

# Machine learning aided multiscale modelling of the HIV-1 infection in the presence of NRTI therapy

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Human Immunodeficiency Virus (HIV) is one of the most common chronic infectious diseases in humans. Increasing the expected lifetime of the patients depends on the use of optimal antiretroviral therapies. The emergence of drug-resistant strains can reduce the effects of treatments and lead to Acquired Immunodeficiency Syndrome (AIDS), even with antiretroviral therapy. Investigating genotype-phenotype relationships is a crucial process for optimizing the therapy protocols of the patients. Here, a mathematical modelling framework is proposed to address the impact of initial strains, timing of initiation, and adherence levels of nucleotide reverse transcriptase inhibitors (NRTIs) on the emergence of a possible AIDS phase. For the first time, the existing Stanford HIV drug resistance data have been combined with a multi-strain within-host ordinary differential equation (ODE) model to track the dynamics of the most common NRTI resistant strains. Regardless of drug choice, late initiation and poor adherence levels to the NRTI therapy increases the probability of the emergence of the AIDS phase. Overall, the D4T-3TC, D4T-AZT and TDF-D4T drug combinations have been shown to provide higher success rates. The results are in line with the genotype-phenotype data and pharmacokinetic parameters of the NRTI inhibitors, but we show that the undetectable mutant generations of those detected at diagnosis have a significant effect on the success/failure rates of the proposed NRTI therapies. It has been recognized that the improvement of multi-scale models can contribute to the understanding of disease progression and treatment options, and potentially increase the reliability of genotype-phenotype models.

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## ABSTRACT

Human Immunodeficiency Virus (HIV) is one of the most common chronic infectious diseases in humans. Increasing the expected lifetime of the patients depends on the use of optimal antiretroviral therapies. The emergence of drug-resistant strains can reduce the effects of treatments and lead to Acquired Immunodeficiency Syndrome (AIDS), even with antiretroviral therapy. Investigating genotype-phenotype relationships is a crucial process for optimizing the therapy protocols of the patients. Here, a mathematical modelling framework is proposed to address the impact of initial strains, timing of initiation, and adherence levels of nucleotide reverse transcriptase inhibitors (NRTIs) on the emergence of a possible AIDS phase. For the first time, the existing Stanford HIV drug resistance data have been combined with a multi-strain within-host ordinary differential equation (ODE) model to track the dynamics of the most common NRTI resistant strains. Regardless of drug choice, late initiation and poor adherence levels to the NRTI therapy increases the probability of the emergence of the AIDS phase. Overall, the D4T-3TC, D4T-AZT and TDF-D4T drug combinations have been shown to provide higher success rates. The results are in line with the genotype-phenotype data and pharmacokinetic parameters of the NRTI inhibitors, but we show that the undetectable mutant generations of those detected at diagnosis have a significant effect on the success/failure rates of the proposed NRTI therapies. It has been recognized that the improvement of multi-scale models can contribute to the understanding of disease progression and treatment options, and potentially increase the reliability of genotype-phenotype models.

## INTRODUCTION

Antiretroviral drug resistance is one of the main barriers to therapy success for HIV-positive patients. According to the WHO, the HIV drug resistance report 2021, 10% and 40% of adults are affected by drug-resistant strains (DRS) for naive and treated patients, respectively. In addition, 50% of newly diagnosed infants were exposed to the DRS. The DRS can be acquired with nonadherence to the therapy protocols, or patients can directly be infected with DRSs (Blower et al., 2001). Both scenarios yield life-long persistence of the DRS and need to be carefully tracked by clinicians by suggesting optimal therapy protocols.

Quantitative evaluation of HIV drug resistance has been carried out with the use of phenosense assays by finding the fold-change of  $IC_{50}$  values (the amount of concentration to inhibit 50% of virion) between drug-resistant and wild-type strains (Zhang et al., 2005; Pham et al., 2018; Feng et al., 2016). It is time-consuming and expensive to account for all possible genotype-phenotype relationships with such experiments. On the other hand, data modelling frameworks have been used to construct general mathematical relations between genotype and phenotype information (Steiner et al., 2020; Tarasova et al., 2018; Shah et al., 2020). These mathematical models aim to generalize the given data by means of

46 encoding the amino acid sequence of target enzymes (Rhee et al., 2010). One of the main contributions of  
 47 the current study is to explore how these models can be embedded into a within-host model to answer  
 48 some critical questions about HIV dynamics.

49 For forecasting the viral dynamics of HIV, various within-host models have been presented in ordinary  
 50 differential equation (ODE) forms in the presence/absence of resistant strains and antiretroviral therapy  
 51 (Hadjiandreou et al., 2007; Perelson and Nelson, 1999; Dixit and Perelson, 2004; Rong et al., 2007;  
 52 Sutimin et al., 2017; Wu and Zhao, 2020; Chen et al., 2021). The proposed mathematical models assume  
 53 the co-existence of susceptible and resistant strains and generally investigate the response to antiretroviral  
 54 therapy (ART). Additionally, the effect of drug adherence on the virological failure of ARTs (Rosenbloom  
 55 et al., 2012), the effect of time-dependent drug efficiencies on ART response (Rong et al., 2007; Vaidya  
 56 and Rong, 2017), competition between susceptible and resistant strains on the viral dynamics (Ball et al.,  
 57 2007; Lythgoe et al., 2013) and latently infected CD4+ T cell reservoirs (Doekes et al., 2017) on the  
 58 evolution of strains have been investigated through within-host models. The current study addresses  
 59 similar questions with a novel multiscale model fed by the Stanford HIV Drug Resistance data and  
 60 machine learning models.

61 For the first time, we combined the experimental drug resistance data of nucleotide-reverse transcrip-  
 62 tase inhibitors (NRTI) available in the Stanford HIV drug resistance database with a within-host model  
 63 of HIV infection to observe the dynamics of the viral strains under different scenarios. Our multiscale  
 64 model brings together three pieces of information:  $IC_{50}$  values for each mutant with machine learning  
 65 models, within blood dynamics for NRTIs, and CD4+ T cells and macrophage cells for primary targets of  
 66 virions. We are particularly interested in stopping infections dominated by mutant strains. For different  
 67 mutant compositions, we aim to investigate the emergence of the AIDS phase for different initiation  
 68 timing (up to one year) and adherence level of NRTI therapies (21 different combinations). Our results  
 69 proposed the best NRTI combination for a patient with a mutant viral composition. Strikingly, our results  
 70 differ from the predictions of the Stanford HIV drug resistance database, which identifies the best drug by  
 71 selecting the one that has the lowest  $IC_{50}$  for a given mutant. But that model is a static model that cannot  
 72 incorporate the effects of new mutants that can be generated through time which is accounted for in our  
 73 model.

## 74 MATERIALS AND METHODS

### 75 Within-host model with wild-type virus

In this part, we have inspired from the earlier studies on the within-host HIV infection model (Hadjiandreou et al., 2007; Hernandez-Vargas, 2019; Hernandez-Vargas and Middleton, 2013). We assume that the primary reservoirs for HIV infection are: CD4+T cells and macrophages denoted by  $T(t)$  and  $M(t)$  (Hernandez-Vargas, 2019; Hernandez-Vargas and Middleton, 2013). The long-living macrophage cells cause the persistence of virions over the years (Orenstein, 2001; Herbein and Varin, 2010). Macrophage cells contribute to the depletion of healthy CD4 + T cells in advanced HIV infection (Crowe, 1995). Within-host modelling of HIV infection without considering the macrophage reservoirs yielded less realistic outcomes, such as the models that never result in the AIDS phase (Rong et al., 2007). We denote the HIV infected CD4+T cells and macrophages by  $T^*(t)$  and  $M^*(t)$ . Lastly, the number of free wild-type virions in the host is denoted by the function  $V(t)$ . By considering model assumptions like homeostatic cell proliferation terms ( $s_T, s_M$ ), bilinear incidence terms ( $k_T TV, k_M TM$ ), natural deaths of cells and virions ( $\delta_T T, \delta_M M, \delta_{T^*} T^*, \delta_{M^*} M^*, \delta_V V$ ), viral replication terms ( $p_T T^*, p_M M^*$ ) and the Michaelis-Menten type proliferation terms ( $\frac{\rho_T V}{c_T + V} T, \frac{\rho_M V}{c_M + V} M$ ), we express the one strain within-host model with the following system of ordinary differential equations (Hernandez-Vargas, 2019; Hernandez-Vargas and Middleton, 2013)

$$\begin{aligned} \frac{dT}{dt} &= s_T - k_T TV - \delta_T T + \frac{\rho_T V}{c_T + V} T \\ \frac{dT^*}{dt} &= k_T TV - \delta_{T^*} T^* \\ \frac{dM}{dt} &= s_M - k_M MV - \delta_M M + \frac{\rho_M V}{c_M + V} M \end{aligned} \quad (1)$$

$$\frac{dM^*}{dt} = k_M MV - \delta_{M^*} M^*$$

$$\frac{dV}{dt} = p_T T^* + p_M M^* - \delta_V V$$

76 where initial conditions are considered as  $T(0) = T_0$ ,  $T^*(0) = T_0^*$ ,  $M(0) = M_0$ ,  $M^*(0) = M_0^*$  and  
 77  $V(0) = V_0$ . Further details of the model (1) can be seen in the study of Hernandez-Vargas and Middleton  
 78 (2013). In the following section, we expand the model equation (1) to include both susceptible and  
 79 resistant multiple strains as well as NRTI therapy.

### 80 **Multi strain within-host model with NRTI therapy**

81 The ARTs include at least one of the NRTIs that aim to block the activation of the reverse transcriptase  
 82 enzyme. Effective treatment of HIV-positive patients with NRTIs saves millions of lives worldwide  
 83 (Tressler and Godfrey, 2012). However, the error-prone structure of the HIV replication yields resistant  
 84 strains over the years, and these strains are known to be a primary barrier to preventing AIDS (Kuritzkes,  
 85 2011). Our multiscale within-host model includes three main steps: constructing machine learning models  
 86 to generalize isolate-fold change data for NRTIs, a model for dealing with NRTI action in blood, and  
 87 finally, a within-host model with multi strains and NRTI therapy.

### 88 **An artificial neural network model for isolate-fold change relation**

89 There exists various genotype-phenotype experiment data, including the fold change values of  $IC_{50}$  (the  
 90 required drug concentration to inhibit 50% of virions) for various reverse transcriptase inhibitors in the  
 91 presence of susceptible and resistant isolates (Rhee et al., 2006). The most used genotype-phenotype  
 92 data is the Stanford HIV drug resistance database (<https://hivdb.stanford.edu/>). We use filtered genotype-  
 93 phenotype data of reverse transcriptase inhibitors available in this database and are widely used for various  
 94 machine learning algorithms (Amamuddy et al., 2017; Masso and Vaisman, 2013). By regulating the  
 95 data for each NRTI, 1224 unique mutations were observed for the reverse transcriptase enzyme. In  
 96 this filtered dataset, 1662 isolates for epivir (3TC), 1597 isolates for abacavir (ABC), 1683 isolates for  
 97 zidovudine (AZT), 1693 isolates for stavudin (D4T), 1693 isolates for didanosine (DDI) and 1354 isolates  
 98 for tenofovir (TDF) have been analyzed for NRTI susceptibility. The dataset includes 1206, 1136, 1220,  
 99 1223, 1223, and 1119 unique mutations for 3TC, ABC, AZT, D4T, DDI, and TDF, respectively.

Here, we apply the binary barcoding technique (Rhee et al., 2010) to represent the isolates occurring in  
 the dataset. Hence, 1224-dimensional input vectors of 0s and 1s are created by considering the existence  
 of unique mutations in the isolates. Let us denote our complete mutation set as  $X = \{x_1, x_2, \dots, x_{1224}\}$   
 where  $x_i$  is an NRTI specified mutation pattern. We define the binary representation of isolate  $j$  as  
 $I_j = \{a_1, a_2, \dots, a_{1224}\}$  with

$$a_k = \begin{cases} 1, & \text{if } x_k \in I_j \\ 0, & \text{otherwise.} \end{cases}$$

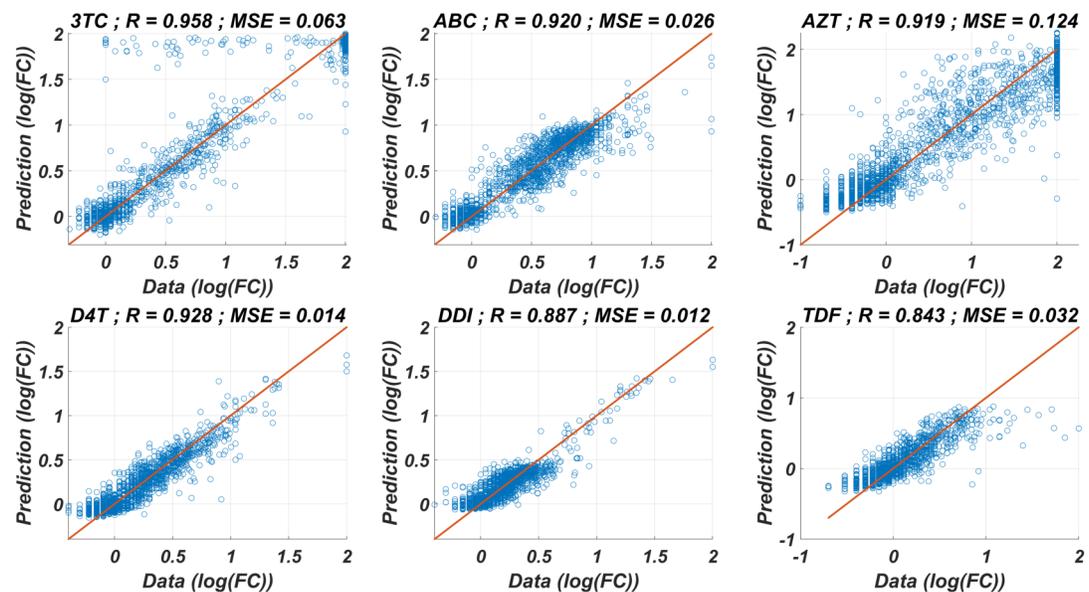
We construct six artificial neural networks (ANN) models to predict logarithmic fold-change values in the  
 presence of any isolates related to each NRTI therapy by using the Machine Learning and Deep Learning  
 toolbox of the MATLAB program. The ANN architectures include 1224-dimensional input, five hidden  
 layer neurons, and one output neuron with hyperbolic tangent-sigmoid and linear activation functions.  
 The model selection process is explained with detailed quantitative observations in Table S1. The scaled  
 conjugate gradient algorithm with MATLAB built-in function “trainscg” has been used in the training  
 process over GPU. Let us denote our model as a function that maps isolates to the fold changes as

$$\text{Fold Change} = ANN_X(\text{isolate})$$

100 where  $X$  is a specified inhibitor ( $X \in \{3TC, ABC, AZT, D4T, DDI, TDF\}$ ). To overcome possible  
 101 overfitting, we have implemented an ensemble learning process. For each inhibitor, the  $50 \times 100$  model  
 102 has been trained with random training, validation, and test set (80%, 10% and 10%). A model is chosen  
 103 from every 100 models that yield the minimum mean square error for the test set of the corresponding  
 104 inhibitor data. Hence, 50 optimal models are selected out of 5000 models for each NRTI inhibitor and the  
 105 final model is calculated as the average of these models.

106 The prediction performance of six  $ANN_X(\text{isolate})$  with linear correlation coefficient (R) and mean  
 107 square error (MSE) values are presented in Figure 1. According to the figure,  $ANN_X(\text{isolate})$  models

yield accurate predictions with high R scores and low MSE scores. Mean MSE value of  $ANN_X(isolate)$  models have been obtained as 0.0453 with 95% CI [0.0005, 0.0901]. Similarly, the mean R value of the models has been calculated as 0.9093 with 95% CI [0.8677, 0.9509]. To observe how six  $ANN_X(isolate)$  models classify resistant and susceptible strains, we convert our regression models into classification models by labeling the data as resistant ( $Fold\ Change \geq 3$ ) and susceptible ( $Fold\ Change < 3$ ). The receiving operating curves (ROC) corresponding to the six ANN models and area under the curve (AUC) values are presented in Figure S1. According to the classification results, we get mean AUC score as 0.9649 with 95% CI [0.9423, 0.9875]. Additionally, to see why such a nonlinear model is needed to map the genotype data into the phenotype output, we also perform multiple linear regression (MLR) analysis (with %20 holdout data) for data of six NRTIs. The regression and classification performance of the MLR models are shown in Figures S2-S3. A fair comparison between the ANN and MLR models in terms of the MSE, R, and AUC values are given in Table S2. According to the table, even classification performance of the models is almost the same, the ANN models give much more accurate estimations in regression. Since better regression performance is more desirable for our further modelling framework, the ANN models are assumed to be our baseline models for predicting the resistance profiles of given viral strains.



**Figure 1.** Regression performance of the six ANN models for each NRTI to predict logarithmic fold change values ( $\log(FC)$ ) of the mutant strains existing in the data. The x-axis of the figures denotes logarithmic fold change value, which is mathematically equivalent to  $\log\left(\frac{IC_{50}^{mutant}}{IC_{50}^{wild-type}}\right)$ , for all existing mutant strains in the data and y-axis denotes corresponding predictions of the ANN models. For each ANN model, linear correlation coefficient (R) and mean square error (MSE) metrics are specified to measure the ability of these models to fit the existing real data.

#### 124 **Modelling the time-dependent drug efficacy**

125 Modelling the efficacy of antiretrovirals using the plasma drug concentrations can be seen in various  
 126 studies in the literature (Rosenbloom et al., 2012; Rong et al., 2007; Dixit and Perelson, 2004). Here  
 127 we use the time-dependent drug efficacy model described by Dixit and Perelson (2004) considering the  
 128 dynamics of drug concentrations in the blood. Dixit and Perelson (2004) considered the phosphorylated  
 129 concentration of the tenofovir (TDF) in the cells. Since the time-drug efficiency functions obtained by  
 130 taking into account blood concentration and phosphorylated within cell concentration of drugs follow a  
 131 very similar trend, here we assume the blood concentration of the drugs (see Figure 1 of Dixit and Perelson  
 132 (2004)). Additionally, the non-availability of phosphorylation reaction parameters for the remaining five  
 133 inhibitors 3TC, ABC, AZT, D4T, and DDI have encouraged us to consider the blood concentration of the  
 134 drugs only.

Let  $\varepsilon_X^Y(t)$  denotes the time-dependent efficacy of drug  $X$  in the presence of strain (isolate)  $Y$ . The instantaneous efficacy can be approximated as (Dixit and Perelson, 2004)

$$\varepsilon_X^Y(t) = \frac{C_b^X(t)}{(IC_{50})_X^Y + C_b^X(t)} \quad (2)$$

where  $C_b^X(t)$  denotes the within blood concentration of drug  $X$  and  $(IC_{50})_X^Y$  denotes the required concentration of drug  $X$  to inhibit the 50% of strain  $Y$ . According to our isolate-fold change ANN model, Eq. (2) can be rewritten as

$$\varepsilon_X^Y(t) = \frac{C_b^X(t)}{ANN_X(Y)(IC_{50})_X^{WT} + C_b^X(t)} \quad (3)$$

where  $(IC_{50})_X^{WT}$  denotes the required concentration of drug  $X$  to inhibit the 50% wild type virus. Thus, to completely describe  $\varepsilon_X^Y(t)$ , we should model  $C_b^X(t)$ . According to Dixit and Perelson (2004), the concentration of a drug in the blood can be expressed as

$$C_b(t) = \frac{FDk_a e^{-k_e t}}{V_d(k_e - k_a)(e^{k_a I_d} - 1)} \left[ 1 - e^{(k_e - k_a)t} \left( 1 - e^{N_d k_a I_d} \right) + \frac{(e^{k_e I_d} - e^{k_a I_d})(e^{(N_d - 1)k_e I_d} - 1)}{e^{k_e I_d} - 1} - e^{((N_d - 1)k_e + k_a)I_d} \right] \quad (4)$$

135 where  $F$  is the bioavailability of the drug,  $D$  is the mass of the drug administered in one dose,  $I_d$  is the  
 136 dosing interval,  $N_d$  is the number of doses up to time  $t$ ,  $V_d$  is the volume of distribution,  $k_a$  and  $k_e$  are  
 137 pharmacokinetic parameters. The drug-specific parameters  $k_a$ ,  $k_e$ ,  $D$ ,  $I_d$  and  $F$  occurred in Eq. (4) and  
 138  $IC_{50}$  values for 3TC, ABC, AZT, D4T, DDI and TDF according to the equations given by Dixit and  
 139 Perelson (2004) are evaluated and presented in Table 1. Detailed explanations of the derivation of these  
 140 parameters are given in the Supplementary Information.

Parameter/Drug	3TC	ABC	AZT	D4T	DDI	TDF
$IC_{50} (\times 10^{-5} \text{mg/ml})$	3.97	132.64	1.87	4.25	113.11	16.24
$D$ (mg)	300	300	300	40	400	300
$I_d$ (day)	1	0.5	0.5	0.5	1	1
$F$	0.86	0.83	0.64	0.86	0.42	0.39
$k_a$	27.98	51.07	37.42	54.29	32.34	8.36
$k_e$	3.44	8.52	14.25	7.84	47.30	16.58
$V_d$ (ml)	91000	60200	112000	46000	54000	87500

**Table 1.** Drug specific parameters for time-dependent drug efficiency equation (4).

#### 141 **A multi-strain within-host model**

This part of the study combines all of our investigations into a unique multi-strain within-host model. To reduce the cost of the simulations, we assume the main NRTI related mutations 115F, 151M, 184I, 184V, 210W, 215F, 215Y, 41L, 65N, 65R, 67N, 69D, 70E, 70G, 70R, 74I and 74V according to the study of Rhee et al. (2005). These 17 mutations yield 131,071 unique strains having all possible mutations. Thus, by considering wild-type and mutant strains, we have total  $N = 131,072$  strains. Our multi-strain within-host model with time-dependent NRTI therapy can be derived from one strain model (1) as follows

$$\frac{dT}{dt} = s_T - k_T T \sum_{i=1}^N (1 - c_i)(1 - \varepsilon_X^i(t))V_i - \delta_T T + \frac{\rho_T \sum_{i=1}^N V_i}{c_T + \sum_{i=1}^N V_i} T$$

$$\frac{dT_i^*}{dt} = k_T (1 - c_i)(1 - \varepsilon_X^i(t))TV_i - \delta_{T^*} T_i^*$$

$$\frac{dM}{dt} = s_M - k_M M \sum_{i=1}^N (1 - c_i)(1 - \varepsilon_X^i(t))V_i - \delta_M M + \frac{\rho_M \sum_{i=1}^N V_i}{c_M + \sum_{i=1}^N V_i} M \quad (5)$$

$$\frac{dM_i^*}{dt} = k_M(1 - c_i)(1 - \varepsilon_X^i(t))MV_i - \delta_{M^*} M^*$$

$$\frac{dV_i}{dt} = p_T T_i^* + p_M M_i^* - \delta_V V_i$$

142 where  $i = 1, 2, \dots, N = 131,072$ ,  $T_i^*(t)$  and  $M_i^*(t)$  denote the number of CD4 + T cells and macrophage  
 143 cells infected by strain  $i$  and  $V_i(t)$  represents the number of virions having  $i$ th genotype. In the multi-strain  
 144 within-host model (5),  $\varepsilon_X^i(t)$  denotes the time-dependent efficacy of the inhibitor  $X$  on the strain  $i$  and  
 145  $0 \leq c_i \leq 1$  represents the fitness costs of mutant strains with  $c_1 = 1$  for the wild type of strain. The lack  
 146 of enough experimental results on these fitness values compelled us to use the mean fitness cost values  
 147 of mutations 41L, 67N, 70R, 184V, 210W, 215D, 215S and 219Q estimated by Kühnert et al. (2018) as  
 148 0.2232, 0.3181, 0.3863, 0.5899, 0.3091, 0.0981, 0.1664 and 0.3207, respectively. According to these  
 149 data, we assume that  $c_i = 0.3015$  for mutant strains  $i \geq 2$ . A schematic illustration of the multi-strain  
 150 within-host model (5) is given in Figure 2. Parameter values of multi-strain within-host model (5) with  
 151 corresponding references can be seen in Table 2.

152 The within-host model (5) ignores the role of latently infected CD4+ T cells. As indicated in the  
 153 study of Chun et al. (2000), latently infected CD4+ T cells are not a major reason for the rebound of  
 154 plasma viremia after discontinuation of the ART. The study of Alexaki et al. (2008) shows that the  
 155 macrophage cells are of particular importance in HIV-1 persistence, and this is why model (5) mainly  
 156 considers this observation like some existing studies (Hadjiandreou et al., 2007, 2009; Hernandez-Vargas,  
 157 2019; Hernandez-Vargas and Middleton, 2013). The main role of latently infected CD4+ T cells is the  
 158 viral rebound after poor adherence to the given therapy (Chun et al., 2000), and these cells are almost  
 159 three percent of all CD4+ T cells (Hadjiandreou et al., 2009). Since model (5) is continuous over time  
 160 and hence the emerged viral strains are not completely eradicated in the viral suppression phase, the  
 161 persistence of HIV-1 virions is automatically ensured, and poor adherence in model (5) provides viral  
 162 rebound. Thus, ignoring the latently infected CD4+ T cells in model (5) does not considerably affect our  
 163 modelling framework.

To realistically model the effect of mutations, we do not explicitly include the mutation matrix in  
 the ODE system (5); instead, we address the transition between mutations and strains at the end of each  
 time step by generating Poisson random numbers (Rosenbloom et al., 2012). Let us assume time step  $n$   
 ( $t = n$  day),  $(T_i^*)_n = T_i^*(n)$  and  $(M_i^*)_n = M_i^*(n)$ . The mutation matrix of our system is denoted by  $MT$   
 and defined as

$$MT_{ij} = \begin{cases} 1, & \text{if strain } i \text{ can take a mutation to become strain } j \\ 0, & \text{otherwise} \end{cases} \quad (6)$$

164 For the infected CD4 T cells  $(T_i^*)_n$  and infected macrophage cells  $(M_i^*)_n$ , we calculate the number of new  
 165 infected ones in one day period as  $\Delta(T_i^*)_n$  and  $\Delta(M_i^*)_n$  without taking into account the death of these  
 166 newly infected cells. For each  $i = 1, 2, \dots, N$ ,  $\text{poissrnd}(\mu\Delta(T_i^*)_n)$  and  $\text{poissrnd}(\mu\Delta(M_i^*)_n)$  number of  
 167 infected cells are randomly transmitted from strain  $i$  to strain  $j$  according to the mutation matrix  $MT_{ij}$   
 168 where function  $\text{poissrnd}(x)$  generates Poisson random number with mean  $x$  and  $\mu = 3 \times 10^{-5}$  denoting  
 169 the mutation rate (Rosenbloom et al., 2012). Therefore, this procedure models the existence of mutations  
 170 more realistically than explicitly embedding the mutation matrix  $MT_{ij}$  into multi-strain within-host model  
 171 (5). Note that the mutation rate for each point mutation is unique for the corresponding amino acid change,  
 172 but we assume a fixed average mutation rate  $\mu = 3 \times 10^{-5}$  as stated by Rosenbloom et al. (2012). Since  
 173 NRTI-related mutation rates have low variance value (Rosenbloom et al., 2012) and we have so many  
 174 viral strains to track, we use overall mutation rate  $\mu = 3 \times 10^{-5}$ . Parameter values of models (1) and (5)  
 175 are presented with their references in Table 2.

Model (5) can also include dual therapy of NRTIs  $X$  and  $Y$  by modifying the therapy-related time-  
 dependent infection coefficients for CD4 + T cells and macrophage cells  $\beta_i^{T/M}(t) = k_{T/M}(1 - c_i)(1 - \varepsilon_X^i(t))$   
 with the use of Bliss independence of drug actions as (Jilek et al., 2012)

$$\beta_i^{T/M}(\varepsilon_X^i(t), \varepsilon_Y^i(t)) = k_{T/M}(1 - c_i)(1 - \varepsilon_X^i(t))(1 - \varepsilon_Y^i(t)) \quad (7)$$

or Loewe additivity of drug actions (Jilek et al., 2012)

$$\beta_i^{T/M}(\varepsilon_X^i(t), \varepsilon_Y^i(t)) = k_{T/M}(1 - c_i) \frac{1}{\frac{\varepsilon_X^i(t)}{1 - \varepsilon_X^i(t)} + \frac{\varepsilon_Y^i(t)}{1 - \varepsilon_Y^i(t)} + 1}. \quad (8)$$

176 Bliss independence assumes independent actions of combined drugs, and Loewe additivity assumes  
 177 the competition for the same binding site. According to Jilek et al. (2012), all combinations except  
 178 AZT-D4T and DDI-TDF obey the Bliss independence rule, and these two combinations obey the Loewe  
 179 additivity rule. Note that, since we assume  $k_M \approx k_T/1000$  and  $\beta_i^T(t) \approx \beta_i^M(t)/1000$  according to the  
 180 Hernandez-Vargas (2019); Hernandez-Vargas and Middleton (2013) (see Table 2), we prefer to use the  
 181 notation  $\beta_i$  for  $\beta_i^T$  throughout the following parts. Whenever  $\beta_i$  values are quantitatively mentioned in the  
 182 results section, these values correspond to the  $\beta_i^T$ .

183 Note that even though we describe our model parameters for 1 ml of blood in Table 2 as widely  
 184 assumed in the literature (Hadjiandreou et al., 2007; Hernandez-Vargas, 2019; Hernandez-Vargas and  
 185 Middleton, 2013), we simulate the viral dynamics in the host plasma (3000 ml (Rosenbloom et al., 2012))  
 186 to catch more realistic viral diversity. We assume that the only reservoir of HIV virions is the plasma,  
 187 which is the major one (Valcour et al., 2012), even if there exist other reservoirs like lymph nodes or  
 188 cerebrospinal fluid (CSF) (Valcour et al., 2012; Haase, 1999). Since the instantaneous drug efficiency  
 189 rates are  $(\varepsilon_X^Y(t))$  in non-dimensionless form, we can easily simulate the dynamics in the host plasma by  
 190 converting the volume-dependent model parameters given in Table 2. For example, by considering 3L  
 191 host plasma (Rosenbloom et al., 2012), the infectivity parameter  $k_T = 4.5714 \times 10^{-8} ml/day$  equivalently  
 becomes  $k_T = \frac{4.5714 \times 10^{-8}}{3000} plasma/day = 1.5238 \times 10^{-11} plasma/day$ .

Parameter	Value	Unit	Reference/ Parameter Variation
$s_T$	$10^4$	$ml^{-1}d^{-1}$	Hernandez-Vargas and Middleton (2013)
$s_M$	150	$ml^{-1}d^{-1}$	Hernandez-Vargas and Middleton (2013)
$k_T$	$4.5714 \times 10^{-8}$	$mld^{-1}$	Hernandez-Vargas and Middleton (2013) $3.2 \times 10^{-8} - 10^{-7}$
$k_M$	$4.3333 \times 10^{-11}$	$mld^{-1}$	Hernandez-Vargas and Middleton (2013)
$p_T$	38	$d^{-1}$	Hernandez-Vargas and Middleton (2013) 30.4-114
$p_M$	35	$d^{-1}$	Hernandez-Vargas and Middleton (2013) 22-132
$\delta_T$	0.01	$d^{-1}$	Hernandez-Vargas and Middleton (2013) 0.001-0.017
$\delta_{T^*}$	0.4	$d^{-1}$	Hernandez-Vargas and Middleton (2013) 0.1-0.45
$\delta_M$	0.001	$d^{-1}$	Hernandez-Vargas and Middleton (2013) $10^{-4} - 1.4 \times 10^{-3}$
$\delta_{M^*}$	0.001	$d^{-1}$	Hernandez-Vargas and Middleton (2013) $10^{-4} - 1.2 \times 10^{-3}$
$\delta_V$	2.4	$d^{-1}$	Hernandez-Vargas and Middleton (2013) 0.96-2.64
$\rho_T$	0.01	$d^{-1}$	Hernandez-Vargas and Middleton (2013)
$\rho_M$	0.003	$d^{-1}$	Hernandez-Vargas and Middleton (2013)
$c_T$	$3 \times 10^5$	$ml^{-1}$	Hernandez-Vargas and Middleton (2013)
$c_M$	$2.2 \times 10^5$	$ml^{-1}$	Hernandez-Vargas and Middleton (2013)

**Table 2.** Parameter values, descriptions, and references of the within-host models (1) and (5).

192

## 193 RESULTS

194 This section provides the simulation results of the multi-strain within-host model (5), starting with various  
 195 viral strains. The effects of adherence levels and initiation timing of NRTI therapies on the progression of

196 the AIDS phase are investigated. This section includes four subsections in which we propose the statistics  
 197 of the infection rates, details of model simulations, the quantitative measure for the therapy success, and  
 198 the simulation results for various cases.

### 199 **Statistics of Infection Rates**

200 Before running the simulations to observe the failure/success distribution of each NRTI combination, we  
 201 may predict the best possible therapy protocol through our pre-trained machine learning model and the  
 202 pharmacokinetic properties of the inhibitors. Obviously, as we infer from our model (5) and drug-specific  
 203 time-dependent infection rate  $\beta_i(\varepsilon_X^i(t), \varepsilon_Y^i(t))$  (7)-(8), each viral strain has its infection rate and aims  
 204 to be dominant by infecting more healthy cells. Since evaluation of  $\beta_i(\varepsilon_X^i(t), \varepsilon_Y^i(t))$  is straightforward  
 205 through Eqs. (7)-(8) and (3), we may have some priori estimates for the selection of the best therapy  
 206 protocol. Distribution of  $131,071 \int_0^1 \beta_i(\varepsilon_X^i(t), \varepsilon_Y^i(t)) dt$  values in the presence of 21 different  
 207 mono and dual NRTI therapies are illustrated in Figure 3. Descriptive statistic values of  $\beta_i(\varepsilon_X^i, \varepsilon_Y^i)$  values  
 208 for all combinations are presented in Table 3.

209 Figure 3 and Table 3 show that the probability distributions are almost uniform and  $\beta_i(\varepsilon_X^i, \varepsilon_Y^i)$  values  
 210 have considerable diversity and standard deviations among the viral strains. Hence, this observation  
 211 means that even having point mutations can change the infection rates considerably and thus may lead  
 212 to a need for more perfect adherence levels to the given therapy. Additionally, Figure 3 implies that the  
 213 initial viral strain of the patient plays a critical role in the progression of HIV dynamics. According to  
 214 Table 3, NRTI therapy combinations yield 38.4% and 78% decrease in infection rate on average (among  
 215 all therapies) (95% CI [36.2%, 40.7%] and [69.7%, 86.3%]) for the worst and best case scenario (having  
 216 most and least resistant initial strain), respectively.

217 Table 3 ranks the possible NRTI combinations in terms of the resistance scores but ignores the side  
 218 effects and cost-effectiveness. Various side-effects of NRTIs linked with mitochondrial toxicity (Holec  
 219 et al., 2018). We present the possible side-effects of the existing NRTIs in Table S3, and a detailed review  
 220 can be found in the study of Montessori et al. (2004). The cost-effectiveness of NRTI therapies is essential  
 221 to maximize the expected survival times of the patients with minimized costs. Various mathematical  
 222 models are available that compare treatments for cost-effectiveness, and a detailed review of Mauskopf  
 223 (2013) provides various essential results. Most of the models described in their study ignore the effect  
 224 of drug resistance. Drug resistance is a crucial contributor to the expected costs. This study is only  
 225 interested in the effect of drug resistance on the NRTI therapy outcomes, and we both ignore side effects  
 226 and cost-effectiveness.

### 227 **Details of Model Simulations**

228 In our simulations, we investigate the effect of type of NRTI therapy, timing of NRTI therapy, and  
 229 adherence to the provided therapy on CD4+ T cell counts of the patients. All possible 21 mono and  
 230 dual NRTI combinations of six inhibitors have been included in the simulations by considering their  
 231 independent or additive actions. The initiation time of the NRTI therapy is considered within the first  
 232 year after the patient became infected and denoted by  $\tau$ . The adherence level of a patient to the provided  
 233 therapy protocol is assigned to a real number  $\alpha$  between 0 and 1, representing nonadherence to full  
 234 adherence levels. After initiating the treatment with adherence level  $\alpha$  in a day of the simulation, the  
 235 patient takes drug(s) with probability  $\alpha$  according to the parameters given in Table 1. Initial viral load,  
 236 CD4 + T cell count and macrophage cell count in the simulations are considered as 1 virion/ml,  $10^6$   
 237 cell/ml and 150 cell/ml, respectively (Hernandez-Vargas, 2019).

238 It is assumed that the patient is infected with one type of mutant strain with one to five-point mutations  
 239 on the reverse transcriptase enzymes. In this way, five groups are constructed to include five different  
 240 strains. These viral strains have been determined according to the frequency of presence in the Stan-  
 241 ford HIV drug resistance database. These initial viral strains are denoted by  $G_{ij}$  where  $i = 1, 2, 3, 4, 5$   
 242 denotes the number of the point mutations in the strain and  $j = 1, 2, 3, 4, 5$  indexes the most frequently  
 243 occurring examples in the dataset. We have performed our simulations with these 25 different initial viral  
 244 strains having the following point mutations:  $G_{11} = \{69D\}$ ,  $G_{12} = \{70E\}$ ,  $G_{13} = \{74I\}$ ,  $G_{14} = \{151M\}$ ,  
 245  $G_{15} = \{41L\}$ ,  $G_{21} = \{69D, 115F\}$ ,  $G_{22} = \{69D, 215Y\}$ ,  $G_{23} = \{70R, 215Y\}$ ,  $G_{24} = \{67N, 69D\}$ ,  $G_{25} =$   
 246  $\{67N, 70R\}$ ,  $G_{31} = \{69D, 115F, 215Y\}$ ,  $G_{32} = \{69D, 70R, 115F\}$ ,  $G_{33} = \{67N, 69D, 215Y\}$ ,  $G_{34} =$   
 247  $\{67N, 70R, 215Y\}$ ,  $G_{35} = \{67N, 69D, 70R\}$ ,  $G_{41} = \{67N, 69D, 115F, 215Y\}$ ,  $G_{42} = \{67N, 70R, 115F, 215Y\}$ ,  
 248  $G_{43} = \{69D, 70R, 115F, 215Y\}$ ,  $G_{44} = \{67N, 69D, 70R, 115F\}$ ,  $G_{45} = \{65N, 69D, 70R, 215Y\}$ ,  $G_{51} =$   
 249  $\{65N, 69D, 70R, 115F, 215Y, G_{52} = \{69D, 70R, 74F, 115F, 215Y\}$ ,  $G_{53} = \{41L, 67N, 69D, 70R, 215Y\}$ ,

Drugs	Mean	Min	Max	Std	Median	Mode	$Q_1$	$Q_3$
D4T-3TC	1.290	0.160	2.069	0.363	1.295	0.16	1.029	1.576
D4T-AZT	1.370	0.427	2.358	0.442	1.368	0.427	1.021	1.722
TDF-D4T	1.403	0.604	2.373	0.371	1.382	0.604	1.109	1.679
D4T	1.442	0.697	2.319	0.351	1.426	0.697	1.157	1.72
AZT-3TC	1.473	0.154	2.212	0.462	1.531	0.154	1.109	1.877
D4T-ABC	1.525	0.695	2.405	0.374	1.514	0.695	1.221	1.826
DDI-D4T	1.592	0.765	2.466	0.382	1.581	0.765	1.279	1.903
TDF-AZT	1.627	0.474	2.523	0.506	1.683	0.474	1.226	2.056
AZT-ABC	1.755	0.551	2.529	0.503	1.844	0.551	1.363	2.194
AZT	1.775	0.554	2.564	0.513	1.858	0.554	1.373	2.223
DDI-AZT	1.834	0.562	2.602	0.533	1.933	0.562	1.412	2.307
TDF-3TC	1.884	0.3	2.265	0.307	1.952	0.3	1.835	2.065
3TC	1.965	0.29	2.173	0.339	2.114	0.29	2.000	2.133
ABC-3TC	2.030	0.274	2.318	0.359	2.173	0.274	2.025	2.225
DDI-3TC	2.155	0.323	2.373	0.356	2.305	0.323	2.201	2.325
TDF-ABC	2.172	1.508	2.588	0.181	2.176	1.508	2.038	2.314
TDF	2.299	1.889	2.665	0.172	2.3	1.889	2.163	2.438
TDF-DDI	2.323	1.917	2.667	0.164	2.327	1.917	2.194	2.457
ABC	2.459	1.733	2.698	0.126	2.485	1.733	2.404	2.544
DDI-ABC	2.546	1.869	2.726	0.106	2.57	1.869	2.505	2.617
DDI	2.746	2.675	2.78	0.02	2.747	2.675	2.732	2.762

**Table 3.** Descriptive statistics ( $\times 10^{-8}$ ) of infection rate  $\beta_i$  values for all possible mono and dual NRTI therapies.

250  $G_{54} = \{65N, 67N, 69D, 70R, 215Y\}$ ,  $G_{55} = \{67N, 69D, 70R, 74I, 215Y\}$ . For instance,  $G_{14} = \{151M\}$   
 251 strain has only one point mutation 151M and the rest of the amino acids are the same as wild type HIV-1  
 252 virus.

### 253 Measuring the Therapy Success

254 It is essential to track the success of the antiretroviral therapy by protecting the patients from the AIDS  
 255 phase, i.e., by keeping the CD4 + T cell count as high as possible. The AIDS phase yields opportunistic  
 256 infections for the patients and occurs when CD4 + T cell count is less than 200 *cell/μl* (Kitahata et al.,  
 257 2009). Our primary criterion for the success of NRTI therapy is the occurrence and nonoccurrence of the  
 258 AIDS phase after initiation of the therapy with some initiation timing  $\tau$  and adherence level  $\alpha$ , as was  
 259 done in cohort studies (van Sighem et al., 2003). All simulations start with one infected CD4 + T cell and  
 260 one infected macrophage cell with one of the initial strains  $G_{ij}$ . The simulation final time  $t_f$  is considered  
 261 20 years, and therapy success/failure is determined according to the occurrence of the AIDS phase in  
 262 20 years. However, we note that the clinical goal of ART therapy is the full suppression of detectable  
 263 viremia. In our simulations, total suppression of detectable viremia is equivalent to not developing AIDS  
 264 after 20 years. However, the opposite is false: detectable (200 *copies/ml*) suppression misses low copies  
 265 of violent mutants, eventually leading to the AIDS phase. Therefore, we consider the AIDS occurrence as  
 266 our output.

267 We run our simulations for randomly scattered 512  $(\alpha, \tau) \in [0, 1] \times [0, 365]$  pairs for predetermined ini-  
 268 tial strain  $G_{ij}$ . The success rate (SR) of a therapy is measured as the number of  $(\alpha, \tau)$  pairs that lead to pro-  
 269 tection from the AIDS phase in all 512  $(\alpha, \tau)$  pairs. In Figure 4, we show some representative simulation  
 270 results of the multi-strain within-host model (5), starting with the  $G_{51} = \{65N, 69D, 70R, 115F, 215Y\}$   
 271 strain under various mono and dual NRTI therapies with randomly scattered  $(\alpha, \tau)$  pairs. For this  
 272 simulation setup, 9 out of 21 NRTI therapy protocols have considerable success in preventing the patient  
 273 from the AIDS phase. The importance of adherence level ( $\alpha$ ) and initiation timing ( $\tau$ ) is evident from  
 274 the figure for all cases. In some cases, such as the DDI-D4T combination shown in Figure 4, the initiation  
 275 timing considerably affects the success rates. Higher  $\tau$  values yield therapy failure even at high adherence  
 276 levels. As observed from the figure, the D4T-3TC combination yields the best SR value by performing

277 well for late initiation with perfect adherence levels. For the current case, the success of the D4T-3TC  
278 combination is mainly due to the behaviour of the therapy in the higher initiation timing ( $\tau$ ) region.

279 While the importance of the adherence levels is evident from its direct relation with infection rates,  
280 the importance of the initiation timing is non-evident and should be explained here clearly. In Figure  
281 5, we illustrate the effect of initiation timing  $\tau$  in our multi-strain model (5) when initial strain and  
282 adherence level are selected as  $G_{51} = \{65N, 69D, 70R, 115F, 215Y\}$  and  $\alpha = 0.5$ . According to Figures  
283 5a-b,  $\tau = 50$  yields successful therapy by maintaining healthy CD4 +T cell and macrophage cells at  
284 normal levels and declining the viral load to undetectable levels. On the other hand, when we assume the  
285 initiation timing as  $\tau = 360$ , virologic failure and AIDS phase are observed in Figures 5c-d. According  
286 to our model (5), the main difference between early and late initiation timing is the diversity of viral  
287 strains at the initiation to therapy times. Late initiation to the therapy increases the probability of the  
288 occurrence of the more resistant strains, even if their ancestors are slowly growing. For example, as we  
289 compare Figure 5b with Figure 5d, the two generation of mutant strains occur when  $\tau = 360$  (Figure 5d)  
290 while there exists only one generation of mutant strains when  $\tau = 50$  (Figure 5b). The two generations of  
291 mutant strains yield viral rebound and failure of the therapy in Figure 5d.

292 If we go back to Figure 4, the NRTI combinations having boundary lines with relatively low slope  
293 values are more sensitive to increasing values of  $\tau$  since these therapies yield high variance in  $IC_{50}$  values  
294 of possible viral strains mutated from the initial strain. Therefore, in our modelling framework, the late  
295 initiation is directly related with the variance of  $IC_{50}$  values corresponding to the initial strain and possible  
296 mutants. Thus, the level and type of the NRTI therapy should be planned so that the reoccurrence of the  
297 viral strains should be blocked depending on the initiation time  $\tau$ . Additionally, in the reoccurrence phase  
298 of viral strains, non-perfect adherence to the therapy leads to the selection of resistant strains (Figure 5d).  
299 In this case, two possible problems arise:

- 300 1. If the therapy protocol of the patient is updated, therapy is less likely to be successful than when  
301 therapy was first started.
- 302 2. The probability of infecting another person with more resistant strains increases, and the probability  
303 of having an AIDS phase increases for the infected person.

304 The existence of low viral loads of new mutated strains is enough for selecting these strains after  
305 antiretroviral therapy. Therefore, according to our simulations, initiation timing is as crucial as the  
306 adherence level to overcome the AIDS phase and to protect the possible susceptible persons from more  
307 dangerous scenarios.

308 The NRTI mutants are known to have epistasis effects, which implies that the viral fitness of the mutant  
309 strain depends on the existing genetic background. The epistasis effects may lead to the selection of  
310 diverse branches in mutant generations (Biswas et al., 2019). Epistasis of mutations can impact the values  
311 of  $IC_{50}$  and fitness costs. The data we used to train our  $IC_{50}$  values implicitly includes epistatic effects.  
312 The ANN model that predicts  $IC_{50}$  values for mutants is expected to learn the epistatic interactions.  
313 However, it is not completely unlikely that some unobserved data may have unpredictable epistasis.  
314 Nevertheless, that variant being underrepresented in the data implies its irrelevance in the clinic. On the  
315 other hand, the fitness costs of mutants are assumed to be fixed due to lack of enough data. Nevertheless,  
316 as we explain later, this assumption should not significantly impact our claims.

## 317 Simulation Results

318 Here we have simulated our multi-strain within-host model (5) for all possible initial strains  $G_{ij}$  to observe  
319 the effect of initial strains on success rates. All possible mono and dual NRTI therapies have been  
320 implemented for randomly scattered 512  $(\alpha, \tau) \in [0, 1] \times [0, 365]$  pairs. The SR values of mono and dual  
321 NRTI therapies are calculated, and the well-performed combination results are comparatively illustrated  
322 in Figure 6.

323 In line with Figure 3 and Table 3, the D4T-3TC combination has been the best option for 20 out of 25  
324 cases. The inhibitory potential of this combination is because of the pharmacokinetic parameters (see Table  
325 1) of inhibitors, the drug-resistance profiles of inhibitors (see Table 3), and their Bliss-independent action  
326 on the target enzyme. Following the D4T-3TC combination, the TDF-D4T and D4T-AZT combinations  
327 are observed to be in first place in 4 and 1 out of 25 cases, respectively. The strong relation between the  
328 infection rate of an initial strain (and possible new strains) and the corresponding success rate value is

329 evident from the correlation between Figures 3 and 6. For instance, according to Figure 3, the D4T-3TC  
330 combination yields fewer infection rates for most of the viral strains. Similarly, Figure 6 shows that  
331 the D4T-3TC combination has great success rates for most of the initial viral strains. We will later  
332 quantitatively analyze the relationship between the infection rates of the detected viral strains and the  
333 success rates of the given therapies.

334 According to our modelling framework, since the fitness cost of all strains is assumed to be the same,  
335 the initial strain is dominant when the patient is diagnosed. Moreover, as evident from Figures 5b-d,  
336 considerable mutational variations at low copy numbers exist besides the initial strain. However, only the  
337 dominant strain is likely to be detected (strains having less than 200 *copies/ml* in blood (Barletta et al.,  
338 2004)) when a phenosense assay is implemented. Thus, the clinician would only observe the initial strain  
339 and maybe a few mutational variations (according to Figures 5b-d, only the initial strain can be observed  
340 when the patient is diagnosed) to decide on the NRTI therapy protocol. Therefore, it is inevitable to ask  
341 whether the only predictor of the success rate is the detected viral strains at the diagnosis.

342 The undetected viral strains play a vital role in estimating the success rate and finding an optimal  
343 therapy protocol—especially their infection rates. We have trained regression models that predict therapy  
344 outcome based on the infection rates of the initial strain and its mutants—the mutants will be referred  
345 to as first, second, third, fourth, and fifth generations. The first generation is mutated from the initial  
346 strain, whereas the second is mutated from the first. For the regression model, we aimed to determine  
347 how many generations of the detected strain(s) should be considered to predict an optimal therapy. To  
348 answer this question quantitatively, we construct the ANN and MLR models for predicting the success  
349 rate of therapy from the infection rates of the existing mutant strains. We construct six ANN and MLR  
350 models denoted by  $G_i$  for  $i = 0, 1, \dots, 5$ .  $G_i$  denotes  $i$ -th generation of the detected strain(s) that has been  
351 considered in the inputs of the models. For instance, model  $G_0$  only assumes the infection rates of the  
352 detected viral strain(s), and model  $G_3$  considers the infection rates of the detected viral strain(s) and the  
353 first three-generation mutants of this strain(s). In each generation of mutant strains, we use two values:  
354 mean and maximum values of the infection rates of the considered generation. Thus, together with the  
355 detected viral strain, the model  $G_i$  has  $2i + 1$  dimensional input.  $2i$  input values denote the mean and  
356 maximum infection rates of  $i$ -th generation, and the remaining one input value denotes the infection rate  
357 of the detected viral strain at the diagnosis. The graphical illustration of model  $G_i$  can be seen in Figure 7.

358 Simulation results are given in Figure 6 for 25 initial strains converted to the training data for the  
359 ANN and MLR models. 304 input-output relations have been obtained from various therapies having  
360  $SR \geq 0.02$ . For the ANN models, this data is divided into the train, test, and validation sets (70%, 15%,  
361 and 15%). Each  $G_i$  model having the ANN architecture is trained using the scaled conjugate gradient  
362 algorithm. Similarly, for the MLR models, 20% of the data is considered as a test set, and the remaining  
363 80% is used in the training process. To test the prediction performances of the ANN and MLR models,  
364 we have generated external test dataset by simulating the model (5) with 25 random initial strains having  
365 one-to-five-point mutations, and 314 test sample is obtained. Additionally, to observe how well our ANN  
366 and MLR models classify the therapies as successful ( $SR \geq 0.5$ ) and unsuccessful ( $SR < 0.5$ ), the area  
367 under the receiving operating curves is measured for both the ANN and MLR models.

368 In Figures 8-9, we illustrate the regression and classification performances of the ANN and MLR  
369 models on the training and test sets. The mean square error (MSE), linear correlation coefficient (R),  
370 and area under the curve (AUC) metrics are presented for six  $G_i$  models having the ANN and MLR  
371 architectures. According to the test set performance of the models, model  $G_2$  gives better *MSE*, *R*, and  
372 *AUC* values with both the ANN and MLR architectures. That means considering the infection rates of both  
373 the detected strains and the first two mutant generations of the detected strains led to better predictions.

374 On the other hand, the  $G_0$  type models yield relatively poor regression and classification performances,  
375 i.e., considering only the infection rate of the detected strains is not enough to estimate better therapy  
376 protocols. This implies that the possible undetected mutant generations should also be taken into account in  
377 determining the therapy protocols. Nevertheless, there is a threshold on the number of mutant generations  
378 that must be considered. Figures 8-9 show that models  $G_3$ ,  $G_4$  and  $G_5$  overfit the data and yield less  
379 accurate predictions than the model  $G_2$  for both architectures. Additionally, for each  $G_i$  model, the ANN  
380 architecture yields a better approximation for the SR values than the MLR architectures.

## DISCUSSIONS AND CONCLUSIONS

In this study, we have proposed a multi-strain within-host model of HIV infection with time-dependent NRTI therapy. Drug-resistant strains have been assumed to initiate the infection for the patients, and six available NRTI inhibitors with mono and dual combinations have been implemented in the simulations for various initiation timing and adherence levels. To assess the drug response curves with the  $IC_{50}$  values of the NRTI-resistant strains, artificial neural network models are trained for each inhibitor by using the Stanford HIV drug resistance database. To describe time-drug efficiency and time-infection rate curves, pharmacokinetic parameters of the inhibitors have been calculated and hybridized with the corresponding  $IC_{50}$  values. We have designed our simulation environment to determine the effect of initial strains, initiation timing for the therapy protocol, and adherence levels to the given drug usage schedule on the occurrence of the AIDS phase within 20 years after infection.

According to our modelling framework, the occurrence of the AIDS phase has been seen to be highly correlated with initiation timing and adherence level of the NRTI therapies. The success rate of the NRTI therapies in case of late initiation has led to the availability of more resistant viral strains, and then the resistant strains become dominant in the host plasma after an initial decline of the detected strain. Although some mathematical models assume implicitly that the initiation timing does not affect the success-failure of the therapy (Dixit and Perelson, 2004; Rong et al., 2007), our multi-strain model more realistically catches the penalty of late initiation since the late initiation was proven to block the therapy success in various experimental results (Kitahata et al., 2009; van Sighem et al., 2003). Our simulation results have shown that in the case of the late initiation to therapy, the efficiency of the therapy should be far more than the early initiation case to prevent the possible AIDS phase.

We have shown that D4T-3TC, D4T-AZT, and TDF-D4T combinations are more likely to prevent patients from the AIDS phase. These inhibitors have been seen to provide fewer infection rates due to their pharmacokinetic parameters and  $IC_{50}$  values in the presence of various viral strains. According to our results, the success rate of accurately predicting the best therapy depended on the composition of detected strains and their possible further mutants. This observation implies that the emergence of new mutants from the initial strain is likely to have a considerable effect on the success of the therapy. Thus, it is more reasonable to suggest the optimal therapy combinations to the patients by considering the detected viral strain and the undetected mutant, which most likely were generated from the detected strain.

The most important message of this article is that the undetected viral strains, at the diagnosis, may have considerable effects on therapy outcomes. Specifically, double mutants of the detected viral strain should be taken into account even if they were not detected. Earlier studies, such as Stanford HIVdb (Talbot et al., 2010), HIV-grade (Obermeier et al., 2012), REGA (Van Laethem et al., 2002) and ANRS (Meynard et al., 2002) predicted the best possible therapy protocol. However, the undetected viral strains may lower the prediction power of such models. We have shown that a multi-strain within-host model (5) can help estimate undetected mutant strains and their role in optimal therapy selection.

A possible criticism of our model is that each mutant strain should have a unique fitness cost. However, we assume a constant factor for all mutants. To our best knowledge, there is not much data for specific strains to construct a machine-learning model as we did for the  $IC_{50}$  values. According to the theory, fitness costs can play a role in selecting resistant strains, which can alter our success rate. However, the fitness costs would affect the dynamics more at low drug concentrations. Luckily, the phase changes (AIDS or no AIDS) occur at relatively high adherence levels, which implies a relatively high concentration.

Our modeled treatments include only NRTIs, but current clinical practice includes additional drugs (Aguilar et al., 2022). Indeed, including the other components of ART would add to the realism. However, it is known that different classes of HIV drugs generally interact independently (Rosenbloom et al., 2012; Jilek et al., 2012). By the independence assumption, the relative ranking of NRTI therapies is relevant to consideration for ART. However, we would like to openly indicate that our model is not designed to suggest a better first line of treatment but rather to relatively rank NRTI combinations in a multiscale model.

This study has investigated the effect of NRTI inhibitors, which are the most important members of Highly Active Antiretroviral Therapy (HAART) (Achhra and Boyd, 2013). Since the Stanford drug resistance database also includes the genotype-phenotype data of protease inhibitors (PI), non-nucleotide reverse transcriptase inhibitors (NNRTI), and integrase inhibitors (II), some future studies may include these groups of inhibitors with possible mono, dual or triple drug combinations. Some existing HAART protocols may also be simulated through such a modelling framework. On the other hand, we have not

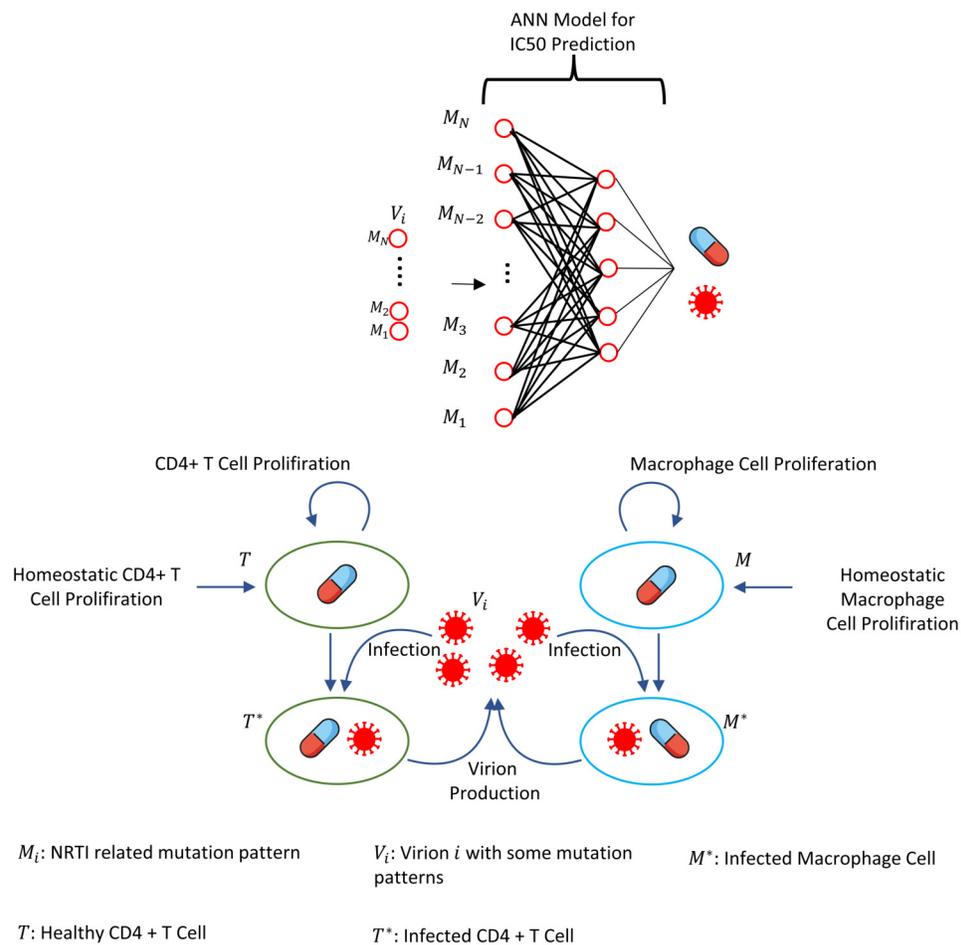
436 considered the too-late initiation of the NRTI therapy at considerably low CD4 + T cell levels because  
 437 of the failure of simulated therapy protocols in such situations. Some future works may also investigate  
 438 more comprehensive therapies to prevent patients from the AIDS phase when they are diagnosed too late.

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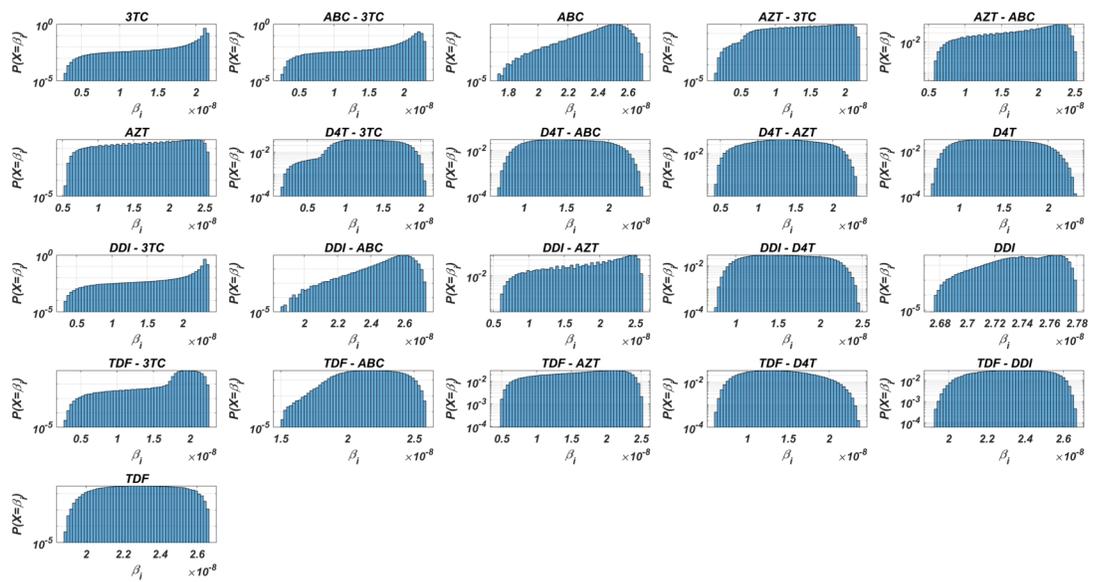
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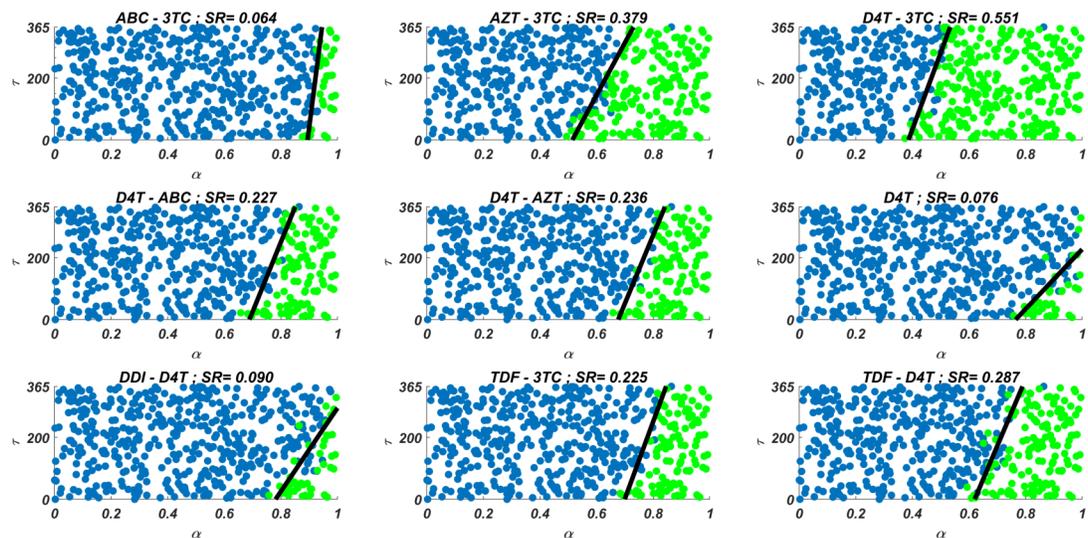
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**Figure 2.** Illustration of the core parts of multi-strain within-host model (5) with NRTI therapy. Model (5) assumes the healthy CD4 + T cells ( $T(t)$ ) and macrophage cells ( $M(t)$ ) as the main targets of the viral strains ( $V_i(t)$ ).  $T(t)$  and  $M(t)$  increases with both homeostatic cell proliferation and cell proliferation due to the increasing viral load. Viral strains infect both CD4 + T cells and macrophage cells and then those healthy cells become infected CD4 + T cells ( $T_i^*(t)$ ) and macrophage cells ( $M_i^*(t)$ ).  $T_i^*(t)$  and  $M_i^*(t)$  compartments produce mature viral strains  $V_i(t)$  with some constant rates. All compartments have natural death or clearance with some constant rates. NRTIs block the infection mechanism of the viral strains in healthy cells. The efficiency of the NRTIs are estimated through pharmacokinetic equation (3) and the pre-trained artificial neural network models that map the genotype data to fold-change values of the  $IC_{50}$ 's with respect to the wild type virion.

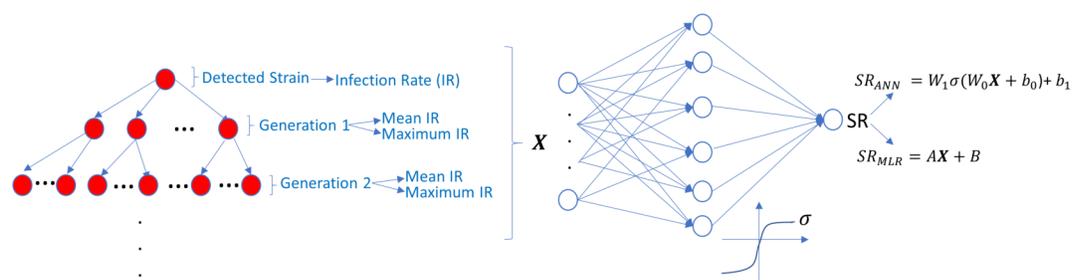


**Figure 3.** Probability distributions of infection rate ( $\beta_i$ ) values of various viral strains in the presence of NRTI therapy combinations. ( $\beta_i$ ) values are calculated with Eqs. (7)-(8) depending on the drug pairs. ( $\beta_i$ ) values are affected by pharmacokinetic parameters,  $IC_{50}$  values for the viral strains, baseline infection rate  $k_T = 4.5714 \times 10^8$  and the fixed viral fitness value ( $c_i = 0.3015$ ) of the viral strains.

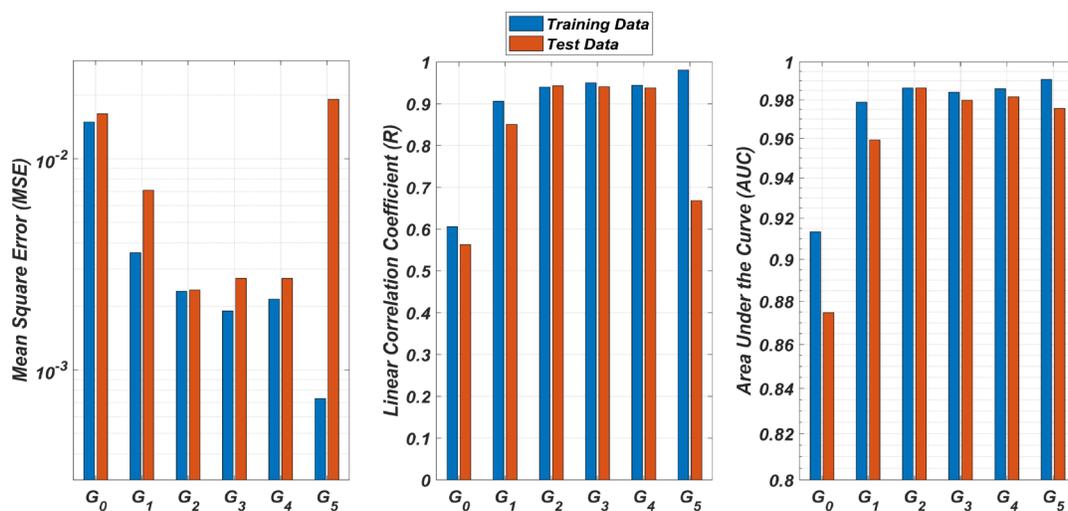


**Figure 4.** Illustration of possible mono and dual NRTI therapy outcomes carried out using 512 random ( $\alpha$ ,  $\tau$ ) pairs in the current multi-strain within-host model (5). The initial strain has been selected as  $G_{51} = \{65N, 69D, 70R, 115F, 215Y\}$ . Blue circles represent the failure after 20 years of simulation, i.e., the AIDS phase occurs when the patients start the therapy  $\tau$  after infection and take the therapy with an adherence rate  $\alpha$ . Green circles mean that the therapy success under the conditions mentioned above. SR values represent the success rate defined as  $SR = \# \text{ of green circles} / \# \text{ of all circles}$ .

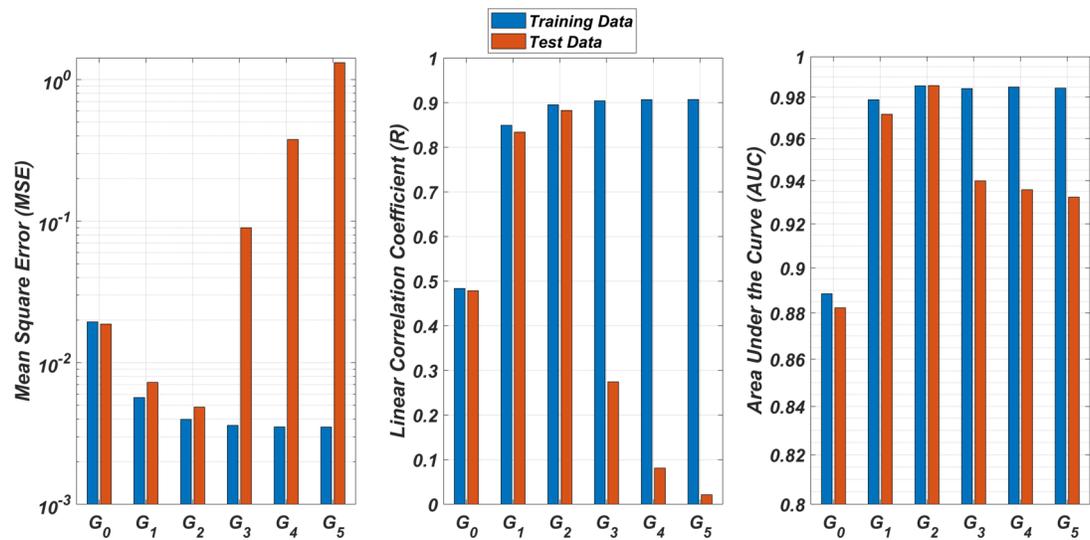




**Figure 7.** Prediction process of SR values from the infection rates of the detected and possible mutant strains. The models  $G_i$  are constructed by considering  $i$  generation of mutant strains and the detected strain itself. For each generation, mean and maximum values of the infection rates are assigned to the input of possible ANN and MLR models.  $SR_{ANN}$  and  $SR_{MLR}$  denote the SR prediction of the ANN and MLR models from the given infection rate input.



**Figure 8.** Regression and classification performances of models  $G_i$  having the ANN architectures on predicting the SR values of the therapies. Models  $G_i$  assume the infection rates of the detected strain and its first  $i$  mutant generations and have  $2i + 1$  input values. Mean square error (MSE), linear correlation coefficient (R), and area under the curve (AUC) metrics are presented for both training and test data.



**Figure 9.** Regression and classification performances of models  $G_i$  having the ANN architectures on predicting the SR values of the therapies. Models  $G_i$  assume the infection rates of the detected strain and its first  $i$  mutant generations and have  $2i + 1$  input values. Mean square error (MSE), linear correlation coefficient (R), and area under the curve (AUC) metrics are presented for both training and test data.