Assessment tools and incidence of hospitalassociated disability in older adults: a rapid systematic review (#83733)

First submission

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- Rigorous investigation performed to a high technical & ethical standard.
- Methods described with sufficient detail & information to replicate.

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- Impact and novelty not assessed.

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- All underlying data have been provided; they are robust, statistically sound, & controlled.



Conclusions are well stated, linked to original research question & limited to supporting results.



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- 3. ...
- 4. The least important points

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I commend the authors for their extensive data set, compiled over many years of detailed fieldwork. In addition, the manuscript is clearly written in professional, unambiguous language. If there is a weakness, it is in the statistical analysis (as I have noted above) which should be improved upon before Acceptance.



Assessment tools and incidence of hospital-associated disability in older adults: a rapid systematic review

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Background

During hospitalization older adults have a high risk of developing functional impairments unrelated to the reasons for their admission. This is termed hospital-associated disability. This systematic review aimed to assess the incidence of hospital-associated disability among older patients admitted to acute care, to identify the tools used to assess activities of daily living in these patients, and to evaluate which functional task is most sensitive for detecting changes in disability among older hospitalized patients.

Methods

A rapid systematic review was performed according to the recommendations of the Cochrane Rapid Reviews Methods Group and the PRISMA statement. A literature search was performed in Medline (via Ovid), EMBASE, and Cochrane Central Register of Controlled Trials databases on 26 August 2021. Inclusion criteria: older adults (≥ 65 years), assessment of activities of daily living at baseline and discharge. Exclusion criterion: diseases affecting functional decline. The protocol was registered on OSF registries (https://osf.io/9jez4/) identifier: DOI 10.17605/OSF.IO/9JEZ4.

Results

Eleven studies were included in the final review. Incidence of hospital-associated disability (overall score) was 37% (95% CI 0.31-0.42). Insufficient data prevented meta-analysis of the individual items. The review identified five assessment tools, two sets of tasks, and individual items assessing activities of daily living. The most sensitive measure for detecting changes in disability was the overall score of assessment of activities of daily living.

Conclusions

Incidence of hospital-associated disability in older patients might be overestimated, due to the combination of disease-related disability and hospital-associated disability. The tools used to assess these patients presented some limitations. These results should be interpreted with caution, as a limited number of studies reported adequate information to assess the incidence of hospital-associated disability. Risk of bias in the included studies raised some concerns.

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3 4 5	systematic review
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8 9 0 1 2 3 4 5 6 7 8 9 9 0 1 2 2 3 4 5 6 7 8 9 9 0 1 3 3 3 4 5 6 7 8 8 9 8 0 1 3 2 3 3 4 5 6 7 8 8	School of Health Sciences, HES-SO Valais-Wallis, Leukerbad, Valais-Wallis, 3954 Switzerland. Research (RERE) Research Group, Department of Physiotherapy, Human Physiology and Anatomy, Faculty of Physical Education and Physiotherapy, Vrije Universitei Brussels, Belgium. Division of Physiotherapy, Department of Health Professions, University of Applied Science Bern, Bern, Switzerland Faculty of Physical Education and Physiotherapy, Vrije Universiteit Brussel, Belgium. Corresponding Author: Katia Giacomino Rathausstrasse 25, 3954 Leukerbad, Switzerland Email address: katia.giacomino@hevs.ch



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60	individual items. The review identified five assessment tools, two sets of tasks, and individual
61	items assessing activities of daily living. The most sensitive measure for detecting changes in
62 63	disability was the overall score of assessment of activities of daily living. Conclusions
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65	combination of disease-related disability and hospital-associated disability. The tools used to
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68	associated disability. Risk of bias in the included studies raised some concerns.
69	Keywords
70	Functional decline, hospitalization; activities of daily living; older patients
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Introduction

81 Functional decline in older adults during hospitalization increases the risk of a longer hospital 82 stay (Palmer et al. 1994), a nursing home placement (Fortinsky et al. 1999), and increased mortality (Brown et al. 2004). The main goal in older adult care is therefore to maintain function 83 84 (Izquierdo et al. 2021) and the ability to perform activities of daily living (ADL) (Covinsky et al. 1998; Palmer et al. 1994). During hospitalization older adults are at risk of developing functional 85 decline unrelated to the condition for which they were admitted (Creditor 1993). The loss of 86 87 independence in at least one activity of daily living is referred to as hospital-associated disability 88 (HAD) (Covinsky et al. 2011). Furthermore, HAD refers to disability acquired during 89

hospitalization or the worsening of a pre-existing disability due to hospitalization (Covinsky et al. 2011).

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Previous studies have highlighted methodological issues in assessing functional decline (Buurman et al. 2011; Loyd et al. 2020). However, there is currently no consensus on which tool should be used to assess functional decline in these patients, which ADL tasks should be included, how the assessment should be performed (self-reported or performance-based), and what time-frame should be considered (Buurman et al. 2011). Covinsky and others (Covinsky et al. 2011) recommend asking patients on admission about their ADL functioning before the onset of acute illness.

98 A previous study highlighted the magnitude of the HAD problem, and reported that the overall 99 100 prevalence of HAD among older adults admitted to an acute care hospital is 30% (Loyd et al. 2020). To the best of our knowledge, the incidence of HAD has not been studied in a systematic 102 review. The aim of this study was to perform a rapid systematic review to: (i) assess the 103 incidence of HAD, (ii) to identify all tools or functional tasks used to assess ADL in hospitalized 104 older patients, and (iii) to evaluate which ADL functional task or set of tasks is the most sensitive to detect changes in disability.

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Materials & Methods

Registration

The protocol of this rapid systematic review registered at OSF registries with the following identifier: DOI 10.17605/OSF.IO/9JEZ4 (https://osf.io/9jez4/).

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Study design

A rapid systematic review was performed according to the recommendations of the Cochrane 113 Rapid Reviews Methods Group (Garritty et al. 2021). Reporting was conducted in accordance 114 with the PRISMA statement (Page et al. 2021). 115

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Search strategy and selection criteria

- A literature search was performed in Medline (via Ovid), EMBASE, and Cochrane Central 118
- Register of Controlled Trials (CENTRAL) databases on 26th August 2021. All authors were 119
- 120 involved in the literature search. The search strategy comprised five search terms related to: (i)
- 121 study setting (i.e. hospital), (ii) observed disability in ADL, (iii) incidence and prevalence,
- 122 sensitivity to change and responsiveness, (iv) population identification (i.e. older adults), and (v)
- articles that cover the aspect of disability acquired in hospitals. The search terms, combined with 123
- the Boolean operator "AND", were applied to titles and abstracts, and MeSH terms were added 124



- when available and relevant. The full search strategy is shown in the additional material [see
- Additional file 1 Table 1]. The review included prospective and retrospective cohort studies. The
- 127 control group of randomized controlled trials (RCTs) was eligible when performing a usual or a
- sham intervention. Inclusion criteria were: studies investigating a general older population (≥ 65
- 129 years) who were admitted to hospital for an acute disorder; studies had to assess the individual
- 130 items of the ADL measurement tool before hospitalization (retrospectively or prospectively) and
- at the end of hospitalization or after hospital discharge. Exclusion criteria were: studies
- investigating a specific condition that could have an effect on functional decline (e.g. stroke,
- brain injury, heart failure, COVID-19, and acute respiratory failure); and studies that primarily
- examined a population with cognitive impairment.
- One reviewer (GK) independently screened all the records based on titles and abstracts (phase 1)
- and the full-text of the eligible studies (phase 2). A second reviewer (SKM) screened 20% of the
- same records. If Cohen's kappa coefficient of agreement was >0.80 for both phases, only 20% of
- the studies were planned to be screened independently by two reviewers. Disagreements were
- 139 resolved through discussion.

Data extraction

- 142 Study characteristics (authors, country, study sample size, population age, type of ward,
- proportion of women, proportion living alone, proportion living in a nursing home, Acute
- 144 Physiology and Chronic Health Evaluation (APACHE II) score, Charlson Comorbidity Index,
- number of days of hospitalization, any scale of mental status) were extracted by one reviewer
- 146 (GK), while incidence data were extracted by three reviewers (HR, SKM, GK) at the same time.
- 147 Disagreements were resolved through discussion between all three reviewers. The following
- information was extracted: item type, response options, criteria for the response options,
- baseline, and discharge assessment (i.e. who performed it and how), baseline and discharge
- prevalence of ADL dependency, operationalization, and definition of HAD and incidence per
- 151 item and for the overall score.

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Methodological quality assessment

- Methodological quality assessment was performed using the critical appraisal checklist for Studies Reporting Prevalence Data (Munn et al.) from the Joanna Briggs Institute (JBI). The JBI
- Studies Reporting Prevalence Data (Munn et al.) from the Joanna Briggs Institute (JBI). The JBI checklist was completed independently by two reviewers (SKM, GK). Differences in rating were
- discussed and resolved. A further five questions were selected from the JBI checklist that were
- 158 considered to assess risk of bias (internal validity, i.e. questions 2, 5, 6, 7 and 9). Risk of bias
- was assessed as low if all five questions were rated 'yes', some concern if one of the questions
- was rated 'unclear' and high if one of the questions was rated 'no'.

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Incidence of hospital-associated disability

- 163 The incidence of HAD (total score) was pooled using the statistical software R (Team 2013) and
- its package meta (Schwarzer 2007). A random effects model was applied based on an inverse
- variance model with a logit transformation.
- Heterogeneity was assessed by the I², which is the proportion of total variability due to between-
- study heterogeneity (Deeks et al. updated February 2022), to estimate inter-study variability. Tau
- was estimated with the DerSimonian-Laird estimator (Schwarzer 2007). Miglivaca et al.
- recommended avoiding the use of an arbitrary cut-off for the I2 statistics, as it may not be



- discriminative in incidence studies (Migliavaca et al. 2022). Therefore, heterogeneity was
- explained by discussing the level of dependency at baseline and the method of assessment.
- 172 The data required to calculate the incidence of HAD are the number of patients who are
- dependent and independent at baseline and the evolution of these groups at discharge. If these
- values were given, the following formula was used to calculate the incidence of the individual
- 175 ADL task or set of tasks (total score):

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$$HAD \ incidence = \frac{a}{b}$$

- where, a = number of newly dependent patients (requiring the help of someone else), and b =
- 178 number of patients at risk of developing or increasing dependency.
- 179 For example, in the study by Sager et al. (Sager et al. 1996), 51 patients were newly dependent at
- discharge according to the overall score and 188 patients had the potential to decline in one of
- the ADL tasks.

182 HAD incidence
$$=\frac{51}{188} = 0.27$$

If these values were not reported, an unbiased estimate of the incidence of HAD in that study could not be calculated. In this case, an estimate of the incidence was made by subtracting the percentage of dependency at discharge minus the percentage of dependency at baseline.

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Tools used for assessment of activities of daily living in hospitalized older patients

All ADL tools or sets of tasks used in the assessment of hospitalized older patients were reported narratively.

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- Evaluation of which measure is most sensitive to detect changes in disability
- 193 The most sensitive task or set of tasks to detect changes in disability were ranked as follows: (i)
- per study all ADL tasks were ranked from the highest proportion of disability (= 1) to the lowest
- 195 (=7); (ii) the mean of the ranking was calculated per item or set of scores.
- 196 If data were available only in a chart they were extracted using the software WebPlotDigitizer
- 197 (A. 2021). Due to time constraints, the authors of the included studies were not contacted in case of imprecision in the text.

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Differences from protocol

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It was decided not to integrate the grading system of the GRADE Working Group as predefined the evaluation of the body of evidence due to the lack of a guideline for incidence studies.

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Results

- 205 A total of 2,519 records were identified (Medline (via Ovid) 740 records, EMBASE 1,557,
- 206 CENTRAL 222). After removing 743 duplicates, titles and abstracts of 1,776 articles were
- screened and 1,431 were excluded. The full text of 345 studies was screened, and a final total of
- 208 11 studies were included for further analysis (Covinsky et al. 2000; Covinsky et al. 2003;
- Dharmarajan et al. 2020; Hansen et al. 1999; Hirsch et al. 1990; Inouve et al. 1993; Martinez-
- 210 Velilla et al. 2021; Mudge et al. 2010; Park et al. 2021; Sager et al. 1996; Zelada et al. 2009).
- 211 The reasons for exclusion are shown in the study flow diagram (see Figure 1).



- 212 Cohen's kappa coefficient was > 0.8 in both screening phases (i.e. titles/abstracts and full texts);
- 213 therefore only 20% of studies were screened by two reviewers independently, as described

214 above.

215 Figure 1. Flow diagram

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Study characteristics

The population characteristics of the included studies are shown in Table 1. Seven studies were performed in the USA, one in Europe, and three in other countries. Sample size ranged from 36 to 2,877 participants and the age of study participants ranged from 76 to 87 years. The proportion of women in the study samples under investigation ranged from 31% to 66%. Included studies were conducted in the medicine ward (five studies), general hospital (five studies), and one in the geriatric unit and usual unit which refers to a conventional care unit.

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Table 1. Study characteristics

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Outcome results

The pooled incidence of HAD for the overall score included two studies reporting the Katz Index of ADLs 1963 (Covinsky et al. 2003; Inouye et al. 1993) and two others used the Katz Index of ADLs 1970 (Mudge et al. 2010; Sager et al. 1996) for a total of 4,020 patients. Figure 2 presents the pooled incidence of HAD (total score) of 37% (95% CI 0.31–0.42). Heterogeneity was substantial at 90%. The risk of bias of the four studies raised some concerns. The calculated and estimated incidences of HAD are categorized per ADL task and set of tasks [see Additional file 1 Table 2]. Adequate data for the incidence calculation at the item level was reported only by two studies (Covinsky et al. 2000; Hansen et al. 1999). The incidence of HAD for the individual items was in the range 32–93% for bathing, 27–66% for dressing, 8–70% for toileting, 11–61% for eating, 7–59% for transferring, and 10% for walking. For the other studies, it was not possible to calculate the incidence of HAD (either total or single-item level) due to insufficient data. One RCT could not be integrated into Table 2 of the additional file 1 because the authors reported only the mean change in ADL score, and the results of this study were described narratively (Martinez-Velilla et al. 2021). The control group worsened in all items of ADL, negative values indicating a decline in the Barthel mean change score. The three items with the highest mean change score from baseline to discharge were: transferring (-2.06 points; 95% CI – 1.44 to -2.71), climbing stairs (-1.36 points; 95% CI -0.84 to -1.91), and toileting (-1.23 points; 95% CI –0.76 to –1.69). The score for these individual tasks ranged from 0 points (dependent) to independent with a maximum of 15 points for transferring, 10 points for climbing stairs and 10 points for using the toilet.

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The risk of bias of all studies presented some concerns.

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Figure 2. Forest plot of the pooled results of overall incidence of hospital-associated disability (HAD).

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Eleven studies were included in the identification of the tools or functional tasks used to assess
ADL in hospitalized older patients and in the ranking of the most sensitive task to detect changes
in disability (Covinsky et al. 2000; Covinsky et al. 2003; Dharmarajan et al. 2020; Hansen et al.
1999; Hirsch et al. 1990; Inouye et al. 1993; Martinez-Velilla et al. 2021; Mudge et al. 2010;

256 Park et al. 2021; Sager et al. 1996; Zelada et al. 2009). The review identified five assessment



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258 tools, two sets of tasks, and individual items assessing ADL: Katz Index of ADLs 1963 (Katz et 259 al. 1963), Katz Index of ADLs 1970 (Katz et al. 1970), Barthel Index (Mahoney & Barthel 1965), 8 items from the nine-item Care Needs Assessment tool (Hirsch et al. 1990), 7 ADL items 260 261 form the Frailty Index (Rockwood & Mitnitski 2011) and 2 activities from the Nagi and Rosow-Breslau scales (Nagi 1976; Rosow & Breslau 1966) integrated in the Frailty Index (Rockwood & 262 Mitnitski 2011), and individual items proposed by Gill (Gill 2014) (bathing, dressing, 263 264 transferring and walking across the room). Walking was assessed in two studies (Hansen et al. 265 1999; Mudge et al. 2010), while one other study (Inouye et al. 1993) assessed the task of grooming by referring to the Katz Index of ADLs. However, the item walking and grooming 266 267 were not in the original version of the Katz Index, and were considered separately. 268 Table 2 shows the number of studies that reported the tasks (items) and the ranking of the most

Table 2. Ranking of the most sensitive tasks or sets of tasks for detecting change in disability

Methodological quality

sensitive tasks for detecting changes in disability.

Figure 3 presents a summary plot of the assessment of methodological quality using the JBI checklist. All of the included studies and study sub-groups reported an adequate sample frame and recruitment procedure, and appropriately detailed the study subjects and setting. Approximately 37% of the included studies reported an adequate study sample size, and for approximately 63% it was inadequate. All of the sub-groups described the study subjects and setting well. Adequate analysis with sufficient coverage of the identified sample was conducted in approximately 25% of studies and was unclear in 75% of studies. All study sub-groups were unclear regarding the methods used for identification of the condition. The participant's condition was measured in a standard and reliable way in approximately 37% of the sub-groups and was unclear in 63%. The statistical analysis was adequate in approximately 19% and inadequate in 81% of the included studies. The response rate of the subgroups was good in 13%, unclear in 6%, and inadequate in 81% of the studies under evaluation. The rating of the individual items for each study is available in the additional material [see Additional file 1] Figure 1].

Figure 3. Joanna Briggs Institute (JBI) checklist summary plot.

Discussion

The aims of this systematic review were to evaluate the incidence of HAD, to identify the tools or sets of tasks used in a hospital setting to assess ADL in older people, and to determine which functional task is most sensitive for detecting changes in disability.

The pooled incidence of HAD (total score) was 37%. The difference in incidence according to 296 297 the two versions of the Katz Index of ADLs was 8%. This difference could be explained by the fact that patients in the studies by Sager (Sager et al. 1996) and Mudge (Mudge et al. 2010) were 298 299 more dependent at baseline compared with the volunteers included in the studies by Inouve 300 (Inouye et al. 1993) and Covinsky (Covinsky et al. 2003). The difference could not be explained

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by comorbidities (e.g. with the APACHE II score or the Charlson Comorbidity Index) as the

authors of the studies by Sager and Mudge did not report these values. 302



- Loyd et al. (Loyd et al. 2020) found a prevalence of HAD of 30% in older adults hospitalized for 303 304 acute care. The current review focused on the number of new cases of HAD, i.e. the incidence of HAD. This latter measure considers the number of new cases of decline over the population at 305 306 risk of developing a new decline. To our knowledge, no other review has investigated the overall incidence of HAD and the incidence at the item level in a population of older patients over 65 307 years hospitalized for acute care. In general, the incidence of HAD at the item level was higher 308 when disability was reported by the surrogates than when it was reported by patients. Similar 309 310 results were found in previous research (Rubenstein et al. 1984).
- Regarding the tools or sets of tasks used in a hospital setting to assess ADL in older people, this review identified that the Katz Index of ADLs was the most reported tool in the included studies.
- 313 The Barthel Index and the Katz Index of ADLs are the oldest tools used for assessing ADL
- 314 (Hartigan 2007). Seven studies used the Katz Index of ADLs, and none of them assessed the
- 315 continence item that was include in the original version (Covinsky et al. 2000; Covinsky et al.
- 316 2003; Hansen et al. 1999; Inouye et al. 1993; Mudge et al. 2010; Sager et al. 1996). In addition,
- 317 the Katz Index of ADLs was modified by adding another item of walking in three studies
- 318 (Hansen et al. 1999; Mudge et al. 2010; Sager et al. 1996) and adding the item grooming in one studies (Inouve et al. 1993).
- 320 This review found that the most sensitive task or set of tasks for detecting changes in disability
- was use of the total score, which is a composite score of several tasks. However, this composite
- 322 score was based on a different set of tasks in individual studies. For example, continence was
- only included in the total score in Zelada et al.'s study (Zelada et al. 2009). The four next most
- 324 sensitive tasks for detecting changes in disability were mobility, bathing, dressing, and toileting.
- 325 It should be noted that items related to mobility and transfer from a chair appeared relatively
- 326 high in the rankings and were assessed based on only one study. It was observed that the tasks
- 327 that are generally considered difficult were not ranked as the most sensitive to change. For
- example, the item walking 1 km may be considered by many older patients as difficult, but this
- item did not seem very sensitive to change. This observation might be explained by the fact that many subjects were already dependent on help to perform this task and did not have the potential
- 331 to decline further, hence resulting in a low incidence. The walking item was generally poorly
- 332 described and was therefore categorized separately from the mobility item due to a lack of
- information about the similarity of these two items and their judging criteria. The item walking 1
- 334 km was considered more difficult than the walking task, which usually refers to a smaller
- distance; hence these functional tasks were categorized separately. This has the disadvantage of
- resulting in fewer studies per functional task.
- 337 To determine which was the most sensitive task or set of tasks for detecting changes in disability,
- 338 a meta-analysis of incidences would have been the preferred method. However, due to
- heterogeneity between studies and missing data it was not possible to pool the data. Therefore,
- 340 the mean of the ranking was analysed, and this analysis should be interpreted with caution.
- 341 Futures studies may change the ranking.
- 342 An overestimation of HAD might be present for two main reasons. First, differences in the
- 343 execution of the ADL assessment might have resulted in overestimation of the HAD incidence.
- In two studies (Inouye et al. 1993; Mudge et al. 2010), the baseline value for ADL ability was
- asked retrospectively to patients at admission, while ADL ability at discharge was assessed by an
- 346 experienced healthcare professional. Older patients tend to overestimate their ADL ability
- 347 (Kempen et al. 1996; Sager et al. 1992), their ability to step over an obstacle (Sakurai et al. 2013)
- 348 and their motor performance (Kawasaki & Tozawa 2020). Kawasaki and Tozawa hypothesized



- 349 that the patients' overestimation of their physical or functional capacities might be explained by
- 350 the absence of recognition of their decline in motor performance (Kawasaki & Tozawa 2020).
- 351 Observer-based assessments of ADL tasks by healthcare professionals were more accurate than
- patients' self-reported ADL values (Applegate et al. 1990; Elam et al. 1991).
- 353 Furthermore, this review included studies investigating pathologies that should not have a long-
- lasting effect on functional disability. However, it seems that there might be a combination of
- 355 HAD and disease-related disability. Covinsky et al.'s study (Covinsky et al. 2003) was the only
- one to present the disability trajectories of each patient group and their development over time.
- 357 These authors reported the percentage of patients whose ADL values declined between baseline
- 358 (two weeks before hospitalization) and hospital admission (which is related to the condition) and
- 359 those who had not recovered by discharge.
- 360 We believe that this combination of HAD and disease-related disability may also have
- 361 contributed to an overestimation of HAD. In general, the studies did not separately report the
- 362 numbers of persons with a disease-related disability and those with HAD, but only reported a
- 363 combined total. Hence, it is unclear whether the failure to recover is due to hospitalization.
- Moreover, the current ADL assessment tools present measurement properties limitations. For
- example, the Barthel Index showed a floor (Dromerick et al. 2003) and ceiling effect (de Morton
- et al. 2008; Dromerick et al. 2003; Nielsen et al. 2016). Two of the studies included in the
- 367 current review reported a ceiling effect of the Katz Index of ADLs (Mudge et al. 2010; Sager et
- 368 al. 1996).

- A strength of the current study is that, to the best of our knowledge, this is the first review to
- 370 investigate the incidence of HAD in a population of older patients over 65 years of age,
- 371 hospitalized for acute care. To our knowledge, previous systematic reviews did not assess the
- incidence of HAD at the item level.
- 373 The study has a number of limitations. The screening process was performed independently by
- 374 two reviewers for only 20% of the records. However, we believe that this has only limited
- 375 negative influence, as the agreement between the reviewers was high (above the predefined
- 376 kappa coefficient of agreement of 0.8) and this procedure is accepted and suggested in rapid
- 377 systematic review (Garritty et al. 2021). Another limitation is that we could not conduct a meta-
- analysis for the individual tasks due to insufficient data. With our reported methods, the certainty
- in the presented estimated incidence of HAD at the item level is very low. The estimated
- 380 incidence, calculated as the difference between the discharge and baseline prevalence, should be
- interpreted with caution, as this does not consider the change over time of independent and
- dependent patients from baseline to discharge. The prevalence of disability at discharge does not
- distinguish between: (i) those who remain dependent between baseline and discharge, (ii) the
- newly dependent, and (iii) those who became independent at discharge. Therefore, these
- 385 estimated incidences cannot be considered true incidence. In addition, small sample size bias
- 386 cannot be totally excluded in the current review.

Implications and further research

- 389 Further studies should investigate the reasons for the overestimation of HAD. As reported above,
- 390 there is a need to develop a more sensitive tool that reflects the true functional status of older
- 391 patients (over 65 years) before hospitalization for acute care. The systematic integration of
- 392 proxies in the evaluation of functional status before hospitalization, in addition to the patient
- 393 self-reported assessment, needs to be deepened. Regarding the implication for healthcare
- 394 professionals, activities such as mobility, bathing, and dressing seem to be the most sensitive to



detect changes in disability. The development of targeted training interventions for these ADL 395 396 activities should be considered in future studies. HAD is a relevant problem, and a systematic appraisal of existing intervention studies addressing 397 398 this problem is missing. Future research should consider interview methods to help patients better remember their abilities at home in order to reflect their true ability in ADL function. 399 400 **Conclusions** 401 402 Functional decline in older patients over 65 years of age, due to hospitalization for acute care, is an important problem, with an incidence of 37% based on the overall score of ADL assessment. 403 404 This incidence might be overestimated, due to a combination of disease-related disability and HAD, while measurement tools may also present some limitations. Furthermore, it is not 405 possible to draw a definitive conclusion on the incidence of HAD at the item level, as there is 406 insufficient data reported to enable the results of individual tasks to be pooled. This systematic 407 408 review found that the most relevant ADL tasks for detecting disability are mobility, bathing, and dressing, although this result should be interpreted with caution. Further studies should 409 410 investigate the overestimation of HAD and how to overcome this limitation. 411 412 Acknowledgements Not applicable. 413 414 **Declaration** 415 416 **Authors' information:** This rapid systematic review protocol has not been published in a peer-reviewed journal. 417 However, it is registered at OSF registries (https://osf.io/9jez4/) identifier: DOI 418 10.17605/OSF.IO/9JEZ4. 419 420 421 Ethics approval and consent to participate: Not applicable. 422 423 424 Availability of data and materials: All data generated or analyzed during this study are included in this published article [and the 425 426 Additional file 1]. 427 428 **Authors' contributions:** 429 CRediT roles: GK, HR, BD, TJ, SKM: Conceptualization, Methodology and Validation. GK: 430 Investigation. GK, SKM: Resources. GK, HR, BD, TJ, SKM: Formal analysis, Data Curation. GK: Writing - Original Draft, Writing - Review & Editing. GK, HR, SKM: Visualization. HR, 431

BD, TJ, SKM: Supervision and Writing – Review & Editing. All authors revised and approved

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the final version of the manuscript.

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Figure 1

Flow diagram



PRISMA 2020 flow diagram for new systematic reviews which included searches of databases and registers only

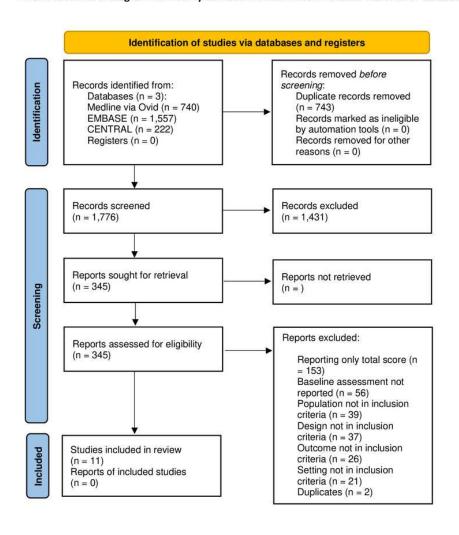


Figure 2

Forest plot of the overall incidence of hospital-associated disability



Study or				
Subgroup	Events	Total	Weight	IV, Random, 95% CI
Tool = Katz AD	L Scale	1963		
Inouye 1993	51	188	18.8%	
Covinsky 2003	799	2293	28.4%	0.35 [0.33; 0.37]
Total (95% CI)		2481	47.2%	0.32 [0.25; 0.40]
Heterogeneity: Ta	$u^2 = 0.0$	512; CI	$ni^2 = 4.55$, df = 1 (P = 0.03); $I^2 = 78\%$
Tool = Katz AD	_ Scale	1970		
Sager 1996	404	934	27.0%	0.43 [0.40; 0.47]
Mudge 2010	240	605	25.8%	0.40 [0.36; 0.44]
Total (95% CI)		1539	52.8%	0.42 [0.38; 0.45]
Heterogeneity: Ta	$u^2 = 0.0$	053; CI	$ni^2 = 1.94$	$df = 1 (P = 0.16); I^2 = 48\%$
Total (95% CI)		4020	100.0%	0.37 [0.31; 0.42]
Prediction inter				[0.17; 0.63]
Heterogeneity: Ta	$u^2 = 0.0$	475; CI	ni ² = 29.6	1, df = 3 (P < 0.01); I^2 = 90%
Test for subgroup	differen	ces: Ch	$ni^2 = 5.13$	df = 1 (P = 0.02)

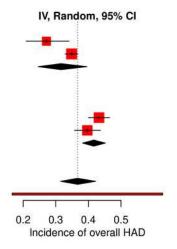




Figure 3

Joanna Briggs Institute (JBI) checklist summary plot

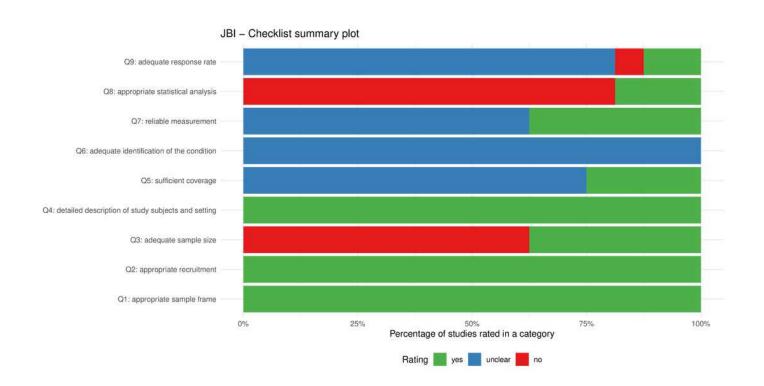




Table 1(on next page)

Study characteristics

a, modified version; b, percentage of persons with a MMSE score <24; c, MMSE < 19 points; CNA, nine-item care needs assessment; d, median; GU, geriatric unit; MMF, mild-to-moderate frailty; MMS, Mini-Mental State Examination; NR, not reported; PF, pre-frail; RB, robust; SF, severe frailty; SPMSQ, Short Portable Mental Status Questionnaire; UU, usual unit.

Table 1. Study characteristics

Author	Country	Sampl e size, n	Age, years, mean (SD) or (range)	Type of ward	Proportion of women, %	% Living alone	% Living in nursing home	APACHE II score mean (SD) or % of patients per category	Charlson Comorbid ity Index mean (SD) or % of patients per category	Mean number of days of hospitaliz ation (SD) or (range)	Mental status mean (SD), tool) or % of patients with cognitive impairment
Covinsky 2000 (Covins ky et al. 2000)	USA	2877	80.5	Medicine	64%	52%	5.1%	NR	NR	NR	1.4 (1.3), SP MSQ
Covinsky 2003 (Covins ky et al. 2003)	USA	2293	79.5	Medicine	63.6%	35.2%	4.9%	0–2: 32.3%, 3–5 37.1%, >6: 30.8%	0: 19.8%, 1–2: 47.0%, 3–4: 22.1%, >5: 11.1%	6.3	NR
Dharmarajan 2020 (Dharma rajan et al. 2020)	USA	515	82.7 (5.6)	Hospital	65.6%	46.6%	0%	NR	NR	NR	17.9% b, MMSE
Hansen 1999 (Hansen et al. 1999)	USA	73	80.4 (7)	Hospital	66%	45%	0%	NR	NR	7.5 (5.0)	2.1% b, MMSE
Hirsch 1990 (Hirsch et al. 1990)	USA	71	84 (75–95)	Medicine	59%	7.0%	5.6%	NR	NR	10.1 (2-49)	30.8% c, last item of CNA
Inouye 1993 (Inouye et al. 1993)	USA	188	78.4 (5.8)	Medicine	59%	45%	4%	13.9 (3.6)	8.5 (2.8) ^a	7 ^d (2 - 51)	23.5 (5.4), MMSE
Martinez- Velilla 2021 (Martine z-Velilla et al. 2021)	Spain	149	87.1 (5.2)	Hospital	59%	NR	NR	NR	NR	8 d	NR
Mudge 2010 (Mudge et al. 2010)	Austral ia	615	80.4 (7.5)	Medicine	59%	NR	11%	NR	NR	7 (5–13)	10%, history of dementia
Park	Republ	RB: 45	RB: 77 (73–	Hospital	RB: 31.1%	NR	RB: 0%	NR	NR	NR	RB: 82.2%

2021 (Park et al. 2021)	ic of Korea	PF: 36 MMF: 37 SF: 58	82) PF: 80 (74– 84) MMF: 81 (77– 86) SF: 81 (75– 84)		PF: 38.9% MMF: 46.0 % SF: 39.7%		PF: 2.8% MMF: 8.1% SF: 53.5%				PF: 58.3% MMF: 70.3 % SF: 82.8%
Sager 1996 (Sager et al. 1996)	USA	1279	79 (6.3)	Hospital	62%	37%	0%	NR	NR	8.6 (6.8)	17 (4.0), MMSE
Zelada 2009 (Zelada et al. 2009)	Peru	GU: 68 UU: 75	GU: 79.6 (6.8) UU: 76.1 (7.2)	Geriatric, u sual unit	GU: 61.8% UU: 56%	NR	NR	GU: 9 (2.87) UU: 8.4 (3.11)	GU: 3.6 (1.98) UU: 3.1 (1.6)	GU: 7.5 (4.3) UU: 9.92 (7.74)	GU: 22.4 (6.35) UU: 2.7 (1.91), MMSE

a, modified version; b, percentage of persons with a MMSE score <24; c, MMSE < 19 points; CNA, nine-item care needs assessment; d, median; GU, geriatric unit; MMF, mild-to-moderate frailty; MMS, Mini-Mental State Examination; NR, not reported; PF, pre-frail; RB, robust; SF, severe frailty; SPMSQ, Short

Portable Mental Status Questionnaire; UU, usual unit.



Table 2(on next page)

Ranking of the most sensitive tasks or sets of tasks for detecting change in disability



Table 2. Ranking of the most sensitive tasks or sets of tasks for detecting change in

2 disability

Number of sub-groups reporting this item	Item	Mean rank
7	Overall	1.57
1	Mobility	2
14	Bathing	2.5
14	Dressing	3.07
14	Toileting	3.86
1	Transferring from a chair	4
8	Walking	4
4	Walking up and down a flight of stairs	5
13	Transferring	5.15
6	Grooming	5.17
14	Eating	5.79
2	Continence	6
1	Incontinence of bowel or bladder	6
2	Walking 1 km	6.25

3