*.

Deletion targets	Relevant genetic Background	Reported Phenotype improvement	Reference
<i>GRE3</i>	<i>Thermus thermophilus</i> <i>XYLA / XKS1</i>	Xylitol formation decreased two-fold, and which produced ethanol from xylose with a yield of 0.28 mmol	(Träff et al., 2001)
	<i>Thermus thermophilus</i> mutated <i>XYLA / XKS1</i>	Deletion of <i>GRE3</i> was crucial for ethanol production as reduction of xylitol formation was observed	(Lönn et al., 2003)
<i>NFG1 / MNI1 / RPA49</i>	<i>Scheffersomyces stipitis</i> <i>XYL1</i> and <i>XYL2 / XKS1</i>	Improved growth rates on xylose in aerobiosis compared to the reference strain: 173% ( <i>nfg1Δ</i> ), 62% ( <i>mni1Δ</i> ) and 90% ( <i>rpa49Δ</i> ) faster	(Bengtsson et al., 2008)
<i>NFG1</i>	<i>XYL1 / XYL2 / XKS1</i>	Improvement of xylose consumption at low concentrations and in co-fermentation of glucose and xylose; deletion of <i>NFG1</i> improved aerobic growth on xylose	(Parachin et al., 2010)
<i>BUD21 / ALP1 / ISC1 / RPL20B</i>	<i>XYLA / XKS1</i>	Individual deletion of the 4 genes improved xylose assimilation in 27.6% ( <i>bud21Δ</i> ), 15.5% ( <i>alp1Δ</i> ), 22.4% ( <i>isc1Δ</i> ) and 12.1% ( <i>rpl20bΔ</i> ); production of ethanol in <i>bud21Δ</i> cells even without the presence <i>XYLA</i>	(Usher et al., 2011)
<i>PMR1</i>	<i>Piromyces</i> sp. <i>XYLA / XKS1 / RKI1 / RPE1 / TKL1 / TKL2 /</i>	Deletion of <i>PMR1</i> allowed anaerobic growth on xylose	(Verhoeven et al., 2017)

	<i>TAL1 / NQM1 / gre3Δ</i>		
<i>PMR1 / ASC1</i>	<i>Piromyces mutated XYLA3* / TAL1 / XKS1 / gre3Δ / pho13Δ</i>	Mutated <i>PMR1</i> and <i>ASC1</i> consumed 114.8% and 59.6% more xylose in relation to the control, respectively	(Tran Nguyen Hoang et al., 2018)
<i>GRE3 / HOG1 / IRA2 / ISU1</i>	<i>Clostridium phytofermentans XYLA / TAL1 / S. stipitis XYL3</i>	The mutation in <i>IRA2</i> only affects anaerobic xylose consumption; loss of <i>ISU1</i> function is indispensable for anaerobic xylose fermentation; Faster conversion of xylose obtained by deleting the <i>gre3Δ</i> , <i>hog1Δ</i> , <i>ira2Δ</i> and <i>isu1Δ</i> genes simultaneously	(Sato et al., 2016)
<i>ISU1 / SSK2</i>	<i>Orpinomyces sp. XYLA / XKS1 / RKI1 / RPE1 / TKL1 / TKL2 / TAL1 / gre3Δ</i>	<i>ISU1</i> or <i>SSK2</i> null strains showed improvement in xylose metabolism in unevolved yeast cells	(Dos Santos et al., 2016)
<i>PHO13</i>	<i>Scheffersomyces stipitis XYL1 and XYL2</i>	Improvement in xylose assimilation	(Ni, Laplaza & Jeffries, 2007)
	<i>Scheffersomyces stipitis XYL1, XYL2 and XYL3</i>	Upregulation of the enzymes from PPP and NADPH-producing enzymes; improved xylose metabolism	(Kim et al., 2015)
	<i>Scheffersomyces stipitis XYL1, XYL2 and XYL3</i>	Transcriptional activation of genes from PPP; 98% reduction of sedoheptulose by upregulation of <i>tal1</i> in mutant strains ( <i>pho13Δ</i> )	(Xu et al., 2016)
<i>PHO13 / ALD6</i>	<i>Scheffersomyces stipitis XYL1 and XYL2</i>	<i>pho13Δ</i> strains presented a shorter lag time using xylose as carbon source and	(Kim et al., 2013)

		showed an improved xylose fermentation / <i>ald6Δ</i> strains showed improvement in the efficiency of xylose fermentation and prevention of acetate accumulation	
<i>GCR2</i>	<i>Scheffersomyces stipitis</i> <i>XYL1</i> , <i>XYL2</i> and <i>XYL3</i>	<i>gcr2Δ</i> cells with better xylose utilization and ethanol production.	(Shin et al., 2021)
<i>THI2</i>	Ru-XYLA (where Ru represents the rumen bovine) / <i>XKS1</i> / <i>RKI1</i> / <i>RPE1</i> / <i>TKL1</i> / <i>TKL2</i> / <i>TAL1</i> / <i>cox4Δ</i> / <i>gre3Δ</i>	Deletion increases 17.4% in growth rate, increase of 26.8% in specific xylose utilization rate and 32.4% increase in specific ethanol production rate in co-fermentation of glucose and xylose	(Wei et al., 2018)
<i>CCC1</i> / <i>BSD2</i>	<i>Orpinomyces</i> sp. <i>XYLA</i> / <i>XKS1</i> / <i>RKI1</i> / <i>RPE1</i> / <i>TKL1</i> / <i>TKL2</i> / <i>TAL1</i> / <i>gre3Δ</i>	<i>ccc1Δ</i> and <i>bsd2Δ</i> strains had a 9-fold and 2.3-fold increase in xylose consumption	(Palermo et al., 2021)
<i>HAP4</i>	<i>Scheffersomyces stipitis</i> <i>XYL1</i> , <i>XYL2</i> and <i>XYL3</i>	<i>hap4Δ</i> strain: 1.8-fold increase in ethanol production from xylose; production of 10.38 g/L of ethanol; ethanol yield of 0.41 g/g of xylose	(Dzanaeva et al., 2021)

**Table 3** (on next page)

Overexpression targets

Overexpression targets that contribute to improved xylose metabolism in *S. cerevisiae*

- 1 **Table 3.** Overexpression targets that contribute to improved xylose metabolism in *S.*
- 2 *cerevisiae*.

Overexpression targets	Relevant genetic Background	Reported Phenotype Improvement	Reference
XKS1	<i>Scheffersomyces stipitis</i> XYL1, XYL2	Fermentation at high xylose concentrations and reduced xylitol production	(Ho, Chen & Brainard, 1998)
	<i>Scheffersomyces stipitis</i> XYL1, XYL2 and XYL3 / <i>pho13Δ</i>	Overexpression of XK genes (XYL3 or XKS1) increases the rate of xylose assimilation and maintain ATP levels inside cells	(Kim et al., 2013)
	LSK1 - xylulokinase mutant	Increased enzyme activity improved xylulose conversion and accelerated ethanol production by 30-130%	(Deng & Ho, 1990)
	<i>Scheffersomyces stipitis</i> XYL1, XYL2	Deleterious effect associated with uncontrolled overexpression of XKS1 / xylulose-5-phosphate accumulation and ATP depletion	(Johansson et al., 2001)
	FY1679 ( <i>ura3-52/ura3-52</i> ; <i>his3v200/his3</i> ; <i>leu2v1/leu2</i> ; <i>trp1v63/trp1</i> ; <i>gal2/gal2</i> )	High levels of expression of this gene have	(Rodriguez-Peña et al., 1998)

		a deleterious effect	
<i>XKS1 / Scheffersomyces stipitis XYL3</i>	<i>Scheffersomyces stipitis XYL1, XYL2</i>	Growth inhibition on xylose / expression levels should consider the metabolic capacity of the strain	(Jin et al., 2003)
<i>RPE1 / RKI1 / TAL1 / TKL1</i>	<i>Piromyces sp. E2 XYLA / gre3Δ</i>	Specific xylose consumption rate of 1.1 g g <sup>-1</sup> h <sup>-1</sup>	(Kuyper et al., 2005)
	<i>Scheffersomyces stipitis XYL1 and XYL2 / XKS1 / gre3Δ</i>	Increased rate of xylose consumption	(Karhumaa et al., 2007a)
<i>TAL1</i>	<i>Scheffersomyces stipitis XYL1, XYL2 and XYL3 / gre3Δ</i>	Improvement in xylose assimilation	(Ni, Laplaza & Jeffries, 2007)
<i>TAL1 / TKL1</i>	<i>Scheffersomyces stipitis XYL1 and XYL2 / XKS1</i>	Important role in xylose consumption and fermentation	(Matsushika et al., 2012)
<i>XKS1 / TAL1/ TKL1 / SOL3 / GND1</i>	<i>Scheffersomyces stipitis XYL1 and XYL2 / XKS1</i>	Increased consumption of xylose by 31%	(Wahlbom et al., 2003)
<i>GND1 / SOL3 / TAL1 / RKI1 / TKL1</i>	<i>Orpinomyces sp. XYLA / XKS1 / gre3Δ / pho13Δ</i>	Improves xylose consumption rate	(Bamba, Hasunuma & Kondo, 2016)
<i>SOL3 / TAL1</i>	<i>Scheffersomyces stipitis XYL1 and XYL2 / XKS1</i>	Fastest growth on xylose by 19% (SOL3) and 24% (TAL1)	(Bengtsson et al., 2008)
<i>RPE1</i>	<i>Piromyces sp. XYLA*3 / pho13Δ / gre3Δ / asc1Δ</i>	Significantly improved xylose utilization	(Hoang Nguyen Tran et al., 2020)

<i>NRM1/YHP1</i>	<i>Ru-XYLA / XKS1 / RKI1 / RPE1 / TKL1 / TKL2 / TAL1 / cox4Δ / gre3Δ</i>	NRM1 increased the xylose utilization rate by 30%. YHP1 increased the volumetric xylose utilization rate by 5.6%	(Wei et al., 2018)
<i>STT4 / RGI2 / TFC3</i>	<i>Ru-XYLA / XKS1 / RKI1 / RPE1 / TKL1 / TKL2 / TAL1 / cox4Δ / gre3Δ</i>	Increased xylose specific utilization rates: STT4 (36.9%), RGI2 (29.7%) and TFC3 (42.8%)	(Wei et al., 2019)
<i>Piromyces sp. E2</i> <i>XYLA</i>	<i>Scheffersomyces stipitis</i> <i>XYL3 and TAL1 / RPE1 / RKI1 / TKL1</i>	Xylose consumption rate of 1.866 g g <sup>-1</sup> h <sup>-1</sup>	(Zhou et al., 2012)
<i>XYL2</i>	<i>Scheffersomyces stipitis</i> <i>XYL1 and XYL3</i>	Increased ethanol yields and decrease in xylitol production	(Kim et al., 2012)

**Table 4**(on next page)

Sugar transporters

Sugar transport modifications for improved xylose consumption in *S. cerevisiae* using heterologous expression and endogenous modification strategies

1 **Table 4.** Sugar transport modifications for improved xylose consumption in *S. cerevisiae*  
 2 using heterologous expression and endogenous modification strategies.

3

Strategy	Transporter Genes	Mutation	Relevant genetic Background	Reported Phenotype Improvement	Reference
Heterologous expression	<i>GXF1</i> ( <i>Candida intermedia</i> )	-	MT8-1 - XK $\delta$ XI	Enhanced xylose consumption and ethanol production	(Tanino et al., 2012)
		-	TMB 3043 - <i>Scheffersomyces stipitis</i> <i>XYL1</i> and <i>XYL2</i> / <i>XKS1</i> / <i>RK11</i> / <i>RPE1</i> / <i>TKL1</i> / <i>TKL2</i> / <i>TAL1</i> / <i>gre3<math>\Delta</math></i>	Under anaerobic conditions, increased xylose uptake and ethanol formation at low xylose concentrations	(Runquist et al., 2009)
		-	<i>Scheffersomyces stipitis</i> <i>XYL1</i> and <i>XYL2</i> / <i>XKS1</i>	2 times higher affinity for xylose	(Fonseca et al., 2011)
	<i>GXS1</i> ( <i>Candida intermedia</i> )	Phe <sup>38</sup> Ile <sup>39</sup> Met <sup>40</sup>	EX.12 - <i>Scheffersomyces stipitis</i> <i>XYL1</i> , <i>XYL2</i> and <i>XYL3</i> / <i>hxt1-17<math>\Delta</math></i> / <i>gal2<math>\Delta</math></i>	Growth on xylose but does not assimilate glucose	(Young et al., 2014)
	<i>GXS1</i> ( <i>Candida intermedia</i> )	F40	EX.12 - <i>Scheffersomyces stipitis</i> <i>XYL1</i> , <i>XYL2</i> and <i>XYL3</i> / <i>hxt1-17<math>\Delta</math></i> / <i>gal2<math>\Delta</math></i>	Increased affinity for xylose	(Young et al., 2012)
	<i>XUT3</i> ( <i>Scheffersomyces stipitis</i> )	E538K	EX.12 - <i>Scheffersomyces stipitis</i> <i>XYL1</i> , <i>XYL2</i> and <i>XYL3</i> / <i>hxt1-17<math>\Delta</math></i> / <i>gal2<math>\Delta</math></i>	Increased xylose uptake	(Young et al., 2012)
	<i>SUT1</i> ( <i>Scheffersomyces stipitis</i> )	-	<i>Scheffersomyces stipitis</i> <i>XYL1</i> and <i>XYL2</i> / <i>XKS1</i>	Increased xylose absorption capacity and ethanol productivity in	(Katahira et al., 2008)

				fermentation	
<i>XUT1</i> ( <i>Scheffersomyces stipitis</i> )	-	EBY.VW4000 - <i>Scheffersomyces stipitis</i> XYL1 and XYL2 / <i>hxt1-17Δ</i> / <i>gal2Δ</i>		Greater preference for xylose over glucose	(Young et al., 2011)
<i>CS4130</i> ( <i>Candida sojae</i> )	-	EBY.VW4000 - <i>Scheffersomyces stipitis</i> XYL1 and XYL2 / XKS1 / <i>hxt1-17Δ</i> / <i>gal2Δ</i>		Xylose absorption at high substrate concentrations	(Bueno et al., 2020)
<i>MGT05196P</i> ( <i>Meyerozyma guilliermondii</i> )	N360F	EBY.VW4000 - <i>Scheffersomyces stipitis</i> XYL1 and XYL2 / XKS1 / <i>hxt1-17Δ</i> / <i>gal2Δ</i>		Xylose transport without inhibition by glucose	(Wang et al., 2015)
<i>XITR1P</i> ( <i>Trichoderma reesei</i> )	N326F	EBY.VW4000 - <i>hxt1-17Δ</i> / <i>gal2Δ</i>		High xylose transport activity / low growth in glucose	(Jiang et al., 2020)
<i>HXTB</i> ( <i>Aspergillus nidulans</i> )	-	EBY.VW4000 - <i>Scheffersomyces stipitis</i> XYL1 and XYL2 / XKS1 / <i>hxt1-17Δ</i> / <i>gal2Δ</i>		Higher xylose growth and ethanol production	(Dos Reis et al., 2016)
<i>XTRD</i> ( <i>Aspergillus nidulans</i> )	-	EBY.VW4000 - <i>Scheffersomyces stipitis</i> XYL1 and XYL2 / XKS1 / <i>hxt1-17Δ</i> / <i>gal2Δ</i>		Higher affinity for xylose	(Colabardini et al., 2014)
<i>AT5G17010</i> ( <i>Arabidopsis thaliana</i> )	-	BY4727 - <i>Scheffersomyces stipitis</i> XYL1 and XYL2 / XKS1		25% and 40% increase in xylose consumption	(Hector et al., 2008)
<i>AT5G59250</i> ( <i>Arabidopsis thaliana</i> )	-				
<i>AT5G59250</i> ( <i>Arabidopsis thaliana</i> )	-	<i>Scheffersomyces stipitis</i> XYL1 (K270R) and XYL2 / XKS1 / TAI1 / TKL1 / RPK1 / RPE1 / <i>gre3Δ</i>		Did not present significant results in the kinetics of xylose absorption	(Runquist, Hahn-Hägerdal & Rådström, 2010)

Endogenous modification	HXT7	F79S	BY4742 - <i>Piromyces</i> sp. XYL1 / XKS1 / gre3Δ	Improved xylose absorption rates	(Apel et al., 2016)
	HXT7	N370S	AFY10X - <i>Clostridium phytofermentans</i> XYL1 / TAL1 / TKL1 / RPI1 / RKI1 / XKS1 / hxx1Δ / hxx2Δ / glk1Δ / gal1Δ	Decreased inhibition by the presence of glucose	(Farwick et al., 2014)
	GAL2	N376F	AFY10X - <i>Clostridium phytofermentans</i> XYL1 / TAL1 / TKL1 / RPI1 / RKI1 / XKS1 / hxx1Δ / hxx2Δ / glk1Δ / gal1Δ	Lost the ability to transport hexoses and has a greater affinity for xylose	
		N376Y / M435I	SRY027 - XYL1 / TAL1 / TKL1 / RPE1 / RKI1 / XKS1 / HXT2 / HXT7 / HXT9 / GAL2	Reduction of xylose consumption time by approximately 40%	(Rojas et al., 2021)
		T386A	DS69473 - <i>Clostridium phytofermentans</i> XYL1 / TAL1 / TKL1 / RPE1 / RKI1 / XKS1 / gre3Δ / hxt1-7Δ / gal2Δ / hxx1Δ, hxx2Δ, glk1Δ, gal1Δ	Increased xylose transport and reduced affinity for glucose	(Reznicek et al., 2015)
	HXT36 (Chimeric)	N367A	DS71054 - XYL1 / TAL1 / TKL1 / RPE1 / RKI1 / XKS1 / gre3Δ / hxt1-7Δ / gal2Δ / glk1Δ / hxx1Δ / hxx2Δ / gal1Δ	Xylose transport at high rates / efficient co-consumption of glucose and xylose	(Nijland et al., 2014)
	HXT11	N366	DS68625 - XYL1 / TAL1 / TKL1 / RPE1 / RKI1 / XKS1 / hxt1-7Δ / gre3Δ / gal2Δ	Increased affinity for xylose compared to glucose / high transport rates / efficient co-fermentation of xylose and glucose	(Shin et al., 2015)

	<i>HXT2</i>	C505P	DS68625 - <i>XYLA</i> / <i>TAL1</i> / <i>TKL1</i> / <i>RPE1</i> / <i>RKI1</i> / <i>XKS1</i> / <i>hxt1-7Δ</i> / <i>gre3Δ</i> / <i>gal2Δ</i>	Increased affinity and xylose transport flux at low concentrations of this substrate	(Nijland et al., 2018)
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