

1 ORIGINAL ARTICLE

2 Left ventricle dysfunction in patients with critical neonatal pulmonary stenosis:
3 echocardiographic predictors.

4 A single-center retrospective study

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26 Introduction

27 Pulmonary stenosis (PS) is a congenital heart disease characterized by narrowing of the right ventricle
28 outflow tract (RVOT) and, in most severe forms, complete pulmonary atresia. [1] In the latter form,
29 pulmonary blood flow depends completely on patent ductus arteriosus. Pulmonary stenosis (PS) and
30 pulmonary atresia with an intact ventricular septum (PAIVS) represent 25-30% of all cyanotic
31 congenital heart diseases and approximately 3% of all congenital heart diseases. While pulmonary
32 stenosis is relatively common, pulmonary atresia with an intact ventricular septum is a complex and
33 uncommon congenital heart defect, associated with a relatively high morbidity and mortality, despite
34 (surgical or catheter) treatment. Deaths occurs within the first postoperative year, and 15-years
35 survival rates of all subtypes of PA-IVS patients being reported to be anywhere from 58% to 87%.
36 [2]

37 The main treatment goal is to achieve antegrade flow across the RVOT, in the neonatal
38 period, in order to improve the systemic arterial oxygenation. Trans-catheter intervention with
39 pulmonary valve balloon dilatation (PVBD), represents the primary therapy for infant with critical
40 PS and PAIVS. Right ventricular features deemed necessary to perform PVBD include large, tripartite
41 right ventricle without coronary artery connections and coronary dependent circulation or with
42 interrupted coronary arteries. [3]

43 It is widely demonstrated that PV perforation and /or BD is characterized by a high rate of initial
44 success with acute gradient reduction, ducuts independence and low rate of re-intervention.
45 Nonetheless, significant left ventricle dysfunction has been described in PV/PAIVS after PVBD.
46 Ronai et al. [4] described significant transient left ventricular dysfunction after PVBD, as the possible
47 consequence of three hemodynamic effects including the impact of change in size of right ventricle,
48 the loading effect for closure of ductus arteriosus or the acute change in coronary perfusion.

49 In previous studies, pre-existing left ventricular dysfunction has been suggested as a risk factor for
50 left ventricle dysfunction after PV perforation and/or BD. [5-7]

51 The aim of the present study, is to describe the risk factors and hemodynamics mechanisms in

52 patients with PS and/or PAIVS, with normal left ventricle and without coronary anomalies, for
53 the development of left ventricle dysfunction after PVBD.

54 **Methods**

55 **Study population**

56 We retrospectively enrolled patients admitted in the Bambino Gesù Children Hospital for neonatal
57 PS and/or PAIVS from January 2012 to January 2017, with available complete echocardiographic
58 examination, angiograms and operative reports.

59 Data were retrieved from the clinical records and a dedicate database was collected, after local
60 ethical committee approval (prot 2387_OBPG_2021).

61 **Management of patients**

62 All patients received intravenous prostaglandin E1 at an initial dose that ranged between 0.005 and
63 0.1 ng/kg/min. After clinical stabilization, patients were transferred to the catheterization
64 laboratory. The radiofrequency perforation, when necessary, was performed with 2 F cable with 5
65 W of energy administered for 1-2 sec under fluoroscopic guidance. Pulmonary valve was dilated
66 with a balloon measuring 1.2-1.4 times the pulmonary annulus. The procedure was considered
67 effective if the RVOT final gradient was less than 30 mmHg.

68 Prostaglandin infusion was discontinued 2-5 days after reaching the goal of adequate anterograde
69 pulmonary blood flow (defined as oxygen saturation > 92%). In contrast, ductal stent placement or a
70 systemic-to-pulmonary shunt were the treatment options if an additional shunt was required for
71 impossibility to wean patients off from prostaglandin.

72 **Imaging methods**

73 Imaging data were collected as described in our previous study. [8]

74 Specifically all patients underwent two-dimensional complete echocardiographic examination, using
75 a Philips iE33 (Philips Medical System, Bothell, WA, USA) with 8- and/or 12-MHz transducers

76 before and after pulmonary valve balloon dilatation. Images were analyzed with a dedicated offline
77 review software (Philips Xcelera 4.1 System).

78

79 The echocardiographic views considered were the subcostal right and left oblique axis, parasternal
80 long axis, parasternal short axis at the level of ventricle and great arteries, left parasternal (with
81 specific focus on the right ventricle inflow), apical 4- and 5-chambers and suprasternal views.

82 End-diastolic and end-systolic LV volume and RV area and tricuspid Z –score and regurgitation
83 degree were measured in apical 4-chamber view.

84 Functional parameters included: manually traced right ventricular fractional area change (e-
85 RVFAC), speckle tracking automatically derived (STAD) RVFAC by 2D (a-RVFAC), Tricuspid Annular
86 Plane Systolic excursion (TAPSE), the systolic wave of the tricuspid valve on the Tissue Doppler
87 Imaging (TDI) and Right and Left Ventricle 2D Systolic Global Longitudinal Strain (RVGLS and
88 LVGLS). According to the American Society Echocardiography guidelines, all right and left
89 ventricular dimensions were evaluated in end-diastolic phase in both apical and parasternal
90 views.

91 The Echocardiographic FAC (e-RVFAC) was estimated with the following calculation: $[(RVEDA -$
92 $RVESA)/RVEDA] \times 100$] and the automatic FAC (a-RVFAC) was measured with a speckle tracking
93 automatically derived RVFAC by 2D-STAD using a standard 4-chamber apical view. The Ejection
94 Fraction (LVEF) was estimated with the following calculation: $[(LVEDV - LVESV)/LVEDV] \times 100$
95 Normal RVFAC value was greater than 35%[9].

96 TAPSE identified the longitudinal right ventricle function obtained positioning the M-mode
97 cursor on the lateral portion of the tricuspid annulus. Normal value was considered above 16
98 mm[9]

99 Tissue Doppler analysis was used to measure systolic tricuspid annulus velocity (Ts'). A velocity
100 below 10 cm/sec was considered as a sign of systolic dysfunction.

101 For strain analysis, cine-loops recordings were reviewed off-line and analyzed by one single expert
102 operator blinded to diagnosis. Analyses were performed using Philips QLAB software version 10.3
103 (Philips Andoven, MA, USA). 2D strain was calculated using apical four-chamber view, focused on
104 the right ventricle with a modified apical view, obtaining a complete image of the right ventricle,
105 particularly the free wall. The speckle tracking strain software developed for the left ventricle
106 was applied also to the right one. RVGLS measure derived by averaging only strain curves from
107 the RV free wall (4 RV segment model: basal, median, apical free wall and apex). The normal
108 mean values of RVGLS in children were considered from -20.80% to -34.10 (mean -30.06, 95%
109 CI -32.91 to -27.21) and for LVGLS from -16.7% to -23.6% (mean -20.2%, 95% CI -19.5% to -
110 20.8%). [10]

111 **Statistical analysis**

112 Data are expressed as percentages for categorical data and mean $\pm$ standard deviation for normally
113 distributed continuous variables.

114 Comparison between groups (group 1: patients with left ventricle dysfunction; group 2: patients with
115 normal left ventricle function after PVBD) was carried out by Student's t-test for continuous normally
116 distributed variables and the χ^2 test was used to compare categorical variables.

117 A *p* value less than 0.05 was considered statistically significant.

118 Statistical analysis was performed by SPSS Statistics for Windows software, version 21.0 (released
119 2012; IBM Corp., Armonk, NY, USA).

120 **Results**

121 We retrospectively enrolled twenty-nine patients in the study (21 male and 8 female). The median
122 age was 5.8 $\pm$ 7.1 days. Twenty-five infants with critical pulmonary valve stenosis (PVS) and four with
123 pulmonary atresia with intact ventricular septum (PAIVS) were included.

124 The pulmonary valve balloon dilation was performed between one and fourteen days of age.

125 The indications for PVBD were standard for neonates with critical PVS and PAIVS. [11]
126 All patients before the procedure had a normal left ventricle ejection fraction (EF) > 57%. After
127 the PVBD, eight patients developed a transient left ventricle dysfunction (5 PVS and 3 PAIVS)
128 with EF < 50% calculated by the Simpson biplane method.
129 Comparing the demographics and echocardiographic data before the procedure in patients with
130 transient left ventricle dysfunction, there was no difference in the demographics data except the
131 timing of PVBD. In fact, in patients who developed LV dysfunction, an earlier PVBD was associated
132 with greater reduction in LV EF (2.0 ± 1.2 vs 8.7 ± 5.2 , $p=0.04$). **Table 1** However, pulmonary valve
133 regurgitation at more than a mild degree after PVBD was statistically significant, associated with the
134 left ventricle dysfunction ($p<0.0001$). **Figure 1**

135 Patients with a greater change in right ventricle area after PVBD (1.2 ± 0.8 vs 0.3 ± 0.9 , $p=0.042$) and
136 left ventricle volume (-1.1 ± 0.9 vs -0.1 ± 21 , $p=0.001$) developed left ventricle dysfunction. **Table 3**

137 Ultimately, there was not difference between patients with and without the transient left ventricle
138 dysfunction in right and left pressure ratios (RV/LV ratio pre: 1.7 ± 0.4 vs 1.5 ± 0.5 , $p=0.122$ and RV/LV
139 ratio post: 0.88 ± 0.18 vs 0.80 ± 0.14 , $p=0.350$). **Table 4**

140 Discussion

141 The aim of the study was to investigate incidence and risk factors for the development of left ventricle
142 dysfunction in neonates with PS or PAIVS undergoing PVBD.

143 Several studies suggest that abnormal intraventricular interaction may be the reason of left ventricle
144 dysfunction with an important prognostic effect on mortality. [12-14]

145 Since the right ventricle shares myocardial fibers, interventricular septum and pericardium with
146 the left ventricle, it is intuitive that significant changes in geometry and function of one ventricle
147 involves the contralateral one, independently of neural, humoral or circulatory effect [15-16-17]

148 Burkett et al. have shown the role of ventricular interdependence in influencing the left
149 ventricle function in patients with pulmonary hypertension. A reduced left ventricle
150 longitudinal and circumferential strain and strain rate, primarily at the basal septum, as a
151 consequence of the leftward septal shift of the right ventricle, has been demonstrated in
152 children and young adult with pulmonary hypertension, suggesting direct pressure-loading
153 effects on right and left ventricle performance and hemodynamics. [18]

154 The theory of interventricular interaction and its effect on left ventricle function has been
155 demonstrated also in patients with Tetralogy of Fallot both for volume and pressure overload [19].
156 Patients with repaired Tetralogy of Fallot (rToF) and pulmonary regurgitation (PR) have a
157 different pathophysiological response to RV chronic volume overload but share with PS and
158 PAIVS the indirect effect worsening of left ventricle function. It has also been shown, that patients
159 with rToF and PR have altered RV longitudinal mechanical performance and a tendency to right
160 systolic dysfunction, as shown in a previous study from our institution [8], and that the pulmonary
161 valve replacement in these patients improves global LV strain. [20-21]

162 Moreover, in patients with rToF, residual RV outflow tract obstruction induces an early protective
163 effect on RV modeling and RV strain, but a negative impact on LV strain. [22]

164 As compared to patients with repaired Tetralogy of FallotrToF and PR, patients with PVS/PAIVS,
165 show a more severe RV diastolic dysfunction with a restrictive physiology, mainly due to RV
166 ventricular hypertrophy, myocardial disarray and fibrosis. [23]

167 Ronai et colleagues have suggested that, in patients with pulmonary stenosis/atresia after PVBD,
168 worsening of LV longitudinal and circumferential global and segmental strain, is a predictor of left
169 ventricle dysfunction, while longitudinal RV strain remains unchanged pre- and post-PVBD. The
170 authors also suggested that right ventricle volume overload was responsible for subsequent left
171 ventricle dysfunction.

172 The mechanism involved in altering myocardial performance in the ventricular septum is a
173 consequence of the change in RV volume loading conditions, following right ventricular outflow
174 obstruction relief. Patients who developed LV dysfunction after PVBD had larger right ventricles but
175 not significantly larger left ventricles. [24]

176 In our study, left and right ventricular strains before PVBD are reduced, even if although not
177 statistically significantly, in patients who develop left ventricle dysfunction, and. In
178 agreement with Ronai's study, the greatest increase of right ventricle area after PVBD is statistically
179 significantly in patients with left ventricle dysfunction. This evidence has confirmed
180 that the right ventricle volume overload (RVVO) after PVBD, due to the iatrogenic development
181 of pulmonary regurgitation, is a predisposing risk factor for transient left ventricle dysfunction.

182 The underlying mechanism depends on the resultant acute right volume overload, regardless of
183 the reduction of pressure load, which can alter right chambers geometry. This then causes left
184 ventricle dysfunction due to the known physiological processes of ventricular interdependence and
185 also the decreased relative contribution of left atrial systole to the left ventricular filling.

186 Acute right volume overload induces flattening of the ventricular septum resulting from
187 leftward displacement of the septum toward the center of the left ventricle, opposing the normal
188 forces of left ventricle distension. Normal ventricular septal curvature ~~restores at end~~ recovers by
189 the end of systole, which opposes the inward motion of the ventricular septum toward the center
190 of the left ventricle during systole contraction. As a result, the net shortening along the ventricular
191 septum-to-posterolateral free wall short axis in RVVO is reduced.

192 The regional nature of LV impairment depends on ventricular septal flattening, strongly refutes a
193 systemic mechanism explanation (loading alteration, neurohumoral interaction, autonomic
194 influence), and shows that transient left ventricle dysfunction is a consequence of acute RVVO. [25-
195 26]

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197 In our study, in all patients the greater impairment of longitudinal regional strain more in the
198 septum than in the lateral free wall proves that supports the most accredited theory for transient
199 left ventricle dysfunction and demonstrates that it depends on volume overload and interventricular
200 dependence. **Table 5-6**

201 We have also considered that the creation of a ‘detrimental shunt’ could have played a role in the
202 etiology of the LV dysfunction. In presence of a severe pulmonary regurgitation, a detrimental shunt
203 due to the wide patent ductus arteriosus can be present. Because of the significant pulmonary
204 regurgitation, blood coming into the pulmonary artery by the wide ductus arteriosus is "drawn" to the
205 right ventricle, leading to systemic and coronary stealing. The establishment of this detrimental shunt
206 is sometimes terms as “circular shunt” in the setting of congenital heart disease. [27] However, the
207 theory of the detrimental circular shunt does not match significantly with is unsupported by our data.
208 In fact, we found no relation between ductus closure and left ventricle dysfunction improvement.
209 Furthermore, our data show that LV dysfunction is mainly related to a segmental motion abnormality
210 rather than a than global dysfunction (as should would be expected in reduced coronary flow) and to
211 the degree of pulmonary regurgitation. **Table 7**

212 Limitations

213 This is a single-center retrospective study with a small sample size, even for the low percentage
214 of cases due to the rarity of the this congenital heart disease. Potential selection biases include the
215 influence of multiple factors such as heart rate, preload and afterload in the echocardiographic
216 evaluation of functional and morphological indices. Studies with a larger sample size and future
217 collaborations with other centers are therefore needed to demonstrate the universality of these
218 observations.

219 Conclusion

220 Moderate-severe degree pulmonary valve regurgitation predisposes to transient left ventricle
221 dysfunction in patients with PVS and PAIVS after PVBD. Probably tThe acute

222 right ventricle volume overload, which also influences the left ventricle through the known
223 pathophysiological mechanisms of ventricular interdependence, is the implied mechanism. This
224 hypothesis could be a starting point for defining features of patients at higher risk to develop
225 ventricular dysfunction and to draw up a protocol for greater surveillance for improving clinical
226 management to ensure a better outcome for these patients.

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