

Blooming plant species diversity patterns in two adjacent Costa Rican highland ecosystems

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The Costa Rican Paramo is a unique ecosystem with high levels of endemism that is geographically isolated from the Andean Paramos. Paramo ecosystems occur above Montane Forests, below the permanent snow level, and their vegetation differs notably from that of adjacent Montane Forests. We compared the composition and beta diversity of blooming plant species using phenological data from functional plant groups (i.e., insect-visited, bird-visited and insect+bird-visited plants) between a Paramo and a Montane Forest site in Costa Rica and analyzed seasonal changes in blooming plant diversity between the rainy and dry seasons. Species richness was higher in the Montane Forest for all plant categories, except for insect-visited plants, which was higher in the Paramo. Beta diversity and blooming plant composition differed between both ecosystems and seasons. Differences in species richness and beta diversity between Paramo and the adjacent Montane Forest are likely the result of dispersal events that occurred during the last glacial period and subsequent isolation, as climate turned to tropical conditions after the Pleistocene, and to stressful abiotic conditions in the Paramo ecosystem that limit species establishment. Differences in blooming plant composition between both ecosystems and seasons are likely attributed to differential effects of climatic cues triggering the flowering events in each ecosystem, but phylogenetic conservatism cannot be discarded. Analyses of species composition and richness based on flowering phenology data are useful to evaluate potential floral resources for floral visitors (insects and birds) and how these resources change spatially and temporarily in endangered ecosystems such as the Paramo.

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23 **ABSTRACT**

24 The Costa Rican Paramo is a unique ecosystem with high levels of endemism that is
25 geographically isolated from the Andean Paramos. Paramo ecosystems occur above Montane
26 Forests, below the permanent snow level, and their vegetation differs notably from that of
27 adjacent Montane Forests. We compared the composition and beta diversity of blooming plant
28 species using phenological data from functional plant groups (i.e., insect-visited, bird-visited and
29 insect+bird-visited plants) between a Paramo and a Montane Forest site in Costa Rica and
30 analyzed seasonal changes in blooming plant diversity between the rainy and dry seasons.
31 Species richness was higher in the Montane Forest for all plant categories, except for insect-
32 visited plants, which was higher in the Paramo. Beta diversity and blooming plant composition
33 differed between both ecosystems and seasons. Differences in species richness and beta diversity
34 between Paramo and the adjacent Montane Forest are likely the result of dispersal events that
35 occurred during the last glacial period and subsequent isolation, as climate turned to tropical
36 conditions after the Pleistocene, and to stressful abiotic conditions in the Paramo ecosystem that
37 limit species establishment. Differences in blooming plant composition between both ecosystems
38 and seasons are likely attributed to differential effects of climatic cues triggering the flowering
39 events in each ecosystem, but phylogenetic conservatism cannot be discarded. Analyses of
40 species composition and richness based on flowering phenology data are useful to evaluate
41 potential floral resources for floral visitors (insects and birds) and how these resources change
42 spatially and temporarily in endangered ecosystems such as the Paramo.

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45 **KEYWORDS:** beta diversity, endemism, floral syndromes, Paramo, plant species composition,
46 Montane Forest.

47

48 **1. INTRODUCTION**

49 A notable characteristic of tropical highland landscapes is the presence of well defined
50 ecotones between adjacent ecosystems at high elevations (Vuilleumier & Simberloff, 1980;
51 Sarmiento, 2021). This sudden change in the vegetation physiognomy is attributed mainly to
52 differences in climatic and edaphic conditions (Luteyn, 2005). The highest mountain
53 environments above the treeline are unsuitable habitats for most organisms that inhabit adjacent
54 tropical forests at lower elevations (Luteyn, 2005; Körner, 2021). It has been suggested that
55 changes in the composition of plant communities along altitudinal gradients may be determined
56 by environmental filtering, since increasing altitudes are often associated with harsh conditions
57 for life (Laiolo & Obeso, 2017). Hence, only a relatively low number of species have been
58 capable of adapting to the prevailing abiotic conditions at high altitudes, resulting in a general
59 decline in species richness but an increase in endemism (Billings, 1974; Rada et al., 2019;
60 Madriñán et al., 2013). In the Neotropics, the Paramo exemplifies a high elevation ecosystem;
61 this habitat is typically composed of low herbaceous and shrubby vegetation whose
62 physiognomy drastically contrasts with the arboreal vegetation that dominates the adjacent
63 Montane Forests (Smith & Young, 1987; Luteyn, 2005).

64 Most of the neotropical Paramos (including the Puna) are found in South America and
65 cover a large proportion of the highlands of the Andes mountain range (Madriñán et al., 2013).
66 In Central America, the Paramo vegetation is restricted to highly isolated and small natural
67 fragments on the highlands of the Talamanca mountain range that extends from Costa Rica to

68 western Panama (Kappelle & Horn, 2016). As a result, South American Paramos have been the
69 focus of research on a variety of topics, including plant physiology (Rada et al., 2019), avian
70 evolution (Vuilleumier, 1969), vegetation (Valencia et al., 2018) and butterfly distribution
71 (Pyrzcz et al., 2016); whereas research in Central American Paramo ecosystem is still limited
72 (Körner, 2021). A book published by Kappelle and Horn (2005) included information on the
73 natural history of many taxa from the Costa Rican Paramo, but information on the ecology and
74 evolution of most taxonomic groups was anecdotal or based on non-systematic samplings.

75 The species diversity turnover of plants and other taxonomic groups along altitudinal
76 gradients has been studied worldwide and, in general, richness in all groups decreases with
77 elevation, but endemism increases (Wolda, 1987; Navarro, 1992; Lieberman et al., 1996; Vetaas
78 & Grytnes 2002; Khuroo et al., 2011; Steinbauer et al., 2016; Monro et al., 2017). There are also
79 changes in abiotic conditions such as a reduction in availability of surface area, atmospheric
80 pressure, air temperature, and increasing UV radiation at higher elevations (Körner, 2007). For
81 sessile organisms such as plants, these environmental gradients impose severe constraints on
82 growth, survival, flowering and fruiting phenology, which may influence the feeding behavior
83 and reproduction of associated organisms such as insects and birds. Tropical highland
84 ecosystems are also characterized by a marked seasonal variation in rainfall and daily
85 temperatures between the dry and rainy seasons (Sarmiento, 1986). Seasonality is a proximal
86 factor that can regulate plant phenology (Borchert 1983; Reich & Borchert, 1982, 1984; Cavellier
87 et al., 1992; Smith & Young, 1987), and therefore may constrain floral resource availability for
88 floral visitors.

89 In the Costa Rican highland ecosystems, plant richness also declines rapidly with
90 elevation, particularly at mountain summits (Lieberman et al., 1996; Estrada & Zamora 2004;

91 Barrantes et al., 2019; Monro et al., 2017). However, information on the dynamics of floral
92 resources availability (i.e., flowering phenology patterns) at the community level remains
93 undocumented. Patterns of plant reproductive phenology may be related to the variation in floral
94 resource availability and changes in the community composition of floral visitors throughout the
95 year.

96 Flowering plants may be classified into different pollination syndromes based on a set of
97 floral traits (e.g., morphology, color, odor, size, rewards, and anthesis time) (Faegri & Van der
98 Pijl, 1979; Rosas-Guerrero et al., 2014). Most plant species inhabiting highland tropical
99 ecosystems can be classified into insect-pollinated (bees and flies), bird-pollinated and
100 insect+bird-pollinated pollination syndromes. Evidence suggests that as elevation
101 increases, flower-visitor diversity, population abundance, and foraging activity decreases
102 (Arroyo et al., 1981; Gómez-Murillo & Cuartas-Hernández, 2016). However, there is no
103 information on the availability of floral resources in relation to the type of floral visitors in
104 Central American highland ecosystems.

105

106 This study has a twofold objective: to determine differences in floral resources
107 availability in terms of blooming plant composition and diversity between the two high-elevation
108 ecosystems in Costa Rica (Paramo and Montane Forest), and to describe their variation in
109 resource availability for insects and birds between the dry and rainy seasons. We predict
110 significant differences in community composition between the Paramo and the adjacent Montane
111 Forest, with higher species richness and beta-diversity of blooming plants in Montane Forests,
112 due to the large number of endemic species present in the Paramo and the reduction in species
113 richness as elevation increases. We also predict a higher diversity of the blooming plant

114 community in the rainy season, in both ecosystems, due to milder temperatures and higher water
115 availability compared to more severe conditions prevalent during the dry season.

116

117 **2. MATERIALS AND METHODS**

118 *2.1 Study area*

119 We selected two study sites in the highlands of the Costa Rican Talamanca mountain
120 range: the Cerro de la Muerte Biological Station (CMBS) and the Quetzales National Park
121 (QNP) (Fig. 1). The CMBS is a Montane Forest at an elevation of 3100 m asl (09° 33' N; 83°
122 44' W) and the QNP is a Paramo habitat at 3400 m asl (Fig. 1). The two sites are separated by 2
123 km. The region's average annual precipitation is 2500 mm, with a relatively dry period from
124 mid-November to April, and a mean annual temperature of 11°C for the CMBS and 7.6°C in the
125 QNP (Herrera, 2005). During the day, temperatures fluctuate dramatically, particularly in the
126 Paramo (-5 °C to 35 °C) (Herrera, 2005). Montane Forests are dominated by oaks with abundant
127 epiphytes and shrubs (e.g., Ericaceae, Asteraceae, Onagraceae) (Calderón-Sanou et al., 2019).
128 Meanwhile, the Paramo is dominated by a herbaceous stratum, with a large diversity of
129 Asteraceae and Poaceae, and scattered patches of shrubs with species mainly in the Ericaceae,
130 Asteraceae, and Hypericaceae (Vargas & Sanchez 2005).

131

132 *2.2 Sampling*

133 In each study site, we established a 2 km by 10 m transect and counted the number of individual
134 blooming plants per species per month, during a 30 month period (February 2019 to August
135 2021). We classified each plant species into insect-pollinated (bee-pollinated and fly-pollinated),
136 bird-pollinated (hummingbirds) and insect+bird-pollinated types, based on their morphology and

137 floral reward following Barrantes (2005) and Rosas-Guerrero et al., (2014). We did not include
138 wind-pollinated species, such as oaks (Fagaceae), grasses and sedges (Poaceae and Cyperaceae,
139 respectively). We defined the flowering peak for the whole community at each site and for each
140 plant category (insect-pollinated plants, insect+bird-pollinated plants, bird-pollinated plants) as
141 the month(s) fitting into the third quartile; if a sequence of months all met this requirement, we
142 chose the month with the highest number of flowering individuals.

143

144 *2.3 Statistical Analyses*

145 We compared species richness between the Montane Forest and the Paramo by means of
146 rarefaction curves with 95% confidence intervals, using the function *specaccum* in the R package
147 *vegan* (Oksanen et al., 2020). This method controls for differences in sample size by estimating
148 the expected species richness of a random subsample of individuals (Gotelli & Graves, 1996).

149 To compare the plant community composition between sites, we used a non-metric
150 multidimensional scaling (NMDS) based on a Bray-Curtis dissimilarity matrix with 1000
151 permutations. We then conducted a distance-based Permutational Multivariate Analyses of
152 Variance (PERMANOVA) as implemented in the *adonis* function in the R package *vegan*
153 (Oksanen et al., 2020). For this analysis, we included site (Montane Forest and Paramo), season
154 (Dry and rainy seasons), and their interaction as independent factors and the distance matrix as
155 the response variable.

156 Subsequently, we compared beta diversity between the two sites, measured as the mean
157 dissimilarity non-Euclidean distance of each individual observation to the mean of all
158 observations (centroid) calculated in multidimensional space, as implemented by the *betadisper*
159 function (Anderson et al., 2006; Oksanen et al., 2020). This function is used to test the

160 homogeneity of variances between sites or treatments. However, PERMANOVA is unaffected
161 by the heterogeneity of variances for balanced designs (Anderson and Walsh, 2013), as is the
162 case in this study (equal sampling at both sites). Therefore, we used the *betadisper* function to
163 test for differences in beta-diversity between sites, as has been used in other studies (Oksanen et
164 al., 2020). We used the *vegan* package (Oksanen et al., 2020) in the R statistical language for all
165 analyses (R Development Core Team, 2021).

166

167 3. RESULTS

168 We recorded the flowering phenology of 91 species in 41 families: 72 species in the
169 Montane Forest and 65 in the Paramo; 46 of these species were present at both sites. Based on
170 our rarefaction analysis, the species richness of blooming plants was higher in the Montane
171 Forest (Fig. 2). Similarly, the richness of plants pollinated by insects+birds and by birds only
172 was higher in the Montane Forest; however, richness of insect-visited plants was higher in the
173 Paramo site (Fig. S1a-c). This indicates that both ecosystems offer a great diversity of food
174 resources for different pollinator guilds. More resources were available for hummingbirds in the
175 Montane Forest, while insects seem to benefit more from plants in the Paramo ecosystem.

176 The number of blooming plant species varied over time (Fig. 3). All blooming plant
177 species in both ecosystems peaked during the dry season (Fig. 3), but insect-pollinated plants had
178 flowering peaks at the beginning (May) and the second half of the rainy season (September-
179 October) (Fig. S2a). Insect+bird and bird-pollinated plant categories did not show a clear
180 seasonal pattern (Fig. S2 b-c); on the contrary, floral resources in these two plant categories
181 varied little throughout the year. In the case of bird-pollinated plant species, the number of
182 blooming species was always higher in the Montane Forest than in Paramo (Fig. S2 d).

183 The multidimensional scaling distances showed that species composition differed
184 between sites (Montane Forest and Paramo), seasons (dry and rainy), and their interaction for all
185 plant categories (i.e., all blooming plant species, insect-visited plants, insect+bird-visited plants
186 and bird-visited-plants) (Table 1; Fig. S3; Table S1). In all cases, the site explained the largest
187 fraction of the variance, followed by season, and then their interaction (Table 1), though there is
188 still a large portion of the variance that is not explained by the factors included in the model.
189 This is expected since phenological cues are multifactorial, and their synergistic effect is not yet
190 fully understood (Satake et al., 2022). The changes in species composition between the rainy and
191 dry seasons are more pronounced in the Montane Forest than in the Paramo, for all blooming
192 plant species (Fig. 4). However, this pattern is reversed for bird-pollinated plant species, where
193 species composition differences between the dry and the rainy season are greater in the Paramo
194 compared to the Montane Forest (Fig. S4).

195 Beta diversity for each plant pollination type differed between the Montane Forest and
196 the Paramo for all categories of blooming plants (Table 2, Fig. 4; Fig. S4 a-d). This suggests that
197 particular factors have shaped each ecosystem, such as climatic conditions and underlying
198 historical factors (e.g. colonization-dispersal events) and influenced the beta diversity of
199 blooming plants differently.

200

201 **4. DISCUSSION**

202 Our results show differences in species composition and diversity of plants between two
203 adjacent ecosystems at tropical high elevations. Local and regional environmental traits, and
204 historical events likely act synergistically to produce the differences observed (Simpson, 1975;
205 Hooghiemstra et al., 1992; Islebe et al., 1995, 1996; Sklenář et al., 2011). In comparison to the

206 adjacent Montane Forest, the Paramo has a lower richness of flowering species. The study sites
207 are geographically adjacent and separated by 2 km; however, the relatively small change in
208 elevation (~400 m) becomes a determinant factor in shaping species composition differences.
209 Consequently, temporal turnover (beta diversity) of blooming plants also differed between
210 ecosystems and such differences are likely related to the uniqueness of the Costa Rican Paramo
211 vegetation (Cleef & Chaverri, 1992). The evolution of a unique vegetation in the Costa Rican
212 Paramo, which differs notably from the adjacent Montane Forest, could be the result of several
213 factors: a) the plant dispersal events that occurred during the late Pleistocene (Simpson & Neff,
214 1992; Sklenář et al., 2011; Londoño et al., 2014), b) the geographic isolation when climate
215 changed after the Pleistocene, and c) the prevalence of cold climatic conditions at the summit of
216 the Talamanca Mountain range. Vicariance driven by the climate shifts after the Pleistocene in
217 conjunction with topographic isolation, has shaped the evolution of several plant clades within
218 the Andean cordilleras (Simpson, 1975; Luebert & Weigend, 2014). For instance, a possible
219 explanation for the rapid radiation of the common *Valeriana* and *Hypericum* species in the
220 Andean Paramo, as well as the species present in the Costa Rican Paramo, is the repeated
221 fragmentation-isolation process, as a consequence of the Pleistocene climatic fluctuations in a
222 topographically complex region (Moore & Donoghue, 2007; Nürk et al., 2014).

223 Temporal variation in floral resources imposes a constraint on plant-pollinator
224 interactions (Hegland & Boeke, 2006; Fuchs et al., 2010; Encinas-Viso et al., 2012; Bagella et
225 al., 2013). Our results showed that the flowering phenology of all groups of plants differed
226 between dry and rainy seasons. When we analyzed the entire blooming plant community as a
227 whole (i.e., insect-visited, bird-visited, and insect+bird-visited plants) flowering peaks occurred
228 in the dry season, in contrast to insect-visited plants, whose flowering peak occurred in the rainy

229 season. Such differences are often associated with the response of different groups of plants to
230 different environmental cues (Arroyo et al., 1981; Defila & Clot, 2005; Davies et al., 2013;
231 Chmura et al., 2018; Satake et al., 2022). In other seasonal ecosystems, it has been suggested that
232 water acquisition and storage strategies associated with growth form are related to different
233 temporal patterns of flowering (Cortés-Flores et al., 2017). For example, flowering of herbaceous
234 species occurs during the rainy season, while flowering in trees and shrubs can occur during both
235 rainy and dry seasons (Frankie et al., 1974; Batalha & Martins, 2004; Cortés-Flores et al., 2017).
236 The flowering phenology patterns that we observed are consistent with the assumption that in
237 seasonal tropical ecosystems, insect pollinators are more abundant during the rainy season, when
238 more floral resources are available (Southwood et al., 1979; Siemann et al., 1998; Ramírez,
239 2006; Souza et al., 2018). At least one hummingbird species is active year-round in our study
240 site, a pattern recognized in other tropical studies, which reported continuous hummingbird
241 activity across the year (Barrantes, 2005; Abrahamczyk et al., 2011). The presence of a particular
242 floral visitor functional group throughout the year can be explained by the staggered flowering
243 phenologies of plant species in tropical communities, as shown in this study (Lopezaraiza-Mikel
244 et al., 2013; Lobo et al., 2003, Abrahamczyk et al., 2011; Meléndez-Ramírez et al., 2016).

245 An important difference between the Costa Rican Paramo and Andean Paramos is that in
246 Costa Rica, this ecosystem covers only a small and isolated area at the summit of the Talamanca
247 mountain range. Such conditions make this site unique and susceptible to threats imposed by
248 climate change and human intervention. Projections on climate change indicate that temperatures
249 and the length of dry season will increase in the highlands, seriously threatening this ecosystem
250 in Central and South America (Karmalkar et al., 2008; Lyra et al., 2017; Freeman et al., 2018). In
251 Costa Rica, the Paramo ecosystem is protected within national parks, but despite this level of

252 protection, they are subject to a wide range of pressures from human activities, such as
253 anthropogenic fires, the construction of communication towers, and agricultural and urban
254 expansion around protected areas, as well as the invasion of exotic plant species (Chaverri &
255 Esquivel-Garrote, 2005) which, in addition to climate change, seriously threaten this unique
256 ecosystem.

257 **5. CONCLUSIONS**

258 We conclude that richness and beta diversity of blooming plant species differed between
259 the Paramo and the adjacent Montane Forest, and such differences are likely a consequence of
260 historical events (e.g., dispersal promoted by changes in climate), and the edaphic and climatic
261 conditions prevailing in the study region. Floral resource availability differed between the two
262 seasons (dry and rainy), due to differences in climatic conditions (Körner, 2021) that may act as
263 environmental cues that trigger the phenological patterns in different plant species; however, a
264 phylogenetic effect (e.g., related plant species flowering at the same time due to common
265 ancestry) cannot be discarded (Davies et al., 2013). Our findings also showed that the
266 composition and diversity of floral resources for insects and birds are lower in the Paramo than
267 in the Montane Forest. This supports the idea that resource depletion may limit the use of the
268 Paramo for nectar-feeding birds and insects (Janzen et al., 1976; Barrantes, 2005; Fuchs et al.,
269 2010). This study showed that analyses of species composition and richness based on flowering
270 phenology data are useful in evaluating potential floral resources for floral visitors (insects and
271 birds), and how these resources change spatially and temporarily in these endangered
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495

Figure 1

Map of the region of the Costa Rican Talamanca mountain region, showing the study sites and the elevation above sea level (asl): the Cerro de la Muerte Biological Station (CMBS) and the Quetzales National Park (QNP).

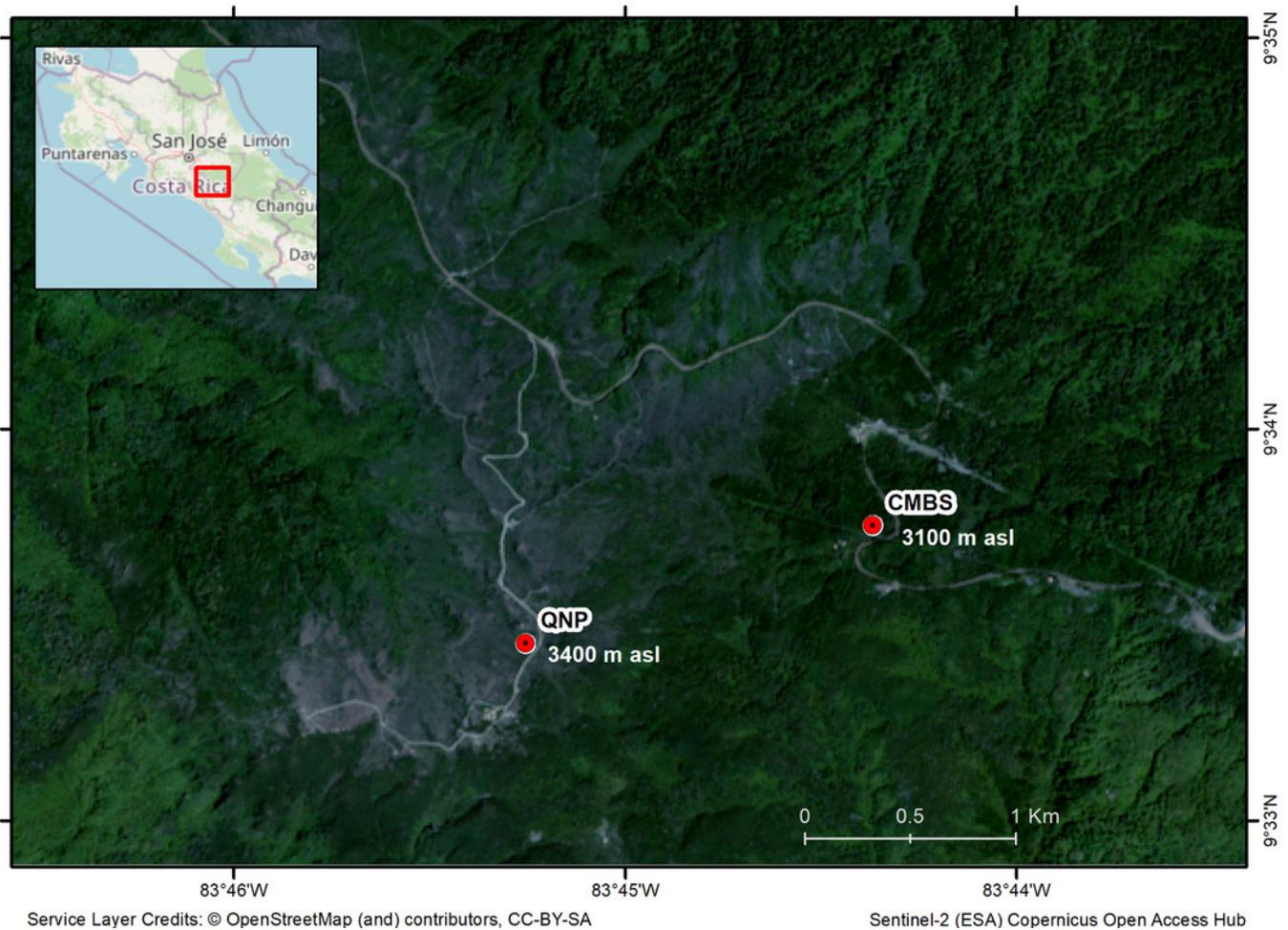


Figure 2

Sample-based rarefaction curves with 95% confidence intervals for flowering plant richness in the Montane Forest (green lines) and the Paramo (golden lines) ecosystems from the Costa Rican Talamanca mountain range. Data are from flowering censuses from Fe

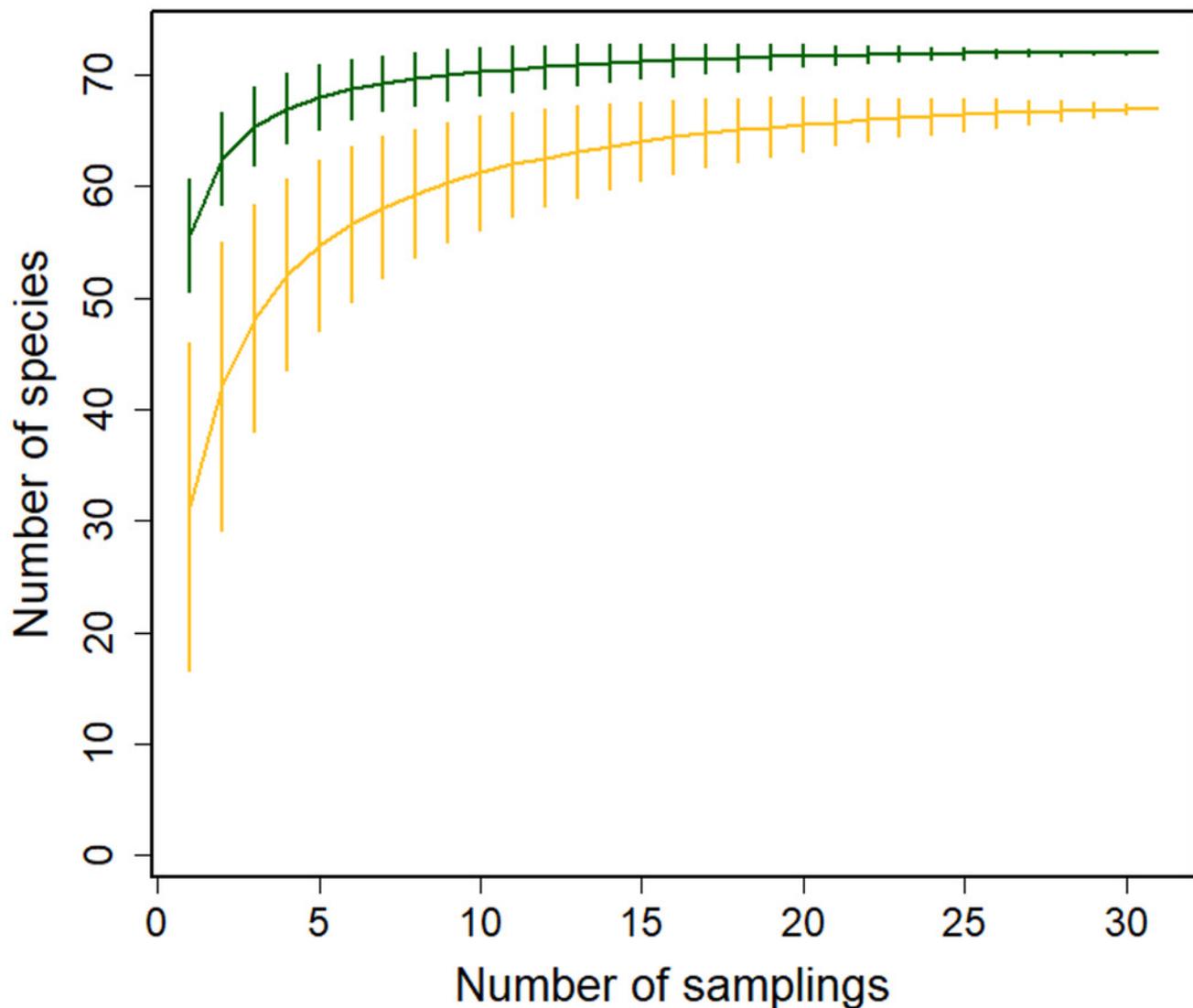


Figure 3

Number of blooming plant species in the Montane Forest (green dots) and the Paramo (golden dots) recorded during the study period of February 2019 to August 2021 in the Costa Rican Talamanca mountain range. The solid black lines above the x-axis indicate

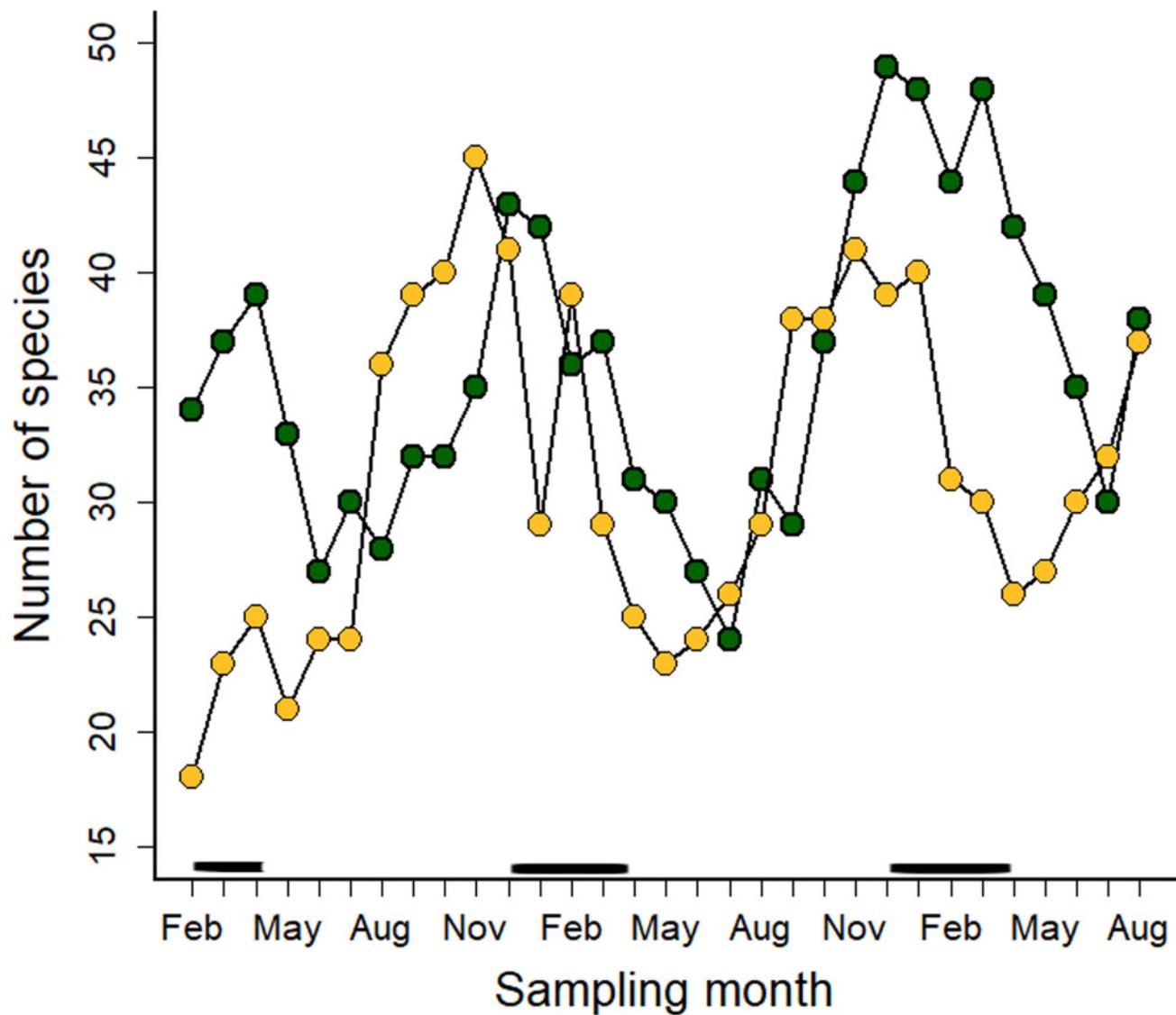


Figure 4

Effect of site and season (RainyM: Montane Forest - rainy season; DryM: Montane Forest - dry season; RainyP: Paramo - rainy season; DryP: Paramo - dry season) on the beta diversity of blooming plant species, in the Costa Rican Talamanca mountain range.

The analysis was performed using the *betadisper* function in R. Each dot represents the mean non-Euclidean distance of blooming plants at a particular sampling date relative to the centroid of all samplings on the two first PCA components.

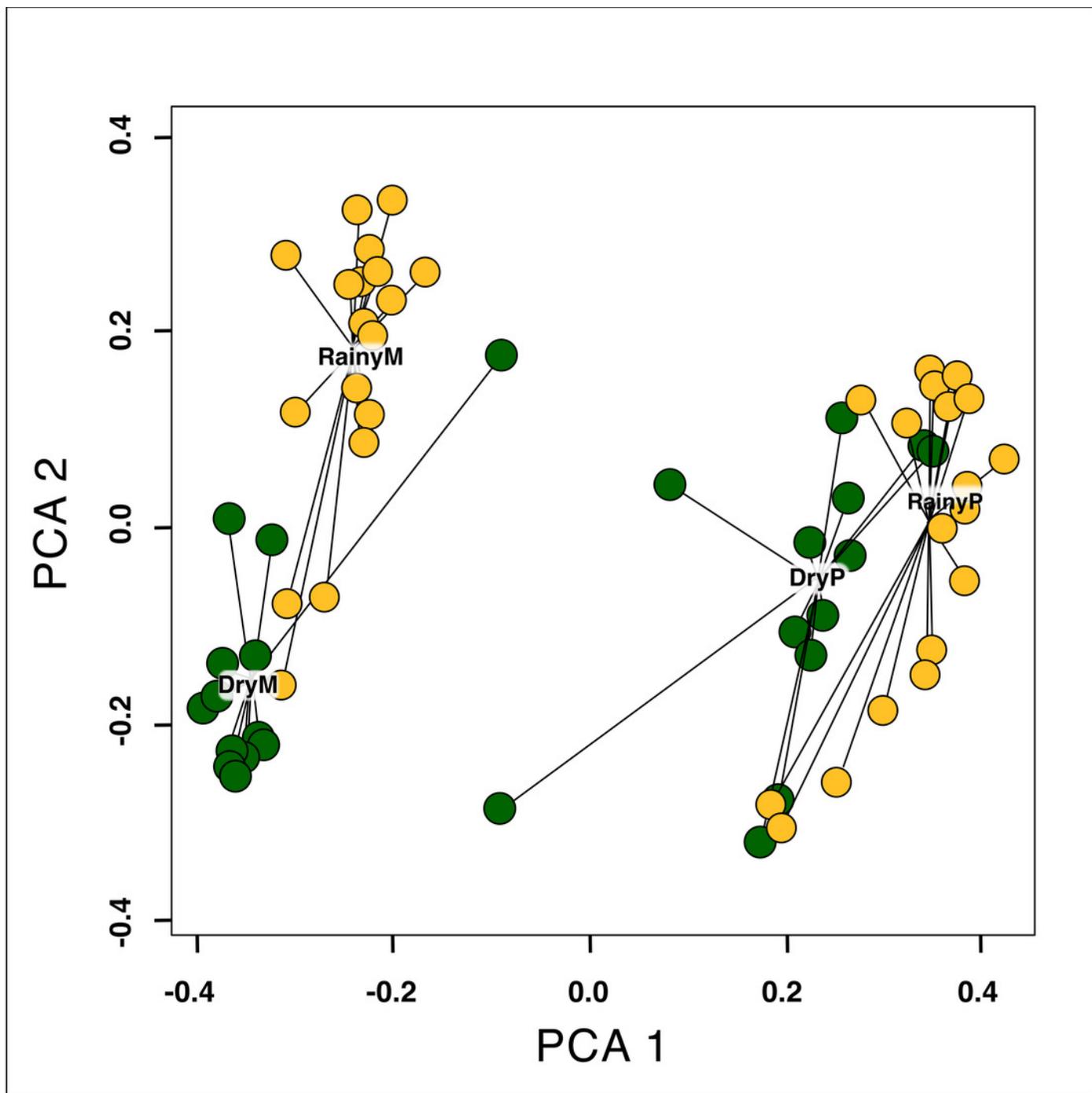


Table 1 (on next page)

Non-parametric PERMANOVA based on Bray-Curtis distances for all blooming plants at two sites (Montane Forest and the Paramo), two seasons (dry and rainy), and their interaction.

1 **Table 1.** Non-parametric PERMANOVA based on Bray–Curtis distances for all blooming plants
2 at two sites (Montane Forest and the Paramo), two seasons (dry and rainy), and their interaction.
3

All blooming plants (MSD/Bray – Stress = 0.98)					
Factor	df	SS	R2	F	P
Site	1	5.03	0.38	48.55	0.001
Season	1	1.46	0.11	14.11	0.001
Site*season	1	0.87	0.06	8.37	0.001
Residual	58	5.99	0.45		
Total	61	13.36	1.00		

4

Table 2 (on next page)

Table 2. Comparison of beta diversity for blooming plants between Montane Forest and Paramo forest in Costa Rica, based on the “*betadisper*” function (Oksanen *et al.*, 2020).

1

2 **Table 2.** Comparison of beta diversity for blooming plants between Montane Forest and Paramo
 3 forest in Costa Rica, based on the “*betadisper*” function (Oksanen *et al.*, 2020).

4

All blooming plants					
Factor	df	SS	MS	F	P
Site	1	0.03	0.03	9.48	0.003
Residual	60	0.21	0.00		
Insect+bird-visited plants					
Site	1	0.03	0.03	9.26	0.002
Residual	60	0.22	0.00		
Insect-visited plants					
Site	1	0.05	0.05	11.96	0.002
Residual	60	0.27	0.00		
Bird-visited plants					
Site	1	0.05	0.05	3.83	0.057
Residual	60	0.76	0.01		

5

6