

Genomic relatedness and dissemination of *bla*_{NDM-5} among *Acinetobacter baumannii* isolated from hospital environments and clinical specimens in Thailand

Thawatchai Kitti¹, Suphattra Manrueang², Udomluk Leungtonkam², Supat Khongfak², Rapee Thummeepak², Surat Wannalerdsakun³, Thanyasiri Jindayok⁴, Sutthirat Sitthisak^{Corresp. 2, 5}

¹ Department of Oriental Medicine, ChiangRai College, Muang, Chiangrai, Thailand

² Microbiology and Parasitology, Naresuan University, Muang, Phitsanulok, Thailand

³ Department of Internal Medicine, Faculty of Medicine, Naresuan University, Muang, Phitsanulok, Thailand

⁴ Department of Pathology, Faculty of Medicine, Naresuan University, Muang, Phitsanulok, Thailand

⁵ Centre of Excellence in Medical Biotechnology, Faculty of Medical Science, Naresuan University, Muang, Phitsanulok, Thailand

Corresponding Author: Sutthirat Sitthisak

Email address: sutthirats@nu.ac.th

Background: *Acinetobacter baumannii* (*A. baumannii*) is an important cause of nosocomial infection, especially in intensive care units (ICUs). It has the propensity to tolerate various environments and multiple classes of antibiotics. Our study aimed to characterize the comparative genomes of *A. baumannii* from hospital environments and clinical isolates.

Methods: Clinical and environmental *A. baumannii* isolates were collected from a university hospital. Antibiotic susceptibility testing was performed, antibiotic resistance genes (ARG) were characterized, and repetitive element palindromic-PCR (rep-PCR) typing was performed. Eight representative *A. baumannii* isolated from environmental and clinical samples from the same wards were selected for whole-genome sequencing (WGS) using the Illumina platform. **Results:** A total of 106 *A. baumannii* isolates were obtained from 312 hospital environmental samples. A high percentage of samples with *A. baumannii* colonization was detected from AMBU bags (77.9%), followed by bedrails (66.7%) and suction tubes (66.7%). We found that 93.4% of the environmental isolates were multidrug-resistant *A. baumannii* (MDRAB), and 44.7% were extremely drug-resistant *A. baumannii* (XDRAB). *bla*_{OXA-23}, *bla*_{NDM}, and *bla*_{OXA-58} were present in 80.2%, 78.3%, and 0.9% of all isolates, respectively. Sixty-one *A. baumannii* isolates were collected from patient specimens in the same ward. Among all *A. baumannii* clinical isolates, MDRAB and XDRAB accounted for 82% and 55.7%, respectively. The most dominant ARG identified was *bla*_{OXA-23} (80.3%), followed by *bla*_{NDM} (55.7%). The genetic diversity of all isolates using rep-PCR could be divided into 33 genotypes. The genome size of eight *A. baumannii* ranged from 3.78-4.01 Mb. We found six of eight strains to be *bla*_{NDM-5}-harboring *A. baumannii*. Mobile genetic

elements (MGEs), such as integron1 (*int/1*), located upstream of *bla*_{NDM-5} were observed. The phylogenomic relationship of the core and pan genomes as well as the single nucleotide polymorphism (SNP) count matrix revealed the genetic similarity of *A. baumannii* environmental and clinical strains obtained from the same ward. **Conclusion:** This study confirmed that *A. baumannii* colonized in hospital environments were the main reservoir of nosocomial infection and provides critical information to guide the control of *A. baumannii* infection.

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2 ***baumannii* isolated from hospital environments and clinical specimens in**
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5 Thawatchai Kitti¹, Suphatra Manrueang², Udomluk Leungtongkam², Supat Khongfak²,
6 Rapee Thummeepak², Surat Wannalerdsakun³, Thanyasiri Jindayok⁴, and Sutthirat
7 Sitthisak^{2,5}

8

9 ¹ Department of Oriental Medicine, ChiangRai College, Chiangrai, 57000.

10 ² Department of Microbiology and Parasitology, Faculty of Medical Science, Naresuan
11 University, Phitsanulok, 65000.

12 ³ Department of Internal Medicine, Faculty of Medicine, Naresuan University,
13 Phitsanulok, 65000.

14 ⁴ Department of Pathology, Faculty of Medicine, Naresuan University, Phitsanulok,
15 65000.

16 ⁵ Centre of Excellence in Medical Biotechnology, Faculty of Medical Science, Naresuan
17 University, Phitsanulok, Thailand, 65000.

18

19 Corresponding Author:

20 Assoc. Prof. Dr .Sutthirat Sitthisak

21 Department of Microbiology and Parasitology

22 Faculty of Medical Sciences, Naresuan University

23 Phitsanulok, Thailand

24 Tel :66-55-964626

25 Fax :66-55-964770

26 Email address: sutthirats@nu.ac.th

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33 **Abstract**

34 **Background:** *Acinetobacter baumannii* (*A. baumannii*) is an important cause of
35 nosocomial infection, especially in intensive care units (ICUs). It has the propensity to
36 tolerate various environments and multiple classes of antibiotics. Our study aimed to
37 characterize the comparative genomes of *A. baumannii* from hospital environments and
38 clinical isolates.

39 **Methods:** Clinical and environmental *A. baumannii* isolates were collected from a
40 university hospital. Antibiotic susceptibility testing was performed, antibiotic resistance
41 genes (ARG) were characterized, and repetitive element palindromic-PCR (rep-PCR)
42 typing was performed. Eight representative *A. baumannii* isolated from environmental
43 and clinical samples from the same wards were selected for whole-genome sequencing
44 (WGS) using the Illumina platform.

45 **Results:** A total of 106 *A. baumannii* isolates were obtained from 312 hospital
46 environmental samples. A high percentage of samples with *A. baumannii* colonization
47 was detected from AMBU bags (77.9%), followed by bedrails (66.7%) and suction tubes
48 (66.7%). We found that 93.4% of the environmental isolates were multidrug-resistant *A.*
49 *baumannii* (MDRAB), and 44.7% were extremely drug-resistant *A. baumannii* (XDRAB).
50 *bla*_{OXA-23} *bla*_{NDM}, and *bla*_{OXA-58} were present in 80.2%, 78.3%, and 0.9% of all isolates,
51 respectively. Sixty-one *A. baumannii* isolates were collected from patient specimens in
52 the same ward. Among all *A. baumannii* clinical isolates, MDRAB and XDRAB
53 accounted for 82% and 55.7%, respectively. The most dominant ARG identified was
54 *bla*_{OXA-23} (80.3%), followed by *bla*_{NDM} (55.7%). The genetic diversity of all isolates using
55 rep-PCR could be divided into 33 genotypes. The genome size of eight *A. baumannii*
56 ranged from 3.78-4.01 Mb. We found six of eight strains to be *bla*_{NDM-5} -harboring *A.*
57 *baumannii*. Mobile genetic elements (MGEs), such as integron1 (*int1*), located
58 upstream of *bla*_{NDM-5} were observed. The phylogenomic relationship of the core and pan
59 genomes as well as the single nucleotide polymorphism (SNP) count matrix revealed
60 the genetic similarity of *A. baumannii* environmental and clinical strains obtained from
61 the same ward.

62 **Conclusion:** This study confirmed that *A. baumannii* colonized in hospital environments
63 were the main reservoir of nosocomial infection and provides critical information to
64 guide the control of *A. baumannii* infection.

65

66 **Introduction**

67 *Acinetobacter baumannii* has emerged as an important pathogen related to hospital-
68 acquired infections worldwide. This pathogen is the major cause of ventilator-associated
69 pneumonia (VAP), bacteremia, urinary tract infections, wound infections, and meningitis
70 (Nutman et al., 2016). The emergence of antibiotic-resistant *A. baumannii*, especially
71 MDRAB and XDRAB, has increased and seriously challenged the treatment of these
72 bacterial infections (Kyriakidis et al., 2021). National Antimicrobial Resistance
73 Surveillance Thailand (NARST) reported that the prevalence of carbapenem-resistant
74 *Acinetobacter baumannii* complex infection in the ICUs of 51 hospitals in Thailand was
75 higher than 80% (NARST, 2021). The major mechanism of carbapenem resistance
76 among *A. baumannii* is the production of antibiotic-hydrolyzing enzymes that belong to
77 Ambler Class D β -lactamases (CHDLs) and class B metallo-lactamases (MBLs)
78 (Ibrahim et al., 2021). Class D carbapenemases encode acquired bla_{OXA-23} , bla_{OXA-24} ,
79 and bla_{OXA-58} . These genes have been reported in many countries all over Asia,
80 including China, Korea, Thailand, Vietnam, and Malaysia (Hsu et al., 2017). Major MBLs
81 in *A. baumannii* are encoded by the bla_{NDM} gene and has been reported in Thailand
82 since 2017 (Leungtongkam et al., 2018). To date, twenty-four New Delhi metallo-beta-
83 lactamase (NDM) variants have been identified in more than 60 bacterial species,
84 including *Acinetobacter* spp., and several variants have the ability to enhance
85 carbapenemase activity (Wu et al., 2019).

86 *A. baumannii* has the ability to survive on hospital surfaces and equipment for
87 long periods. Hospital surface contamination of *A. baumannii* is closely correlated with
88 the transmission of the bacteria to patients, causing episodes of bacteremia and/or
89 sepsis (Markogiannakis et al., 2008). Genome sequencing of carbapenem-resistant *A.*
90 *baumannii* (CRAB) found on ICU surfaces revealed that the CRAB isolates from ICU
91 environment were linked with those of clinical origin (Yasir et al., 2022). *A. baumannii*
92 isolates were recovered from surrounding ICU bed surfaces, and these isolates

93 exhibited a multidrug resistance phenotype and belonged to some widely spread clonal
94 complexes (CCs) of clinical *A. baumannii* isolates (*Rocha et al., 2018*).

95 Comparative genomics research can help assess the bacterial evolution,
96 resistance mechanisms, and pathogenicity of bacterial pathogens at the genome-wide
97 level; it is also useful in the ensuing study of virulence factors involved in pathogenicity
98 (*Wright et al., 2016*). Whole-genome sequencing studies comparing distinct clinical and
99 environmental isolates have improved our understanding of the evolution of *A.*
100 *baumannii*. In this study, we aimed to investigate the resistance rates and
101 epidemiological characteristics of clinical and environmental *A. baumannii* isolates.
102 Then, we determined the draft genome sequence of eight clinical and eight
103 environmental *A. baumannii* strains from the same wards to perform comparative
104 genomic analysis.

105

106 **Materials & Methods**

107 **Samples**

108 Clinical and environmental *A. baumannii* isolates were collected from Naresuan
109 University Hospital between December 2020 and April 2021. Naresuan University is a
110 level III hospital with 400 beds located in the lower northern region of Thailand. Hospital
111 environment and clinical isolates were collected from five wards, which were two
112 medical wards, Medicine-man (MED-1) and Medicine-woman (MED-2), and three
113 intensive care units, the ICU Cardio-Vascular-Thoracic Surgery (ICU-1), ICU Surgery
114 (ICU-2), and ICU Medicine (ICU-MED). The sources of the samples included staff
115 contact samples, which included samples collected from stethoscopes (n=15), charts
116 (n=15), computers/keyboards (n=15), nurse station counters (n=15), medical lab coats
117 (n=15), restroom door handles (n=15), telephones (n= 15), and dressing trolleys (n=
118 15). Patient contact samples were collected from bedrails (n=15), bedsheets (n=15),
119 suction tubes (n=15), patient tables (n=15), curtains (n=15), humidifiers (n=15),
120 intravenous (IV) stands (n=15), ventilators (n=15), ventilator monitors (n=9), water from
121 ventilators (n=9), suction tubes (n=9), and AMBU bags (n=9). Other environmental
122 samples were collected from the air (n=15), sinks (n=15), and water from sinks (n=15).

123 The protocol was approved by the Naresuan University Institutional Biosafety
124 Committee, and the project number was NUIBC MI62-09-42.

125 **Isolation and identification of *A. baumannii* from hospital environments**

126 The air samples were collected using Leeds Acinetobacter Medium (LAM) (Hi-media,
127 India) in 9 cm diameter Petri dishes. Petri dishes were exposed for 24 hrs. The other
128 samples from environmental surfaces were collected using cotton swabs soaked in
129 0.85% normal saline and then placed in transfer media. The swab samples were
130 enriched in Luria-Bertani broth (LB) (Hi-media, India) by shaking at 160 rpm at 37°C for
131 24 hours and then cultured in Leed Acinetobacter Media (LAM) at 37°C for 24 hours.
132 Cultures with pink colonies were selected for further evaluation using Gram's stain and
133 biochemical tests (catalase, oxidase, TSI, citrate). Molecular identification of the
134 bacterial isolates was confirmed by polymerase chain reaction (PCR) using 16S rRNA,
135 *rpoB*, and *bla*_{OXA-51} primers (Table S1).

136 **Determination of antibiotic susceptibility**

137 Antibiotic susceptibility testing was performed according to the disk diffusion method
138 using 12 antibiotics: piperacillin/tazobactam (100 and 10 µg), ceftazidime (30 µg),
139 cefepime (30 µg), cefotaxime (30 µg), ceftriaxone (30 µg), imipenem (10 µg),
140 meropenem (10 µg), gentamicin (10 µg), amikacin (30 µg), tetracycline (30 µg),
141 ciprofloxacin (5 µg), and trimethoprim/sulfamethoxazole (1.25 and 23.75 µg). The plates
142 were incubated at 37 °C for 24 hours. The zones of inhibition determined whether the
143 microorganism was susceptible, intermediately resistant, or resistant to each antibiotic
144 according to the Clinical and Laboratory Standards Institute (CLSI) guidelines (2022).
145 All isolates were defined as non-drug-resistant *A. baumannii* (NRAB), MDRAB, CRAB,
146 and XDRAB as previously described by Magiorakos *et al.*

147 **PCR amplification of antibiotic resistance genes and rep-PCR typing**

148 As mentioned earlier, PCR assays to detect *bla*_{OXA-23}, *bla*_{OXA-24}, *bla*_{OXA-58}, and *bla*_{NDM}
149 were performed using the primers shown in Table S1. The genomic DNA of each isolate
150 was extracted from the overnight cultures using a PureDirex Genomics DNA Isolation
151 Kit (BIO-HELIX, New Taipei City, Taiwan). Rep-PCR was performed by using genomic
152 DNA as a template for PCR amplification with the ERIC-2 primer (Table S1) with the

153 conditions described by Leungtongkam *et al.* PCR-banding patterns and rep-PCR types
154 were analyzed and interpreted as previously described (*Leungtongkam et al., 2018*).

155

156 **Whole-genome sequencing and bioinformatics analysis**

157 Eight representative *A. baumannii* strains from four wards, four from hospital
158 environments (AE17, AE30, AE73, AE106) and four from clinical isolates (AC02, AC09,
159 AC23, and AC59) were analyzed. We selected two *A. baumannii* strains from each ward
160 that were isolated from the same time frame and showed similar antibiotic susceptibility
161 profiles and ARG patterns. All strains were cultured onto an LB agar plate and
162 incubated overnight at 37°C. Genomic DNA was extracted using a PureDire Genomics
163 DNA Isolation Kit (BIO-HELIX, New Taipei City, Taiwan). The extracted DNA was
164 quantified by a nanodrop (Hercuvan, Cambridge, UK). The purified genomic DNA was
165 used to construct libraries followed by sequencing with the Illumina HiSeq 2500-PE125
166 platform at Macrogen, Korea. The nucleotide sequences of the eight *A. baumannii*
167 strains have been deposited in NCBI's database under Sequence Read Archive (SRA)
168 with Bioproject PRJNA862456 (<https://www.ncbi.nlm.nih.gov/sra/PRJNA862456>). The
169 genome of *A. baumannii* ATCC17978 (CP000521) was used as a reference strain for
170 comparison with the eight *A. baumannii* strains.

171 **Genome assembly and annotation**

172 Raw sequencing reads were trimmed by using Trim Galore v0.6.7 with default settings
173 and by using Unicycler v0.4.8 with default parameters prior to assembly (*Krueger et al.,*
174 *2012; Wick et al., 2017*). The assembled contigs that were larger than 300 bp in length
175 were selected and subjected to further bioinformatic analysis. The remaining contigs
176 were annotated by using Prokka v1.14.6 with default options (*Seemann, 2014*).

177 **Identification of MLST, antimicrobial resistance, and virulence genes**

178 The remaining contigs were subjected to detection of drug-resistance and virulence
179 genes by using Abricate v1.0.1 with default settings (*Seemann, 2016*) against the
180 comprehensive antibiotic resistance database (CARD) and virulence factor database
181 (VFDB) (*Alcock et al., 2020; Liu et al., 2022*). Multilocus sequence typing (MLST) was
182 performed by using MLST v2.0, which is accessible from the Center for Genomic

183 Epidemiology (www.genomicepidemiology.org). The gene arrangement analysis of
184 *bla*_{NDM-5} was performed using Easyfig version 2.1 ([Sullivan et al., 2011](#)).

185

186 **Phylogenomic relationships**

187 The selected genomes of eight *A. baumannii* were subjected to Roary v3.13.0 with the
188 default parameters to identify pan- and core genes ([Page et al., 2015](#)). The resultant
189 core genes among the eight genomes were concatenated prior to the construction of a
190 pangenome tree in the CSI phylogeny, which is accessible from the Center for Genomic
191 Epidemiology (www.genomicepidemiology.org) ([Kaas et al., 2014](#)). A core-genome tree
192 was constructed based on the presence/absence of identified core-genes and
193 visualized in FigTree v1.4.4 (<https://tree.bio.ed.ac.uk/software/figtree/>). The SNP count
194 matrix of all selected genomes was calculated in snp-dists v0.6.3 with default settings
195 ([Seemann, 2019](#)).

196 **Statistical analyses**

197 Statistical analyses were performed using Stata (Stata 12.0 Corporation). The
198 comparisons of the proportions of antibiotic resistance between *A. baumannii* obtained
199 from the two different origins were analyzed by using the Z-test. The comparisons of
200 antibiotic resistance among *A. baumannii* collected from the five hospital wards were
201 analyzed by using the chi-square test. P values <0.05 were considered to be a
202 statistically significant difference.

203 **Results**

204 ***A. baumannii* strains isolated from the hospital environment and clinical isolates**

205 A total of 106 *A. baumannii* isolates were obtained from 312 hospital
206 environmental samples (33.97%). The isolates associated with patient contact from
207 AMBU bags, bedrails, suction tubes, water from ventilators, bedsheets, patient tables,
208 humidifiers, ventilators, curtains, and IV stands were found in 77.9%, 66.7%, 66.7%,
209 55.6%, 53.3%, 33.3%, 33.3%, 33.3%, 33.3%, and 13.3% of the samples, respectively.
210 The isolates associated with staff contact and other environments from the air,
211 keyboards, counters, medical lab coats, dressing trolleys, stethoscopes, charts,
212 restroom door handles, and telephones were found in 60.0%, 53.3%, 46.7%, 42.9%,
213 33.3%, 26.7%, 26.7%, 6.7%, 6.7%, and 6.7% of the samples, respectively ([Table S2](#)).

214 However, we did not find *A. baumannii* isolates on sinks, water from sinks, or ventilator
215 monitors (Table S2). Of the 312 environmental samples collected from each ward, we
216 found the highest *A. baumannii* contamination in the samples obtained from ICU
217 Surgery, with a rate of 52.9% (36/38), followed by those obtained from the Medicine-
218 woman (40.7%; 22/54), ICU Medicine (38.2%; 26/68), Medicine-man (27.8%; 5/54), and
219 ICU Cardiovascular-Thoracic Surgery (10.3%; 7/68) wards (Table S2).

220 During the investigation of the prevalence of *A. baumannii* isolates from the
221 hospital environments of various wards, we found the highest rate of *A. baumannii* in
222 the ICU Surgery ward (33.9%), followed by the ICU Medicine (24.5%), Medicine-woman
223 (20.8%), Medicine-man (14.2%), and ICU Cardio-Vascular-Thoracic surgery (6.6%)
224 wards (Table 1). *A. baumannii* isolates were found in the patient specimens collected
225 from the ICU Medicine (24.6%), Medicine-man (24.6%), ICU Surgery (19.7%),
226 Medicine-woman (16.4%), and ICU Cardio-Vascular-Thoracic surgery (14.8%) wards
227 (Table 1).

228

229 **Antibiotic susceptibility patterns of *A. baumannii* isolates**

230 All *A. baumannii* isolates were subjected to antimicrobial susceptibility testing,
231 and the results are shown in Table 2. *A. baumannii* isolates from hospital environments
232 were highly resistant to meropenem (100%), cefotaxime (100%), ceftazidime (100%),
233 and ceftriaxone (100%), while the *A. baumannii* clinical isolates were highly resistant to
234 ceftazidime (90.2%) and ceftriaxone (90.2%). NRAB was detected in only 16.39% of *A.*
235 *baumannii* clinical isolates. A high prevalence of MDRAB and CRAB was detected in *A.*
236 *baumannii* isolated from hospital environment (ABHE) (93.4% and 100%) and clinical
237 isolates (82.0% and 92.0%) with p value < 0.05, as shown in Table 3. The prevalence of
238 XDRAB in *A. baumannii* isolates from hospital environments and clinical isolates was
239 44.7% and 55.7%, respectively. (Table 3). Among the five wards, a high prevalence of
240 XDRAB was detected in *A. baumannii* isolates from ICU Surgery (Table 4).

241 **Antibiotic resistance genes and rep-PCR typing**

242 16S rRNA and *rpoB* genes were detected in all *A. baumannii* isolates. The
243 intrinsic *bla*_{OXA-51} gene was detected in all ABHE and 96.7% (59/61) of clinical isolates.
244 The oxacillinase gene, *bla*_{OXA-23} was the most frequently detected gene at 80.20%

245 (85/106) in ABHE and 80.33% (49/61) in clinical isolates (Table 3). The *bla*_{OXA-58} gene
246 was detected in one ABHE (0.94%) and one clinical isolate (1.64%). The *bla*_{NDM} gene
247 was detected in 78.3% (83/106) of ABHE (p value < 0.05) compared to 55.74% (34/61)
248 of clinical isolates. The *bla*_{OXA-24} gene was not detected in any of the isolates. Among
249 the five wards, a high prevalence of *bla*_{OXA-23} was detected in ICU Cardio-Vascular-
250 Thoracic Surgery, and a high prevalence of *bla*_{NDM} was detected in ICU Surgery (p
251 value < 0.05) (Table 4).

252 Rep-PCR typing was performed, and fingerprinting represented 33 different DNA
253 patterns consisting of amplicon sizes ranging from 500 to 4,000 bp. The genotypes
254 were named T1 to T33. The major genotype of ABHE was T30 at 21.7% (23/106),
255 followed by T23 at 17% (18/106) and T2 at 15% (15/106). The major genotype of the *A.*
256 *baumannii* clinical isolates was T4 at 34.4% (21/61), followed by T23 at 29.5 % (18/61).
257 Heatmaps representing the antibiotic susceptibility patterns, antimicrobial resistance
258 genes, and rep-PCR typing from the five wards is shown in Figures S1-S5. We found
259 genetic similarity between ABHE and *A. baumannii* clinical isolates in each ward with
260 antibiotic susceptibility patterns and antimicrobial resistance genes since most *A.*
261 *baumannii* strains in the same ward showed similar profiles. No association was found
262 between rep-PCR typing of ABHE and *A. baumannii* clinical isolates (Figures S1-S5).
263 Eight strains of *A. baumannii* with similar profiles from four wards were selected for
264 genome sequencing.

265

266 **Comparative genomic and phylogenomic analysis of *A. baumannii* from hospital** 267 **environmental and clinical isolates**

268 Eight strains of *A. baumannii* from clinical and environmental isolates were
269 analyzed and compared with the genome of *A. baumannii* ATCC17978. The four ABHE
270 were AE17 (patient table), AE30 (bedrail), AE73 (dressing trolley), and AE106 (AMBU
271 bag). The four clinical isolates were AC02 (blood hemoculture), AC09 (sputum), AC23
272 (sputum), and AC59 (right hepatic drain). AC02 and AE03 were obtained from the
273 Medicine-man ward. AC59 and AE17 were obtained from the Medicine-woman ward.
274 AC09 and AE106 were derived from the ICU Cardio-Vascular-Thoracic Surgery ward.
275 AC23 and AE73 were derived from the ICU Surgery ward. The genome characterization

276 of the isolates is summarized in **Table 5**. The genome analysis revealed that AC02,
277 AE30, AC09, AE106, AC23 and AE73 belong to ST2 based on the Pasteur MLST
278 scheme. However, AC59 and AE17 belong to ST164. The predicted genome sizes of
279 the eight *A. baumannii* strains ranged from 3.78 to 4.01 Mb compared to the genome of
280 ATCC17978, which was 3.97 Mb.

281 ARGs and virulence genes of eight *A. baumannii* strains showed genetic similarity
282 among *A. baumannii* hospital environments and clinical isolates but were slightly
283 different from the genome of ATCC17978 (**Figure 1AB**). The ARGs detected in all eight
284 *A. baumannii* strains as well as ATCC 17978 encoded macrolide resistance genes
285 (*amvA*) and a number of genes encoding efflux pumps involved in resistance in
286 glycylicycline/tetracycline (*adeR, adeS, adeA, adeB*), fluoroquinolone/tetracycline (*adeF,*
287 *adeG, adeH, adeL*), fluoroquinolone (*abaQ, abeM*), fosfomycin (*abaF*), and multidrug
288 resistance (*adel, adeJ, adeK, adeN, abeS*). We identified 23 ARGs present in only
289 some *A. baumannii* strains, which encoded the efflux pump (*adeC*) and genes involved
290 in resistance to tetracycline (*tet(39), tetB*), cephalosporins (*bla_{ADC-10}, bla_{ADC-6}, bla_{ADC-73},*
291 *bla_{ADC-79}, bla_{TEM-1}, bla_{TEM-12}*), carbapenems (*bla_{OXA-23}, bla_{OXA-66}, bla_{OXA-91}, bla_{OXA-259}*),
292 macrolide (*mphE, msrE*), aminoglycoside (*aadA5, armA, aph(3'')-Ib, aph(6)-Id*),
293 sulfonamide (*sul1, sul2*), and integron-encoded dihydrofolate reductase (*dfrA17*).

294 A class B β -lactamase gene, *bla_{NDM-5}*, that hydrolyzes virtually all β -lactam
295 antibiotics, including carbapenems, was detected in six strains except ATCC17978,
296 AE17 and AC59 (**Figure 1AB**). Genetic contexts of *bla_{NDM-5}* revealed mobile genetic
297 elements (MGEs), such as integron1 (*intl1*), IS91 family transposase, and transposase
298 (*ISAbA125*), along with other AGRs, *ant(3'')-Ia, qacE Δ 1*, and *sul1*, located upstream and
299 downstream of *bla_{NDM-5}* (**Figure 1C**).

300 Analysis of the virulence genes of eight *A. baumannii* strains and
301 ATCC17978 revealed that the genes were involved in biofilm formation (*adeF, adeG,*
302 *adeH, bap, csuA/B, csuA, csuB, csuC, csuD, csuE, pgaA, pgaB, pgaC, pgaD*), enzyme
303 phospholipase (*plcC, plcD*), immune evasion (*lpsB, lpxA, lpxB, lpxD, lpxL, lpxM*), iron
304 uptake (*barA, barB, basA, basB, basC, basD, basF, basG, basI, basJ, bauA, bauB,*
305 *bauC, bauD, bauE, bauF, entE*), gene regulation (*abal, abaR, bfmR, bfmS*), serum
306 resistance (*pbpG*), and host cell adherence (*ompA*) (**Figure 1B**). The genes involved in

307 capsule polysaccharide synthesis (*wcoB*) and the gene encoding glycosyltransferase in
308 lipopolysaccharide (LPS) biosynthesis (*lpsB*) were detected in only one strain, ATCC
309 17978 and AC09 (Figure 1B).

310 The phylogenomic relationship of the core and pan genomes of eight *A.*
311 *baumannii* and ATCC17978 strains shown in Figure 2AB revealed three major clades.
312 The *A. baumannii* strains obtained from the ICU-1, ICU-2, and Med-1 wards were in the
313 same clade, while the *A. baumannii* strains obtained from the Med-2 ward were in
314 different clades. The genome of ATCC17978 showed different clades from all eight *A.*
315 *baumannii* strains. The SNP count matrix of all selected genomes confirmed that the
316 high number of SNPs of AC59 and AE17 derived from the Med-2 ward were
317 comparable with other *A. baumannii* strains (Figure 2C).

318

319 Discussion

320 *A. baumannii* is an opportunistic pathogen that causes hospital-acquired
321 infections in patients who have high risk factors, such as patients in intensive care units
322 (ICUs). This bacterium is extremely capable of surviving, spreading, and developing
323 antibiotic resistance in hospital wards (Vázquez-López *et al.*, 2020). In this study, we
324 investigated *A. baumannii* from three ICUs and two medicine wards from a university
325 hospital to identify nosocomial infection-associated bacteria. A total of 106 isolates of *A.*
326 *baumannii* were isolated from 312 environmental samples, which were frequently in
327 contact with staff and patients. The highest numbers of staff and patient contact
328 samples with *A. baumannii* colonization were from AMBU bags (77.9%) and keyboards
329 (53.3%). Shamsizadeh *et al.* (2017) reported that *A. baumannii* was detected in
330 environmental samples with the highest recovery in intensive care units (ICUs). This is
331 in agreement with our study in which we isolated the highest number of *A. baumannii*
332 from two ICUs. A previous study demonstrated that *A. baumannii* was isolated from
333 hospital sinks, bed rails, water systems, and medical equipment, particularly in ICUs
334 and surgical units (Ibrahim *et al.*, 2021). We detected a high number of *A. baumannii*
335 from AMBU bags (77.9%), followed by bedrails (66.7%) and suction tubes (66.7%).
336 However, we did not obtain *A. baumannii* from hospital sinks or water from sinks. In
337 addition, a previous study reported that the airborne route also plays an important role

338 in the transmission of *A. baumannii* infections in hospitals (Ayoub Moubareck *et al.*,
339 2020). Our study confirmed that a high number of *A. baumannii* was isolated from air
340 (60.0%). *A. baumannii* was associated with hospital-acquired outbreaks due to its ability
341 to spread in the air environment and colonize hospital utensils.

342 MDRAB and CRAB were described as major resistant strains that caused
343 hospital outbreaks in Thailand (Leungtongkam *et al.*, 2018; Chukamnerd *et al.*, 2022).
344 High prevalence rates of both MDRAB and CRAB were found in this study. We found
345 that the resistance rate of *A. baumannii* isolated from hospital environments was higher
346 than that isolated from clinical samples. In addition, all *A. baumannii* isolates isolated
347 from hospital environments were resistant to meropenem (100%), cefotaxime (100%),
348 ceftazidime (100%), and ceftriaxone (100%), and all isolates were CRAB. The results
349 were in contrast with a Chinese study showing that *A. baumannii* isolated from the
350 hospital environment was more susceptible to most antimicrobial agents (Ying *et al.*,
351 2015).

352 Our data showed that *A. baumannii* isolated from hospital environments and
353 clinically isolated from the same ward possessed similar antibiotic susceptibility profiles,
354 and ARG patterns represented the outbreak clone in each ward (Figure S1–S5). Among
355 all isolates, the results showed that *bla*_{OXA-23} was the most frequent carbapenemase
356 gene detected. This result suggests that *bla*_{OXA-23} was the major cause of carbapenem
357 resistance in *A. baumannii* isolates from hospital environments and clinical samples in
358 our hospitals. This result was supported by Leungtongkam *et al.* (2018), who detected
359 *bla*_{OXA-23} in all *A. baumannii* isolates from four tertiary hospitals in Thailand. Jain *et al.*
360 (2019) reported that *bla*_{NDM-1} was the most frequent gene detected in *A. baumannii*
361 isolated in both clinical and environmental samples from India (Jain *et al.*, 2019).
362 Interestingly, we found a high prevalence of *bla*_{NDM} among both the hospital
363 environment and clinical sample isolates. Compared to a previous report from Thailand,
364 a low rate of *bla*_{NDM} was detected in *A. baumannii* isolates from hospitals in northern
365 and southern Thailand (Leungtongkam *et al.*, 2018; Chukamnerd *et al.*, 2022).

366 Genomic analysis of eight representative MDRAB strains found that the major ST
367 type (AC02, AE30, AC09, AE106, AC23, and AE73) was ST2. It has been reported that
368 MDRAB sequence type ST2 was the most prevalent in Thailand. The AC59 and AE17

369 strains were designated ST164, which was also reported in Thailand (*Khuntayaporn et*
370 *al., 2021*). NDM-producing organisms have become endemic in the Indian subcontinent,
371 and numerous epidemics have been recorded worldwide. Genomic analysis found that
372 the AC02, AE30, AC09, AE106, AC23, and AE73 strains possess an NDM-5 metallo- β -
373 lactamase gene. This is the first report regarding the detection of an NDM-5-producing
374 *A. baumannii* from hospital environments and clinical samples in Thailand. The
375 emergence of the *bla*_{NDM-5} gene was mostly identified in *Escherichia coli*. To date, only
376 one report by Khalid *et al.* (2020) identified *A. baumannii* harboring *bla*_{NDM-5} from the
377 neonatal intensive care unit (NICU) of an Indian Hospital, but it was not present in
378 environmental isolates (*Hamidian et al., 2019*). Our PCR study identified the *bla*_{NDM}
379 gene but could not specifically identify the NDM variant. The outbreak clone harboring
380 *bla*_{NDM-5} was revealed using WGS. Mobile genetic elements such as insertion
381 sequences, transposons, and integrons can mobilize *bla*_{NDM-5} (*Wu et al., 2019*). Our
382 WGS analysis revealed *intl1* located upstream of *bla*_{NDM-5} (Figure 1C). A previous report
383 on *E. coli* detected *bla*_{NDM-5} to be located in a complex of class 1 integrons together with
384 *aadA2*, *aac(3)-IIa*, *mph(A)*, *sul1*, *tet(A)*, and *dfrA12* (*Alba et al., 2021*). In this study, we
385 found *ant(3'')-Ia*, *qacE Δ 1*, and *sul1*.

386 WGS of eight strains revealed a high number of ARGs in accordance with
387 previous reports in Thailand (*Kongthai et al., 2021; Wareth et al., 2021; Chukamnerd et*
388 *al., 2022*). Among the eight strains, the antibiotic resistance gene patterns of *A.*
389 *baumannii* differed among wards but were similar in the same ward. A high number of
390 acquired ARGs was detected. Horizontal gene transfer among *A. baumannii* and other
391 bacterial species colonizing the hospital environment may play an important role in the
392 movement of these acquired ARGs. Interestingly, we found that the virulence gene
393 patterns of *A. baumannii* strains from four wards were quite similar (Figure 1B). These
394 findings indicated that all *A. baumannii* strains from the four wards were derived from
395 the same ancestor and employed the same pathogenic mechanisms to cause disease.
396 The phylogenomic relationship of the core and pan genomes as well as the SNP count
397 matrix revealed the genetic similarity of *A. baumannii* strains obtained from the same
398 ward. This is in agreement with a previous study by Yasir *et al.* (2022), in which genome

399 sequencing revealed that *A. baumannii* isolated from hospital environments was linked
400 with those of clinical origin (*Yasir et al., 2022*).

401

402 **Conclusions**

403 In conclusion, in this study, we presented a whole-genome analysis of eight *A.*
404 *baumannii* strains from hospital environments and clinical samples. Our data revealed
405 the epidemiological characteristics of similar antibiotic susceptibility profiles, antibiotic
406 resistance genes, virulence genes, clonal complexes, core genomes, pan genomes,
407 and single nucleotide polymorphisms among clinical and environmental *A. baumannii*
408 isolates from the same ward.

409

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411 The authors would like to thank the staffs of Naresuan university hospitals for collecting
412 the bacterial isolates.

413 **Abbreviations**

414 ARG: antibiotic resistance gene

415 ABHE: *A. baumannii* isolated from hospital environment

416 CARD: comprehensive antibiotic resistance database

417 CRAB: carbapenem-resistant *A. baumannii*

418 MDRAB: multidrug-resistant *A. baumannii*

419 MLST: multilocus sequence typing

420 NDM: New Delhi metallo-beta-lactamase

421 NRAB: non drug- resistant *A. baumannii*

422 SNP: single nucleotide polymorphism

423 VFDB: virulence factor database

424 XDRAB: extremely drug-resistant *A. baumannii*

425 WGS: whole-genome sequencing

426

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Figure 1

Detections of antibiotic resistance, virulence genes, and genetic contexts of *A. baumannii* harboring *bla*_{NDM-5} among 8 representative *A. baumannii* strains and ATCC 17978.

(A) The pattern of acquired resistance genes, (B) virulence factor-associated genes in the *A. baumannii* genomes, and (C) genetic contexts and comparison of the gene arrangement of six *A. baumannii* isolates harboring *bla*_{NDM-5}. The arrows indicate genes located upstream and downstream of *bla*_{NDM-5}, including Integron1 (*int1*), BsuBI-PstI family restriction endonuclease (Bsu-PstI), aminoglycoside 3''-nucleotidyltransferase (*ant(3'')-Ia*), quaternary ammonium compound efflux (*qacEΔ1*), sulfonamide resistance (*sul1*), IS91 family transposase, cytochrome c-type biogenesis protein (*DsbD*), N-(5'-phosphoribosyl) anthranilate isomerase (*trpF*), bleomycin resistance protein (*ble*_{MBL}), New Delhi metallo-beta-lactamase 5 (*bla*_{NDM-5}), and transposase (*ISAbal25*).

Figure 2

Phylogenomic relationship among selected representative isolates of *Acinetobacter baumannii* obtained from different wards.

(A) A phylogeny reconstructed from 2,928 concatenated core genes of all analyzed genomes presented with metadata. (B) Hierarchical tree based on the presence/absence of patterns of 4,778 pangenome genes of 8 representative isolates and ATCC 17978. (C) SNP matrix-based heatmap illustrating the number of single nucleotide polymorphisms in the whole genome between the eight strains studied.

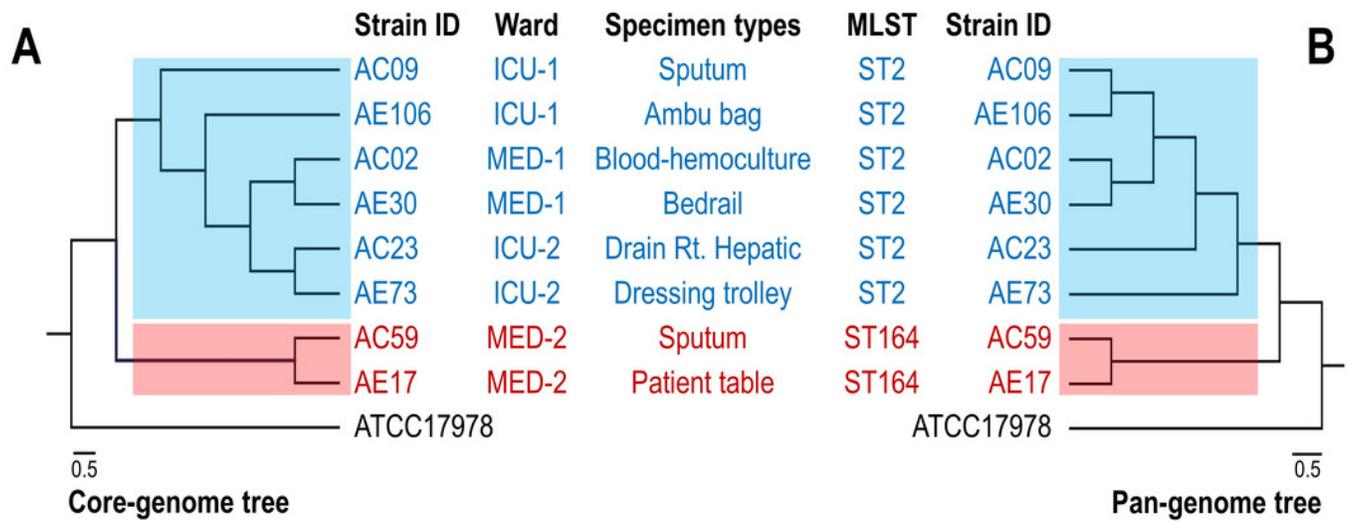


Table 1 (on next page)

A. baumannii isolated from hospital environments and clinical samples from various hospital wards.

- 1 **Table 1: *A. baumannii* isolated from hospital environments and clinical samples**
- 2 **from various hospital wards.**

Ward		Positive environment		Positive Clinical	
		n	%	n	%
MED-1	Medicine-man ward	15	14.2%	15	24.6%
MED-2	Medicine-woman ward	22	20.8%	10	16.4%
ICU-MED	ICU Medicine	26	24.5%	15	24.6%
ICU-1	ICU Cardio-Vascular-Thoracic Surgery	7	6.6%	9	14.8%
ICU-2	ICU Surgery	36	33.9%	12	19.6%
Total		106	100.00%	61	100.00%

3

4

Table 2 (on next page)

Frequency of resistance to antimicrobial agents among *A. baumannii* isolates from hospital environments and clinical samples.

- 1 **Table 2: Frequency of resistance to antimicrobial agents among *A. baumannii***
- 2 **isolates from hospital environments and clinical samples.**

Antimicrobial Group	Antibiotics	Resistance	
		hospital Environment	Clinical
β -Lactam combinations	Piperacillin/Tazobactam	80.2%	81.9%
Cephems	Ceftazidime	100.0%	90.2%
	Cefepime	99.1%	85.3%
	Cefotaxime	100.0%	88.3%
	Ceftriaxone	100.0%	90.2%
Carbapenems	Imipenem	77.4%	55.7%
	Meropenem	100.0%	83.6%
Aminoglycosides	Gentamicin	77.4%	70.5%
	Amikacin	62.3%	67.2%
Tetracyclines	Tetracycline	74.5%	73.8%
Fluoroquinolones	Ciprofloxacin	79.2%	83.6%
Folate pathway inhibitors	Trimethoprim/Sulfamethoxazole	88.7%	81.9%

3

4

5

Table 3(on next page)

The statistical analysis for comparing the proportions of antibiotic resistance between *A. baumannii* obtained from two different origins

* Comparison of percentages between two groups by Z-test ** ND; Not determined statistical analysis Note: A p value < 0.05 reflected statistically significant findings . CRAB: carbapenem-resistant *A. baumannii*; MDRAB: multidrug-resistant *A. baumannii*; XDRAB: extremely drug-resistant *A. baumannii*

1

2 **Table 3. The statistical analysis for comparing the proportions of antibiotic**
 3 **resistance between *A. baumannii* obtained from two different origins**

4

Characteristics	Clinical origin (n=61 isolates)	Environmental origin (n=106 isolates)	*p value (95% CI)
Prevalence of MDRAB	50/61 (82.0%)	99/106 (93.4%)	0.021 (22.2% to 0.7%)
Prevalence of CRAB	50/61 (92.0%)	106/106 (100%)	<0.001 (83.8% to 27.7%)
Prevalence of XDRAB	34/61 (55.7%)	47/106 (44.7%)	0.116 (27.0% to 4.2%)
Prevalence of <i>bla</i> _{OXA-23} positive isolates	49/61 (80.3%)	85/106 (80.2%)	0.983 (12.4% to -12.7%)
Prevalence of <i>bla</i> _{OXA-58} positive isolates	1/61 (1.6%)	1/106 (0.9%)	** ND
Prevalence of <i>bla</i> _{NDM} positive isolates	34/61 (55.7%)	83/106 (78.3%)	0.002 (37.3% to 7.8%)

5 * Comparison of percentages between two groups by Z-test

6 ** ND; Not determined statistical analysis

7 Note: A p value < 0.05 reflected statistically significant findings.

8 CRAB: carbapenem-resistant *A. baumannii*; MDRAB: multidrug-resistant *A. baumannii*; XDRAB:
 9 extremely drug-resistant *A. baumannii*

Table 4(on next page)

Proportion comparisons of antibiotic resistance among *A. baumannii* collected from five hospital wards

* overall p value calculated to compare percentages among multiple groups by Chi-square test ** ND; Not determined statistical analysis Note: Bold values denote the highest proportions with statistical significance at the p value < 0.05 level. MED-1 (Medicine-man ward), MED-2 (Medicine-woman ward), ICU-MED (ICU Medicine), ICU-1 (ICU Cardio-Vascular-Thoracic Surgery), ICU-2 (ICU Surgery) CRAB: carbapenem-resistant *A. baumannii*; MDRAB: multidrug-resistant *A. baumannii*; XDRAB: extremely drug-resistant *A. baumannii*

1 **Table 4. Proportion comparisons of antibiotic resistance among *A. baumannii***
 2 **collected from five hospital wards**

3

Hospital wards/Characteristics	MED-1	MED-2	ICU-MED	ICU-1	ICU-2	*p value
Percentage of MDRAB	29/30 (96.7%)	26/32 (81.3%)	37/41 (90.2%)	15/16 (93.3%)	42/48 (87.5%)	0.386
Percentage of CRAB	29/30 (96.7%)	31/32 (96.9%)	39/41 (95.1%)	15/16 (93.8%)	42/48 (87.5%)	0.490
Percentage of XDRAB	13/30 (43.3%)	11/32 (34.4%)	13/41 (31.7%)	8/16 (50%)	36/48 (75%)	<0.001
Percentage of <i>bla</i> _{OXA-23} positive isolates	27/30 (90%)	14/32 (43.8%)	36/41 (87.8%)	15/16 (93.8%)	42/48 (87.5%)	<0.001
Percentage of <i>bla</i> _{OXA-58} positive isolates	1/30 (3.3%)	0/32 (0%)	0/41 (0%)	0/16 (0%)	1/48 (2.1%)	** ND
Percentage of <i>bla</i> _{NDM} positive isolates	14/30 (46.7%)	29/32 (90.6%)	22/41 (53.7%)	8/16 (50%)	44/48 (91.7%)	<0.001

4 * overall p value calculated to compare percentages among multiple groups by Chi-
 5 square test

6 ** ND; Not determined statistical analysis

7 Note: Bold values denote the highest proportions with statistical significance at the p
 8 value < 0.05 level.

9 MED-1 (Medicine-man ward), MED-2 (Medicine-woman ward), ICU-MED (ICU
 10 Medicine), ICU-1 (ICU Cardio-Vascular-Thoracic Surgery), ICU-2 (ICU Surgery)
 11 CRAB: carbapenem-resistant *A. baumannii*; MDRAB: multidrug-resistant *A. baumannii*;
 12 XDRAB: extremely drug-resistant *A. baumannii*

13

Table 5(on next page)

Medical and general genome features of 8 representatives *A. baumannii* isolated from various hospital wards.

MED-1 (Medicine-man ward), MED-2 (Medicine-woman ward), ICU-1 (ICU Cardio-Vascular-Thoracic Surgery), ICU-2 (ICU Surgery), MDRAB: multidrug-resistant *A. baumannii*, XDRAB: extremely drug-resistant *A. baumannii*

1 **Table 5: Medical and general genome features of 8 representatives *A. baumannii***
 2 **isolated from various hospital wards.**

Strain ID/ Characteristics	AC02	AE30	AC59	AE17	AC09	AE106	AC23	AE73
Ward	MED-1	MED-1	MED-2	MED-2	ICU-1	ICU-1	ICU-2	ICU-2
Specimen types	Blood-hemoculture	Bedrail	Sputum	Patient table	Sputum	AMBU bag	Right Hepatic Drain	Dressing trolley
Antibiotic Resistance	XDRAB	XDRAB	MDRAB	MDRAB	XDRAB	XDRAB	MDRAB	MDRAB
MLST	ST2	ST2	ST164	ST164	ST2	ST2	ST2	ST2
Genome size (bp)	4,016,797	3,966,329	3,958,580	3,786,785	3,934,990	3,949,273	3,925,340	3,955,274
% GC	38.90	38.99	38.87	38.88	38.98	39.00	38.98	38.99
No. of contigs	86	71	96	63	68	76	72	81
Largest contig	340426	292477	481102	306399	303352	292477	360663	292477

3 MED-1 (Medicine-man ward), MED-2 (Medicine-woman ward), ICU-1 (ICU Cardio-
 4 Vascular-Thoracic Surgery), ICU-2 (ICU Surgery), MDRAB: multidrug-resistant *A.*
 5 *baumannii*, XDRAB: extremely drug-resistant *A. baumannii*

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