

1 Health status of red-billed tropicbirds

2 **Health Status of the Red-Billed Tropicbird (*Phaethon aethereus*) Determined by**

3 **Haematology, Biochemistry, Blood Gases, and Physical Examination**

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Abstract: The red-billed tropicbird, *Phaethon aethereus*, is a species of seabird native to the Galápagos archipelago, and widely distributed across the neotropics. General health, blood chemistry, and haematology parameters have not been published for this species. Blood analyses were performed on samples drawn from 51 clinically healthy red-billed tropicbirds captured from their burrows at Islote Pitt on San Cristóbal Island in July, 2016 (21) and Daphne Major Island in June, 2017 (30). In the field, a point of care blood analyser (iSTAT) was used to obtain results for HCO_3^- , pH, pCO_2 , pO_2 , TCO_2 , iCa, Na, K, Cl, Hb, HCT, anion gap, creatinine, glucose and urea nitrogen. Additionally, a portable Lactate Plus™ analyser was used to measure blood lactate, and blood smears were also created *in situ*. The blood slides were used to estimate leukocyte counts and 100-cell differentials. Alongside these biochemistry and haematology parameters, average heart rate, respiratory rate, body temperature and scaled mass index (calculated from weight and a body measurement) were compared to determine the standard measurements for a healthy individual. The baseline data, and reference intervals reported in this paper are essential to detecting changes in the health of red-billed tropicbirds in the future.

Key words: Biochemistry, *Phaethon aethereus*, Galápagos Islands, red-billed tropicbirds, haematology.

INTRODUCTION

The red-billed tropicbird (*Phaethon aethereus*) was traditionally considered a member of the Pelecaniformes, in the family Phaethontidae (Livezey and Zusi, 2007; Bourdon *et al.*, 2008). However, this placement has been revised with the rise of whole-genome analysis and tropicbirds are now placed in their own order Phaethontiformes (Jarvis *et al.*, 2014). This long-lived pelagic seabird is found across the neotropics, tropical Atlantic, eastern Pacific and the Indian Ocean (Vilina *et al.*, 1994; Javed *et al.*, 2008; Nunes *et al.*, 2017). The subspecies

44 *P.a.mesonauta* (Orta, 1992; Nelson, 2005) breeds year-round in the Galápagos (Snow, 1965).
45 This region holds one of the largest colonies of this species, consisting of several thousand
46 pairs on the Galápagos islands and mainland Ecuador (del Hoyo *et al.*, 1992; Orta *et al.*, 2019).
47 Other extant members of this family include the white-tailed tropicbird and the red-tailed
48 tropicbird.

49 To date, there has been a lack of information available on the health and population
50 trends for red-billed tropicbirds and related species. Among the Phaethontidae, only the red-
51 tailed tropicbird (*Phaethon rubricauda*) of Christmas Island, had leukocyte profiles reported
52 (Dehnhard *et al.*, 2011; Dehnhard and Henike, 2013). This study did not report on
53 biochemistry or blood gases, making our investigation the first of its kind for this family and
54 the focal species.

55 The Galápagos Archipelagos are located 972km west of mainland Ecuador. They are a
56 sanctuary for breeding Pacific seabirds and are home to many endemic species. The islands
57 are impacted by climate change, introduced pathogens, parasites and invasive species
58 (Wikelski *et al.*, 2004; Edgar *et al.*, 2008; Riofrío-Lazo and Pérez-Rosas, 2015; Dueñas *et al.*,
59 2021). Due to the many human-driven changes to the Galápagos, it is important to define
60 'clinically normal' animals, so in the future, we can identify changes that occur in a species'
61 health status (Valle *et al.*, 2018). In this study, we provide a detailed account of 51 individuals
62 anatomical, physiological, and blood-specific values that are widely used to evaluate the
63 health of wild seabirds (Work, 1996; Padilla *et al.*, 2003; Padilla *et al.*, 2006; Ratliff *et al.*, 2017;
64 Lewbart *et al.*, 2017; Valle *et al.*, 2018; Valle *et al.*, 2020a; Valle *et al.*, 2020b). This work is
65 also relevant because the global population of red-billed tropicbirds are thought to be
66 decreasing although the species is classified as least concern (BirdLife International, 2019).

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68 Ground-nesting birds, especially those on islands, are at risk from terrestrial
69 predators, particularly introduced non-native species (Stratton *et al.*, 2021). In the Galápagos,
70 for example, introduced predators such as pigs, rats, goats and cats threaten breeding birds
71 (Cruz, 1987; Key *et al.*, 1994; Riofrío-Lazo and Páez-Rosas, 2015; Debrot *et al.*, 2014). Endemic
72 island species are also susceptible to diseases (Wikelski *et al.*, 2004; Deem *et al.*, 2011); in the
73 Galápagos some have been infected by introduced pathogens (Levin *et al.*, 2012; Bastien *et al.*,
74 2014; Tompkins *et al.*, 2017). Having baseline values from healthy animals provides a
75 foundation for comparison when diseased individuals are identified.

76 There has been no published work on the health of red-billed tropicbirds, but
77 publications have reported leucocyte profiles and heterophil to lymphocyte ratios for *P.*
78 *rubricauda* (Work, 1996; Dehnhard *et al.* 2011; Dehnhard and Hennicke, 2013). Literature
79 concerning red-tailed tropicbirds on Christmas Island demonstrated leucocyte profiles are not
80 influenced by sex or breeding stage (Dehnhard and Hennicke, 2013). They also suggest that
81 chicks of this species invest heavily in innate immunity as they displayed significantly higher
82 heterophil/lymphocyte ratios than adults (Dehnhard *et al.*, 2011).

83 The aim of this study is to present baseline health results for the Galápagos Island red-
84 billed tropicbirds and to be able to identify changes in their health in future.

85 **Materials and methods**

86 This study was performed as part of a population health assessment authorized by the
87 Galápagos National Park Service (permit No. PC-57-16 and No. PC-59-17 to C.A. Valle) for
88 ethics, animal handling and permissions to work within the Galapagos Reserve (Valle *et al.*,
89 2018; Valle *et al.*, 2020a; Valle *et al.*, 2020b; Tucker-Retter *et al.*, 2021). The study was
90 additionally approved by the Universidad San Francisco de Quito ethics and animal handling
91 protocol. Handling and sampling procedures followed standard vertebrate protocols and

92 veterinary practices. Data were collected in 2016 at Punta Pitt, San Cristóbal Island (0°41' 59"
93 S; 89° 15' 09" W) and in 2017 on Daphne Major Island (0°25' 22.14 "S; 90°22' 16.17" W) from
94 two separate multi-species seabird colonies.

95 In June 2016 and June 2017, 21 and 30 adult red-billed tropicbirds, respectively, were
96 captured from their nesting holes, one at a time. At time of capture, the nesting status was
97 recorded, where possible, alongside the "age of chick" and "presence of eggs" or "other
98 adult". The adults were identified as distinct from the chicks by their plumage and bill colour.
99 The birds were restrained carefully by one handler, holding the bill closed, whilst supporting
100 the weight of the body and restraining the wings. The behaviour of the bird was monitored
101 closely during handling to ensure its safety. Within 10 minutes of capture, heart rate and
102 respiratory rate were recorded, as well as a blood sample quickly and safely drawn. This short
103 turnaround time was to reduce the potential effects of handling on blood chemistry values.
104 The birds were then weighed, using a Pesola® (Pesola, Prazisionswaagen AG, Schindellegi,
105 Switzerland) measured for standard body measurements, and had their cloacal temperature
106 recorded using a digital thermometer. All birds were deemed clinically healthy based on their
107 behaviour, response to handling, and physical examination by a veterinarian. Sex could not
108 be distinguished since red-billed tropicbirds are monomorphic (Nunes *et al.*, 2013).

109 Brachial vein puncture was performed using a heparinized 25-gauge needle and 1.0
110 ml syringe to collect up to 1.0 ml of blood. To obtain the blood gas, electrolyte, and
111 biochemistry results, a drop of blood was used for instant analysis in the field, with a Portable
112 iSTAT Clinical Analyser (Heska Corporation, Loveland, Colorado, USA 80538). Different iSTAT
113 cartridges were used for different years, CG8+ vs CHEM8+, which meant the recorded health
114 parameters differed slightly. In 2017, pH, HCO₃⁻, pCO₂ and pO₂ were not obtained. Another

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119 drop of blood was then used to obtain lactate levels through a portable lactate analyser. To
120 obtain total solids, a field centrifuge was used to spin haematocrit capillary tubes for 3
121 minutes, and the plasma in the capillary tubes was dropped onto a handheld refractometer.
122 Calculated iSTAT haematocrits were obtained for all individuals. In addition, blood smears
123 were also created in the field, and were later fixed and stained with Diff Quick (Jorgenson
124 Laboratories, Loveland, CO, 80538 USA) approximately 2 weeks after sampling. ~~The rest of~~
125 ~~the blood was stored on ice in sterile plastic vials within 10 minutes of sample collection for~~
126 ~~potential future analyses.~~

127 The stained blood smears were used for the 100-cell white blood cell (WBC/leukocyte)
128 differentials and for WBC ~~estimates~~ (Work, 1996). The WBC differentials were obtained at a
129 100x objective lens and completed by differentiating 100 white blood cells. This was recorded
130 as a percentage and these were used to calculate absolute values using the total leukocyte
131 count (estimated WBC count). Leukocytes were counted in 10 fields at a 40x objective. These
132 were averaged and multiplied by 2000 to obtain a ~~leukocyte~~ count per μL (Newman et al.,
133 1997). Blood smears from 2016 (N=21) and 2017 (N=30) were analysed by different observers,
134 however, to minimise observer differences, the subsequent observer was trained and
135 supervised by the observer from 2016.

136 Scaled mass index (Peig and Green, 2009; Peig and Green, 2010) was calculated using
137 the R package (standardized) major axis estimation and testing routines 'smatr' 3.4–8
138 (Warton *et al.*, 2011) was used for computing the scaling exponent using standardized major
139 axis (SMA) regression. Summary statistics were completed on the measured parameters.
140 Normality was tested using Shapiro-Wilk test and visualised through quantile-quantile plots.
141 Despite 28 out of the 34 variables of the variables being non-normal, the sample size is
142 sufficiently large to invoke the central limit theorem meaning linear regressions could be used

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147 to examine possible relationships between SMI (scaled mass index) and log transformed
148 blood biochemistry and haematology differentials (Sainani, 2012). Sampling time was tested
149 against the variables using Kendall's rank correlation, a test chosen to handle non-normal
150 data with outliers, reliably. Significant results were then examined with linear regressions on
151 log transformed data. A standard α level of $P < 0.05$ was used for all statistical tests with R
152 statistical software, version 3.6.2 (R Development Core Team) and figures were made using
153 *ggplot2* (Wickham, 2009). Reference intervals were calculated and are reported for health
154 parameters. They were calculated using Reference Value Advisor Freeware (Geffré *et al.*,
155 2011) with a sample number <40 and >40 , using robust methods, Box-Cox transforming data
156 and removing outliers. Outliers were identified through Tukey's testing and histograms, and
157 emphasis was put upon retaining rather than deleting data (Horn and Pesce, 2003). Alongside
158 this, the median, minimum and maximum values have been made available as
159 supplementary information to support in clinical decisions (Friedrichs *et al.*, 2011; Klassen,
160 1999).

161 Results

162 In 2016 and 2017 a total of 51 adults of *P. aethereus* from two different colonies were
163 assessed; the blood chemistry, morphology and haematology results alongside reference
164 intervals are presented in Tables 1- 3.

165 The dominant leukocytes for *P. aethereus* at Islote Pitt and Daphne Major were
166 lymphocytes ($\mu = 34.2\%$, absolute $\mu = 995 \times 10^3/\mu\text{L}$), eosinophils ($\mu = 30\%$; absolute $\mu = 938$
167 $\times 10^3/\mu\text{L}$) and heterophils ($\mu = 34.1\%$, absolute $\mu = 908 \times 10^3/\mu\text{L}$) (Table 3). No basophils were
168 observed in *P.aethereus*, and monocytes percentages were low.

169 From the statistical analysis, sampling time was shown to demonstrate significantly
170 strong positive effects on pO_2 ($P < 0.05$, $\text{tau} = 0.389$) and strong negative impacts on pCO_2

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172 ($P < 0.05$, $\tau = -0.367$) and HCO_3^- ($P < 0.05$, $\tau = -0.361$) as seen in Figure 1. A simple linear
173 regression was calculated to predict whether the calculated scaled mass index (SMI) affected
174 the variables and was graphically examined. Outliers were removed and SMI demonstrated
175 no effects on the variables.

176 Heterophils had a colourless cytoplasm with a high density of red to brown large
177 fusiform granules and a segmented lobed nucleus. Eosinophils had similar size to heterophils,
178 with amorphous to large granules and numerous small clear vacuoles (Figure 2), in
179 accordance with *P. rubricauda* (Work, 1996) for birds sampled from both populations.
180 Monocyte structure can be seen in Figure 3.

181 Discussion

182 This is the first haematological profile of *P. aethereus*. This study reports an in-depth
183 array of morphometric, vital, blood biochemistry, and haematological parameters from red-
184 billed tropicbirds. No other studies present health assessments for this species of tropicbird
185 and the results from this study will be a reliable baseline for future health assessments.
186 Reference intervals for the health parameters are presented in this paper to ensure that there
187 is information to compare to if any further studies follow the population health of these
188 tropicbird populations. Healthy adult individuals were directly selected for this baseline
189 health assessment and no sex differential studies could be made with sufficient confidence
190 since these birds are monomorphic (Nunes *et al.*, 2013). Additionally, reproductive state was
191 not always evident. Preanalytical procedures for reference intervals usually including
192 standardising the provisioning of the individuals to be either fasted, or non-fasted, however
193 this is not possible in wild populations. All sample capture, handling, sample collection and
194 processing were standardised. Outliers identified by the Tukey's test were examined and only
195 accepted after examining all the data from the individual at once, as well as investigating a

196 histogram of the transformed data. Identified outliers were removed after this thorough
197 investigating and before calculating the reference intervals. Smaller sizes make this more
198 difficult but the robust method is utilised as it puts less emphasis on the extreme values (Horn
199 and Pesce, 2003).

200 *P. aethereus* leukocytes have been photographed and presented (in Figure 2 & 3) as
201 part of this publication so they can be referred to in the future when diagnosing the health of
202 tropicbirds. No haemoparasites were observed for red-billed tropicbirds at either site.

203 Leukocyte [estimates](#) for *P. aethereus* ($\mu= 2890 \times 10^3/\mu\text{L}$, $\sigma= 1380 \times 10^3/\mu\text{L}$) were low
204 relative to other seabird species studied in the Galápagos during 2016 and 2017 at Daphne
205 Major and Punta Pitt, including great frigatebirds ($\mu=3700.37 \times 10^3/\mu\text{L}$, $\sigma=693.37 \times 10^3/\mu\text{L}$),
206 Galápagos shearwaters ($\mu=3283 \times 10^3/\mu\text{L}$, $\sigma=6720 \times 10^3/\mu\text{L}$), swallow-tailed gulls ($\mu=3928$
207 $\times 10^3/\mu\text{L}$, $\sigma=1098 \times 10^3/\mu\text{L}$), and Nazca boobies ($\mu=17553 \times 10^3/\mu\text{L}$, $\sigma= 3333 \times 10^3/\mu\text{L}$ (Valle *et al.*,
208 2018; Valle *et al.*, 2020a; Valle *et al.*, 2020b; Tucker-Retter *et al.*, 2021).

209 The leukocyte differentials demonstrated no basophils and low to no monocyte
210 counts. These white blood cells are both uncommon in avian peripheral blood (Fudge, 1994).
211 The leukocyte profiles showed almost equal percentage counts of heterophils, lymphocytes
212 and eosinophils. This percent of eosinophils is high relative to the eosinophil percentage of
213 other populations of *P. rubricauda* (Dehnhard *et al.*, 2011; Dehnhard and Hennicke, 2013; Luna
214 *et al.*, 2020). Eosinophils can vary greatly between avian species therefore the differences
215 noted here between the *P. aethereus* and *P. rubricauda* is not unusual (Fudge, 1994) and the
216 reported percentages presented in this paper are the known baseline for this population.
217 When comparing other studies' leukocyte differentials, as a percentage of 100 counted cells,
218 Phaethontidae species have variable percentage eosinophil counts. The population of red-
219 billed tropicbirds in Galápagos had a relatively high eosinophil percentage count ($\mu=30\%$), as

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221 well as the adult red-tailed tropicbirds on Rapa Nui, which had $\mu=36.69\%$ (Luna *et al.*, 2020).

222 In contrast, the red-tailed tropicbirds on Christmas Island had very low eosinophil counts
223 ($\mu=0-1\%$) (Dehnhard *et al.*, 2011; Dehnhard *et al.*, 2013). [Total leukocyte estimates and](#)
224 [manual differential counts have high coefficients of variation relative to automated nucleated](#)
225 [cell counts, which is a limitation of this study but was the only practical approach under field](#)
226 [conditions.](#)

227 Stress is known to have adverse effects on wild animals (Sagar *et al.*, 2019; McMahon
228 *et al.*, 2005). Although this has never been studied in Phaethontiformes, handling time was
229 kept short to ensure minimal stress to the individual (Sorenson *et al.*, 2016).

230 ~~When sampling time increased, pCO_2 and HCO_3 decreased, while pO_2 increased.~~
231 ~~This is likely due to the birds' increased respiratory rate due to stress during capture and~~
232 handling. The changes in the blood chemistry can be explained through Le Châtelier's
233 principle (Hopkins *et al.*, 2022), with deep or rapid breathing drawing away more CO_2 and
234 bringing in pO_2 more rapidly, increasing the pO_2 levels and lowering the pCO_2 . This disturbs
235 the dynamic equilibrium of the primary pH buffer system and the position of the equilibrium
236 will shift to reestablish an equilibrium, which is seen by the decrease in HCO_3^- . If a change in
237 pH was seen this could lead to acute metabolic alkalosis (Fudge, 1994).

238 Seabird species in the Galápagos Archipelago are at risk since they are vulnerable to
239 human population growth on the Islands, tourism, and movement between the archipelago
240 and Ecuador (Walsh and Mena, 2016). Other pressures include introduced rodents preying
241 ground-nesting species in the Galápagos and although *P.aethereus* were not referenced in
242 this study (Harper and Carrion, 2021), other populations of this species face serious
243 population decline due to chick and egg predation from the rat species found in Galápagos,

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Deleted: some correlation could be seen relating to respiratory chemistry and the primary pH buffer system, with pCO_2 and HCO_3^- decreasing and pO_2 increasing as time until sampling increased.

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including both invasive house (*Rattus rattus*) and brown rats (*Rattus norvegicus*) (Sarmiento *et al.*, 2014).

Few health and physiology studies have been completed on red-billed tropicbirds due to the animals' remote breeding habitat on steep rocky cliffs on remote islands. This study creates a foundation of veterinary knowledge for this species and contributes to general knowledge of seabird health. This information will be an essential comparison as increasing human presence and pressure on this vulnerable ecosystem places small seabird rookeries at risk. Continuing to monitor and understand species that live on the edge will be vital to their conservation and the conservation of other coastal species.

Acknowledgements

We thank the Galápagos National Park Service, the Heska Corporation, the Galápagos Academic Institute for the Arts and Sciences (GAIAS)-Universidad San Francisco de Quito (USFQ) and the Galápagos Science Center-USFQ/University of North Carolina-Chapel Hill. We thank Galo Quezada, Maryuri Yopez, Diego Quiroga, Carlos Mena, Stephen Walsh, Philip Page, Tillie Laws and Michael Levy for their support and assistance with this project.

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