

Pathogenic strains of *Shewanella putrefaciens* contain plasmids that are absent in the probiotic strain Pdp11

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Shewanella putrefaciens Pdp11 is a strain described as a probiotic for use in aquaculture. However, *S. putrefaciens* includes strains reported to be pathogenic or saprophytic to fish. Although the probiotic trait has been related to the presence of a group of genes in its genome, the existence of plasmids that could determine the probiotic or pathogenic character of this bacterium is unknown. In the present work, we searched for plasmids in several strains of *S. putrefaciens* that differ in their pathogenic and probiotic character. Under the different conditions tested, plasmids were only found in two of the five pathogenic strains, but not in the probiotic strain nor in the two saprophytic strains tested. Using a workflow integrating Sanger and Illumina reads, the complete consensus sequences of the plasmids were obtained. Plasmids differed in one ORF and encoded a putative replication initiator protein of the repB family, as well as proteins related to plasmid stability and a toxin-antitoxin system. Phylogenetic analysis showed some similarity to functional repB proteins of other *Shewanella* species. The implication of these plasmids in the probiotic or pathogenic nature of *S. putrefaciens* is discussed.

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40 Abstract

41 *Shewanella putrefaciens* Pdp11 is a strain described as a probiotic for use in aquaculture. However,
42 *S. putrefaciens* includes strains reported to be pathogenic or saprophytic to fish. Although the
43 probiotic trait has been related to the presence of a group of genes in its genome, the existence of
44 plasmids that could determine the probiotic or pathogenic character of this bacterium is unknown.
45 In the present work, we searched for plasmids in several strains of *S. putrefaciens* that differ in
46 their pathogenic and probiotic character. Under the different conditions tested, plasmids were only
47 found in two of the five pathogenic strains, but not in the probiotic strain nor in the two saprophytic
48 strains tested. Using a workflow integrating Sanger and Illumina reads, the complete consensus
49 sequences of the plasmids were obtained. Plasmids differed in one ORF and encoded a putative
50 replication initiator protein of the repB family, as well as proteins related to plasmid stability and
51 a toxin-antitoxin system. Phylogenetic analysis showed some similarity to functional repB proteins
52 of other *Shewanella* species. The implication of these plasmids in the probiotic or pathogenic
53 nature of *S. putrefaciens* is discussed.

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56 Introduction

57 Probiotics are live microorganisms that confer a health benefit to the host when administered in
58 adequate amounts (Sharifuzzaman and Austin 2017). Nowadays, their use is increasingly frequent
59 in various sectors such as veterinary, food biotechnology, human health (Sonnenborn and Schulze
60 2009), or the growing agro-industrial sector (FAO 2020), which includes the aquaculture industry.
61 Probiotics are widely used in aquaculture species with the aim of increasing the health and
62 productivity of farmed fish as an important source of animal protein (Assefa and Abunna 2018).
63 Several probiotics have been characterized and applied in fish, and some of them are of host origin
64 (Seegers, Bui, and de Vos 2021). Such is the case of *Shewanella putrefaciens* Pdp11 strain isolated
65 from skin mucosa of healthy gilthead seabream (*Sparus aurata* L.) (Chabrilón et al. 2005; Díaz-
66 Rosales et al. 2009) that has been described as a probiotic for farmed fish species such as *Solea*
67 *senegalensis* and *Sparus aurata* (Sáenz de Rodríguez et al. 2009; García de La Banda et al. 2010;
68 Tapia-Paniagua et al. 2010; Lobo et al. 2014; Cordero et al. 2015). However, *Shewanella*
69 *putrefaciens* has been associated with diseases in common carp (*Cyprinus carpio* L.) (Paździor

70 2016), rainbow trout (*Oncorhynchus mykiss*) (Paździor, Pękala-Safińska, and Wasyl 2019) and eel
71 (*Anguilla anguilla* L.) (Esteve, Merchán, and Alcaide 2017). Therefore, different strains from the
72 same species can be pathogenic or beneficial, as has been shown for *Escherichia coli* (Sonnenborn
73 and Schulze 2009), *Bacillus cereus* (Cui et al. 2019) or *Vibrio proteolyticus* (Bowden, Bricknell,
74 and Preziosi 2018; Medina, Moriñigo, and Arijó 2020), among others. In order to consider a
75 microorganism as a good candidate for probiotic, each specific strain must be thoroughly analysed
76 (Santos et al. 2020). A good probiotic must meet a series of essential requirements such as the
77 ability to compete, adhere, persist and survive in the conditions of the intestinal tract (Ghattargi et
78 al. 2018). At the same time, probiotics should show absence of virulence factors and multidrug
79 resistance, as undesirable pathogenic traits may appear due to different factors, such as intensive
80 mixed breeding (Marcos-López et al. 2010), mutation and recombination via horizontal gene
81 transfer (Wijegoonawardane et al. 2009), among others. Conventionally, phenotypic, molecular
82 and bioinformatic methods are used to identify genes of interest (virulence/resistance,
83 beneficial...) (Quainoo et al. 2017) that may reside either in the bacterial genome or in plasmids
84 or both. Thus, the presence of a group of 15 genes, mostly related to probiotic traits was specifically
85 found in the genome of the probiotic strain *S. putrefaciens* Pdp11, but not in the pathogenic strains
86 SH4, SH6, SH9, SH12 and SH16, nor in the saprophytic strains SdM1 and SdM2 (Seoane et al.
87 2019). Plasmids normally include variable repertoires of ‘accessory genes’, such as those coding
88 for antibiotic resistance and virulence factors. They also include ‘backbone’ loci, largely conserved
89 within plasmid families (Orlek et al. 2017), such as those involved in key plasmid specific
90 functions (e.g., replication, stable inheritance, mobility) (Conlan et al. 2014; Giess et al. 2016) .
91 Therefore, plasmid characterization could help to discern whether a microorganism is pathogenic
92 or beneficial (Santos et al. 2020).

93 In the present work, we searched for plasmids in several strains of *S. putrefaciens* that differed in
94 their pathogenic or probiotic character. Plasmids were only found in two of the five pathogenic
95 strains and not in the probiotic or the two saprophytic strains tested. Using a workflow integrating
96 Sanger and Illumina sequences, the complete consensus sequences of these plasmids were
97 obtained. Sequence analysis showed that the plasmids encoded a putative replication initiator
98 protein of the repB family, and proteins related to plasmid stability and to a toxin-antitoxin system.
99 Phylogenetic analysis showed similarity with other *Shewanella* species in functional repB proteins.

100 The implication of these plasmids in the probiotic or pathogenic nature of *S. putrefaciens* is
101 discussed.

102

103 **Materials & Methods**

104 *Shewanella putrefaciens* strains SH4, SH6, SH9, SH12 and SH16 isolated from diseased eels were
105 kindly provided by Dra. Esteve C. from the University of Valencia (Valencia, Spain) (Esteve,
106 Merchán, and Alcaide 2017). Two saprophytic isolates (SdM1 and SdM2) of *S. putrefaciens* were
107 obtained from environmental sources (Seoane et al. 2019) and one probiotic strain, *S. putrefaciens*
108 Pdp11 CECT 7627, was isolated from the skin of healthy *Sparus aurata* L. (Díaz-Rosales et al.
109 2009b).

110 All *S. putrefaciens* strains were grown on tryptic soy agar plates (Oxoid Ltd., Basingstoke, UK)
111 supplemented with NaCl (1.5%) (TSAs) for 24 h at 23 °C. Then, one or two colonies of each strain
112 were picked and grown in 10 mL tubes of tryptic soy broth (Oxoid Ltd., Basingstoke, UK) added
113 with NaCl (1.5%) (TSBs) for 24 h at 23 °C on shaking at 80 rpm (ELMI DOS-20M Digital Orbital
114 Shaker, USA). Given the possibility that, if present, plasmids could be integrated into the bacterial
115 chromosome, strains were cultured under different growth conditions (temperature, incubation
116 time, growth medium and freeze-thaw) to favour their excision. For this, one or two colonies of
117 each strain were picked and cultured in 10 mL tubes of TSBs and minimal (M9) media, and
118 incubated at 23°C or 4°C for 24h and 48 h on shaking at 80 rpm. Cultures inoculated in parallel
119 with TSBs medium containing glycerol (20 %) were subjected to a freeze-thaw cycle (Pesaro et
120 al. 2003) for 24 h at -80 °C prior to incubation.

121

122 **Plasmid DNA isolation**

123 One or two colonies of the *S. putrefaciens* strains grown under different growth conditions were
124 picked from the pure culture and grown in 10 mL of TSBs (Oxoid Ltd., Basingstoke, UK) for 24
125 h at 23 °C under agitation at 80 rpm (ELMI DOS-20M Digital Orbital Shaker). As a positive control,
126 *Escherichia coli* V157, a strain harboring seven plasmids (Macrina et al. 1978), was grown on
127 Luria-Bertani agar plates (LB) (Becton, Dickson and Company, Le Pont de Claix, France) for 24
128 h at 37 °C. The cultures were centrifuged at 8000 x g for 5 min and the pellet was used for plasmid
129 DNA (pDNA) isolation using the GeneJet Plasmid Miniprep kit (Thermo Fisher, USA) following
130 the manufacturer's protocol. Plasmid DNA integrity was checked by agarose gel (0.8 %, w/v)

131 electrophoresis in the presence of RedSafe nucleic acid staining solution (InTRON
132 Biotechnology). The 0.2-10 kb Hyperladder molecular weight marker (Bioline, USA) was used to
133 check plasmid size. The pDNA was stored at -20 °C for further processing.

134

135 **Plasmid DNA amplification and sequencing**

136 Rolling circle amplification (RCA) was performed using the TempliPhi 100 amplification kit (GE
137 Healthcare, USA) for each isolated plasmid following the manufacturer's instructions. As a
138 positive control for the reaction, pUC19 was used. DNA from each RCA-amplified plasmid was
139 digested with EcoRI and EcoRV (Takara, USA), separately. They were then ligated employing T4
140 DNA ligase (Thermo Fisher Scientific, USA) to pBluescript SK (+) (pBSK S+) (Addgene, UK)
141 previously treated with shrimp phosphatase alkaline (New England Biolabs, EEUU). Plasmid
142 DNA was transformed into CaCl₂-treated *E. coli* DH5α competent cells, as described by Sambrook
143 et al. 1989. Recombinant bacteria were selected using plates containing ampicillin (100 µg/ml)
144 and X-gal (20 µg/ml), and colonies resuspended in 50 µl of DEPC-Water (Sigma) and tested by
145 PCR amplification. Reaction mixture contained 2 µl of bacterial suspension, 62,5 U of Taq
146 Accustart II Trough Mix (Boimerieux, France), 20 pmol of M13R primer 5'-
147 CAGGAAACAGCTATGAC-3' and 20 pmol of M13F primer 5'-TGTAACACGACGGCCAGT-
148 3' in a final volume of 10 µL. The PCR program was: (1) 94 °C 3 min, (2) 94 °C 30 s, (3) 50 °C
149 30 s, (4) 72 °C 1 min/kb. Steps (2) to (4) were repeated during 35 cycles. Amplicons were checked
150 by agarose electrophoresis gel as above. PCR products were sent to Macrogen (Madrid, Spain) for
151 Sanger sequencing.

152

153 **Plasmid DNA sequence assembly**

154 Plasmids were assembled *de novo* using a workflow integrating Sanger and Illumina reads
155 (Chevreux 2005; Bankevich et al. 2012). Plasmid-specific Illumina sequences used here were
156 obtained by Seoane et al. 2019 using a sequencing library with the Nextera protocol for 2 x 300
157 bp PE (raw reads available in BioProject PRJNA510237).

158 First, the Sanger plasmid sequences were pre-processed with BBDuk (Bushnell 2015; Kechin et
159 al. 2017) a tool developed for quality filtering and adapter trimming using k-mers matching, where
160 k-mers are substrings of length “k” contained in the original nucleotide sequence. To remove the
161 sequence of the pBSK S+ vector, different values of the two clean-up parameters k and hdist were

162 applied, where “k” is the length of the k-mers and “hdist” is the “hamming distance” between two
163 k-mers. We used a k=20 and hdist=1 for pSH12 and a k=22 and hdist=2 for pSH4 (Fig. 1A). Two
164 sets of vector-free Sanger reads were generated when quality trimming with BBDuk was applied
165 using “trimq” parameter. This parameter is the minimum average quality required in a sequence
166 window, if the average is below the threshold, the nucleotides are trimmed from the read. For each
167 plasmid, a low (10) and a high (17) stringency quality values were applied. The low stringency
168 threshold generate longer reads that are used to capture Illumina reads from the BioProject
169 PRJNA510237, denoted as Capture read set (Fig. 1B). With the high stringency threshold, a high
170 quality read set for the final assembly is generated, denoted as Assembly read set (Fig. 1C).
171 Next, Illumina plasmid reads were captured with the sanger Capture read set using bowtie 2
172 (Langmead and Salzberg 2012; Langmead et al. 2017). These reads were identified and
173 subsequently extracted with Samtools (Li et al. 2009) by selecting pairs of Illumina reads in which
174 at least one member had aligned to the Capture read set (Fig. 1D). Then, Sanger-Illumina hybrid
175 assembly was performed with two assemblers, Mira (Chevreux 2005) and Spades (Bankevich et
176 al. 2012) using default parameter values. The aforementioned Illumina reads and the Assembly
177 read set, obtaining two possible and complete pDNA assemblies per strain (Fig. 1E). The two
178 sequences per strain were recircularized by the MARS method (Ayad and Pissis 2017) (Fig. 1F)
179 to make possible the next step. The plasmid sequences of each strain, they were aligned by the
180 Clustal method (Sievers and Higgins 2014) of the Seaview program (Galtier, Gouy, and Gautier
181 1996) (Fig. 1G), leading to a unique plasmid consensus sequence per strain.

182

183 **Assembly validation**

184 Alignment of the sequence set of SH4 and SH12 plasmids using Mira and Spades programs
185 revealed the presence of unreliable regions in both plasmids. Validation was carried out by PCR
186 and primers were designed for each non-validated plasmid sequence using Primer3 (Untergasser
187 et al. 2012). The composition of the reaction mixture was done as above, except that 10 pmol of
188 the primers pSH4_R 5'<CGGATTGAATGGCTGGCTGGACTG>3' (reverse) and pSH4_F
189 5'<ATACCAAACGCCACAGAG>3' (direct) were used to validate the assembly of pSH4, and of
190 the primers pSH12_R 5'<GGCTCCACCCTTACCCAAAAA>3' (reverse) and pSH12_F
191 <5'GCGAGCCCCTCCATGATTTT>3' (direct) to validate the assembly of pSH12. The PCR
192 program was: (1) 94°C 3 min, (2) 94°C 30 s, (3) 66°C 30 s, (4) 72°C 1 min/kb, with 35 repeat cycles

193 of steps (2) through (4). PCR products were checked by agarose gel electrophoresis using the 100
194 bp molecular weight marker Hyperladder (Bioline, USA). Finally, the plasmid assemblies of
195 strains SH4 and SH12 were mapped back to the Sanger assembly read set sequence, the Illumina
196 sequences captured from Sanger capture read set sequence and the PCR product obtained from
197 validation.

198

199 **Plasmid annotation and functional characterization**

200 Once complete consensus sequences were obtained for each plasmid, sequences were searched for
201 on the PLSDB web server (Galata et al. 2019), a resource containing numerous plasmid records
202 collected from the NCBI nucleotide database. Next, gene prediction was performed for annotation
203 of bacterial operons and open reading frames (ORFs) using Softberry Software
204 (<http://www.softberry.com/berry.phtml>) including the fgenesB tool (Solovyev et al. 2011) with
205 “Bacterial generic” as the closest organism; the Prokaryotic GeneMark. Hmm version 2 program
206 (Besemer, Lomsadze, and Borodovsky 2001) with “*Shewanella putrefaciens* CN_32” as the
207 selected species. Consensus sequences were also analysed with ORF finder at NCBI website
208 (<https://www.ncbi.nlm.nih.gov/orffinder/>) with the search parameters: 150 nucleotides of minimal
209 ORF length, genetic code “Bacterial, Archaeal and Plant Plastid”, and “ATG and alternative
210 initiation codons” as ORF start codon to be used. For each of the identified ORFs, their amino acid
211 sequence was obtained and queried in blastp (NCBI), to obtain clues about the ORF functions
212 (Altschul et al. 1990; Gish and States 1993). PHYRE 2 version 2.0, was also used for subsequent
213 protein prediction and modelling (Kelley et al. 2015). Finally, Dfast (Tanizawa, Fujisawa, and
214 Nakamura 2018) was used for ORF protein identification and functional annotation. Plasmid maps
215 were drawn with pDRAW32 version 1.1.146 (AcaClone software) (<https://www.acaclone.com/>).

216

217 **Plasmid to genome comparison**

218 To detect the degree of similarity between the genomes of the SH4 and SH12 strains and their
219 respective plasmids, a comparison between them was carried out using Sibelia (Minkin et al.
220 2013). The complete putative pDNA sequences and their corresponding ORFs were also searched
221 by blastn against the probiotic genome and all non-probiotic strains (NPS) genomes previously
222 described by Seoane et al. 2019.

223

224 **Phylogenetic study**

225 The repB protein sequences of pSH12 and pSH4 were searched in Blast (NCBI). The repB protein
226 sequences of plasmids from different bacterial species were downloaded in FASTA format in a
227 single export file, and alignment was performed by the Clustal method (Sievers and Higgins 2014).
228 A phylogenetic tree was constructed by PhyML employing the Maximum Likelihood method
229 (AWF Edwards 1964) using Seaview software (Galtier, Gouy, and Gautier 1996).

230

231 **Ethical statement**

232 To conduct the research, we used bacterial strain *Shewanella putrefaciens* (strains SH4, SH6, SH9,
233 SH12, SH16, SdM1, SdM2 and Pdp11), which is not considered a human or animal sample.
234 Therefore, no ethics approval was needed, and no informed consent was required.

235

236 **Results**

237 **Identification of plasmids**

238 *S. putrefaciens* strains SH4, SH6, SH12, SH16, SH19, Pdp11, SdM1 and SdM2 were analysed to
239 confirm the presence or absence of plasmids under different growth conditions (Table S1). After
240 growth under optimal conditions, only SH4 and SH12 strains were found to contain one plasmid
241 around 3 kb in size (Fig. 2). The same results were obtained when other growth conditions were
242 used (Table S1). *E. coli* V157 strain, used as a positive control, showed all seven plasmids
243 previously described in the literature (Macrina et al. 1978).

244

245 **Plasmid assembly and sequence validation**

246 The consensus sequences of pSH4 and pSH12 obtained using Mira-Spade workflow from Sanger
247 and Illumina reads showed a size of 3003 bp for pSH4 and 2990 bp for pSH12. However,
248 unvalidated regions were detected in both plasmids (Fig. 3) because the Capture read set sequence
249 did not sufficiently capture the Illumina sequences in pSH4 (Fig. 3A) and pSH12 (Fig. 3B),
250 rendering these regions unreliable. Moreover, the Assembly read set sequence did not support this
251 region in the alignment of pSH4 (Fig. 3A), unlike in pSH12 (Fig. 3B). From the PCR product
252 obtained, a new alignment using Sanger, Illumina and PCR product sequences was carried out for
253 each plasmid and mapped. After this, the unvalidated region of pSH4 was supported by a total of
254 22 Illumina and 1 Sanger sequences as was the PCR amplicon, while that of pSH12 was invalid.

255 Thus, fully assembled circular sequences showed 3003 bp in pSH4 but 2960 bp in pSH12, 43 pb
256 less due to the absence of that unreliable region (Fig. 3B). The GC content of both plasmids was
257 similar, 42.69 % (pSH4) and 43.04 % (pSH12). The raw sequence information from Seaview
258 program and agarose gel of PCR amplicons are showed in Fig. S1.

259

260 **ORF analysis of pSH4 and pSH12**

261 No hits sequences of the plasmids were available on the PLSDB web server (Galata et al. 2019),
262 so a comprehensive ORF analysis was carried out. Analysis using the ORF finder revealed 10
263 possible ORFs in pSH4 and 9 in pSH12. However, after removing nested predictions (i.e.
264 overlapping ORFs) a total of 7 ORFs remained in pSH4 and 6 in pSH12 (Table S2). The gene
265 prediction tools, fgenesB, GeneMark and ORF finder, confirmed that only 4 and 3 ORFs in pSH4
266 and pSH12, respectively, were common and highly similar in both plasmids (Table 1).

267

268 Both plasmid sequences resulted in the presence of the same ORFs, except for the presence of an
269 extra 43 bp region in pSH4 that introduced a stop codon that split in two the equivalent of ORF5
270 in pSH12 giving rise to ORF5 and ORF6 in pSH4. The localization and orientation of all ORFs of
271 pSH12 and pSH4 are shown in the circular map in Fig. 4. Since unique EcoRI and EcoRV cleavage
272 sites were found in both plasmids, they were used for cloning and sequencing.

273

274 **Prediction of functional proteins**

275 Based on blastp and Phyre 2, ORF5 and ORF6 of pSH4, and ORF5 of pSH12 presented a high
276 identity to a plasmid replication initiator protein of the repB family of *Shewanella algae* (Table 2).
277 The proteins encoded by these ORFs were predicted to show structural analogy with a crystal
278 structure of the plasmid initiator protein of the repB family, with a nucleic acid as ligand and
279 functions such as DNA replication and plasmid copy control (Table 2). Similarly, the proteins
280 encoded by ORF7 of pSH4 and ORF6 of pSH12 showed analogy with the Type II toxin-antitoxin
281 system PemK/MazF family toxin from *Avibacterium paragallinarum*, presenting DNA as ligand
282 and functions of cell growth inhibitor by cleaving mRNA with high sequence-specificity as part
283 of the bacterial stress response, and as toxic component for plasmid maintenance (Table 2). No
284 reliable predictions were obtained for the remaining ORFs that showed similarities to hypothetical
285 or unknown proteins.

286

287 Genomic and plasmid comparison between strains

288 Comparison of the genomes of SH4 and SH12 and their respective plasmids yielded high similarity
289 at the plasmid level with 94.24% coverage (Fig. S2), differing only by an additional 43 pb region
290 in pSH4 as explained above. At the same time, genome-wide comparison of SH4 and SH12 strains
291 also showed high similarity composed of 46 and 58 scaffolds, respectively with 99.42% coverage
292 between each other (Fig. S2). These percentage values support the idea that SH4 and SH12 are
293 different strains belonging to the same species.

294 In addition, a blastn alignment was carried out to check for a possible insertion of these plasmids
295 or any of their ORFs in the complete genome of the other strains under study. A total absence of
296 plasmids was found in the rest of NPS genomes, as well as in the genome of the probiotic *S.*
297 *putrefaciens* Pdp11.

298

299 Phylogenetic analysis of the repB protein

300 The repB protein sequences found in pSH12 (ORF5) and pSH4 (ORF5 and ORF6) were compared
301 extensively and a phylogenetic analysis was performed (Fig. 5) to look for similar disruptions in
302 the repB sequences and their possible effects on functionality. The disruption in the repB protein
303 in pSH4 gave rise to ORF5 and ORF6, two short repB sequences encoding 127 and 186 amino
304 acids, respectively, indicating that the ORF6 was the longest conserved region of the repB protein
305 in pSH4, close to the only 228 amino acid protein encoded by ORF5 in pSH12. Phylogenetic
306 analysis showed that the pSH12 protein was conserved and structurally similar to other repB
307 proteins described in *Shewanella* species (Fig. 5). In addition, the repB proteins of both plasmids
308 also were closely related to the repB protein of *Pseudoalteromonas* sp, *Thiomicrospira* sp. and
309 other *Shewanella* species (Fig. 5).

310

311 Discussion

312 The reason why different strains of the same bacterial species can be either pathogenic or probiotic
313 remains elusive, but knowledge of the molecular basis may help to understand it (Seoane et al.
314 2019). In this work, the study of plasmids from different strains of *Shewanella putrefaciens*, a
315 bacterium of commercial and biotechnological importance for aquaculture, has been addressed.

316 The presence of plasmids in the *Shewanella* group is often associated with resistance traits, as is
317 the case for plasmid pSFKW33 of *Shewanella* sp. 33B (Werbowy, Cieśliński, and Kur 2009),
318 plasmid pSMX33 of *Shewanella xiamenensis* BC01 (Zhou and Ng 2016) or plasmid pSheB, of
319 *Shewanella* sp. O23S (Uhrynowski, Radlinska, and Drewniak 2019). The horizontal mobile
320 genetic element (MGE) products encoded by plasmids could be at the root of the differences
321 between probiotic and pathogenic strains, as has been described for the *Enterococcus* group
322 (Santos et al. 2020). Therefore, the absence of plasmids in *S. putrefaciens* strain Pdp11 could
323 underlie its probiotic nature. However, this fact does not guarantee probiosis, since three of the
324 five pathogenic strains tested (strains SH6, SH9 and SH16) did not contain any plasmids. Given
325 that plasmids were only detected in two of the five pathogenic strains, it cannot be ruled out that
326 virulence factors in *S. putrefaciens* are chromosomally encoded nor that no other plasmids were
327 detected because of the extraction methodology used in this work. The pathogenic strains SH4 and
328 SH12 had one plasmid each, pSH4 and pSH12, respectively, which did not show much similarity
329 to the plasmids in the databases. Only two ORFs with a high percentage of identity to known
330 plasmid proteins were identified, while the rest of the ORFs belonged to hypothetical or unknown
331 proteins. Since only 1 to 29 % of plasmid sequences are usually found in bacterial databases
332 (Maguire et al. 2020), especially in the genus *Shewanella*, their characterization is of interest. In
333 this work, we found that the main ORFs conserved in both plasmids pSH4 and pSH12 belong to
334 the replication protein initiator repB superfamily, and to the type II toxin-antitoxin system
335 superfamily (PemK/PemI family protein).

336 Rep proteins are especially important as they are primarily responsible for the initial DNA binding
337 and nicking activities, which represent the first steps in plasmid replication and conjugative
338 plasmid transfer (Stolz 2014). It is generally composed of repA (helicase), repB (initiator protein)
339 and repC (initiator protein) proteins (Wawrzyniak et al. 2019) but only repB protein has been
340 identified in pSH4 and pSH12. Some bacterial strains such as *Agrobacterium tumefaciens* require
341 the products of the repABC operon for plasmid replication, as they encode its rep proteins (Chai
342 and Winans 2005; Pinto, Pappas, and Winans 2012). Since these proteins recruit several other
343 DNA polymerases and helicases from the bacterial host to proceed with plasmid replication (San
344 Millan and Maclean 2019), it seems plausible that SH4 and SH12 may use this mechanism to
345 replicate. In addition, repB protein in pSH4 supposed major attention due to the disruption of it,
346 which can affect its oligomerization and functionality as occurs in rep proteins Rep68/78 as

347 described (Zarate-Perez et al. 2012). The results of the phylogenetic analysis suggest that the larger
348 and more conserved ORF5 may retain its functionality, whereas future studies will be necessary
349 to determine the functionality of ORF6.

350 In any case, the identification of the repB protein is interesting because it has been previously
351 explored in *S. xiamenensis* BC01 and *S. oneidensis* MR-1 (Zhou and Ng 2016) as functional ori
352 for stable plasmid replication in *Shewanella*. Furthermore, the combination of repB with other
353 promoters such as placI favoured the expression of *gfp* and *mtr* genes by recombinant DNA
354 technology in *S. oneidiensis* MR-1 (Ng et al. 2018). In this way, specific plasmids could be
355 constructed and incorporated into other *Shewanella* species to favour the expression of exogenous
356 genes of interest (Antonio-Hernández et al. 2019). According to this compatibility, the above
357 application could be attractive for future studies to improve the toolbox of the probiotic strain
358 Pdp11.

359 Another major ORF identified is the PemK protein, which is part of the type II PemK-PemI toxin-
360 antitoxin (TA) system. This system is found both in bacterial chromosomes and in MGEs such as
361 plasmids and prophages (Bukowski et al. 2019). A type II TA system typically consists of two
362 genes located in an operon encoding a stable toxin that disrupts essential cellular processes and a
363 labile antitoxin that forms a tight protein complex with the cognate toxin to neutralize its activity
364 (Yao et al. 2018). Activities that have been associated with type II TA systems are maintenance
365 of genetic material, bacterial virulence, biofilm formation, phage inhibition, and different types of
366 stress management, including antibiotic tolerance and persister formation (Bleriot et al. 2020). In
367 this work, the gene encoding the PemK protein has been detected in the plasmids of pathogenic
368 strains of *Shewanella*, suggesting that its unstable antitoxin could consist of a protein or a non-
369 coding RNA (Yamaguchi, Park, and Inouye 2011). On the other hand, strains SH4 and SH12 might
370 not carry the complementary antitoxin PemI, allowing the toxin to exert its virulent effect.
371 Furthermore, since virulence and drug-resistant genes had been detected in different species of
372 *Shewanella*, such as *S. algae*, *S. putrefaciens*, *S. xiamenensis*, *S. oneidensis* and *S. frigidimarina*,
373 mainly on the chromosome associated or not with MGEs (Yousfi et al. 2017), a genomic and
374 plasmid comparison of SH4 and SH12 with the rest of the study strains was performed to check
375 the presence of these plasmid sequences and in particular, of the TA system. The results allowed
376 to exclude the probiotic Pdp11 since it lacks the TA type II system as a virulence factor and its
377 self-regulatory characteristics.

378 Phenotypic and pathogenic differences had been identified between the pathogenic strains SH4
379 and SH12. The lethal doses (LD50) of strains SH4 and SH12 were 2.4×10^6 and 2.8×10^6 ,
380 respectively, in addition to obvious differences in metabolic characteristics between these two
381 strains (Esteve, Merchán, and Alcaide 2017). However, taking into account previous results on
382 phenotypic (Esteve, Merchán, and Alcaide 2017) and genomic (Seoane et al. 2019) characteristics,
383 our results seem to indicate a greater similarity between both strains, and may even suggest
384 considering them as possible clones with specific differences. In any case, the absence in Pdp11
385 of plasmids carrying virulence genes supports the idea of its probiotic nature and opens the way
386 for future research on biotechnological applications. To comply with EU regulations on GMOs,
387 these could be aimed at obtaining a higher probiotic value through natural processes (conjugation,
388 transformation and transduction). The use of genetic engineering to improve derived products such
389 as metabolites and extracellular products, among others, could also be considered.

390

391 **Conclusions**

392 The presence of plasmids is expected to be associated with bacterial survival and, commonly, with
393 virulence factors. The present work has characterized two new plasmids present in two strains of
394 *S. putrefaciens*, SH4 and SH12, which despite having similar genomic and plasmid profiles with
395 high levels of identity, turned out to be different strains of the same species. The plasmids
396 identified encode several proteins of known or unknown function. Among the former, two were
397 found, one belonging to an essential protein, repB, necessary for plasmid replication, and the other,
398 pemK, corresponding to a virulence factor. The probiotic strain *S. putrefaciens* Pdp11 did not
399 present plasmids, which may be behind its probiotic nature, making it unique in comparison to
400 other *Shewanella putrefaciens* strains.

401

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412

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658

Figure 1

Figure 1. Flowchart depicting the workflow used to obtain fully assembled plasmid DNA sequences from *S. putrefaciens* strains SH4 and SH12.

Within a dashed box, the two sources of plasmid sequences are shown. Quality trimming steps (A) performed with the BBDuk software leading to capture read set are indicated by the letters B, and those leading to assembly read set by the letters C. The Bowtie and Samtools programs, denoted with the letter D, were used to capture specific Illumina sequences. The output of the Mira and Spades programs was a sequence generated from Illumina and Sanger reads (E), and circularized by the MARS method (F). Plasmids were aligned by the Clustal method of the Seaview program (G) to finally obtain the plasmid consensus sequences. The consensus sequence was mapping by sanger, illumina and PCR reads for assembly validation.

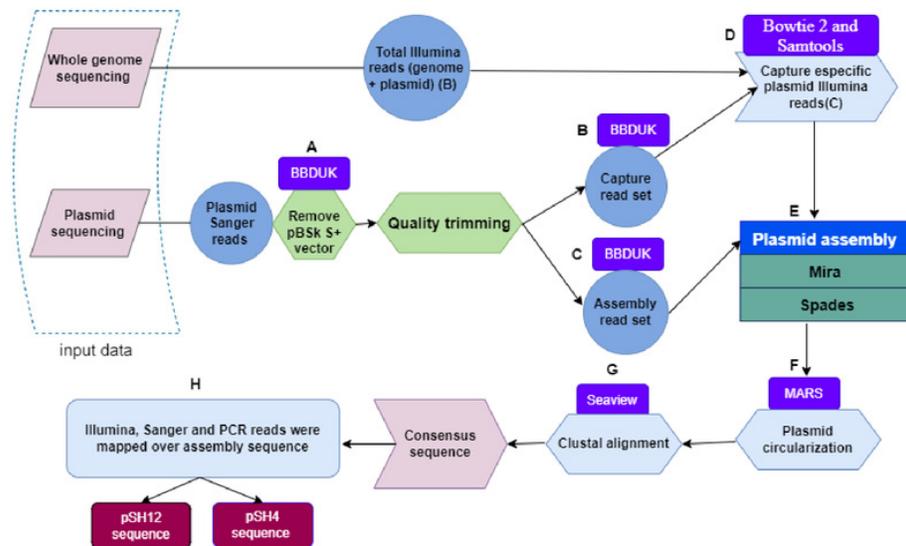


Figure 2

Figure 2. Plasmid DNA isolated from different *S. putrefaciens* strains (SH4, SH12, SH6, SH16, SH9, Pdp11, SdM1 and SdM2) separated by agarose gel electrophoresis.

All strains were grown under the optimal growth conditions of 23°C in TSBs under shaking for 24h. The sizes of the individual plasmids contained in strains SH4 and SH12 as well as the five plasmids detected of the positive control *E. coli* O157, grown in LB broth, are indicated.

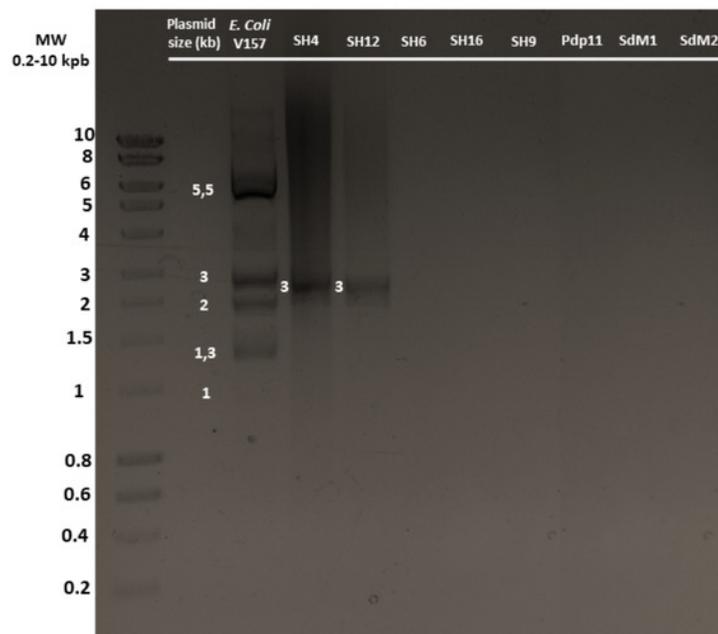


Figure 3

Figure 3. Complete consensus sequences of pSH4 (A) and pSH12 (B) showing the unvalidated regions (blue line) resolved by mapping with Illumina and Sanger sequences.

Both unvalidated regions in the consensus sequences were subjected to validation by PCR amplification (A) The unvalidated region of pSH4 supported by 22 Illumina sequence (red bars) and one Sanger sequence (green bar) led to a reliable sequence between positions 1107 and 1176 in the pSH4 consensus sequence. (B) The unvalidated region of pSH12, located between nucleotides 2021 and 2090, was poorly supported by Illumina sequences and no Sanger sequences, so the consensus sequence of pSH12 turned out to be 30 nucleotides shorter than that of SH4.

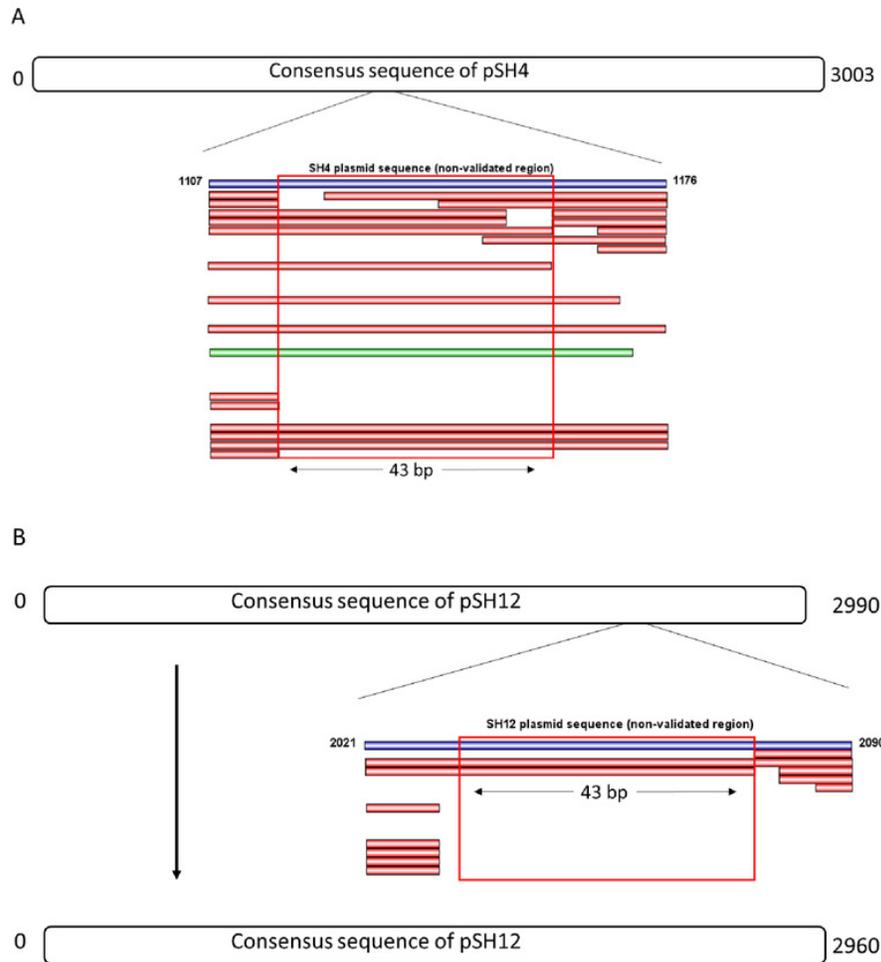


Figure 4

Figure 4. Circular map of pSH4 (A) and pSH12 (B).

The main circle that shapes the plasmid is coloured according to the percentage of G+C shown in the bottom bar. Solid arrows indicate the approximate position of the ORF and the orientation of the transcript. ORFs encoded by the (+) strand of the nucleotide sequence are outside the circle and ORFs encoded by the (-) strand inside. The range of ORF colours on the map reveals differences according to the analysis of the putative translation products and their respective functions. The blue colour corresponds to informative ORFs, whose amino acid sequences showed similar homologies with partial proteins of known function and hypothetical proteins in both plasmids, according to protein searches. In addition, they are labeled as complete genes by the algorithms used. The rest of ORFs are drawn with pink arrows.

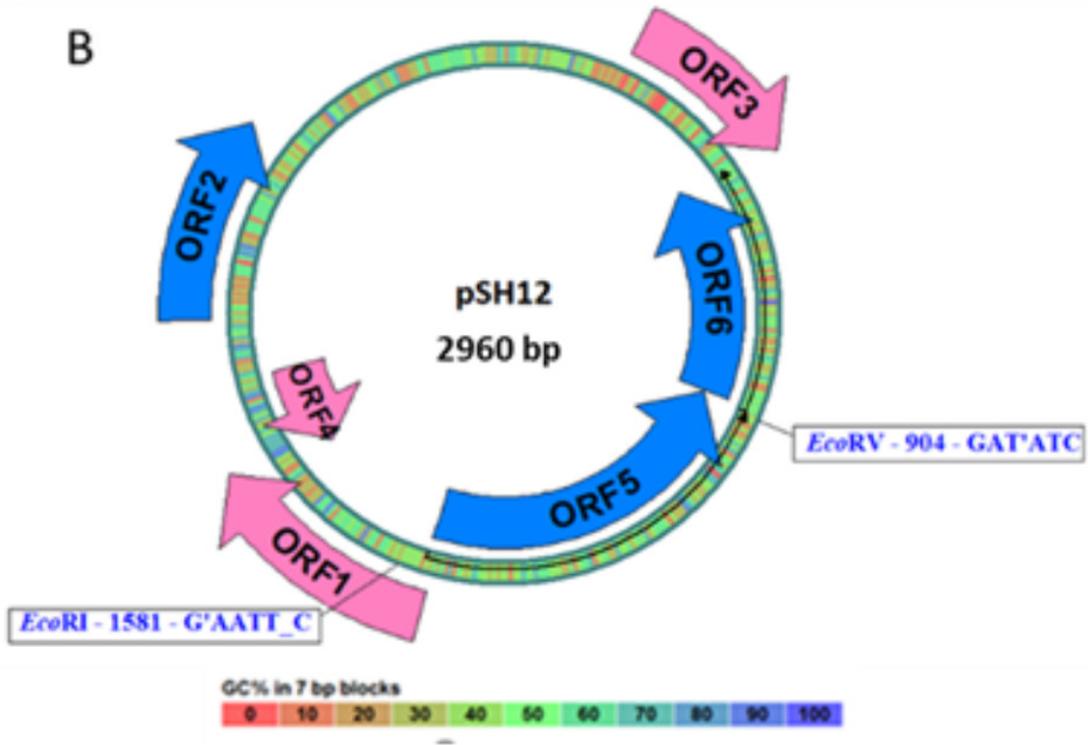
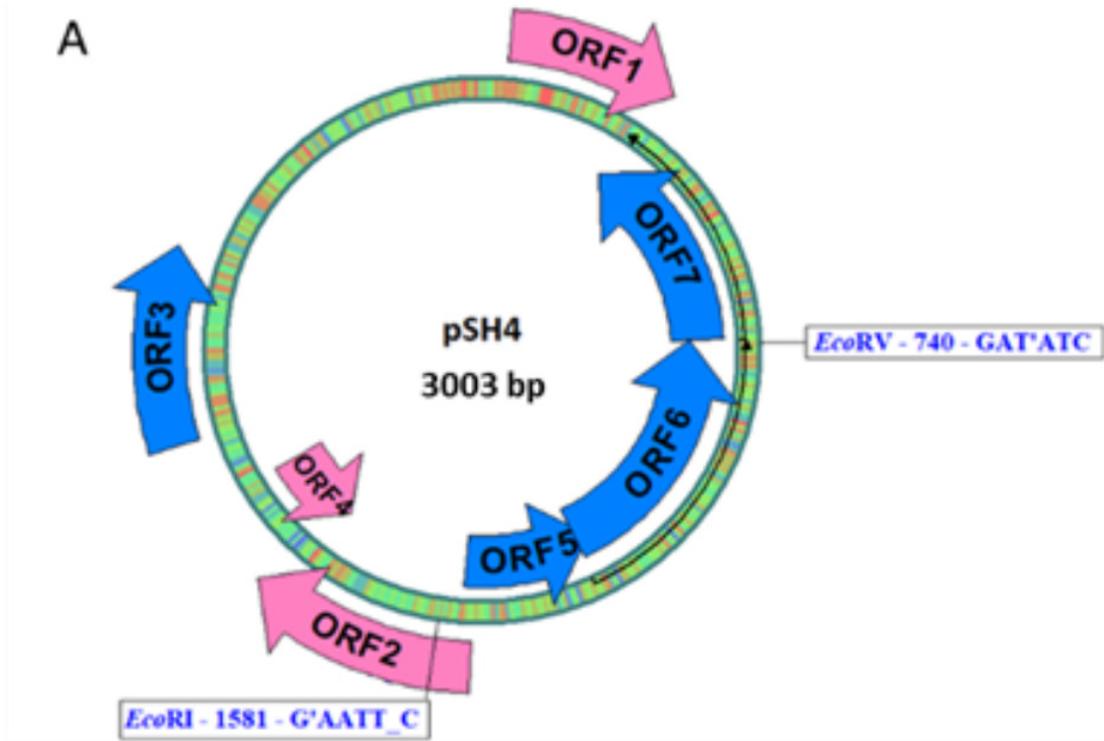


Figure 5

Figure 4. Phylogenetic tree using repB protein sequences of pSH12 and pSH4.

The different protein sequences were downloaded from the NCBI database, and alignment was performed by Clustal method followed by PhyML algorithm to infer distances by using the Seaview software.

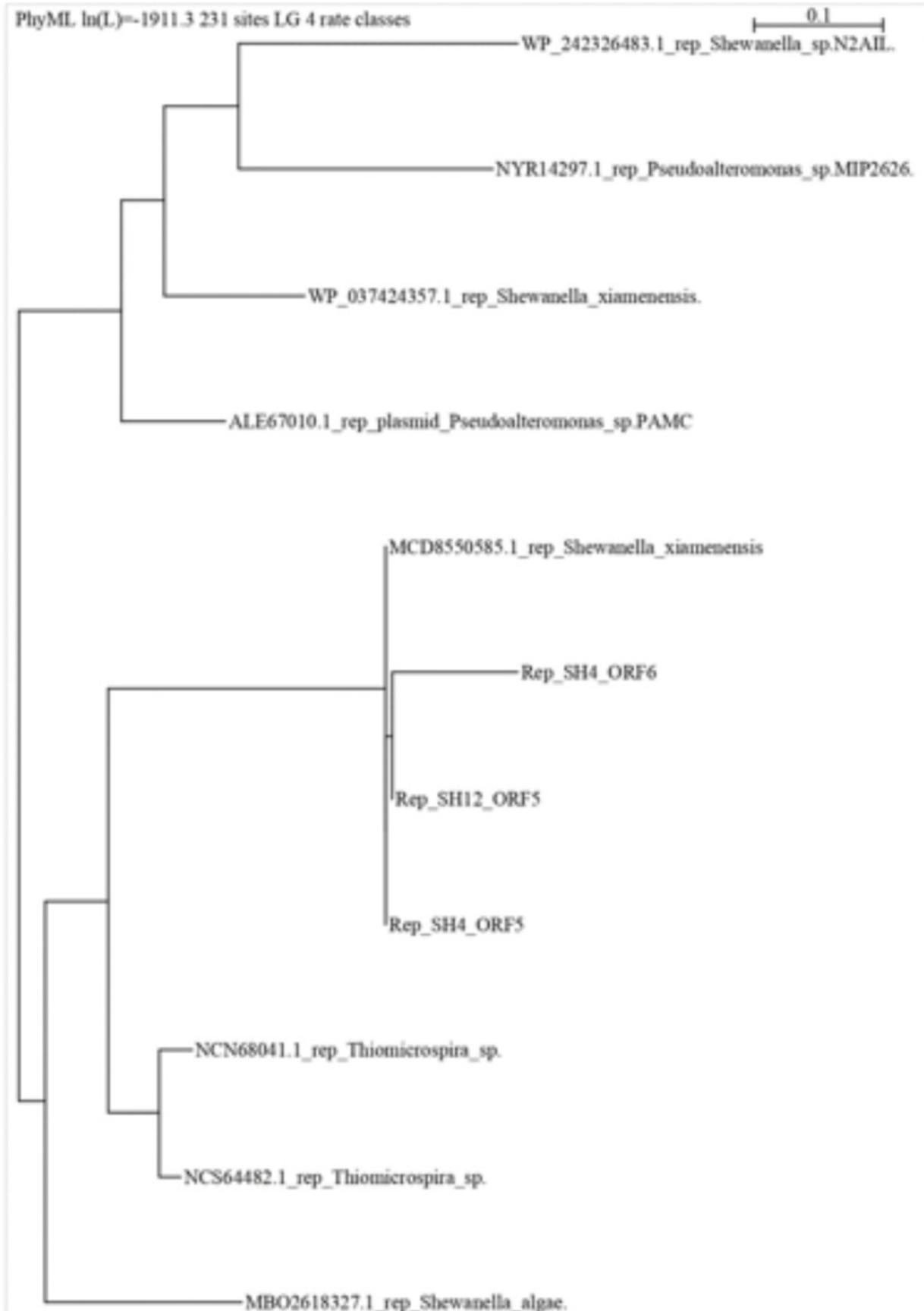


Table 1 (on next page)

Table 1. Common ORFs found in pSH4 and pSH12 in all predictions tools tested.

^a ORFs were named as ORFn followed by the plasmid name (pSH12 or pSH4), where n is the ORF id.

Strain	ORF ^a	Strand	Start	Stop	Lenght (nt aa)	G+C content (%)
SH4	3	+	2109	2420	312 103	36.74
	5	-	1541	1158	384 127	57.22
	6	-	1288	728	561 186	44.15
	7	-	727	275	453 150	44.35
SH12	2	+	2143	2454	312 103	42.47
	5	-	1575	889	687 228	46.44
	6	-	888	436	453 150	48.76

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Table 2 (on next page)

Table 2. Functional annotation of the main ORFs of pSH4 and pSH12 by blastp and Phyre2.

Strain		Blastp					Phyre 2	
	ORF	Accession ID	Protein	Identity (%)	Coverage (%)	Organism	Superfamily/family	Confidence
SH4	3	MBP7664129.1	Hypothetical protein	78,48	94,00	<i>Shewanella</i> sp	Family: BH3980-like	29,9
	5	WP_208142329.1	repB family plasmid replication initiator protein	76,92	89,00	<i>Shewanella algae</i>	Family: Replication initiation protein	100
	6	WP_208142329.1	repB family plasmid replication initiator protein	67,74	100,00	<i>Shewanella algae</i>	Superfamily: "Winged helix" DNA-binding domain Family: Replication initiation protein	100
	7	WP_115615842.1	Type II toxin-antitoxin system PemK/MazF family toxin	54,17	96,00	<i>Avibacterium paragallinarum</i>	Superfamily: Cell growth inhibitor/plasmid maintenance toxic component Family: Kid/PemK	99,4
SH12	2	NCS64480.1	Hypothetical protein	87,18	92,00	<i>Thiomicrospira</i> sp.	BH3980-like	29,9
	5	WP_208142329.1	repB family plasmid replication initiator protein	72,89	100	<i>Shewanella algae</i>	Superfamily: "Winged helix" DNA-binding domain Family: Replication initiation protein	100
	6	WP_115615842.1	Type II toxin-antitoxin system PemK/MazF family toxin	54,17	96,00	<i>Avibacterium paragallinarum</i>	Superfamily: Cell growth inhibitor/plasmid maintenance toxic component Family: Kid/PemK	99,4

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