

# A transitional species of *Daspletosaurus* Russell, 1970 from the Judith River Formation of eastern Montana (#75847)

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# A transitional species of *Daspletosaurus* Russell, 1970 from the Judith River Formation of eastern Montana

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The description of a new derived tyrannosaurine, *Daspletosaurus diadematus* sp. nov., provides insight into evolutionary mode in this clade, lending strength to previous hypotheses of anagenesis within *Daspletosaurus* and increasing the resolution with which the evolution of this lineage can be reconstructed. Cladistic phylogenetic methods, stratigraphy, and qualitative analysis of the morphology of relevant taxa supports an anagenetic model for the origin of morphological novelty in this taxon, highlighting the predominance of anagenetic evolution among contemporary dinosaur lineages.

# 1 **A transitional species of *Daspletosaurus* Russell, 1970 from the Judith** 2 **River Formation of eastern Montana**

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## 12 **Abstract**

13 The description of a new derived tyrannosaurine, *Daspletosaurus diadematus* sp. nov.,  
14 provides insight into evolutionary mode in this clade, lending strength to previous hypotheses  
15 of anagenesis within *Daspletosaurus* and increasing the resolution with which the evolution of  
16 this lineage can be reconstructed. Cladistic phylogenetic methods stratigraphy, and qualitative  
17 analysis of the morphology of relevant taxa supports an anagenetic model for the origin of  
18 morphological novelty in this taxon, highlighting the predominance of anagenetic evolution  
19 among contemporary dinosaur lineages.

## 20 **Introduction**

21 Since their naming at the turn of the 20<sup>th</sup> century, tyrannosaurids have captivated public  
22 and scientific imagination alike, and are as a result among the best-studied groups of  
23 Cretaceous theropods (Osborn, 1905; Brusatte et al., 2010). Perhaps the most successful group  
24 of tyrannosaurids were the latest-Cretaceous tyrannosaurines, including among them a diverse  
25 array of forms from the slender-snouted alioramins to robust and deep-jawed taxa like  
26 *Teratophoneus* and the eponymous *Tyrannosaurus rex* (Lü et al., 2014; Carr and Williamson,  
27 2010; Carr et al., 2011). However, much of the diversity of derived tyrannosaurines remains  
28 understudied or poorly understood (Paulina Carabajal et al., 2021), hampering understanding of  
29 paleobiogeographic and evolutionary trends (Loewen et al., 2013; Carr et al., 2017; Brusatte  
30 and Carr, 2016).

31 The tyrannosaurine *Daspletosaurus* has been known from Campanian fossil deposits of  
32 northern Laurasia for over half a century. However, published work on the phylogeny and  
33 paleobiology of this genus is relatively scarce beyond its initial description (Russell, 1970;  
34 Paulina Carabajal et al., 2021). Several enigmatic tyrannosaur specimens initially referred to the  
35 type species or simply to *Daspletosaurus* sp. (including the recently named *D. horneri*) have  
36 been noted as representing novel species by previous workers for several decades (Currie,  
37 2003; Carr, 1999; Carr et al., 2017; Horner et al., 1992; Paulina Carabajal et al., 2021), indicating

38 a more speciose genus than has currently been described. Filling this gap is especially pertinent  
39 to understanding rates and patterns of speciation in the Campanian of Laurasia, both within  
40 tyrannosaurs and among dinosaurs as a whole, as both described species of *Daspletosaurus*  
41 have been hypothesized to represent an anagenetic lineage (Carr et al., 2017), including this  
42 genus among the many contemporary dinosaur lineages for which anagenesis has been  
43 suggested (Horner et al., 1992; Fowler and Freedman Fowler, 2020).

44 Here we describe *Daspletosaurus diadematus* (sp. nov.). This addition to Campanian  
45 tyrannosaurid diversity has the potential to refine existing hypotheses regarding tyrannosaurid  
46 evolution in the Late Cretaceous, and lends strength to the hypothesis of anagenesis as a  
47 predominant mode of evolution in *Daspletosaurus* (Carr et al., 2017).

48 Tyrannosaurinae Matthew and Brown, 1922 (*sensu* Sereno et al., 2005)

49 *Daspletosaurus* Russell, 1970

50 *D. diadematus* sp. nov.

### 51 Etymology

52 *Diadematus*, Latin for “crowned,” in reference to the novel postorbital horn morphology unique  
53 to this species, and its diagnosis as a tyrant dinosaur.

### 54 Holotype

55 BDM 107, preserving partial cervical, sacral, and caudal series, a rib, a chevron, a first  
56 metatarsal, and a partial disarticulated skull and jaws, including both premaxillae, a right  
57 maxilla, jugal, lacrimal, quadrate, quadratojugal, and dentary, and a left postorbital and  
58 squamosal, and missing the braincase, nasals, palate, and every postdentary bone except for a  
59 right splenial. Cranial bones are very finely preserved, with intricate and detailed surface  
60 textures especially on the maxilla and postorbital, with teeth preserved in the maxilla, dentary,  
61 and one premaxilla. The sacral and caudal centra are preserved in a heavy and hard concretion  
62 and are not yet prepared.

### 63 Geological Setting

64 The site “Jack’s B2” was discovered by JW in exposures of the Judith River Formation  
65 near Glasgow (Valley County, Montana, USA). This is significantly further east than classic  
66 ‘Judith’ localities (Fig. 1), and is sedimentologically atypical, representing distal floodplain and  
67 delta sediments deposited during the maximum Campanian regression of the Western Interior  
68 Seaway. Here, the Judith River Formation is up to ~48m thick, with the “Jack’s B2” site occurring  
69 ~30m below the contact with the overlying Bearpaw Shale.

70 Precise stratigraphic placement of this easternmost Judith is currently unclear, although  
71 an age of ~76.5 Ma seems most likely, which would correlate in time with the lower to middle  
72 part of the Dinosaur Park Formation, Alberta (Eberth, 2005; Fowler, 2017). An absolute upper  
73 age limit of 75.64 Ma (Ogg & Hinnov, 2012) is delineated by ammonites tentatively identified as  
74 *Didymoceras stevensoni* (J. Slattery, pers. comm. 2020) collected by BDM from local outcrops of  
75 the overlying Bearpaw Shale (although these were not at the base of the Bearpaw, so older

76 ammonite specimens may be encountered during future prospecting). At present, more precise  
77 stratigraphic position can be inferred from the timing of the maximum regression of the  
78 Western Interior Seaway during the Campanian (correlated with the R8 regression of  
79 Kaufmann, 1977; Rogers et al., 2016). In Alberta and Saskatchewan, the Foremost, Oldman, and  
80 Dinosaur Park formations represent early to late subcycles (respectively) of the R8 regression,  
81 and of these, the Foremost (~80.5-79.5 Ma) and lower Oldman (~79.5-79.0 Ma; and regional  
82 equivalents) are restricted to the west (Alberta and west central Montana), and did not extend  
83 as far east as Saskatchewan or our study area in eastern Montana (Eberth, 2005). During late  
84 R8, the upper Oldman (~77.5-77.0 Ma) and Dinosaur Park (~76.9-76.0 Ma) Formations were  
85 deposited much further to the east, with the lowermost Dinosaur Park recording the R8  
86 maximum regression at ~76.9 - 76.4 Ma (Eberth, 2005; Fowler, 2017). This correlates well with  
87 the Judith River Formation of Montana, where Rogers et al. (2016) show the maximum  
88 regression of R8 occurring shortly before 76.2 Ma, based on radiometric dates acquired either  
89 side of the mid-Judith discontinuity. As such, it seems likely that the study section corresponds  
90 in age to the lower to middle part of the Dinosaur Park Formation (although not necessarily  
91 lithostratigraphically correlated). A radiometric analysis of a newly discovered volcanic ash is  
92 currently underway, and it is hoped that this will provide definitive stratigraphic placement.

93         Regardless of the precise age of BDM 107, it can be expected to lie intermediate  
94 stratigraphically between *D. torosus* (known from the upper Oldman Formation, ~77.0 Ma;  
95 Carabajal et al., 2021) and *D. horneri* (known from the Two Medicine Formation, ~75.0 Ma; Carr  
96 et al., 2017).

## 97 **Diagnosis**

98         *D. diadematus* can be assigned to *Daspletosaurus* based on the following characteristics:  
99 extremely coarse subcutaneous surface of the maxilla with no elevated ridges or corresponding  
100 fossae; cornual process of the postorbital approaching the laterotemporal fenestra; dorsal  
101 postorbital process of the squamosal terminating caudal to the rostral margin of the  
102 laterotemporal fenestra; and extremely coarse symphyseal surface of the dentary.

103         Autapomorphies of *D. diadematus* are as follows: foramen in the dorsal surface of the  
104 palatal process of the premaxilla; rounded ventral process of the rostral ramus of the lacrimal;  
105 cornual process of the postorbital differentiated into a supraorbital shelf and a caudodorsal  
106 tuberosity; very broad, smooth region of bone separating the cornual process of the postorbital  
107 from the caudodorsal margin of the orbit; and jugal ramus of the postorbital incised by deep  
108 longitudinal (rostrocaudal) sulci.

109         *D. diadematus* possesses a unique combination of ancestral and derived characteristics,  
110 sharing several of the former with *D. torosus* and the latter with *D. horneri*. *D. diadematus* and  
111 *D. torosus* share a tall cornual process of the lacrimal (short in *D. horneri*), pneumatic inflation  
112 of the lacrimal reaching the medial edge of the bone (this inflation does not reach the medial  
113 edge of the bone in *D. horneri*, but see Warsaw, In Review), prefrontal oriented rostromedially  
114 (determined from the angle of the prefrontal articular surface on the lacrimal of the holotype of  
115 *D. diadematus*, which does not preserve a prefrontal; the prefrontal of *D. horneri* is oriented  
116 mediolaterally), pneumatic excavation of the squamosal that does not undercut its

117 rostromedial margin (entire margin undercut in *D. horneri*), and quadratojugal lacking a  
118 pneumatic foramen in its lateral surface (although the presence of this foramen is highly  
119 intraspecifically variable in both *D. horneri* and *Tyrannosaurus*, such that further discoveries of  
120 *D. diadematus* individuals may reveal its presence in this taxon). *D. horneri* and *D. diadematus*  
121 share, to the exclusion of *D. torosus*, a premaxillary tooth row oriented largely mediolaterally,  
122 such that all but one premaxillary tooth is concealed in lateral view (rostromedial orientation in  
123 *D. torosus* and less derived tyrannosaurids), antorbital fossa of the maxilla terminating at the  
124 rostral limit of the external antorbital fenestra (this fossa extends ahead of this boundary onto  
125 the subcutaneous surface of the maxilla in *D. torosus* and less derived tyrannosaurids),  
126 rostradorsal ala of the lacrimal inflated (uninflated in *D. torosus* and less derived  
127 tyrannosaurids), ventral ramus of the lacrimal longer than the rostral ramus (determined largely  
128 by the height of the postorbital bar in the reconstructed skull, given that the ventral ramus is  
129 largely unpreserved in the holotype of *D. diadematus*; the rostral ramus of the lacrimal is longer  
130 than the ventral ramus in *D. torosus*), and dorsal quadrate contact of the quadratojugal visible  
131 in lateral view (concealed in *D. torosus* and less derived tyrannosaurids).

## 132 Description

133 Given the wealth of published literature describing tyrannosaurine specimens element-  
134 by-element (i.e., Brochu, 2003; Hurum and Sabath, 2003; Carr, 1999), our description of the  
135 holotype of *D. diadematus* places heavy emphasis on characteristics (or combinations of  
136 characteristics) unique to this specimen, so as to avoid the reiteration of plesiomorphic  
137 tyrannosaurine morphologies (or synapomorphies of *Daspletosaurus*) already described by  
138 previous authors.

### 139 Premaxilla

140 The premaxillae of *D. diadematus* are similar to those of *D. horneri*, *Tarbosaurus*, and  
141 *Tyrannosaurus* in that the alveolar row is oriented largely mediolaterally, such that the rostrum  
142 of the skull is broad and the labial surfaces of the premaxillary teeth face rostrally (Fig. 2). In  
143 *Tyrannosaurus* and similarly derived tyrannosaurines (*Tarbosaurus* and *D. horneri*), the  
144 premaxillary teeth largely overlap each other in lateral view such that only the distalmost tooth  
145 is clearly visible; the same would be true of the holotype of *D. diadematus*, were more than a  
146 single premaxillary tooth preserved within its socket. Conversely, the premaxillary tooth row of  
147 *D. torosus* and less derived tyrannosauroids is oriented rostromedially, such that multiple teeth  
148 are clearly visible in lateral view.

149 The body of the premaxilla is subequal in height to the external naris (including the  
150 narial fossa and nasal process), as is typical of tyrannosauroids less derived than *Tyrannosaurus*  
151 and *Tarbosaurus* (in which the premaxillary body is deeper than the external naris).

152 Unique to *D. diadematus* among tyrannosauroids is a prominent foramen in the dorsal  
153 surface of the palatal process of the premaxilla. The presence of this foramen on both  
154 premaxillae suggests that it is not pathological, hence its inclusion here as an autapomorphy of  
155 *D. diadematus*.



156 There is a small (~2 cm diameter) indentation in the nasal process of the right premaxilla  
157 of BDM 107; this is most likely pathological, as it is irregular in form and not present on the left  
158 premaxilla.

#### 159 *Maxilla*

160 The maxilla of BDM 107 is irregular relative to other species of *Daspletosaurus* in that it  
161 is proportionally elongate, being 64.1 cm in length and 24.8 cm in height (58.6 cm long  
162 rostrocaudally and 27.5 cm tall dorsoventrally in *D. horneri*, Carr et al., 2017). Given the broad  
163 range of variation in the proportions of this element in other tyrannosaurine species for which  
164 larger sample sizes are known (e.g., *Tyrannosaurus*; Paul, Persons, and Van Raalte, 2022; pers.  
165 obs., EW), this characteristic was not included as an autapomorphy of *D. diadematus*.  
166 Consistency in this trait across further discoveries of *D. diadematus* individuals may require a  
167 reevaluation of the taxonomic utility of this character.

168 As in other *Daspletosaurus*, the subcutaneous surface of the maxilla in *D. diadematus* is  
169 densely covered in anastomosing sulci extending from neurovascular foramina (Fig. 3). The  
170 degree of sculpturing of this surface in BDM 107 is similar to CMN 8506 (*D. torosus*). As in  
171 *Thanatotheristes* and other *Daspletosaurus* species, the shallow excavations in between  
172 textural ridges that characterize the maxillae of the most derived tyrannosaurines  
173 (*Zhuchengtyrannus*, *Tyrannosaurus*, *Tarbosaurus*) are absent from the holotype maxilla of *D.*  
174 *diadematus*.

175 There are 15 maxillary alveoli, as in other *Daspletosaurus*; the 13<sup>th</sup> alveolus bears a  
176 swollen abscess in BDM 107, and the 15<sup>th</sup> maxillary tooth conceals a small replacement tooth  
177 within its root that is visible in medial (lingual) view. The first alveolus is not small and bears a  
178 typically laterally compressed tooth (i.e. it does not bear a d-shaped crown, similar to that  
179 present in the premaxillae).

#### 180 *Jugal*

181 The jugal of *D. diadematus* is most similar to that of *D. torosus* in that it has a  
182 mediolaterally thin ventral margin of the orbit (as opposed to a rounded margin as in  
183 *Thanatotheristes*) and does not bow medially along its rostrocaudal length (the jugals of *D.*  
184 *horneri*, *Tyrannosaurus*, and *Tarbosaurus* are angled rostromedially rostral to the orbit, such  
185 that the maxillae are medially inset from the orbitotemporal region). No autapomorphies of *D.*  
186 *diadematus* are observable on the jugal (Fig. 4).

#### 187 *Lacrimal*

188 As in all tyrannosaurids except for *D. horneri*, *Tarbosaurus*, and *Tyrannosaurus*, the  
189 cornual process of the lacrimal in *D. diadematus* is large, pronounced, and comes to a distinct  
190 apex along its dorsal margin (Fig. 5). This apex is situated directly dorsal to the lacrimal's ventral  
191 ramus, as is characteristic of mature tyrannosaurines.

192 The lacrimal antorbital recess differs in morphology from *D. torosus*, but is similar to  
193 that of *D. horneri*, *Tarbosaurus*, and *Tyrannosaurus* in that the rostroventral ala joining the

194 rostral and ventral rami of the lacrimal is inflated into a cylindrical bar that is elevated in relief  
195 relative to the rest of the recess.

196           Rostrally, the ventral process of the lacrimal rostral ramus is unique in *D. diadematus* in  
197 having a rounded distal end; this process comes to a pronounced tip in all other tyrannosaurids  
198 (Carr et al., 2005).

199           Much of the ventral ramus and medial surface of the lacrimal is not preserved in BDM  
200 107, precluding comparison of these regions of the bone.

#### 201 *Postorbital*

202           The postorbital is the **most conspicuously distinct** bone of *D. diadematus*; this element  
203 differs from that in all other tyrannosaurids in having a cornual process that is differentiated  
204 into two distinct processes: a supraorbital shelf protruding from the dorsal margin of the orbit  
205 and a caudodorsal tuberosity emerging more caudoventrally (Fig. 6). **These processes are most**  
206 **distinct in caudal or rostral view**, where they give the postorbital lateral surface a sinusoidal  
207 relief. Both the supraorbital shelf and the caudodorsal tuberosity are situated upon a more  
208 'typical' tyrannosaurine cornual process; that is, they lie lateral to a gross swelling of the  
209 postorbital similar to that present in other tyrannosaurines. This process is most similar to that  
210 of *D. torosus* in that it is hypertrophied to the extent that it overhangs its caudoventral base,  
211 forming a crease between the process and the underlying surface of the bone. However, the  
212 process's rostroventral extent is much more broadly separated from the orbit's caudodorsal  
213 margin than in *D. torosus* or any other tyrannosaurid.

#### 214 *Squamosal*

215           This element is indistinguishable in *D. diadematus* from *D. torosus*. As in the latter, the  
216 squamosal of *D. diadematus* has an articular surface for the postorbital that ends caudal to the  
217 rostral margin of the laterotemporal fenestra, a pneumatic excavation that does not undercut  
218 its rostromedial margin, and a pneumatized caudal process (as evidenced by pneumatic  
219 foramina in the process's rostromedial surface; Fig. 7). **The combination of these characters is**  
220 **unique to these two species; however, each one taken individually diagnoses a more inclusive**  
221 **group (*Daspletosaurus*, tyrannosaurines, derived tyrannosaurines, respectively).**

222           **Several points of articulation with the rest of the cranium are directly evident on the**  
223 **squamosal, making it important for the reconstruction of the gross morphology of the skull.**

224           Rostrodorsally, a broad depression receives the caudal ramus of the postorbital, defining the  
225 rostral margin of the laterotemporal fenestra. Ventrally, the squamosal articulates with the  
226 quadrate and quadratojugal, defining the caudal and lateral boundaries of the adductor  
227 chamber. The quadrate, when in articulation with the squamosal and quadratojugal, extends  
228 sharply rostromedially, indicating a broad orbitotemporal region, similar to the most derived  
229 tyrannosaurines (*D. horneri*, *Tyrannosaurus*, etc.).

#### 230 *Quadratojugal*

231           The quadratojugal is conservative morphologically across tyrannosaurids (Loewen et al.,  
232 2013). However, two characteristics of the quadratojugal of *D. diadematus* unites it **to *D.***

233 *horneri* and differs from the condition in *D. torosus* and less derived tyrannosaurids. Firstly, *D.*  
234 *diadematus* possesses a dorsal quadrate contact that is broadly visible in lateral view. In most  
235 tyrannosauroids, the dorsal quadrate contact of the quadratojugal is directed medially or  
236 rostromedially such that it is obscured by the body of the quadratojugal in lateral view. In *D.*  
237 *diadematus* and *D. horneri*, however, this process is directed caudomedially, exposing it  
238 laterally (Fig. 8). This characteristic is reversed in the paratype specimen of *D. horneri* (MOR  
239 1130; figure S2K of Carr et al., 2017); Carr et al. (2017) note that this specimen is younger  
240 stratigraphically than the holotype (MOR 590), such that this reversal may represent an  
241 anagenetic signal (although intraspecific variation is equally parsimonious in this case). Notably,  
242 *Tarbosaurus* and *Tyrannosaurus* also retain the ancestral condition.

243 Secondly, the squamosal ramus of the quadratojugal of *D. diadematus* is broadly  
244 separated from the postorbital bar when articulated with the jugal (that is, there is a broad gap  
245 between the rostradorsal extent of the quadratojugal and the rostral margin of the  
246 laterotemporal fenestra). The same condition characterizes *D. horneri*, *Tyrannosaurus*, and  
247 *Tarbosaurus* to the exclusion of *D. torosus* and less derived tyrannosaurids, in which the  
248 squamosal ramus of the quadratojugal nearly contacts the postorbital bar. The separation of  
249 the quadratojugal from the rostral margin of the laterotemporal fenestra (and consequent  
250 widening of the fenestra) may reflect adaptation towards expanded room for adductor  
251 musculature in the orbitotemporal region of the most derived tyrannosaurines, which has been  
252 noted by previous authors for *Tyrannosaurus* (Gignac and Erickson, 2017; Carr, 2020).

### 253 *Quadrate*

254 No discrete morphological characters distinguish the quadrate of *D. diadematus* from  
255 those of its closest relatives. As in other derived tyrannosaurines, the quadrate is massive, with  
256 a shallow fossa on its medial surface and a pronounced pneumatic foramen (and surrounding  
257 fossa) at the rostral confluence of the mandibular condyles and the orbital process (Fig. 9). The  
258 paraquadrate foramen, bounded medially by the quadrate and laterally by the quadratojugal, is  
259 small and teardrop shaped; only its lateral margin is made up by the quadratojugal, as the  
260 quadrate forms the dorsal and ventral borders of the foramen.

261 Although no palatal elements are known, the medial deflection of the quadrate's  
262 pterygoid wing allows an approximation of the position of the pterygoids relative to the facial  
263 skeleton, and suggests a broad orbitotemporal region, as in other tyrannosaurines.

### 264 *Dentary*

265 The dentary of BDM 107 is deep, with a relatively straight ventral margin and a dorsal  
266 (alveolar) margin that trends caudodorsally, increasing the depth of the mandible caudally (Fig.  
267 10). As in other specimens of *Daspletosaurus*, the dentary symphysis is composed of several  
268 interlocking (presumably, as only the left dentary is known) ridges and cusps. There are 17  
269 dental alveoli, as in *D. horneri*, and a sharp, narrow Meckelian groove.

270 The dentary of BDM 107 has a rugose knob caudoventral to the rostral terminus of the  
271 Meckelian groove; this knob is present in both other species of *Daspletosaurus*, as well as

272 *Tyrannosaurus*, *Tarbosaurus*, and *Zhuchengtyrannus magnus*, but not *Thanatotheristes* (Carr et  
273 al., 2017; Voris et al., 2020).

274 The lateral surface of the dentary of BDM 107 bears two intersecting grooves  
275 caudoventral to the caudal termination of the alveolar margin (Fig. 10); the edges of these  
276 grooves are beveled and are likely pathological.

#### 277 *Splenial*

278 The splenial of BDM 107 is typical of *Daspletosaurus* except in the size and form of the  
279 mylohyoid foramen (Fig. 11). In most derived tyrannosaurines, this foramen is extremely large,  
280 roughly the same dorsoventral depth as the rostral process of the splenial (Carr et al., 2017). In  
281 *D. diadematus*, however, the foramen is dorsoventrally narrow, and rostrocaudally elongate,  
282 such that it is ellipsoid in form and roughly half the dorsoventral depth of the splenial's rostral  
283 process. This is most similar to the condition in alioramins and *Appalachiosaurus*.

#### 284 *Cervical vertebrae*

285 Four cervical vertebrae are preserved in BDM 107 from the cranial-middle portion of the  
286 series. No atlas or axis were found. As in all tyrannosaurids, the spinous processes of the  
287 cervical vertebrae are subequal in dorsoventral height to their corresponding centra. Both the  
288 spinous processes and the centra are craniocaudally short, similar to and most exaggerated in  
289 the cervical vertebrae of *T. rex* (see Brochu, 2003, and figures therein). As in *T. rex* (and other  
290 large tyrannosaurids), the cranial and caudal faces of the cervical centra are dorsoventrally  
291 displaced from one another in order to create the characteristic 'S-curve' of the neck. The  
292 centrum of the third cervical vertebra in BDM 107 is extremely foreshortened craniocaudally  
293 (i.e., much taller than long), indicating a robustly built cranial portion of the neck, presumably in  
294 order to support the weight of the head, which is also massive (see above).

#### 295 *Sacral vertebrae*

296 The spinous processes of two sacral vertebrae are preserved. Both are sub-rectangular  
297 in form and bear rugose knobs near their apices, presumably the ossified bases of sacral  
298 ligaments.

#### 299 **Methods**

300 The electronic version of this article in Portable Document Format (PDF) will represent a  
301 published work according to the International Commission on Zoological Nomenclature (ICZN),  
302 and hence the new names contained in the electronic version are effectively published under  
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306 through any standard web browser by appending the LSID to the prefix <http://zoobank.org/>.  
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308 EFE6BD549A77. The online version of this work is archived and available from the following  
309 digital repositories: PeerJ, PubMed Central SCIE and CLOCKSS.

310 A cladistic phylogenetic analysis was conducted using the character matrix of Carr et al.  
311 (2017) (with modifications from Voris et al., 2020), with additional modifications based on  
312 personal observation of specimens made by the lead author, including the addition to the  
313 character matrix of several proposed autapomorphies of *D. horneri* noted by Carr et al. (2017)  
314 to occur more broadly across Tyrannosauridae (see Supplementary Information for a  
315 comprehensive list of modifications). The analysis was run using a “New Technology” search  
316 with settings identical to those of Voris et al. (2020) (ratchet, tree drift, tree fusing, and  
317 sectorial search set to default, and set to recover minimum length 10 times). Support for  
318 recovered clades was tested using bootstrapping with 1000 replicates under a traditional  
319 search.

## 320 Results

321 The cladistic analysis produced 12 Most Parsimonious Trees (MPTs; best score: 854).  
322 Within the consensus of these trees, the least inclusive clade containing *Dynamoterror* and  
323 *Tyrannosaurus* (i.e., all of Tyrannosaurinae more derived than *Alioramus*) was recovered as a  
324 large polytomy, with a sister relationship retained between *Tyrannosaurus* and *Tarbosaurus*,  
325 and *Dynamoterror*, *Lythronax*, and *Teratophoneus* recovered in a trichotomy.

326 Given the fragmentary nature of their respective holotypes (scored for <15% of  
327 characters), *Nanuqsaurus hognandi* and *Thanatotheristes* were removed from the dataset, and  
328 an additional analysis was conducted as described above. This analysis produced two MPTs  
329 (best score: 847), and recovered *D. diadematus* as sister to a clade formed by *D. horneri* and  
330 more derived tyrannosaurines (*Zhuchengtyrannus*, *Tarbosaurus*, *Tyrannosaurus*). Alioramines  
331 were recovered within a polytomy, as were *Dynamoterror*, *Teratophoneus*, and *Lythronax*; all  
332 other topological relationships were as in Voris et al. (2020) (Fig. 12).

333 Bootstrapping of this result showed weak support (<70) for all clades within  
334 Tyrannosaurinae except for alioramini (91), derived tyrannosaurines (*Daspletosaurus* +  
335 (*Zhuchengtyrannus* (*Tyrannosaurus* + *Tarbosaurus*))) (80), tyrannosaurines more derived than  
336 *Daspletosaurus* (84), and *Tyrannosaurus* + *Tarbosaurus* (72). Recovered support was particularly  
337 weak ( $\leq 21$ ) for the interrelationships of *Daspletosaurus* (Fig. 12).

338 An additional autapomorphy of *D. diadematus* was recovered by the cladistic analysis:  
339 mylohyoid foramen of the splenial elongate and rostrocaudally ovoid (this foramen is much  
340 deeper in other *Daspletosaurus* species).

341 The *D. diadematus* + more derived tyrannosaurines clade was recovered with the  
342 following three synapomorphies: dorsoventrally tall orbit; mediolaterally oriented tooth row of  
343 the premaxilla; and squamosal ramus of the quadratojugal broadly separated from the  
344 postorbital bar. A further four synapomorphies united *D. horneri* and more derived  
345 tyrannosaurines to the exclusion of *D. diadematus*: rostromedially directed orbits (resulting  
346 from the rostromedial bowing of the jugal); cornual process of the postorbital swollen and  
347 terminating far rostral to the laterotemporal fenestra; first interdental plate of the maxilla  
348 narrow, and second plate truncated (both plates are subsequently expanded in tyrannosaurines  
349 more derived than *D. horneri*); and mediolaterally oriented prefrontal.



350 Additional autapomorphies of relevant taxa and synapomorphies of relevant clades are  
351 available in Supplementary Information.

## 352 Discussion

353 Several aspects of the results presented here contrast with (or supplement) those of  
354 previous analyses, and therefore deserve mention. Noticeably, the results of the cladistic  
355 analysis place *Tyrannosaurus* – line tyrannosaurines within daspletosaurini (*contra* Carr et al.,  
356 2017, and Voris et al., 2020, both of which recovered these as sister lineages), and recovers a  
357 paraphyletic *Daspletosaurus*; these aspects of the results are the topic of a study by the lead  
358 author currently in review, and will not be discussed here. Instead, only the interrelationships  
359 and evolutionary history of *Daspletosaurus* are considered below.

360 Within *Daspletosaurus*, *D. diadematus* fulfills the predictions made by Carr et al.'s  
361 (2017) hypothesis of anagenesis between *D. torosus* and *D. horneri*. Namely, *D. diadematus* is  
362 stratigraphically, phylogenetically, and morphologically intermediate between these taxa (see  
363 Geologic Context, Results, and Diagnosis, respectively), and occurs within the same general  
364 geographic range (all three species of *Daspletosaurus* are found within Montana or Alberta;  
365 Carr et al., 2017). These points correspond to the criteria proposed by Carr et al., 2017 (and  
366 later Zietlow, 2020) for defensible hypotheses of anagenesis: (1) lack of stratigraphic overlap,  
367 (2) close phylogenetic relationships, (3) intermediate morphologies, and (4) similar geographic  
368 ranges (regarding stratigraphic overlap of *Daspletosaurus* species: note that following  
369 comments by previous authors, we do not consider Dinosaur Park *Daspletosaurus* material as  
370 belonging to *D. torosus*; should further analysis demonstrate that it does, or that a  
371 *Daspletosaurus* lineage separate from *D. diadematus* exists within the Dinosaur Park formation,  
372 the claim of non-overlap will need to be revisited; Carabajal et al., 2021; Currie, 2003). We  
373 therefore propose that the three species of this genus represent an anagenetically evolving  
374 lineage (Fig. 12); this hypothesis will be subject to revision following further study into the  
375 phylogenetic affinities of species within the genus, additional discoveries of *Daspletosaurus*  
376 individuals from stratigraphically intermediate horizons (which under an anagenetic model,  
377 should be intermediate in morphology between species), and characterization of the range of  
378 individual variation present in relevant characters proposed here to represent species-level  
379 autapomorphies or morphological transitions between taxa.

380 Should branching events (i.e., cladogenesis) within *Daspletosaurus* be demonstrated by  
381 future studies or discoveries, this would not necessarily exclude anagenesis from playing a role  
382 in the generation of morphological novelty within the genus. Wagner and Erwin (1995) noted  
383 the presence of anagenetic change between branching events in plesiomorphic lineages  
384 (=ancestral lineages; the lineage from which cladogenetically derived taxa branch) not in  
385 morphological stasis, which led these authors to designate this pattern of speciation as  
386 “bifurcation,” reserving “cladogenesis” for branching from morphologically static ancestral taxa.  
387 Although we do not adopt their terminology, we agree that anagenesis can operate in concert  
388 with cladogenesis in order to produce observed patterns of macroevolutionary change. In the  
389 case of *Daspletosaurus*, while autapomorphies of individual species may represent the results  
390 of cladogenesis, the synapomorphies of progressively more exclusive clades within the genus  
391 (e.g., coarse symphyseal texture of the dentary in *Daspletosaurus*, inflated rostradorsal ala of

392 the lacrimal in *D. diadematus* + *D. horneri*, etc.) would remain anagenetically derived under a  
393 typically cladogenetic model. Anagenesis therefore enjoys a predominant role in the evolution  
394 of derived morphologies within derived tyrannosaurines, regardless of the presence of  
395 branching events within *Daspletosaurus* (in contrast to morphologically static genera, in which  
396 morphological change is concentrated at the base of cladogenetic events; Eldredge and Gould,  
397 1972).

## 398 Conclusions

399 *D. diadematus* sp. nov., a stratigraphic and morphological intermediate between *D.*  
400 *torosus* and *D. horneri* is hypothesized to represent a transitional form along an anagenetic  
401 lineage linking both previously named species of *Daspletosaurus*. This finding, in concert with  
402 previous identifications of anagenesis in contemporary dinosaur lineages, emphasizes the  
403 explanatory power of anagenesis in the production of evolutionary trends among dinosaurs of  
404 the Late Cretaceous Western Interior (Scannella et al., 2014; Freedman Fowler and Horner;  
405 Fowler and Freedman Fowler, 2020; Wilson et al., 2020). Indeed, as anagenesis continues to be  
406 identified among fossil lineages, the predominant relative frequency of strictly cladogenetic  
407 evolutionary models (e.g., punctuated equilibria; Eldredge and Gould, 1972) must eventually  
408 come under scrutiny. Future explorations of evolutionary mode in fossil taxa, including further  
409 tests of the hypotheses presented here, will be important in this regard, and have the potential  
410 to refine understanding of the pattern and process of dinosaur evolution.

## 411 Institutional Abbreviations

412 AMNH – American Museum of Natural History, New York, New York, USA

413 BDM – Badlands Dinosaur Museum, Dickinson Museum Center, Dickinson, North Dakota, USA

414 CMN – Canadian Museum of Nature, Ottawa, Ontario, Canada

415 LACM – Los Angeles County Museum of Natural History, Los Angeles, California, USA

416 MOR – Museum of the Rockies, Bozeman, Montana, USA

417 TMP – Royal Tyrrell Museum of Paleontology, Drumheller, Alberta, Canada

418 UWBM – Burke Museum, Seattle, Washington, USA

419 ZPAL – Institute of Palaeobiology of the Polish Academy of Sciences, Warsaw, Poland

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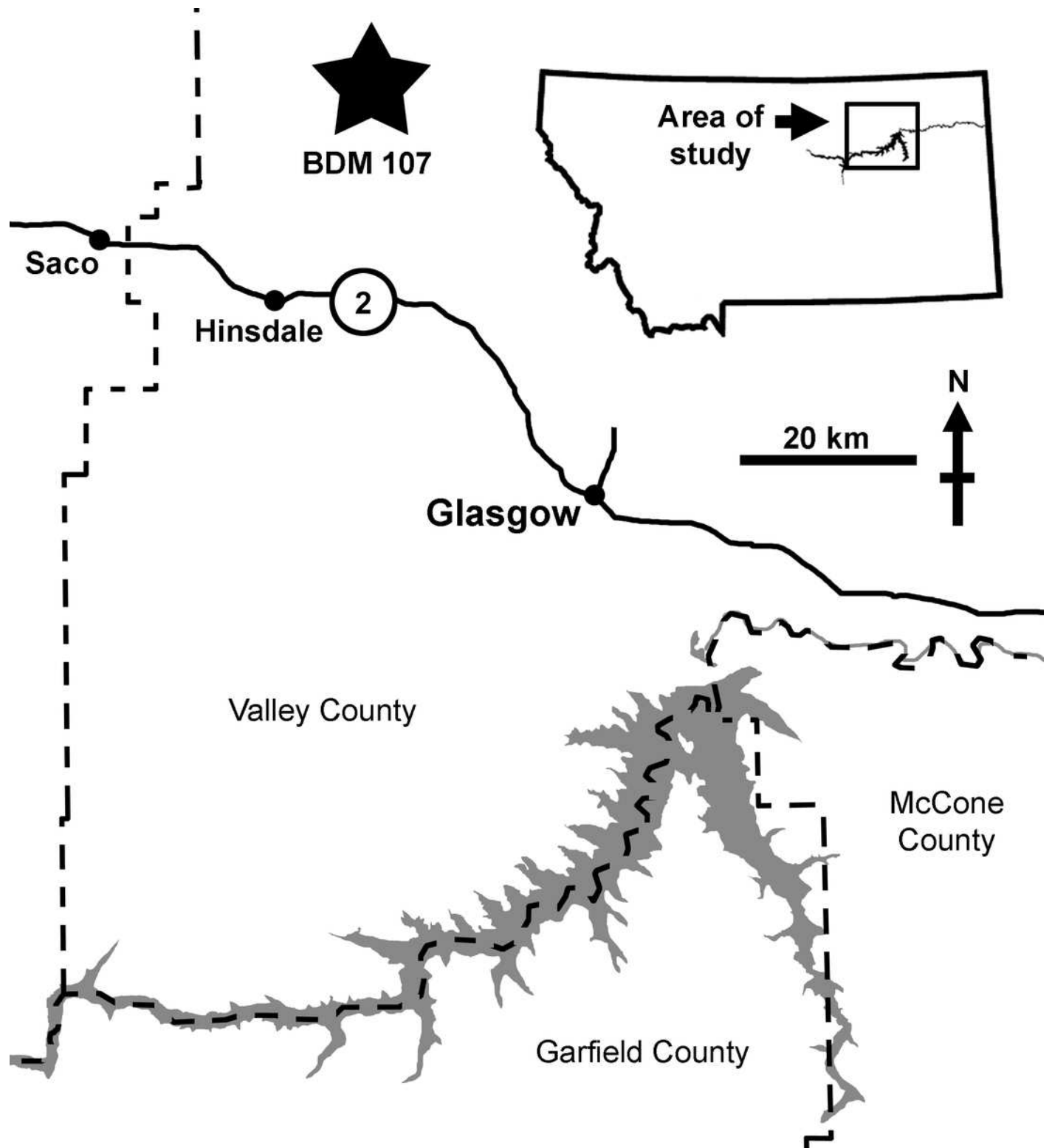
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534

# Figure 1

Map of the area of discovery of BDM 107, holotype of *D. diadematus* sp. nov.

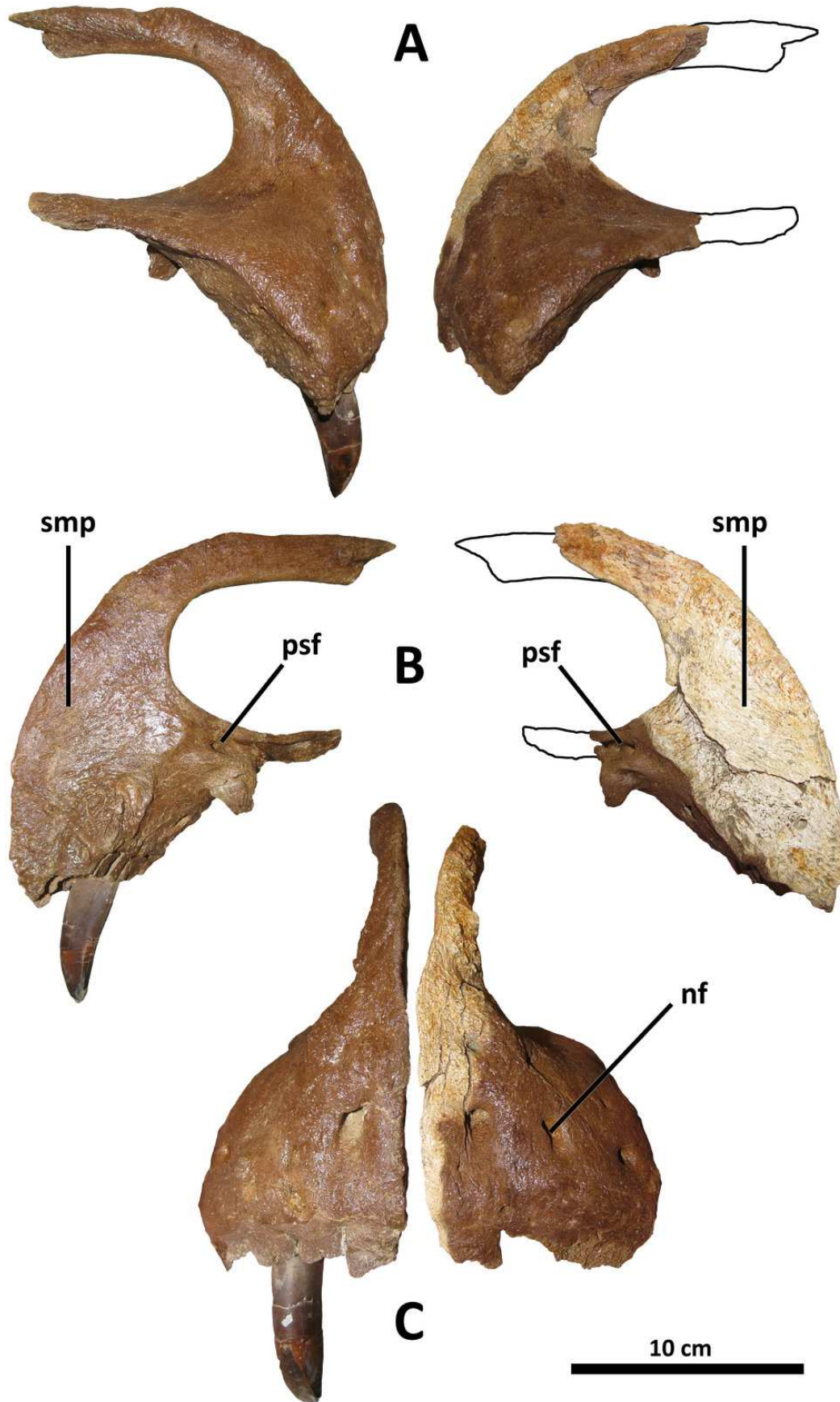
Nearby towns (Hinsdale, Glasgow, Saco) and highways (US-2) are labeled. Dashed lines indicate county boundaries; "Jack's B2" site indicated by star.



## Figure 2

Premaxillae of BDM 107.

Shown in lateral (A), medial (B), and rostral (C) views. Abbreviations are as follows: nf, neurovascular foramina; psf, palatal surface foramen; smp, symphysis. Scale is 10 cm.

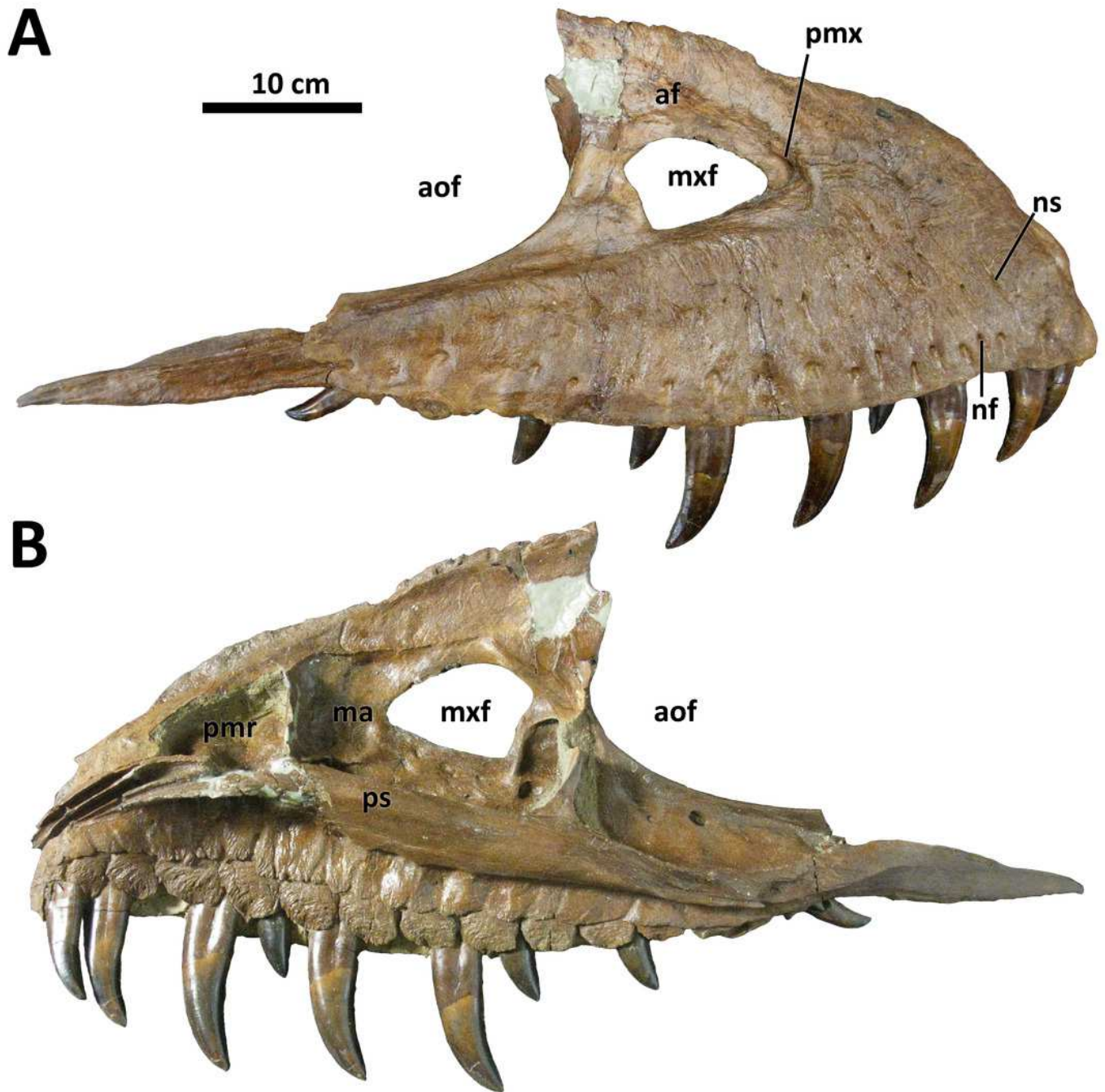


## Figure 3

Left maxilla of BDM 107.

Shown in lateral (A) and medial (B) views. Abbreviations are as follows: af, antorbital fossa; aof, antorbital fenestra; ma, maxillary antrum; mxf, maxillary fenestra; pmr, promaxillary recess; pmx, promaxillary fenestra; ps, palatal shelf; nf, neurovascular foramina; ns, neurovascular sulci. Scale is 10 cm.



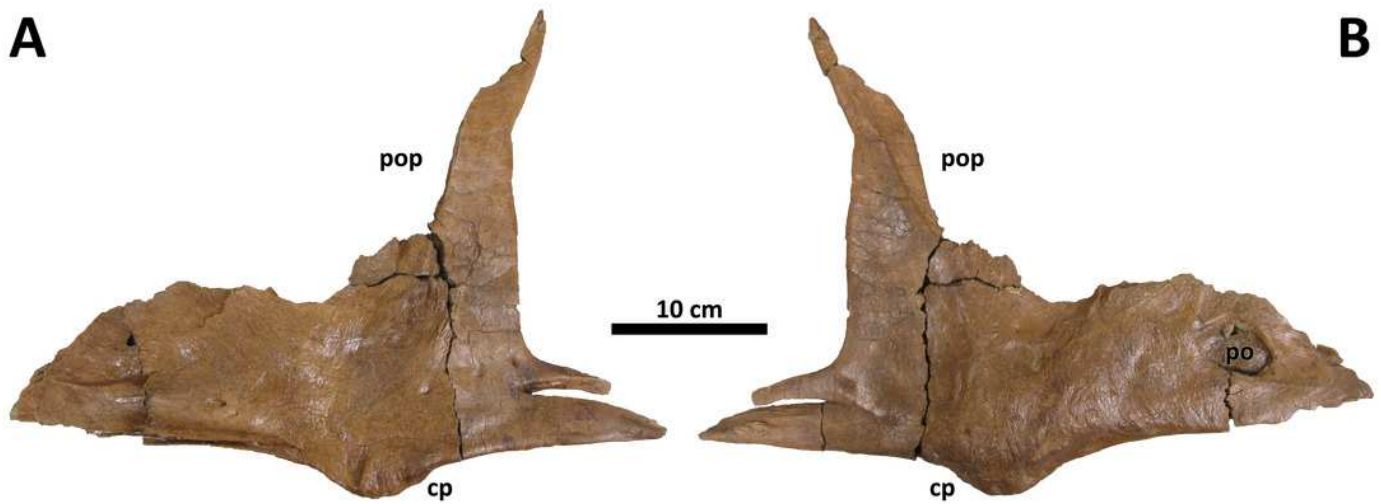




## Figure 4

Right jugal of BDM 107.

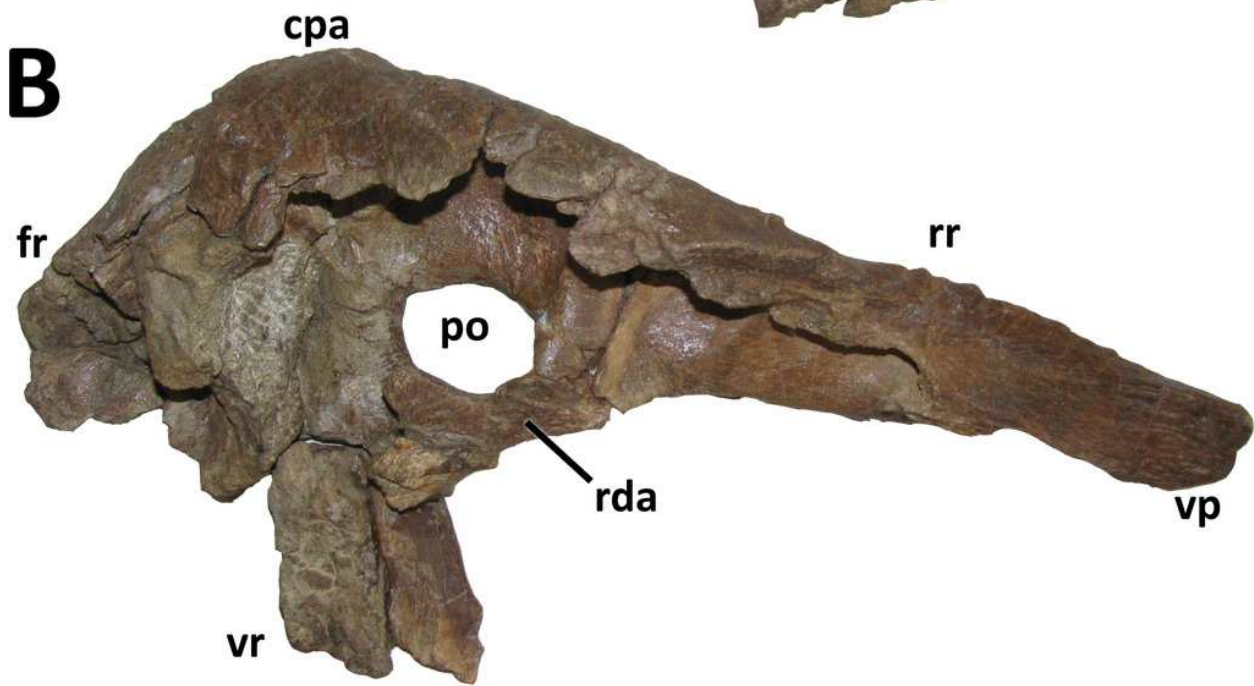
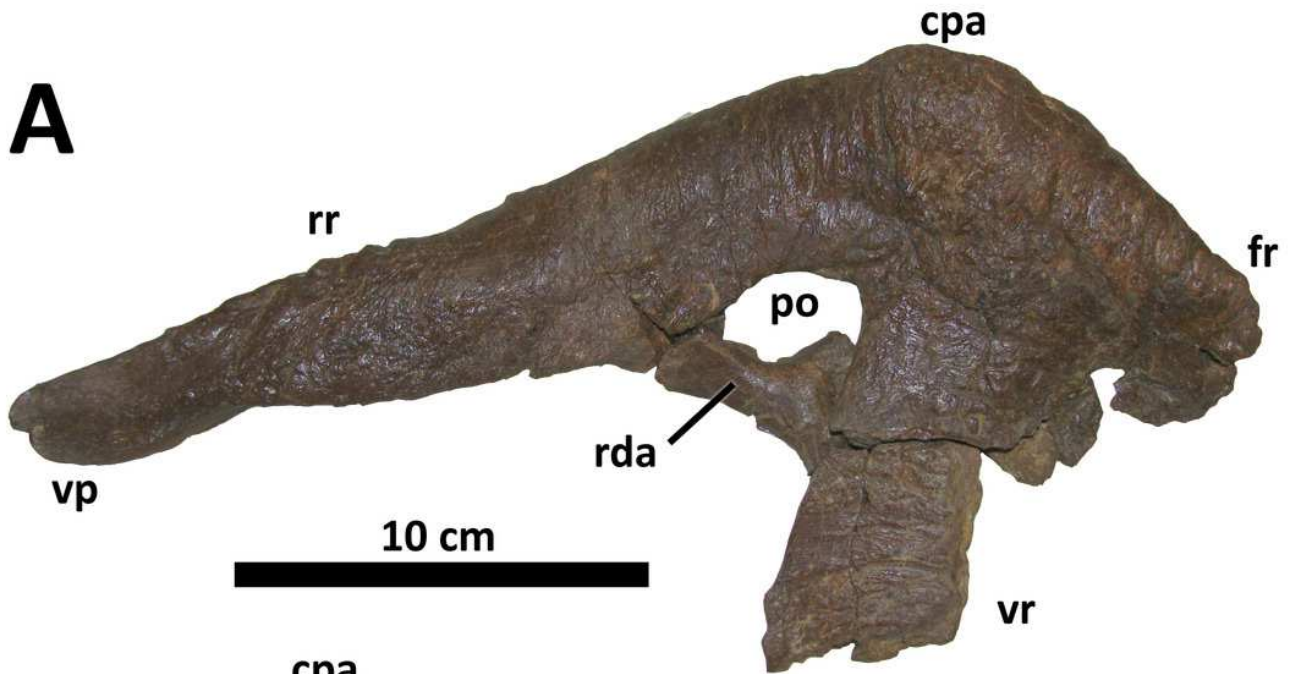
Shown in medial (A) and lateral (B) views. Abbreviations are as follows: cp, cornual process; po, pneumatic opening; pop, postorbital process. Scale is 10 cm.



## Figure 5

Left lacrimal of BDM 107.

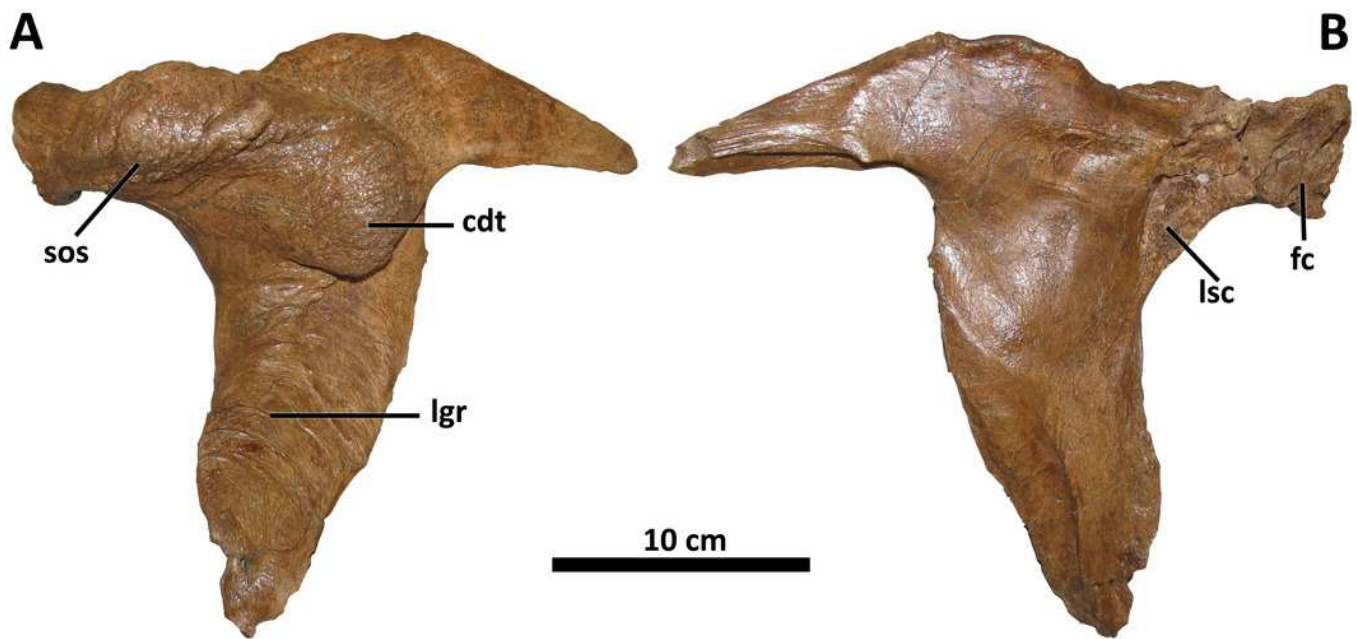
Shown in lateral (A), medial (B), and dorsal (C) views. Abbreviations are as follows: cpa, cornual process apex; fr, frontal ramus; po, pneumatic opening; rda, rostradorsal ala; rr, rostral ramus; vp, ventral process; vr, ventral ramus. Scale is 10 cm.



## Figure 6

Left postorbital of BDM 107.

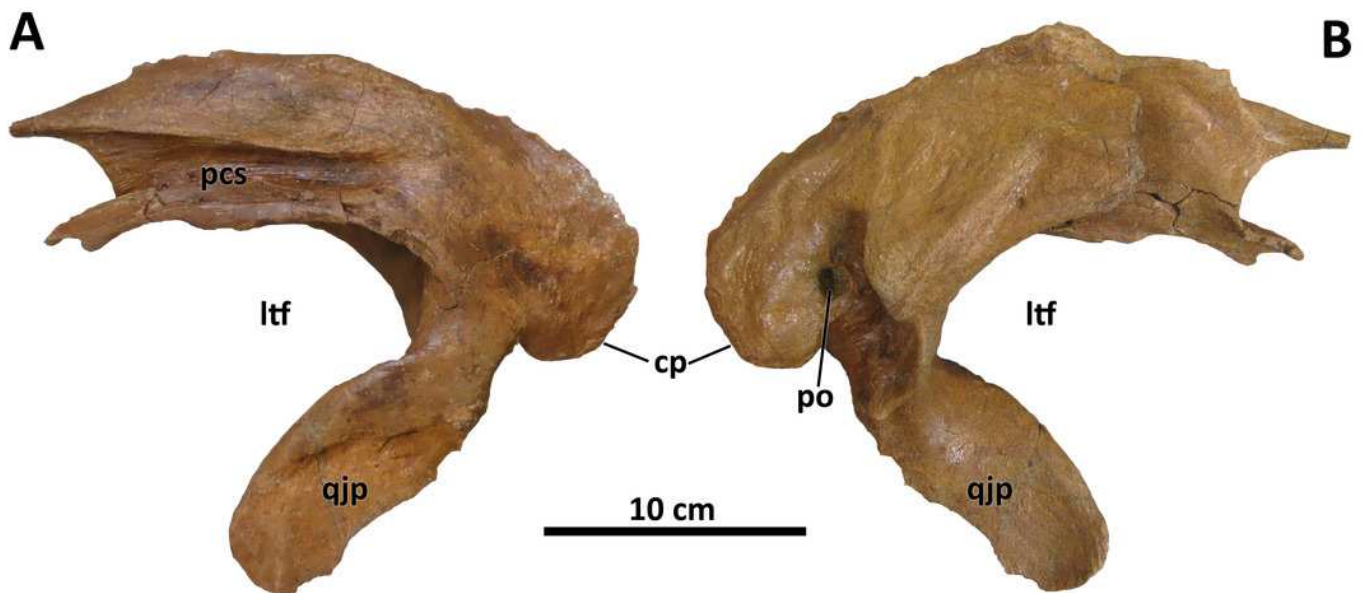
Shown in lateral (A) and medial (B) views. Abbreviations are as follows: cdt, caudodorsal tuberosity; fc, frontal contact; lgr, longitudinal ridges; lsc, laterosphenoid contact; sos, supraorbital shelf. Scale is 10 cm.



## Figure 7

Left squamosal of BDM 107.

Shown in lateral (A) and medial (B) views. Abbreviations are as follows: cp, caudal process; ltf, laterotemporal fenestra; pcs, postorbital contact surface; po, pneumatic opening, qjp, quadratojugal process. Scale is 10 cm.

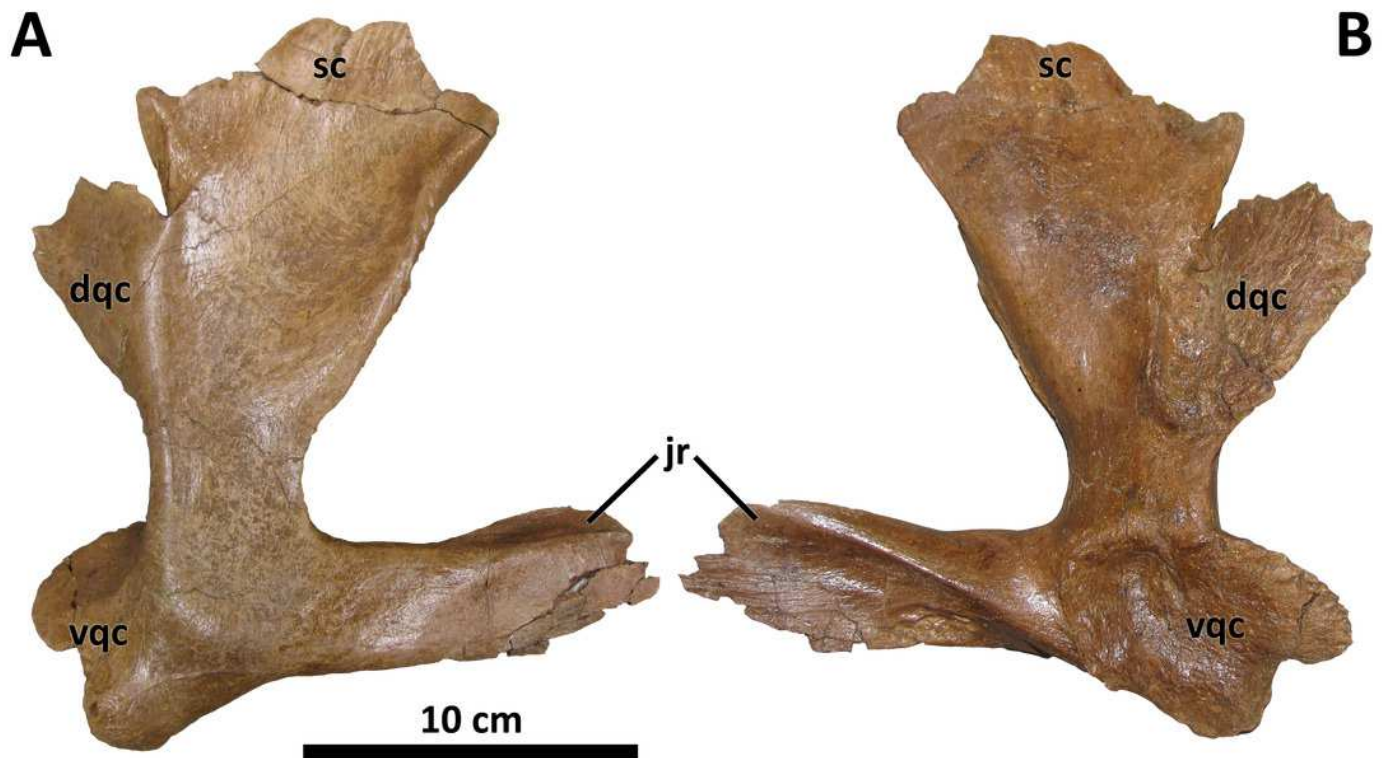




## Figure 8

Right quadratojugal of BDM 107.

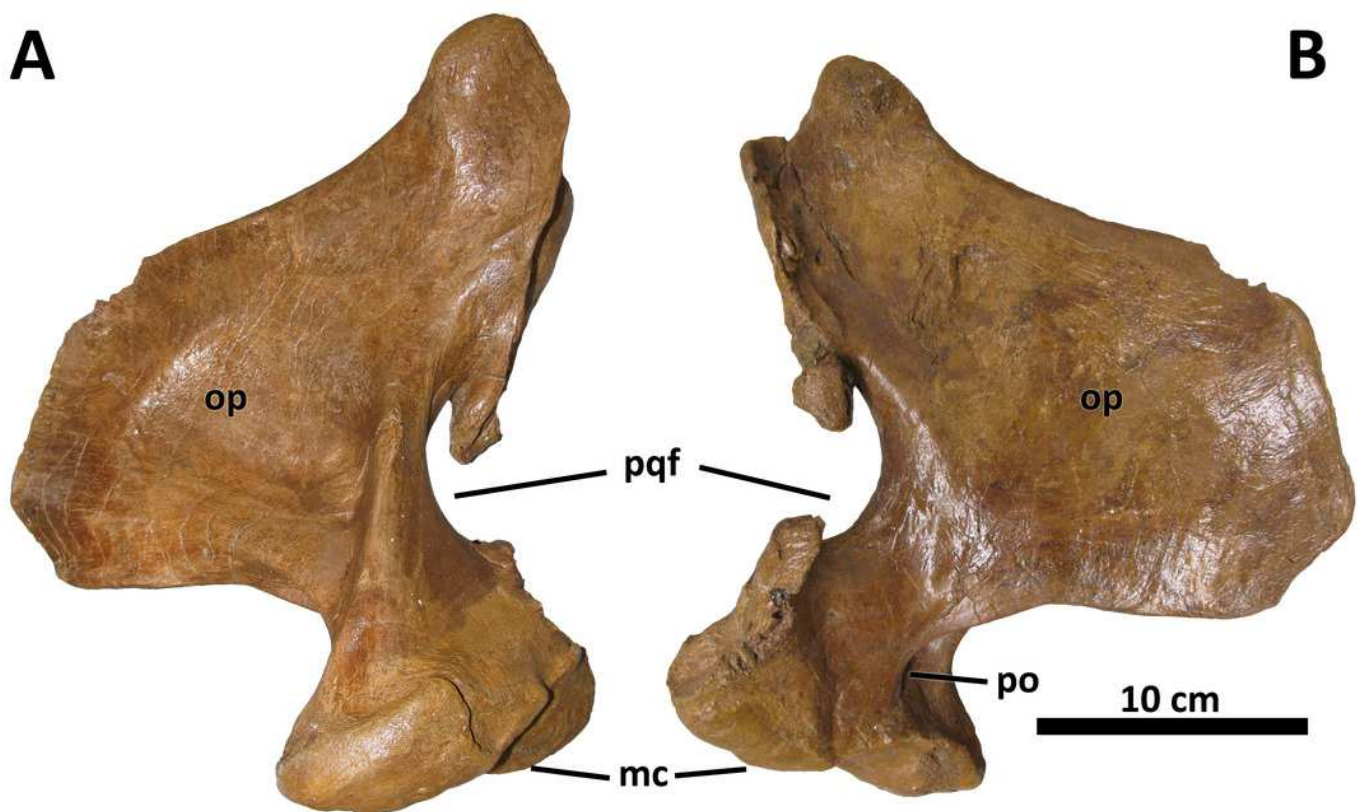
Shown in lateral (A) and medial (B) views. Abbreviations are as follows: dqc, dorsal quadrate contact; jr, jugal ramus; sc, squamosal contact; vqc, ventral quadrate contact. Scale is 10 cm.



## Figure 9

Right quadrate of BDM 107.

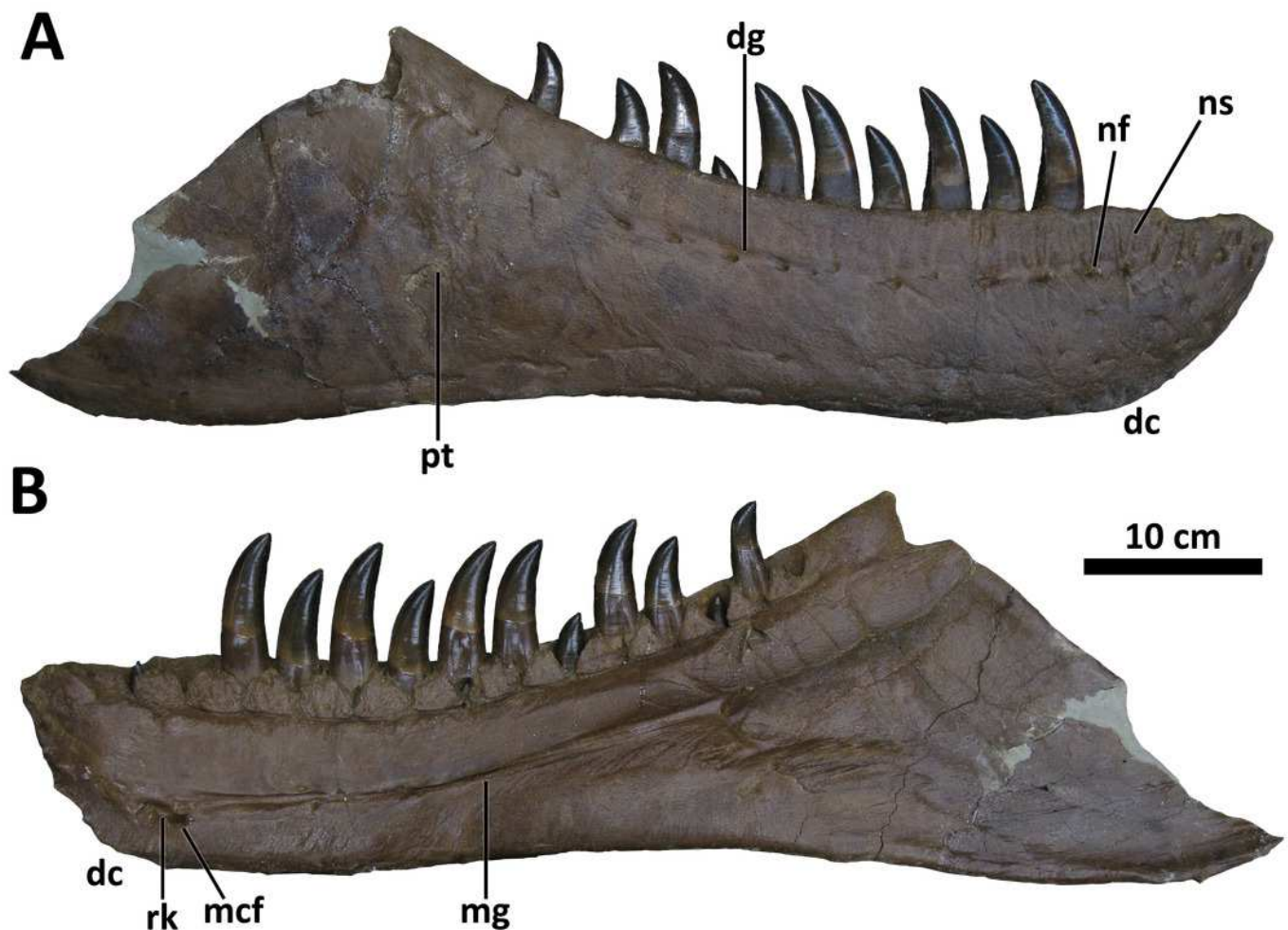
Shown in medial (A) and lateral (B) views. Abbreviations are as follows: op, orbital process; mc, mandibular condyles; po, pneumatic opening; pqf, paraquadrate foramen. Scale is 10 cm.



## Figure 10

Right dentary of BDM 107.

Shown in lateral (A) and medial (B) views. Abbreviations are as follows: dc, dentary chin; dg, dentary groove; mcf, Meckelian foramen; mg, Meckelian groove; nf, neurovascular foramina; ns, neurovascular sulci; pt, pathology. Scale is 10 cm.

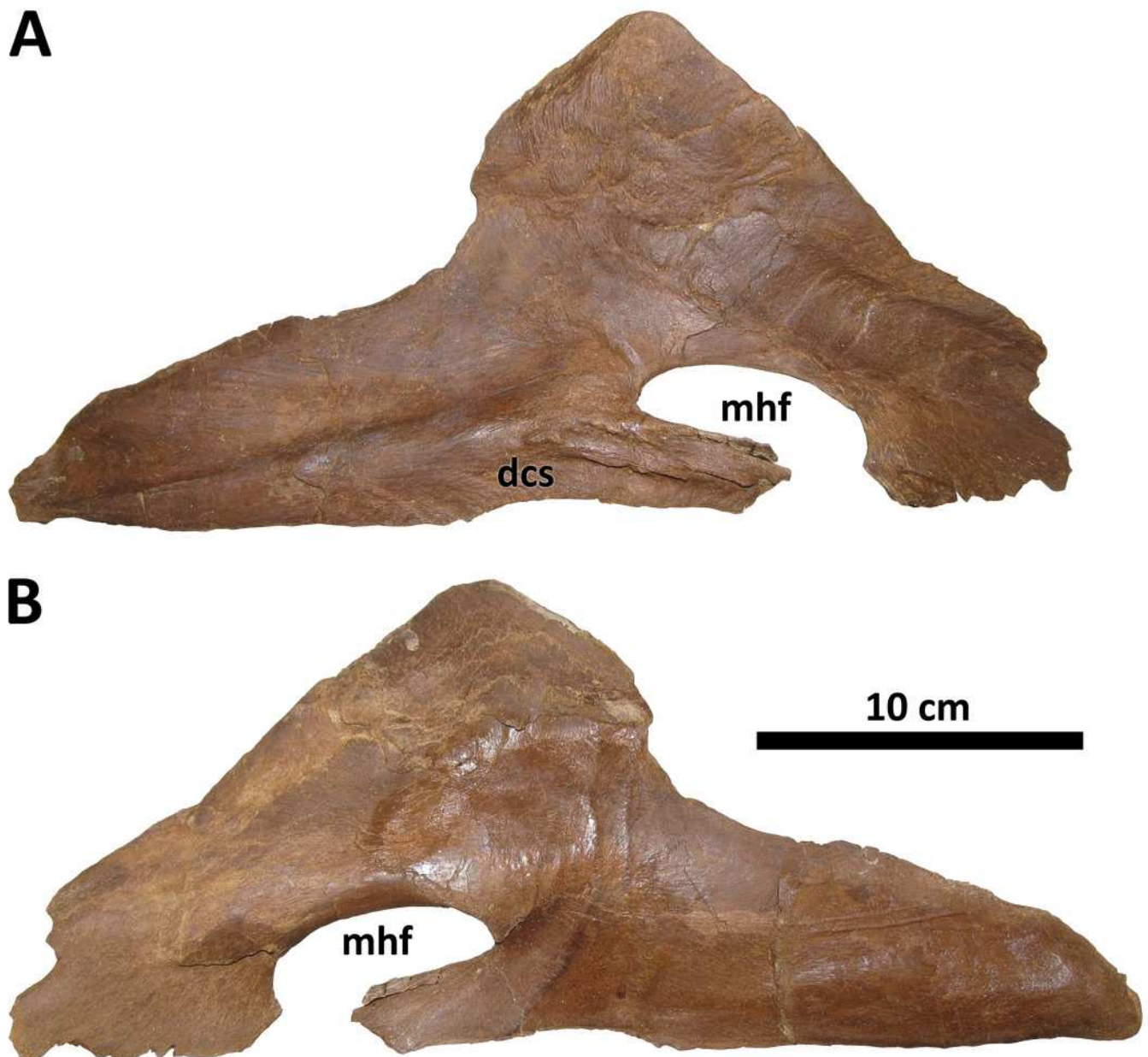




## Figure 11

Right splenial of BDM 107.

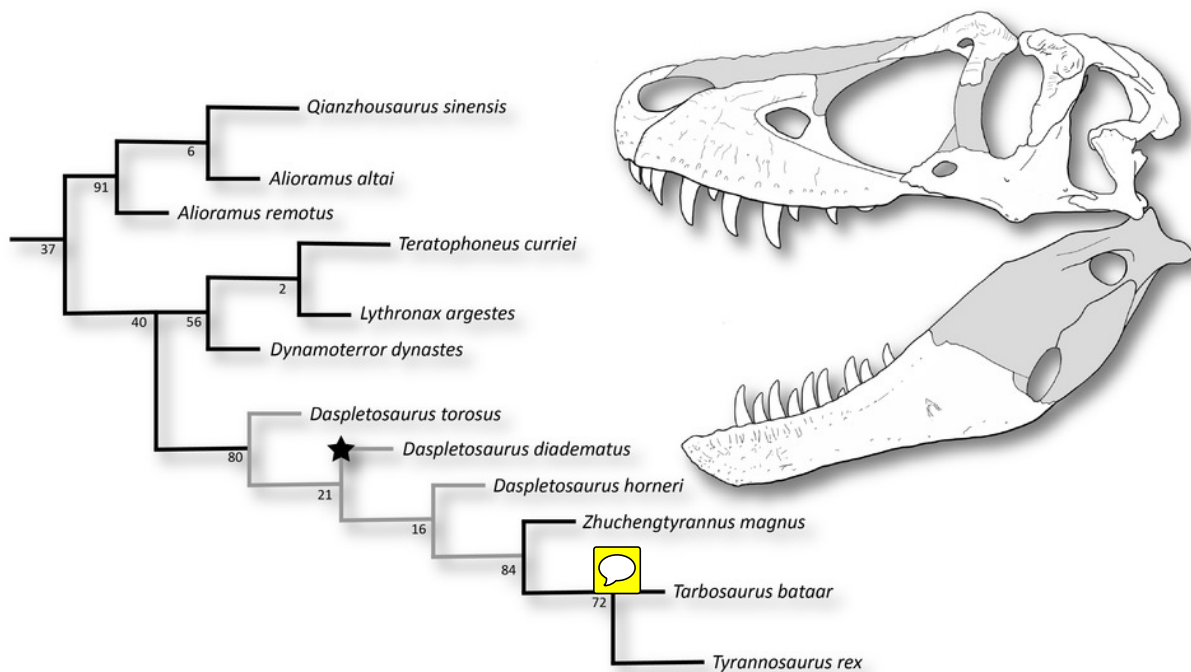
Shown in medial (A) and lateral (B) views. Abbreviations are as follows: dcs, dentary contact surface; mhf, mylohyoid foramen. Scale is 10 cm.



## Figure 12

Results of the cladistic analysis.

Grey nodes denote *Daspletosaurus*, star denotes *D. diadematus*, and numbers by each node are **bootstrap support**. Skull reconstruction represents the holotype of *D. diadematus*, BDM 107.



## Figure 13

Time-calibrated phylogeny of *Daspletosaurus* 

Ages (left) are in Ma and are based on Carr et al. (2017) and Fowler (2017) for *D. torosus* and *D. horneri*. Representative skulls are, from top to bottom: *D. horneri*, MOR 590; *D. diadematus*, BDM 107 (known material in white); *D. torosus*, CMN 8506. No clear demarcations are drawn between taxa along the depicted lineage, given the relative paucity of specimens and the subjectivity intrinsic to species delineations of anagenetic lineages. Scale is 10 cm.

