

# Odor descriptive ratings can predict some odor-color associations in different color features of hue or lightness

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**Background.** Olfactory information can be associated with color information. Researchers have investigated the role of descriptive ratings of odors on odor-color associations. Research into these associations should also focus on the differences in odor types. We aimed to identify the odor descriptive ratings that can predict odor-color corresponding formation, and predict features of the associated colors from the ratings taking into consideration the differences in the odor types. **Methods.** We assessed 13 types of odors and their associated colors in participants with a Japanese cultural background. The associated colors from odors in the CIE L\*a\*b\* space were subjectively evaluated to prevent the priming effect from selecting color patches. We analyzed the data using Bayesian multilevel modeling, which included the random effects of each odor, for investigating the effect of descriptive ratings on associated colors. We investigated the effects of five descriptive ratings, namely *Edibility*, *Arousal*, *Familiarity*, *Pleasantness*, and *Strength* on the associated colors. **Results.** The Bayesian multilevel model indicated that the odor description of *Edibility* was related to the reddish hues of associated colors in three odors. *Edibility* was related to the yellow hues of colors in the remaining five odors. The *Arousal* description was related to the yellowish hues in two odors. The *Strength* of the tested odors was generally related to the color lightness. The present analysis could contribute in investigating the influence of the olfactory descriptive rating that anticipates the associated color for each odor.

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## Abstract

**Background.** Olfactory information can be associated with color information. Researchers have investigated the role of descriptive ratings of odors on odor-color associations. Research into these associations should also focus on the differences in odor types. We aimed to identify the odor descriptive ratings that can predict odor-color corresponding formation, and predict features of the associated colors from the ratings taking into consideration the differences in the odor types.

**Methods.** We assessed 13 types of odors and their associated colors in participants with a Japanese cultural background. The associated colors from odors in the CIE L\*a\*b\* space were subjectively

evaluated to prevent the priming effect from selecting color patches. We analyzed the data using Bayesian multilevel modeling, which included the random effects of each odor, for investigating the effect of descriptive ratings on associated colors. We investigated the effects of five descriptive ratings, namely *Edibility*, *Arousal*, *Familiarity*, *Pleasantness*, and *Strength* on the associated colors.

**Results.** The Bayesian multilevel model indicated that the odor description of *Edibility* was related to the reddish hues of associated colors in three odors. *Edibility* was related to the yellow hues of colors in the remaining five odors. The *Arousal* description was related to the yellowish hues in two odors. The *Strength* of the tested odors was generally related to the color lightness. The present analysis could contribute in investigating the influence of the olfactory descriptive rating that anticipates the associated color for each odor.

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## 35 **Introduction**

36       Olfactory information can provide specific visual information. Some odors are associated  
 37 with specific colors, such as a lemon-like odor for yellow and a cherry odor for red (Zellner 2013).  
 38 These odor-color associations have attracted attention and have been extensively investigated  
 39 (Gilbert et al. 1996; Guerdoux et al. 2014; Kemp & Gilbert 1997). Dematte & colleagues, using  
 40 an implicit association test, found that some odor-color pairs demonstrated both association (e.g.,  
 41 strawberry smell and pink) and disassociation (e.g., strawberry smell and turquoise) (Dematte et  
 42 al. 2006). This report raised the possibility of an implicit association between some odors and  
 43 colors. Another report indicated that color information can facilitate speeded discrimination of  
 44 related odors, even when the participants were instructed to ignore the visual information (Dematte  
 45 et al. 2009). In some cases of odor-color pair, an odor presentation can inhibit visual attention of  
 46 the associated color. We reported that working memory on orange colors were specifically  
 47 inhibited under the presentation of decanal, which was a citrus odor included in citrus peels  
 48 (Tamura et al. 2018). The orange colors represent the olfactory source, citrus peels, and this  
 49 representation could suggest that the specific decline of orange-color working memory was  
 50 probably interpreted by odor-color associations. If the mechanism on the association of odor-color  
 51 pair is revealed, visual attention or olfactory detection would be modulated based on the

knowledge of the associated mechanism.

Many studies have investigated how some odors were associated with specific colors, but unified interpretation has not been established yet. In the review of crossmodal correspondences (but for visual and auditory correspondences), Spence classed three types of correspondences: structural correspondences resulting from neuronal peculiarities, statistical correspondences based on learning from the environment, and semantically mediated correspondences owing to linguistic terms (Spence 2011). Semantic attributes and learning from experiences have been the main focus in crossmodal studies of vision and olfactory,. For the semantic attributes, the influence on odor-color association of nameability, familiarity (Nehmé et al. 2016; Stevenson et al. 2012) and the edibility (Maric & Jacquot 2013) have been investigated. Some other descriptive ratings, such as food-related factors, intensity ratings, or masculinity/femininity of odors, are associated with corresponding colors (Kemp & Gilbert 1997; Maric & Jacquot 2013; Zellner et al. 2008). These studies support the hypothesis that odor descriptions, such as edibility, intensity, and food-related factors, can mediate odor-color associations. The odor-color associations could be influenced by learning and experiences, such as learning to associate color and taste (Stevenson et al. 2000). Moreover, the cultural backgrounds (Jacquot et al. 2016; Levitan et al. 2014; Nehmé et al. 2016) influence the odor-color association. Considered together, the odor-color association could be affected by semantic consistency, learning experience, and cultural contexts.

Other reports suggested the effect of linguistic system. Western language speakers tend to represent smells as labeling of the olfactory source; however, individuals have abstract terminology for odors in Southeast Asia (Majid & Burenhult 2014). de Valk et al compared Maniq (Southeast Asian minorities) individuals with abstract smell terms and Western language speakers (Thai and Dutch participants) (de Valk et al. 2017). They suggested that when individuals have different language systems for olfaction, their strategy to choose associated colors with smell would be different. Therefore, individuals with similar cultural backgrounds and linguistic systems possibly share several common odor-color associations.

Although we can assume that some olfactory descriptive evaluations would relate to associated colors among individuals with common culture, predicting the association and color characteristics based on the descriptive ratings is challenging. If we are able to determine the influence of olfactory description on the features of associated colors, it would contribute in revealing the mechanism of the odor-color association formation. Our main questions are: 1) which olfactory description can be useful to expect associated colors, and 2) how descriptive ratings of odors will influence the hue and lightness of the associated colors. To solve these problems, a new prediction method should be developed to predict the contribution of odor descriptive ratings for the association of specific colors.

This study aimed to investigate the olfactory descriptive ratings that would be useful in

expecting an association color for each odor, and predicting the influence of the ratings on hue and lightness of the associated colors. Even when the individuals shared common background culture, the relationship between the olfactory descriptive ratings and color association could vary across the odor types. Herein, our model aimed to determine the influence of olfactory description accounting for the differences in the odor types, and not to determine the general feature among all the odors to predict the associated colors.

We assessed 13 types of odors, their associated colors, and their descriptive evaluations using the aforementioned methods. The tested odors were rated using five descriptive ratings as follows: *Edibility*, *Arousal*, *Familiarity*, *Pleasantness*, and *Strength*. To predict the effect of the descriptive attributes, we introduced a Bayesian multilevel regression model with the data of associated colors for the assessed odors to avoid type-1 error due to unnecessary multiple comparisons (Gelman et al. 2012).

## Materials and Methods

The ethics committee of Kyushu University approved the current experiment. All procedures were performed in accordance with the approved guidelines of the ethics committee of the Faculty of Arts and Science, Kyushu University (#201907). All participants provided written informed consent in accordance with the tenets of the Declaration of Helsinki before participation.

# Participants

We recruited 23 university students for this study (women,  $n = 11$ ; men,  $n = 12$ ; and mean age  $\pm$  SD =  $20 \pm 1.6$  years). None of the participants displayed color vision deficiencies following the Ishihara's test. Based on self-reports, none of the participants had olfactory or neurological deficits. As the odor-color association depends on cultural and language backgrounds (Levitan et al. 2014; Majid & Burenhult 2014; Majid et al. 2018), we ensured that all participants were native Japanese speakers and from Japanese cultural backgrounds. The participants were instructed to stop eating and drinking 1 hour before the experiment; however, they were permitted to drink water.

# Environment and display settings

All visual stimuli were presented on a laptop computer screen (MacBook Pro 13-inch, Retina display, resolution  $2560 \times 1600$ ) using Psychtoolbox-3 (Brainard 1997; Kleiner et al. 2007) programmed in MATLAB (MathWorks, Inc., Natick, MA, USA). The monitor was calibrated following standard methods (Brainard et al. 2002) using a Chroma Meter (CS150, KONICA MINOLTA, INC. Tokyo, Japan). We placed the laptop computer display on a portable photography box measuring  $60 \times 60$  cm to control the lightness of the environment (Fig. 1a). The backdrop was black and there was no light-emitting diode inside the box during the experiments.



# **Odor stimuli**

We assessed 13 odors in this study (Table 1). According to a previous report, they were diluted to control the odor intensity, which was almost equivalent (Bushdid et al. 2014). Five microliters of the odorant solution prepared according to Table 1 was added dropwise to 0.5 × 0.5 cm of cotton wool. Three cotton wool samples with odorant drops were encapsulated in a 20 mL vial (Fig. 1b), and the solution was volatilized for at least 30 min. Immediately before the participant sniffed the sample, the cotton wool was removed and only the odor gas was presented. We counterbalanced the order of presentation of the odorants among the participants. The same 13 odorants were used in both the experiments; the order of presentation was different within the two experiments. We also changed the order of presenting the odorants among the participants. In addition, the participants were not notified that "the odor substances used in the first and second experiments were identical." The substance name was not written on the vial, and the odor was presented with care such that the participants could not predict the type of odor presented.

# **Experiment**

The participants were instructed to sniff 13 different odorants and indicate the color and semantics associated with each odor. The odor was enclosed in a bottle; the participants opened the bottle upon instruction and sniffed it. Immediately after completing the associative color/semantics evaluation response, the participants sniffed coffee beans for 10 s. Following a 2-

min break, they smelled the subsequent odorant. The room was ventilated during the break. All participants completed the task twice on different days.

We requested the participants to respond to an associated color with a presented odor and to rate several descriptions. The procedure and color settings were determined in a previous study (Tamura et al. 2018). Each participant was handed a vial containing the gaseous odor and asked to sniff the odor. Consequently, the color associated with the odor was reported. First, the participants were asked concerning the hue angle of the associated color and they rotated a cursor corresponding to the hue angle. The angle between the cursor and the center of the circle determined the hue value of the square. The square was presented at the center of the display, and the color was subsequently changed following the cursor movement (Tamura et al. 2018). The colors were determined within a circle in the CIELa\*b\* color space, with a center space at  $L = 70$ ,  $a^* = 20$ ,  $b^* = 38$ , and a radius of 60 (Zhang & Luck 2008) (Fig. 1d). They clicked upon deciding that the current color hue was most associated with the presented odor. Subsequently, we presented three colors with different lightness values of the selected color ( $L = 40, 70$ , and  $100$ ). The participants selected the lightness of the color that was most associated with the odor (Fig. 1c).

After deciding the associated color, they were instructed to complete the responses to five descriptor items as follows: *Strength*, *Pleasantness*, *Familiarity*, *Edibility*, and *Arousal*, using the visual analog scale (VAS) ranging from 0 (completely different) to 1 (very applicable). For

example in Strength evaluation, 1 indicates very strong, 0 indicates very weak, and 0.5 indicates moderate strength. *Strength* and *Edibility* would relate to primitive odor evaluation. *Pleasantness* and *Familiarity* would reflect basic subjective evaluation for odors, and *Arousal* would relate to physiological states. The relationship of color-odor association with descriptor items of *Strength* and *Edibility* have been reported previously (Kemp & Gilbert 1997; Maric & Jacquot 2013). To investigate the influence by different descriptor items, *Pleasantness*, *Familiarity*, and *Arousal* were added. *Pleasantness* and *Familiarity* have been used in related olfactory studies with subjective ratings (e.g., (Delplanque et al. 2015; Ferdenzi et al. 2017; Ferdenzi et al. 2013; Huisman & Majid 2018)). These terms were also used for color-odor association studies (Nehmé et al. 2016). *Arousal* ratings can be informative to show the participants' affective states, which has been reported previously *Arousal* ratings to odors reportedly correlated with the autonomic system (Bensafi et al. 2002).

Our method attempted to measure the colors associated with odors to prevent visual priming effect while choosing associate colors. Conventional studies assessed the selection based on several color patches after sniffing the odors (de Valk et al. 2017; Gilbert et al. 1996; Levitan et al. 2014; Majid & Burenhult 2014; Majid et al. 2018). We were concerned that the color patch presentations may could result in the priming of responses since visual priming can support olfactory identification and odor-naming (Gottfried & Dolan 2003; Guerdoux et al. 2014; Morrot

et al. 2001; Olofsson & Gottfried 2015; Robinson et al. 2015; van Beilen et al. 2011).

## Bayesian Estimation

For the statistical analysis, we performed Bayesian estimation with several models. The models were fitted using the R environment (ver.3.4.0) and RStan (ver.2.2.1) with the Markov chain Monte Carlo (MCMC) method. All estimates were made with 3,000 samplings, running four chains to generate random numbers, and a burn-in period of 1,000. We used the Gelman-Rubin statistics  $\hat{R}$  to determine if the MCMC estimation converged for all estimation parameters.  $\hat{R}$  is generally considered to converge as it approaches 1.10, and each model fit produces  $\hat{R} < 1.10$ .

## Bayesian Multilevel Regression analysis

We used Bayesian multilevel regression models to estimate the effects of the following five descriptor items on color responses: *Strength*, *Pleasantness*, *Familiarity*, *Edibility*, and *Arousal*. The multilevel models estimated the L<sup>\*</sup>-, a<sup>\*</sup>-, and b<sup>\*</sup>- axis values using odor-level effects. The values of the L<sup>\*</sup>-, a<sup>\*</sup>-, and b<sup>\*</sup>- axes were divided by 100 for normalization. The Bayesian multilevel regression did not require concern about type-1 error from multiple comparisons because the model did not assume a null hypothesis (Gelman et al. 2012).

We modeled the response value of the a<sup>\*</sup>-axis for the associated colors by each odor as a normal distribution as follows:

$$a_{[j]}^* \sim \text{Normal}(\alpha_{0[i]} + \alpha_{S[i]} \text{Strength}_{[i]} + \alpha_{P[i]} \text{Pleasantness}_{[i]} + \alpha_{F[i]} \text{Familiarity}_{[i]} + \alpha_{E[i]} \text{Edibility}_{[i]} + \alpha_{A[i]} \text{Arousal}_{[i]}, \sigma_{a[i]})$$

$$\sigma_{a[i]} > 0$$

where  $i$  indicates the odor ID and  $j$  denotes the data index. The intercept ( $\alpha_{0[i]}$ ) and each coefficient,  $\alpha_{X[i]}$ , followed a normal distribution with mean coefficients as follows:

$$\alpha_{0[i]} \sim \text{Normal}(\alpha_{0\_all}, \sigma_{\alpha 0})$$

$$\alpha_{S[i]} \sim \text{Normal}(\alpha_{S\_all}, \sigma_{\alpha S})$$

$$\alpha_{P[i]} \sim \text{Normal}(\alpha_{P\_all}, \sigma_{\alpha P})$$

$$\alpha_{F[i]} \sim \text{Normal}(\alpha_{F\_all}, \sigma_{\alpha F})$$

$$\alpha_{E[i]} \sim \text{Normal}(\alpha_{E\_all}, \sigma_{\alpha E})$$

$$\alpha_{A[i]} \sim \text{Normal}(\alpha_{A\_all}, \sigma_{\alpha A})$$

where  $\alpha_{n\_all}$  indicates the average coefficient across all odorants. The prior distributions for the parameters of the standard deviations were as follows:

$$\sigma_{\alpha 0} \sim \text{Normal}(0, 5) (\sigma_{\alpha 0} > 0)$$

$$\sigma_{\alpha S} \sim \text{Normal}(0, 5) (\sigma_{\alpha S} > 0).$$

$$\sigma_{\alpha P} \sim \text{Normal}(0, 5) (\sigma_{\alpha P} > 0).$$

$$\sigma_{\alpha F} \sim \text{Normal}(0, 5) (\sigma_{\alpha F} > 0).$$

$$\sigma_{\alpha E} \sim \text{Normal}(0, 5) (\sigma_{\alpha E} > 0).$$

$$\sigma_{\alpha A} \sim \text{Normal}(0, 5) (\sigma_{\alpha A} > 0).$$

Regarding the model for a\* value estimation, we modeled the b\*-axis values as follows:

$$b_{[j]}^* \sim \text{Normal}(\beta_{0[i]} + \beta_{S[i]} \text{Strength}_{[i]} + \beta_{P[i]} \text{Pleasantness}_{[i]} + \beta_{F[i]} \text{Familiarity}_{[i]} + \beta_{E[i]} \text{Edibility}_{[i]} + \beta_{A[i]} \text{Arousal}_{[i]}, \sigma_{b[i]})$$

where each coefficient followed normal distribution with mean coefficients as follows:

$$\beta_{0[i]} \sim \text{Normal}(\beta_{0\_all}, \sigma_{\beta 0})$$

$$\beta_{S[i]} \sim \text{Normal}(\beta_{S\_all}, \sigma_{\beta S})$$

$$\beta_{P[i]} \sim \text{Normal}(\beta_{P\_all}, \sigma_{\beta P})$$

$$\beta_{F[i]} \sim \text{Normal}(\beta_{F\_all}, \sigma_{\beta F})$$

$$\beta_{E[i]} \sim \text{Normal}(\beta_{E\_all}, \sigma_{\beta E})$$

$$\beta_{A[i]} \sim \text{Normal}(\alpha_{A\_all}, \sigma_{\beta A})$$

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233 where  $\beta_{b\_alln}$  indicates the average coefficient across all odorants. The prior distributions

234 for the parameters of standard deviations in our model were as follows:

$$\sigma_{\beta bn} \sim \text{Normal}(0, 5)$$

236 where  $\sigma_{\beta bn} > 0$ .

237

238 Similar to the steps with a\* and b\* values, we model the L-axis values as follows:

$$L_{[i]}^* \sim \text{Normal}(\lambda_{0[i]} + \lambda_{S[i]} \text{Strength}_{[i]} + \lambda_{P[i]} \text{Pleasantness}_{[i]} + \lambda_{F[i]} \text{Familiarity}_{[i]} + \lambda_{E[i]} \text{Edibility}_{[i]} + \lambda_{A[i]} \text{Arousal}_{[i]}, \sigma_{L[i]})$$

240

241 and the prior distributions for parameters were as follows:

$$\lambda_{0[i]} \sim \text{Normal}(\lambda_{0\_all}, \sigma_{\lambda 0})$$

$$\lambda_{S[i]} \sim \text{Normal}(\lambda_{S\_all}, \sigma_{\lambda S})$$

$$\lambda_{P[i]} \sim \text{Normal}(\lambda_{P\_all}, \sigma_{\lambda P})$$

$$\lambda_{F[i]} \sim \text{Normal}(\beta_{F\_all}, \sigma_{\lambda F})$$

$$\lambda_{E[i]} \sim \text{Normal}(\beta_{E\_all}, \sigma_{\lambda E})$$

$$\lambda_{A[i]} \sim \text{Normal}(\alpha_{A\_all}, \sigma_{\lambda A})$$

$$\sigma_{\lambda n} \sim \text{Normal}(0, 5)$$

with  $\lambda_{X[i]}$  indicating the average coefficient across all odorants, and  $\sigma_{\lambda n} > 0$ .

# **Bayesian Within-Subject Analysis for Color Response Reproducibility**

The participants repeated the associated color response twice on different days. We performed Bayesian analysis to estimate the mean difference in color responses between days to demonstrate the reproducibility of the color responses among the participants.

We modeled the difference in a\*-axis values measured from the associated color responses as a normal distribution as follows:

$$\Delta a_{[i,j]}^* \sim Normal(\mu_{odor\_a[i]}, \sigma_{odor\_a[i]})$$

$$\mu_{odor\_a[i]} \sim Normal(\mu_{all\_a}, \sigma_{all\_a})$$

$$\sigma_{odor\_a[i]} > 0$$

$$\sigma_{all\_a} > 0$$

where  $i$  indicates the odor ID,  $j$  denotes the data index, and  $\Delta a_{[i,j]}^*$  indicates the difference between the a\*-value on day 2 and day 1 for each participant and each odorant.  $\mu_{odor\_a[i]}$  indicates the mean difference of  $\Delta a_{[i,j]}^*$  within an odor and  $\sigma_{odor\_a[i]}$  indicates its standard deviation.  $\mu_{odor\_a[i]}$  followed a normal distribution with a mean difference across the odors,  $\mu_{all\_a}$ , and a



standard deviation,  $\sigma_{all\_a}$ . Moreover, we modeled the difference in the b\*- and L-axis values between days as  $\Delta a_{[i,j]}^*$ .

## Results

### Days-differences of Associated Colors within Participants

Perception from olfaction could vary among participants. We analyzed whether the responses derived from the odorants were consisted among participants. The conventional common approach cannot support the hypothesis that “the mean of differences was equally 0.” To confirm within-participant consistency with the responses derived from the odorants, we estimated the posterior distribution and its 95% Bayesian confidence interval (CI) of the mean day differences within the participants. For the a\*-, b\*-, and L\*-axis values, there were no parameters of day difference whose 95% CI did not include 0 (Fig. 2). The associated color responses did not significantly fluctuate with the days in response to the odorant. The results indicated that the associated color responses did not significantly fluctuate with the days in response to any odorant.

### Confirmation of the Multicollinearity for Multilevel Regression

Before implementing the multilevel regression, we confirmed the multicollinearity of five descriptive ratings. Subsequently, we performed Pearson correlation analysis to demonstrate the correlation coefficients and any absolute values of the coefficients  $\leq 0.70$  (Table 2), which is the

lower limit of a strong correlation in psychology (Akoglu 2018). We included all descriptive rating data in the multilevel regression model according to the results.

# **Bayesian multilevel regression**

First, we confirmed the model for  $a^*$ -value estimation and the distribution of the coefficient parameters with odor descriptors. A significantly positive estimated parameter with ratings of a semantic descriptor indicated that a higher descriptor rating would allow the associated colors to be reddish. By contrast, a significantly negative estimated parameter suggested that a higher descriptor would allow the associated colors to be greenish.

The multilevel model for  $a^*$ -values estimated the parameters with odorant-level effects. We used a multilevel Bayesian regression model that included random intercepts and slopes for each odor to estimate the effect of each descriptive rating on the associated colors. We estimated the coefficients of the five descriptive ratings, and their 95% CIs are demonstrated for each odorant (Fig. 3). For the 95% CIs of *Edibility*, the three odors, including 2-ethyl pyrazine, limonene, and strawberry aldehyde, did not significantly overlap with zero (Fig. 3). The results indicated colors associated with the three odors related to *Edibility* ratings. An increase in the *Edibility* ratings of these odorants would make the associated colors more reddish. The remaining odors did not display a practical difference in the coefficients of *Edibility* from zero in the  $a^*$ -value predictive model. The remaining descriptive ratings did not demonstrate any practical differences from zero

for any odor.

Fig. 4 depicts the colors associated with the three odors that showed higher coefficients with *Edibility* ratings. The color responses were reddish, according to the increase in *Edibility* ratings for the three odorants (Fig. 4). The original colors indicated the native responses of the participants. To demonstrate the relationship between the  $a^*$ -axis values and *Edibility* ratings, we fixed the  $b^*$ - and  $L^*$ - parameters with the initial settings. Subsequently, we changed the  $a^*$ -values only according to the responses of the associated colors (Fig. 4, middle row). The right row denotes changes in the  $a^*$ -value (Fig. 4). The associated colors were greenish and reddish for low and high *Edibility* ratings in the three odors, respectively. These  $a^*$ -value changes corresponded to the *Edibility* ratings.

Consequently, we confirmed the model that estimated the  $b^*$  values and the distribution of estimates of the coefficient parameters with their odor descriptors. A significantly positive estimated parameter with ratings of a semantic descriptor indicated that a higher descriptor rating would allow the associated colors to be yellowish, whereas a lower rating would allow the colors to be bluish. An estimated parameter that was significantly negative from 0 suggested that a higher descriptor would allow the associated colors to be bluish along the yellow-blue axis.

For the *Edibility* ratings, five odorants, including hydroxy citronellal, butyl acetate, (1S)-(-)-alpha-pinene, trimethyl amine (30%), and 2,3-Dimethylpyrazine, displayed significant

coefficients. The 95% CI of the five odors did not significantly overlap with zero (Fig. 5). In the results of the  $a^*$ -prediction model, the five odors did not reveal significant coefficients with *Edibility* (Fig. 3). Fig. 6 represents the color responses from the participants with *Edibility* ratings. To demonstrate the relationship between the  $b^*$ -axis values and *Edibility* ratings, we fixed the  $a^*$ - and  $L^*$ - parameters with the initial settings. Moreover, we changed the  $b^*$  values only according to the responses of the associated colors (Fig. 6, middle row). The associated colors were bluish for low *Edibility* ratings.

For *Arousal* ratings, the two odorants, namely hydroxy citronellal and 4-methyl-3-penten-2-one, displayed higher coefficients (Fig. 7). The associated colors would be more bluish for these odorants following low *Arousal* ratings. The remaining descriptive ratings did not reveal any practical differences from zero for any odor.

The model for  $L^*$ -value prediction demonstrated significant coefficients for *Strength* and intercepts for all odorants. The coefficients of *Strength* ratings indicated that the associated colors would be darker for all odorants following an increase in ratings (Fig. 8). We displayed some examples to exhibit the relationship between the *Strength* ratings and the lightness of colors (Fig. 9), which revealed that the colors would be darker for higher ratings.

## Discussion

There were two purposes of this study. The first aim was to identify the descriptive evaluations of odors to predict the associated colors, while the second aim was to predict the influence of the ratings on hue and lightness of the associated colors, accounting for the differences in the odor types. The results demonstrated that the *Edibility* and *Arousal* ratings were related to the color hues with several odors, represented by the  $a^*$ - and  $b^*$ - axes. Based on the odor type, the effects of *Edibility* on color hue are represented in different hue axes, namely  $a^*$  or  $b^*$ . The *Strength* of the odor was related to the color lightness in any odorant. The descriptive ratings of *Edibility* and *Arousal* mediated the hues of associated colors for specific odors, and odor *Strength* generally mediated the lightness of the associated colors.

First, we will discuss the effects of *Edibility* on odor-color associations. The multilevel regression model using Bayesian estimation revealed that *Edibility* and *Arousal* ratings were related to some odor-color associations in the color hue. The positive values in the *Edibility* coefficients are presented in three odors for the  $a^*$ - regression model. The associated colors of these three odors were reddish for higher *Edibility* ratings. The *Edibility* coefficients for  $b^*$ - regression demonstrated significant positive coefficients for the five odorants. The positive coefficients in the  $b^*$ - model indicated that the associated colors of the five odorants were yellowish following an increase in *Edibility*. The specificity of the *Edibility* coefficients with the

two color-hue regression models, i.e.,  $a^*$ - and  $b^*$ - models in CIE  $L^*a^*b^*$  space, resulted in the following two suggestions: 1) the descriptor of *Edibility* can mediate the associated colors with various odorants (in this case, eight of 13 odorants) and 2) the color-associations were mediated by the *Edibility* ratings in either of two axes, namely  $a^*$ - or  $b^*$ - in the CIE  $L^*a^*b^*$  color space. The associated colors are not simultaneously mediated by the  $a^*$ - and  $b^*$ - values. These speculations were consistent with a report that demonstrated that the odors with higher edibility tended to be yellow colors (Maric & Jacquot 2013). On the other hand, for strawberry odors, several studies reported conflicting associated colors, red or pink (Dematte et al. 2006; Osterbauer et al. 2005); however, green was reported in an Australian study (Stevenson et al. 2012). In our analysis, strawberry aldehyde was associated with reddish colors when the odor was evaluated highly edible. Moreover, the odor from almond essence was reportedly associated with blue color (Stevenson et al. 2012); however, our model in 2-ethyl pyrazine, which is the main component of burned nuts, demonstrated reddish colors when highly edible. The varied association could be influenced by the difference in the regions and cultural backgrounds (Jacquot et al. 2016; Levitan et al. 2014; Nehmé et al. 2016) between Western and Japanese people in East Asia. Cultural backgrounds can vary in the olfactory perception, recognition performance (Chrea et al. 2004), and pleasantness of odors (Herz 2005).

Our results on the effects of *Edibility* on odor-color associations were supported by

conventional studies, which have proposed the importance of edibility in odor description and olfactory discrimination in terms of psychological and neurophysiological aspects. For example, in the odor naming task of Dutch words, odors that were evaluated as "edible" were correctly labeled more frequently than those that were considered of "low edibility" (Huisman & Majid 2018). In the neuronal activity in the olfactory system, edibility (or toxicity as the flip side of edibility) is one of the primary dimensions of human odor perception (Haddad et al. 2010). Smeets and Dijksterhuis have reported on the efficacy of food odors as goal primes, such as digestion and appetite regulation (Smeets & Dijksterhuis 2014). Gustatory sensation can be affected other sensory inputs. Oleszkiewicz and colleagues reported that individuals with blindness and deafness showed different taste sensitivity and liking, probably owing to less gustatory experiences (Oleszkiewicz et al. 2023). Functional MRI studies have demonstrated that the presentation of congruent odor-color pairs induces higher activity in the orbitofrontal cortex, whose activity diminishes by satiety, than incongruent odor-color combinations (Osterbauer et al. 2005). Our finding that the odor-color association was mediated by the *Edibility* rating was consistent with these studies.

Regarding the b\*-values in the blue and yellow axes in the CIE L\*a\*b\* space, *Arousal* ratings displayed higher coefficients for two odorants, namely hydroxy citronellal and 4-Methyl-3-penten-2-one. These odors may have simultaneously activated trigeminal sensations with

olfactory sensations owing to the olfactory features of these odorants. According to the TGSC Information System, the odor types of hydroxy citronellal were "fresh," and those of 4-Methyl-3-penten-2-one were "pungent." One probable interpretation is that these odorants activate the intranasal chemosensory trigeminal system. The trigeminal sensation induces arousal feelings, and the *Arousal* rating may affect the  $b^*$ -values. These results suggested that trigeminal sensation induced a yellowish color. Michael et al. reported that some colors can relate to specific nasal thermal sensations (Michael & Rolhion 2008). However, we could not determine a relationship between the color association and nasal sensation in the present study. This necessitates further investigation to determine the association between yellowish color and arousal.

Although several odors showed significant relationships with  $a^*$ - or  $b^*$ - values in the models as discussed above, the remaining odors did not demonstrate any significant relationship between the associated color hues and present descriptors. Our findings could not conclude that the descriptive ratings of odors did not mediate the pairs of odor-color associations. The present experiment investigated only five descriptors of odor impressions. Researchers should assess other candidates for descriptive ratings to determine if these ratings relate to the parameters of the associated colors.

In the  $L^*$ -axis of lightness, the *Strength* rating was negative from 0 for all the tested odorants. Thus, the associated colors of the tested odorant were darker upon evaluating the



odorants as strong. This finding was consistent with those of a previous study that reported on an inverse relationship between odor intensity and color lightness (Kemp & Gilbert 1997). We confirmed that odor intensity can globally modulate the lightness of the associated color using our procedure and analyses.

This study introduced a Bayesian multilevel regression model to predict the effects of descriptive ratings on the parameters of the associated colors. This multilevel model enabled the incorporation of odor-specific effects that varied across odor types. Furthermore, such models can represent complex structures by assuming conditional dependencies. The Bayesian multilevel model did not begin with the assumption that the null hypothesis was true; therefore, this method did not consider type-1 error from multiple comparisons, as do conventional statistical methods (Gelman et al. 2012). The present multilevel model included random slopes and intercepts among the odors, without multiple comparisons. Using the aforementioned model, we estimated each odor parameter derived from the common parameters of all odors. This estimation could represent the difference in odors with descriptive ratings using the identical model.

Our measurement method had several limitations. Our experiment determined the response colors within a circle in the CIE  $L^*a^*b^*$  with a fixed radius. It aimed to prevent manipulative confusion and errors during the response to the associated colors. For further investigation, researchers should measure the associated colors using free radius of color hues and a continuous degree of

lightness. Furthermore, the measurement of descriptive ratings requires improvement. The study warranted higher linguistic descriptors to elucidate the relationship between descriptive expression and odor-color association; nonetheless, our findings confirmed that the *Edibility* rating of odorants could mediate odor-color associations. Future studies should focus on variable food-related descriptors and odor-color associations with continuous measurements.

We do not enforce that the tested odor should be associated with the colors as demonstrated in our manuscript (e.g., 2-ethyl pyrazine and reddish colors). Our models aimed to investigate the influence of olfactory descriptive ratings on the feature of associated colors. Furthermore, investigation was only performed in individuals with a similar cultural background in Japan. Cross cultural difference comparison would be possible if the proposed method is used for other populations with different cultural backgrounds.

## Conclusions

In summary, we investigated the impact of descriptive ratings on the associated colors from odors using the Bayesian multilevel regression model. Our analysis could predict the olfactory description that would be useful to expect an associated color for each odor. The results indicated that their *Edibility* or *Arousal* ratings mediated the hue values of the associated colors of some odors. In contrast, the *Strength* of odors generally mediated the lightness of their associated colors. Our investigation and measurement procedures will contribute to the understanding of

446 odor-color association.

447

## Declarations

**Ethics approval:** The ethics committee of Kyushu University approved the current experiment.

All procedures were performed in accordance with the approved guidelines of the ethics committee of the Faculty of Arts and Science, Kyushu University.

**Consent to participate:** All participants provided written informed consent in accordance with the tenets of the Declaration of Helsinki before participation.

**Consent to publish:**

The authors affirm that human research participants provided informed consent for publication of the recorded data.

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## Figure captions

**Fig. 1 Experiment design and environment.** a) Experiment procedure; b) experiment environment and a 20-mL vial containing 15 µl of the odorant solution; and c) procedure to respond to the associated colors and descriptive ratings after sniffing an odorant; d) the circle in the CIELa\*b\* color space to determine the color hue from cursor rotation. The labels around the

circle's perimeter indicate color names generally called.

First, the center rectangle's color hue was changed by rotating the cursor. The color hue was determined by the cursor angle  $\theta$  and the circle in the color space shown in d). Second, the lightness was selected based on three steps. Third, after defining the associated color, the participants provided descriptive ratings using the visual analog scale.

**Fig. 2 Color reproducibility between the different days in a) a\*-axis, b) b\*-axis, and c) L\*-axis values.** Gray bars indicated the 95% Bayesian confidence interval. Black circles indicate estimated Bayesian mean values.

**Fig. 3 The mean and 95% confidence interval (CI) of the coefficients of *Edibility*, *Strength*, *Familiarity*, *Pleasantness*, and *Arousal* estimated by the Bayesian multilevel regression model for the a\*-value prediction**

The black vertical line indicates 0. The black dots indicate the Bayesian mean, and bold bars indicate 95% Bayesian CI. The red bars indicate 95% significant coefficients, and the gray bars indicate non-significant coefficients.

**Fig. 4 Plots of associated colors selected by each participant for significant odors in the**



# **regression model for $a^*$ prediction**

(left) The selected colors are displayed along with the *Edibility* ratings. (middle) The colors are modified to denote changes on the  $a^*$ -axis in the CIEL $^*a^*b^*$  space. The  $a^*$  values reflect the individual responses of the associated colors. The colors of  $b^*$  and  $L^*$  values are fixed ( $L^* = 70$ ,  $b^* = 38$ ; refer to Materials and Methods). The colors are reddish for higher  $a^*$ -values. (right) The  $a^*$  values of the selected colors. The color bars indicate the range of  $a^*$ - values.

## **Fig. 5 The mean and 95% confidence interval (CI) of the coefficients of *Edibility*, *Strength*, *Familiarity*, *Pleasantness*, and *Arousal* estimated by the Bayesian multilevel regression model for $b^*$ -value prediction**

The black vertical line indicates 0. The black dots indicate the Bayesian mean, and bold bars indicate 95% Bayesian CI. The red bars indicate 95% significant coefficients, and the gray bars indicate non-significant coefficients.

## **Fig. 6 Plots of the associated colors selected by each participant for significant odors in the regression model for $b^*$ prediction**

(left) The selected colors are displayed along with the *Edibility* ratings. (middle) The colors are modified to denote changes on the  $b^*$ -axis in the CIEL $^*a^*b^*$  space. The  $b^*$  values reflect the

individual responses of associated colors. The colors of  $a^*$  and  $L^*$  values are fixed ( $L^* = 70$ ,  $a^* = 20$ ; refer to Materials and Methods). The colors are yellowish for higher  $b^*$ -values. (right) The  $b^*$  values of the selected colors. The color bars indicate the range of  $b^*$ - values.

**Fig. 7 Plots of the associated colors selected by each participant for significant odors in the regression model for  $b^*$  prediction**

(left) The selected colors are displayed along with the *Arousal* ratings. (middle) The colors are modified to denote changes on the  $b^*$ -axis in the CIEL $^*a^*b^*$  space. The  $b^*$  values reflect the individual responses of associated colors. The colors of  $a^*$  and  $L^*$  values are fixed ( $L^* = 70$ ,  $a^* = 20$ ; see Materials and Methods). The colors are yellowish for higher  $b^*$ -values. (right) The  $b^*$  values of the selected colors. The color bars indicate the range of  $b^*$ - values.

**Fig. 8 The mean and 95% confidence interval (CI) of the coefficients of *Strength*, *Edibility*, *Familiarity*, *Pleasantness*, and *Arousal* estimated by the Bayesian multilevel regression model for  $L^*$ -value prediction**

The black vertical line indicates 0. The black dots indicate the Bayesian mean, and bold bars indicate 95% Bayesian CI. The red bars indicate 95% significant coefficients, and the gray bars indicate non-significant coefficients.

611

612 **Fig. 9 Example plots of the associated colors selected for each odor by each participant**

613 (left) The selected colors are displayed along with the *Strength* ratings. (right) The  $L^*$  values of  
 614 the selected colors. The color bars indicate the range of  $L^*$ - values. The figure denotes two  
 615 examples of odors and the lightness of the associated colors; however, all odors indicate significant  
 616 effects on the *Strength* of the  $L^*$  values (refer to Fig. 8).

617

618

# **Table 1**(on next page)

The odorants and concentrations with solvents

1 **Table 1: The odorants and concentrations with solvents**

Odorants	Concentration	Solvents
	[%]	
Trimethyl amine (30%)	0.025	Water
Butanoic acid	1.000	Water
4-ethyl-2-methoxyphenol	0.100	1,2-propanediol
2-ethyl pyrazine	0.400	Mineral oil
2,3-dimethylpyrazine	0.200	1,2-propanediol
Limonene	5.000	Mineral oil
Strawberry aldehyde (ethyl 3-methyl-3-phenylglycidate)	1.000	1,2-propanediol
4-methyl-3-penten-2-one	1.000	1,2-propanediol
Butyl acetate	1.000	1,2-propanediol
(1S)-(-)- $\alpha$ -Pinene	15.000	Mineral oil
Hydroxy citronellal	50.000	Mineral oil
Isovaleric acid	0.010	Mineral oil
Propane-1-thiol	0.001	1,2-propanediol

2

## **Table 2**(on next page)

Pearson's correlation coefficients among the descriptive ratings

1 **Table 2: Pearson's correlation coefficients among the descriptive ratings**

	<i>Strength</i>	<i>Pleasantness</i>	<i>Familiarity</i>	<i>Edibility</i>	<i>Arousal</i>
<i>Strength</i>	-	-0.053	0.20	0.48	-0.0030
<i>Pleasantness</i>		-	0.45	0.12	0.45
<i>Familiarity</i>			-	0.21	0.50
<i>Edibility</i>				-	-0.087
<i>Arousal</i>					-

2

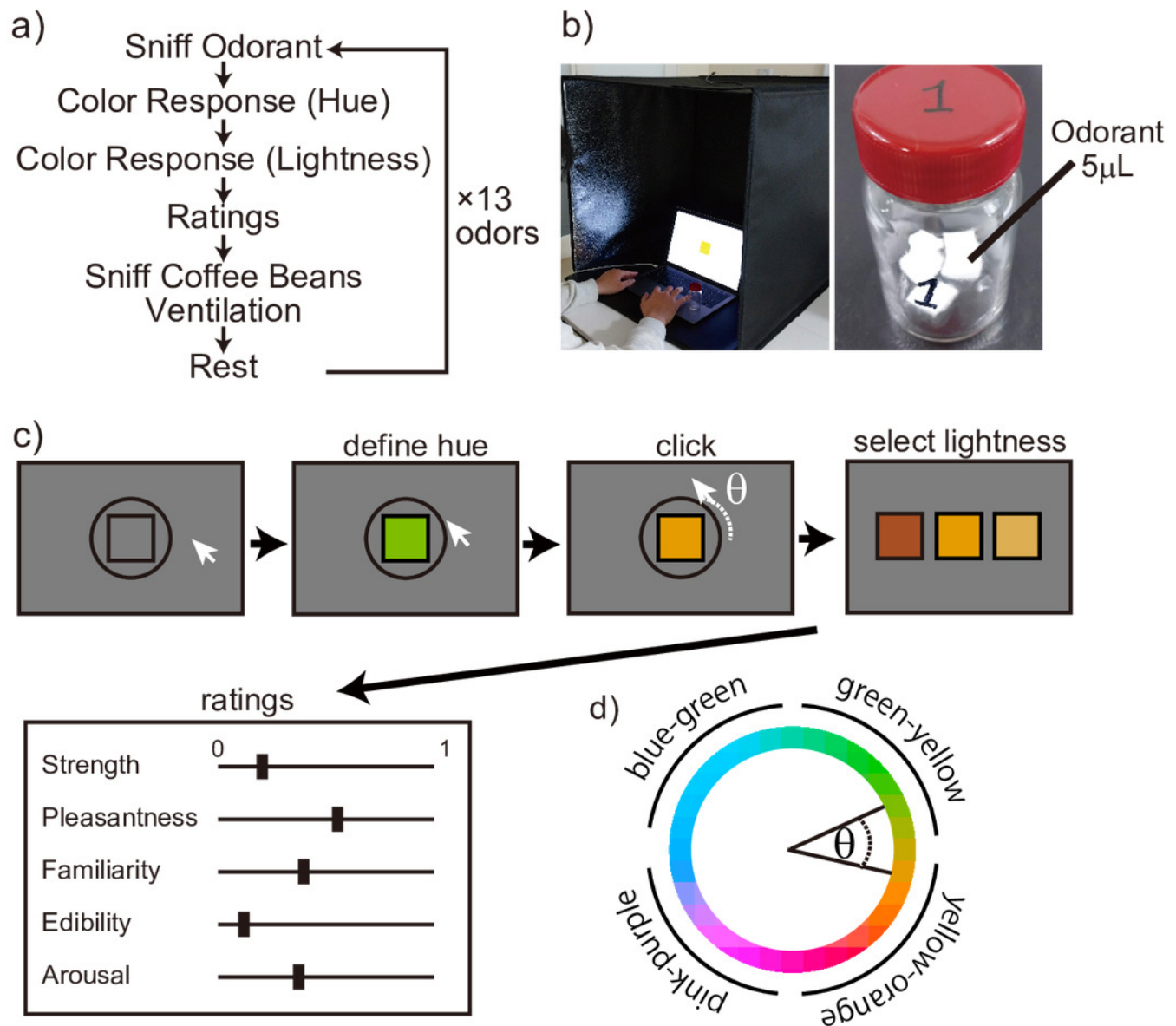
# Figure 1

Experiment design and environment.

a) Experiment procedure; b) experiment environment and a 20-mL vial containing 15  $\mu$ l of the odorant solution; and c) procedure to respond to the associated colors and descriptive ratings after sniffing an odorant; d) the circle in the CIEL\*a\*b\* color space to determine the color hue from cursor rotation. The labels around the circle's perimeter indicate color names generally called.

First, the center rectangle's color hue was changed by rotating the cursor. The color hue was determined by the cursor angle  $\theta$  and the circle in the color space shown in d). Second, the lightness was selected based on three steps. Third, after defining the associated color, the participants provided descriptive ratings using the visual analog scale.

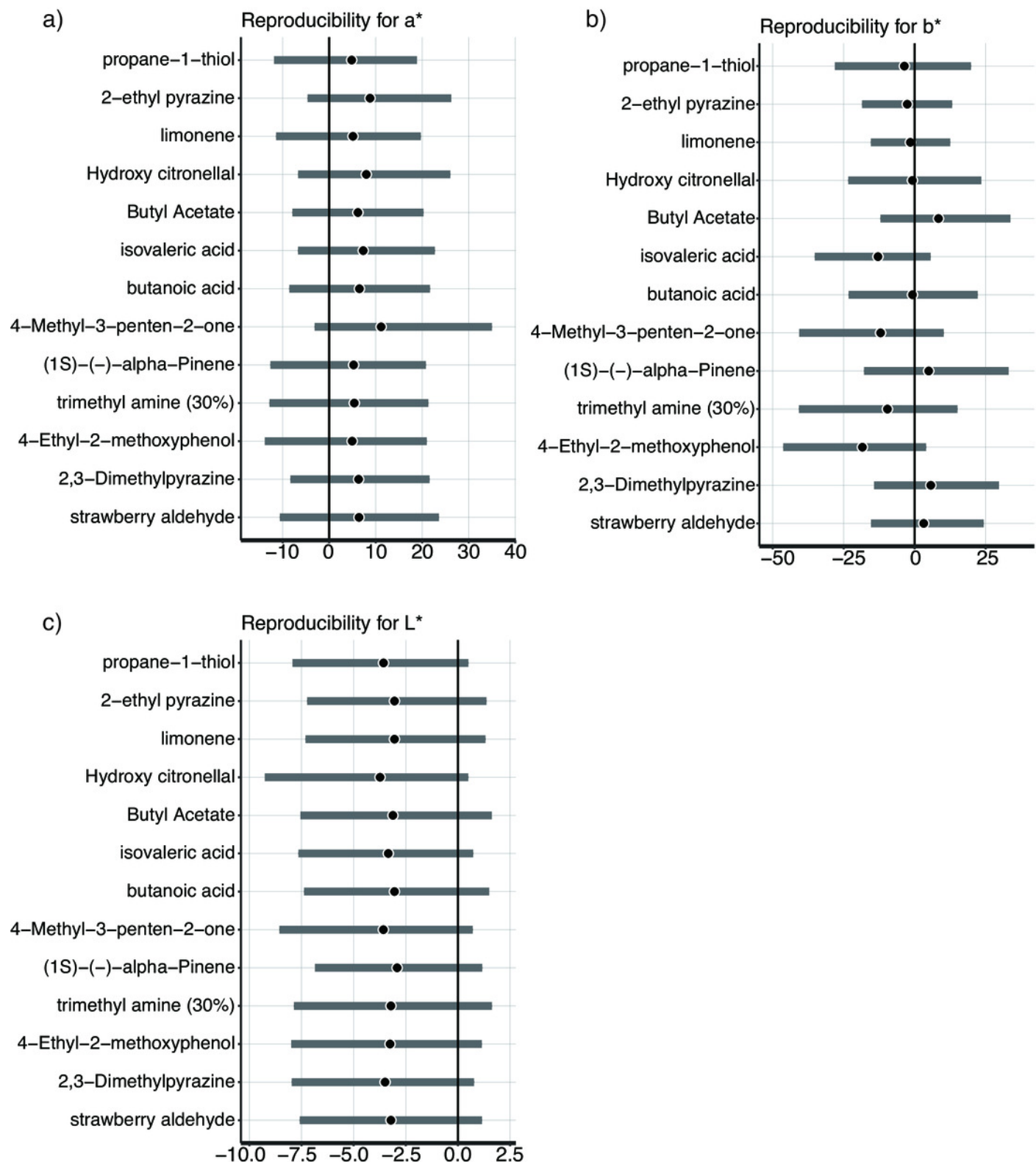




# Figure 2

Color reproducibility between the different days in a)  $a^*$ -axis, b)  $b^*$ -axis, and c)  $L^*$ -axis values.

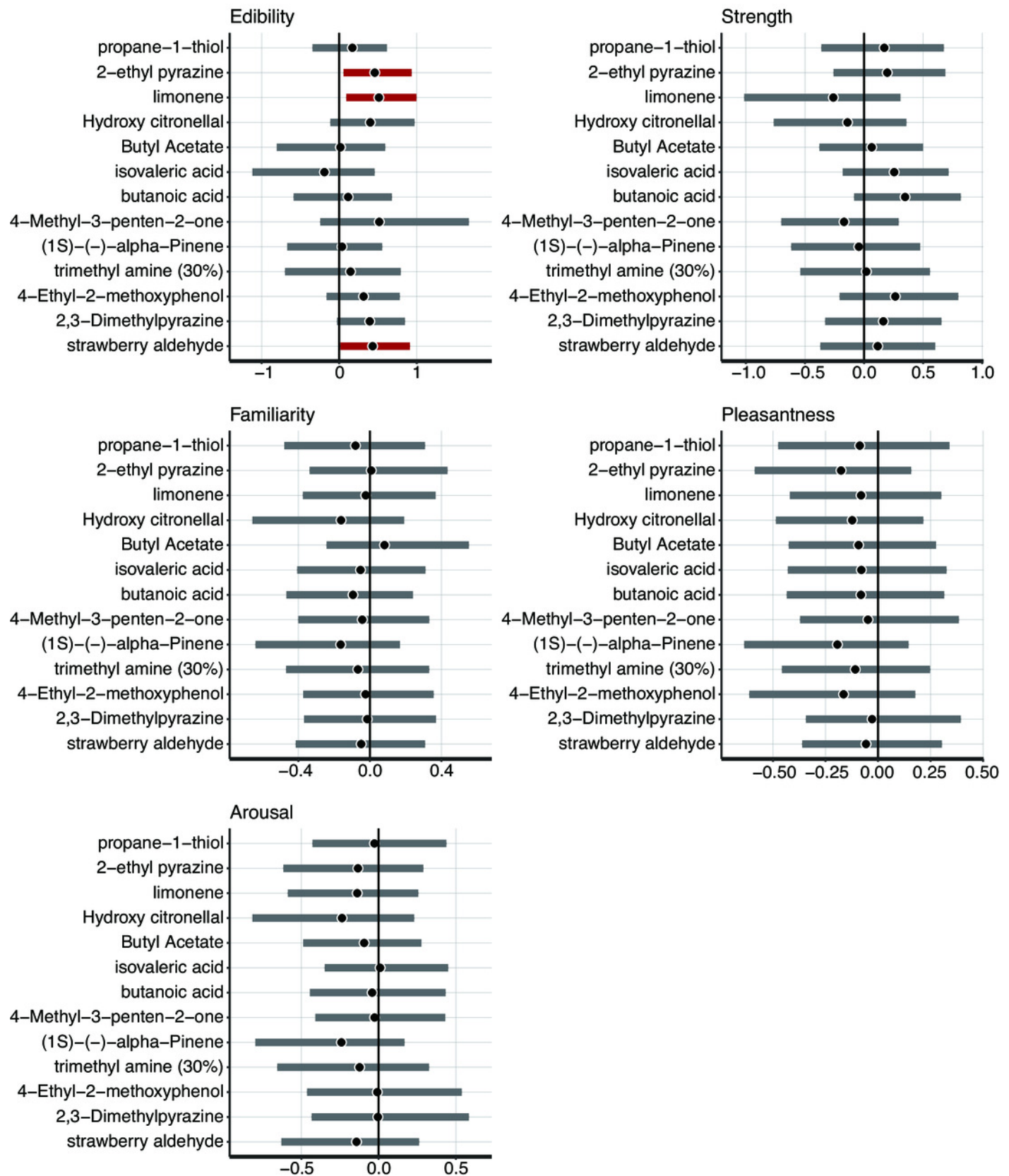
Gray bars indicated the 95% Bayesian confidence interval. Black circles indicate estimated Bayesian mean values.



# Figure 3

The mean and 95% confidence interval (CI) of the coefficients of *Edibility*, *Strength*, *Familiarity*, *Pleasantness*, and *Arousal* estimated by the Bayesian multilevel regression model for the a\*-value prediction

The black vertical line indicates 0. The black dots indicate the Bayesian mean, and bold bars indicate 95% Bayesian CI. The red bars indicate 95% significant coefficients, and the gray bars indicate non-significant coefficients.

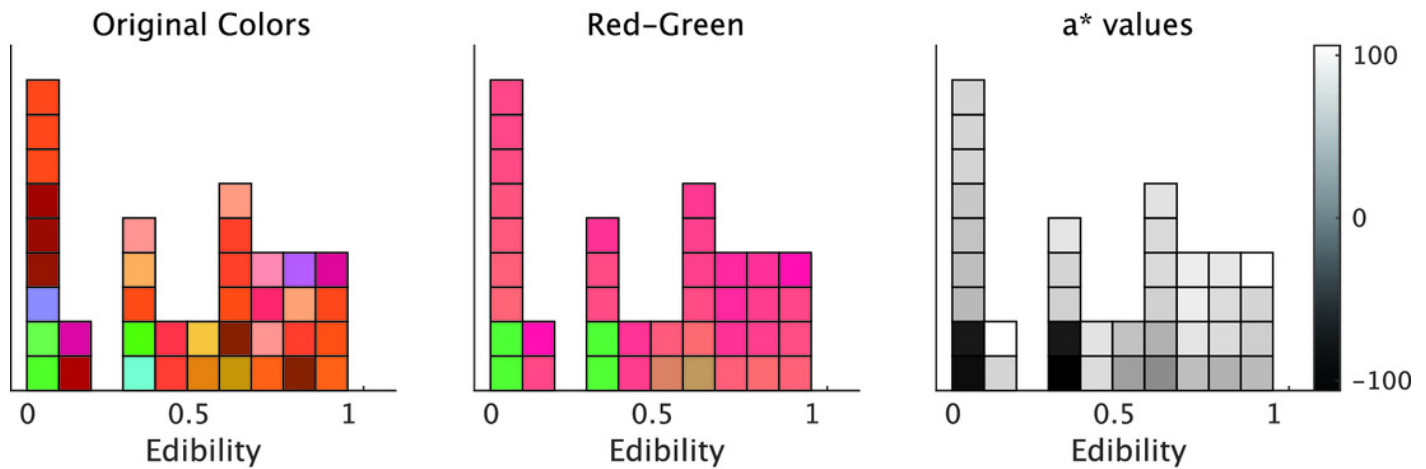


# Figure 4

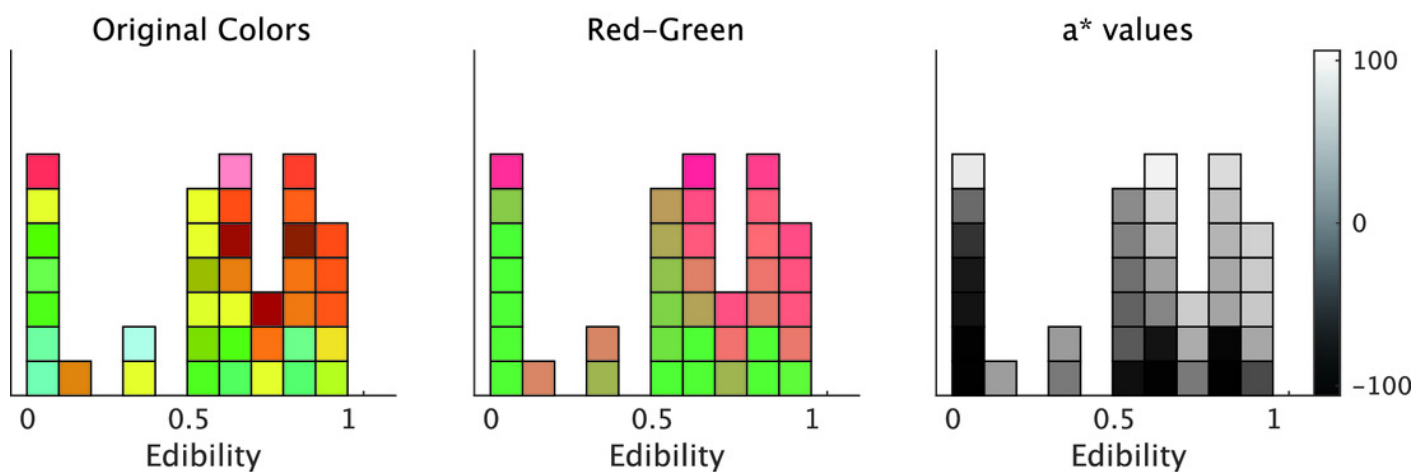
Plots of associated colors selected by each participant for significant odors in the regression model for  $a^*$  prediction

(left) The selected colors are displayed along with the *Edibility* ratings. (middle) The colors are modified to denote changes on the  $a^*$ -axis in the CIEL $^*a^*b^*$  space. The  $a^*$  values reflect the individual responses of the associated colors. The colors of  $b^*$  and  $L^*$  values are fixed ( $L^* = 70$ ,  $b^* = 38$ ; refer to Materials and Methods). The colors are reddish for higher  $a^*$ -values. (right) The  $a^*$  values of the selected colors. The color bars indicate the range of  $a^*$ - values.

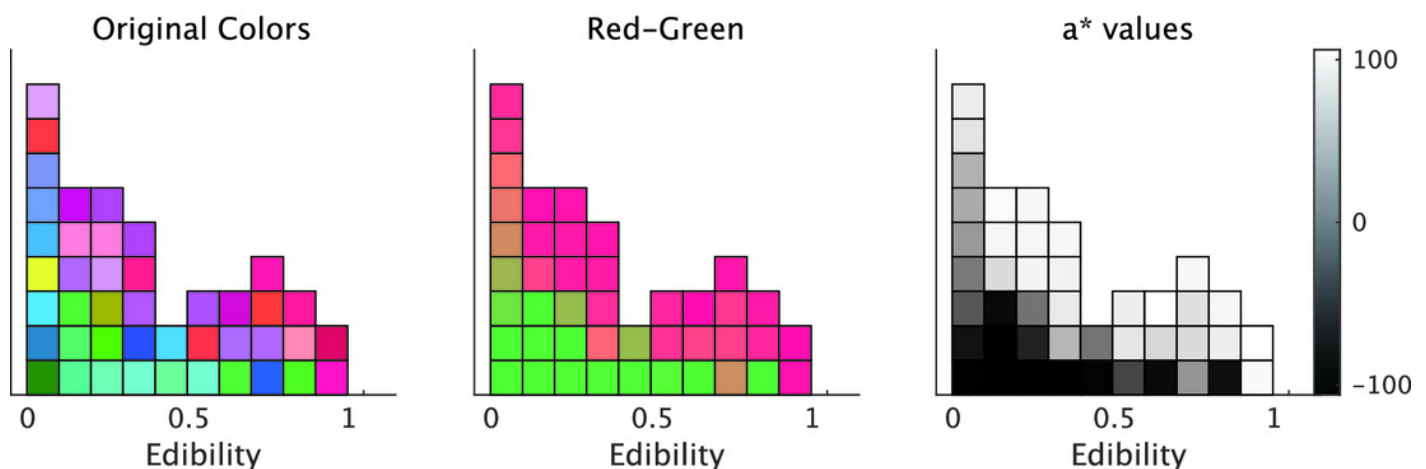
## 2-ethyl pyrazine



## limonene



## strawberry aldehyde

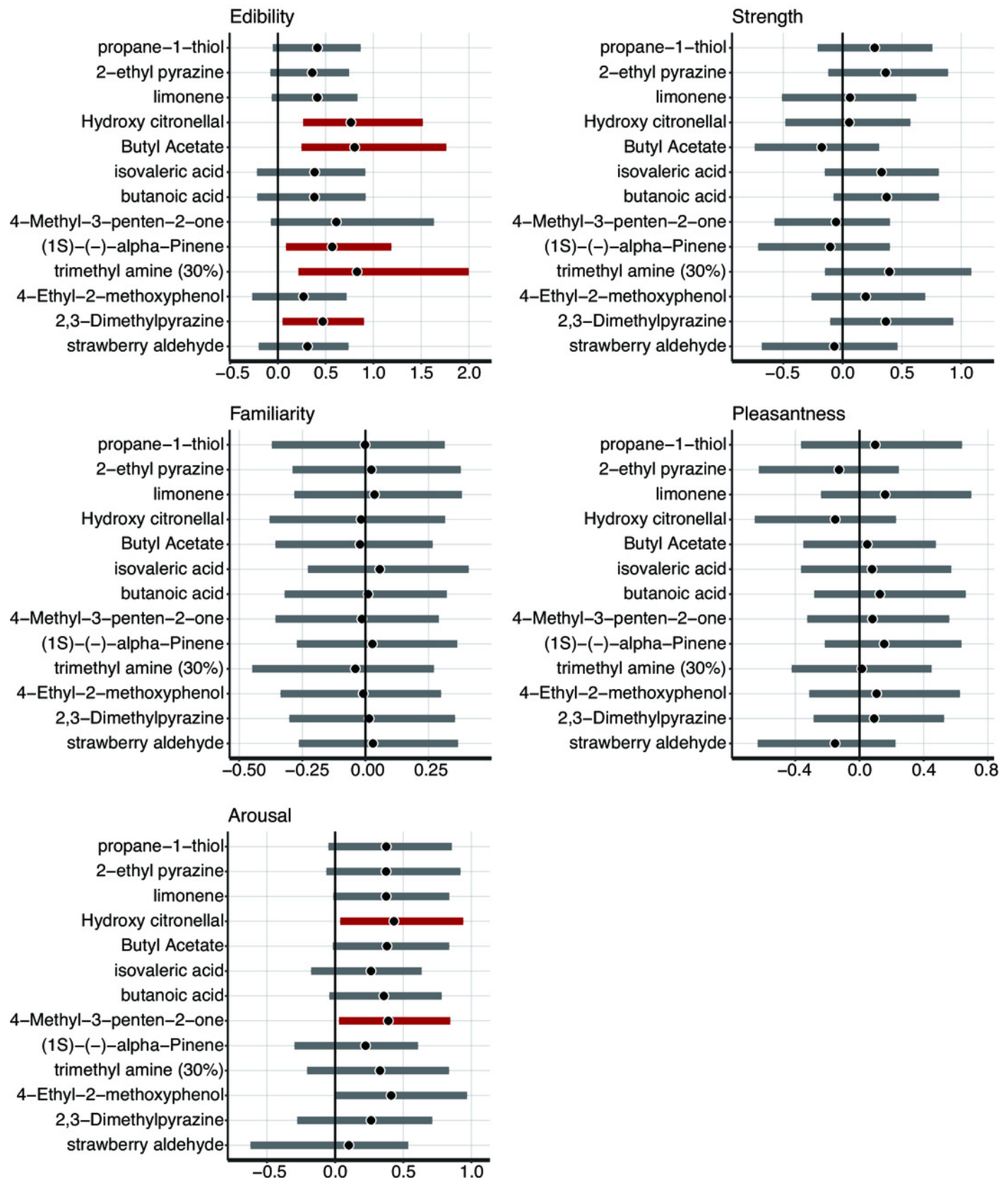


# Figure 5

The mean and 95% confidence interval (CI) of the coefficients of *Edibility*, *Strength*, *Familiarity*, *Pleasantness*, and *Arousal* estimated by the Bayesian multilevel regression model for b\*-value prediction

The black vertical line indicates 0. The black dots indicate the Bayesian mean, and bold bars indicate 95% Bayesian CI. The red bars indicate 95% significant coefficients, and the gray bars indicate non-significant coefficients.

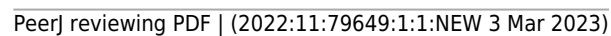




# Figure 6

Plots of the associated colors selected by each participant for significant odors in the regression model for  $b^*$  prediction

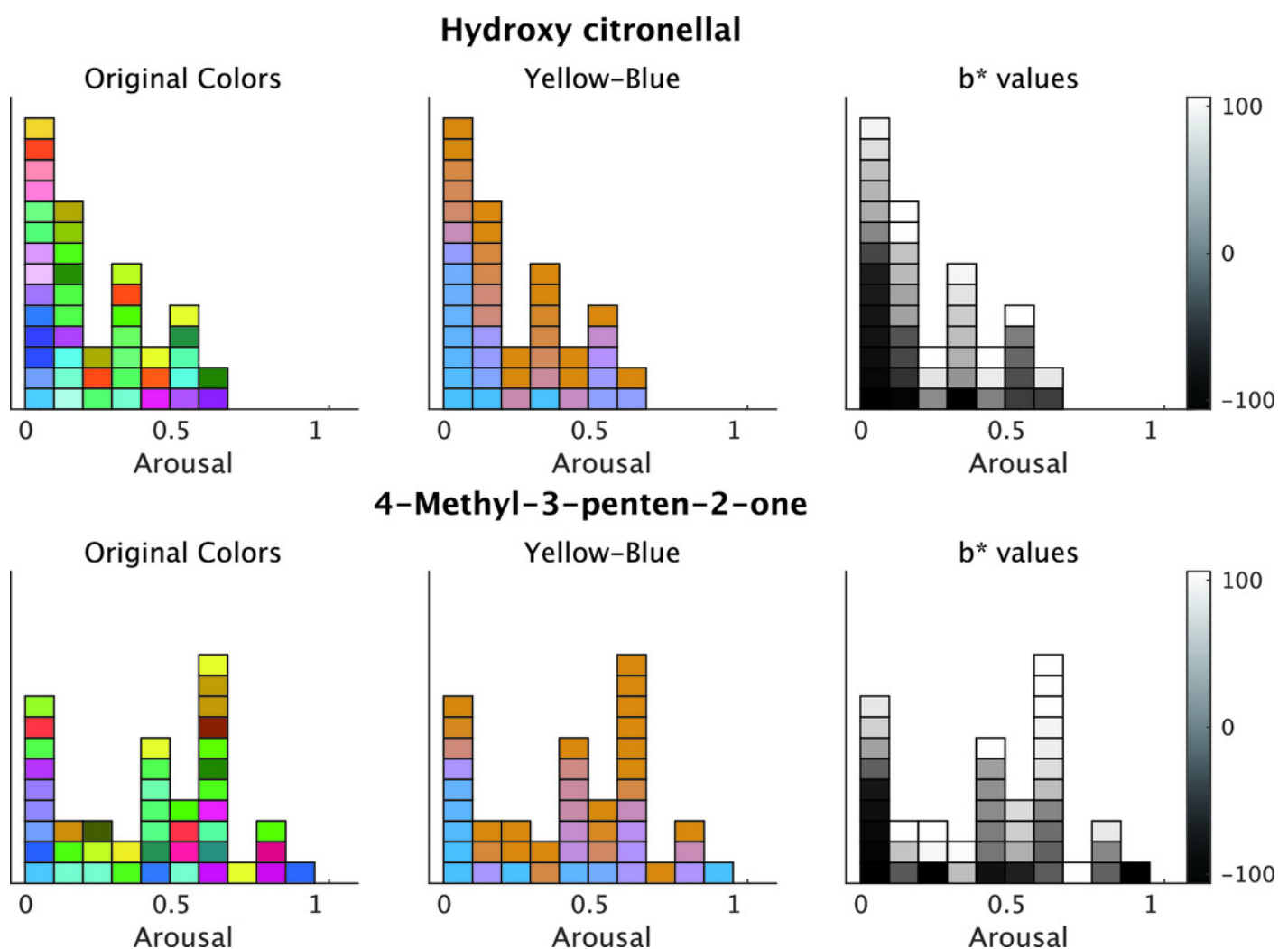
(left) The selected colors are displayed along with the *Edibility* ratings. (middle) The colors are modified to denote changes on the  $b^*$ -axis in the CIEL\*a\*b\* space. The  $b^*$  values reflect the individual responses of associated colors. The colors of  $a^*$  and  $L^*$  values are fixed ( $L^* = 70$ ,  $a^* = 20$ ; refer to Materials and Methods). The colors are yellowish for higher  $b^*$ -values. (right) The  $b^*$  values of the selected colors. The color bars indicate the range of  $b^*$ - values.



# Figure 7

Plots of the associated colors selected by each participant for significant odors in the regression model for  $b^*$  prediction

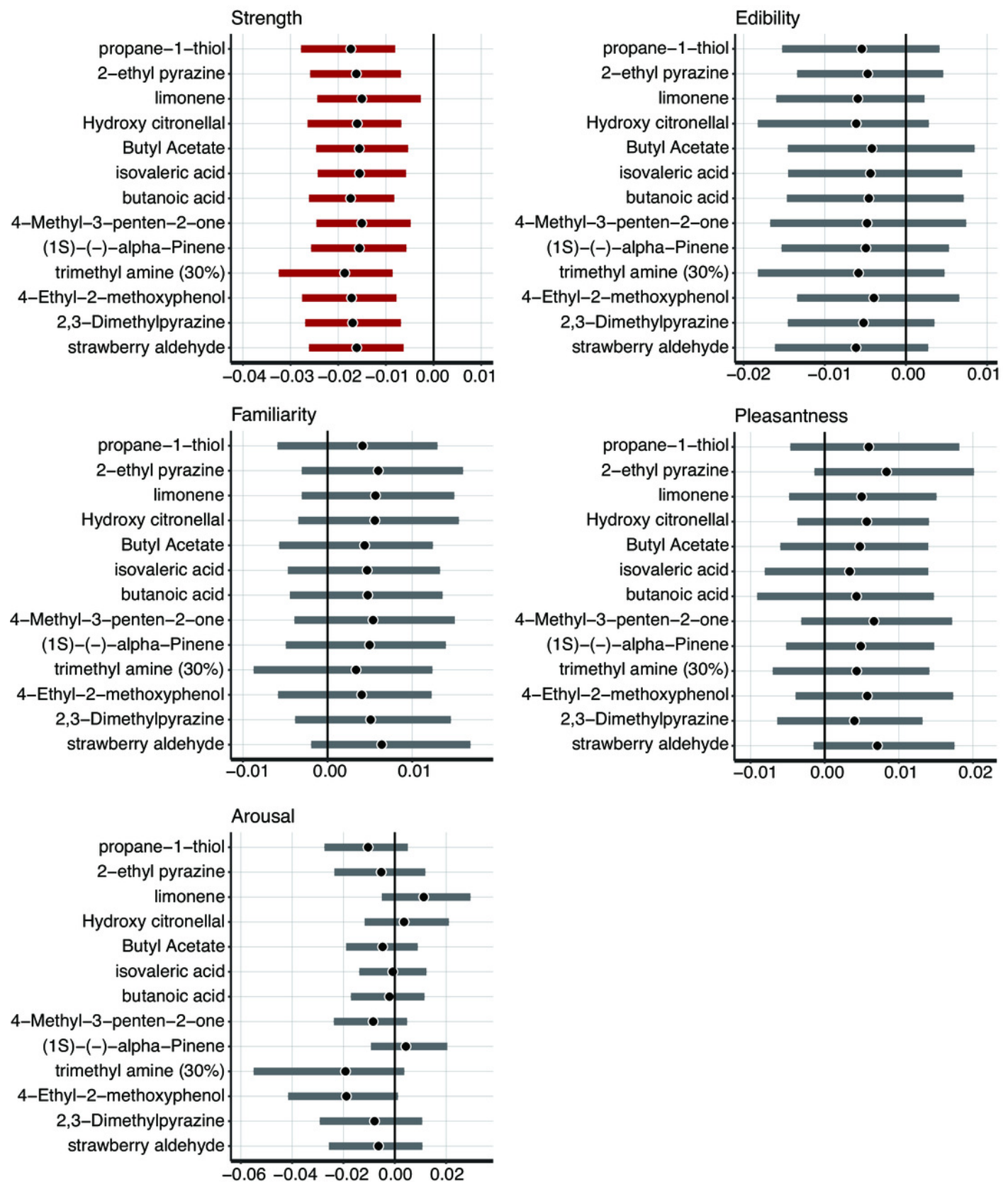
(left) The selected colors are displayed along with the *Arousal* ratings. (middle) The colors are modified to denote changes on the  $b^*$ -axis in the CIEL\*a\*b\* space. The  $b^*$  values reflect the individual responses of associated colors. The colors of  $a^*$  and  $L^*$  values are fixed ( $L^* = 70$ ,  $a^* = 20$ ; see Materials and Methods). The colors are yellowish for higher  $b^*$ -values. (right) The  $b^*$  values of the selected colors. The color bars indicate the range of  $b^*$ - values.



# Figure 8

The mean and 95% confidence interval (CI) of the coefficients of *Strength*, *Edibility*, *Familiarity*, *Pleasantness*, and *Arousal* estimated by the Bayesian multilevel regression model for L\*-value prediction

The black vertical line indicates 0. The black dots indicate the Bayesian mean, and bold bars indicate 95% Bayesian CI. The red bars indicate 95% significant coefficients, and the gray bars indicate non-significant coefficients.

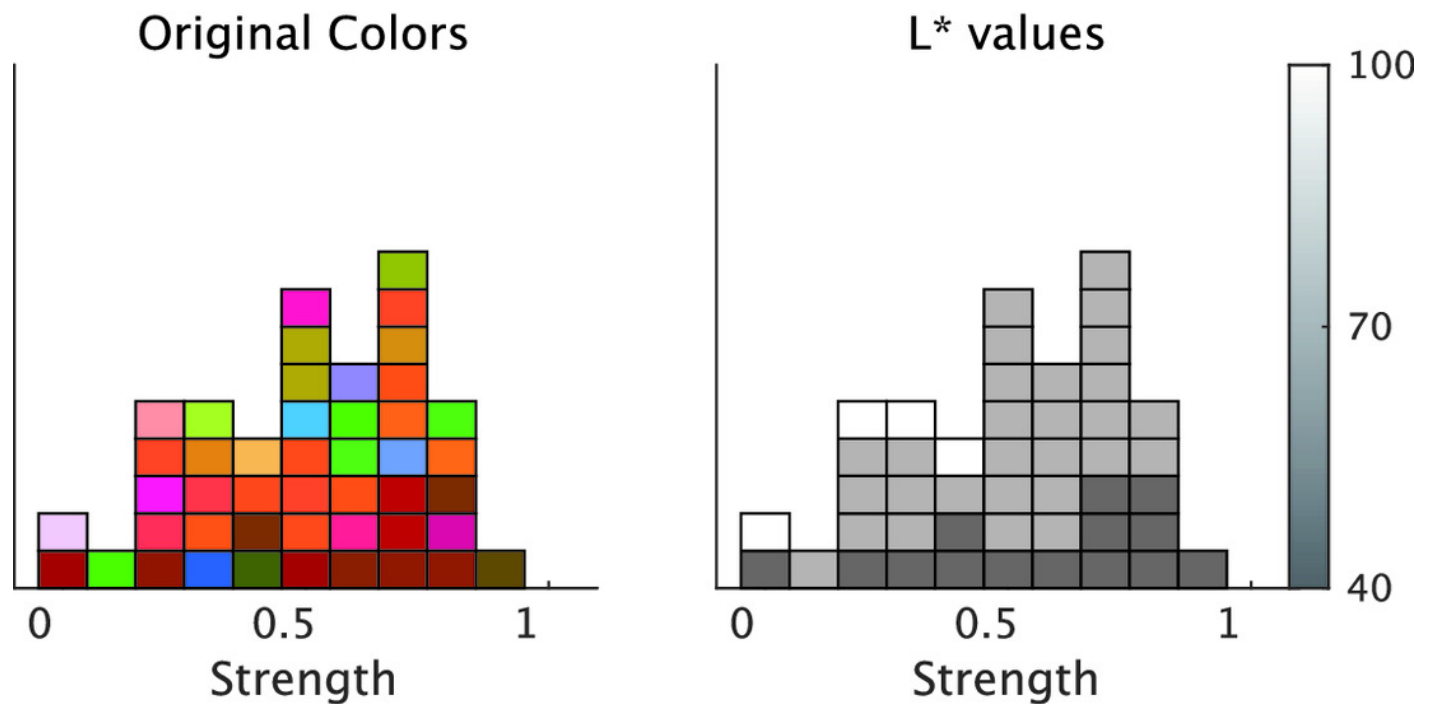


# Figure 9

Example plots of the associated colors selected for each odor by each participant

(left) The selected colors are displayed along with the *Strength* ratings. (right) The  $L^*$  values of the selected colors. The color bars indicate the range of  $L^*$ - values. The figure denotes two examples of odors and the lightness of the associated colors; however, all odors indicate significant effects on the *Strength* of the  $L^*$  values (refer to Fig. 8).

# propane-1-thiol



# 4-Ethyl-2-methoxyphenol

