

1 **Longitudinal study on the effect of keratinized mucosal augmentation surrounding dental**
2 **implants in preventing peri-implant bone loss**

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38 **Abstract**

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40 **Background**

41 Dental implant therapy is a well-established method of prosthetic rehabilitation of missing
42 teeth. To maintain the health of the surrounding tissue, management of risk factors/indicators and
43 daily maintenance are important. It still remains controversial whether a certain amount of
44 keratinized mucosal width is essential for maintaining the health of peri-implant tissue.

45 The purpose of this multicenter retrospective study was to assess the correlation between bone
46 loss around dental implant and the amount of keratinized tissue width.

47 **Methods**

48 A total of 1644 implants were evaluated. Data was collected about participants' general and
49 dental history, as well as implant details. Bone resorption around implant was calculated from
50 intra-oral radiographs taken after 1 year and more than 3 years of function. Implants were
51 classified into three groups; received free gingival graft or apically repositioned flap surgery for
52 increasing the keratinized mucosa ≥ 2 mm width (group A), keratinized mucosa width ≥ 2 mm
53 (group B), and keratinized mucosa width < 2 mm (group C). These data were analyzed by
54 propensity score analysis and a generalized linear regression analysis was performed to compare
55 the bone resorption among groups.

56 **Results**

57 Mean functional time was 55.8 months (SD = 20.5) in group A, 67.6 months (SD = 28.1) in
58 group B, and 74.5 months (SD = 32.9) in group C. Mean bone resorption of group A, B, and C
59 were 0.08 mm (SD = 0.40), 0.18 mm (SD = 0.66), and 0.44 mm (SD = 0.40). Groups A and B
60 had significantly lower bone resorption than group C.

61 **Conclusion**

62 The results in this study show the importance of keratinized mucosa in maintaining the peri-
63 implant bone. Our findings also suggest that mucosal transplantation is useful, as opposed to
64 narrowing of the keratinized mucosa.

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71 **Introduction**

72 Dental implant therapy is a well-established method to rehabilitate missing teeth and is highly
73 beneficial for the recovery of masticatory function (Esposito et al., 2015). However, peri-implant
74 diseases, a complication, occur at a constant rate. Peri-implant diseases are divided to two
75 pathological situations. Peri-implant mucositis is defined as the presence of a plaque-related
76 inflammatory soft tissue infiltrate with-out concurrent loss of peri-implant bone tissue, while
77 peri-implantitis demonstrate inflammation in combination with bone loss. Peri-implant mucositis
78 is reversible, meanwhile peri-implantitis is irreversible. Therefore, importance of prevention of
79 peri-implantitis was high-lighted, as mucositis was found to be potentially progressing into peri-
80 implantitis. To maintain the health of the surrounding tissue, management of risk
81 factors/indicators and daily maintenance are important. In a retrospective longitudinal study,
82 poor oral hygiene, loss of occlusal support, maxillary implant site, cement-supported
83 superstructure, and width of keratinized mucosa were risk indicators for peri-implantitis
84 (Mameno et al., 2020). Many studies have suggested a prominent association between
85 periodontitis-related factors such as a history of periodontitis and poor oral hygiene and peri-
86 implantitis (Renvert & Quirynen., 2015; Schwarz et al., 2018). However, just as peri-implant
87 tissue and the periodontium are deceptively similar, peri-implantitis and periodontitis have
88 different basic properties while having common characteristics (Kotsakis & Olmedo., 2021).

89 It is commonly believed that width of keratinized and attached gingival width is not important
90 in the progression of periodontal disease if good oral hygiene is maintained (Kennedy et al.,
91 1985). Many studies have focused on the significance of keratinized mucosa surrounding dental
92 implants for peri-implant health (Wennstrom & Derks., 2012; Lin, Chan & Wang., 2013; Thoma,
93 Muhlemann & Jung., 2014). The authors also had previously reported a link between peri-
94 implantitis and width of keratinized mucosa (Wada et al., 2019; Mameno et al., 2020). A
95 systematic review found that an appropriate amount of keratinized mucosa is required to
96 maintain the health of the tissue surrounding the implant (Pranskunas et al., 2016).

97 It has been reported that an insufficient amount of keratinized mucosa, up to 2-mm, tends to
98 cause discomfort during brushing, plaque accumulation, and inflammation of the tissue
99 surrounding the implant (Souza et al., 2016). The width of the keratinized mucosa on the buccal
100 side of the implant is generally about 1 mm shorter than the width on the palatal/lingual side of
101 the tooth (Chang et al., 1999; Chang & Wennstrom., 2013; Parpaiola et al., 2015). In contrast, it

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103 has been reported that the thickness of the buccal keratinized mucosa surrounding the implant is
104 about 1 mm less in thickness than the gingiva (Chang et al., 1999). Considering the
105 aforementioned reports and some contradictory findings (Kim et al., 2009; Schrott et al., 2009),
106 it remains controversial whether a certain amount of keratinized mucosal width is essential for
107 maintaining the health of peri-implant tissue.

108 To clarify the risk factors of bone resorption around a dental implant, appropriate statistical
109 analysis is crucial. Propensity score (PS) analysis is a useful statistical technique to adjust for
110 confounding factors. However, few studies have investigated the necessity of keratinized mucosa
111 using PS analysis.

112 The purpose of this multicenter retrospective study was to clarify the correlation between the
113 presence of keratinized mucosa and peri-implant bone resorption and the clinical efficacy of
114 augmentation techniques used to increase the keratinized mucosal width around dental implants
115 by PS analysis.

116 **Materials & Methods**

117 *Study participants*

118 The records of patients who underwent dental implant therapy between November 1996 and
119 February 2015 at two university dental hospitals (Osaka University Dental Hospital, Osaka,
120 Japan and Aichi Gakuin University Dental Hospital, Aichi, Japan) and six dental offices, which
121 also situated in Japan were analyzed. The inclusion criteria were: (1) at least one rough-surface
122 titanium implant with fixed prosthesis in function for over 4 years and availability of intraoral
123 radiographs taken at 1 year follow-up after prosthesis delivery. The exclusion criteria were: (1)
124 absence of the regular maintenance programs, (2) history of radiotherapy to the head/neck area,
125 and (3) presence of uncontrolled systemic diseases. All participants provided informed consent
126 after understanding the purpose of the study. Before implant therapy, all participants received
127 initial periodontal treatment and smoking cessation guidance, if necessary.

128 This study was approved by the Osaka University Graduate School of Dentistry Ethics
129 Committee (H28-E24). All clinical investigations were conducted according to the principles of
130 the Helsinki Declaration. This study also followed the Strengthening the Reporting of
131 Observational Studies in Epidemiology (STROBE) guidelines.

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134 *Demographic data collection*

135 Data on age, sex, smoking habits (defined as smoking more than one cigarette per day), alcohol
136 consumption habits (defined by daily intake), and systemic diseases were obtained as systemic
137 factors. As intraoral factors, history and presence of periodontitis, oral hygiene status (plaque
138 control record [PCR]; O'Leary score), number of occlusal support (Eichner index; A1–3, B1–4,
139 and C1–3), existence of bruxism, and gonial-angle on the orthopantomogram (index of occlusal
140 force) were collected (Miwa et al., 2019). The definition of periodontitis is currently followed a
141 new classification, which based on a multi-dimensional staging and grading categories
142 (Papapanou et al., 2018). However, the data of this study was collected retrospectively, therefore,
143 definition of periodontitis in this study referred to the previous report (Derks et al., 2016).
144 According to this report, history of periodontitis was defined as recorded presence of bleeding on
145 probing or suppuration, attachment loss ≥ 2 mm, and pocket probing depth ≥ 6 mm on more than
146 two teeth, and presence of periodontitis was defined as bleeding on probing or suppuration
147 attachment loss ≥ 2 mm, and pocket probing depth ≥ 6 mm on more than two teeth at the follow-
148 up examination, according to a previous report of periodontitis definition. Based on these data,
149 the participants were divided into two groups by using a cut-off value of 20% PCR score, and
150 Eichner A1–3, B1–2, B3–4 (having at least one occlusal contact), or C1–3 (no occlusal contact).
151 Bruxism was diagnosed if the following signs were present: subjective symptoms of tooth
152 grinding or clenching, abnormal tooth wear, and transient pain or fatigue of the masseter muscle.

153 *Implant data collection*

154 Functional time, implant length and diameter, arch (maxillary or mandibular implant), implant
155 site (anterior or posterior, distal to the canine tooth was defined as posterior), surgical procedure
156 (one-stage or two-stage), with/without bone augmentation (guided bone regeneration [GBR],
157 sinus lift, and socket lift), with or without free gingival graft (FGG) or apically repositioned flap
158 surgery (APF), connection type (external or internal), fixation method (cement-retained or
159 screw-retained), and keratinized mucosa width (KMW). KMW was defined as the minimum
160 distance between the gingival margin and the mucogingival junction surrounding the implant.
161 APF was judged necessary when the KMW was between 1 mm and 2 mm, and FGG was judged
162 necessary when the KMW was less than 1 mm. In practice, APF and FGG were performed with

163 the consent of the participants. Based on this, implants were categorized as follows: received
164 FGG or APF (group A), $KMW \geq 2$ mm (group B), and $KMW < 2$ mm (group C).

166 *Radiographic evaluation*

167 In this study, bone resorption around each implant was evaluated by intraoral radiography. A
168 single blinded examiner (MW) analyzed the bone level using image analysis software (ImageJ
169 1.49v; Wayne Rasband, National Institutes of Health, Bethesda, MD, USA). The measurement
170 method was as follows: the vertical distance from the implant apex to the bone crest in contact
171 with the implant was measured on the mesial and distal to the implant. The actual implant length
172 was then used to calibrate the vertical distance. This measurement was performed at baseline (1
173 year after prosthesis delivery) and at follow-up (over 3 years from baseline). The marginal bone
174 level change (MBLC) was calculated by the difference in the distance between baseline and
175 follow-up. If there was a difference between mesial and distal MBLC, a larger MBLC was used
176 for analysis. As bone resorption within the first year of implant function is often relatively high
177 because of bone remodeling, the baseline was set to 1 year after delivery of the prosthesis, which
178 is considered to be the period of completion of physiological bone resorption. It should be noted
179 that the intra-class correlation coefficient for the MBLC measurement was 0.97, which indicated
180 almost perfect concordance.

182 *Statistical Analysis*

183 The mean and standard deviation (SD) for continuous variables and percentage for categorical
184 variables are presented as descriptive statistics. Initially, the comparison analyses for each
185 variable were performed by Chi square test and Kruskal-Wallis test. Inverse probability of
186 treatment weighting (IPTW) was used to address the large differences in sample size and
187 baseline characteristics of the study population. In the IPTW method, weights are assigned to
188 implant sites based on the inverse of their probability of receiving a keratinized mucosal
189 augmentation procedure, as estimated by the propensity score (PS) analysis. The score was
190 calculated based on a multinomial logistic regression model that estimated treatment allocation
191 to the three groups, along with background factors associated with bone resorption (age, sex,
192 follow-up period, history of periodontitis, diabetes mellitus, smoking, PCR) as adjusting
193 variables. IPTW results in a pseudo-population in which implant sites with a high probability of

194 receiving the procedure have a smaller weight and implant sites with a low probability of
195 receiving the procedure have a larger weight. Therefore, the distribution of the measured
196 characteristics of implant sites that are used to calculate the propensity score becomes
197 independent of the treatment assignment. Finally, a generalized linear regression analysis was
198 performed to compare the MBLC among groups A, B, and C in the re-weighted pseudo-
199 population. All statistical analyses were performed using R version 3.31 (The R Foundation for
200 Statistical Computing, Vienna, Austria). The level of statistical significance for all analyses was
201 set at 0.05.

202 203 **Results**

204 A total of 1626 implants (66 in group A, 987 in group B, and 573 in group C) were placed in
205 545 patients with a mean age of 57.5 years (Figure 1). In group A, 18 implants (21.4%) with less
206 than 2 mm KMW at follow-up were determined to be unsuccessful and excluded from the
207 analysis. The description of all variables according to each group is presented in Table 1. Mean
208 functional time was 55.8 months (SD = 20.5) in group A, 67.6 months (SD = 28.1) in group B,
209 and 74.5 months (SD = 32.9) in group C. Mean MBLC of group A, B, and C were 0.08 mm (SD
210 = 0.40), 0.18 mm (SD = 0.66), and 0.44 mm (SD = 0.40), respectively. Descriptive analysis
211 showed large variations in baseline characteristics among groups A, B, and C.
212 Demographic characteristics known to be risk factors for the development of peri-implantitis
213 (age, sex, follow-up period, history of periodontitis, diabetes, smoking, plaque control record,
214 and clinician-associated factors) were used for PS analysis, because large differences were
215 adjusted for in each group. In the final analysis, unstandardized regression coefficients (B), 95%
216 confidence intervals (CI), and *P-values* were estimated with reference to group C. PS analysis
217 showed that both groups A (B = -0.37, 95% CI: -0.49 to -0.24, *P* < 0.01) and B (B = -0.21, 95%
218 CI: -0.30, -0.12, *P* < 0.01) had significantly lower MBLC than group C (Table 2).

219 220 **Discussion**

221 Prevention and control of peri-implant disease is crucial in implant therapy. Many factors, such
222 as oral hygiene, periodontal disease, smoking, systemic diseases, implant surface texture, implant
223 site, and prosthesis design, have been proposed as risk factors for peri-implant disease

224 (Pjetursson et al., 2012; Marrone et al., 2013; Aguirre-Zorzano et al., 2015; Daubert et al., 2015;
225 Gurgel et al., 2017; Staubli et al., 2017). In this study, we clarified the correlation between the

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Daubert et al., 2015

228 amount of keratinized mucosa and the bone loss around dental implants and the effectiveness of
229 keratinized mucosa transplantation by PS analysis, which could adjust the difference of related
230 variables. In a cross-sectional study, the lack of keratinized mucosa around the implant was
231 significantly associated with increased plaque accumulation, mucosal recession, interproximal
232 bone resorption greater than 3 mm, and peri-implantitis (Kungsadelpipob et al., 2020) while
233 another cross-sectional study suggested that a thin gingival phenotype and inadequate KMW (<2
234 mm) could be strong risk indicators of peri-implant disease and pain/discomfort during brushing
235 (Gharpure et al., 2021). In particular, a meta-analysis identified that sites with a wider range of
236 keratinized mucosa had a statistically significant advantage in terms of plaque score, modified
237 gingival index, mucosal recession, and attachment loss (Wennstrom & Derks, 2012). A 10-year
238 cross-sectional study after implant placement showed that a lack of keratinized mucosa was
239 associated with higher plaque score, even in patients with adequate oral hygiene (Roccuzzo,
240 Grasso & Dalmasso, 2016).

241 Many studies suggest that lack or narrowing of keratinized mucosa may adversely affect oral
242 hygiene; however, there is limited evidence that this factor increases the risk of peri-implantitis,
243 necessitating further research in the future. Conversely, another cross-sectional study
244 demonstrated that soft tissue transplantation with implant placement using CTG reduced
245 bleeding on probing and pocket depth and incidence of peri-implant mucositis and peri-
246 implantitis and had a beneficial effect in maintaining peri-implant health (Obreja et al., 2021). A
247 systematic review showed that soft tissue transplantation provides better peri-implant
248 environment (Thoma et al., 2018). Specifically, the acquisition of keratinized mucosa using
249 autologous grafts greatly decreased the bleeding index, maintained marginal bone height, and
250 increased mucosal thickness using autologous grafts significantly decreased marginal bone loss.

251 In contrast, excessive thickness of vertical soft tissue surrounding the implant in patients with a
252 history of periodontitis was reported to adversely affect the health of implant tissue (Zhang et al.,
253 2020). Not surprisingly, periodontopathic bacteria have a high risk of colonizing deep pockets
254 around implants, increasing the likelihood of peri-implant disease. Whether maintenance of soft
255 tissue transplant thickness around implants is important requires further investigation.

256 This study had some limitations. First, this was a retrospective study, and therefore, various
257 biases could not be completely eliminated. In the future the risk factors should be confirmed by
258 conducting prospective studies on diseases with a relatively high incidence, such as peri-implant

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diseases. Second, this study did not investigate local plaque deposition. As mentioned above, it is important to investigate these factors as the existence of the keratinized mucosa is suggested to have an effect on oral hygiene.

Conclusions

The results in this study show the importance of keratinized mucosa in maintaining the peri-implant bone. Our findings also suggest that mucosal transplantation is useful, as opposed to narrowing of the keratinized mucosa.

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 405

406 **Figure and Tables legends**

407 Figure

408 Flow of participants selection and analysis method.

409

410 Table 1

411 Characteristics of evaluated implants.

412 SD: standard deviation, PCR: plaque control record, KMW: keratinized mucosa width.

413 ^a: chi square test was used to compare categorical variables.

414 ^b: Kruskal-Wallis test was used to compare continuous variables.

415 P-value of <.05 was considered to indicate statistical significance.

416

417 Table 2

418 Comparing the bone loss among 3 groups.

419 B: unstandardized regression coefficients, CI: confidence interval.

