

Association between the CASC16 rs4784227 polymorphism and breast cancer risk and prognosis in a northeast Chinese Han population

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Background: Breast cancer (BC) poses a serious threat to women worldwide. This research was designed to explore the association between the rs4784227 polymorphism of cancer susceptibility candidate gene 16 (*CASC16*) and BC susceptibility and prognosis, aiming to provide further information for the early detection of BC and to accelerate comprehensive cancer management. **Methods:** A total of 1733 subjects were recruited for this case-control study, of which 828 are BC patients and 905 are healthy individuals. The relevance between SNP rs4784227 and BC risk in diverse genetic models was analyzed by using the SNPStats analysis program and was assessed by odds ratios (ORs) and 95% confidence intervals (CIs) using the binary logistic regression model. Pearson's χ^2 test was used to determine the correlation between the polymorphism and clinical characteristics of BC patients. Additionally, univariate survival analysis was performed by the Kaplan-Meier method and log-rank test, and multivariate survival analysis was performed by Cox regression. **Results:** SNP rs4784227 was significantly associated with susceptibility to BC in the dominant model (CT/TT versus CC, OR = 1.237, 95% CI = 1.012–1.513, $P=0.038$). The minor allele of SNP rs4784227 was significantly linked to an increased risk of BC (OR=1.197, 95% CI=1.022–1.401, $P=0.026$). In addition, the rs4784227 polymorphism of *CASC16* was associated with perineural invasion ($P=0.030$), menstrual status ($P=0.016$) and histological grade ($P=0.001$, $P=0.003$, $P=0.025$; respectively) of BC patients. There was no significant association between the genotypes of rs4784227 and disease-free survival (DFS) or overall survival (OS) of breast cancer patients ($P>0.05$). **Conclusions:** The rs4784227 polymorphism of *CASC16* may affect susceptibility to breast cancer and is associated with perineural invasion, menstrual status and histological grade in BC patients. Additionally, our results could not confirm that this polymorphism was related to breast cancer prognosis.

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Abstract

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Methods: A total of 1733 subjects were recruited for this case-control study, of which 828 are BC patients and 905 are healthy individuals. The relevance between SNP rs4784227 and BC risk in diverse genetic models was analyzed by using the SNPStats analysis program and was assessed by odds ratios (ORs) and 95% confidence intervals (CIs) using the binary logistic regression model. Pearson's χ^2 test was used to determine the correlation between the polymorphism and clinical characteristics of BC patients. Additionally, univariate survival analysis was performed by the Kaplan-Meier method and log-rank test, and multivariate survival analysis was performed by Cox regression.

Results: SNP rs4784227 was significantly associated with susceptibility to BC in the dominant model (CT/TT versus CC, OR = 1.237, 95% CI = 1.012–1.513, $P=0.038$). The minor allele of SNP rs4784227 was significantly linked to an increased risk of BC (OR=1.197, 95% CI=1.022–1.401, $P=0.026$). In addition, the rs4784227 polymorphism of *CASC16* was associated with perineural invasion ($P=0.030$), menstrual status ($P=0.016$) and histological grade ($P=0.001$, $P=0.003$, $P=0.025$; respectively) of BC patients. There was no significant association between

the genotypes of rs4784227 and disease-free survival (DFS) or overall survival (OS) of breast cancer patients ($P>0.05$).

Conclusions: The rs4784227 polymorphism of *CASC16* may affect susceptibility to breast cancer and is associated with perineural invasion, menstrual status and histological grade in BC patients. Additionally, our results could not confirm that this polymorphism was related to breast cancer prognosis.

Keywords: Breast cancer, *CASC16*, Single nucleotide polymorphism, Susceptibility, Prognosis

1. Introduction

Breast cancer (BC) is the most common cause of cancer death in women and has become the most common form of cancer worldwide. Similarly, the estimated age-standardized incidence rate of BC is the highest among all cancers in China^[1]. The recurrence rate of patients with early breast cancer after surgery is relatively low^[2]. According to information from the 2019 Chinese Society of Clinical Oncology (CSCO) Breast Cancer Annual Meeting, the 5-year survival rate of breast cancer in China was more than 80%. The increase in the cure rate of breast cancer in China depends on early detection, early diagnosis and early treatment. To improve the BC survival rate, early screening and early detection are critical and are designed primarily to identify those at high risk.

High-risk groups can be identified by risk factors such as female sex, early menarche, late menopause, increased estrogen exposure and excessive alcohol intake^[3-6]. Additionally, gene detection is also feasible. Previous studies have shown that people who carry the breast cancer susceptibility gene 1 (*BRCA1*) or breast cancer susceptibility gene 2 (*BRCA2*) variants are predisposed to breast cancer^[7]. This is an indication that single nucleotide polymorphism (SNP) studies could help identify people with high susceptibility to breast cancer.

Cancer susceptibility candidate gene 16 (*CASCI6*), is one of these genes that have been studied. *CASCI6* is an RNA gene that is located at chromosome 16q12.1 and is affiliated with the lncRNA class. One study showed that the *CASCI6* gene had higher expression in breast cancer cells than in normal cells^[8], and some loci of *CASCI6* have been demonstrated to be significantly associated with BC susceptibility^[9-12]. However, the association between this gene

and other cancers has not yet been found. Rs4784227 is a locus of the *CASC16* gene and previous studies showed that its MAF in Asian groups was in a range from 0.20 to 0.28^[13]. It is well known that *FOXAI* is involved in *ESR1*-mediated transcription, the regulation of cell apoptosis and cell cycle regulation. The place for rs4784227 on *FOXAI* genomic for interaction is on the eighth position of the *FKH* motif recognized via *FOXAI*, thus, affinity DNA site for *FOXA* protein was enhanced for the T allele compared with the C allele in rs4784227, which suggested that the locus may affect a DNA binding sequence change on *FOXAI* and modulate the chromatin affinity for *FOXAI*^[11, 14]. However, whether the interaction between *FOXAI* and *CASC16* participates in the above functions and what will be caused by it are not clear. Current studies are limited to the relationship between this locus and BC susceptibility, and the functions of *CASC16* are still unknown^[10].

Moreover, rs4784227 has been known to be linked to BC risk in previous studies conducted in Chinese populations, such as Shanghai, Tianjin, Nanjing and Taiwan (OR>1)^[9]. However, these subject groups are mainly located in southern and coastal cities, and relatively little research has been done on inland areas. Investigations of this locus using a northeast Chinese population may verify whether the findings previously identified are generalizable to other populations. Data from a study published previously indicated that the rs4784227 SNP in *CASC16* promotes lymph node metastasis in BC patients^[15]. In addition, stratified analysis using a genetic model indicated that rs4784227 was specific to progesterone receptor positivity^[16]. In addition, there have been no studies of the correlation between this locus and BC survival. Thus,

in this article, we further explored the clinical features of SNP rs4784227 and its relationship with BC prognosis.

2. Subjects and methods

2.1 Study population

In total, 828 BC patients confirmed by pathological cytology and histology and 905 healthy individuals from the First Affiliated Hospital of Jilin University (Changchun, Jilin Province, China), who were all genetically unrelated Han Chinese and originated from the Northeast, were recruited in this case-control study. The sample size was calculated by Quanto and the power of our study was above 90%. In addition, the clinical characteristics of patients with BC, including age, menopausal status, family history, pathological type, histological grade, tumor size, lymph node metastasis status, lymph vascular space invasion and perineural invasion, were collected through medical records, which were registered between April 2013 and September 2016. Among these clinical characteristics, breast cancer staging relies on the TNM system based on the sixth edition of AJCC and histological grade was based on Nottingham grading system.

2.2 Selection of SNP and genotyping

Rs4784227 was chosen based on a published paper that reported that this SNP might be related to BC susceptibility. Genomic DNA was extracted from peripheral blood samples from all the study participants. Moreover, we used the MassArray system (Agena, San Diego, California, United States) as well as the matrix-assisted laser desorption ionization-time of flight (MALDI-TOF) mass spectrometry method to detect genotypes of the rs4784227 locus of *CASC16*. SNP genotyping was conducted without knowing cases and controls' status.

2.3 Ethical approval

Written informed consent was obtained from all participants of this study, and this study was approved by the Institutional Ethics Committee of The First Affiliated Hospital of Jilin University with the ethical approval number 2014-031. All the methods were carried out in accordance with the guidelines of Helsinki's declaration.

2.4 Statistical Analysis

Data analyses were conducted using SPSS 24.0 software (IBM Corp, Armonk, NY, USA) and the online platform SNPStats (www.snpstats.net/start.htm). Hardy-Weinberg equilibrium (HWE) was examined by the chi-squared test in both cases and controls. The optimum model of the locus was determined according to the AIC (Akaike's information criterion) value. The genotype and allele frequency distribution of the rs4784227 genetic polymorphism in the case group and control group in different genetic models was analyzed by the SNPStats analysis program, and corresponding odds ratios (ORs) and 95% confidence intervals (CIs) adjusted by age were computed using the binary logistic regression model. In addition, Pearson's χ^2 test was used to compare the frequency distributions of various clinical characteristics of the different genotypes of rs4784227.

In addition, survival analysis was used to further determine the impacts of SNP rs4784227 on BC prognosis by selecting disease-free survival (DFS) and overall survival (OS) as prognostic indicators. The time of the surgery was the start of DFS, and the data from follow-ups were retrospectively collected. Univariate survival analysis was performed by the Kaplan – Meier method and log-rank test, and survival curves were drawn by R software (R Foundation for

Statistical Computing, Vienna, Austria). Additionally, multivariate survival analysis was performed by Cox's proportional hazards regression model. All statistical tests were two-tailed, and $P < 0.05$ was considered statistically significant.

3. Results

3.1 Clinical characteristics of participants

The median age of the case group was 51 (44,58) and that of the control group was 38 (32,53). The clinical characteristics of BC cases are presented in Table 1.

3.2 Analysis of the association between the rs4784227 polymorphism of *CASC16* and BC risk

The genotype distribution of cases and controls suggested that SNP rs4784227 conformed to the HWE ($P > 0.05$). The association between SNP rs4784227 and BC susceptibility in different genetic models is shown in Table 2. The results suggested that the rs4784227 polymorphism of *CASC16* may be associated with BC risk. After adjusting for age, the results of binary logistic regression analysis showed that the CT/TT genotype of rs4784227 significantly increased susceptibility to BC compared with the CC genotype ($OR_{CT/TT \text{ vs } CC} = 1.237$, 95% CI=1.012–1.513, $P=0.038$). The T allele was the minor allele of rs4784227, which was significantly associated with an increased risk of BC compared with the C allele ($OR=1.197$, 95% CI=1.022–1.401, $P=0.026$). Furthermore, the dominant model was the best-fitting model of rs4784227 (AIC=2190.4).

3.3 The association between the rs4784227 polymorphism of *CASC16* and clinical characteristics of breast cancer

The results of some of these characteristics that were statistically significant or studied previously are shown in Table 3. The findings showed that BC patients who carried the CC genotype were more at risk for perineural invasion than those who carried the CT/TT genotype ($P=0.030$). Moreover, the proportion of premenopausal people who carried the CT genotype was smaller than that in the CC and TT genotypes and was larger than that in the CC and TT genotypes in postmenopausal people ($P=0.016$). In addition, the proportion of people who carried the C allele was smaller than that of those carrying the T allele with a histological grade I tumor and was larger than that of those carrying the T allele with a histological grade III tumor ($P=0.001$). The proportion of people who carried the CC genotype was smaller than that in those carrying the TT genotype with a histological grade I tumor and was larger than that in those carrying the TT genotype with a histological grade III tumor ($P=0.003$). Furthermore, the number of people who carried the CC genotype was less than those carrying the CT/TT genotype with a histological grade I tumor and was more than those carrying the CT/TT genotype with a histological grade III tumor ($P=0.025$).

3.4 The relationship between the rs4784227 polymorphism of *CASC16* and the prognosis of breast cancer

Among the 828 BC patients, 401 individuals were carrying the CC genotype, and the others were carriers of the CT or TT genotype in the dominant model. By using the log-rank test, we did not find that DFS and OS in BC cases were significantly associated with the rs4784227

polymorphism of *CASC16* (DFS: $P=0.972$, OS: $P=0.727$) (Figure 1).

All the above clinical characteristics of patients were included in the Cox model for multivariate analysis to examine the association between the genotypes of rs4784227 and DFS and OS of BC. The results suggested that the differences in DFS and OS among the different genotypes of the BC patients were not statistically significant (DFS: $HR=1.037$ (0.705–1.526) $P=0.855$, OS: $HR=1.224$ (0.653–2.295) $P=0.529$).

4. Discussion

To date, genome-wide association studies (GWAS) have identified numerous common variants associated with BC risk at multiple genetic loci^[16]. Therefore, conducting research on SNPs could help identify people with a high susceptibility to BC. As mentioned before, rs4784227 may affect the DNA binding sequence on *FOXAI* and subsequently increase the *FOXAI*- binding affinity to the *CASC16* gene promoter; *FOXAI* plays an important role in the function of ER and growth of ER⁺ BC cells^[17, 18]. BC patients with ER and/or PR positivity accounted for approximately 75% of BC patients^[19], and ER is an important indicator of treatment efficacy prediction and prognosis. Therefore, we wanted to know whether there was a connection between the rs4784227 polymorphism of *CASC16* and susceptibility to BC, especially ER⁺ BC. Thus, we selected the GWAS-identified SNP rs4784227 to investigate and verify its association with BC susceptibility and prognosis in the northeast Chinese Han population.

In our study, we found that the T allele was the minor allele of rs4784227, and the distribution of alleles and genotypes of SNP rs4784227 (C=69.93%, T=30.07%, CC=48.43%, CT=43.00%, TT=8.57%) was consistent with current research. The results indicated that those who carried the T allele had high BC susceptibility, which was in line with Zuo^[10], Tajbakhsh^[11] and He^[20]. In our study, the best-fitting inheritance model of rs4784227 was the dominant model, and in this model, we found that the CC genotype of SNP rs4784227 provided a protective effect against BC, which was consistent with Zuo^[10] and Tajbakhsh^[11]. However, Sun^[15] did not find this association. The difference may be because the sample size in Sun's research was relatively small ($n_{\text{Zuo}}=681$, $n_{\text{Tajbakhsh}}=505$, $n_{\text{Sun}}=503$), so the results of the data analysis may be biased. The results of the northwest population in our study were the same as the populations in the southern and coastal cities in China, Japan and Europe. Therefore, racial and regional differences may not affect the association between this locus and BC susceptibility.

Regarding clinical features, He's study ($n=623$) found that the T allele of rs4784227 exhibited significant associations with the status of ER, PR and HER2 in an additive model^[20]. In addition, Deng^[16] indicated that SNP rs4784227 had a significant association with PR-positive tumor risk. Again, rs4784227 may affect the interactions between *FOXAI* and *CASC16*, and *FOXAI* may affect the status of ER. Thus, we investigated whether SNP rs4784227 could affect the expression of these three receptors, especially ER. Regrettably, in our study, this association could not be found in any of the three receptors. He's research^[20] suggested that rs4784227 increased BC risk in a dose-dependent manner, so homozygous carriers have higher susceptibility. Therefore, the difference mentioned above may be because the number of people

who carried the TT genotype in our sample was small (8.57%), which was not enough to prove the relationship between this locus and the status of ER. Therefore, studies on larger sample sets and further functional identification of molecular mechanisms are needed to confirm the association mentioned above. Furthermore, the results indicated that the locus was associated with perineural invasion, menstrual status and histological grade of BC patients. Among them, the menstrual status results were consistent with Lin^[12]. However, the same findings of perineural invasion and histological grade were not found in other studies. In addition, Zuo^[10] and Sun^[15] found that there was a significant association between rs4784227 and lymph node metastasis status, but this conclusion was not reached in our study. We propose that this may be because the number of people who carried the TT genotype in our sample was small. Therefore, larger sample sizes and a meta-analysis are required to further verify this relationship.

Additionally, our study could not confirm that the rs4784227 polymorphism of *CASC16* had an effect on the DFS and OS of BC patients. This may be because the sample time span was just 60 months and the disease-free survival of BC was long, so a longer follow-up period may be necessary.

5. Conclusions

Our findings suggest that the rs4784227 polymorphism of *CASC16* may affect susceptibility to BC and was associated with perineural invasion, menstrual status and histological grade of BC patients. In addition, our results could not confirm that the rs4784227 polymorphism of *CASC16* had an effect on the prognosis of breast cancer.

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Table 1 (on next page)

Clinical characteristics of breast cancer patients.

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Table 1. Clinical characteristics of breast cancer patients (n=828).

Characteristics	Case, n (%)
Age(years)	
≤35	52(6.28)
>35	776(93.72)
Menopausal status	
Premenopause	398(48.07)
Postmenopause	430(51.93)
Family history	
No	796(96.14)
Yes	32(3.86)
Molecular type	
LuminalA	104(12.56)
LuminalB	520(62.80)
HER2	103(12.44)
Triple negative	101(12.20)
Pathological type	
Infiltrating ductal carcinoma	793(95.77)
Other types	35(4.23)
Histological grade	
I	31(3.74)
II	511(61.72)
III	286(34.54)
Tumor size	
T1	422(50.97)
T2	365(44.08)
T3	27(3.26)
T4	14(1.69)
Lymph node metastasis	
No	396(47.83)
Yes	432(52.17)
Lymph-vascular space invasion	
No	471(56.88)
Yes	357(43.12)
Perineural invasion	
No	689 (83.21)
Yes	139(16.79)
Treatment protocol	
Only anthracycline	30(3.63)
Only taxane	131(15.84)

Anthracycline and taxane	462(55.86)
Others	204(24.67)
Endocrinetherapy	
Aromatase inhibitor	218(26.36)
Tamoxifen	199(24.06)
Aromatase inhibitor and tamoxifen	14(1.69)
No	396 (47.89)

Table 2 (on next page)

The relationship between the rs4784227 polymorphism of *CASC16* and the risk of breast cancer.

Table 2. The relationship between the rs4784227 polymorphism of *CASC16* and the risk of breast cancer.

Allele/Genotype		Case, n (%)	Control, n (%)	OR (95%CI)	P	AIC
Allele	C	1158(69.93)	1336(73.81)	1.000	0.026	-
	T	498(30.07)	474(26.19)	1.197(1.022-1.401)		
Genotype						
Codominant	CC	401(48.43)	493(54.48)	1.000	0.105	2191.7
	CT	356(43.00)	350(38.67)	1.221(0.989-1.507)	0.063	
	TT	71(8.57)	62(6.85)	1.328(0.904-1.952)	0.148	
Dominant	CC	401(48.43)	493(54.48)	1.000	0.038	2190.4
	CT/TT	427(51.57)	412(45.52)	1.237(1.012-1.513)		
Recessive	CC/CT	757(91.43)	843(93.15)	1.000	0.306	2192.6
	TT	71(8.57)	62(6.85)	1.215(0.837-1.765)		
Overdominant	CC/TT	472(57.00)	555(61.33)	1.000	0.121	2193
	CT	356(43.00)	350(38.67)	1.175(0.958-1.441)		

Note: ORs and P values of the genotypes were adjusted by age.

Table 3(on next page)

Correlations between SNP rs4784227 and the clinical characteristics of patients with breast cancer.

Table 3. Correlations between SNP rs4784227 and the clinical characteristics of patients with breast cancer.

Characteristics			Allele		Genotype			Genotype	
			C	T	CC	CT	TT	CC	CT/TT
ER	Status	Negative	312(26.94)	116(23.29)	113(28.18)	86(24.16)	15(21.13)	113(28.18)	101(23.65)
		Positive	846(73.06)	382(76.71)	288(71.82)	270(75.84)	56(78.87)	288(71.82)	326(76.35)
		χ^2	2.420		2.494			2.210	
		P	0.120		0.287			0.137	
PR	Status	Negative	474(40.93)	184(36.95)	168(41.90)	138(38.76)	23(32.39)	168(41.90)	161(37.70)
		Positive	684(59.07)	314(63.05)	233(58.10)	218(61.24)	48(67.61)	233(58.10)	266(62.30)
		χ^2	2.309		2.519			1.516	
		P	0.129		0.284			0.218	
HER2	Status	Negative	787(67.96)	339(68.07)	272(67.83)	243(68.26)	48(67.61)	272(67.83)	291(68.15)
		Positive	371(32.04)	159(31.93)	129(32.17)	113(31.74)	23(32.39)	129(32.17)	136(31.85)
		χ^2	0.002		0.021			0.010	
		P	0.965		0.989			0.922	
Lymph node metastasis	Status	No	553(47.75)	239(47.99)	191(47.63)	171(48.03)	34(47.89)	191(47.63)	205(48.01)
		Yes	605(52.25)	259(52.01)	210(52.37)	185(51.97)	37(52.11)	210(52.37)	222(51.99)
		χ^2	0.008		0.012			0.012	
		P	0.929		0.994			0.913	
Family history	Status	No	1120(96.72)	472(94.78)	389(97.01)	342(96.07)	65(91.55)	389(97.01)	407(95.32)
		Yes	38(3.28)	26(5.22)	12(2.99)	14(3.93)	6(8.45)	12(2.99)	20(4.68)
		χ^2	3.525		4.845			1.592	
		P	0.060		0.089			0.207	
Perineural invasion	Status	No	951(82.12)	427(85.74)	322(80.30)	307(86.24)	60(84.51)	322(80.30)	367(85.95)

		Yes	207(17.88)	71(14.26)	79(19.70)	49(13.76)	11(15.49)	79(19.70)	60(14.05)
	χ^2		3.264		4.851			4.724	
	P		0.071		0.088			0.030	
Tumor size	Status	T1	590(50.95)	254(51.00)	202(50.37)	186(52.25)	34(47.89)	202(50.37)	220(51.52)
		≥T2	568(49.05)	244(49.00)	199(49.63)	170(47.75)	37(52.11)	199(49.63)	207(48.48)
	χ^2		<0.001		0.559			0.109	
	P		0.984		0.756			0.741	
	Status	Premeno-pause	559(48.27)	237(47.59)	203(50.62)	153(42.98)	42(59.15)	203(50.62)	195(45.67)
		Postmeno-pause	599(51.73)	261(52.41)	198(49.38)	203(57.02)	29(40.85)	198(49.38)	232(54.33)
	χ^2		0.065		8.241			2.035	
	P		0.799		0.016			0.154	
Histological grade	Status	I	31(2.68)	31(6.22)	8(2.00)	15(4.21)	8(11.27)	8(2.00)	23(5.39)
		II	714(61.66)	308(61.85)	246(61.35)	222(62.36)	43(60.56)	246(61.35)	265(62.06)
		III	413(35.66)	159(31.93)	147(36.65)	119(33.43)	20(28.17)	147(36.65)	139(32.55)
	χ^2		13.118		15.751			7.379	
	P		0.001		0.003			0.025	
	Status	LuminalA	138(11.92)	70(14.06)	44(10.97)	50(14.04)	10(14.08)	44(10.97)	60(14.05)
		LuminalB	723(62.44)	317(63.65)	250(62.35)	223(62.64)	47(66.20)	250(62.34)	270(63.23)
		HER2	141(12.18)	65(13.05)	47(11.72)	47(13.21)	9(12.68)	47(11.73)	56(13.12)
		Triple negative	156(13.46)	46(9.24)	60(14.96)	36(10.11)	5(7.04)	60(14.96)	41(9.60)
	χ^2		6.685		7.372			6.782	
	P		0.083		0.288			0.079	

3 Note: ER: estrogenic receptor, PR: progesterone receptor, HER2: human epidermal growth factor receptor 2.

4

Figure 1

DFS and OS for patients with CC, CT and TT genotypes of the rs4784227 polymorphism of *CASC16*.

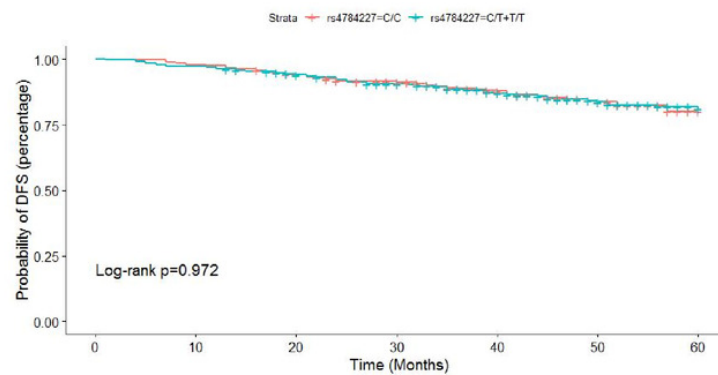


Figure 1A. DFS for patients with CC, CT and TT genotypes of the rs4784227 polymorphism of *CASI6*.

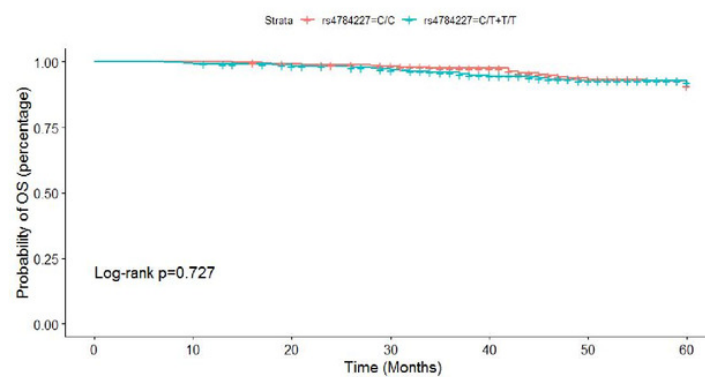


Figure 1B. OS for patients with CC, CT and TT genotypes of the rs4784227 polymorphism of *CASI6*.