

# Simulation of hearing loss can induce pitch shifts for complex tones

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**Background.** Most studies on pitch shift provoked by hearing loss have been conducted using pure tones. However, many sounds encountered in everyday life are harmonic complex tones. In the present study, psychoacoustic experiments using complex tones were performed on healthy participants, and the possible mechanisms that cause pitch shift due to hearing loss are discussed. **Methods.** Two experiments were performed in this study. In experiment 1, two tones were presented, and the participants were asked to select the tone that was higher in pitch. Partials with frequencies less than 250, 500, 750, or 1,000 Hz were eliminated from the harmonic complex tones and used as test tones to simulate low-tone hearing loss. Each tone pair was constructed such that the tone with a lower fundamental frequency (F0) was higher in terms of the frequency of the lowest partial. Furthermore, partials whose frequencies were greater than 1,300 or 1,600 Hz were also eliminated from these test tones to simulate high-tone hearing loss or modified sounds that patients may hear in everyday life. When a tone with a lower F0 was perceived as higher in pitch, it was considered a pitch shift from the expected tone. In experiment 2, tonal sequences were constructed to create a passage of the song “Lightly Row.” Similar to experiment 1, partials of harmonic complex tones were eliminated from the tones. After listening to these tonal sequences, the participants were asked if the sequences sounded correct based on the melody or off-key. **Results.** The results showed that pitch shifts and the melody sound off-key when lower partials are eliminated from complex tones, especially when a greater number of high-frequency components are eliminated. **Conclusion.** Considering that these experiments were performed on healthy participants, the results suggest that the pitch shifts from the expected tone when patients with hearing loss hear certain complex tones, regardless of the underlying etiology of the hearing loss.

# 1 Simulation of hearing loss can induce pitch shifts for 2 complex tones

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## 14 Abstract

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16 pure tones. However, many sounds encountered in everyday life are harmonic complex tones. In  
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30 to these tonal sequences, the participants were asked if the sequences sounded correct based on  
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37 certain complex tones, regardless of the underlying etiology of the hearing loss.

38

## 39 Introduction

40 Patients with hearing loss may perceive pitch shift when they hear tones; however, this pitch  
41 shift does not appear to be common knowledge among otologists and audiologists. Sacks (2007)  
42 discussed the case of an older male patient, who was a music composer with high-tone hearing  
43 loss and pitch shift. The patient noticed that the upper register of his piano was grossly out of  
44 tune. One of his concerns was that he, as well as any of the otologists or audiologists he  
45 consulted, had never met or heard of anyone else with a similar condition. Subsequently, they  
46 came across an article by another musician who had experienced similar symptoms and realized  
47 that such changes might go unnoticed by non-musicians and that professional musicians may be  
48 reluctant to mention experiencing such symptoms for fear of losing their standing in the field.  
49 Thus, they suspected that this condition was underreported.

50 Similar cases have been occasionally reported through general or website articles written  
51 by doctors or patients themselves. However, only a few scientific papers have detailed the cases  
52 of patients with pitch shift related to hearing loss. The lack of studies on pitch shift may be  
53 attributed to the difficulty in appropriately evaluating this phenomenon. Some studies have  
54 indicated pitch shifts in cases of unilateral hearing loss by using the opposite ear as a reference  
55 (Albers & Wilson, 1968; Ogura et al., 2003; Brännström & Grenner, 2008). However, no  
56 quantitative studies have been conducted in the case of bilateral hearing loss, possibly due to the  
57 lack of a reference ear, which makes evaluation more difficult.

58 This study aimed to show that the evaluation of pitch shift by hearing loss can be even  
59 more complicated when the types of stimulation tones are considered. Although most studies on  
60 pitch shift have been conducted using pure tones (Albers & Wilson, 1968; Ogura et al., 2003;  
61 Brännström & Grenner, 2008), many sounds encountered in everyday life, such as those  
62 produced by musical instruments and speech, are harmonic complex tones (Moore, 2012). A  
63 harmonic complex tone is composed of several pure tones, each of which has a frequency that is  
64 an integer multiple of the frequency of a common fundamental component. Pitch perception  
65 corresponds to the frequency for a pure tone but to the fundamental frequency ( $F_0$ ) for a  
66 harmonic complex tone. Interestingly, when a complex tone that physically lacks an  $F_0$   
67 component is presented, some listeners perceive the pitch of the tone as  $F_0$ . This is known as the  
68 missing fundamental phenomenon (Zatorre, 1988; Kurylo et al., 1993; Galbraith, 1994; Paquette,  
69 Bourassa, & Peretz, 1996; Schneider et al., 2005; Yost, 2009; Ladd et al., 2013), which has also  
70 been referred to as “residue pitch” (Schouten, 1940), “periodicity pitch” (Licklider, 1951), or  
71 “virtual pitch” (Terhardt, 1979) in the literature. In contrast, other listeners perceive the pitch of  
72 the complex tone that lacks an  $F_0$  component based on the frequency of the partials that make up  
73 the tones (Schneider et al., 2005). For example, consider tone A, a tone complex of 800 and  
74 1,000 Hz, and tone B, a tone complex of 750 and 1,000 Hz. Tone A consists of two partials that  
75 could be the 4th and 5th harmonic of 200 Hz, whereas tone B consists of two partials that could  
76 be the 3rd and 4th harmonic of 250 Hz. When tone A is presented followed by tone B, some  
77 listeners will report that the pitch sequence of the missing fundamental rises from 200 to 250 Hz,  
78 whereas other listeners will report one partial fall in pitch sequence (Smoorenburg, 1970). The  
79 former and latter groups of listeners are known as “synthetic” and “analytic” pitch listeners in the

80 literature (Houtsma & Fleuren, 1991); however, in this paper, we use the terms F0 and spectral  
81 responses, respectively, for the individual responses with reference to the study by Ladd et al.  
82 (2013).

83 We hypothesized that such complicated characteristics in pitch perception may be related  
84 to the pitch shifts noticed by patients with hearing loss. Therefore, we simulated hearing loss in  
85 participants with normal hearing in the present study and investigated the condition in which  
86 pitches shift from the expected tones on hearing complex tones. In the first part of the study  
87 (experiment 1), we examined pitch shifts by having the participants compare several pairs of  
88 tones, whereas in the second part of the study (experiment 2), participants were exposed to a  
89 melody that might have sounded off-key due to altered pitches to illustrate how such pitch shifts  
90 might impact everyday life.

91

## 92 **Materials & Methods**

### 93 **Participants**

94 A total of 43 participants, comprising 27 men and 16 women aged 19–60 years (mean  $\pm$  standard  
95 deviation [SD] =  $40.1 \pm 12.9$ ), were included in this study. Among them, five participated in  
96 experiment 1 only, four participated in experiment 2 only, and the remaining participated in both  
97 experiments. To examine the effect of age on the study outcomes, the participants were divided  
98 into two groups according to their age: those who were  $\leq 39$  years (mean  $\pm$  SD =  $28.4 \pm 5.7$ ) and  
99 those who were  $\geq 40$  years (mean  $\pm$  SD =  $51.2 \pm 5.9$ ). For experiment 1, 19 participants were  
100 included in the younger group, and 20 participants were included in the older group. For  
101 experiment 2, 18 participants were included in the younger group, and 20 participants were  
102 included in the older group. All participants had normal hearing with no confirmed neurological  
103 conditions and confirmed that they were able to hear all the tones used in the experiments. The  
104 study protocols were reviewed and approved by the Clinical Research Ethics Committee of  
105 Ichimiya Clinic (approval number: H3001-1). This study was conducted in accordance with the  
106 Declaration of Helsinki. Written informed consent was obtained from all participants prior to  
107 their inclusion in the study.

108

### 109 **Tone preparation and equipment**

110 The stimulation tones were created using the publicly available software Wave Editor TWE  
111 (Yamaha Corporation, Tokyo, Japan). All tones were saved as WAV files (16 bits/44.1 kHz).

112 Eight tone pairs, which are shown in Fig. 1, were prepared for experiment 1. Each block  
113 in the columns in Fig. 1 represents a partial of the complex tones. The duration of each tone was  
114 set at 500 ms, with a 10-ms rise-and-fall time. These were the tones from which the higher and  
115 lower partials were eliminated from the harmonic complex tones. The F0 of each tone pair was  
116 fixed at 230 Hz for tone A and 276 Hz for tone B. The pitch interval between these two tones  
117 was 316 cents, which can be easily judged to be higher or lower in pitch when they are presented  
118 as pure tones (Ichimiya & Ichimiya, 2016). The tones of the first four pairs (X series) were those  
119 from which partials with frequencies greater than 1,600 Hz were eliminated. From each of these

120 four pairs, partials with frequencies less than 250, 500, 750, or 1,000 Hz were also eliminated.  
121 These were named X1, X2, X3, and X4, respectively. The tones of the latter four pairs (Y series)  
122 were the ones from which partials with frequencies greater than 1,300 Hz were eliminated.  
123 Partial with frequencies less than 250, 500, 750, or 1,000 Hz were also eliminated from each  
124 pair. These were named Y1, Y2, Y3, and Y4, respectively. These settings were aimed at  
125 constructing each tone pair such that the tone with the lower F0 (i.e., tone A) was higher in terms  
126 of the frequency of the lowest partial. The frequencies and harmonic ranks of the partials are  
127 shown in Fig. 1. For example, tone A consists of the partials of 1,150 and 1,380 Hz, which are  
128 the 5th and 6th harmonics of F0 for X4. The top frequency, which is the frequency of the highest  
129 partial, is the same as for the X series (1,380 Hz) but is higher in tone A than in tone B as for the  
130 Y series (1,150 Hz vs. 1,104 Hz). Only one pair, Y4, consists of pure tones, whereas the other  
131 pairs consist of complex tones.

132 For experiment 2, tonal sequences were constructed using tones of 500- or 1,000-ms  
133 duration, with a 10-ms rise and fall time. Thirteen tones were connected to create a passage of  
134 “Lightly Row.” This passage was selected for the experiment because of the participants’  
135 familiarity with the song. The song, which is also known as “Butterfly,” is a popular children’s  
136 song in Japan. Similar to experiment 1, the higher and lower components of the harmonic  
137 complex tones were eliminated from the complex tones. A total of eight versions were presented  
138 in experiment 2. The versions were classified as X1, X2, X3, X4, Y1, Y2, Y3, and Y4 in the  
139 same manner as in experiment 1 (Fig. 2).

140 An Aspire S3 computer (Acer America Corporation, San Jose, CA, USA) with a USB  
141 audio processor (SE-U55SXII; Onkyo Digital Solutions, Tokyo, Japan) was used to deliver  
142 auditory stimuli binaurally through dynamic headphones (MDR-7506; Sony, Tokyo, Japan) at a  
143 comfortable level for the participants, which was approximately 75 dB SPL in all cases.

144

### 145 **Experimental procedure**

146 The experiments were performed as described in our previous studies (Ichimiya & Ichimiya,  
147 2019; Ichimiya & Ichimiya, 2023).

148 In experiment 1, the computer monitor showed two buttons that played one of the eight  
149 pairs of prepared tones. The participants were asked to compare these tone pairs by clicking on  
150 the buttons and select the tone that was higher in pitch. The number of times the participant  
151 heard the tone was not predetermined, and the participants were allowed to click on the buttons  
152 multiple times before making a decision. Each task was performed twice for each of the eight  
153 pairs, resulting in a total of 16 tasks. The order of the tone pairs and the order of the two buttons  
154 were randomized.

155 In experiment 2, the computer monitor displayed a button that played one of the eight  
156 versions of “Lightly Row.” The participants were asked if the passage they heard sounded  
157 correct based on the melody or off-key. The number of times of hearing the tone was not  
158 predetermined, and the participants were allowed to click on the buttons multiple times before

159 making a decision. Each task was presented twice; thus, a total of 16 tasks were performed. The  
160 order of the tasks was randomized.

161 The participants received written instructions via a computer monitor during both  
162 experiments and were asked to respond to the questionnaire in writing.

163

### 164 **Statistical analysis**

165 All statistical analyses were performed using EZR version 1.52 (Saitama Medical Center, Jichi  
166 Medical University, Saitama, Japan) (Kanda, 2013), a graphical user interface for R version 4.02  
167 (The R Foundation for Statistical Computing, Vienna, Austria). Since the data obtained were  
168 sporadic, nonparametric tests were applied for statistics. Wilcoxon's signed rank test and  
169 McNemar's chi-square test were used for the statistical comparisons.

170

### 171 **Results**

172 In experiment 1, the perception was defined as a pitch shift when the participants selected tone A  
173 as the tone that is higher in pitch, as the tone they selected was lower in frequency in terms of F0.  
174 The participants' responses were considered to be spectral as they appeared to have responded  
175 based on the frequency of partials that make up the tones. Conversely, the perception was  
176 defined as an F0 response when they selected tone B as the tone that is higher in pitch. Schneider  
177 et al. (2005) added each participant's responses and computed an index that expresses the  
178 proportion of spectral and F0 responses on a scale ranging from -1 to +1. We referred to this  
179 score as the Shift Index (SI), which is identical to the Schneider Index described by Ladd et al.  
180 (2013). The formula used is as follows:

$$181 \quad SI = \frac{(sp - f0)}{(sp + f0)}$$

182 where sp is the number of spectral responses, and f0 is the number of F0 responses. Eight SI  
183 values were calculated (i.e., values for X1, X2, X3, X4, Y1, Y2, Y3, and Y4) for each participant.  
184 These values could be -1, 0, or +1 since each task was performed twice.

185 The average SI values for all participants are shown in Fig. 3. In the X series, in which  
186 partials with frequencies greater than 1,600 Hz were eliminated, the SI values were higher when  
187 more partials were eliminated at low frequencies. For statistical analysis, Wilcoxon's signed rank  
188 test was applied for each of the two pairs using matched samples from the participants.

189 Compared with those for X1, the SI values were significantly higher for X2 ( $p = 0.037$ ), X3 ( $p =$   
190  $0.001$ ), and X4 ( $p = 0.002$ ). The results were similar in the Y series, in which partials with  
191 frequencies greater than 1,300 Hz were eliminated. Compared with those for Y1, the SI values  
192 were significantly higher for Y3 ( $p = 0.008$ ) and Y4 ( $p < 0.001$ ). A comparison between the X  
193 and Y series was performed to analyze the effect of eliminating the partials with high  
194 frequencies. The SI values were significantly higher when Y1 was compared with X1 ( $p =$   
195  $0.005$ ), Y2 was compared with X2 ( $p = 0.023$ ), Y3 was compared with X3 ( $p = 0.023$ ), and Y4  
196 was compared with X4 ( $p < 0.001$ ).

197 An ambiguous response was defined as a difference in the participant's responses to the  
198 same task, which had been performed twice. The percentages of these ambiguous responses, with  
199 the percentages of unambiguous F0 and spectral responses for each tone pair, are shown in Fig. 4.  
200 The data were compared with those of tone pair Y4, which was supposed to be the tone pair with  
201 the least ambiguous response as it consisted of pure tones. McNemar's test was applied to  
202 analyze the paired nominal data (i.e., ambiguous or unambiguous) from the participants. The  
203 ambiguous response was significantly higher for X3 ( $p = 0.027$ ) and X4 ( $p = 0.027$ ).

204 In experiment 2, the perception was considered as a pitch shift caused by spectral  
205 response when the participants judged that the melody sounded off-key. They were analyzed  
206 similarly as in experiment 1. The calculated SI values are shown in Fig. 5, and the results were  
207 similar to those of experiment 1. The SI values were significantly higher for X4 than those for  
208 X1 ( $p = 0.003$ ). The SI values were significantly higher for Y2 ( $p = 0.025$ ), Y3 ( $p < 0.001$ ), and  
209 Y4 ( $p < 0.001$ ) than those for Y1. Moreover, the SI values were significantly higher when Y3  
210 was compared with X3 ( $p < 0.001$ ) and Y4 was compared with X4 ( $p < 0.001$ ). The participants'  
211 ambiguous responses were analyzed similarly as in experiment 1, as shown in Fig. 6. Compared  
212 with the results of Y4, they were significantly higher for X4 ( $p = 0.002$ ) and Y2 ( $p = 0.020$ ).

213 To examine the effect of age on the study outcomes, the data from experiments 1 and 2  
214 were re-analyzed with the participants divided into two age groups:  $\leq 39$  years and  $\geq 40$  years.  
215 No evident differences were observed between the two age groups for any of the experiments.  
216 The SI values that showed large differences when all participants were grouped together  
217 regardless of age also showed significant differences within both age groups. The p-values  
218 obtained using Wilcoxon's signed rank test are shown in the order of  $\leq 39$  years group and  $\geq 40$   
219 years group. In experiment 1, the SI values were significantly higher for Y4 than those for Y1 ( $p$   
220  $= 0.001$ ,  $p = 0.002$ ). The SI values were significantly higher when Y4 was compared with X4 ( $p$   
221  $= 0.001$ ,  $p = 0.009$ ). In experiment 2, the SI values were significantly higher for X4 than those  
222 for X1 ( $p = 0.032$ ,  $p = 0.048$ ). The SI values were significantly higher for Y3 ( $p < 0.001$ ,  $p <$   
223  $0.001$ ) and Y4 ( $p < 0.001$ ,  $p < 0.001$ ) than those for Y1. The SI values were significantly higher  
224 when Y3 was compared with X3 ( $p < 0.001$ ,  $p < 0.001$ ) and when Y4 was compared with X4 ( $p$   
225  $< 0.001$ ,  $p < 0.001$ ). No significant differences were observed when the ambiguous responses  
226 were analyzed.

227

## 228 Discussion

229 This study demonstrated that pitch shift can be provoked by complex tone stimulation via the  
230 removal of partials. Taking into consideration that these experiments enrolled healthy  
231 participants, these results suggest that pitch shifts can be perceived when patients with hearing  
232 loss hear certain complex tones, regardless of the underlying etiology of the hearing loss. To  
233 examine the effect of age on the study outcomes, the data were re-analyzed with the participants  
234 divided into two age groups. Although this broad grouping may not capture the detailed age  
235 effect, we observed no evident differences between the two age groups. Thus, it is unlikely that  
236 age would have a substantial impact on the results of the present study. Low-tone hearing loss of

237 various severities was simulated, which prevented the participants from hearing the lower  
238 components of complex tones. The elimination of components with frequencies of less than 250  
239 Hz simulated mild low-tone hearing loss, whereas the elimination of components with  
240 frequencies of less than 500, 750, or 1,000 Hz simulated more severe low-tone hearing loss as  
241 the values increased. The elimination of high-frequency components can be considered as the  
242 simulation of high-tone hearing loss. In addition, it can also be considered to simulate modified  
243 sounds that patients may hear in everyday life in certain environments. Sounds are subject to  
244 reflections and refractions caused by walls or objects in their paths. Thus, the sound “image” that  
245 reaches the ear will differ somewhat from that initially generated. Diffraction occurs at lower  
246 frequencies because lower-frequency sounds have longer wavelengths (Moore, 2012). Thus,  
247 objects in the path of sound may act as low-pass filters after the bending of sound around them.  
248 Consequently, high-frequency tones are eliminated. In this study, we used test tones in which  
249 partials with a frequency greater than 1,300 Hz and 1,600 Hz were eliminated. The former  
250 simulates sounds that reach the ear through thicker walls or objects in their path than the latter.

251 The results of experiment 1 suggest that when the simulated low-tone hearing loss is mild,  
252 many participants do not perceive pitch shift as they perceive the missing fundamental tone.  
253 However, when the simulated low-tone hearing loss is more severe, many participants perceive  
254 pitch shifts as they cannot perceive the missing fundamental tone. These results were more  
255 apparent in the case of the Y series (i.e., the participants heard the tones from which partials with  
256 a frequency greater than 1,300 Hz were eliminated).

257 Individual differences have been suggested for the perception of auditory stimuli that lack  
258 F0. Some individuals readily identify the pitch of such tones with the missing F0 (F0 listeners),  
259 whereas some individuals base their judgment on the frequency of the partials that make up the  
260 tones (spectral listeners) (Schneider et al., 2005). However, recent research has shown that  
261 classifying individuals as “F0 listeners” or “spectral listeners” is an oversimplification. In a study  
262 by Ladd et al. (2013), the participants were asked to judge the pitch change in stimuli comprising  
263 two missing fundamental tones, which was constructed to reveal whether the pitch perception  
264 was based on missing fundamental or partials. They used missing fundamental tones of various  
265 top frequencies and confirmed that there are robust individual differences in the perception of  
266 missing fundamental stimuli; however, the participants gave predominantly spectral responses at  
267 lower top frequency levels and F0 responses at higher top frequency levels. Thus, it was  
268 concluded that two modes of perception (“F0 listening” and “spectral listening”) may exist, both  
269 of which are available to many listeners. Similarly, in our study, many listeners also perceived  
270 both modes of perception according to the stimuli. The results presented here (i.e., the pitch  
271 shifts when a low tone is not heard, especially in cases where a high tone is not heard) are  
272 reasonable because missing fundamental pitches are generally less distinct when fewer numbers  
273 of harmonics are present (Hartmann, 1993; Schneider et al., 2005; Moore, 2012). It may also be  
274 speculated that in our experimental protocol, the top frequency of the complex tones affects the  
275 pitch shift since the top frequency of tone A was always higher than that of tone B in the Y series,  
276 whereas the top frequencies of the complex tones were always the same in the X series.

277 Therefore, further studies must be conducted in the future to evaluate the effect of controlling for  
278 the number of harmonics and the top frequency.

279 Ambiguous responses were analyzed using the data from our experiment. In the present  
280 study, we provisionally defined ambiguous responses as different responses to the same task.  
281 Responses were high for both X3 and X4. Although this was a preliminary analysis, based on our  
282 results, it can be inferred that the SI values for X3 and X4 were close to 0 as each participant's  
283 response was ambiguous in judging these tasks, rather than dichotomizing the participants'  
284 responses between F0 and spectral responses.

285 Our previous study (Ichimiya & Ichimiya, 2019) presented participants with harmonic  
286 complex tones that lacked low-tone components. Their perception of these tones revealed a pitch  
287 shift compared with the tone that was expected. It was observed that when these tones were  
288 presented binaurally, with the low-tone components eliminated in one ear, approximately half of  
289 the participants reported hearing the tones at different pitches in both ears. Based on these  
290 findings, we hypothesized that, under specific circumstances, the stimulation of complex tones  
291 might lead to binaural diplacusis due to the pitch-shifted tones in one ear. Building upon our  
292 previous study, we conducted further investigations on pitch shift in the present study. Compared  
293 with the number of components in the present study, the number of components in the complex  
294 tones was smaller in our previous study, suggesting that many participants might not have  
295 perceived F0 when the low-tone components were eliminated in the previous study. The results  
296 of the present study emphasize the importance of considering the missing fundamentals when  
297 perceiving complex tones and indicate that complex tone stimulation can induce pitch shifts or  
298 binaural diplacusis with low-tone hearing loss under limited conditions in which the number of  
299 components is small.

300 Experiment 2 aimed to demonstrate the effect of the pitch shift observed in experiment 1  
301 on everyday life. The results were similar to those of experiment 1 in terms of statistical  
302 significance. However, experiments 1 and 2 appear to exhibit pronounced differences. In  
303 experiment 2, the SI values indicate more marked F0 responses except for Y3 and Y4. The  
304 participants were asked whether the connected tones sounded correct based on the melody or off-  
305 key in experiment 2. Such criteria for overall judging might have increased the tendency toward  
306 F0 responses. The more unambiguous answers of experiment 2 may also be related to such  
307 judging criteria. The abrupt shift towards positive SI values in Y3 and Y4 may be related to the  
308 feature of the tonal sequences. Since pure tones were included in Y3 and Y4, it is possible that  
309 they may sound off-key. Thus, it is difficult to interpret the results of experiment 2 alone, but  
310 combined with the results of experiment 1, a possible scenario is illustrated in Fig. 7A. Patients  
311 with low-tone hearing loss do not perceive complex tones as off-key when they hear them from  
312 near a sound source as they perceive the missing fundamental. However, when the same patients  
313 hear the same tones away from the sound source through walls or objects that eliminate the high-  
314 frequency components from complex tones, they may perceive the tones as off-key.

315 Interestingly, the results of the present study can also lead to a completely different  
316 interpretation. In the case of some patients with cochlear disorders of low-tone hearing

317 impairment with pitch shift, pure tones may be perceived as off-key, whereas complex tones may  
318 not. This phenomenon may be attributed to the fact that these patients are unable to hear low-  
319 tone components that should be shifted in pitch, but are able to hear upper harmonics of the  
320 complex tones that are not impaired (Fig. 7B).

321         Since the conditions that construct the tones under which the pitches shift were extreme  
322 in this study, they may not be frequently encountered by real patients. We simulated low-tone  
323 hearing loss in participants, preventing them from hearing the lower components of complex  
324 tones. However, real patients may hear lower components to some extent, unless their hearing  
325 loss is severe. Moreover, patients may not remain in an environment where high-frequency  
326 components have been eliminated for long periods of time. Thus, the pitch shift we have  
327 demonstrated here should only occur occasionally. Clinically, we sometimes see patients who  
328 complain of occasional pitch shift perception. This may represent the pitch fluctuation in patients  
329 with Meniere's disease described by Brännström & Grenner (2008), but it may be merely due to  
330 the change in the sound environment they are in, not due to the actual fluctuation in pitch.  
331 Detailed interviews must be conducted with these patients to elucidate the environment where  
332 they have perceived pitch shift. Evaluating pitch shift by hearing loss becomes extremely  
333 complicated when complex tones are considered stimulation tones. Thus, further research is  
334 needed to address this issue.

335

## 336 **Conclusions**

337 We investigated the pitch shift provoked by complex tone stimulation in healthy participants by  
338 simulating low-tone hearing loss. We found that the pitch of complex tones shifts when a low  
339 tone is not heard, especially in cases when a high tone is also not heard. It is worth noting that  
340 the conditions of the tones under which the pitches shifted in this study were extreme and may  
341 not be encountered frequently by patients in a real-world setting. However, it may partly explain  
342 the pitch shift observed in patients with hearing loss. Since our experiments were performed on  
343 healthy participants, we can infer that such pitch shifts can be perceived regardless of the  
344 underlying etiology of the hearing loss. Thus, pitch shifts associated with hearing loss should be  
345 interpreted with caution.

346

## 347 **Acknowledgements**

348 We would like to thank Editage ([www.editage.jp](http://www.editage.jp)) for English language editing.

349

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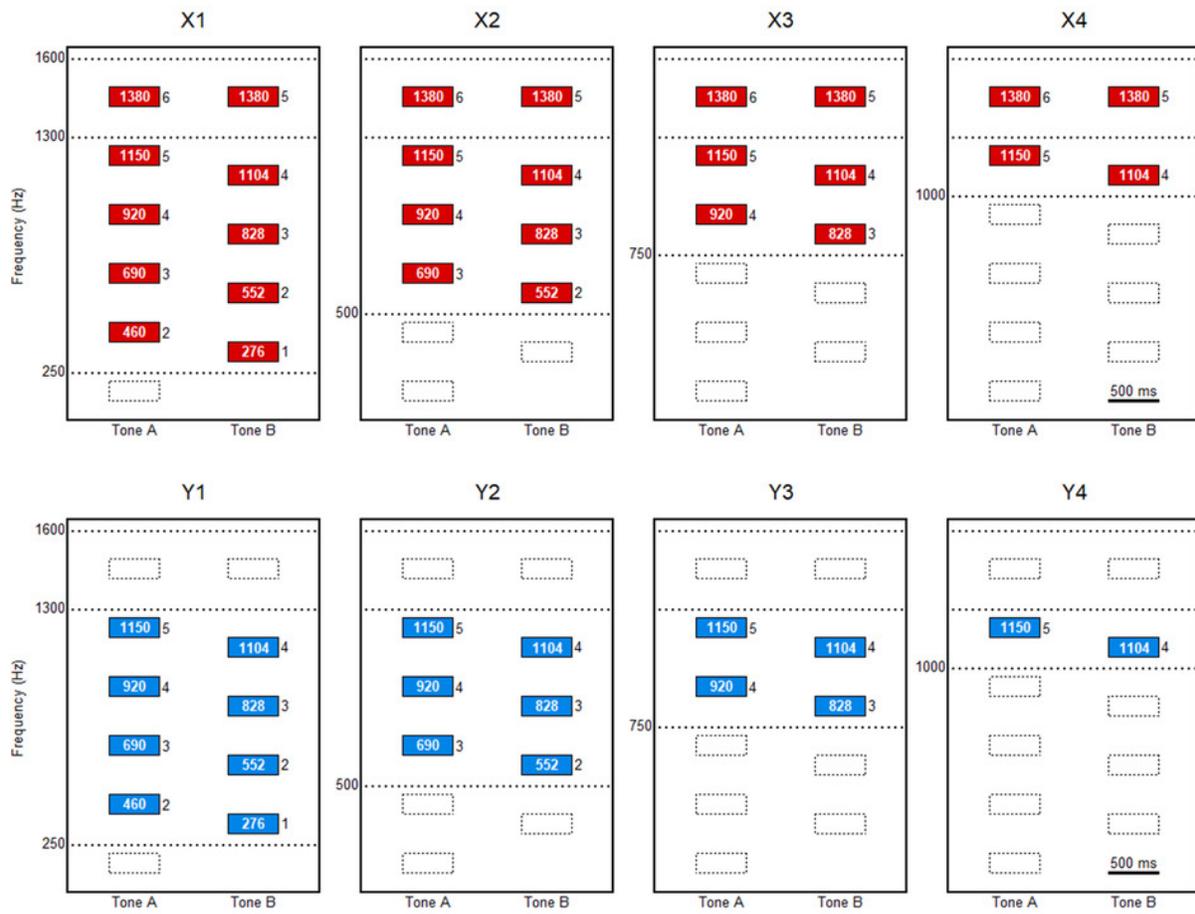
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# Figure 1

Schema of the tone pairs for experiment 1.

A total of eight tone pairs are illustrated. These are the tones from which the higher and lower partials are eliminated for the creation of the harmonic complex tones. The fundamental frequency ( $F_0$ ) of each tone pairs is the same; 230 Hz for tone A and 276 Hz for tone B. The tone pairs, X1, X2, X3, and X4, are the ones from which partials with frequencies greater than 1,600 Hz are eliminated. Partial with frequencies less than 250, 500, 750, or 1,000 Hz are also eliminated from X1, X2, X3, and X4, respectively. The tone pairs, Y1, Y2, Y3, and Y4, are the ones from which components with frequencies more than 1,300 Hz are eliminated. Partial with frequencies less than 250, 500, 750, or 1,000 Hz are also eliminated from Y1, Y2, Y3, and Y4, respectively. The numbers in the columns represent the frequencies of the partials, and the numbers to the right of the columns represent the harmonic ranks of the partials.



## Figure 2

Schema of the connected tones for experiment 2.

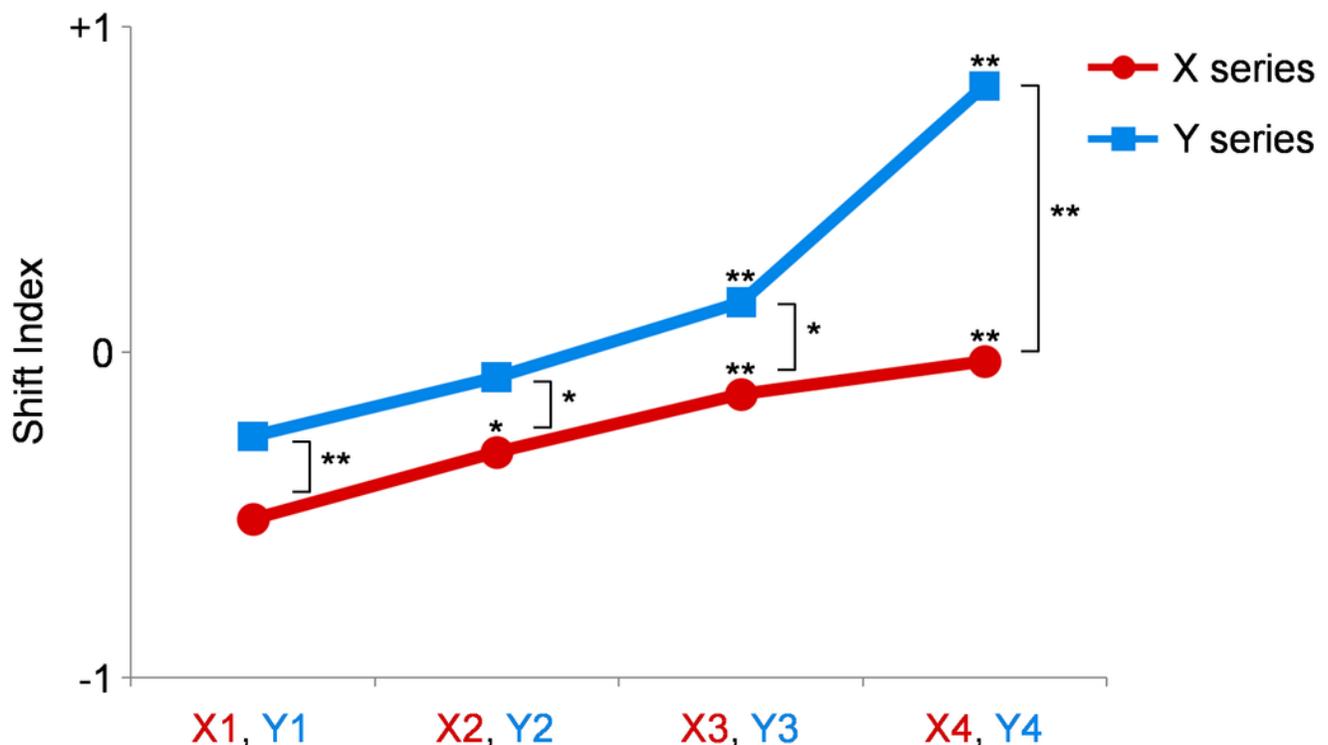
Thirteen tones are connected to make a passage of “Lightly Row.” Similar to experiment 1, the higher and lower partials of the harmonic complex tones are eliminated from the complex tones. Among the eight versions used in the experiment, versions X1, X4, Y1, and Y4 are illustrated.



## Figure 3

Results of experiment 1.

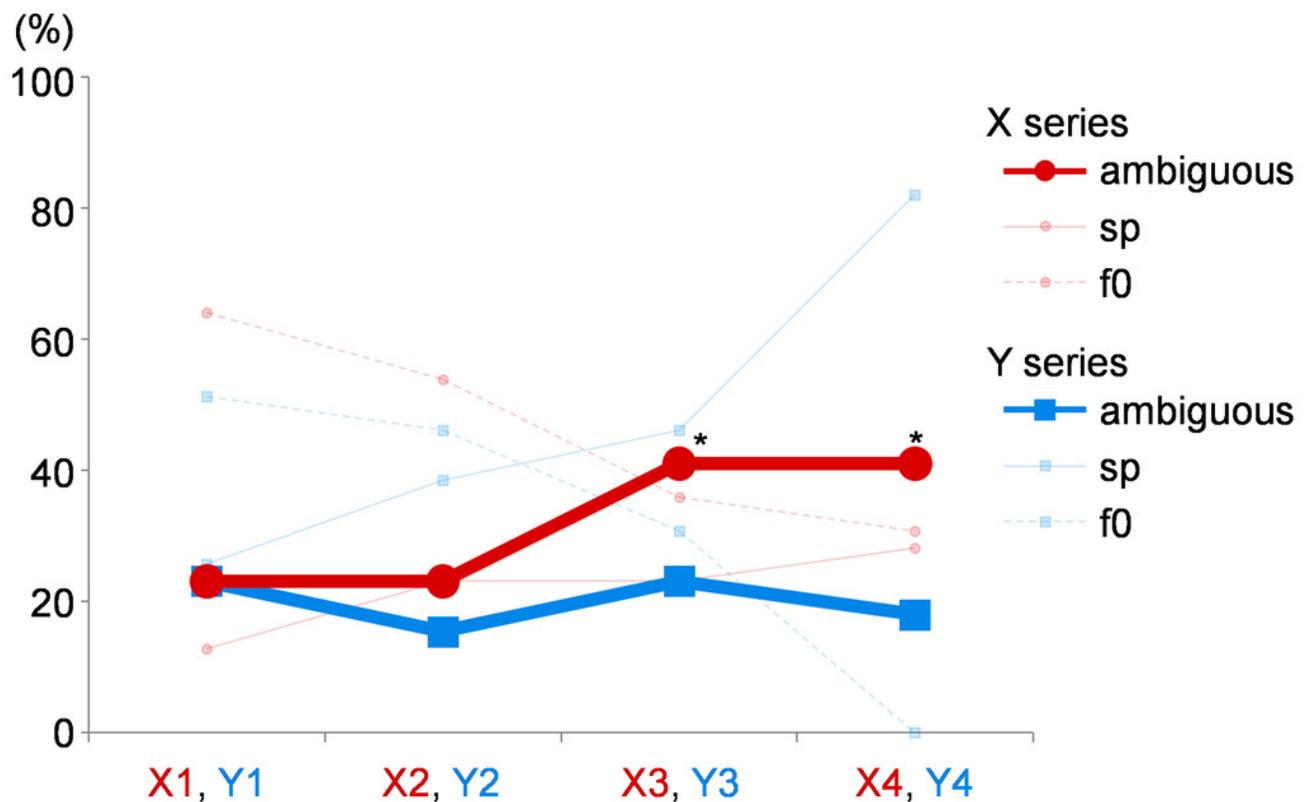
The shift index (SI) values of the X series are shown as red circles, and those of Y series are shown as blue squares. In the X series, the SI values are higher when more partials are eliminated at low frequencies. Compared with those for X1, the SI values are significantly higher for X2, X3, and X4. Similarly, compared with those for Y1, the SI values are significantly higher for Y3 and Y4. The SI values are significantly higher when X1 is compared with Y1, X2 with Y2, X3 with Y3, and X4 with Y4. \*,  $p < 0.05$ ; \*\*,  $p < 0.01$  (Please refer to the text for the exact p-values).



## Figure 4

The ambiguous responses in experiment 1.

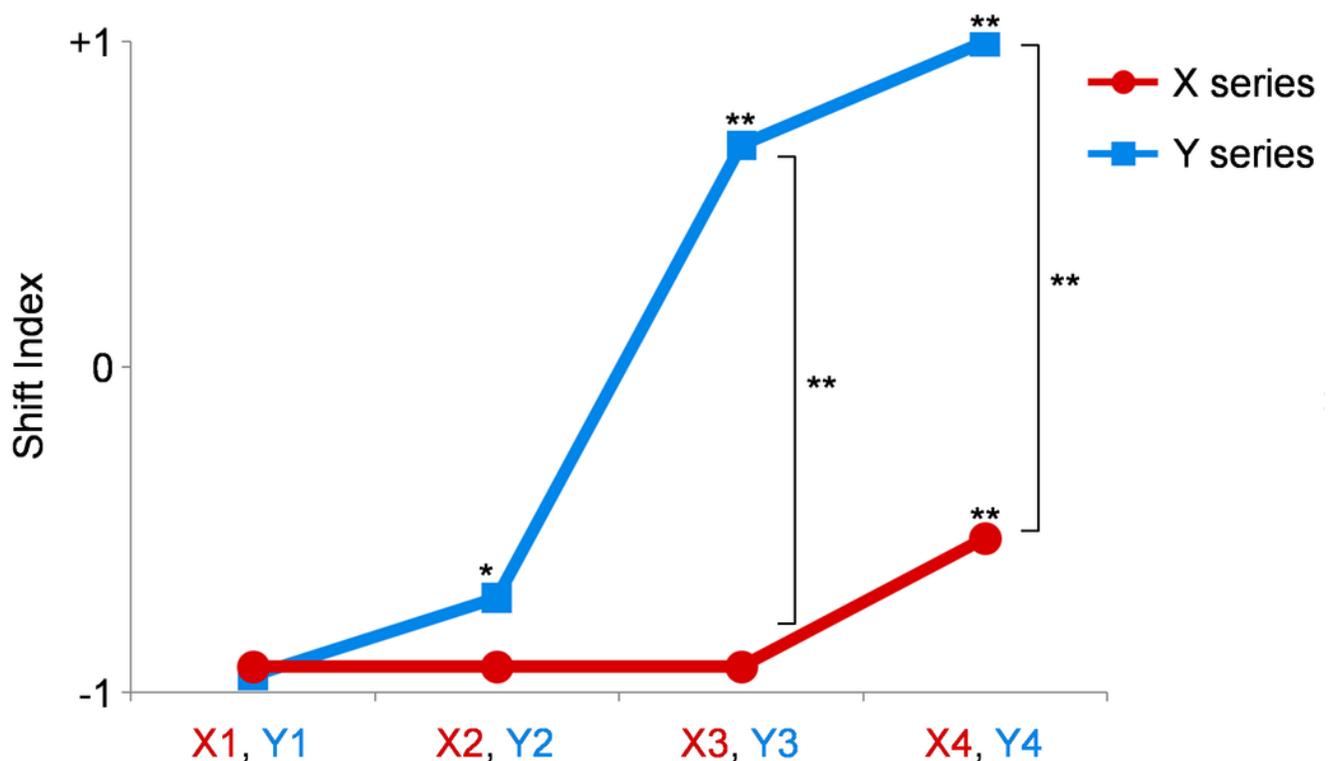
When the responses to the same task, which is repeated twice, differ, it is defined as an ambiguous response. The percentages of these ambiguous responses are shown. The values of X series are shown as red circles, and those of Y series are shown as blue squares. The percentages of unambiguous F0 and spectral responses for each tone pair are shown as transparent lines for reference (f0 and sp). Compared with the results of tone pair Y4, the ambiguous response is significantly higher for the tone pairs X3 and X4. \*,  $p = 0.027$ .



## Figure 5

Results of experiment 2.

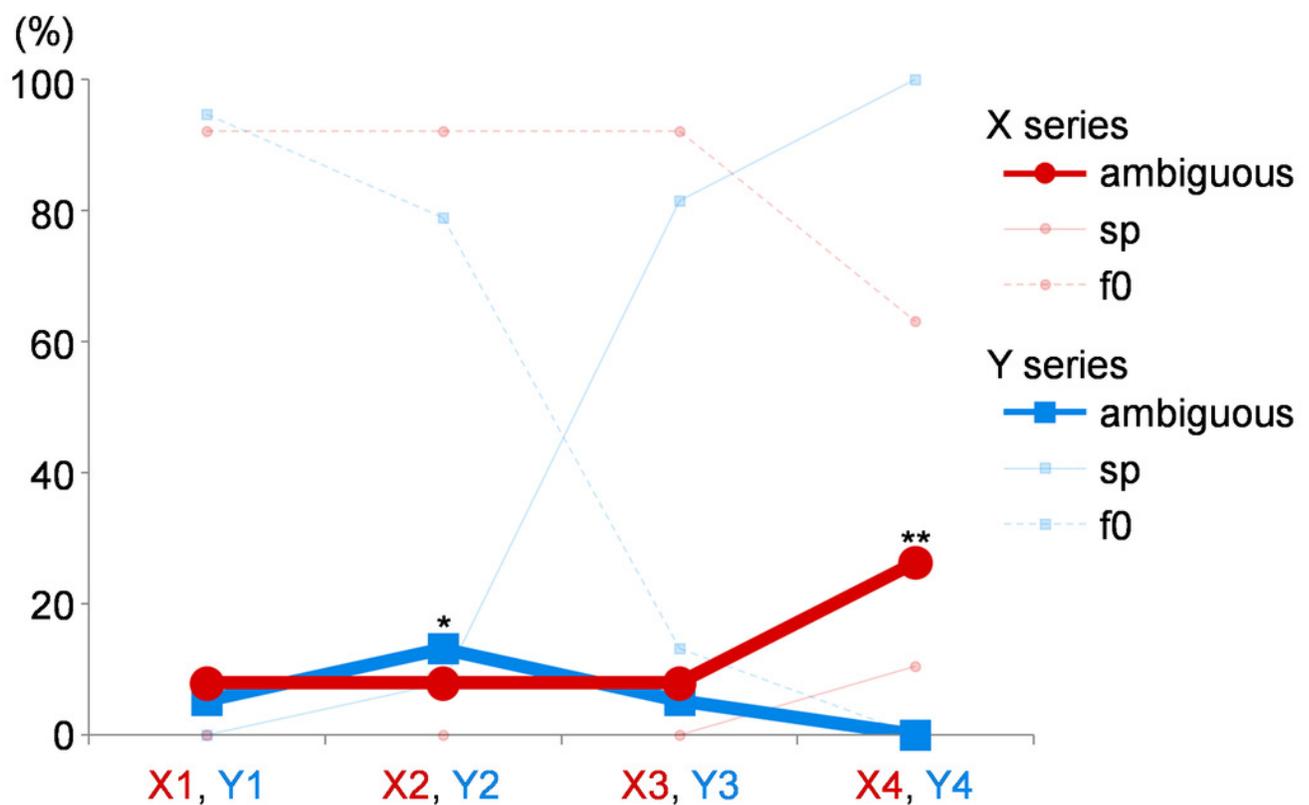
The shift index (SI) values of the X series are shown as red circles, and those of Y series are shown as blue squares. The SI values are significantly higher for X4 compared with those for X1. The SI values are also significantly higher for Y2, Y3, and Y4 compared with those for Y1. The SI values are significantly higher when X3 is compared with Y3 and X4 with Y4. \*,  $p = 0.025$ ; \*\*,  $p < 0.01$  (Please refer to the text for the exact p-values).



## Figure 6

The ambiguous responses in experiment 2.

The percentages of ambiguous responses are shown. The values of the X series are shown as red circles, and those of Y series are shown as blue squares. The percentages of unambiguous F0 and spectral responses for each tone pair are shown as transparent lines for reference (f0 and sp). Compared with the results of version Y4, the ambiguous responses are significantly higher in ratio in the cases of X4 and Y2. \*,  $p = 0.020$ ; \*\*,  $p = 0.002$ .

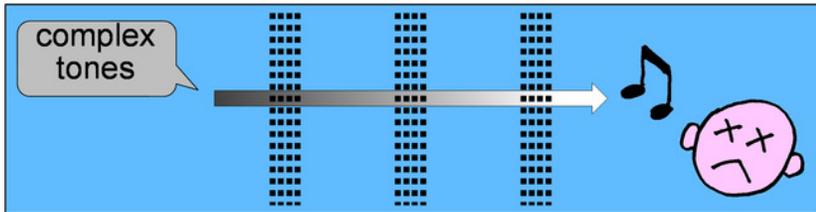
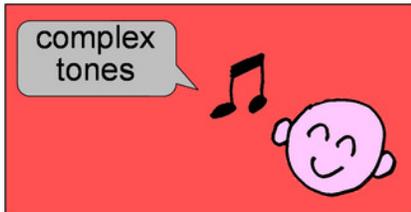


## Figure 7

Possible pitch shift on hearing complex tones.

(A) There may be patients with low-tone hearing loss who do not perceive the tones as off-key when they listen to complex tones from near a sound source as they perceive the missing fundamental (upper illustration). However, when the same patients hear the same tones away from the sound source, through walls or objects which eliminate the high frequency components from complex tones, they may perceive the tones as off-key (lower illustration). (B) In the case of some patients with cochlear disorders of low-tone hearing impairment with pitch shift, pure tones may be perceived as off-key, whereas complex tones may not (lower illustration). This phenomenon may be attributed to the fact that these patients are unable to hear low-tone components that should be shifted in pitch, but are able to hear upper harmonics of the complex tones that are not impaired.

A



B

