Faces in commonly experienced configurations enter awareness faster due to their curvature relative to fixation

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The extent to which perceptually suppressed face stimuli are still processed has been extensively studied using the continuous flash suppression paradigm (CFS). Studies that rely on breaking CFS (b-CFS), in which the time it takes for an initially suppressed stimulus to become detectable is measured, have provided evidence for relatively complex processing of invisible face stimuli. In contrast, adaptation and neuroimaging studies have shown that perceptually suppressed faces are only processed for a limited set of features, such as its general shape. In this study, we asked whether perceptually suppressed face stimuli presented in their commonly experienced configuration would break suppression faster than when presented in an uncommonly experienced configuration. This study was motivated by a recent neuroimaging study showing that commonly experienced face configurations are more strongly represented in the fusiform face area. Our findings revealed that faces presented in commonly experienced configurations indeed broke suppression faster, yet this effect did not interact with face inversion indicating that, in a b-CFS context, perceptually suppressed faces are presumably not processed by specialized face recognition mechanisms. Rather, our pattern of results is consistent with an interpretation based on processing of elementary visual properties such as convexity.

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ABSTRACT

18 The extent to which perceptually suppressed face stimuli are still processed has been extensively studied using the continuous flash suppression paradigm (CFS). Studies that rely on breaking CFS (b-CFS), in 19 20 which the time it takes for an initially suppressed stimulus to become detectable is measured, have 21 provided evidence for relatively complex processing of invisible face stimuli. In contrast, adaptation and 22 neuroimaging studies have shown that perceptually suppressed faces are only processed for a limited set 23 of features, such as its general shape. In this study, we asked whether perceptually suppressed face stimuli 24 presented in their commonly experienced configuration would break suppression faster than when 25 presented in an uncommonly experienced configuration. This study was motivated by a recent 26 neuroimaging study showing that commonly experienced face configurations are more strongly 27 represented in the fusiform face area. Our findings revealed that faces presented in commonly experienced configurations indeed broke suppression faster, yet this effect did not interact with face 28 29 inversion suggesting that, in a b-CFS context, perceptually suppressed faces are potentially not processed 30 by specialized face recognition mechanisms. Rather, our pattern of results is consistent with an 31 interpretation based on processing of elementary visual properties such as convexity.

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INTRODUCTION

34 The extent to which invisible stimuli are still processed has become a popular line of research 35 over the last decades (Dehaene & Changeux, 2011; Hesselmann & Moors, 2015). One particularly compelling paradigm to render visual stimuli invisible is continuous flash suppression (CFS) (Tsuchiya & 36 37 Koch, 2005). In CFS, a salient dynamic pattern composed of various colored shapes is presented to one 38 eye while another stimulus is presented to the other eye. Due to the dynamic nature of the mask, the other 39 stimulus is perceptually suppressed and invisible to observers for a time period in the order of seconds. CFS has been implemented in various ways to study processing of perceptually suppressed stimuli, one 40 41 being the breaking CFS paradigm (b-CFS) (Stein, Hebart & Sterzer, 2011; Gayet, Van Der Stigchel & 42 Paffen, 2014). Here, the contrast of the initially suppressed stimulus is gradually increased until it causes 43 a perceptual breakthrough (i.e., becomes detectable to the observer). The breakthrough or suppression 44 time is then used as an index of the strength of the representation of that visual stimulus during suppression. That is, as in regular binocular rivalry, stimulus strength is predicted to influence 45 46 suppression durations such that stronger stimulus representations break CFS faster than weaker stimuli 47 (Jiang, Costello & He, 2007; Stein, Hebart & Sterzer, 2011).

48 A number of studies have considered the degree to which face stimuli are still processed while 49 perceptually suppressed and have used the b-CFS paradigm, amongst others, to tackle this question. A 50 now-classic study by Jiang, Costello and He (2007) showed that upright face stimuli broke suppression 51 faster than inverted face stimuli resembling the well-known face inversion effect for consciously 52 presented stimuli. Following this study, several b-CFS studies have replicated this face inversion effect 53 (Zhou et al., 2010; Stein, Hebart & Sterzer, 2011; Stein, Peelen & Sterzer, 2011; Stein & Sterzer, 2012; 54 Stein, Sterzer & Peelen, 2012; Gobbini et al., 2013a,b; Heyman & Moors, 2014; Stein, End & Sterzer, 55 2014). Other studies have furthermore indicated that stimulus-related factors such as eye gaze (Stein et 56 al., 2011; Xu, Zhang & Geng, 2011; Chen & Yeh, 2012; Gobbini et al., 2013b), facial expression (Yang, 57 Zald & Blake, 2007; Sterzer et al., 2011; Stein & Sterzer, 2012; Capitão et al., 2014), face identity (Geng 58 et al., 2012; Gobbini et al., 2013a), or face race (Stein, End & Sterzer, 2014) can influence suppression 59 times. Taken together, these findings seem to suggest that, while perceptually suppressed, the 60 representation of a face stimulus is a fairly integrated one involving the analysis of several complex 61 features.

In apparent contrast with these b-CFS findings, a more complicated pattern of results has arisen from studies that rely on adaptation to invisible face stimuli or study the representation of invisible face stimuli using neuroimaging techniques. For example, adaptation studies have indicated that visual awareness of a face is required for adaptation to complex features such as facial expression (Yang, Hong & Blake, 2010), face race or gender (Amihai, Deouell & Bentin, 2011), face identity (Moradi, Koch &

67 Shimojo, 2005), face shape (Stein & Sterzer, 2011), or eye gaze (Stein, Peelen & Sterzer, 2012). The 68 main conclusion of these studies is that adaptation effects for invisible stimuli are sometimes observed, 69 but they are largely specific to the adapted eve and size of the stimulus. For example, Stein and Sterzer (2011) observed face shape aftereffects for fully invisible stimuli, yet these aftereffects were only 70 71 observed if the test stimulus had the same size as the adaptor and was also presented to the same eye as 72 the adaptor. This suggests that the adaptation occurred at a low level of processing, and was specific to 73 simple features such as its exact size and shape. Similarly, neuroimaging studies have shown that neural responses to invisible face stimuli are strongly reduced in the fusiform face area (Jiang & He, 2006; 74 75 Sterzer et al., 2014), although the pattern of activation still enables the successful decoding of certain 76 stimulus distinctions (Sterzer, Havnes & Rees, 2008; Sterzer, Jalkanen & Rees, 2009).

77 Taken together, behavioral studies relying on adaptation and neuroimaging studies call into 78 question whether the results obtained using the b-CFS paradigm are genuinely attributable to high-level 79 processing of the invisible face. Rather, they suggest that the representation of the perceptually 80 suppressed face is limited to simpler features such as its general shape. Therefore, in this study, we were 81 interested to further study the representation of a perceptually suppressed face in a b-CFS context, 82 capitalizing on the findings of a recent neuroimaging study. That is, Chan et al. (2010) recently showed 83 that representations of body parts and faces were strongest in the extrastriate body area and fusiform face 84 area, respectively, when they were presented in their commonly experienced configuration (e.g., the left 85 side of a face presented in the right visual field). This result is intriguing since all conditions simply 86 involved presenting the same stimulus (e.g., right or left side of a face) to a different side of the visual 87 field. Thus, if stimulus strength influences suppression time, we would predict that perceptually 88 suppressed face stimuli presented in their commonly experienced configuration would break suppression 89 faster compared to those presented in the other part of the visual field. Moreover, given that the effect for 90 the face stimuli seems to be specific to the fusiform face area, the presence of such an effect in a b-CFS 91 setup could be indicative of the extent to which invisible face stimuli are processed during suppression. 92 To this end, we also included a face inversion condition. That is, if a congruency effect is observed, this 93 inversion condition will enable us to test whether this effect is dependent on specialized processing for 94 upright faces.

95

METHODS

96 Participants

97 43 people participated in the experiment. All participants had normal or corrected-to-normal
98 vision and were naïve with respect to the purposes of the study. The study was approved by the local
99 ethics committee of the faculty (the Social and Societal Ethics Committee of the KU Leuven (SMEC)

under the approval number G-2014 08 033). All participants provided written informed consent before thestart of the experiment.

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103 Apparatus

104 Stimuli were shown on two 19.8-in. Sony Trinitron GDM F500-R (2048 x 1536 pixels at 60 Hz, 105 for each) monitors driven by a DELL Precision T3400 computer with an Intel Core Quad CPU Q9300 2.5 106 GHz processor running on Windows XP. Binocular presentation was achieved by a custom made stereo set-up. Two CRT monitors, which stood opposite to each other (distance of 220 cm), projected to the left 107 108 and right eye respectively via two mirrors placed at a distance of 110 cm from the screen. A head- and 109 chin rest (15 cm from the mirrors) was used to stabilize fixation. The effective viewing distance was 125 cm. Stimulus presentation, timing and keyboard responses were controlled with custom software 110 111 programmed in Python using the PsychoPy library (Peirce, 2007, 2009).

112

113 Stimuli

The background of the display consisted of a random checkerboard pattern to achieve stable binocular fusion. The size of the individual elements of the checkerboard was equal to 0.34° . In both eyes, a black frame (10° by 10°) was superimposed on the checkerboard pattern, onto which the stimuli would be presented. A black (eye dominance measurement) or white (main experiment) fixation cross was continuously present during the experiment (size 0.5 by 0.5°). In the eye dominance measurement phase, the target consisted of an arrow (maximal width 4°, maximal height 2°) and the CFS mask consisted of 150 squares with a randomly picked sizes between 1 and 2° and a random luminance value.

The stimuli used in the main experiment were a subset of the stimuli used in Chan et al. (2010) (see Figure 1A). That is, we only used the face configurations of their stimulus set, which consisted of four different half-face exemplars (size 3° of visual angle). For the specific details of the stimulus generation procedure, we refer to the original study. In the main experiment, the CFS mask ($6^{\circ} \times 6^{\circ}$) consisted of 200 grayscale squares with a random size between 0.75° and 1.5°. In all parts of the experiments, the CFS mask refreshed its contents every 100 ms (i.e., at 10Hz).

127

128 **Procedure**

In the first part of the experiment, observers performed an eye dominance task according to the procedure outlined by Yang, Blake and McDonald (2010). That is, on each trial, the CFS mask was presented to one of the observer's eyes and an arrow stimulus to the other eye. The arrow stimulus gradually increased from 0% to 100% contrast over a period of 2 seconds after which it remained present at full contrast. Upon breakthrough of the arrow stimulus, participants had to indicate as quickly as

possible whether the arrow was pointing to the left or right. Participants performed this task for 80 trials in total (40 trials per eye). The dominant eye was determined by taking the eye for which the mean suppression was the lowest. In all subsequent phases of the experiment, the CFS mask was always presented to the dominant eye.

In the main part of the experiment each trial consisted of a 1 second fixation phase after which the CFS mask was presented to the dominant eye and the face stimulus to the non-dominant eye (Figure 1B). The face stimulus gradually increased from 0% to 100% contrast in a period of 1 second after which it remained on screen at full contrast until the participants' response. Upon breakthrough, participants had to indicate as quickly as possible whether the face stimulus was presented to the left or right of fixation by means of a button press. Prior to the start of the main experiment, participants first completed a practice block to become acquainted with the task.

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146 Design

The experiment consisted of a 2 x 2 x 2 full-factorial within-subjects design. Each stimulus (left
or right side of a face) was presented in the left or right visual field in an upright or inverted fashion.
Participants completed a total of 96 trials. The practice block consisted of 8 trials.



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Figure 1. Stimuli and procedure. (A) Four different configurations for one face exemplar. Each configuration was presented either to the left or right side of the fixation cross. Presenting the top left stimulus to the right side of fixation would constitute an upright, congruent stimulus. (B) Trial sequence used in the experiment. Each trial started with a fixation period of 1 second after which the face stimulus was presented to the non-dominant eye and the CFS mask to the dominant eye. The face stimulus gradually increased in contrast and remained present at 100% contrast until the participants' response.

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RESULTS

158 All analyses were performed in R, a statistical programming language (R Core Team, 2014). All 159 statistical analyses were performed in a Bayesian framework, relying on model selection through Bayes 160 Factors (Rouder et al., 2009, 2012). The Bayes Factor can be interpreted as a relative measure of evidence 161 for one statistical model compared to another (e.g., a model with two main effects versus a model with two main effects and their interaction). All Bayes Factors were computed using the R package 162 163 BayesFactor (Morey & Rouder, 2015) using all default settings. The statistical models for which Bayes 164 Factors were computed are akin to classical repeated measures ANOVA models, yet including random 165 intercepts for both subjects as well as stimulus (given that we used different face exemplars in our 166 experiment; also knows as a crossed random effects model (Clark, 1973; Baayen, Davidson & Bates, (2008)). Following the classification proposed by Jeffreys (1961), Bayes Factors > 3 are considered to be 167 168 convincing evidence for one model compared to another.

169 Before subjecting the data to any analysis, suppression times were first log transformed to account for their positive skew. Only correct responses were considered. Outliers were defined as 170 171 suppression times that deviated more than three standard deviations from the mean suppression time (for 172 each observer separately) and these were also excluded from the analysis. This led to a removal of 5.5% 173 of the data. To facilitate the interpretation of the data, we converted the factors visual field and stimulus 174 side to a single variable termed congruency. A congruent stimulus would be one that constitutes a 175 commonly experienced configuration (e.g., right side of the face in the left visual field). For inverted 176 stimuli, we applied the same transformation such that congruent stimuli would be the ones for which the overall configuration would be the same (e.g., an inverted left side of the face would now have to be 177 178 presented in the left side of the visual field to be coded congruent). The mean suppression times for all 179 combinations of congruency and face inversion are depicted in Figure 2. As is apparent, inverted faces 180 yielded slower suppression times than upright faces (the well-known face inversion effect). Furthermore, 181 face stimuli presented in commonly experienced configurations broke suppression faster than the 182 incongruent ones, yet this main effect did not interact with stimulus inversion. This was confirmed by the Bayes Factor analysis (Table 1). That is, a model with both main effects of congruency and inversion was 183 184 the best fitting model and all Bayes Factors were > 3 for this model compared to all the other models 185 considered.

186 Table 1. Bayes Factor analysis.

Model	Bayes Factor
Congruency + Inversion	1
Inversion	3.6
Congruency * Inversion	5.2
All other models	> 100

187 Note. All Bayes Factors can be interpreted relative to the best fitting model (for which the Bayes Factor

188 equals 1). A * denotes both main effects and the interaction between the conditions.





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Figure 2. Mean suppression times for all conditions. Error bars denote 95% within-subject confidenceintervals as described by (Morey, 2008).

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DISCUSSION AND CONCLUSION

The goal of this study was to assess whether face stimuli presented in their commonly experienced configurations would break suppression faster than the same stimuli presented in other configurations. Our results indicated that this indeed was the case, yet that the effect was not specific for

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upright face stimuli. That is, similar configurations broke suppression faster when they were presented
inverted rather than upright. This result implies that shape differences relative to fixation were responsible
for the observed congruency effect rather than processing mechanisms specific for upright faces.

200 This study was motivated by the fact that a lot of b-CFS studies on face processing obtained 201 evidence for relatively complex processing of invisible faces during CFS. In contrast, studies relying on 202 adaptation or neuroimaging techniques consistently showed that processing of invisible faces is severely 203 reduced compared to visible faces and is possibly only specific to the general face shape rather than the identity, facial expression, or other face features. Therefore, we decided to capitalize on the findings of a 204 205 neuroimaging study in which it was shown that the pattern of responses in the fusiform face area was 206 strongest for face stimuli presented in their commonly experienced configuration. If stimuli with a strong 207 representation indeed break suppression faster, one would predict the same difference to be observed in a 208 b-CFS setup. Moreover, given the specificity of the effect to the fusiform face area, we also predicted that 209 the effect should be absent or at least greatly reduced for inverted faces. As highlighted above, our results 210 indicated both an effect of the configuration as well as inversion but no interaction between those factors. 211 This indicates that the differences in suppression time between conditions are more likely attributable to 212 shape-specific differences between conditions rather than explanations based on genuine face processing.

213 One particularly important difference between the stimuli presented in both types of 214 configurations is the curvature of the face shape relative to fixation. That is, in congruent configurations, 215 the curved contour is convex relative to fixation compared to being concave in the incongruent 216 configurations. Several behavioral studies have shown that convex features are often perceptually 217 dominant in for example determining figure-ground relationships or shape similarity (Kanizsa & Gerbino, 218 1976; Bertamini & Wagemans, 2013). Moreover, neurophysiological recordings have shown a similar 219 bias towards convex features in macaque area V4 (Pasupathy & Connor, 1999). Last, a recent fMRI study 220 has shown that cortical area LOC shows higher sensitivity for convex rather than concave shapes 221 (Haushofer et al., 2008). In the light of these studies, our findings can be interpreted as reflecting the 222 heightened sensitivity of the visual system to convex features (relative to fixation).

223 This interpretation is in accord with a larger set of studies that has questioned evidence of high-224 level processing of stimuli suppressed through CFS. For example, Hedger, Adams and Garner (2015a) 225 recently showed that the advantage of fearful faces breaking suppression faster than neutral ones is 226 predicted by effective contrast of the stimuli. Furthermore, another recent study by the same group 227 observed that attentional orienting due to threat stimuli is completely absent when threatening stimuli 228 were rendered completely invisible (Hedger, Adams & Garner, 2015b). Other studies have cast doubt on 229 whether invisible words can be processed (Heyman & Moors, 2014), numerosity can be extracted during 230 suppression (Liu et al., 2013; Hesselmann et al., 2014; Hesselmann & Knops, 2014), or integration



231 between a suppressed visual looming stimulus and a supraliminal auditory stimulus can occur (Moors et 232 al., 2015). 233 In sum, the results of this study provide evidence that stimuli that are more strongly represented 234 in the visual cortex break suppression faster than other stimuli. However, the fact that the observed 235 congruency effect was not specific for upright face stimuli indicates that the face stimuli used in this study were presumably not processed by specialized face recognition mechanisms, but rather at a more 236 237 basic level limited to more elementary properties such as convexity. 238 **ACKNOWLEDGMENTS** 239 We would like to thank David Boelens for assistance with data collection. 240 REFERENCES 241 Amihai I, Deouell L, Bentin S. 2011. Conscious awareness is necessary for processing race and gender 242 information from faces. Consciousness and Cognition 20:269-279. 243 Baayen RH, Davidson DJ, Bates DM. 2008. Mixed-effects modeling with crossed random effects for 244 subjects and items. Journal of Memory and Language 59:390-412. Bertamini M, Wagemans J. 2013. Processing convexity and concavity along a 2-D contour: Figure-245 ground, structural shape, and attention. Psychonomic Bulletin & Review 20:191-207. 246 Capitão LP, Underdown SJ, Vile S, Yang E, Harmer CJ, Murphy SE. 2014. Anxiety increases 247 248 breakthrough of threat stimuli in continuous flash suppression. *Emotion* 14:1027–1036. Chan AW-Y, Kravitz DJ, Truong S, Arizpe J, Baker CI. 2010. Cortical representations of bodies and 249 250 faces are strongest in commonly experienced configurations. *Nature Neuroscience* 13:417–418. 251 Chen Y-C, Yeh S-L. 2012. Look into my eyes and I will see you: Unconscious processing of human gaze. 252 Consciousness and Cognition 21:1703–1710. 253 Clark HH. 1973. The language-as-fixed-effect fallacy: A critique of language statistics in psychological 254 research. Journal of Verbal Learning and Verbal Behavior 12:335–359. 255 Dehaene S, Changeux J-P. 2011. Experimental and theoretical approaches to conscious processing. 256 Neuron 70:200-227. 257 Gayet S, Van Der Stigchel S, Paffen C. 2014. Breaking continuous flash suppression: Competing for 258 consciousness on the pre-semantic battlefield. Frontiers in Psychology 5. 259 Geng H, Zhang S, Li Q, Tao R, Xu S. 2012. Dissociations of subliminal and supraliminal self-face from 260 other-face processing: behavioral and ERP evidence. Neuropsychologia 50:2933-2942. Gobbini MI, Gors JD, Halchenko YO, Rogers C, Guntupalli JS, Hughes H, Cipolli C. 2013a. Prioritized 261 262 detection of personally familiar faces. PLoS ONE 8:e66620. 263 Gobbini MI, Gors JD, Halchenko YO, Hughes HC, Cipolli C. 2013b. Processing of invisible social cues. 264 Consciousness and Cognition 22:765–770. Haushofer J, Baker CI, Livingstone M, Kanwisher N. 2008. Privileged coding of convex shapes in human 265 266 object-selective cortex. Journal of Neurophysiology 100:753-762. 267 Hedger N, Adams WJ, Garner M. 2015a. Fearful faces have a sensory advantage in the competition for 268 awareness. Journal of Experimental Psychology: Human Perception and Performance.

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