#### A new juvenile sauropod specimen from the Middle Jurassic Dongdaqiao Formation of East Tibet

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Jurassic strata are widely distributed in the eastern part of Tibet Autonomous Region, and have yielded many dinosaur bones. However, none of these specimens has been studied extensively, and some remain unprepared. Here we provide a detailed description of some new sauropod material, including several cervical vertebrae and a nearly complete scapula, recovered from the Middle Jurassic of Chaya County, East Tibet. The cervical vertebrae have short centra that bear ventral midline keels, as in other early-diverging eusauropods such as *Shunosaurus*. Moreover, the cervical centra display deep lateral excavations, partitioned by a septum. The scapula has proximal and distal ends that are both expanded as in mamenchisaurids and neosauropods. However, relatively small body size and lack of fusion of neurocentral sutures in the cervical vertebrae suggest that the available material is from a juvenile, and the length of the cervical centra may have increased relative to the size of the rest of the skeleton in later ontogenetic stages. The new Tibetan sauropod specimens provide important information on the morphological transition between *Shunosaurus* and mamenchisaurids, and extend the known biogeographic range of early-diverging sauropods in the Middle Jurassic of East Asia.

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24	Abstract		
25	Jurassic strata are widely distributed in the eastern part of Tibet Autonomous Region, and have		

26 yielded many dinosaur bones. However, none of these specimens has been studied extensively, 27 and some remain unprepared. Here we provide a detailed description of some new sauropod 28 material, including several cervical vertebrae and a nearly complete scapula, recovered from the 29 Middle Jurassic of Chaya County, East Tibet. The cervical vertebrae have short centra that bear 30 ventral midline keels, as in other early-diverging eusauropods such as *Shunosaurus*. Moreover, 31 the cervical centra display deep lateral excavations, partitioned by a septum. The scapula has 32 proximal and distal ends that are both expanded as in mamenchisaurids and neosauropods. 33 However, relatively small body size and lack of fusion of neurocentral sutures in the cervical

34 vertebrae suggest that the available material is from a juvenile, and the length of the cervical

- 35 centra may have increased relative to the size of the rest of the skeleton in later ontogenetic
- 36 stages. The new Tibetan sauropod specimens provide important information on the
- 37 morphological transition between *Shunosaurus* and mamenchisaurids, and extend the known
- 38 biogeographic range of early-diverging sauropods in the Middle Jurassic of East Asia.
- 39

#### 40 Introduction

- 41 In Tibet, the highest-altitude region in the world, a series of Jurassic-Cretaceous strata are
- 42 exposed in the eastern part of Changdu (Qamdo) Prefecture. In the 1970s, the Scientific
- 43 Expedition Team of the Chinese Academy of Sciences discovered many Early-Middle Jurassic
- 44 dinosaur bones in this area, representing at least ten species and including sauropodomorph,
- 45 theropod, stegosaur, and early-diverging ornithischian remains (*Zhao, 1985; An et al., 2021*).
- 46 Almost all these specimens are still unpublished, the sole exception being the partial, medium-
- 47 sized stegosaur skeleton, comprising the iliosacral region together with two incomplete vertebrae
- 48 and three dermal plates, that was made the holotype of *Monkonosaurus lawulacus (Zhao, 1983;*
- 49 Dong, 1990). However, this species is probably a nomen dubium (Maidment & Wei, 2006). Some
- 50 sauropod dinosaur trackways were also reported in the Jurassic of Changdu, and at least 10 track
- 51 sites have been discovered (*Xing, Harris & Currie, 2011; Xing et al., 2021*). The wide gauge of
- **52** some of the tracks suggest that large sauropods lived in the Early-Middle Jurassic of this area
- and may have been closely related to the very abundant sauropods from the Jurassic of the
- 54 Sichuan Basin, of which about 30 species have been established. Three distinct Jurassic sauropod
- 55 faunas have been defined within the Sichuan Basin, namely the Early Jurassic *Zizhongosaurus*
- 56 Fauna, the Middle Jurassic Shunosaurus-Omeisaurus Fauna and the Late Jurassic
- 57 Mamenchisaurus Fauna (Li, 1998).
- 58
- In 2019, the field team of the Chengdu Center of the China Geological Survey discovered some new dinosaur fossil sites in Chaya County, Changdu District, Tibet, and collected and prepared some sauropod bones (*An et al., 2021*). Here we give a detailed description of this material and draw comparisons with other sauropods from Gondwana and Laurasia. Our new material may have significant implications for understanding the evolution and diversity of early sauropods in the Jurassic of East Asia.
- 65

#### 66 Materials & Methods

- 67 The sauropod specimens described in this paper are postcranial elements, and are housed at the
- 68 Chengdu Center of the China Geological Survey (CGS V001). They include four cervical

69 vertebrae and a nearly complete scapula. All these bones were found together within a small area and are likely to be from one individual, though none were preserved in articulation. Field 70 71 activities were approved by Chengdu Geological Survey Center (project number: DD20190053). 72 73 Measurements of the bones are given in Table 1. High-resolution 3D models of the cervical 74 vertebrae and scapula have been uploaded to datadryad.org (doi:10.5061/dryad.f7m0cfz16). All the bones were scanned using an Artec Space Spider hand-held 3D scanner from China 75 76 University of Geosciences, and the scans were edited to produce final 3D models using the 77 software Artec Studio. 78 79 **Phylogenetic analysis.** To assess the systematic position of our new Tibetan sauropod, we 80 scored it into a recent data matrix for early-diverging sauropods (*Ren et al., 2021*), derived from 81 a previously published matrix (Xu et al., 2018). The new matrix contains 386 characters and 77 taxa. Only 30 characters could be scored for the Tibetan sauropod, due to poor preservation. The 82 83 new matrix was analyzed using TNT v1.5 (Goloboff & Catalano, 2016). All characters were treated as equally weighted. 26 characters (12, 58, 95, 96, 102, 106, 108, 115, 116, 119, 120, 84 85 145, 152, 163, 213, 216, 232, 233, 234, 235, 252, 256, 298, 299, 301, 379) were treated as 86 ordered, following the original analysis (Ren et al., 2021). The maximum number of stored trees 87 was set to 10,000. A New Technology search was performed with default settings, and hit the 88 best score 50 times. The resulting trees were subjected to a Traditional search using the TBR 89 Swapping algorithm, in order to obtain a final set of most parsimonious trees. 90 91 Institutional abbreviations. CLGPR, Chongqing Laboratory of Geoheritage Protection and Research; LM, Lingwu Museum; ZDM, Zigong Museum; MCDUT, Museum of Chengdu 92

94

93

#### 95 Geological setting

University of Technology.

96 The specimens described in this paper were discovered in Qamdo District, about 10 km from

97 urban center of Chaya County (Fig. S1). Jurassic strata form an extensively exposed succession

98 in the Qamdo Basin, and mainly comprise lacustrine deposits. The Jurassic strata of the Qamdo

99 Basin include the Lower Jurassic Wangbu Formation, the Middle Jurassic Dongdaqiao

100 Formation, and the Upper Jurassic Xiaosuoka Formation. The new specimens are from the

101 Dongdaqiao Formation, which is about 1.2 km thick and mainly consists of purple red feldspar

102 and quartz-bearing sandstones and siltstones. The dinosaur bones were recovered from red

- 103 argillaceous siltstone in the middle part of the formation, within a thickness of about 5 m (Fig.
- 104 1). The Dongdaqiao Formation has generally been considered to date from the Middle Jurassic,
- 105 based on its bivalve assemblage (*Wang & Chen, 2005*).

106

- 107 **Results**
- 108
- 109 Systematic paleontology
- 110
- 111 Saurischia Seeley, 1887
- 112 Sauropodomorpha Huene, 1932
- 113 Sauropoda Marsh, 1878
- 114 Eusauropoda Upchurch 1995
- 115

116 Description.

- 117 Four isolated cervical vertebrae, including an axis, have been prepared. The centra are relatively
- short, with an average elongation index (aEI: ratio of centrum length to the average of centrum
- height and width) of about 1.5-2.1 (Table 1). The equivalent ratio is similar in *Shunosaurus* (2.0-
- 120 3.0) from the Middle Jurassic of Sichuan Basin (*Zhang, 1988*), *Tazoudasaurus* (1.6) and
- 121 Barapasaurus (about 2) from the Early Jurassic of India (Allain & Aquesbi, 2008;
- **122** *Bandyopadhyay et al., 2010*), and *Patagosaurus* (1-1.7) from the Middle Jurassic of Argentina
- 123 (Holwerda, Rauhut & Pol, 2021), but larger in Cetiosaurus (2.3-2.7), Bagualia (3.8-5.3) (Pol et
- 124 al., 2020; Gomez, Jose & Pol, 2021; Holwerda, Rauhut & Pol, 2021), and mamenchisaurids
- such as Analong (Ren et al., 2021) and Omeisaurus tianfuensis (1.9-6.1) (He, Li & Cai, 1988).
- 126 The lateral surfaces of the three postaxial cervical centra bear pleurocoels that are partitioned by
- 127 anterodorsally-trending ridges, as in some cervical vertebrae of Patagosaurus (Holwerda,
- 128 Rauhut & Pol, 2021), mamenchisaurids and neosauropods (Wilson, 2002). A ventral midline keel
- 129 is present on the anterior part of each centrum as in the cervical vertebrae of many early-
- 130 diverging sauropods, such as Shunosaurus (Zhang, 1988), Tazoudasaurus (Allain & Aquesbi,
- 131 2008), Omeisaurus (He, Li & Cai, 1988), Lingwulong (Xu et al., 2018), Patagosaurus
- 132 (Holwerda, Rauhut & Pol, 2021), Bagualia (Gomez, Jose & Pol, 2021), "Spinophorosaurus
- 133 (Remes et al., 2009), Lapparentosaurus (Upchurch, 1998), Amygdalodon (Rauhut, 2003), an
- 134 unnamed sauropod from Morocco (Nicholl, Mannion & Barrett, 2018), and the anteriormost
- 135 cervicals of the Rutland Cetiosaurus (Upchurch & Martin, 2002). This feature is also present
- 136 in some non-sauropod sauropodomorphs, including Yizhousaurus (Zhang et al., 2018),

137 Massospondylus (Barrett et al., 2019), Isanosaurus (Buffetaut et al., 2000), and potentially

138 Antetonitrus (McPhee et al., 2014), and Lamplughsaura (Kutty et al., 2007).

139

140 Axis. The axis (CGS V001-1) is nearly complete, and is well preserved (Fig. 2), with a length of

12.6 cm. The anterior surface of the axis is rugose, bears a pair of dorsoventral grooves, and istilted to face somewhat dorsally (Fig. 2C). The odontoid process is not preserved. The ventral

tilted to face somewhat dorsally (Fig. 2C). The odontoid process is not preserved. The ventralpart of the anterior part of the centrum contacts, and is fused with, the axial intercentrum (ic)

144 (Fig. 2A). The latter is a small, irregular bone with a crescentic outline in anterior view (Fig. 2C).

- 145 The ventral surface is smooth and curved dorsally.
- 146

147 The centrum of the axis is relatively elongate (aEI value of 1.5), and transversely compressed. In

148 anterior view, the centrum is taller than wide (Fig. 2C). The posterior surface of the centrum is

149 strongly concave, accommodating the anterior condyle of the third cervical centrum, and has a

150 subcircular outline with equal width and height. The lateral surface of the centrum bears a

151 shallow, elongate fossa with poorly defined margins and no external pneumatic openings, as in

152 the early-diverging eusauropods *Shunosaurus* (*Zhang*, 1988) and *Bagualia* (*Gomez*, Jose & Pol,

153 2021), whereas the corresponding fossa is deeper in more derived sauropods such as Omeisaurus

154 (He, Li & Cai, 1988) and Euhelopus (He, Li & Cai, 1988; Wilson & Upchurch, 2009). The fossa

155 is deepest at the anterior end, and gradually becomes shallower posteriorly. The fossa is

156 undivided, as in Shunosaurus (Zhang, 1988), Cetiosaurus (Upchurch & Martin, 2002), Bagualia

157 (Gomez, Jose & Pol, 2021), Mamenchisaurus (Yang & Dong, 1972) and Xinjiangtitan (Zhang et

158 al., 2020), whereas the lateral fossa on the axis is partitioned by a ridge in Omeisaurus (He, Li &

159 *Cai*, 1988) and more derived sauropods. The parapophysis, positioned on the anterior margin of

the centrum, is a weakly developed structure that takes the form of a convex ridge (Fig. 2A and2C).

162

163 The posterior half of the ventral surface has a gentle transverse convexity. The anterior half of 164 the centrum narrows ventrally but does not form a sharp midline keel of the kind seen in some

104 the central harrows ventrally but does not form a sharp infinite keep of the kind seen in some

165 non-sauropod sauropodomorphs (e.g. *Yizhousaurus (Zhang et al., 2018)*) and such early

166 diverging sauropods as *Shunosaurus (Zhang, 1988), Tazoudasaurus (Allain & Aquesbi, 2008)* 

and *Bagualia* (*Gomez, Jose & Pol, 2021*). The ventral surface of the axis is flat and lacks a

168 midline keel in *Barapasaurus (Bandyopadhyay et al., 2010)* and *Mamenchisaurus (Yang &* 

169 *Dong, 1972*). In the mamenchisaurid *Xinjiangtitan*, the anterior part of the ventral surface of the

axial centrum lacks a keel but bears paired fossae whose outer margins are defined by

171 ventrolateral ridges (*Zhang et al., 2020*).

172

173 The neural arch is well developed, but less dorsoventrally tall than the centrum in the mid-region

174 of the vertebra. The part of the neural arch anterior to the apex of the neural spine is taller than

the part posterior to the apex (Fig. 2C, F). Both diapophyses are largely broken away, but the

176 bases of these structures are anteroposteriorly elongate and located anteroventrally on the neural

177 arch, just above the neurocentral suture (Fig. 2B). No posterior centrodiapophyseal lamina (pcdl)

is observable. The anterior opening of the neural canal is large, and taller than wide (Fig. 2C),

179 whereas the posterior opening is relatively small and subcircular, with equal width and height

180 (Fig. 2F; Table 1).

181

182 The prezygapophyses are not preserved. The postzygapophyses are large, and extend

183 posterolaterally beyond the posterior part of the centrum. The postzygodiapophyseal lamina

184 (podl) forms a weak ridge extending posterodorsally at an angle of about 30° above the

185 horizontal (Fig. 2A, B). A large spinopostzygapophyseal fossa (spof) is present between the

186 postzygapophyses (Fig. 2F), as in *Xinjiangtitan (Zhang et al., 2020*). The postzygapophyseal

187 articular facets face ventrally, and are elliptical in outline. The long axis of each facet diverges at

188 45° from that of the centrum. A prominent epipophysis is clearly present on the dorsal surface of

189 the postzygapophysis (Fig. 2A, 2B, and 2F), as in *Bagualia* (*Gomez, Jose & Pol, 2021*) and

190 *Xinjiangtitan (Zhang et al., 2020).* The epipophysis is essentially a dorsal extension of the

191 postzygapophysis, but is separated from the main dorsal surface of the latter by a shallow

192 groove.

193

194 The neural spine is weakly developed. The anterior part of the spine is transversely narrow, but a

robust ridge runs along the dorsal margin of this portion of the spine and is thick enough to

196 slightly overhang a deep, distinct fossa (spinodiapophyseal fossa, sdf) situated on the spine's

197 lateral surface, as in the mamenchisaurids *Mamenchisaurus hochuanensis (Yang & Dong, 1972)* 

198 and *Xinjiangtitan shanshanensis (Zhang et al., 2020*). The fossa is slightly deeper than that of

199 Bagualia (Gomez, Jose & Pol, 2021), whereas in *Tazoudasaurus* and the Rutland Cetiosaurus

200 the lateral surface of the neural spine is flattened or convex (Upchurch & Martin, 2002; Allain &

201 *Aquesbi, 2008*). The height of the neural spine gradually increases posteriorly, reaching a

202 maximum slightly posterior to the midpoint of the centrum as in Shunosaurus (Zhang, 1988) and

203 Mamenchisaurus (Yang & Dong, 1972). In Tazoudasaurus and the Rutland Cetiosaurus, by

204 contrast, the apex of the neural spine is near the posterior margin of the centrum (Upchurch &

205 Martin, 2002; Allain & Aquesbi, 2008). The spinopostzygapophyseal lamina (spol) is straight

and robust, and trends ventrolaterally.

207

Postaxial cervical vertebrae. A nearly complete cervical vertebra (CGS V001-2) is well 208 preserved, except that the anterior left half and posterior portion of the neural arch are missing, 209 210 and the left half of the posterior portion of the centrum has been broken away (Fig. 3). The 211 cervical centrum is strongly opisthocoelous, with a prominent hemispherical anterior condyle. The centrum is about 196 mm long, and the ratio of centrum length to posterior centrum height is 212 about 1.9. The posterior articular surface is tilted to face partly ventrally, rather than being 213 perpendicular to the long axis of the centrum. The parapophyses are missing, owing to damage to 214 215 the anteroventral part of the centrum. The relatively modest height of the preserved neural arch, 216 and the small size of the centrum as a whole, suggest that this vertebra may be from the anterior

- 217 part of the cervical series.
- 218
- 219 The lateral surface of the centrum is strongly excavated by a long depression. A thin, sharp,
- anterodorsally-trending septum (plr) divides the depressed area into two deep pleurocoels (Fig.
- 221 3A and 3B). The anterior pleurocoel extends into the centrum in all directions, except
- 222 posteriorly. The external opening of the anterior pleurocoel is elliptical and anteroposteriorly
- 223 elongate, whereas the posterior fossa is relatively shallow and subcircular. The ventral surface of
- the centrum is strongly concave anteroposteriorly, and slightly concave transversely. The ventral
- surface bears two shallow fossae anteriorly and is transversely concave posteriorly, but bears a
- shallow midline keel along its full length.
- 227
- 228 On the right side of the neural arch, the distal end of the diapophysis is missing, but the basal 229 part of the diapophysis is flattened dorsoventrally, with a thick mid-region and thin anterior and
- posterior margins. The diapophysis projects laterally and slightly ventrally, and is supported by a
- 231 well-developed anterior centrodiapophyseal lamina (acdl), which is stout and oriented
- 201 wen-developed anterior centrodrapophysical familia (acur), which is stold and oriented
- posterodorsally (Fig. 3A). The partially preserved prezygodiapophyseal lamina (prdl) extends
- anterodorsally from the diapophysis to the ventrolateral surface of the prezygapophysis (Fig.
- 3D). The postzygodiapophyseal lamina (podl) is flattened transversely and tapers
- posterodorsally.
- 236
- 237 The prezygapophyses are broken away, but a stout, vertically aligned centroprezygapophyseal
- 238 lamina (cprl) is preserved on the right side of the neural arch (Fig. 3E). An
- 239 intraprezygapophyseal lamina (tprl) extends ventromedially from the prezygapophysis towards
- 240 the middle of the dorsal edge of the neural canal. The centroprezygapophyseal lamina (cprl) and
- 241 intraprezygapophyseal lamina (tprl) combine dorsally forming a large, deep fossa. A deep
- 242 elliptical fossa is present between the diapophysis and the anterior centrodiapophyseal lamina
- **243** (acdl) on the lateral side of the neural arch (Fig. 3A). The postzygapophyses are not preserved,

and most of the neural spine is likewise missing. The anterior side of the base of the neural spine

- is incised by a deep, wide vertical groove (Fig. 3E). A robust spinoprezygapophyseal lamina
- 246 (sprl) is preserved on the right side, and extends posteriorly, medially and slightly dorsally from
- the prezygapophyseal area to the neural spine (Fig. 3D).
- 248
- A second postaxial cervical vertebra is well preserved, but the anterior part, and much of the
- right side, of the centrum are missing (CGS V001-3, Fig. 4). The relatively large size and well-
- developed neural spine suggest that this vertebra may be from the mid-cervical region. The left
- 252 lateral surface is excavated by a shallow, elliptical, anteroposteriorly elongate pleurocoel (Fig.252 (D) A state of the state
- 4B). A stout, anterodorsally oriented ridge (plr) forms the pleurocoel's anterior margin. A second
- 254 pleurocoel was probably originally present anterior to this ridge, as in mamenchisaurid and
- 255 neosauropod cervical vertebrae. Based on the position of the ridge in typical cervicals, in fact, it
- is likely that the anterior half of the centrum is missing. The ventral part of the right half of the
- 257 centrum is similarly broken away to expose the centrum's internal structure. A large,
- anteroposteriorly elongate pleurocoel is present (Fig. 4A) as in other early-diverging
- eusauropods such as *Camarasaurus* (*Wedel, 2003*), but lacks the camellate internal cavities that
- 260 occur in derived titanosaurs. The preserved part of the ventral surface is obviously concave both
- transversely and anteroposteriorly. The preserved part of the centrum lacks a prominent ventral
- keel, but a ventral keel may have been present more anteriorly, as in the cervical vertebrae of
- 263 other early-diverging sauropods and some massopodans.
- 264
- On the left side of the neural arch, the diapophysis is well preserved, has a subtriangular outlinein dorsal view, and tapers ventrolaterally (Fig. 4B). The dorsal surface of the diapophysis is
- 267 flattened. The prezygodiapophyseal lamina (prdl) is partially preserved as a sheet of bone arising
- from the base of the anterior edge of the diapophysis, with a thin edge that extends
- anterodorsally (Fig. 4B). The postzygodiapophyseal lamina (podl) runs from the base of the
- 270 diapophysis to the lateral margin of the postzygapophysis, forming an angle of about 40° with
- the long axis of the centrum (Fig. 4B). A prominent, tapering process protrudes posteroventrally
- 272 from the base of the diapophysis (Fig. 4B: ppr), resembling the costal spurs present in the
- 273 neosauropod Euhelopus zdanskyi. However, the costal spurs of Euhelopus are less prominent and
- 274 more distally located (*Wilson & Upchurch, 2009*).
- 275
- 276 Both the pre- and postzygapophyses are missing. The spinoprezygapophyseal lamina (sprl) is
- sharp, its margin curving posterodorsally from the prezygapophyseal area to merge with the
- anterior edge of the neural spine (Fig. 4B). The neural spine is well preserved, subrectangular in
- 279 outline in lateral view, and transversely compressed. The anterior neural spine groove is present

and transversely narrow. In posterior view, the spinopostzygapophyseal fossa (spof) is deep and
tall (Fig. 4C). The neural canal is subcircular and much smaller than the posterior surface of the
centrum.

283

A third postaxial cervical vertebra can be recognized as a posterior member of the cervical series,

based on the relative shortness of the centrum and pleurocoel (ratio of centrum length to

posterior centrum height of only about 1.68) (CGS V001-4, Fig. 5). The centrum is strongly

287 opisthocoelous, with a prominent hemispherical anterior condyle. The lateral surface of the

centrum is strongly excavated by a deep, elliptical pleurocoel (Fig. 5A). The right parapophysis

is broken away, but the left parapophysis is located on the anteroventral region of the centrum

and tapers laterally, having a triangular outline in lateral and anterior views (Fig. 5B).

291

292 The ventral surface is strongly concave anteroposteriorly, the apex of the concavity being located

293 in the anterior half of the centrum. A strong ventral midline keel is present, and extends along the

entire length of the centrum. The midline keel is sharp and deep anteriorly, and progressively

becomes wider and less prominent towards the centrum's posterior end (Fig. 5D). The

centroprezygapophyseal lamina (cprl) is a simple stout ridge, extending anterodorsally from the

- 297 diapophysis to support the prezygapophysis (Fig. 5C).
- 298

299 The left prezygapophysis is well preserved, with a subtriangular facet that faces dorsomedially

300 and is teardrop-shaped, tapering posteromedially to a point. The articular surface is flattened.

301 A large, shallow fossa is present on the underside of the prezygapophysis (Fig. 5C). The

302 spinoprezygapophyseal lamina (sprl) forms a prominent ridge extending posterodorsally from

303 the prezygapophysis (Fig. 5A). The diapophysis and the posterior part of the

304 prezygodiapophyseal lamina (prdl) are broken away. The anterior part of the

305 prezygodiapophyseal lamina (prdl) is preserved as a stout ridge, whereas the

306 postzygodiapophyseal lamina (podl) appears thinner and more sheet-like, based on the

307 preserved basal part of the latter (Fig. 5B, E). The anterior centrodiapophyseal lamina (acdl) is

308 thick and extends posterodorsally, and the posterior centrodiapophyseal lamina (pcdl) extends

anterodorsally. Together with the dorsal lamina on the centrum (dlc), they define a deep fossa

310 situated ventral to the diapophysis and visible in lateral view (Fig. 5B) as in the posterior

311 cervical vertebrae of Europasaurus (Carballido & Sander, 2014). Both postzygapophyses are

312 missing, as is the neural spine. The lateral centropostzygapophyseal lamina (icpol) is robust

and vertically directed.

314

**Scapula.** The left scapula is nearly complete, lacking only small portions of the proximal plate

- and distal expansion (CGS V001-5, Fig. 6), and is flat and elongate. The lateral and medial
- 317 surfaces of the proximal plate are both shallowly excavated, but the acromial ridge that is present
- 318 in most neosauropods (Upchurch, Barrett & Dodson, 2004) is lacking. The dorsoventral height
- of the strongly expanded proximal plate is estimated to be more than 50% of the total length of
- 320 the scapula (about 0.6), as in mamenchisaurids and more advanced sauropods (Upchurch,
- 321 Barrett & Dodson, 2004). The acromial process is moderately developed and its posterior margin
- is slightly convex, which is similar to the condition in *Lingwulong (Xu et al., 2018)*,
- 323 Lapparentosaurus, Cetiosaurus and Patagosaurus (Upchurch & Martin, 2002; Holwerda, 2019).
- 324 Comparatively, the acromial process is better developed in mamenchisaurids (Fig. 7), but poorly
- 325 developed in Shunosaurus (Zhang, 1988). The long, anteroventrally protruding glenoid region is
- transversely thick. The glenoid region is rectangular in lateral view, and bears a slightly rugose
- 327 articular surface. The lateral and medial surfaces of the scapular blade are both convex, creating
- 328 a lenticular cross section, although the convexity of the lateral surface is more pronounced. The
- 329 blade is slightly deflected medially, relative to the proximal plate. The distal end of the blade is
- 330 strongly expanded dorsoventrally, though the dorsal part of the expanded area is slightly
- damaged. The distal end of the scapular blade is also strongly expanded in *Omeisaurus*,
- 332 Mamenchisaurus, Yuanmousaurus (Lu et al., 2006), Cetiosaurus (Upchurch & Martin, 2002),
- and *Patagosaurus* (*Holwerda*, 2019), but only slightly expanded in the early-diverging
- 334 sauropods Shunosaurus (Zhang, 1988) and Barapasaurus (Bandyopadhyay et al., 2010), and in
- the diplodocoid *Lingwulong* (Fig. 7).
- 336

#### 337 Discussion

- 338 The recovered bones of the new Tibetan sauropod dinosaur are generally similar to those of other
- Early and Middle Jurassic sauropods, and also preserve some derived features previously known
- 340 in mamenchisaurids. The cervicals are opisthocoelous and short, as in the Early Jurassic
- 341 sauropods *Kotasaurus* from India (*Yadagiri, 2001*), *Tazoudasaurus* from Morocco (*Allain* &
- 342 Aquesbi, 2008), and Zizhongosaurus and Gongxiansaurus (which now can only be studied on the
- 343 basis of information in the literature, because this specimen may have been destroyed due to
- **344** exhibition hall collapsed) from the Sichuan Basin (*He et al., 1998; Xing et al., 2019*), as well as
- 345 the middle Jurassic Shunosaurus (Zhang, 1988) and Patagosaurus (Holwerda, Rauhut & Pol,
- 346 2021). The lateral surfaces of the centra are excavated as in most sauropods, such as
- 347 Tonganosaurus from the Lower Jurassic Yimen Formation of the Sichuan Basin (Li et al., 2010),
- 348 but the cervicals of *Tonganosaurus* are more elongated and have no septa in their lateral

349 excavations. The shallow concavity of the lateral surface of the axial centrum, together with the

- 350 lateral excavations and ventral midline keels on the postaxial cervical centra, represent strong
- 351 similarities to Middle Jurassic sauropods from the Sichuan Basin, such as *Shunosaurus* and
- 352 Dashanpusaurus (Zhang, 1988; Peng et al., 2005), and also to Patagosaurus (Holwerda, Rauhut
- 353 & Pol, 2021). In addition, the Tibetan sauropod bones display some features seen in
- 354 mamenchisaurids and neosauropods, such as a relatively robust scapula with a strongly
- 355 dorsoventrally expanded proximal plate. However, the Tibetan sauropod also lacks many derived
- 356 features of mamenchisaurids, including a deep lateral excavation on the axis, elongated cervical
- 357 vertebrae, cervical centra with three or more lateral excavations and no ventral midline keel, and
- 358 bifurcate cervical neural spines (Young & Zhao, 1972; He, Li & Cai, 1988; Ouyang & Ye, 2002;
- 359 *Ren et al.*, 2021). Our analysis results in 78 most parsimonious trees with a length of 1223
- 360 (consistency index equals 0.373; retention index equals 0.702). The strict consensus tree supports
- 361 referral of the Tibetan sauropod to Eusauropoda (Fig. S2), but a reduced consensus tree indicates
- 362 that the Tibetan sauropod is the most positionally unstable OTU. The majority-rule consensus
- 363 tree posits the Tibetan sauropod as more derived than *Shunosaurus*, but excludes it from
- 364 Mamenchisauridae and Neosauropoda (Fig. S3).
- 365

366 It is difficult to ascribe the Tibetan sauropod specimen to any known sauropod species or genus.

- 367 The shortness of the cervical vertebrae is a point of resemblance to *Shunosaurus*, but the
- 368 vertebrae bear more complicated excavations than are present in that taxon. However, the
- 369 complexity of the cervical excavations may be subject to ontogenetic variation in sauropods.
- 370 While documented examples of ontogenetically-driven morphological changes in sauropods are
- 371 scant, such changes have been reported in a few genera, including Shunosaurus (Ma et al.,
- 372 2022), Brachiosaurus (Carballido et al., 2012), Europasaurus (Carballido & Sander, 2014) and
- 373 *Barosaurus (Melstrom et al., 2016).* Information from these taxa implies that the pleurocoels of
- 374 the cervical and dorsal vertebrae became more structurally complex, and the cervical centra more
- 375 elongate, in older individuals. In particular, Carballido & Sander (2014) divided the ontogeny of
- 376 *Europasaurus* into five stages, based on the degree to which pleurocoels and laminae were
- 377 developed.
- 378
- 379 The new Tibetan sauropod specimen may be a juvenile, based on its relatively small size and the
- 380 presence of visible neurocentral sutures (ncs, Figs. 3E, 4B, 5C). The axial centrum is about as
- 381 long (126 mm) as a complete example of the same element in a *Shunosaurus* (125 mm, ZDM
- 382 T5042) specimen (*Zhang*, 1988) which was estimated to have had a total body length of 11 m.
- 383 The maximum length of the preserved scapula is estimated to be less than 70 cm (based on the
- 384 scapular proportions of mamenchisaurids), making it much shorter than the scapulae of adult

individuals of such early-diverging eusauropod taxa as *Shunosaurus* (90 cm, ZDM T5042)

386 (Zhang, 1988) and M. youngi (119 cm, ZDM0083) (Ouyang & Ye, 2002). However, the scapula

387 of the Tibetan sauropod is slightly larger than that of a recently described partial juvenile

388 *Shunosaurus* skeleton (scapula length 57.4 cm) from the Middle Jurassic of Chongqing

389 Municipality, China (CLGPR V00007) (Ma et al., 2022). The latter has a slender shaft and low

acromial process as in adults of *Shunosaurus*, and in contrast to the condition in the Tibetan

391 sauropod. Unfortunately, no cervical vertebrae are preserved in the juvenile Shunosaurus, and

most of the ontogenetic variations that could be inferred based on this specimen pertained to

393 limb bones which are not represented in the Tibetan material (*Ma et al., 2022*).

394

395 The lack of fusion of the neurocentral sutures in the preserved cervical vertebrae suggests the

new Tibetan sauropod material represents a juvenile individual. Using the criteria established by

397 Carballido & Sander (2014) (and assuming that the ontogeny of *Europasaurus* was similar to

that of the presumably much more ancestral taxon represented by the Tibetan material), the new

399 Tibetan sauropod specimen can be recognized as a "late immature" individual, as well-developed

400 laminae and fossae are apparent in the cervical series but the cervical vertebrae are still short.

401 Similarly, the juvenile holotype of *Daanosaurus* from the Upper Jurassic of the Sichuan Basin

402 has a very short axis (*Ye, Gao & Jiang, 2005*), and juvenile specimens of *Bellusaurus* from the

403 Middle Jurassic of Xinjiang Autonomous Region have relatively short cervicals that bear deep

404 excavations divided by septa, although in the *Bellusaurus* material the cervicals lack ventral

405 midline keels and the scapulae are relatively slender (*Dong*, 1990). These comparisons indicate

406 that the cervical vertebrae of the Tibetan sauropod would likely have developed more complex

407 excavations and increased in size relative to other parts of the skeleton if ontogeny had

408 continued, suggesting that an adult of the same species would have been more similar to

- 409 mamenchisaurids (Carballido & Sander, 2014).
- 410

411 To summarize, the new Tibetan sauropod specimen displays a unique combination of features not seen in other early-diverging sauropods. However, more material is needed before a new 412 taxon can be established, due to the incompleteness of the preserved bones and their juvenile 413 status. The similarities between the Tibetan specimen and mamenchisaurids, which are already 414 known to have a wide distribution in the Middle Jurassic of Asia (Ren et al., 2021), suggest that 415 416 the Tibetan specimen may be at least closely related to Mamenchisauridae, particularly when possible ontogenetic effects are taken into account. A detailed study of ontogenetic variation in 417 418 mamenchisaurids would be helpful in more confidently establishing the taxonomic position of

419 the Tibetan specimen.

#### 420

#### 421 Conclusions

The Tibetan sauropod bones reveal the presence of a short-necked early-diverging sauropod in 422 423 the Middle Jurassic Dongdagiao Formation of Chaya County, Changdu District. Among previously described taxa, the specimen is most closely similar to early-diverging eusauropods 424 425 from the Middle Jurassic, the resemblances including the shortness of the cervical centra and the 426 fact that they bear lateral excavations. The specimen also possesses some derived features seen in the Late Jurassic mamenchisaurids and neosauropods, such as a robust scapula with a strongly 427 428 dorsoventrally expanded proximal end, and a deep fossa on the lateral surface of the axial neural 429 spine. The smallness of the available bones and the visible neurocentral sutures on the preserved 430 cervicals suggest that the specimen represents a juvenile, which might have increased in relative 431 neck length and the complexity of the pleurocoels and laminae in the cervical region if growth 432 had continued. Therefore, an adult individual of the same species might show clearer similarities to mamenchisaurids. The new material provides significant information on the morphological 433 434 transition from early-diverging eusauropods to mamenchisaurids, and expands the known diversity and biogeographic range of sauropods in the Middle Jurassic of East Asia. 435

436

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# Figure 1

Geologic map and stratigraphyic layer of sauropod remains in this study.

(A) Location of the Chaya area, Changdu District, where the material described in this paper was collected; (B). Lithostratigraphic column of the Dongdaqiao Formation in the study area.



## Figure 2

Axis of the Tibetan sauropod.

(A) Left lateral view; (B) Right lateral view; (C) Anterior view; (D) Dorsal view; (E) Ventral view; (F) Posteroventral view. **Abbreviations**: **di**, diapophysis; **epi**, epipophysis; **ic**, intercentrum; **nc**, neural canal; **ns**, neural spine; **podI**, postzygodiapophyseal lamina; **poz**, postzygapophysis; **pp**, parapophysis; **sdf**, spinodiapophyseal fossa; **spof**, spinopostzygapophyseal fossa; **spol**, spinopostzygapophyseal lamina.



# Figure 3

Possible anterior cervical vertebra of the Tibetan sauropod.

(A) Right lateral view; (B) Left lateral view; (C) Ventral view; (D) Dorsal view; (E) Anterior view; (F) Posterior view. Abbreviations: agr, anterior neural spine groove; acdl, anterior centrodiapophyseal lamina; cprl, centroprezygapophyseal lamina; dp, diapophysis; fo, fossa; tprl, intraprezygapophyseal lamina; nc, neural canal; ncs, neurocentral suture; ns, neural spine; pl, pleurocoel; plr, pleurocoel ridge; pcdl, posterior centrodiapophyseal lamina; podl, postzygodiapophyseal lamina; prdl, prezygodiapophyseal lamina; sprl, spinoprezygapophyseal lamina; vk, ventral keel.

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## Figure 4

Possible mid-cervical vertebra of the Tibetan sauropod.

(A) Right lateral view; (B) Left lateral view; (C) Posterior view; (D) Ventral view; (E) Anterior view. **Abbreviations**: **agr**, anterior neural spine groove; **cdl**, centrodiapophyseal lamina; **dp**, diapophysis; **nc**, neural canal; **ncs**, neurocentral suture; **ns**, neural spine; **pl**, pleurocoel; **plr**, pleurocoel ridge; **podl**, postzygodiapophyseal lamina; **ppr**, posterior process; **prdl**, prezygodiapophyseal lamina; **spol**, spinopostzygapophyseal lamina; **sprl**, spinoprezygapophyseal lamina



## Figure 5

Possible posterior cervical vertebra of the Tibetan sauropod

(A) Right lateral view; (B) Left lateral view; (C) Anterior view; (D) Ventral view; (E) Dorsal view; (F) Posterior view. **Abbreviations**: **acdl**, anterior centrodiapophyseal lamina; **cprl**, centroprezygapophyseal lamina; **dp**, diapophysis; **dlc**, dorsal lamina of the centrum; **fo**, fossa; **lcpol**, lateral centropostzygapophyseal lamina ; **nc**, neural canal; **ncs**, neurocentral suture; **pcdl**, posterior centrodiapophyseal lamina; **pl**, pleurocoel; **podl**, postzygodiapophyseal lamina; **pp**, parapophysis; **prdl**, prezygodiapophyseal lamina; **sprl**, spinoprezygapophyseal lamina; **vk**, ventral keel



## Figure 6

Left scapula of the Tibetan sauropod.

(A) Lateral view; (B) Medial view; (C) Ventral view; (D) Dorsal view; (E) Posterior view; (F) Anterior view. **Abbreviations**: **ac**, acromial process; **gl**, glenoid



# Figure 7

Comparison of left scapulae in lateral view

(A) *Tonganosaurus hei* (MCDUT 14454, reversed); (B) *Shunosaurus lii* (ZDM T 5402); (C) Tibetan sauropod (CGS V001); (D) *Lingwulong shenqi* (LM V001b, reversed); (E) *Omeisaurus tianfuensis* (ZDM T5704); (F) *Mamenchisaurus youngi* (ZDM0083). **Abbreviations**: **ac**, acromial process; **gl**, glenoid



#### Table 1(on next page)

Measurements of Tibetan sauropod bones.

Elements	Dimension	Measurements (mm)
Axis(CGS V001-1)	1 Centrum length	126.09
	2 Anterior centrum height	92.89
	3 Anterior centrum width	67.66
	4 Centrum height at the mid region	65.37
	5 Centrum width at the mid region	N/A
	6 Posterior centrum height	78.29
	7 Posterior centrum width	85.10
	8 Neural arch length (shortest)	98.03
	9 Neural arch height	84.26
	10 Neural arch width (anterior end)	48.12
	11 Neural canal width (anterior end)	29.82
	12 Neural canal height (anterior end)	45.25
	13 Neural canal width (posterior end)	24.52
	14 Neural canal height (posterior end)	24.66
	15 Neural arch width (posterior end)	45.17
	Ratio of centrum length to posterior centrum height	1.61
	Ratio of centrum length to posterior centrum width (EI)	1.48
	Ratio of centrum length to the average of posterior	1.54
	centrum width (aEI)	
Cervical (CGS V001-2)	l Centrum length (including ball)	196.07
	2 Centrum length (excluding ball)	153.55
	3 Anterior condyle height	71.60
	4 Anterior condyle width	86.85
	5 Anterior centrum height	78.07
	6 Anterior centrum width	110.67
	Centrum height at the mid region	61.85
	8 Centrum width at the mid region	N/A
	9 Posterior centrum neight	103.13
	10 Preserved (estimated) posterior centrum width	08.33 (105*)
	11 Anterior pneumatopore length	/1.44
	12 Amerior pneumatopore height	3U.38 40.65
	13 Posterior pneumatopore length	40.05
	14 Posterior pneumatopore neight	40.57
	15 Incural arch length (shortest)	141./3
	10 Incural arch neight	17.71 82.08
	17 Incurat arch width (into region)	03.70
	10 Ineural canal width (anterior end)	∠/.40

#### **1** Table 1. Measurements of Tibet sauropod bones.

	19 Neural canal height	18.35
	Ratio of centrum length to posterior centrum height	1.90
	EI value	1.9*
	aEI value	1.9*
Cervical (CGS V001-3)	1 Preserved (estimated) centrum length	252.06 (300*)
	2 Centrum height at the mid region	125.79
	3 Posterior centrum height	123.06
	4 Preserved (estimated) posterior centrum width	159.67 (165*)
	5 Posterior pneumatopore length	131.17
	6 Posterior pneumatopore height	55.66
	7 Neural arch length (shortest)	144.26
	8 Neural arch height (including neural spine)	186.55
	9 Neural spine height	51.64
	10 Neural spine width (anteroposterior)	66.15
	11 Neural spine thickness (transverse)	37.46
	12 Neural canal width (posterior end)	30.09
	13 Neural canal height (posterior end)	30.55
	Ratio of centrum length to posterior centrum height	2.4*
	EI value	1.8*
	aEI value	2.1*
Cervical (CGS V001-4)	1 Centrum length (including ball)	263.65
	2 Centrum length (excluding ball)	175.57
	3 Anterior condyle height	141.64
	4 Anterior condyle width	176.65
	5 Anterior centrum height	143.00
	6 Anterior centrum width	176.93
	7 Centrum height at the mid region	107.73
	8 Centrum width at the mid region	/
	9 Posterior centrum height	156.90
	10 Posterior centrum width	160*
	11 Right pneumatopore length	102.02
	12 Right pneumatopore height	56.68
	13 Left pneumatopore length	117.55
	14 Left pneumatopore height	51.94
	15 Neural arch length (shortest)	150.17
	16 Neural arch height	141.48
	17 Neural arch width (mid region)	158.91
	18 Anterior neural canal width (posterior end)	30.88
	19 Anterior neural canal height	42.26
	20 Posterior neural canal width (posterior end)	31.46
	21 Posterior neural canal height	33.12

	Ratio of centrum length to posterior centrum height	1.68
	EI value	1.65
	aEI value	1.67
Scapula (CGS V001-5)	1 Dorsoventral width of the proximal end	518.44
	2 Dorsoventral width of the mid-region	186.55
	3 Preserved dorsoventral width of the distal end	283.83 (incomplete)
	4 Anteroposterior length	685.97
	5 Transverse width of the proximal end	51.50
	6 Transverse width of the mid-region	36.04
	7 Transverse width of the distal end	61.55
	8 Transverse width of the glenoid rim	119.60
	9 Anteroposterior length of the glenoid rim	103.58

3 \* denotes values that have been estimated, based on measurements of the preserved parts of the centrum and

4 comparisons with other cervicals.