

Identification and expression analysis of maize NF-YA subunit genes

Mingyue Lv ^{Equal first author, 1, 2}, **Hongzhe Cao** ^{Equal first author, 1, 2}, **Xue Wang** ², **Kang Zhang** ^{1, 2}, **Helong Si** ^{1, 2}, **Jinping Zang** ^{1, 2}, **Jihong Xing** ^{Corresp., 1, 2}, **Jingao Dong** ^{Corresp. 1, 2}

¹ State Key Laboratory of North China Crop Improvement and Regulation, Hebei Agricultural University, Baoding, Hebei, China

² Hebei Key Laboratory of Plant Physiology and Molecular Pathology, Hebei Agricultural University, Baoding, Hebei, China

Corresponding Authors: Jihong Xing, Jingao Dong

Email address: xingjihong2000@126.com, dongjingao@126.com

NF-YA is subunits of Nuclear Factor-Y (NF-Y) gene family. NF-YA is a kind of conservative transcription factor in plants and involved in plant growth and development and resistance to biotic and abiotic stress. In this study, 16 maize NF-YA subunit genes were identified by bioinformatics methods, and they were divided into 3 categories by phylogenetic analysis. Conserved domain analysis showed that most of the them contained CFBF_NF-YA domain. Tissue expression analysis showed that maize NF-YA subunit genes showed very obvious tissue expression characteristics. The expression level of the NF-YA subunit genes showed significant changes under different abiotic stresses, *Fusarium graminearum* infection and salicylic acid (SA) or jasmonic acid (JA) treatments. The results showed that NF-YA subunit genes played an important role in maize, which was manifested in promoting maize growth and development and resistance to stress, and laid the foundation for clarifying the function and regulatory mechanism of NF-YA subunit genes in maize.

1 **Identification and expression analysis of maize NF-YA**
2 **subunit genes**

3

4

5 Mingyue Lv^{1,2,*}, Hongzhe Cao^{1,2,*}, Xue Wang², Kang Zhang^{1,2}, Helong Si^{1,2}, Jinping Zang^{1,2},
6 Jihong Xing^{1,2}, Jingao Dong^{1,2}

7

8 ¹ State Key Laboratory of North China Crop Improvement and Regulation, Hebei Agricultural
9 University, Baoding, Hebei, China

10 ² Hebei Key Laboratory of Plant Physiology and Molecular Pathology, Hebei Agricultural
11 University, Baoding, Hebei, China

12 *These authors contributed equally to this work.

13

14 Corresponding Author:

15 Jihong Xing

16 No. 289 Lingyusi Street, Baoding, Hebei, 071000, China

17 Email address: xingjihong2000@126.com

18

19 Jingao Dong

20 No. 289 Lingyusi Street, Baoding, Hebei, 071000, China

21 Email address: dongjingao@126.com

22

23 **Abstract**

24 NF-YA is subunits of Nuclear Factor-Y (NF-Y) gene family. NF-YA is a kind of conservative
25 transcription factor in plants and involved in plant growth and development and resistance to
26 biotic and abiotic stress. In this study, 16 maize NF-YA subunit genes were identified by
27 bioinformatics methods, and they were divided into 3 categories by phylogenetic analysis.
28 Conserved domain analysis showed that most of the them contained CBFB_NF-YA domain.
29 Tissue expression analysis showed that maize NF-YA subunit genes showed very obvious tissue
30 expression characteristics. The expression level of the NF-YA subunit genes showed significant
31 changes under different abiotic stresses, *Fusarium graminearum* infection and salicylic acid (SA)
32 or jasmonic acid (JA) treatments. The results showed that NF-YA subunit genes played an
33 important role in maize, which was manifested in promoting maize growth and development and
34 resistance to stress, and laid the foundation for clarifying the function and regulatory mechanism
35 of NF-YA subunit genes in maize.

36

37 **Keywords:** *Zea mays*, NF-YA subunit gene, biological stress, abiotic stress, expression
38 analysis

39

40 **Introduction**

41 Nuclear Factor-Y (NF-Y) transcription factor widely exists in eukaryotes and also known as
42 hemo-activator protein (HAP) (Thirumurugan et al. 2008; Petroni et al. 2012). NF-Y can bind to
43 CCAAT-box in promoter sequence, so it is also called CCAAT-binding factor (CBF) (Laloum et
44 al. 2013). NF-Y is a large gene family, which is composed of NF-YA (CBFB / HAP2), NF-YB
45 (CBFA / HAP3) and NF-YC (CBFC / HAP5) subunits (Nardini et al. 2013). NF-Y is usually
46 located in the nucleus and evolutionarily conserved (Mantovani 1999). In animals, NF-YA, NF-
47 YB and NF-YC subunits are encoded by three single genes, and the three subunits function in the
48 form of heterologous trimers (Benatti et al. 2008). In plants, three subunits are encoded by more
49 than 10 genes, and they can perform their functions independently (Petroni et al. 2012).
50 CBFB_NF-YA domain is the core conserved domain of NF-YA family. The N-terminal of this
51 domain can bind to NF-YB and NF-YC subunits, and the C-terminal can bind to DNA CCAAT-
52 box (Quach et al. 2015).

53 In recent years, studies have shown that the single subunit of NF-Y is widely involved in
54 plant growth and development and stress response, such as controlling gametogenesis, embryo
55 and plant development (Mu et al. 2013), abscisic acid (ABA) signal transduction (Yu et al.
56 2021), flowering cycle regulation (Hwang et al. 2019), primary root elongation (Ballif et al.
57 2011; Zhou et al. 2020), blue light response (Warpeha et al. 2007), photosynthesis (Tokutsu et al.
58 2019) and abiotic stress tolerance such as drought, high temperature and salt (Li et al. 2021). In
59 *Arabidopsis*, *NF-YA3* and *NF-YA8* genes mediate cell differentiation and embryo formation
60 through ABA signaling pathway at early embryonic development. *NF-YA1*, *NF-YA5*, *NF-YA6*
61 and *NF-YA9* are involved in the development of gametes, embryos and seeds (Mu et al. 2013). In
62 potato, NF-YA responded to drought by regulating the number of chlorophylls, stomatal
63 conductance and photosynthesis (Li et al. 2021). *PtNF-YA9* plays an important role in drought
64 resistance of *Populus trichocarpa* as a positive regulator of stress resistance (Lian et al. 2018).
65 There are 5 NF-Y genes in tomato which play roles in tomato fruit ripening (Li et al. 2016). In
66 soybean, GmNFYC14 forms heterotrimer with GmNF-YA16 and GmNFYB2, activates
67 *GmPYR1* mediated abscisic acid signaling pathway and regulates soybean stress tolerance (Yu et
68 al. 2021). In maize, *ZmNFYB16* can form heterotrimer with *ZmNFYC17* and *ZmNFYA01*, and
69 heterotrimer binds to CCAAT cis-acting elements in the promoter region of stress response and
70 growth-related genes through *ZmNFYA01* subunit, regulating the expression of multiple genes
71 related to stress resistance and growth, thereby improving the drought resistance of plants (Wang
72 et al. 2018).

73 So far, the overall study of maize NF-YA subunit gene family has not been reported, and
74 the number, physicochemical properties and function of maize NF-YA subunit genes are not
75 clear. In this study, the maize NF-YA subunit genes were identified by bioinformatics methods,
76 and the phylogenetic relationship, conserved domains, tissue specificity, gene expression
77 patterns of maize NF-YA subunit genes under biotic and abiotic stress and SA or JA treatments
78 were clarified, which laid the foundation for elucidating the function and regulation mechanism
79 of maize NF-YA subunit genes.

80

81 **Materials & Methods**

82 **Plant materials and sources**

83 The seeds of maize inbred line B73 were preserved in the Mycotoxin and Molecular Plant
84 Pathology Laboratory, Hebei Agricultural University.

85 **Data sources and phylogenetic analysis of NF-YA subunit genes in different** 86 **species**

87 The information and amino acid sequences of NF-YA subunit genes in maize, rice and
88 *Arabidopsis* were downloaded from MaizeGDB (<http://www.maizegdb.org/>), RGAP
89 (<http://rice.plantbiology.msu.edu/>) and TAIR (<https://www.arabidopsis.org/>). The amino acid
90 sequences were aligned by Clustal X software (Larkin et al. 2007). The aligned results were
91 imported into MEGA 7.0 software (Kumar et al. 2016) and phylogenetic tree was constructed by
92 maximum likelihood method.

93 **Chromosome localization analysis**

94 Chromosome location information of maize NF-YA subunit genes were obtained from
95 MaizeGDB, chromosome mapping of maize NF-YA subunit genes using RIdeogram software
96 (<https://cran.r-project.org/web/packages/RIdeogram/vignettes/RIdeogram.html>).

97 **Domain analysis**

98 The conserved domains of maize NF-YA subunit genes were analyzed by online software
99 SMART (<http://smart.embl-heidelberg.de/>) and the domain analysis maps were drawn by IBS
100 software (Liu et al. 2015).

101 **Gene structure analysis**

102 The annotated information about the gene structure of maize NF-YA subunit genes were
103 obtained from MaizeGDB, including gene length, 5' UTR, 3' UTR and the distribution of each
104 intron and exon. The gene structure map was drawn by IBS software.

105 **Expression pattern of NF-YA subunit genes in maize**

106 Transcriptome sequencing data of maize NF-YA subunit genes in different tissues, response
107 to abiotic and biotic stresses were obtained through SRA database of NCBI. Heat map analysis
108 of NF-YA subunit genes expression in maize using HemI 1.0 software (Deng et al. 2014). In this
109 study, RNA-seq data of 32 maize tissues such as seed 5 days after pollination, endosperm 25
110 days after pollination, seed 10 days after pollination, 5 abiotic stresses such as heat, cold, salt,
111 ultraviolet radiation (UV) and drought, and *F. graminearum* infection were selected.

112 **Subcellular localization prediction**

113 According to the amino acid sequences of NF-YA subunit genes in maize, the subcellular
114 localization was analyzed by Plant-mPLOC software (Chou & Shen 2010), and the prediction
115 table of subcellular localization was summarized.

116 **Expression analysis of NF-YA subunit genes in maize under hormone treatment**

117 1 L of salicylic acid (100 μ M) and jasmonic acid (100 μ M) were sprayed evenly on the
118 aboveground parts of the two groups of maize B73 (V5 stage), respectively. Sampling at 0 h, 3 h,
119 9 h, and 24 h, frozen with liquid nitrogen and stored in a -80°C refrigerator.

120 The specific quantitative real-time PCR (qRT-PCR) primers of internal reference *UBQ9* and
121 maize NF-YA subunit genes were designed online by NCBI (Table S1) and synthesized by
122 Beijing Bomed Biotechnology Co., Ltd. The cDNAs of maize inbred line B73 plant samples
123 collected at different times under the treatment of two hormones were used as templates for qRT-
124 PCR. The reaction system was as follows: 2 \times M5 HiPer SYBR Premix EsTaq (with Tli
125 RNaseH) 7 μ L, cDNA template 1 μ L, Primer-F 0.5 μ L, Primer-R 0.5 μ L, ddH₂O 5 μ L. Each of
126 the above treatment systems was repeated for three times, and the reaction was performed by
127 fluorescence quantitative PCR instrument. Conditions: 95°C 30 s, 95°C 5 s, 60°C 30 s, 40 cycles.
128 The 0 h after hormone spraying in B73 plant was used as the control of gene transcription level,
129 and the Ct value ($2^{-\Delta\Delta Ct}$) method was used to analyze the relative expression levels of each gene
130 at different time points after different hormone treatments in B73 maize plant.

131 **Protein–Protein interaction network analysis**

132 For the protein–protein interaction (PPI) predicted analysis, all of the NF-YA amino acid
133 sequences were searched by the STRING database version 11.5 (<https://cn.string-db.org/>)
134 (Szklarczyk et al. 2021). The “confidence score” of STRING was used, and a confidence score
135 of > 0.7 (high confidence) between proteins was used (Mei et al. 2021). The interaction networks
136 of protein-protein generated by STRING were used to show the relationship of proteins with NF-
137 YA.

138

139 **Results**

140 **Phylogenetic analysis and chromosomal localization of NF-YA subunit genes in** 141 **maize**

142 The number of amino acids encoded by CDS of 16 maize NF-YA subunit genes was
143 obtained from MaizeGDB. The molecular weight and isoelectric point of the proteins encoded by

144 maize NF-YA subunit genes were analyzed and named *ZmNFYA01* to *ZmNFYA16* according to
145 their distribution on chromosomes. The results showed that the 16 proteins were different in
146 amino acid number, relative molecular mass and isoelectric point. The lengths of the 16 NF-YA
147 amino acid sequences are between 90 ~ 742 aa, and most of the length is about 300 aa. From the
148 predicted isoelectric point data of NF-YA subunit gene proteins, it was found that most of these
149 proteins were alkaline, and only the proteins encoded by *ZmNFYA15* and *ZmNFYA16* were
150 acidic (Table 1). In order to clarify the phylogenetic relationship between maize NF-YA subunit
151 genes, 16 maize NF-YA subunit genes, 10 *Arabidopsis* NF-YA subunit genes and 11 rice NF-
152 YA subunit genes were analyzed. The results showed that the NF-YA family could be divided
153 into three groups: I, II and III. In maize, there were 6, 6 and 4 NF-YA subunit genes in I, II and
154 III, respectively. Among them, *ZmNFYA01* is orthologous to *OsNF-YA2*, *ZmNFYA06* is
155 orthologous to *OsNF-YA5*, *ZmNFYA09* is orthologous to *OsNF-YA6*, *ZmNFYA10* is orthologous
156 to *OsNF-YA4*, *ZmNFYA14* is orthologous to *OsNF-YA1* (Figure 1).

157 The chromosome location information of 16 maize NF-YA subunit genes was obtained
158 from MaizeGDB. It was found that the location of maize NF-YA subunit genes on chromosomes
159 was unevenly distributed. Among them, chromosome 1 contains the largest number (7) of maize
160 NF-YA subunit genes, including *ZmNFYA01*, *ZmNFYA02*, *ZmNFYA03*, *ZmNFYA04*,
161 *ZmNFYA05*, *ZmNFYA06* and *ZmNFYA07*. Chromosome 5 contains 4 maize NF-YA subunit
162 genes: *ZmNFYA11*, *ZmNFYA12*, *ZmNFYA13* and *ZmNFYA14*. Chromosome 2 contains 2 maize
163 NF-YA subunit genes, namely *ZmNFYA08* and *ZmNFYA09*. Chromosomes 3, 7 and 10 contained
164 only 1 maize NF-YA subunit gene, while chromosomes 4, 6, 8 and 9 contained no maize NF-YA
165 subunit gene (Figure 2).

166 **Domain analysis of maize NF-YA subunit genes**

167 The conserved domains of NF-YA subunit genes in maize were analyzed by using the
168 online software SMART. The results showed that among the 16 genes in the NF-YA family, 11
169 genes contained CFBF_NF-YA domain, and *ZmNFYA03*, *ZmNFYA07*, *ZmNFYA11*, *ZmNFYA13*
170 and *ZmNFYA16* had no conserved domain (Figure 3). This domain plays a key role in binding to
171 NF-YB subunit and specifically binds to CCAAT box.

172 **Gene structure analysis of maize NF-YA subunit genes**

173 The gene information of maize NF-YA subunit genes was obtained from MaizeGDB, and
174 the gene structure map was drawn by IBS software. The analysis showed that the lengths of NF-

175 YA subunit gene sequence were quite different, among which *ZmNFYA03*, *ZmNFYA07*,
176 *ZmNFYA11* and *ZmNFYA13* had no 5' UTR and 3' UTR structures, which might be related to
177 their absence of CFBF_NF-YA domain. Introns exist in the 5' UTR of 10 genes except
178 *ZmNFYA02* and *ZmNFYA14* of 12 NF-YA subunit genes (Figure 4).

179 **Tissue expression analysis of maize NF-YA subunit genes**

180 Using the data from NCBI SRA database, the tissue expression levels of 16 maize *NF-YA*
181 subunit genes obtained in the experiment were analyzed. The results showed that the expression
182 of 16 maize *NF-YA* subunit genes in the same tissue at different developmental stages had
183 obvious temporality, and the gene expression levels showed significant differences. The
184 expression of the same gene was different in different tissues at different stages. *ZmNFYA01* was
185 highly expressed at 25 d of embryonic development, and its expression in most tissues was
186 significantly higher than that of other genes, indicating that it plays an important role in maize
187 growth and development. *ZmNFYA14* was highly expressed at 16 d and 25 d of embryonic
188 development. *ZmNFYA08* was highly expressed during embryonic development, endosperm
189 development and seed germination. Low expression of *ZmNFYA02*, *ZmNFYA07* and *ZmNFYA13*
190 was observed in all tissues at all stages (Figure 5).

191 **Expression pattern of maize NF-YA subunit genes under abiotic stress**

192 The expression patterns of *NF-YA* subunit gene in maize under heat, cold, salt, UV and drought
193 were analyzed by NCBI SRA database. The results showed that the gene expression of
194 *ZmNFYA08* was significantly up-regulated under salt and drought stress. The gene expression
195 level of *ZmNFYA11* was significantly up-regulated under salt and drought treatment. *ZmNFYA10*
196 was up-regulated under heat and salt stress. *ZmNFYA02* was significantly down-regulated under
197 heat, salt and UV stress; The expression of *ZmNFYA05*, *ZmNFYA12* and *ZmNFYA14* decreased
198 significantly under heat stress; The expression of *ZmNFYA07* did not change significantly under
199 various stresses, and maintained a low level of expression (Figure 6).

200 **Expression pattern of maize NF-YA subunit genes under biological stress**

201 The expression pattern of *NF-YA* subunit genes in maize during *F. graminearum* infection
202 was analyzed by NCBI SRA database. The results showed that the expression levels of
203 *ZmNFYA01* and *ZmNFYA15* were the highest at 0 h after infection, and then decreased gradually.
204 The expression levels of *ZmNFYA02*, *ZmNFYA04*, *ZmNFYA05*, *ZmNFYA06*, *ZmNFYA08*,
205 *ZmNFYA09*, *ZmNFYA11*, *ZmNFYA12* and *ZmNFYA14* all showed a trend of first decreasing and

206 then increasing. The expression of *ZmNFYA03* and *ZmNFYA10* increased first, then decreased
207 and then increased. The expression of *ZmNFYA16* did not change significantly at 0-48 h after
208 infection, but decreased at 72 h after infection (Figure 7). The results showed that the expression
209 levels of *NF-YA* subunit genes in maize were varied during *F. graminearum* infection, indicating
210 that *NF-YA* subunit genes were involved and played an important role in maize disease
211 resistance.

212 **Subcellular localization prediction of maize NF-YA subunit genes**

213 Using the results of subcellular localization prediction obtained by Plant-mPLOC software,
214 the following subcellular localization prediction tables are summarized. The results showed that
215 *ZmNFYA07* was located in chloroplast and cytoplasm and *ZmNFYA16* was located in
216 mitochondrion and nucleus. In addition, all 14 maize *NF-YA* subunit genes were located in the
217 nucleus, indicating that most transcription factors were located in the nucleus, and revealing that
218 transcription factors also play an important role in mitochondrion and chloroplast (Table 2).

219 **Expression analysis of maize NF-YA subunit genes under hormone treatment**

220 qRT-PCR was used to detect the expression of *NF-YA* subunit genes in maize during
221 salicylic acid and jasmonic acid treatment. The results showed that under salicylic acid
222 treatment, the expression changes of maize *NF-YA* subunit genes were mainly divided into 4
223 categories. The first type was that the expression level increased first and then decreased. The
224 expression levels of *ZmNFYA01*, *ZmNFYA02* and *ZmNFYA15* increased to the highest at 3 h
225 after treatment, and then decreased gradually. The expression of *ZmNFYA03*, *ZmNFYA11*,
226 *ZmNFYA13* and *ZmNFYA14* increased to the highest level at 9 h and then decreased. The second
227 type showed that the expression level decreased first and then increased. The expression levels of
228 *ZmNFYA04* and *ZmNFYA05* reached the lowest at 9 h after treatment and then increased slightly.
229 The expression of *ZmNFYA12* reached the lowest level at 3 h after treatment and then increased
230 to the initial level. The third type was that after salicylic acid treatment, gene expression
231 continued to increase and maintained at high levels such as *ZmNFYA08* and *ZmNFYA16*. The
232 fourth type was the expression level fluctuated after salicylic acid treatment. For example, the
233 expression of *ZmNFYA06* and *ZmNFYA09* decreased first, then increased and then decreased.
234 The expression of *ZmNFYA10* increased first and then decreased to the lowest and then increased
235 (Figure 8A).

236 Under jasmonic acid treatment, the expression changes of maize *NF-YA* subunit genes were
237 mainly divided into three categories. The first type was that after jasmonic acid treatment, the
238 gene expression content increased to the highest level at 3 h and then gradually decreased, Such
239 as *ZmNFYA01*, *ZmNFYA02*, *ZmNFYA04*, *ZmNFYA09*, *ZmNFYA12*, *ZmNFYA13*, *ZmNFYA15* and
240 *ZmNFYA16*. The second type showed that the expression level increased first, then decreased
241 and then increased after treatment. The expression of *ZmNFYA03*, *ZmNFYA06*, *ZmNFYA08* and
242 *ZmNFYA10* reached the highest level at 3 h, decreased to the lowest level at 9 h, and then
243 increased. The third type was that the expression of *ZmNFYA05* and *ZmNFYA11* decreased after
244 jasmonic acid treatment. In addition, the expression of *ZmNFYA14* decreased first, then
245 increased and then decreased after treatment (Figure 8B). It is speculated that *NF-YA* subunit
246 genes were involved in salicylic acid and jasmonic acid signaling pathways and plays an
247 important role in these two signaling pathways.

248 **Prediction of maize NF-YA protein interaction network**

249 In order to identify the function of NF-YA gene, PPI network of NF-YA proteins were
250 constructed by STRING database. All NF-YA proteins were predicted to interact with proteins
251 encoded by GRMZM2G180947_P01, GRMZM2G473152_P01 and GRMZM2G444073_P01
252 (Figure 9). In addition, it was predicted that the GRMZM2G143450_P01 and
253 GRMZM2G099628_P01 might interact with *ZmNFYA16*. These two proteins are probable
254 methionine-tRNA ligase, so *ZmNFYA16* may play a role in translation.

255

256 **Discussion**

257 NF-Y family widely exist in various organisms, such as animals, plants and
258 microorganisms. Compared with mammalian and yeast NF-Y protein research progress is rapid,
259 plant NF-Y family research is slow (Liang et al. 2012). Up to now, the research on NF-Y family
260 is limited to the preliminary bioinformatics comparison between *Arabidopsis thaliana* and
261 related plants, as well as the gene expression analysis and function research based on it. The role
262 of NF-YA subunit genes in the molecular mechanism of maize response to pathogen infection is
263 rarely reported. In this study, we obtained 16 NF-YA subunit genes from MaizeGDB and divided
264 into 3 categories by phylogenetic analysis.

265 NF-YA subunit genes are involved in multiple processes of plant life. *AtNF-YA5* is
266 regulated by miR169, thereby improving the resistance of *Arabidopsis* to drought stress (Li et al.

267 2008). Overexpression of *OsNF-YA7* can improve drought tolerance of rice through an ABA-
268 independent pathway (Lee et al. 2015). *ZmNFYA03* could promote early flowering by binding
269 to the *FT-like12* promoter in maize (Su et al. 2018). In this study, we found that maize NF-YA
270 subunit genes *ZmNFYA01*, *ZmNFYA08* and *ZmNFYA14* were highly expressed during embryonic
271 development (Figure 5), suggesting that these three genes play an important role in maize growth
272 and development. Different maize NF-YA subunit genes showed different expression patterns
273 under heat, cold, salt, UV and drought stress (Figure 6) and *F. graminearum* infection (Figure 7),
274 and showed obvious gene expression changes after SA and JA treatment (Figure 8), indicating
275 that maize NF-YA subunit genes may be involved in maize resistance to abiotic and biotic stress.

276 PPI network predicted analysis indicated all 16 NF-YA proteins interact with
277 GRMZM2G180947_P01, GRMZM2G473152_P01 and GRMZM2G444073_P01 (Figure 9).
278 These 3 proteins were identified and named as ZmNF-YB4 (GRMZM2G180947_P01), ZmNF-
279 YB6 (GRMZM2G473152_P01) and ZmNF-YB9 (GRMZM2G444073_P01) (Zhang et al. 2016).
280 It is consistent with the binding of CFBF_NF-YA, a conserved domain in NF-YA subunit gene
281 mentioned above, to NF-YB subunit. These results laid a foundation for elucidating the function
282 and regulation mechanism of maize NF-YA subunit genes. However, the specific function of
283 NF-YA subunit genes and their relationship with NF-YBs and NF-YCs still need further study.

284

285 **Conclusions**

286 In summary, a total of 16 NF-YA subfamily genes in maize were identified, and the
287 evolutionary relationship, chromosome localization, conserved domains, tissue expression
288 characteristics, subcellular localization, gene expression under biological and abiotic stresses,
289 gene expression under hormone SA and JA treatments were analyzed, and PPI network was
290 predicted. The results were preliminarily determined that maize NF-YA subunit genes play an
291 important role in maize growth and development as well as resistance to biotic and abiotic
292 stresses.

293

294 **References**

- 295 **Ballif J, Endo S, Kotani M, MacAdam J, and Wu Y. 2011.** Over-expression of *HAP3b*
296 enhances primary root elongation in *Arabidopsis*. *Plant Physiology and Biochemistry*
297 **49:579-583** 10.1016/j.plaphy.2011.01.013
- 298 **Benatti P, Basile V, Merico D, Fantoni LI, Tagliafico E, and Imbriano C. 2008.** A balance
299 between NF-Y and p53 governs the pro- and anti-apoptotic transcriptional response.
300 *Nucleic Acids Research* **36:1415-1428** 10.1093/nar/gkm1046
- 301 **Chou K, and Shen H. 2010.** Plant-mPLOC: a top-down strategy to augment the power for
302 predicting plant protein subcellular localization. *PLoS One* **5:e11335**
303 10.1371/journal.pone.0011335
- 304 **Deng W, Wang Y, Liu Z, Cheng H, and Xue Y. 2014.** HemI: a toolkit for illustrating
305 heatmaps. *PLoS One* **9:e111988** 10.1371/journal.pone.0111988
- 306 **Hwang K, Susila H, Nasim Z, Jung J-Y, and Ahn JH. 2019.** *Arabidopsis* ABF3 and ABF4
307 transcription factors act with the NF-YC complex to regulate *SOCI* expression and
308 mediate drought-accelerated flowering. *Molecular Plant* **12:489-505**
309 10.1016/j.molp.2019.01.002
- 310 **Kumar S, Stecher G, and Tamura K. 2016.** MEGA7: Molecular Evolutionary Genetics
311 Analysis version 7.0 for bigger datasets. *Molecular Biology and Evolution* **33:1870-1874**
312 10.1093/molbev/msw054
- 313 **Laloum T, De Mita S, Gamas P, Baudin M, and Niebel A. 2013.** CCAAT-box binding
314 transcription factors in plants: Y so many? *Trends in Plant Science* **18:157-166**
315 10.1016/j.tplants.2012.07.004
- 316 **Larkin MA, Blackshields G, Brown NP, Chenna R, McGettigan PA, McWilliam H,**
317 **Valentin F, Wallace IM, Wilm A, Lopez R, Thompson JD, Gibson TJ, and Higgins**
318 **DG. 2007.** Clustal W and Clustal X version 2.0. *Bioinformatics* **23:2947-2948**
- 319 **Lee D-K, Kim HI, Jang G, Chung PJ, Jeong JS, Kim YS, Bang SW, Jung H, Choi YD, and**
320 **Kim J-K. 2015.** The NF-YA transcription factor *OsNF-YA7* confers drought stress
321 tolerance of rice in an abscisic acid independent manner. *Plant Science* **241:199-210**
322 10.1016/j.plantsci.2015.10.006

- 323 **Li S, Li K, Ju Z, Cao D, Fu D, Zhu H, Zhu B, and Luo Y. 2016.** Genome-wide analysis of
324 tomato NF-Y factors and their role in fruit ripening. *BMC Genomics* **17**:36
325 10.1186/s12864-015-2334-2
- 326 **Li S, Zhang N, Zhu X, Ma R, Liu S, Wang X, Yang J, and Si H. 2021.** Genome-wide analysis
327 of *NF-Y* genes in potato and functional identification of in drought tolerance. *Frontiers in*
328 *Plant Science* **12**:749688 10.3389/fpls.2021.749688
- 329 **Li W, Oono Y, Zhu J, He X, Wu J, Iida K, Lu X, Cui X, Jin H, and Zhu J. 2008.** The
330 *Arabidopsis* NFYA5 transcription factor is regulated transcriptionally and
331 posttranscriptionally to promote drought resistance. *The Plant Cell* **20**:2238-2251
332 10.1105/tpc.108.059444
- 333 **Lian C, Li Q, Yao K, Zhang Y, Meng S, Yin W, and Xia X. 2018.** *Populus trichocarpa* *PtNF-*
334 *YA9*, a multifunctional transcription factor, regulates seed germination, abiotic stress,
335 plant growth and development in *Arabidopsis*. *Frontiers in Plant Science* **9**:954
336 10.3389/fpls.2018.00954
- 337 **Liang M, Hole D, Wu J, Blake T, and Wu Y. 2012.** Expression and functional analysis of
338 *NUCLEAR FACTOR-Y*, subunit B genes in barley. *Planta* **235**:779-791 10.1007/s00425-
339 011-1539-0
- 340 **Liu W, Xie Y, Ma J, Luo X, Nie P, Zuo Z, Lahrmann U, Zhao Q, Zheng Y, Zhao Y, Xue Y,**
341 **and Ren J. 2015.** IBS: an illustrator for the presentation and visualization of biological
342 sequences. *Bioinformatics* **31**:3359-3361 10.1093/bioinformatics/btv362
- 343 **Mantovani R. 1999.** The molecular biology of the CCAAT-binding factor NF-Y. *Gene* **239**:15-
344 27
- 345 **Mei C, Liu Y, Dong X, Song Q, Wang H, Shi H, and Feng R. 2021.** Genome-wide
346 identification and characterization of the potato *IQD* family during development and
347 stress. *Frontiers in Genetics* **12**:693936 10.3389/fgene.2021.693936
- 348 **Mu J, Tan H, Hong S, Liang Y, and Zuo J. 2013.** *Arabidopsis* transcription factor genes *NF-*
349 *YAI*, 5, 6, and 9 play redundant roles in male gametogenesis, embryogenesis, and seed
350 development. *Molecular Plant* **6**:188-201 10.1093/mp/sss061
- 351 **Nardini M, Gnesutta N, Donati G, Gatta R, Forni C, Fossati A, Vonrhein C, Moras D,**
352 **Romier C, Bolognesi M, and Mantovani R. 2013.** Sequence-specific transcription

- 353 factor NF-Y displays histone-like DNA binding and H2B-like ubiquitination. *Cell*
354 **152**:132-143 10.1016/j.cell.2012.11.047
- 355 **Petroni K, Kumimoto RW, Gnesutta N, Calvenzani V, Fornari M, Tonelli C, Holt BF, and**
356 **Mantovani R. 2012.** The promiscuous life of plant NUCLEAR FACTOR Y transcription
357 factors. *The Plant Cell* **24**:4777-4792 10.1105/tpc.112.105734
- 358 **Quach TN, Nguyen HTM, Valliyodan B, Joshi T, Xu D, and Nguyen HT. 2015.** Genome-
359 wide expression analysis of soybean NF-Y genes reveals potential function in
360 development and drought response. *Molecular Genetics and Genomics* **290**:1095-1115
361 10.1007/s00438-014-0978-2
- 362 **Su H, Cao Y, Ku L, Yao W, Cao Y, Ren Z, Dou D, Wang H, Ren Z, Liu H, Tian L, Zheng**
363 **Y, Chen C, and Chen Y. 2018.** Dual functions of ZmNFYA03 in photoperiod-dependent
364 flowering and abiotic stress responses in maize. *Journal of Experimental Botany*
365 **69**:5177-5189 10.1093/jxb/ery299
- 366 **Szklarczyk D, Gable AL, Nastou KC, Lyon D, Kirsch R, Pyysalo S, Doncheva NT, Legeay**
367 **M, Fang T, Bork P, Jensen LJ, and von Mering C. 2021.** The STRING database in
368 2021: customizable protein-protein networks, and functional characterization of user-
369 uploaded gene/measurement sets. *Nucleic Acids Research* **49**:605-612
370 10.1093/nar/gkaa1074
- 371 **Thirumurugan T, Ito Y, Kubo T, Serizawa A, and Kurata N. 2008.** Identification,
372 characterization and interaction of *HAP* family genes in rice. *Molecular Genetics and*
373 *Genomics* **279**:279-289 10.1007/s00438-007-0312-3
- 374 **Tokutsu R, Fujimura-Kamada K, Matsuo T, Yamasaki T, and Minagawa J. 2019.** The
375 CONSTANS flowering complex controls the protective response of photosynthesis in the
376 green alga *Chlamydomonas*. *Nature Communications* **10**:4099 10.1038/s41467-019-
377 11989-x
- 378 **Wang B, Li Z, Ran Q, Li P, Peng Z, and Zhang J. 2018.** *ZmNF-YB16* overexpression
379 improves drought resistance and yield by enhancing photosynthesis and the antioxidant
380 capacity of maize plants. *Frontiers in Plant Science* **9**:709 10.3389/fpls.2018.00709
- 381 **Warpeha KM, Upadhyay S, Yeh J, Adamiak J, Hawkins SI, Lapik YR, Anderson MB, and**
382 **Kaufman LS. 2007.** The GCR1, GPA1, PRN1, NF-Y signal chain mediates both blue
383 light and abscisic acid responses in *Arabidopsis*. *Plant Physiology* **143**:1590-1600

- 384 **Yu T, Liu Y, Fu J, Ma J, Fang Z, Chen J, Zheng L, Lu Z-W, Zhou Y, Chen M, Xu Z, and**
385 **Ma Y. 2021.** The NF-Y-PYR module integrates the abscisic acid signal pathway to
386 regulate plant stress tolerance. *Plant Biotechnology Journal* **19**:2589-2605
387 10.1111/pbi.13684
- 388 **Zhang Z, Li X, Zhang C, Zou H, and Wu Z. 2016.** Isolation, structural analysis, and
389 expression characteristics of the maize nuclear factor Y gene families. *Biochemical and*
390 *Biophysical Research Communications* **478**:752-758 10.1016/j.bbrc.2016.08.020
- 391 **Zhou Y, Zhang Y, Wang X, Han X, An Y, Lin S, Shen C, Wen J, Liu C, Yin W, and Xia X.**
392 **2020.** Root-specific NF-Y family transcription factor, *PdNF-YB21*, positively regulates
393 root growth and drought resistance by abscisic acid-mediated indoleacetic acid transport
394 in *Populus*. *The New Phytologist* **227**:407-426 10.1111/nph.16524
395
396

397 **Figure Legends**

398 **Figure 1** Phylogenetic relationship of NF-YAs in *Arabidopsis*, rice and maize.

399

400 **Figure 2** Chromosomal localization of NF-YAs in maize. Different genes are represented by
401 dots of different colors. Stripes represent gene density.

402

403 **Figure 3** Phylogenetic analysis and domain analysis of NF-YAs in maize. Green box:
404 CBFB_NF-YA domain.

405

406 **Figure 4** Exon–intron organization of NF-YAs in maize. Blue box: UTRs, green box: Exons,
407 black lines: introns.

408

409 **Figure 5** Hierarchical clustering of expression level of *ZmNFYAs* in 32 tissues. Deep color
410 indicates high expression, light color indicates low expression level.

411

412 **Figure 6** Hierarchical clustering of expression level of *ZmNFYAs* under abiotic stress. Deep
413 color indicates high expression, light color indicates low expression level.

414

415 **Figure 7** Hierarchical clustering of expression level of *ZmNFYAs* under biotic stress. Deep color
416 indicates high expression, light color indicates low expression level.

417

418 **Figure 8** Expression changes of *ZmNFYAs* under hormone treatment. Expression changes under
419 SA (A) and JA (B) treatment. Horizontal coordinates represent processing time.

420

421 **Figure 9** The PPI network of *ZmNFYAs* detected by STRING. Empty nodes: proteins of
422 unknown 3D structure, filled nodes: some 3D structure is known or predicted.

423

424 **Table Legends**

425 **Table 1** Physicochemical properties of NF-YA subunit genes in maize.

426

427 **Table 2** Subcellular localization prediction table of maize NF-YA subunit genes.

428

429 **Table S1** qRT-PCR primers for maize *NF-YA* subunit genes.

430

Figure 1

Phylogenetic relationships of NF-YA in *Arabidopsis*, rice and maize.

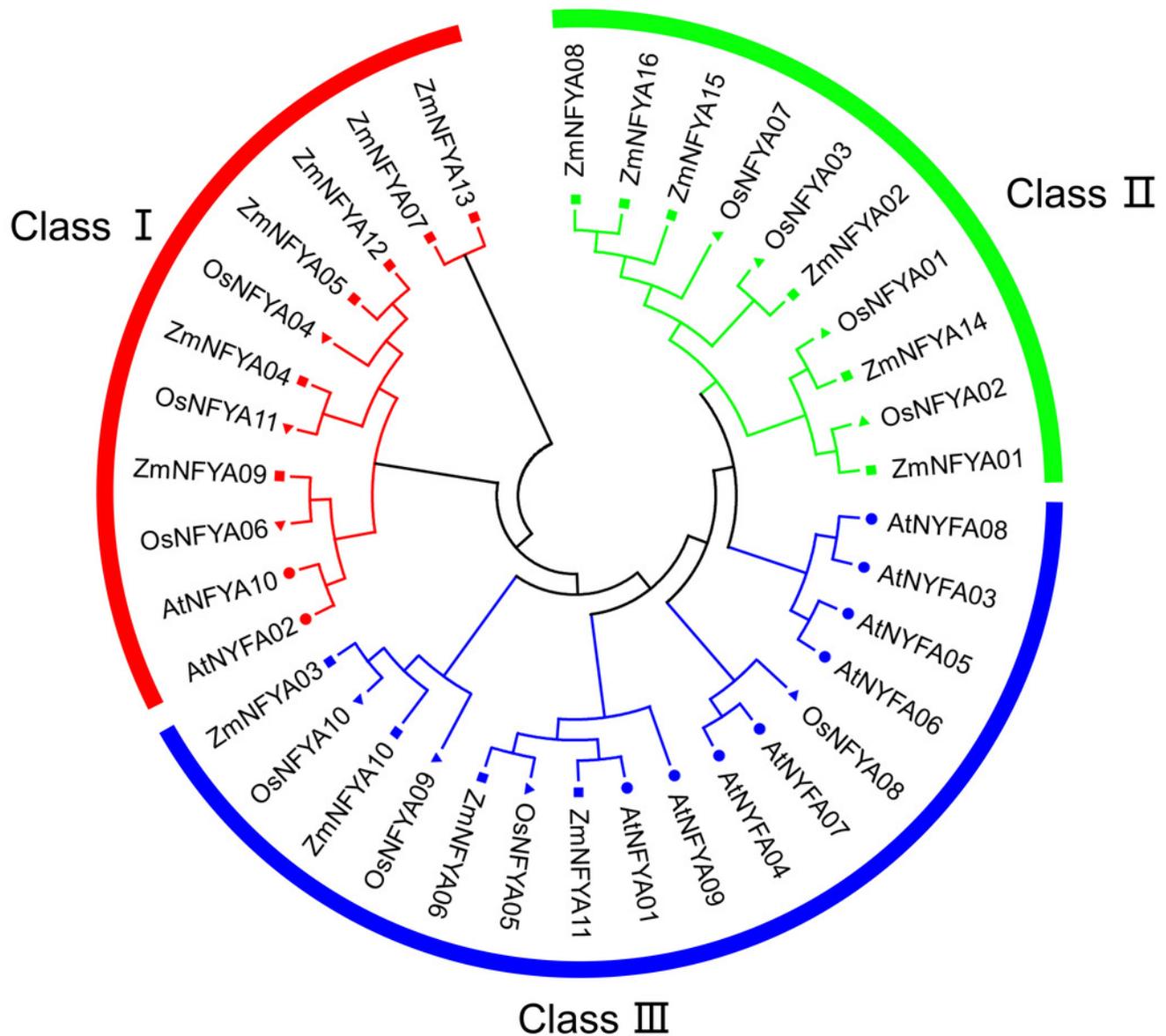


Figure 2

Chromosomal localization of NF-YA in maize.

Different genes are represented by dots of different colors. Stripes represent gene density.

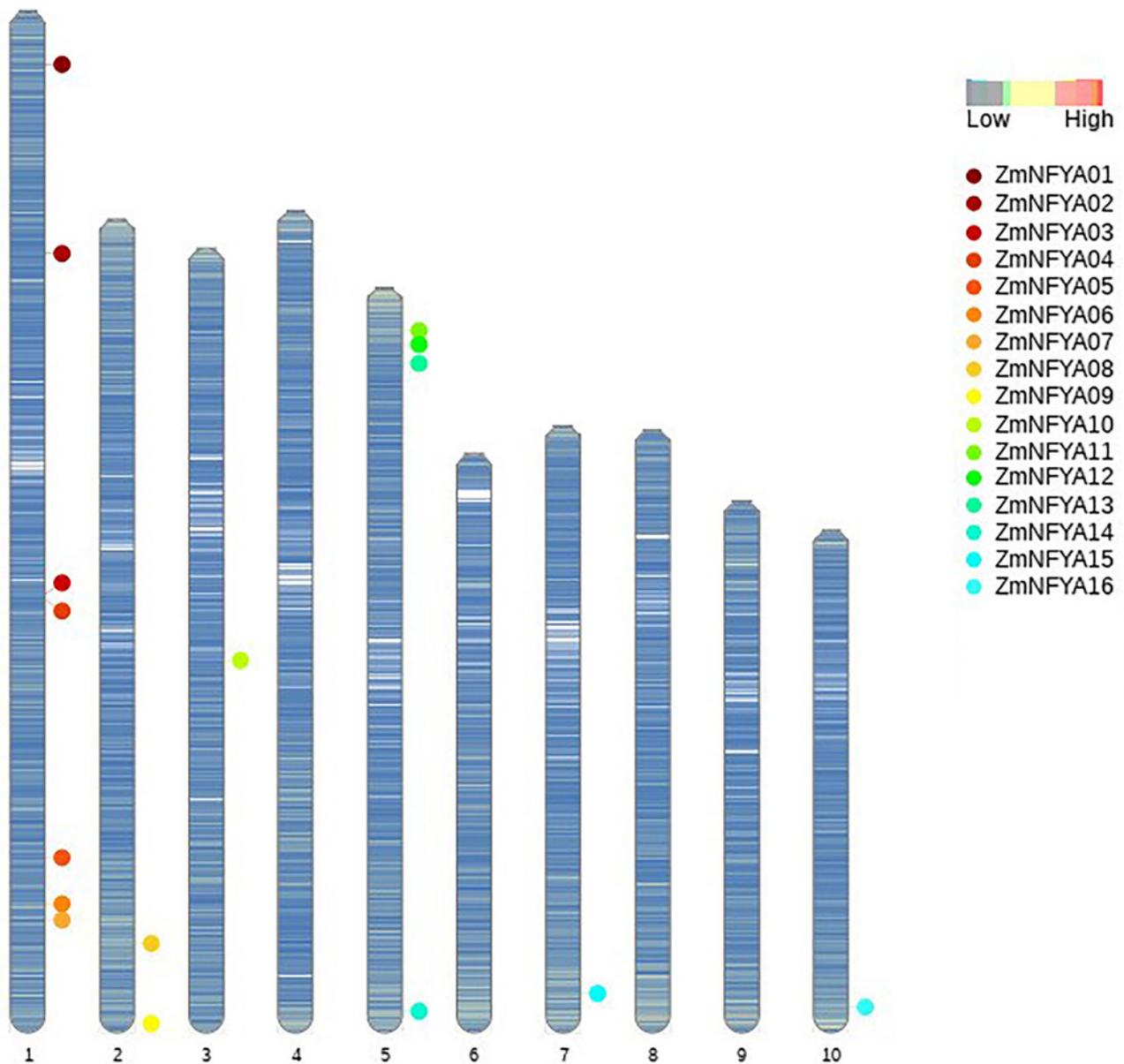


Figure 3

Phylogenetic analysis and domain analysis of NF-YA in maize.

Green box: CBF_{NF-YA} domain.

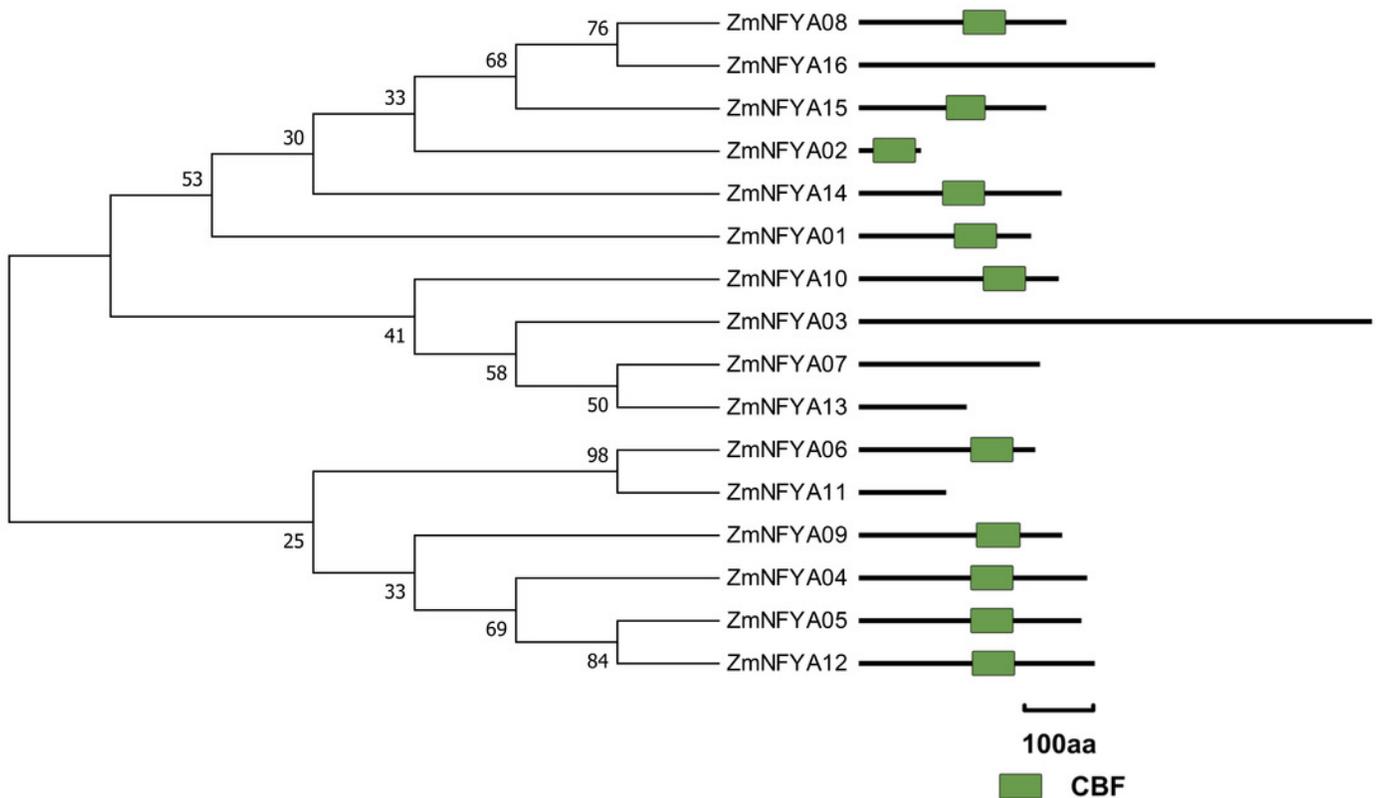


Figure 4

Exon-intron organization of NF-YA in maize.

Blue box: UTRs, green box: Exons, black lines: introns.

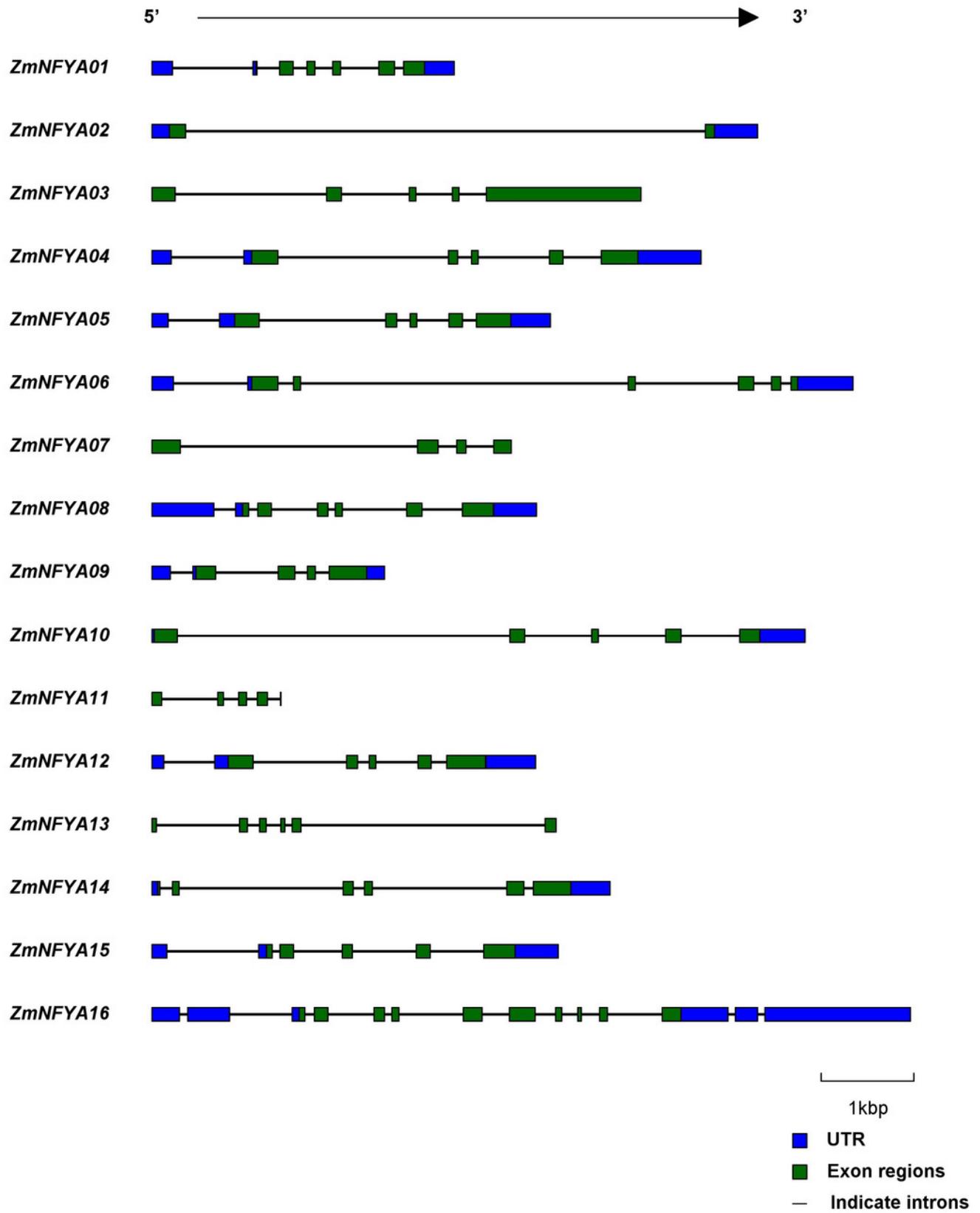


Figure 5

Hierarchical clustering of expression level of *ZmNFYAs* in 32 tissues.

Deep color indicates high expression, light color indicates low expression level.

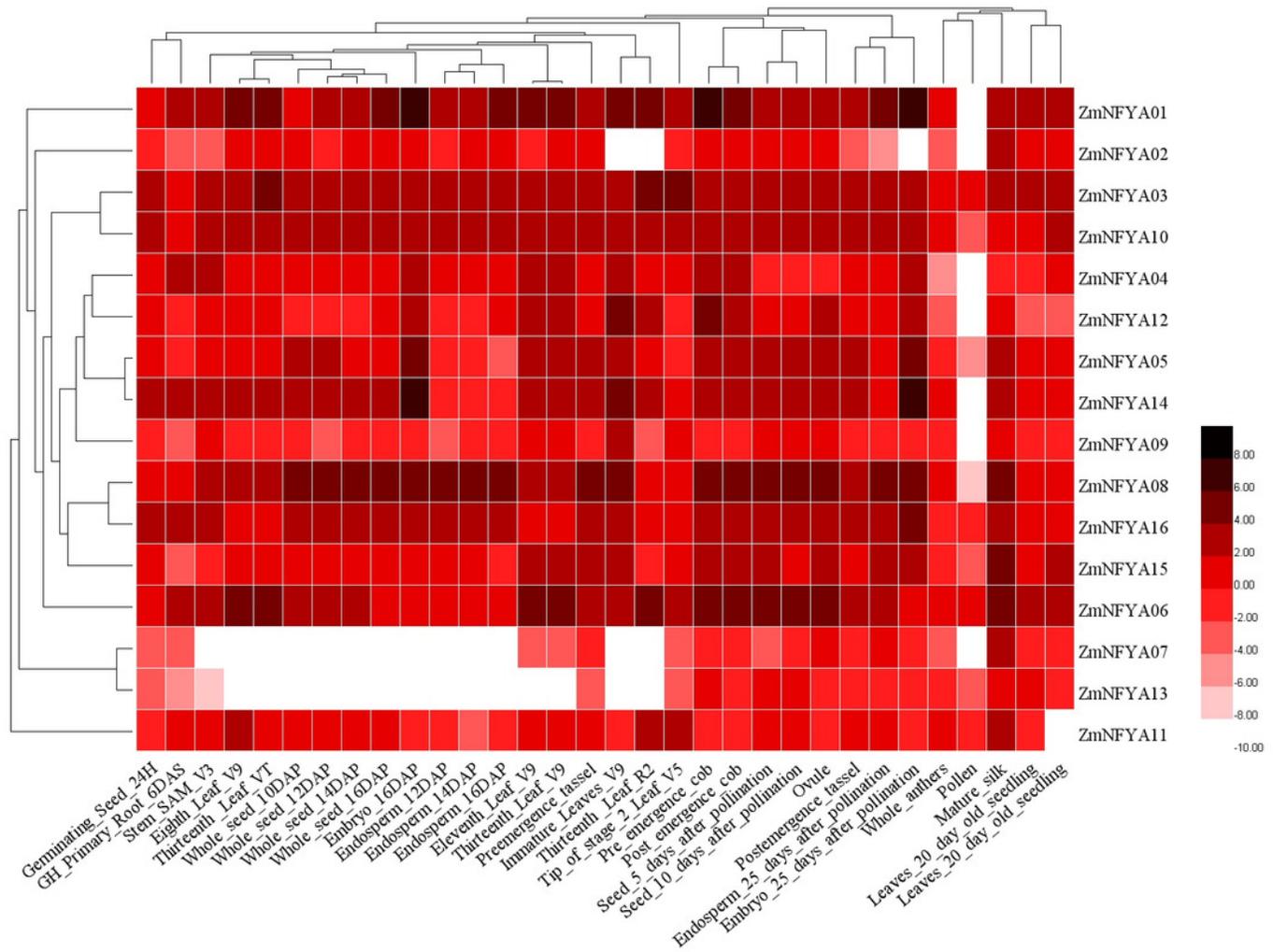


Figure 6

Hierarchical clustering of expression level of *ZmNFYAs* under abiotic stress.

Deep color indicates high expression, light color indicates low expression level.

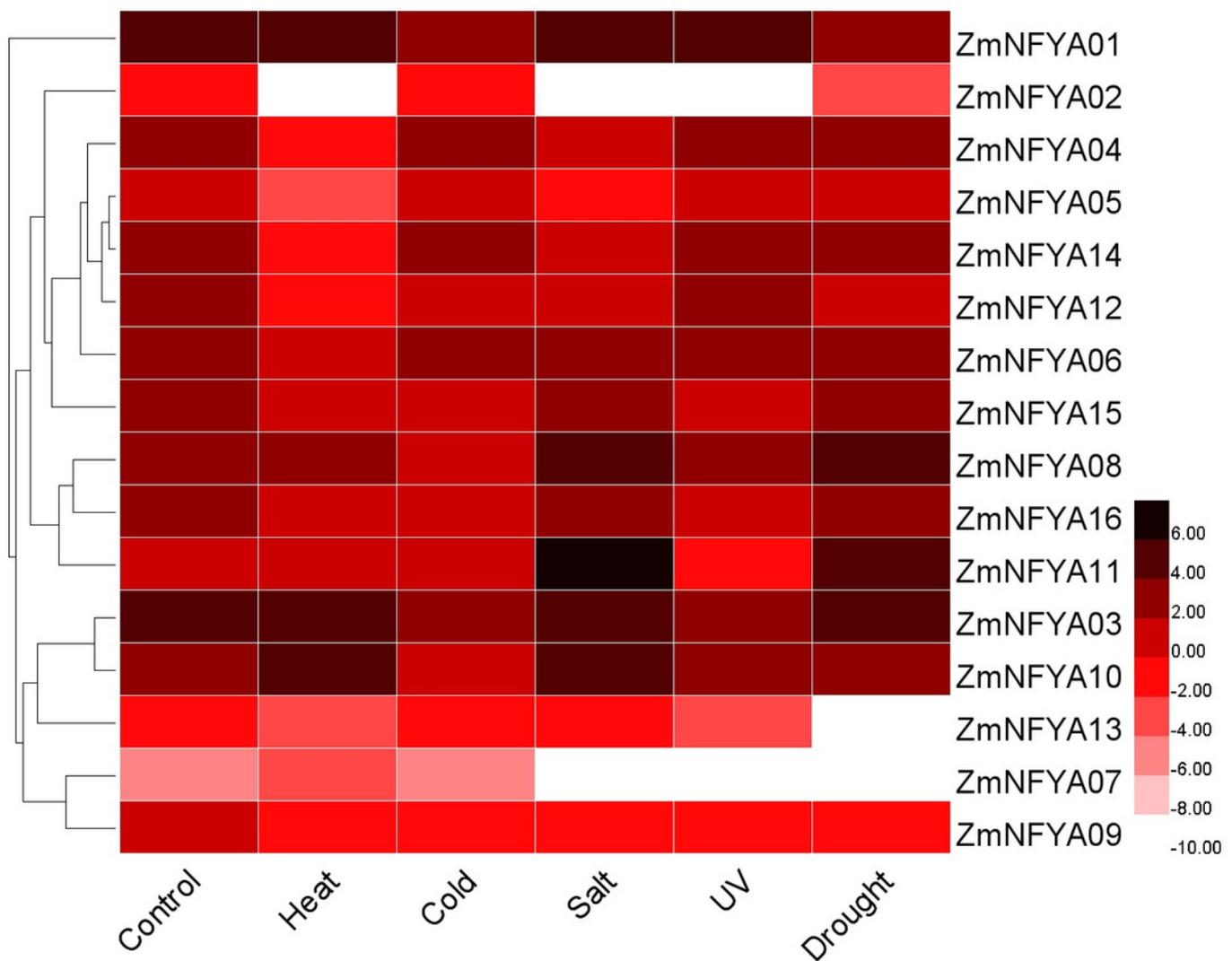


Figure 7

Hierarchical clustering of expression level of *ZmNFYAs* under biotic stress.

Deep color indicates high expression, light color indicates low expression level.

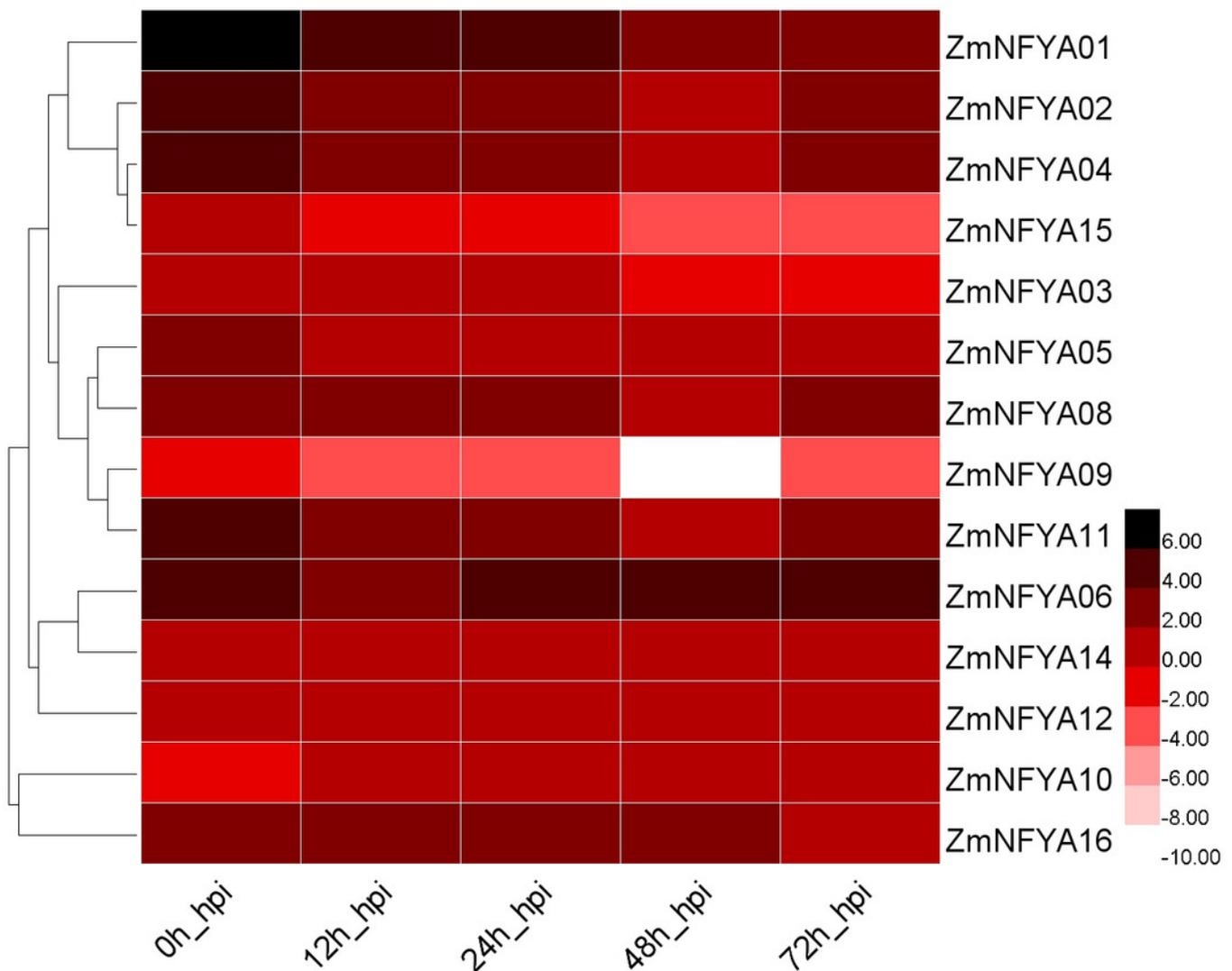
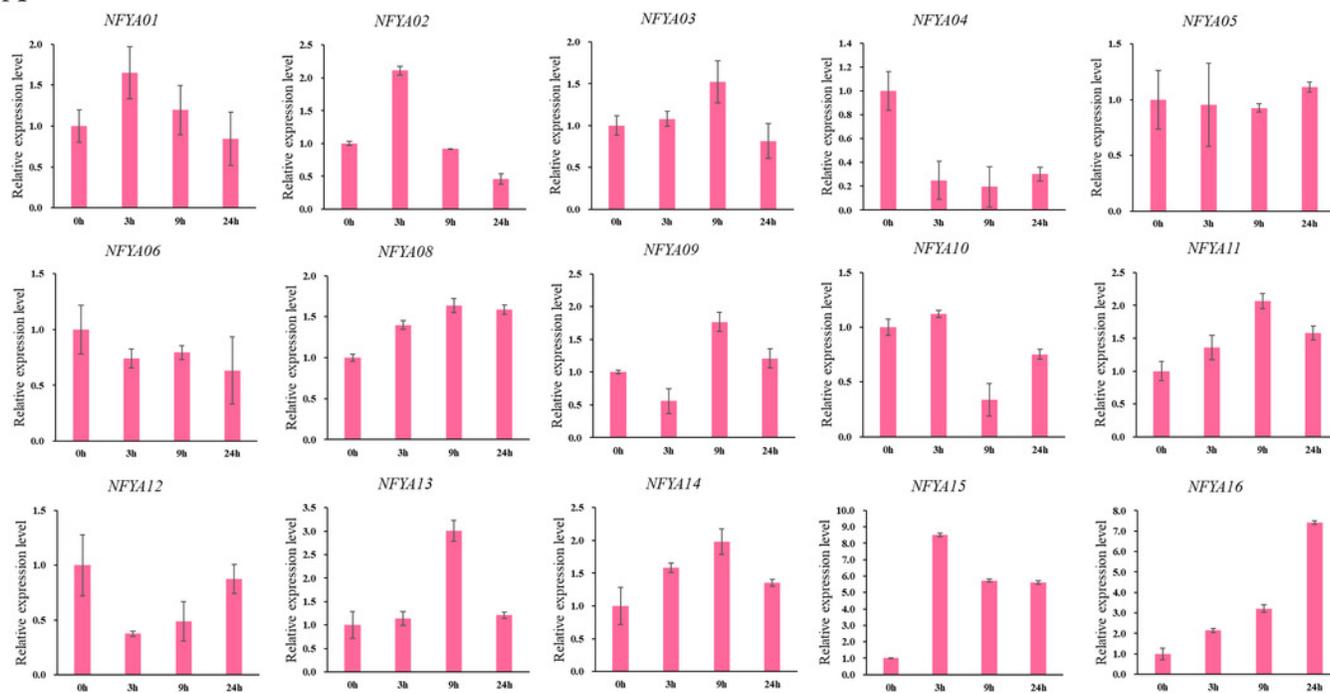


Figure 8

Expression changes of *ZmNFYAs* under hormone treatment.

Expression changes under SA (A) and JA (B) treatment. Horizontal coordinates represent processing time.

A



B

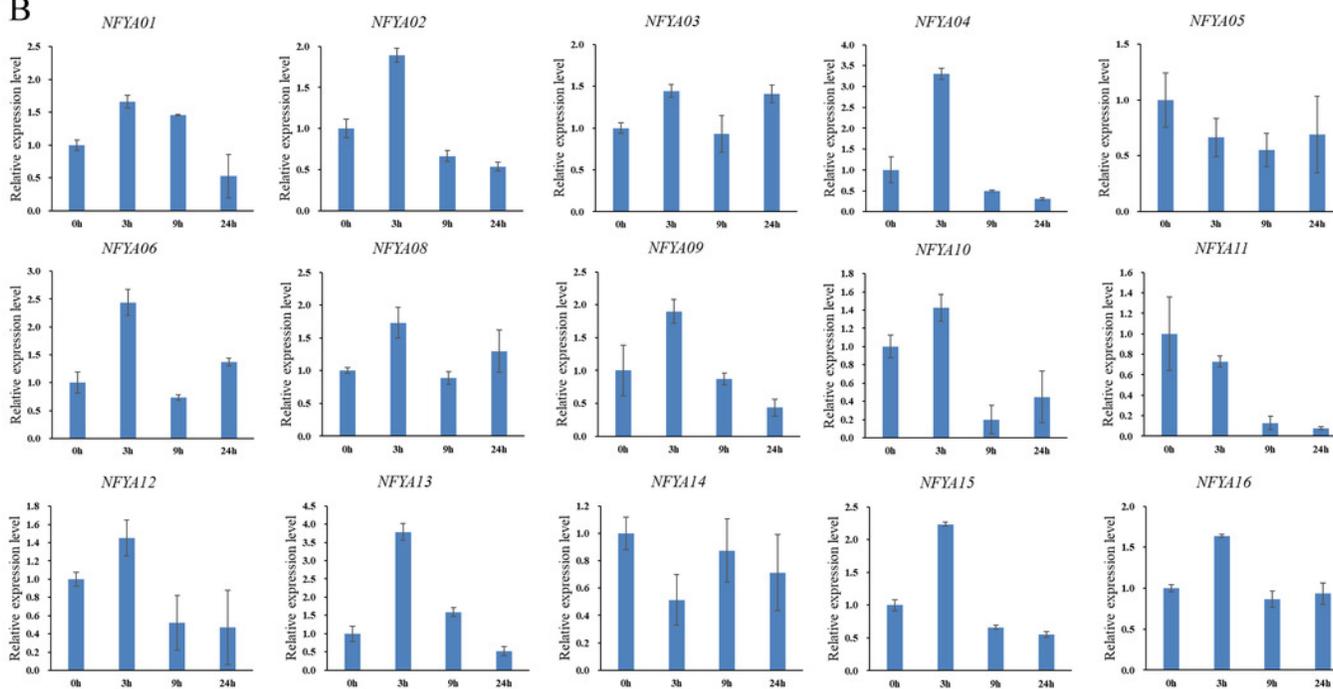


Figure 9

The PPI network of ZmNFYAs detected by STRING.

Empty nodes: proteins of unknown 3D structure, filled nodes: some 3D structure is known or predicted.

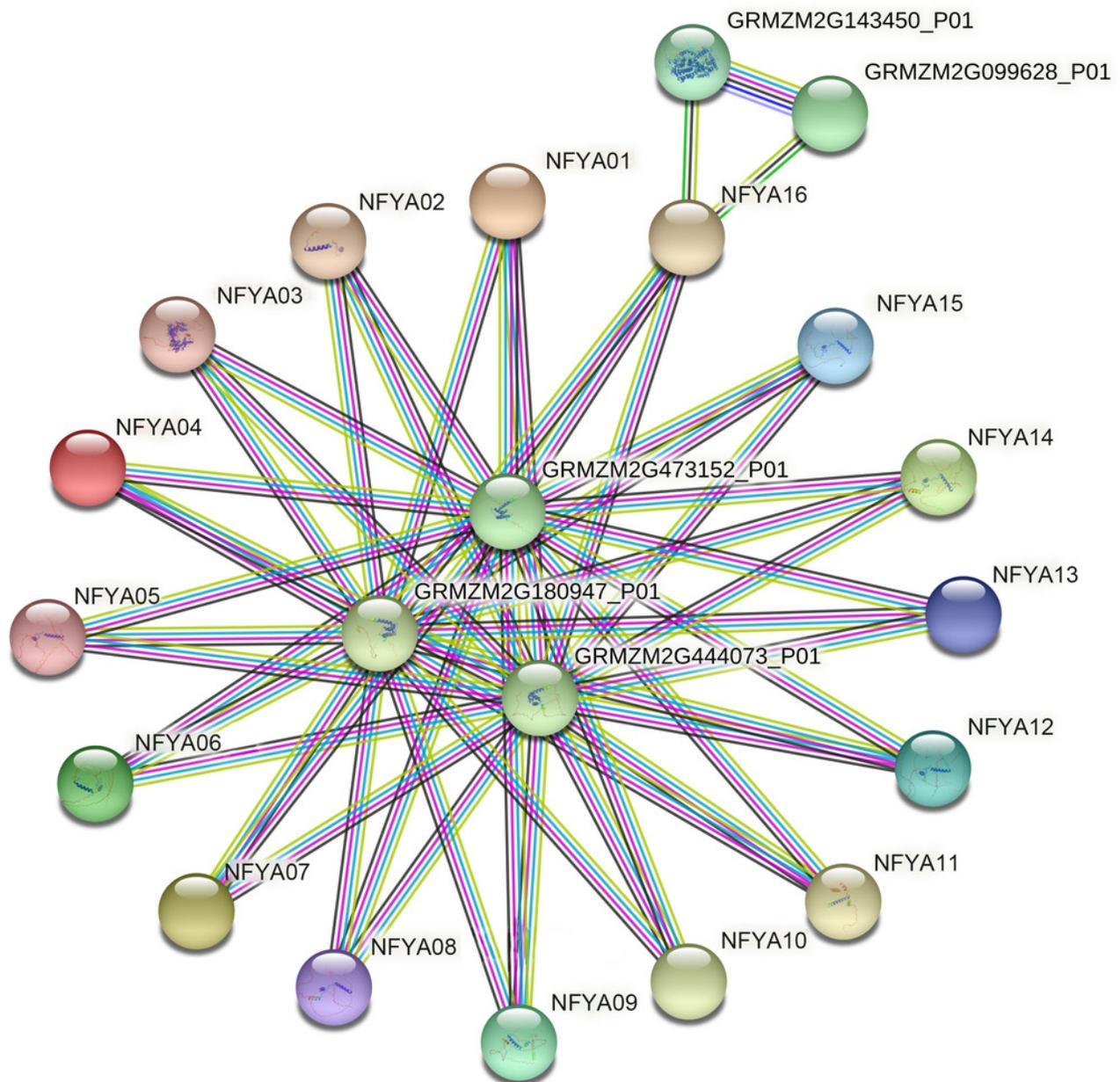


Table 1 (on next page)

Physicochemical properties of NF-YA subunit genes in maize.

Gene ID	Gene name	Chr	Strat	End	AA	MW (Da)	pI
Zm00001d027874	<i>ZmNFYA01</i>	1	16042002	16038734	249	27207.16	8.96
Zm00001d029489	<i>ZmNFYA02</i>	1	72880539	72887083	90	10062.62	11.87
Zm00001d031063	<i>ZmNFYA03</i>	1	175269072	175263789	742	85041.59	9.42
Zm00001d031092	<i>ZmNFYA04</i>	1	176875893	176869959	330	35231.81	8.93
Zm00001d033215	<i>ZmNFYA05</i>	1	254416539	254420845	322	34122.58	9.61
Zm00001d033602	<i>ZmNFYA06</i>	1	268308237	268315814	255	26775.89	9.52
Zm00001d033773	<i>ZmNFYA07</i>	1	273183116	273187001	262	29076.26	9.80
Zm00001d006835	<i>ZmNFYA08</i>	2	217600202	217595882	300	32759.35	9.02
Zm00001d007882	<i>ZmNFYA09</i>	2	241675483	241672970	294	31336.04	9.78
Zm00001d041491	<i>ZmNFYA10</i>	3	123767258	123760201	289	31009.56	9.39
Zm00001d013501	<i>ZmNFYA11</i>	5	12927450	12924588	126	14415.92	10.90
Zm00001d013676	<i>ZmNFYA12</i>	5	17050121	17054266	341	35736.46	9.40
Zm00001d013856	<i>ZmNFYA13</i>	5	22800236	22795868	156	16876.48	9.64
Zm00001d018255	<i>ZmNFYA14</i>	5	217466991	217462041	293	31907.09	10.31
Zm00001d022109	<i>ZmNFYA15</i>	7	170564261	170559870	271	29607.50	6.63
Zm00001d026305	<i>ZmNFYA16</i>	10	143264094	143272292	428	48253.63	6.16

1

2

3

Table 2 (on next page)

Subcellular localization prediction table of maize NF-YA subunit genes.

1

Gene name	Predicted location(s)
<i>ZmNFYA01</i>	Nucleus
<i>ZmNFYA02</i>	Nucleus
<i>ZmNFYA03</i>	Nucleus
<i>ZmNFYA04</i>	Nucleus
<i>ZmNFYA05</i>	Nucleus
<i>ZmNFYA06</i>	Nucleus
<i>ZmNFYA07</i>	Chloroplast, Cytoplasm
<i>ZmNFYA08</i>	Nucleus
<i>ZmNFYA09</i>	Nucleus
<i>ZmNFYA10</i>	Nucleus
<i>ZmNFYA11</i>	Nucleus.
<i>ZmNFYA12</i>	Nucleus.
<i>ZmNFYA13</i>	Nucleus
<i>ZmNFYA14</i>	Nucleus
<i>ZmNFYA15</i>	Nucleus
<i>ZmNFYA16</i>	Mitochondrion, Nucleus

2