

Short physical performance battery as a predictor of mortality in community-dwelling older adults: a longitudinal study in the Brazilian Amazon region

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Background: The Short Physical Performance Battery (SPPB) is an instrument for assessing physical performance widely used in research among the elderly in multiple settings. We did not find Brazilian longitudinal studies that aimed to analyze the predictive capacity and accuracy of the SPPB among community-dwelling older adults and no systematic reviews were found on the accuracy of the SPPB in predicting mortality in community-dwelling older adults. This study aimed to analyze the capacity and accuracy of the SPPB for predicting mortality in community-dwelling older adults, as well as to determine cut-off points for men and women. **Method:** Longitudinal observational study conducted with 411 (70.1 ± 7.25 years) community-dwelling older adults, between 2017 and 2020 (37.7 ± 6.24 months). Physical performance was evaluated using the SPPB and information on the all-cause mortality rate was also recorded. Multivariate Cox regression analyses and curves were performed using the Kaplan-Meier method. Receiver Operating Characteristic (ROC) curves were constructed, with the parameters of area under the ROC curve (AUC) to determine cutoff points for discriminating mortality, considering a significance level of 5% ($p < 0.05$) and 95% confidence interval (CI) 95%. **Results:** Older adults with very low and low physical performance in the SPPB, showed higher risks of mortality (HR=9.67; 95% CI:1.20-77.65; HR=4.06; 95%CI:1.09-15.01), respectively. In the subtest's analysis, older adults with low performance in the balance (HR=0.54; 95% CI:0.36-0.81) and gait speed tests (HR=0.50; 95% CI:0.33-0.76) showed greater risks of dying. The same was reproduced for categories in each test (participants that scored 2 points in the balance test had an HR=5.86; 95% CI:1.84-18.61 and 2 points in the gait speed test, HR=5.07; 95% CI:1.76-14.58. The cutoff point ≤ 9 in the SPPB set the discriminator criterion for mortality in older people of both sexes. **Conclusions:** The SPPB, as well as the balance and gait speed subtests were predictors of mortality, and the SPPB is accurate in predicting mortality among community-dwelling older adults.

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2 **older adults: a longitudinal study in the Brazilian Amazon region**

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15

16 **Abstract**

17 **Background:** The Short Physical Performance Battery (SPPB) is an instrument for assessing
18 physical performance widely used in research among the elderly in multiple settings. We did not
19 find Brazilian longitudinal studies that aimed to analyze the predictive capacity and accuracy of

20 the SPPB among community- dwelling older adults and no systematic reviews were found on the
21 accuracy of the SPPB in predicting mortality in community- dwelling older adults. This study
22 aimed to analyze the capacity and accuracy of the SPPB for predicting mortality in community-
23 dwelling older adults, as well as to determine cut-off points for men and women.

24 **Method:** Longitudinal observational study conducted with 411 (70.1±7.25 years) community-
25 dwelling older adults, between 2017 and 2020 (37.7±6.24 months). Physical performance was
26 evaluated using the SPPB and information on the all-cause mortality rate was also recorded.
27 Multivariate Cox regression analyses and curves were performed using the Kaplan-Meier
28 method. Receiver Operating Characteristic (ROC) curves were constructed, with the parameters
29 of area under the ROC curve (AUC) to determine cutoff points for discriminating mortality,
30 considering a significance level of 5% ($p < 0.05$) and 95% confidence interval (CI) 95%.

31 **Results:** Older adults with very low and low physical performance in the SPPB, showed higher
32 risks of mortality (HR=9.67; 95% CI:1.20-77.65; HR=4.06; 95%CI:1.09-15.01), respectively. In
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36 had an HR=5.86; 95% CI:1.84-18.61 and 2 points in the gait speed test, HR=5.07; 95% CI:1.76-
37 14.58. The cutoff point ≤ 9 in the SPPB set the discriminator criterion for mortality in older
38 people of both sexes.

39 **Conclusions:** The SPPB, as well as the balance and gait speed subtests were predictors of
40 mortality, and the SPPB is accurate in predicting mortality among community-dwelling older
41 adults.

42 **Key words:** Elderly; Health of the elderly; Longitudinal studies; Mortality; Survival analysis.

43

44 **Introduction**

45

46 Aging leads to lifelong accumulation of cellular damage that results in a gradual decline
47 in physical and mental ability (Rudnicka et al., 2020). As a result, older adults are at an increased
48 risk of frailty, functional decline, and other adverse health outcomes, as well as death (Fried et
49 al., 2001). As a result, interest is increasing in finding tests that can be used as screening tools for
50 early identification of people who may benefit from targeted interventions. Several studies have
51 suggested that the assessment of physical performance may be useful in the clinical evaluation of
52 older patients, especially because it may lead to early identification of individuals at a heightened
53 risk of adverse health outcomes (Pahor et al., 2014; Pavasini et al., 2016).

54 Two previous meta-analyses showed that sit-to-stand, balance time, and gait speed tests
55 separately were able to discriminate community-dwelling older adults at an increased risk of
56 dying (Cooper et al., 2010; Studenski et al., 2011). Interestingly, another study suggests that
57 combining the three tests may enhance the prognostic value of these components in predicting

58 mortality (Nofuji et al., 2016).

59 Considering these results, some observational studies have consistently found an
60 association between physical performance assessed with the Short Physical Performance Battery
61 (SPPB) and the incidence of disability, institutionalization, and hospital admission (Freiberger et
62 al., 2012; Panas et al., 2013; Pahor et al., 2014; Patrizio et al., 2021). The SPPB is an easy-to-
63 apply instrument that includes sit-to-stand, balance time, and gait speed tests and has been used
64 to assess the level of physical performance and functional capacity in older adults in different
65 settings (Treacy & Hassett, 2018; Silva et al., 2021).

66 In line with these findings, a systematic review with meta-analysis analyzed the
67 relationship between the SPPB score and all-cause mortality in adults and found an association
68 between low scores and a higher risk of death (Pavasini et al., 2016). The study also suggested
69 that a score < 10 predicted all-cause mortality in adults (Pavasini et al., 2016). Taken together,
70 these findings may support the application of therapeutic strategies, tailoring more intensive
71 interventions to individuals with low physical performance. However, the systematic review
72 evaluated adult individuals and analyzed SPPB scores in categories (0–3, 4–6, 7–9, 10–12) and
73 not as a continuous variable, so the suggested score (<10) may not precisely discriminate older
74 adults at a heightened risk of mortality.

75 Furthermore, most of the longitudinal studies on the association of SPPB and mortality in
76 older adults were conducted in North America, Europe, and Asia (Silva et al., 2021). To the best

77 of our knowledge, only one study was carried out with older adults treated at an outpatient clinic
78 in South America (Fortes-Filho et al., 2020). Specifically in Brazil, no longitudinal studies have
79 been conducted aiming at analyzing SPPB as a predictor of mortality among community-
80 dwelling older adults and no systematic reviews were found on the accuracy of the SPPB in
81 predicting mortality in community-dwelling older adults.

82 Therefore, the present study aimed to analyze the capacity and accuracy of the SPPB for
83 predicting mortality in community-dwelling older adults, as well as to determine cut-off points
84 for men and women.

85

86 **Material and methods**

87

88 *Analytical observational cohort study*

89 This is a longitudinal observational study carried out using data collected in a previous
90 survey (baseline 2017) that evaluated community-dwelling older adults from Macapa, capital of
91 the State of Amapa, Brazil. Information on sample size calculation and population characteristics
92 are available in a previous study (Ohara et al., 2018). This study was approved by the Research
93 Ethics Committee of the Federal University of Amapa under protocols numbers 1.738.671 and
94 4.444,628. All the participants agreed to participate in the research by signing an In-formed
95 Consent Form.

96

97 *Inclusion and exclusion criteria*

98 Elderly individuals aged 60 years or older, able to walk, with or without gait aids, were
99 included in this study. Individuals that could not be located after three attempts, had neurological
100 or orthopedic sequelae, presented cognitive decline, were hospitalized, or had health conditions
101 that prevented physical tests, were excluded. The older adults were recruited and assessed at their
102 respective homes in the year 2017, and interviews were conducted face-to-face by properly
103 trained undergraduate students, and monitored by field supervisors (researcher teachers) (Silva et
104 al., 2020). A total of 443 older adults were interviewed, of which 27 had cognitive decline and 5
105 did not complete the assessments, and for these reasons were excluded. Thus, this study was
106 based on a sample of 411 older adults (baseline 2017).

107 In 2020, the participants were contacted at home by telephone or in person. Of these,
108 there were 34 deaths and 41 older adults were not located for the following reasons: change of
109 address/house, they were not contacted at the residence after three visits, and the
110 address/residence was not found, totaling 336 survivors identified (Figure 1).

111

112 *Physical performance (independent variable)*

113 Physical performance was assessed using the Brazilian version of the Short Physical
114 Performance Battery (SPPB), translated to Brazilian Portuguese (Nakano, 2007). The SPPB

115 consists of three sequential tests that assess balance (static), strength (lower limbs), and gait.

116 Detailed description of SPPB and cut-off points can be viewed in a previous publication (Silva et
117 al., 2021).

118 *Balance* – The balance test consists of three different positions. (1) standing position with
119 feet together; (2) standing with one foot partially forward; and (3) standing with one foot
120 forward.

121 *Strength* – evaluated with the sit-to-stand test. The time that the participant took to
122 complete the movement five times was evaluated, and the shorter the time, the better the
123 performance.

124 *Gait* – assessed using the gait speed test. The time that the participant took to cover a
125 distance of 4 meters was registered, in which a shorter time indicated better performance in the
126 test.

127 Each test is scored from 0 (inability to perform the task) to 4 points (best performance in
128 the test). The total score ranges from 0 (worst performance) to 12 points (best performance)
129 (Nakano, 2007). The SPPB was operationalized as a continuous and categorical variable. As a
130 categorical variable four classifications were considered: scores from 0-3 (very low
131 performance), 4-6 (low performance), 7-9 (moderate performance), 10-12 (good performance).

132

133 *Mortality (dependent variable)*

134 Data regarding mortality (death) were obtained by consulting the National Register of the
135 Deceased (CNF) at www.falecidosnobrasil.org.br. In addition, the older adults and/or family
136 members were contacted via telephone or directly at home to confirm deaths and the number of
137 survivors. Deaths occurring after the baseline assessments in 2017 and in the subsequent three
138 years (2018, 2019, and 2020) were considered. Therefore, a temporal function was adopted: the
139 date of the last assessment and the date of death.

140

141 *Adjustment variables*

142 The following were considered as adjustment variables: (i) socioeconomic variables: sex,
143 age (in years), marital status (without a partner or with a partner), education (years), individual
144 income (no income, up to one minimum wage, or two or more minimum wages), and housing
145 arrangement (living alone or accompanied); (ii) health variables: number of diseases, number of
146 medications regularly used, self-perceived health, falls and hospitalizations in the previous year;
147 (iii) functional disability for basic and instrumental activities of daily living through the Katz and
148 Lawton and Brody scales, adapted to the Brazilian reality (Lino et al., 2008; Santos & Virtuoso
149 Junior, 2008), physical activity level using the International Physical Activity Questionnaire
150 (IPAQ), adapted for older adults from Brazil by Benedetti, Mazo and Barros (Benedetti, Mazo &
151 Barros, 2004) and Benedetti et al. (Benedetti et al., 2007), depressive symptoms by the
152 abbreviated Geriatric Depression Scale (GDS-15) (Almeida & Almeida, 1999), and the body

153 mass index (BMI: Body Mass/Height² - kg/m²).

154

155 *Statistical Analysis*

156 Data were analyzed using absolute frequencies and percentages for categorical variables

157 and means and standard deviations for continuous variables. To compare categories of interest

158 the chi-square test was used. To compare the remaining study variables, the student-t and one-

159 way ANOVA tests were used with Dunnett T3 or Bonferroni correction for multiple

160 comparisons of sociodemographic and health variables between the survivors, deaths, and non-

161 located groups; and between the SPPB categories (very low, low, moderate, and good).

162 The predictive capacity of the SPPB and its subtests was established through multivariate

163 Cox regression analysis, with death as the outcome. In addition, survival analysis was established

164 using the Kaplan-Meier method, considering a 95% confidence interval and a significance level

165 of 5% ($p < 0.05$), using the Statistical Package for Social Sciences program (SPSS), version 25.0.

166 To determine the cut-off points to discriminate mortality, Receiver Operating Characteristic

167 (ROC) curves were constructed, with the parameters of area under the ROC curve (AUC), using

168 MedCalc software, version 11.4.4.

169

170 **Results**

171 Among the 411 older adults evaluated in 2017, 8.3% ($n=34$) corresponded to deaths and

172 81.8% (n=336) to survivors during the mean follow-up of 37.7 ± 6.24 months (2017-2020). The
173 sociodemographic and health characteristics, as well as the scores obtained in the SPPB and in
174 the subtests, according to deaths and survivors, are presented in Table 1.

175 The causes of death were: (i) complications from diabetes; (ii) unspecified viral
176 respiratory infections and pneumonia; (iii) malignant neoplasms; (iv) cardiovascular disease; (v)
177 cerebrovascular accident – CVA; (vi) Covid-19; and (vii) other unspecified acute clinical
178 conditions.

179 In the log rank test, it was observed that the older adults with the worst performance in
180 the scale had a significant lower probability of survival compared to the older adults with the
181 best performance levels ($p=0.002$). Similarly, older adults with lower scores in the balance
182 ($p=0.009$) and gait speed ($p<0.001$) subtests had a lower probability of survival compared to
183 older adults with better performance. There was no significant difference for the sit-to-stand test
184 ($p=0.421$) (Figure 2).

185 The adjusted Cox regression model indicated that the total SPPB score remained
186 associated with mortality (HR= 0.72; 95%CI: 0.58–0.88; $p=0.002$). In an analysis by SPPB
187 categories, older adults with very low (score 0-3) and low (score 4-6) performance had higher
188 risks of death with HR= 9.67 (95%CI: 1.20-77.65; $p=0.033$) and HR= 4.059 (95%CI: 1.09-
189 15.01; $p=0.008$) respectively. For the three subtests, the balance (HR= 0.54; 95%CI: 0.36-0.81;
190 $p=0.003$) and gait speed tests (HR= 0.50; 95%CI: 0.33-0.76; $p=0.001$) were identified as

191 predictors of death. Regarding the subtest categories (score 0 to 4), the balance (HR= 5.86;
192 95%CI: 1.84-18.61; p=0.003) and gait speed tests (HR= 5.07; 95%CI: 1.76-14.58; p=0.003)
193 remained significantly associated with death even in the adjusted model (Table 2).

194 Figure 3 demonstrates that for men, the area under the ROC curve was 0.655 (95%CI:
195 0.57-0.74; p=0.044), with a sensitivity of 70.59% and a specificity of 58.93%. For women, the
196 value of the area under the ROC curve was 0.667 (95%CI: 0.60-0.73; p=0.029), with a sensitivity
197 of 70.59% and specificity of 40.18%. The results of the area under the ROC curve represents a
198 weak discrimination capacity (Hosmer & Lemeshow, 2000). The cutoff point ≤ 9 on the SPPB
199 scale was able to predict mortality in older adults of both sexes.

200

201 **Discussion**

202 The present study analyzed the accuracy of the Short Physical Performance Battery for
203 predicting mortality in a representative sample of community-dwelling older adults at a mean
204 follow-up of three years and two months, establishing sex-specific cutoff points. This study also
205 identified that low physical performance in the SPPB, as well as in the balance and gait speed
206 subtests, were associated with lower survival and a higher risk of death.

207 It is worth noting that, to our knowledge, this is the first study with Brazilian community-
208 dwelling older adults aimed at addressing the relationship between physical performance
209 assessed by the SPPB and mortality, demonstrating cutoff points for predicting this outcome

210 (Silva et al., 2021). Additionally, the sociodemographic characteristics of the older adults in this
211 study are similar to the investigation conducted with Mexican-American older adults
212 (Mutambudzi et al., 2019), which suggests the possibility of comparing and extending the data to
213 other Latin American countries.

214 We emphasize that data referring to cutoff points (accuracy) by ROC (AUC) curve
215 analyses of the SPPB for mortality among community-dwelling older adults were not reported in
216 any study carried out in the American continent (Silva et al., 2021). The cutoff points found in
217 this study could help to identify older adults with a higher risk of death at an early stage, given
218 the easy applicability of the SPPB in different settings.

219 Poor physical performance among older adults is influenced by multifactorial aspects
220 (chronic, psychological, social, and environmental factors) to which individuals are exposed
221 throughout life, and has been associated with adverse health outcomes such as hospitalization,
222 institutionalization, disability, and death. Thus, physical performance is an essential element for
223 the detection of age-related clinical conditions (Patrizio et al., 2021) and the SPPB has shown
224 good prognostic value for these short- and long-term outcomes, even among individuals with
225 moderate performance (Cesari et al., 2009; Arnau et al., 2016; Fortes-Filho et al., 2020). These
226 aspects were reinforced by studies conducted with community-dwelling older adults, with or
227 without previous clinical conditions (Legrand et al., 2014; Brown, Harhay & Harhay, 2015; Fox
228 et al., 2015; Lattanzio et al., 2015; Landi et al., 2016).

229 In the current study, the survival curves for mortality indicated that participants in lower
230 categories in the SPPB were associated with a lower probability of survival compared to those in
231 higher categories, and the same was reproduced for the balance and gait speed tests (total score)
232 and in the analysis by subtest categories (maximum score 4 points). Several studies conducted
233 with community-dwelling older adults (Rolland et al., 2006; Cesari et al., 2009; Legrand et al.,
234 2014; Mutambudzi et al., 2019), hospitalized (Chiarantini et al., 2010; Lamers et al., 2017;
235 Nastasi et al., 2018; van Mourik et al., 2019; Saitoh et al., 2020), and after discharge from
236 intensive care units (Corsonello et al., 2012; Lattanzio et al., 2015) are in line with our findings.

237 Most of these studies were conducted in European countries, such as Italy (Perera et al.,
238 2006; Cesari et al., 2009; Lattanzio et al., 2015; Landi et al., 2016; Veronese et al., 2017),
239 England (Fox et al., 2015), Finland (Björkman et al., 2019), and France (Rolland et al., 2006),
240 with follow-up times ranging from 24 months to 10 years. The only Brazilian longitudinal study
241 on the SPPB and mortality with older adults was carried out by Fortes-Filho et al. (Fortes-Filho
242 et al., 2020) and was conducted with 512 older outpatients with acute illnesses followed for one
243 year. All these studies indicate significant risk values for low or moderate SPPB scores as
244 predictors of mortality.

245 Not only in the SPPB, but also observed in isolation, the balance, gait speed, and strength
246 are all components strictly-related to physical performance (Silva et al., 2021). In young adults,
247 lean muscle mass comprises approximately 50% of total body weight but this drops to around

248 25% upon entering the age range of 75-80 years (Short & Nair, 2000). Age-related muscle loss is
249 paramount in the reduction in physical performance associated with age, as it may lead to
250 decreased muscle strength (Cruz-Jentoft et al., 2010). Gait and balance disorders in older adults,
251 in turn, are usually multifactorial. However, loss of muscle strength may be associated with these
252 disorders and, together, may predispose the older adult to a higher risk for adverse outcomes
253 such as fractures from falls, hospitalization, frailty, and death (Nofuji et al., 2016).

254 In surveys of community-dwelling older adults conducted in France (Rolland et al., 2006)
255 and in the United States (Verghese et al., 2012) in a follow-up of 3.8 years and 32 months,
256 respectively, the gait speed test was more strongly associated with mortality when compared to
257 the total SPPB score. Similarly, other studies suggest that the gait speed test alone is able to
258 predict mortality as well as the SPPB, even among older adults in different settings (Cesari et al.,
259 2013; Pamoukdjian et al., 2017; Veronese et al., 2017). On the other hand, the study by Fortes-
260 Filho et al. (Fortes-Filho et al., 2020) showed the SPPB total score to be the best discriminator of
261 adverse outcomes (including death) when compared to the gait speed test alone.

262 In contrast, Charles et al. (Charles et al., 2020), in a study carried out in Belgium with
263 604 institutionalized older adults, concluded that an increase of 1-unit in the balance test was
264 able to reduce the probability of death by 12% during a 3-year follow-up. Similar results were
265 reported by Nastasi et al. (Nastasi et al., 2018) in a study with 142 older adults hospitalized in the
266 United States, in a 5-year follow-up, in which the balance test was more strongly associated with

267 mortality. However, in both studies, no significant results were observed for the other scale tests
268 (gait speed and sit-to-stand tests).

269 Another study (Cesari et al., 2008) included 335 Italians aged 80 years or more, living in
270 the community and followed up for 24 months. In the comparative analysis of the SPPB
271 components, the authors found that the sit-to-stand test showed a greater prognostic value for
272 mortality compared to balance and gait speed. This result contrasts with the findings of the
273 present study, in which the sit-to-stand test was not associated with the risk of death among the
274 older adults evaluated. Above all, it is worth considering that the sit-to-stand test in isolation has
275 been shown to be a predictor of mortality among older adults (Barbour et al., 2016; Keevil et al.,
276 2018) and a recent systematic review highlighted its predictive value for functional dependence
277 in ADLs in this group of individuals (Wang et al., 2020). Of note, it would be useful to establish
278 the predictive power of isolated tests of the SPPB for mortality, considering that there are
279 advantages in terms of time and costs to performing one tests in an isolated manner compared to
280 the entire SPPB (Rolland et al., 2006).

281 The SPPB is a widely used instrument to assess physical performance in scientific
282 research, as it demonstrates a high level of reliability regarding the measurement of physical
283 function among community-dwelling older adults (Freiberger et al., 2012; Treacy & Hassett,
284 2018). It is emphasized that small changes in the scale such as 0.5 points already express

285 significant results, even if small; and changes of 1 point reflect a substantial impact on the global
286 functional capacity of the older adults (Treacy & Hassett, 2018).

287 The present study not only identified the discriminating capacity of the SPPB for
288 mortality but also established SPPB cutoff points for predicting this outcome. This study
289 identified a cutoff point in the total SPPB score that is lower (≤ 9) than the previous systematic
290 review (Treacy & Hassett, 2018), indicating that the increased risk of mortality may be identified
291 using different cutoff values in different settings and ages. Of note, one study conducted in Italy
292 with 506 older adults discharged from hospital, followed for 1 year, identified a cutoff point < 5
293 in the area under the ROC curve (AUC - 0.66; sensitivity: 0.66 and specificity: 0.62) as a
294 predictor of mortality (Corsonello et al., 2012).

295 This study has some limitations: (i) the SPPB scale was applied only at baseline and
296 participants were not reassessed during the study follow-up, which made it impossible to know
297 about possible changes in the level of physical performance over time; (ii) the use of
298 questionnaires and self-reported measures (clinical and health conditions) may not precisely
299 estimate some of the information found. However, the strengths of this study include the use of a
300 representative sample of community-dwelling older adults from the Brazilian Amazon region
301 and the results obtained provide relevant information about physical performance and mortality
302 in this group of individuals. In addition, the findings demonstrate the ability of the SPPB to
303 predict the risk of death and survival, as well as its accuracy; presenting cutoff points for both

304 sexes. Finally, this investigation also evidences the ability of the balance and gait speed tests to
305 predict survival and the risk of death.

306 In this perspective, this study can guide clinicians and policy makers in the decision-
307 making process, especially when aiming at the implementation of interventions targeting
308 reductions in adverse health outcomes in the geriatric population in Brazil. On the other hand, it
309 is emphasized that these data should not be used and interpreted indiscriminately, and factors
310 such as the clinical context, the heterogeneity of the decline in physical performance from the
311 analysis of extrinsic and intrinsic aspects acquired throughout life should be considered
312 (Hoekstra et al. 2020).

313 Moreover, it is important to know that the SPPB has advantages over other physical
314 performance assessment instruments, as it is a non-invasive, low-cost, and easily applied
315 instrument that can be used in different settings. However, despite the consistent results of the
316 SPPB for predicting adverse health outcomes, longitudinal studies aiming at establishing cutoff
317 points in Brazilian older adults are still needed to ratify the findings on the risk of death in this
318 population.

319

320 **Conclusion**

321 Older people with worse physical performance in the SPPB (total score) and in the
322 categories of very low (scores 0-3) and low performance (scores 4-6) had a greater risk of death

323 compared to those with better performance. The balance and gait speed subtests configured
324 mortality predictors, and the cutoff point ≤ 9 was demonstrated to be a mortality discriminator for
325 both men and women.

326

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Table 1 (on next page)

Socioeconomic and health characteristics of community-dwelling older adults according to groups of survivors, deaths, and not located, Macapá-AP, Brazil (2017-2020).

n (%); Mean (standard deviation); BMI: Body mass index; BADL: basic activities of daily living; IADL: instrumental activities of daily living; Significant differences were observed between the groups: *survivors \neq deaths ($p < 0.05$).

Table 1 – Socioeconomic and health characteristics of community-dwelling older adults according to groups of survivors, deaths, and not located, Macapá-AP, Brazil (2017-2020).

Variables	Survivors 336 (81.7)	Deaths 34 (8.3)	Not found 41 (10.0)	p	Total n= 411
Age (years)	70.14 ± 7.27	71 ± 7.33	69.4 ± 7.08	0.641 ^b	70.1 ± 7.25
Sex					
Male	112 (33.3)	17 (50.0)	9 (22.0)	0.037^a	138 (23.6)
Female	224 (66.7)	17 (50.0)	32 (78.0)		273 (66.4)
Education (years)	5.95 ± 5.30	5.17 ± 5.24	5.02 ± 4.83	0.436 ^b	5.79 ± 5.25
Living arrangement					
Living alone	22 (6.5)	4 (11.8)	2 (4.9)	0.497 ^a	28 (6.8)
Accompanied	314 (93.5)	30 (88.2)	39 (95.1)		383 (93.2)
Income					
No income	30 (8.9)	3 (8.8)	11 (26.8)	0.036^a	44 (10.7)
Up to one minimum wage	158 (47.0)	18 (52.9)	17 (41.5)		193 (47.0)
Two or more minimum wages	148 (44.0)	13 (38.2)	13 (31.7)		174 (42.3)
Marital status					
No partner	156 (46.4)	19 (55.9)	16 (39.0)	0.346 ^a	192 (46.5)
With partner	180 (53.6)	15 (44.1)	25 (61.0)		220 (53.5)
Number of diseases	5.41 ± 2.92	5.91 ± 2.93	5.19 ± 2.76	0.546 ^b	5.43 ± 2.90
Number of medications	1.66 ± 1.79	1.50 ± 1.39	1.31 ± 1.55	0.830 ^b	1.62 ± 1.74
BMI (kg/m²)	27.9 ± 4.72	29.4 ± 5.49	27.9 ± 6.30	0.286 ^b	28.1 ± 4.97
Depressive symptoms					
Yes	57 (17.0)	12 (35.3)	10 (24.4)	0.024^a	79 (19.2)
No	279 (83)	22 (64.7)	31 (75.6)		332 (80.8)
BADL					
Dependent	22 (6.5)	7 (20.6)	1 (2.4)	0.015^a	30 (7.3)
Independent	314 (93.5)	27 (79.4)	40 (97.6)		381 (92.7)
IADL					
Dependent	105 (31.3)	7 (20.6)	13 (31.7)	0.429 ^a	125 (30.4)
Independent	231 (68.8)	27 (79.4)	28 (68.3)		286 (69.6)
Health perception					
Positive	101 (30.1)	8 (23.5)	15 (36.6)	0.470 ^a	124 (30.2)
Negative	234 (69.9)	26 (76.5)	26 (63.4)		286 (69.8)
Hospitalization in previous 12 months					

Yes	46 (13.7)	6 (17.6)	6 (14.6)	0.815 ^a	58 (14.1)
No	290 (86.3)	28 (82.4)	35 (85.4)		353 (85.9)
Falls in the previous 12 months					
Yes	71 (21.1)	7 (20.6)	5 (12.2)	0.404 ^a	83 (20.2)
No	265 (78.9)	27 (79.4)	36 (87.8)		328 (79.8)
Level of physical activity					
Inactive	154 (45.8)	23 (67.6)	16 (39.0)	0.029^a	193 (47.0)
Active	182 (54.2)	11 (32.4)	25 (61.0)		218 (53.0)
Total SPPB*	9.35±1.88	8.14±2.51	9.04±2.19	0.003^b	9.22±2.00
SPPB subtests					
Balance	3.71±0.66	3.35±1.01	3.63±0.91	0.020^b	3.67±0.73
Gait speed*	3.62±0.70	3.14±1.07	3.51±0.84	0.002^b	3.57±0.76
Sit-to-stand	2±1.19	1.61±1.12	1.90±1.22	0.177 ^b	1.96±1.19

Notes:

n (%); Mean (standard deviation); BMI, Body mass index; BADL, basic activities of daily living; IADL, instrumental activities of daily living.

^a Chi square test.

^b One-way ANOVA tests were used with Dunnett T3 or Bonferroni correction for multiple comparisons. Significant differences were observed between the groups: *survivors ≠ deaths (p<0.05).

Table 2 (on next page)

Cox regression model for the SPPB as a predictor for the risk of mortality among community-dwelling older adults, n=370, Macapa-AP, Brazil (2017-2020).

HR= hazard ratio; 95% CI: confidence interval; $p < 0.05$; SPPB: Short Physical Performance Battery; 1: reference category; s (seconds); Adjusted for age (years), sex, education (years), income, marital status, living arrangement, number of diseases and medications, hospitalization in the previous year, health perception, functional disability, level of physical activity, falls, body mass index, and depressive symptoms.

Table 2 – Cox regression model for the SPPB as a predictor for the risk of mortality among community-dwelling older adults, n=370, Macapa-AP, Brazil (2017-2020).

SPPB	Mortality					
	HR unadjusted	CI95%	p	HR adjusted	CI95%	p
SPPB (score)	0.78	0.68 – 0.89	0.001	0.72	0.58 – 0.88	0.002
SPPB (categories)						
Very low (0-3)	8.13	1.78 – 37.2	0.007	9.67	1.20 – 77.65	0.033
Low (4-6)	3.94	1.43 – 10.8	0.008	4.05	1.09 – 15.01	0.008
Moderate (7-9)	1.57	0.71 – 3.47	0.259	1.33	0.53 – 3.30	0.536
Good (10 -12)		1			1	
SPPB (subtests)						
Balance (score)	0.61	0.44-0.85	0.004	0.54	0.36-0.81	0.003
Balance (1-4 points)						
1 (≥ 10 s test 1)	2.08	0.28-15.46	0.473	2.65	0.19-36.13	0.464
2 (≥ 10 s tests 1 and 2)	3.91	1.67-9.17	0.002	5.86	1.84-18.61	0.003
3 (3-9.99s test 3)	1.30	0.44-3.78	0.625	2.02	0.57-7.17	0.274
4 (≥ 10 s all the tests)		1			1	
Gait speed (score)	0.56	0.40-0.77	<0.001	0.50	0.33-0.76	0.001
Gait speed (0-4 points)						
1 (> 8.70 s)	4.00	1.18-13.55	0.026	4.29	0.69-26.37	0.116
2 (6.21-8.70s)	4.94	2.16-11.29	<0.001	5.07	1.76-14.58	0.003
3 (4.82-6.20s)	0.84	0.28-2.47	0.754	0.52	0.14-1.91	0.326
4 (< 4.82 s)		1			1	
Sit-to-stand (score)	0.75	0.54-1.02	0.071	0.83	0.57-1.19	0.322
Sit-to-stand						
0 (> 60 s)	3.52	0.71-17.47	0.123	0.69	0.07-6.89	0.756
1 (> 16.7 s)	2.13	0.62-7.24	0.224	1.43	0.33-6.13	0.623
2 (13.70-16.69s)	1.50	0.36-6.31	0.574	1.91	0.41-8.90	0.406
3 (11.20-13.69s)	1.26	0.30-5.28	0.750	1.29	0.28-5.94	0.743
4 (≤ 11.19 s)		1			1	

HR= hazard ratio; 95% CI: confidence interval; $p < 0.05$; SPPB: Short Physical Performance Battery; 1: reference category; s (seconds); Adjusted for age (years), sex, education (years), income, marital status, living arrangement, number of diseases and medications, hospitalization in the previous year, health perception, functional disability, level of physical activity, falls, body mass index, and depressive symptoms.

Figure 1

Sample composition 2017-2020.

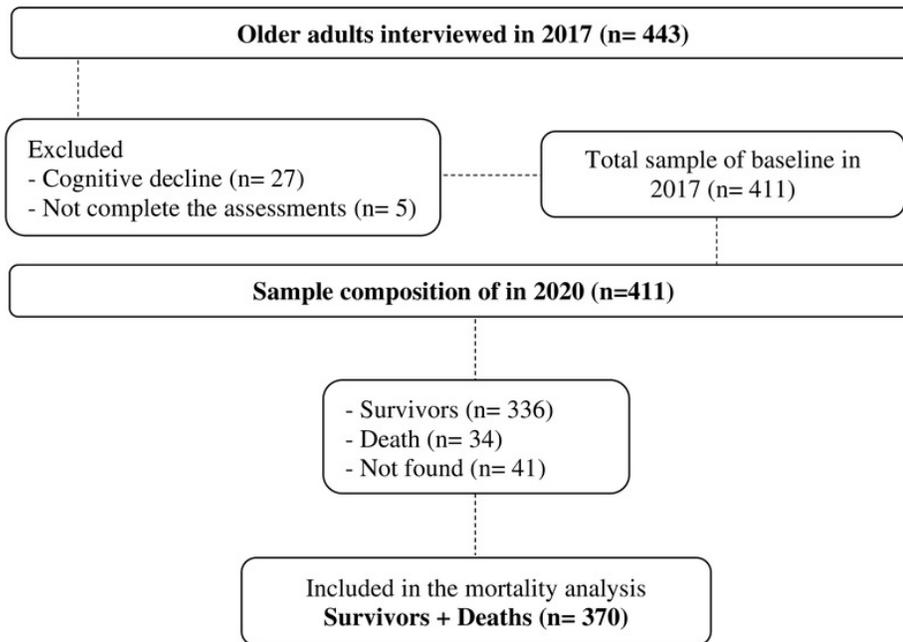


Figure 1- Sample composition 2017–2020.

Figure 2

Survival curves for SPPB categories and subtests among community-dwelling older adults, n= 370. Macapa-AP, Brazil (2017-2020).

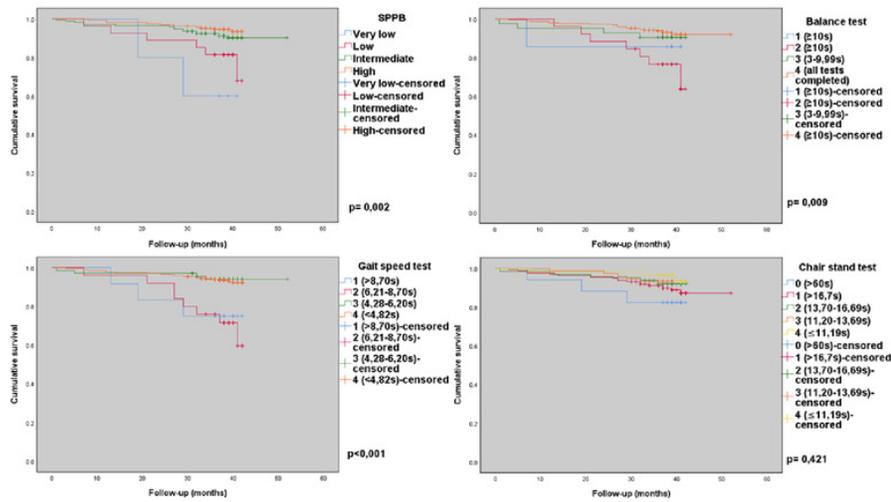


Figure 2 - Survival curves for SPPB categories and subtests among community-dwelling older adults, n= 370. Macapa-AP, Brazil (2017-2020).

Figure 3

Areas under the ROC curve for SPPB as a predictor of mortality among community-dwelling older men and women, n= 370. Macapa-AP, Brazil (2017-2020).

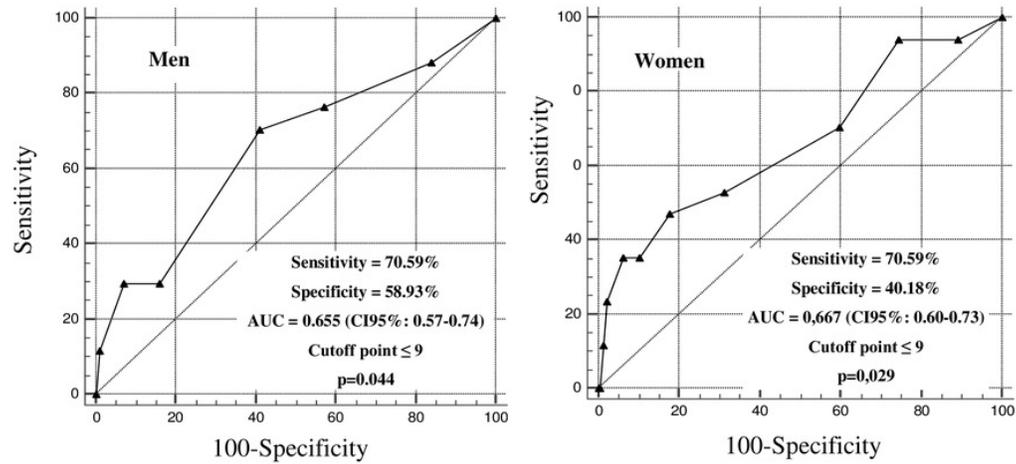


Figure 3 – Areas under the ROC curve for SPPB as a predictor of mortality among community-dwelling older men and women, n= 370. Macapa-AP, Brazil (2017-2020)