

Characterizing the suckling behavior by video and 3D-accelerometry in humpback whale calves on a breeding ground (#66429)

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Characterizing the suckling behavior by video and 3D-accelerometry in humpback whale calves on a breeding ground

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Getting maternal milk through nursing is vital for all new-born mammals. Despite its importance, nursing has been poorly documented in humpback whales (*Megaptera novaeangliae*) as it is difficult to observe underwater without disturbing the whales, and usually impossible to observe from a ship. We attempted to observe nursing from the calf's perspective by placing CATS cam tags (video camera, hydrophone and auxiliary sensors: depth sensor, 3D accelerometer, 3D gyroscope) on three humpback calves in the Sainte Marie channel, Madagascar, Indian Ocean, during the breeding seasons. This method minimized any potential disturbance from human presence. A total of 10.52 hours of video recordings were collected, with the corresponding auxiliary data. Video recordings were manually analyzed and correlated with the auxiliary data, allowing us to extract different kinematic features including the depth rate (DR), speed, fluke stroke rate (FSR), overall body dynamic acceleration (ODBA), pitch, roll, and roll rates. We found that suckling events lasted 18.8 ± 8.8 s ($N = 34$) on average and were performed mostly during dives, at 19 ± 6.7 m depth. Suckling events represented 1.7% of the total observation time. During suckling, the calves were seen at a 30-45° pitch angle relative to the midline their mother's body and were always observed rolling either to the right or to the left. From our dataset, we found that suckling behavior was characterized by a high absolute mean roll and low mean speed. Kinematic features were used for supervised machine learning in order to subsequently detect the suckling activities automatically. These findings open new opportunities for further investigation of suckling behavior in humpback whales and in baleen whales in general.

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22 Abstract

23 Getting maternal milk through nursing is vital for all newborn mammals. Despite its importance,
24 nursing has been poorly documented in humpback whales (*Megaptera novaeangliae*) as it is
25 difficult to observe underwater without disturbing the whales, and usually impossible to observe
26 from a ship. We attempted to observe nursing from the calf's perspective by placing CATS cam
27 tags (video camera, hydrophone, and auxiliary sensors: depth sensor, 3D accelerometer, 3D
28 gyroscope) on three humpback calves in the Sainte Marie channel, Madagascar, Indian Ocean,
29 during the breeding seasons. This method minimized any potential disturbance from human
30 presence. A total of 10.52 hours of video recordings were collected, with the corresponding
31 auxiliary data. Video recordings were manually analyzed and correlated with the auxiliary data,
32 allowing us to extract different kinematic features including the depth rate (DR), speed, fluke
33 stroke rate (FSR), overall body dynamic acceleration (ODBA), pitch, roll, and roll rates. We
34 found that suckling events lasted 18.8 ± 8.8 s ($N = 34$) on average and were performed mostly
35 during dives, at 19 ± 6.7 m depth. Suckling events represented 1.7% of the total observation time.
36 During suckling, the calves were seen at a $30\text{-}45^\circ$ pitch angle relative to the midline of their
37 mother's body and were always observed rolling either to the right or to the left. From our
38 dataset, we found that suckling behavior was characterized by a high absolute mean roll and low
39 mean speed. Kinematic features were used for supervised machine learning in order to

40 subsequently detect the suckling activities automatically. These findings open new opportunities
41 for further investigation of suckling behavior in humpback whales and in baleen whales in
42 general.

43 Introduction

44 All female mammals feed their offspring with maternal milk to ensure their progeny's
45 development and survival during their early dependent life stages (Balshine, 2012). The maternal
46 behavior associated to the transfer of milk to the young is referred as 'nursing'. From the
47 offspring's perspective, this behavior can be referred to as 'suckling', i.e., to obtain milk from
48 the mammary gland (breast) through the mammary papilla (nipple or teat) (Hall, Hudson &
49 Brake, 1988). This can involve an active role of mouth movements from the young to stimulate
50 nipple erection, compress the breast's lactiferous sinuses, and generate negative pressure
51 (suction) along with a pulling motion on the teat in order to withdraw the milk, or a passive role
52 in which milk is squirted into the open mouth, with no stimulating movements of the offspring's
53 mouth.

54 Nursing behavior is an integral part of mammalian reproductive behavior (Gittleman &
55 Thompson, 1988). The duration of lactation (the period of time during which milk can be
56 produced; Hall, Hudson & Brake, 1988), and the frequency of nursing, vary greatly among
57 mammals and are related to the developmental characteristics of the young and their
58 environmental constraints (Oftedal, Boness & Tedman, 1987; Oftedal, 1993).

59 Mammals have breasts in a variety of positions and numbers, depending upon taxonomic
60 group. Breasts are always paired, and may occur anywhere along the milk ridge – a line
61 extending from near the axilla (armpit) to the inguinal region (groin or inner thigh). Many
62 mammals have two rows of paired breasts (e.g., four pairs in cats, four-five pairs in dogs, six-
63 seven pairs in pigs), while some only have them in the chest region (e.g., primates, elephants,
64 manatees) or the inguinal region (e.g., horses, giraffes, hippopotamuses). Cetaceans (whales,
65 including dolphins and porpoises) are in the order Cetartiodactyla, and therefore retain hind
66 breasts as is typical of all artiodactyls. While some artiodactyls have two pairs of hind breasts
67 (e.g., cattle), whales only have one pair (similar to goats and sheep).

68 Whale breasts are positioned ventro-laterally on either side of the midline genital slit (opening
69 of the vestibule vagina). The location of each breast is marked by a short mammary slit in the
70 overlying blubber through which the nipple can be extruded for nursing. The mammary
71 glandular tissue is located deep to the overlying blubber layer, thus providing a
72 hydrodynamically streamlined outline even when the whale's breasts are engorged with milk
73 during lactation. Lactation initially occurs in the winter, when calves are born. As most large
74 whales migrate, lactation that begins on the calving area must continue during the migration to
75 the feeding area, and then again on the return trip.

76 Humpback whales (*Megaptera novaeangliae*), the subject of this study, are migratory
77 cetaceans. They move between high-latitude (closer to the poles) feeding grounds and low-
78 latitude (closer to the equator) breeding/calving grounds (Clapham, 2018). Similar to other
79 baleen whales, their nursing strategy is constrained by the prolonged fasting of the mother during
80 lactating period, related to the migratory pattern (Oftedal, 1993). A young humpback whale
81 (calf) feeds exclusively on maternal milk during its first six months of life (Clapham, 2018). The
82 energy intake from the milk is crucial in ensuring rapid growth, and therefore allowing the calf to
83 start its first long migration to the feeding area alongside its mother. As nursing must occur
84 throughout the migration path, mother-calf pairs make slow progress in part due to presumed

85 slower swimming or frequent pauses that allow the calf to suckle. After its first six months of
86 life, the calf starts to feed independently in the feeding area but still continues to get nutrition
87 from milk until complete weaning at 10 to 12 months old (Clapham, 2018). This coincides with
88 the mother-calf pair returning to the calving area.

89 Despite its importance, nursing (and suckling) behavior has been poorly documented in
90 humpback whales because it is difficult to observe with accuracy and certainty. Indeed, it can
91 occur at varying depths from the sub-surface (< 5 m) to deep dives (up to 64 m), as suggested by
92 camera-observed nursing events in feeding areas (Tackaberry et al., 2020). The earlier
93 descriptions of nursing behavior in humpback whales were mostly based on limited surface and
94 sub-surface observations of stationary or slow moving whales, and relied mainly on the
95 positioning of the calf (Glockner & Venus, 1983; Glockner-Ferrari & Ferrari, 1985; Clapham &
96 Mayo, 1987; Morete et al., 2003; Videsen et al., 2017). Clapham and Mayo (1987) for example
97 described nursing as an event between two calf's successive breaths on either sides of the
98 mother's tail stock (caudal peduncle), when the mother is stationary at the surface, and during
99 which the calf is beneath the mother. Videsen et al. (2017) defined nursing events by associating
100 them with the occurrence of 'peduncle dives', defined as repeated dives during which the calf
101 remained submerged beneath the mother's caudal peduncle.

102 Nevertheless, from these different studies, various nursing modalities have been advanced.
103 While nursing, the mother is either stationary or swimming very slowly at a depth around 10-15
104 m (Glockner-Ferrari & Ferrari, 1985), or occasionally at the surface with her tail in the air
105 (Morete et al., 2003). The calf is typically positioned vertically (head up, tail down) beneath the
106 mother (Glockner & Venus, 1983). Videsen et al. (2017) suggested that to initiate suckling, the
107 calf uses tactile stimuli on the mother rather than vocalizations. Tactile stimulation likely results
108 in an autonomic reflex causing extrusion of the nipple. The calf can then grasp it with its tongue.
109 The whale calf has an unusual tongue that is lined with lingual papillae (fringes) that help the
110 tongue grasp the nipple, and may even seal the tongue into a tube that facilitates transferring
111 milk into the mouth.

112 Underwater video is the best method for studying the nursing and suckling behaviors. Various
113 solutions are possible. One option is to use a video camera from a surface platform (boat or
114 kayak), but this has two major drawbacks: 1) it is necessary to get very close to the whales and
115 potentially interfere with their behavior (harassment); 2) visual contact is lost when the whales
116 dive deeply, even when the water is very clear. Another option is to use an underwater drone
117 (Remotely Operated underwater Vehicle – ROV or Autonomous Underwater Vehicle – AUV,
118 Butcher et al., 2021). This method has potential but presently vehicles are not yet agile enough to
119 track whales: too slow, difficult to manoeuvre, and have a limited cable length (in the case of
120 ROV). Alternatively, underwater video can be obtained during close approaches by divers
121 (breath-holding or scuba divers). However, this is only possible when the whales are stationary
122 or swimming very slowly, as it would be impossible for a diver to keep pace with cruising
123 whales. Additionally, the presence of divers in close proximity may disturb the whales, and
124 habituation is not a desirable tactic. Scott Portelli (2021, pers. Comm.), Zoidis and Lomac-
125 MacNair (2017) successfully video documented humpback whale suckling behavior thanks to
126 breath-holding divers. In Zoidis and Lomac-MacNair (2017), the recording of milk clouds in the
127 water column allowed the confirmation of the occurrence of suckling. However, only a few
128 events ($N = 5$ from four mother-calf pairs) could be recorded.

129 The development of animal-borne multi-sensor tags opened the way for remote and accurate
130 recording of whale behavior (Cade et al., 2016), as these may be equipped with camera,

131 accelerometers, gyroscopes, magnetometers, pressure (depth) sensor, temperature sensor, light
132 sensor, and hydrophone, such as Customized Animal Tracking Solutions (CATS) cam tags.
133 These models are particularly well suited as, in addition to kinematic sensors, they also allow the
134 recording of video directly from the calf's perspective, and thus allow confident confirmation of
135 suckling events. Such devices were used by Tackabery et al. (2020) to accurately describe the
136 suckling behavior of humpback whale calves on feeding areas. One disadvantage of using an
137 animal-borne tag is the potential stress generated during the tag attachment phase. The
138 deployment must be done by experienced operators following a strict protocol (slow speed
139 approach, brief deployment time, etc.) to minimize the disturbance (Stimpert et al., 2012;
140 Saloma, 2018).

141 In this study, we characterized the suckling behavior of humpback whale calves on their
142 breeding ground (< 3 months old calves) using CATS cam tags. We aimed to describe in detail:
143 1) how and at what depth the suckling is performed, and its duration and frequency; 2) the
144 behavioral signatures of suckling events using accelerometry and depth data; and 3) whether the
145 different kinematic features extracted from only two sensors (3-axis accelerometer and depth
146 sensor) among all the available sensors in the CATS cam tags were sufficient for a supervised
147 machine learning algorithm to detect suckling events. Supervised machine learning is a type of
148 machine learning in which an algorithm learns from labelled datasets to classify of unforeseen
149 data. Such classification technique would open the opportunity to detect suckling events from
150 data collected by tags without video camera (such as Acousonde or Dtags) or when visual
151 observations are not good enough (during night time or in water with poor visibility).

152 **Materials & Methods**

153 **Study area**

154 The study occurred in the Indian Ocean along coast of Madagascar, specifically in the Sainte
155 Marie channel. The channel is located between the Sainte Marie Island (between latitudes 17° 19'
156 and 16° 42' South, and longitudes 49° 48' and 50° 01' East) and the east coast of Madagascar's
157 mainland. The channel is approximately 60 km long and 7-30 km wide. It is relatively shallow:
158 its average depth is about 35 m and the maximal depth is 60 m (Trudelle et al., 2016). The tag
159 deployments were conducted as part of ongoing study on humpback whale mother-calf
160 interactions, during the calving seasons of 2018 and 2019 (between August and September).

161 **Tag specifications**

162 We used CATS cam tags to investigate humpback whale suckling behavior. CATS cams are
163 small and lightweight (~500 g), non-invasive animal-borne multi-sensors tags, attached via
164 suction cups. They contain six auxiliary sensors (3-axis accelerometer, 3-axis gyroscope, 3-axis
165 magnetometer, pressure (depth) sensor, temperature sensor, and light sensor), a hydrophone, and
166 an HD video camera (100° Field of view). A VHF transmitter (ATS F1835B) attached on the tag
167 allows tracking for tag retrieval. The sampling frequency was set at 10 Hz for the magnetometer,
168 gyroscope, depth, and temperature sensors. Accelerometer sampling rate was set at 400 Hz in
169 2018 and at 800 Hz in 2019. The camera recorded videos with a 1280 x 720-pixel resolution at
170 30 frames per second in 2018, and with a 1920 x 1072-pixel resolution at 30 frames per second
171 in 2019. The hydrophone recorded sound at a 48 kHz sampling rate in 2018 and at a 24 kHz
172 sampling rate in 2019 (16-bit resolution).

173 **Tagging procedures**

174 CATS cam tags were deployed on calves accompanied by their mother, from a 6.40 m rigid
175 motor boat, using a 5-m carbon-fiber pole. Deployment were performed by researchers
176 experienced in successfully approaching mother-calf pairs with minimal disturbance. The tags
177 were placed on the back, near the dorsal fin of the animal. Calves were tagged using one of the
178 two approaches described in Stimpert et al. (2012) and Saloma (2018) in order to minimize
179 disturbance to the mother-calf pair. Tagging efforts were terminated if the pair displayed
180 avoidance behavior, or if the calf was not successfully tagged within 30 min. All mother-calf
181 pairs were photo-identified to avoid double-sampling within the calving season. We attributed a
182 relative age to each tagged calf depending on the angle of furl of the dorsal fin, following
183 established methods (newborn versus non-newborn, Cartwright & Sullivan, 2009; Faria et al.,
184 2013; Saloma, 2018).

185 Tagged animals were not followed immediately after tag deployment to avoid any further
186 disturbance of their behavior. After tag deployment or an aborted attempt, the boat slowly moved
187 away in the opposite direction of the mother-calf pair. The tag was retrieved after few hours or
188 the following day, when it detached itself from the animal (usually as a consequence of rubbing
189 against the mother, jumps, etc.). The VHF tag emitted a continuous signal, facilitating retrieval.
190 All methods and approaches were carried out **in accordance with relevant guidelines** and
191 regulations in force in Madagascar, and were approved by the Ministry of Fisheries Resources,
192 Madagascar, under the national research and collect permits #28/18-MRHP/SG/DGRHP and
193 #36/19-MAEP/SG/DGPA.

194 **Sensor data analysis**

195 Data from all sensors were downloaded as CSV files and imported into MATLAB (Mathworks)
196 using dedicated scripts (CATS Matlab toolkit, [https://github.com/wgough/CATS-Methods-](https://github.com/wgough/CATS-Methods-Materials)
197 [Materials](https://github.com/wgough/CATS-Methods-Materials)). Raw accelerometer data were downsampled to obtain a common sampling rate of
198 10-Hz across all sensors. Sensors reading were rotated to match the calf's orientation frame
199 using established methods, and animal pitch and roll (in degree) were calculated (Johnson &
200 Tyack, 2003; Cade et al., 2016; Tackaberry et al., 2020). Animal forward speed (speed hereafter,
201 in meter/second), sampled at 10 Hz, was determined using the tag jiggle recorded in the original
202 high frequency accelerometer data (Cade et al., 2017). Accelerometer data from 2019 were first
203 downsampled to 400 Hz prior to speed calculation for consistency. In addition, we calculated the
204 overall dynamic body acceleration (ODBA, in meter/second²) as in Wilson et al. (2006), the roll
205 rate (in degree/second), and the depth rate (in meter/second), using custom scripts and the
206 Animal Tag toolbox (<http://www.animaltags.org>). Furthermore, we determined the fluke stroke
207 pattern using the band-pass filtered pitch (0.2–1 Hz band-pass filter) as in Simon et al. (2012).
208 Stroking was identified when the signal passed from below -3° to above $+3^\circ$ or vice versa within
209 6.5 s. The used thresholds were determined by visual inspection of the accelerometry plots
210 (Iwata et al., 2021). From the identified fluke strokes, the fluke stroke rate (FSR, in Hertz) was
211 calculated on the basis of half-strokes (López et al., 2015).

212 Depth data (in meters) helped determine various diving or surface activity phases. We defined
213 diving as any submergence to a depth of >10 m (Stimpert et al., 2012; Saloma, 2018). Dives
214 were further divided into three phases: descent, bottom, and ascent phase. As individual dives
215 could include stops at various depths, the bottom phase was defined as the segment at $> 85\%$ of
216 the maximal dive depth for a dive (Stimpert et al., 2012). The descent phase was defined as the
217 segment starting at the surface that immediately preceded the bottom phase. Inversely, the ascent
218 phase was defined as the segment that follows directly the bottom phase and ends at the surface.

219 **Video data analysis and suckling data extraction**

220 We identified and labelled suckling events from the video files using the Behavioral Observation
221 Research Interactive Software (BORIS; Friard & Gamba, 2016). The corresponding depth data
222 was displayed concurrent with the video. We defined a suckling event as a period during which
223 the tip of the calf's snout continuously touched (> 2 s) the mammary slit of its mother and a milk
224 cloud (see video clip in <https://www.youtube.com/watch?v=UcyCgiCieFk>), even in low density,
225 was observed in the water during the event or upon release of contact (Tackaberry et al., 2020).
226 For each suckling event, we calculated its duration and extracted its corresponding activity phase
227 (descent, bottom, ascent, or surface), together with the average depth, depth rate, speed, FSR,
228 ODBA pitch, roll, and roll rate. In addition to suckling events identification, we also counted the
229 number of suckling dives (dives during which one or several confirmed suckling events were
230 recorded) and the number of non-suckling dives during which the calf is observed staying at least
231 5 s under the mother, in close proximity (mother visible above the calf) and without any suckling
232 event.

233 **Comparison of suckling with adjacent non-suckling segments**

234 A reference data set for comparison is needed to contrast and identify the behavioral signatures
235 unique to suckling events. To generate such comparison data, we divided non-suckling periods
236 into non-overlapping segments of 20 s (duration comparable to suckling events) and selected all
237 segments that immediately preceded or followed a suckling event. These selected non-suckling
238 segments, referred as "adjacent non-suckling segments", were analyzed for the same
239 characteristics as suckling events (see above). To assess if there was a significant difference
240 between suckling and non-suckling segments, we used a linear mixed-effects models (estimated
241 using REML – Restricted Maximum Likelihood) that included the suckling status (suckling
242 versus adjacent non-suckling) and the activity phase (descent, bottom, ascent or surface phase) as
243 fixed effects, and individuals as random effect (Tackaberry et al., 2020). In the models, we
244 considered the following response variables: mean depth rate, mean speed, mean FSR, mean
245 ODBA, mean pitch, absolute mean roll (absolute value have been used to emphasize any roll
246 deviation from zero), and mean roll rate. The model's reference levels (intercept) corresponded to
247 adjacent bottom non-suckling (non-suckling segment occurring at the bottom phase of dive). All
248 statistical analyses were completed using the R software. Statistical significance level was set to
249 $\alpha = 0.05$.

250 **Testing automatic identification of suckling behavior using supervised machine 251 learning**

252 **Data preparation**

253 Contrary to the previous analysis for the identification of the behavioral signatures unique to
254 suckling events (in which only suckling events and non-suckling periods adjacent to suckling
255 were included), the dataset we used in this part of the study included the total periods. As the
256 goal was to identify automatically suckling and non-suckling in non-labelled data, it was crucial
257 to include all data. Thus from the complete dataset, we computed 43 features using 2-sec-sliding
258 windows (blocks), without overlap. These features included mean, minimum, maximum,
259 variance, skewness and kurtosis for depth, depth rate, speed, ODBA, pitch, roll (absolute) and
260 roll rate, and mean for FSR. A class was assigned to each block, following the initial video
261 labelling: (1) 'suckling', the targeted behavior, or (2) 'non-suckling'.

262 Similar to Jeantet et al. (2020), we segmented the data prior to machine learning
263 implementation. We excluded blocks too close to the surface (< 2 m depth) or of high speed

264 activities ($> 2 \text{ m s}^{-1}$). This helped in partially removing noise from the data and also in reducing
265 class imbalance. Suckling events occur mostly at depth (Tackaberry et al., 2020). Given the size
266 of the mothers ($> 11 \text{ m}$ in length and $> 2 \text{ m}$ diameter at the umbilical section level in adult
267 whales, as determined by estimates in the field), the generally observed nursing configuration
268 (calf generally below the mother), and speed (Zoidis & Lomac-MacNair, 2017; Tackaberry et al.,
269 2020), we were confident that no nursing events would be recorded at $< 2 \text{ m}$ depth or at $> 2 \text{ m s}^{-1}$
270 speed. We checked the validity of these threshold assumptions in our data to ensure that indeed
271 no suckling events were partially or entirely removed. Validating these parameters ensured that
272 this segmentation could be automatically performed on unknown data, with a very low
273 probability to remove suckling events.

274 Identification of the adequate classifier

275 In order to define an appropriate classification model (machine learning model) for associating
276 the suckling status of the calf with the corresponding patterns of different kinematic features, we
277 trained three types of supervised machine learning algorithms — (i) K-Nearest Neighbors
278 (KNN), (ii) Decision tree, and (iii) Ensemble classifiers — using the MATLAB toolbox
279 *Statistics and Machine Learning*. We repeatedly performed a 60:40 holdout splits on our data
280 (i.e., 60% of the data as training set and 40% of the data as testing set) while maintaining class
281 ratios ($N = 30$ runs): the training set was used for training and the classifier's efficiency was
282 evaluated on the remaining unseen data. Preliminary model selection and hyperparameter tuning
283 for each classifier type was performed using a Bayesian Optimization approach (with 5-fold
284 cross-validation). We followed the workflow described in the associated documentation
285 (<https://fr.mathworks.com/help/stats/bayesian-optimization-workflow>). With the *Statistics and*
286 *Machine Learning* toolbox's Bayesian Optimization algorithm, we optimized the different types
287 of supervised machine learning algorithms across a selection of classification models and
288 hyperparameter values in order to pre-select the best classification models that were suitable for
289 our dataset. For Ensemble classifiers, the selection of classification models included Bootstrap
290 Aggregation (Bagging), Random Forest (Bag), Random Subspace (Subspace), Adaptive
291 Boosting for Binary Classification (AdaBoostM1), Adaptive Boosting for Multiclass
292 Classification (AdaBoostM2), Gentle Adaptive Boosting (GentleBoost), Adaptive Logistic
293 Regression (LogitBoost), Linear Programming Boosting (LPBoost), Least-Squares Boosting
294 (LSBoost), Robust Boosting (RobustBoost), Random Undersampling Boosting (RUSBoost), and
295 Totally Corrective Boosting (TotalBoost). For KNN classifiers, it included Cityblock,
296 Chebychev, Correlation, Cosine, Euclidean, Hamming, Jaccard, Mahalanobis, Minkowski,
297 Seclidean, and Spearman metrics-based KNN. For Decision trees, it included classifiers that are
298 based on a Gini's diversity index (gdi), twoing rule and deviance splitting criterion. These
299 different models are detailed in the aforementioned documentation. The number of runs we
300 chose ($N = 90$ runs, 30 for each type of classifier) and the number of iterations for the Bayesian
301 optimization ($N = 200$) were chosen to optimize results stability and processing speed.
302 For each trial and each class, we calculated five evaluation metrics for making a final decision on
303 the classification model to be retained: Sensitivity (true positive rate, hit rate or recall), Precision
304 (positive predictive value), False Positive Rate (FPR), F -score and Global accuracy. These
305 metrics were calculated as follows and used to select the best and most adapted classification
306 model (definitions as in Jeantet et al., 2020):

307 The Sensitivity measures the ability to detect one behavior among other behaviors:

308 $Sensitivity = \frac{TP}{TP + FN}$ (1)

309 The Precision measures the ability to correctly identify a behavior:

310 $Precision = \frac{TP}{TP + FP}$ (2)

311 The False Positive Rate measures the rate of wrongly considering other behaviors as the
 312 behavior of interest. It is related to the Specificity, which is the ability to avoid wrongly
 313 considering other behaviors as the behavior of interest:

314 $FPR = 1 - Specificity$ with $Specificity = \frac{TN}{TN + FP}$ (3)

315 The *F*-score measures the accuracy in classifying a behavior. It is the harmonic mean of
 316 precision and sensitivity:

317 $F - score = \frac{2TP}{2TP + FP + FN}$ (4)

318 The Global accuracy measures the ability to correctly identify all behaviors as a whole:

319 $Global\ accuracy = \frac{TP + TN}{TP + TN + FP + FN}$ (5)

320 These formulas use the following abbreviations: TP (true positive), TN (true negative), FN
 321 (false negative), and FP (false positive).

322 To assess the potential influence of training set size reduction on the performance of the
 323 elected model, we tested whether changing the training set size changed the performance. We ran
 324 a series of trainings with different holdout splitting of the data (training-testing): 60:40 (as in the
 325 original model selection process), and 50:50, 40:60, 30:70, 20:80, and 10:90. The process was
 326 repeated 30 times for each splitting. A general workflow of the whole machine learning process
 327 we followed is presented in Fig. 1.

328 Generalization across individuals

329 In order to assess whether the model is able to generalize across different individual whale calves
 330 (i.e., whether we can use data obtained from other individuals to identify the suckling behavior
 331 of one individual), we also tested a leave-one-out split, i.e., we trained the model with the highest
 332 performance on *N*-1 calves' data and then tested its performance on the unseen remaining calf
 333 data. We followed the same machine learning workflow outlined in Fig. 1.

334 Aside from possible influence of training set size (e.g., the recorded data from the individuals
 335 used for training is relatively small), lack of generalization ability across individuals may result
 336 from a dataset with high inter-individual variability of suckling behavior characteristics. To
 337 address this potential issue, we tested whether excluding features that contribute most to inter-
 338 individual difference (if any) in the suckling blocks would improve the performance of the
 339 supervised machine learning. To identify the features that contribute most to inter-individual
 340 difference, we trained a random forest algorithm to classify individuals based only on the
 341 suckling blocks (R software package randomForest; Liaw & Wiener, 2002). Features that
 342 contributed the most to the differentiation by individual were identified as those that had a high

343 Gini index in the random forest. We re-ran the leave-one-out design, as described above, but
344 excluding the features identified by using the Gini index. We confirmed the reduction of the
345 inter-individual differences of the suckling blocks by examining the error rate (Out-of-the-bag
346 error rate) of the random forest algorithm (trained to classify individuals). A reduction of inter-
347 individual difference would result in the increase of the error rate. A low error rate indicated that
348 the inter-individual difference is evident enough and thus the random forest algorithm is able to
349 make very good classifications.

350 Results

351 Tag deployments

352 Four calves were tagged with CATS cam tag in the Sainte Marie channel: one during the calving
353 season of 2018 (identified as Calf1) and three during the calving season of 2019 (identified as
354 Calf2, Calf3, and Calf4). All of them had unfurled dorsal fins, indicating that they were not
355 newborn calves (Cartwright & Sullivan, 2009). The deployment on Calf4 was not suitable for
356 our study as the tag (thus the camera) was pointing toward the side of the calf (i.e., away from
357 the mouth), and was thus excluded from our analyses. Deployment information including date
358 and time is indicated in Table 1. The three deployments provided a total of 10.52 hours of usable
359 video and auxiliary data.

360 General description of suckling

361 In all our video recordings, each time the calf's snout continuously touched (> 2 s) the mammary
362 slit, a milk cloud could be observed during or after the contact. These events were all defined as
363 suckling. In total, we identified 34 suckling events (Fig. 2). Detailed summary statistics per
364 individual are presented in Table 1. Throughout this study, mean values are presented with their
365 corresponding standard deviation (SD) in the format mean \pm SD. Suckling events lasted 18.8 \pm 8.8
366 s on average. Most of them constituted a sequence of 2-6 successive events spaced less than a
367 minute apart ($N = 30$, dispersed in 10 series). **They represented 1.7% of the total observation**
368 **time.**

369 The majority of suckling events occurred while diving, during the descent and the bottom
370 phases ($N = 9$ for descent suckling and $N = 20$ for bottom suckling, Table 1), at a mean depth of
371 19 \pm 6.7 m (min = 8.9 m, max = 32.6 m). Only five suckling events occurred at the surface, at a
372 mean depth of 6.2 \pm 2.7 m (min = 2 m, max = 8.4 m). No suckling event occurred during ascent
373 phases.

374 While calves were estimated to be at 30-45° pitch angle relative to the midline of their
375 mother's body during suckling in the videos, the recorded pitch (pitch angle relative to the
376 horizontal) was fairly low on average ($< 15^\circ$). During all of the observed events, the calf was
377 always leaning to one side (to the right or to the left). The leanings were clearly recorded in the
378 roll data as a sustained deviation from zero during suckling (Fig. 2). All series of suckling events
379 were characterized by a distinct alternation between suckling with right leaning and suckling
380 with left leaning, except one, in which the first two successive events were both performed on
381 the same leaning side (Calf3, Fig. 2). In eleven suckling events (one for Calf1, four for Calf2 and
382 six for Calf3), we were able to visually confirm that during a suckling event, the calf's mouth
383 covered only one mammary teat. In three of these events, the tongue was clearly visible and
384 displayed a rhythmic movement (Fig. 3, see also example footage in
385 <https://www.youtube.com/watch?v=nyqhb9BemYI>). For these events with confirmed covered

386 teat, leaning to the right corresponded to a mouth covering the right teat ($N = 3$) and leaning to
387 the left corresponded to a mouth covering the left teat ($N = 8$, Fig. 2).

388 **Relation between calf's positioning and suckling during dive**

389 Compared to non-suckling dives during which calves remained at least once beneath the mother
390 for 5 s (at least), suckling dives were less common (Table 2). In other words, the positioning
391 (beneath the mother) and the suckling behavior were not necessarily related.

392 **Behavioral signatures of suckling**

393 The characteristics of suckling events and adjacent non-suckling segments are summarized in
394 Fig. 4. The results of the linear mixed-effect models are presented in Table 2. Within our model,
395 for depth rate, the effect of suckling and the interaction between surface and suckling were all
396 statistically non-significant and close to zero (Suckling: $\beta = 0.08$, $P = 0.291$, Suckling*Surface: β
397 $= -0.08$, $P = 0.438$, Table 2). In other words, when suckling at the bottom of dive or at surface,
398 calves exhibited a low depth rate that is comparable to adjacent bottom non-suckling segments.

399 Unsurprisingly, the effect of descent was statistically significant ($P < 0.001$), substantial, and
400 positive ($\beta = 0.49$, Table 2). On the other hand, the interaction effect of suckling on descent was
401 statistically significant ($P = 0.001$) and moderate, although negative ($\beta = -0.33$, Table 2). These
402 indicated that the depth rate was high during the dive descent phase when the calf was not
403 suckling, which is expected, but was significantly lower when suckling.

404 With respect to speed, a moderate, negative, and statistically significant effect of suckling was
405 observed ($\beta = -0.33$, $P < 0.001$, Table 2). Overall the speed was lower during suckling events.
406 Although the interaction effect of suckling on descent was statistically significant and positive (β
407 $= 0.22$, $P = 0.043$, Table 2), it was lower than the aforementioned main effect of suckling on
408 speed.

409 Suckling did not have any significant effect on FSR (for all factors: low β and $P > 0.05$, Table
410 2). It also did not have any significant effect on ODBA (for all factors: low β and $P > 0.05$, Table
411 2). In other words, when suckling, the calves displayed a FSR and an ODBA that were
412 comparable to adjacent bottom non-suckling during descent, bottom, and surface suckling.

413 For pitch, the effect of suckling and the interaction effect of surface on suckling were weak
414 and statistically non-significant (Suckling: $\beta = 4.24$, $P = 0.36$, Suckling*Surface: $\beta = -0.85$, $P =$
415 0.836 , Table 2). The pitch exhibited by calves during both bottom and surface suckling were
416 close to the pitch displayed during adjacent bottom non-suckling (segment during which calves
417 are mostly and naturally in a horizontal posture, i.e., pitch close to zero). Unsurprisingly, within
418 the studied dive phases, only descent had a statistically significant ($P = 0.002$) and substantial
419 effect on the pitch ($\beta = -16.1$, Table 2). The interaction effect of suckling on descent, although
420 statistically non-significant ($P = 0.081$), was positive and non-negligible ($\beta = 10.91$). During
421 descent phase of dive, calves also had a posture close to horizontal when suckling.

422 Over all the considered characteristics, the specificity of calves' absolute roll with respect to
423 suckling was the most obvious. The effect of suckling on absolute roll was statistically
424 significant ($P < 0.001$) and very strong ($\beta = 44.42$, Table 2). All other interaction effects were
425 statistically non-significant and weak, compared to the effect of suckling on absolute roll
426 (Suckling*Descent: $\beta = -3.34$, $P = 0.678$, Suckling*Surface: $\beta = -12.85$, $P = 0.127$, Table 2). The
427 recorded absolute roll is particularly high during suckling, regardless of the activity phase.
428 Finally, suckling did not have any significant effect on roll rate (for all factors: low β and $P >$
429 0.05 , Table 2). The calves displayed a roll rate that was comparable to adjacent bottom non-
430 suckling during descent, bottom and surface suckling.

431 **Best classifier for automatic identification of suckling**

432 A total of 6930 behavioral blocks of 2 s duration (4158 for training and 2772 for testing, 60:40
433 holdout splitting) were obtained from the datasets after removing blocks too close to the surface
434 and those of high speed activities (see Segmentation in the Method section). No suckling blocks
435 were affected by our threshold for removing blocks too close to the surface and those of high
436 speed activities. The class “suckling” represented 4.2% of the data and the class “non-suckling”
437 represented 95.8%. In the preliminary model selection, three types of supervised machine
438 learning algorithms were evaluated on these data: Ensemble classifiers, KNN classifiers and
439 Decision trees. A Bayesian optimization algorithm was run on each classifier type (Ensembles,
440 KNN and Decision trees). For each type of algorithms, an optimization run pre-selected one
441 model as the best one by seeking to minimize the classification error. Fig. 5 presents all 7 pre-
442 selected models and how often each model was selected by the Optimization procedure over the
443 90 runs (30 for each type of classifier).

444 All pre-selected classification models had a global accuracy $> 96.39\%$ on unseen data (test
445 set). They all identified suckling events with a low False Positive Rate ($FPR < 1\%$), except for
446 GentleBoost and decision tree models ($FPR > 1\%$ but not exceeding 2%). The likelihood of
447 misclassifying an event as suckling when it should have been classified as non-suckling is thus
448 extremely low for most of the pre-selected models. As shown in Fig. 5, the GentleBoost model
449 and all decision trees lay on the bottom left quadrant of the figure, indicating that they had a
450 lower Precision and Sensitivity with regard to suckling, in contrast to AdaBoostM1, Bag and all
451 KNN models. The AdaBoostM1, an Ensemble classifier, was the model presenting the highest
452 Sensitivity, Precision and F -score (red circle symbol in Fig. 5A) and was therefore the best
453 model for correctly identifying suckling events. Examples of classification resulting from the
454 AdaBoostM1 are presented in Fig. 5B and Fig. 5C. In these examples, the AdaboostM1 model
455 only misclassified non-suckling blocks as suckling in very rare cases (False positives, 10 in Fig.
456 5B and null in Fig. 5C – no red cross). However, it misclassified suckling blocks as non-suckling
457 slightly more often (False negatives, 34 in Fig. 5B and 3 in Fig. 5C – blue crosses). Nevertheless,
458 it detected most of the real suckling blocks (True positives, 81 in Fig. 5B and 16 in Fig. 5C –
459 blue circles).

460 As shown in Fig. 5D, while there was typical decrease in the performance of the elected
461 model (AdaBoostM1) when we reduced the training set size, the model still detected substantial
462 amount of suckling blocks and had a relatively good precision even when using only 10% of the
463 data as training set (mean at 10:90 split for suckling: sensitivity = 0.50 ± 0.08 , precision =
464 0.80 ± 0.09 , F -score = 0.61 ± 0.06 , $N = 30$). However, the results seemed more variable (high SD)
465 when test set was small (Fig. 5D).

466 **Generalization of the supervised machine learning across individuals**

467 We evaluated the generalization ability of the AdaBoostM1 model, the best identified classifier
468 in the context of suckling identification, using a leave-one-out design. The goal was to assess
469 whether we can use data from other individuals to detect the suckling behavior of a new
470 individual. Given that we had three individuals, there were only three possible splitting
471 combinations: (1) blocks from Calf2 and Calf3 as training set (5018 blocks, 4.8% suckling and
472 95.2% non-suckling) and blocks from Calf1 as testing set (1912 blocks, 2.6% suckling and
473 97.4% non-suckling), (2) blocks from Calf1 and Calf3 as training set (6496 blocks, 3.7%
474 suckling and 96.3% non-suckling) and blocks from Calf2 as testing set (434 blocks, 10.6%
475 suckling and 89.4% non-suckling), and (3) blocks from Calf1 and Calf2 as training set (2346

476 blocks, 4% suckling and 96% non-suckling) and blocks from Calf3 as testing set (4584 blocks,
477 4.2% suckling and 95.8% non-suckling).

478 Results of the leave-one-out design are presented on Fig. 6 (open symbols). The first and
479 second combination (open square and open diamond symbols) were more located at the bottom
480 right part of the Sensitivity versus Precision plot. This indicated that the quantity of the detected
481 suckling blocks was low (low Sensitivity) when using these two combination but the quality was
482 still good (relatively high Precision). The third combination (open triangle symbol) was more
483 located at the bottom left of the plot. This indicated that both the quantity and quality of the
484 classification were bad (low Sensitivity and low Precision). Globally, it can be said that while
485 some good quality classification can be obtained, it still depended on the combination used.

486 As inter-individual variation of the suckling blocks' kinematic features may have influenced
487 the generalization ability of the AdaBoostM1, we assessed its performance when the features that
488 contributed the most to inter-individual difference were excluded. We performed random forest
489 classification of the individuals to check the existence of inter-individual differences on suckling
490 blocks, analyzed the features' contribution to the differentiation, and identified a set of features to
491 be excluded in order to reduce the inter-individual difference. The random forest algorithm
492 indicated that there was effectively a strong inter-individual difference. Indeed, the classification
493 error rate, indicated by the Out-of-the-bag error rate, was very low (Out-of-the-bag error rate =
494 3.79%). As shown in the Fig. 7A, the random forest algorithm was able to correctly predict to
495 which individual each suckling blocks belongs to in most of the cases (correspondence between
496 true class and predicted class). The Fig. 7B shows the Gini index of each feature. A high Gini
497 index indicated that the feature played great role in the classification (in other words, in the
498 individual differentiation). In the plot, we noticed a sharp drop in importance after the 9th feature.
499 We thus chose to exclude the first 9 features (Gini index > 4): the mean depth, minimum speed,
500 minimum depth, mean pitch, maximum pitch, minimum pitch, maximum depth, mean speed, and
501 the maximum speed. The Fig. 7C shows the new resulting classification of the suckling blocks
502 by individuals, when these features were not included. The classification error rate increased
503 notably (Out-of-the-bag error rate = 23.45 %). The random forest algorithm confused the
504 individual attribution of the suckling blocks more often (more non-corresponding true class and
505 predicted class), indicating that we successfully reduced partially the inter-individual differences.
506 We observed a substantial increase in performance when we re-ran the leave-one-out design
507 using the restricted list of features (mean FSR, minimum depth rate, depth rate variance,
508 maximum absolute roll, maximum roll rate, maximum ODBA, roll rate variance, minimum
509 absolute roll, maximum depth rate, mean depth rate, mean ODBA, mean absolute roll, speed
510 variance, mean roll rate, ODBA variance, depth variance, minimum ODBA, absolute roll
511 skewness, roll rate kurtosis, speed skewness, roll rate skewness, absolute roll kurtosis, absolute
512 roll variance, depth skewness, pitch kurtosis, ODBA skewness, depth kurtosis, speed kurtosis,
513 depth rate skewness, pitch skewness, depth rate kurtosis, ODBA kurtosis, minimum roll rate,
514 pitch variance) (Fig. 6, blue symbols). Indeed, the Global accuracy was higher than when
515 including all features, and the False Positive Rate for suckling blocks decreased. Most
516 importantly, the quantity of detected suckling blocks increased (higher Sensitivity) for the first
517 and third combination. Also the quality of the classification improved globally (increased
518 Precision), even for the combination that had a very poor Precision at first.

519 Discussion

520 Our study characterized the suckling behavior in humpback whale calves less than 3 months old
521 using CATS cam tags on one calving ground in the South Western Indian Ocean. Several
522 important aspects of this vital behavior were investigated: (i) duration and frequency, (ii)
523 occurrence in the water column, (iii) modalities, (iv) behavioral signatures, and (v) the possibility
524 to use only accelerometer and depth-derived data to perform an automatic detection of suckling
525 events.

526 **Advantage of using animal-borne camera-equipped multi-sensor tags**

527 Previously, positioning and posture of the calves have been used frequently as a proxy for
528 determining the occurrence of nursing or suckling in humpback whales (Glockner & Venus,
529 1983; Glockner-Ferrari & Ferrari, 1985; Clapham & Mayo, 1987; Morete et al., 2003; Videsen et
530 al., 2017; Zoidis & Lomac-MacNair, 2017). As previously shown by Tackaberry et al.
531 (Tackaberry et al., 2020) with older calves (studied in their feeding grounds), we found that
532 young calves were also positioned frequently under the mother and in close proximity when
533 diving but they were actually not suckling. The suckling dives (i.e., dives during which suckling
534 was visually confirmed by evidence of a milk cloud), appeared to be much rarer in comparison to
535 non-suckling dives. Consequently, great care must be taken when associating calf positioning
536 with suckling, as these are not necessarily associated.

537 Animal-borne multi-sensor tag equipped with video camera is a very efficient device for
538 studying suckling behavior, since it can deliver a view from calf's perspective and thus confirm
539 evidence of suckling. This allowed differentiation of events in which **the calf started to**
540 **intentionally touch its mother's mammary slit**, from events when it was only positioning itself
541 under the mother. If visual observations were performed from a different perspective or at
542 distance (e.g., surface ship-based, or aerial drone-based), such differentiation between suckling
543 and non-suckling events would not be possible, therefore leading to overestimations of suckling
544 behaviors.

545 In our study, the view offered by the video camera allowed clear definition of suckling events
546 initiated by physical contact between the calf's snout and the mammary teat. Such contact did
547 not happen every time the calf was under the mother. Suckling behavior was further confirmed
548 by the presence of a milk cloud, as in Tackaberry et al. (2020). This method greatly improved
549 describing suckling behavior with confidence.

550 **Duration and frequency of suckling events**

551 Suckling events were brief and rare (18.8 ± 8.8 s on average and $< 2\%$ of observation time). They
552 were shorter in duration compared to those observed by divers in another breeding area (30.6 ± 17
553 s on average, Zoidis & Lomac-MacNair, 2017). This difference might be related to the method
554 used, as discussed above. The average suckling time reported in the feeding area is quite similar
555 to what we observed (23 ± 7 s, Tackaberry et al., 2020). The associated suckling frequency was,
556 however, much lower in the feeding area (0.3% of the time for an observation between 0745 and
557 1700 hours) compared to what we observed in the calving area (1.7% between 1000 and 1800
558 hours). This expected difference suggests suckling rate varies with age (greater for young calves
559 in the breeding grounds versus older calves in the feeding grounds), as is the case with several
560 species of pinnipeds (Oftedal, Boness & Tedman, 1987).

561 **Occurrences of suckling in the water column**

562 As in Tackaberry et al. (2020), most of the suckling events occurred at depth and during dives.
563 Humpback whales may favor nursing at depth to help the calf in maintaining the suckling

564 posture, as the latter would be more buoyant at surface, or for facilitating the thermoregulation of
565 the adult female (Videsen et al., 2017). Regarding the latter, we noticed that the rare surface
566 suckling events only occurred at the end of the day, when the sun was less intense, suggesting a
567 possible link between surface nursing events and females' thermoregulation behavior. Further
568 **investigations on suckling during the night** are needed for comparison, as most videos were
569 recorded during daylight only (this study; Tackaberry et al., 2020). Nursing behavior might show
570 different patterns at night for the reasons cited above.

571 The maximum suckling depth recorded (32.6 m) was much shallower than the maximum
572 reported by Tackaberry et al. (2020). However, this does not necessarily relate to any physical
573 limits of young calves. Rather, it might be an effect of the environment. Indeed, the average
574 depth of the Sainte Marie channel in Madagascar is 35 m (Trudelle et al., 2016), and in our video
575 recordings it was common to see the seabed when the whales dove to around 30 m depth.
576 All suckling events during dives occurred during the descent and bottom phases only, unlike in
577 Tackaberry et al. (2020) where they also observed suckling events during the ascent phase. One
578 possible explanation is that very young calves found in breeding grounds have less breath
579 capacities (Saloma, 2018) than older calves found in feeding areas. Thus, during the ascent phase
580 of their dive, their priority would be to reach the surface to breathe than to suckle.

581 **Suckling modalities**

582 With respect to posture, as estimated from the video recordings, the calf positioned itself at 30-
583 45° pitch angle relative to the midline of the mother's body when suckling. This is in agreement
584 with past observations (Glockner-Ferrari & Ferrari, 1985; Zoidis & Lomac-MacNair, 2017).
585 However, the pitch recorded by the tags (pitch angle relative to the horizontal) during suckling
586 was always relatively low on average (< 15°). This suggests that the mother is generally oriented
587 facing slightly downward when nursing its calf, even during phases other than the descent
588 (during which the mother does not otherwise have to lean downward).

589 One of the most innovative findings of our study was the calf's tendency to lean continuously
590 to the side when suckling. Such rolling behavior might be related to the anatomy of the
591 mammary gland, in particular the orientation of the nipple as it is extruded from the mammary
592 slit. If each breast is positioned **to** that the teat is directed at an angle relative to the midsagittal
593 plane, then the calf would be forced to match that angle with its mouth in order to stimulate the
594 nipple to extrude and then grasp the nipple with its tongue. Video recordings show the calf's
595 tongue is directed laterally, visible in the oral gape of the mouth on one side of the head. The calf
596 must roll to one side in order to align the lateral aspect of its mouth with the mammary slit
597 (rolling left to align its right oral gape against the mother's left breast, and vice versa).

598 There was also the clear pattern of alternation from one side to the other between successive
599 suckling events. Our data confirm the correspondence between the leaning side and the suckled
600 teat in nine events. The calves rolled to the left to suckle on the left teat and rolled to the right to
601 suckle on the right teat. Why, then, must the calf alternate sides? Perhaps the milk supply of each
602 breast is limited, forcing the calf to suckle both sides in order to obtain a sufficient volume for
603 satiation. This would ensure that the mother's breasts continue to lactate evenly on both sides.
604 Another reason may be related to the milk production/storage/ejection system. It is widely
605 accepted that milk is voluntarily ejected by the mother into the calf's mouth in cetaceans (Slijper,
606 1966). It is possible that the amount of milk the mother can continuously eject is limited, and the
607 gland may need a refractory period during which it must reset before the next ejection can occur.
608 If so, then alternating which side to suckle maximizes feeding for the calf, while increasing milk
609 delivery efficiency for the mother. In this scenario, the currently suckled breast performs milk

610 ejection, while the previously suckled breast refills in preparation for the next ejection. This
611 would thus force the calf to alternate between the two mammary glands to get enough milk
612 during the successive nursing bouts. In-depth anatomical investigation is needed to answer this
613 question, as there is scant literature on whale lactation or breast anatomy.

614 Regarding the anatomy of suckling, it is important to note that in a few cases, rhythmic
615 movements of the calf's tongue were observed during suckling events. This suggests that the calf
616 actively participates in directing milk into its mouth. It is unclear whether these movements are
617 stimulatory to the mother's "let down" (nipple erection and milk ejection) reflex, serve to "strip"
618 the nipple (as occurs in many land mammals to squeeze milk out), or create a piston-like suction
619 to draw out milk (perhaps in combination with milk ejection from the mother). The lateral aspect
620 of the calf's rostral tongue is comprised of elongated marginal papillae that may serve to grasp
621 the nipple. This latching-on-nipple function has been proposed for newborn tongues in other
622 whale species (Kastelein & Dubbeldam, 1990; Shindo et al., 2008; Ferrando et al., 2010; Kienle
623 et al., 2015). These marginal papillae may also "zipper" together to form a tube for channeling
624 milk into the mouth, compensating for the calf's lack of lips and cheeks to seal and contain the
625 nipple and the extruded milk. Alternatively, the rhythmic movements of the tongue may be only
626 an artefact produced by the calf's swimming movements as it undulates its body to maintain its
627 suckling position while the tongue maintains constant contact with the nipple. Further study of
628 the calf tongue may provide additional insights to its function.

629 **Behavioral signatures of suckling events**

630 The comparison of the data derived from accelerometer and depth sensor for suckling and
631 adjacent non-suckling events revealed mainly two distinctive characteristics of the suckling
632 behavior: a high absolute roll and a low speed, for an effort (FSR and ODBA) comparable to
633 adjacent non-suckling events. For suckling occurring during the descent phase, there were two
634 additional notable specificities: a low depth rate and a pitch close to zero. This is in sharp
635 contrast with descent non-suckling, where calves showed a high descent rate on average and
636 were directed downwards.

637 To our knowledge, no study to date has highlighted the characteristics of suckling behavior in
638 terms of roll recorded by the accelerometer. The sustained roll deviance from zero during
639 suckling events is directly related to the aforementioned leaning pattern observed in the videos:
640 leaning to the side facilitates access a particular mammary slit.

641 With respect to the kinematics, the fact that the speed is lower while still deploying some
642 physical effort (FSR and ODBA) when suckling is consistent with the results of Tackaberry et al.
643 (2020). Even though the pair makes little or no forward movement during suckling, the calf still
644 has to maintain actively the suckling posture to stay in physical contact with the mammary slit.
645 Concerning the descent suckling, the relatively low depth rate may help the calf in maintaining
646 the suckling posture.

647 **Automatic detection of suckling using accelerometer and depth-derived data**

648 In the field of ethology, the advances in machine learning have offered the opportunity to
649 classify behaviors within a complex database (Valletta et al., 2017). Given the stereotyped traits
650 of suckling behavior in humpback whale calves, we tested whether it was possible to apply
651 machine learning algorithms on labelled accelerometer and depth sensor data to automatically
652 discern suckling from non-suckling events. Although accelerometer data have been already
653 largely coupled with supervised machine learning to detect behaviors in various species (Nathan

654 et al., 2012; Carroll et al., 2014; Ladds et al., 2016; Jeantet et al., 2020), this is a first attempt to
655 use it for humpback whales and in the framework of a suckling behavior study.

656 The biggest challenge in the automatic classification of suckling behavior is probably the
657 class imbalance. Indeed, suckling events are naturally rare (this study; Tackaberry et al., 2020). It
658 is argued that unbalanced data tend to bias predictions in favor of the majority class. This bias is
659 problematic in situations in which missing the minority class case is worse than misclassifying a
660 majority class (Leevy et al., 2018). In the case of suckling versus non-suckling classification, this
661 bias is not a significant concern since there is more interest in minimizing the False Positive Rate
662 for the targeted behavior (i.e. suckling). Such a conservative measure is generally adopted in
663 behavioral detection (Nathan et al., 2012; Carroll et al., 2014; Tennesen et al., 2019). Reporting
664 non-suckling as suckling would be costlier than reporting suckling as non-suckling, as it would
665 induce an overestimation of suckling events. On the other hand, the best model we found for
666 identifying suckling events, the AdaBoostM1, is a model suitable for unbalanced binary
667 classifications (Galar et al., 2011; Leevy et al., 2018). Thus, class imbalance is less of a concern.
668 In order to avoid evaluation bias, the evaluation process was guided primarily by the
669 minimization of the False Positive Rate rather than the Global accuracy, since the latter does not
670 distinguish between the numbers of correctly classified examples of different classes, and can be
671 misleading in the framework of an unbalanced dataset (Galar et al., 2011).

672 With an initial 60:40 holdout training-testing split, the average Sensitivity and Precision of the
673 AdaBoostM1 model with respect to suckling class were of 0.74 and 0.92 respectively, and the
674 FPR was < 0.01 . In other words, the model was able to detect the vast majority of suckling
675 blocks, and about 9 out of 10 blocks reported as suckling corresponded to visually confirmed
676 suckling events.

677 The Leave-one-out design (blocks from two individuals as training set and the one remaining
678 individual as a testing set), allowed testing whether data from two individuals can be used to
679 detect suckling events in a novel individual. In this design, it was expected that the performance
680 would drop notably (lower Sensitivity and Precision). Indeed, the inter-individual difference has
681 been shown to generally penalize the performance of automatic classification models (Vázquez
682 Diosdado et al., 2015; Ladds et al., 2016). When we reduced the inter-individual difference in
683 terms of suckling by excluding features that introduce substantial inter-individual differences, the
684 performance returned to a reasonable level, regardless of the combination (i.e., the model
685 generalized better and became more robust). Indeed, out of every 10 blocks reported as suckling,
686 at least 6 were confirmed to be correct (Precision > 0.60 , and up to 1). The associated Sensitivity
687 was relatively low, indicating that several suckling blocks were not detected. However, the
688 priority goal is to identify suckling blocks that correspond with confidence to suckling
689 (maximizing the Precision), even in a small number. A complete suckling event (start and end)
690 can be determined from initiation and termination of roll deviation, as this was shown to
691 correspond to the suckling blocks with high certainty.

692 As more data is added to the learning set, we can expect better performance from the models.
693 Indeed, we showed that the size of the training set can slightly influence the accuracy of the
694 results. Similarly, we can also expect that with more individuals, the constraints and restrictions
695 related to inter-individual differences would also be reduced (Ladds et al., 2016).

696 These results on automatic identification of suckling events are very promising for rapid
697 evaluation of larger data sets, and will open new opportunities for investigating limited data sets.
698 First, the model may be adjusted to accommodate for incomplete observations (altered/missing
699 video file, night-time recording) using only two sensors (3-axis accelerometer and depth

700 sensors). Secondly, the model may be applied to data from multi-sensor tags that lack visual
701 support (video recordings lacking or too dark, tags without camera such as Acousonde and
702 Dtags, etc.).

703 While these methods will broaden the opportunities in the domain of humpback whale
704 mother-calf behavioral studies (and eventually may be applied to studying other large whales),
705 care must be taken in these early stages. Until the acquisition of large training data (more
706 individuals) occurs, researchers should be aware of the limitations of each trained model. For
707 example, possible differences in gaits and behavioral pattern, with respect to age (Noren,
708 Biedenbach & Edwards, 2006; Saloma, 2018), may hinder the generalization ability of the
709 models. For our trained model, we caution that it should be conservatively applied to less than 3-
710 month old non-newborn calves in a shallow breeding area. Nevertheless, a concrete application
711 example of the automatic identification of suckling behavior would be the investigation of the
712 behavioral time budget of a mother-calf pair over 24 hours (day and night).

713 **Conclusions**

714 Our results provide new knowledge on the suckling behavior of humpback whale calves in their
715 calving ground. Our descriptions, based on videos from the calf's perspective, accelerometer
716 data, and depth data, complement previous studies based on surface and sub-surface observations
717 (Glockner & Venus, 1983; Glockner-Ferrari & Ferrari, 1985; Clapham & Mayo, 1987; Morete et
718 al., 2003; Videsen et al., 2017; Zoidis & Lomac-MacNair, 2017). We found that suckling is more
719 frequent compared to what has been reported in the feeding area (Tackaberry et al., 2020),
720 suggesting a variation of the suckling rate with the calf's age and underlining the importance of
721 the suckling behavior in the calving area. We also confirmed that most suckling events occur at
722 depth, highlighting the importance of using multi-sensor tags equipped with a video camera in
723 order obtain reliable observations. Finally, we found that suckling behavior is highly stereotyped,
724 especially characterized by a continuously sustained roll deviating significantly from zero and a
725 low speed, and can be detected automatically by supervised machine learning. These findings
726 open new opportunities for the investigation of suckling and nursing behavior in humpback
727 whales, will improve our understanding of mother-young social bond and interactions, and will
728 be useful in studying other baleen whales for which such vital behavior is still undiscovered.

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857

Figure 1

Machine learning workflow for supervised classification of suckling events observed in free ranging humpback whale calves

The pie charts represent the class distribution (suckling versus non-suckling).

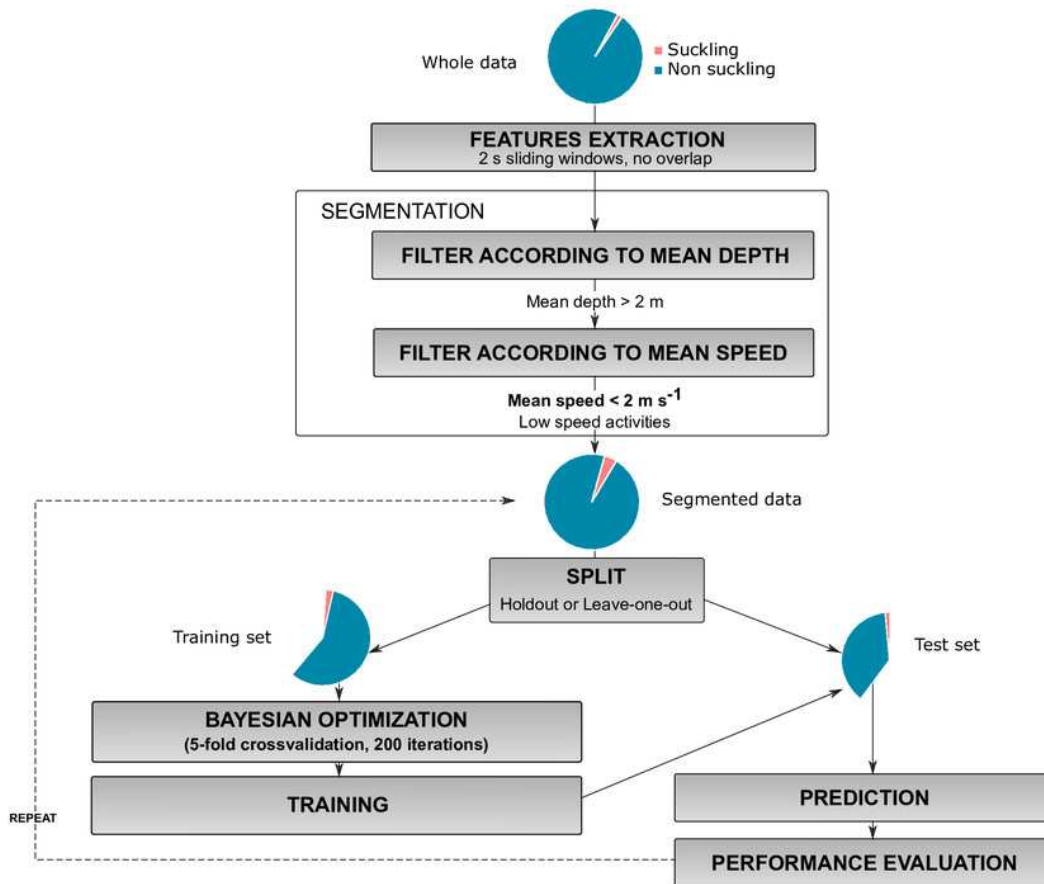


Figure 2

Depth, pitch, roll, speed, FSR and ODBA during and around suckling events for each calf.

Suckling events are identified in red in the depth profile and by the yellow box in the pitch(blue)/roll(yellow) profiles. Yellow box placed on top indicate that the calf was observed leaning to the right side on the corresponding video. Yellow box placed on the bottom indicate that the calf was rather observed leaning to the left side. Events during which the teat suckled by the calf was clearly evident on the corresponding video are marked with (R) or (L): (R) for right teat and (L) for left teat.



Figure 3

Screen capture of a video footage of calf suckling on the right teat of its mother.

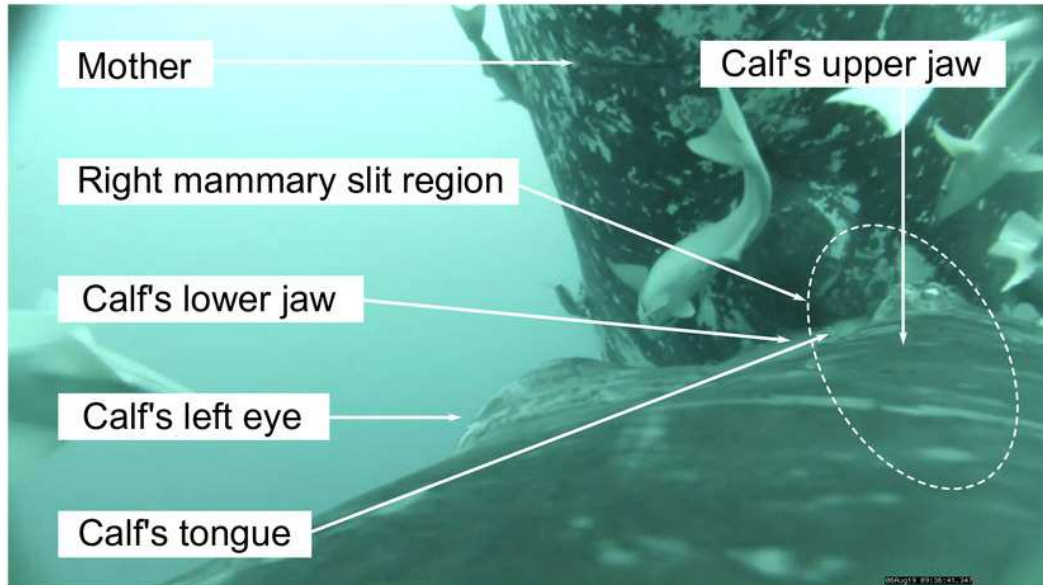


Figure 4

Comparison of suckling and adjacent non-suckling events with respect to activity phases.

Mean and median are indicated by diamond marks and bold horizontal line respectively. No ascent suckling has been observed.

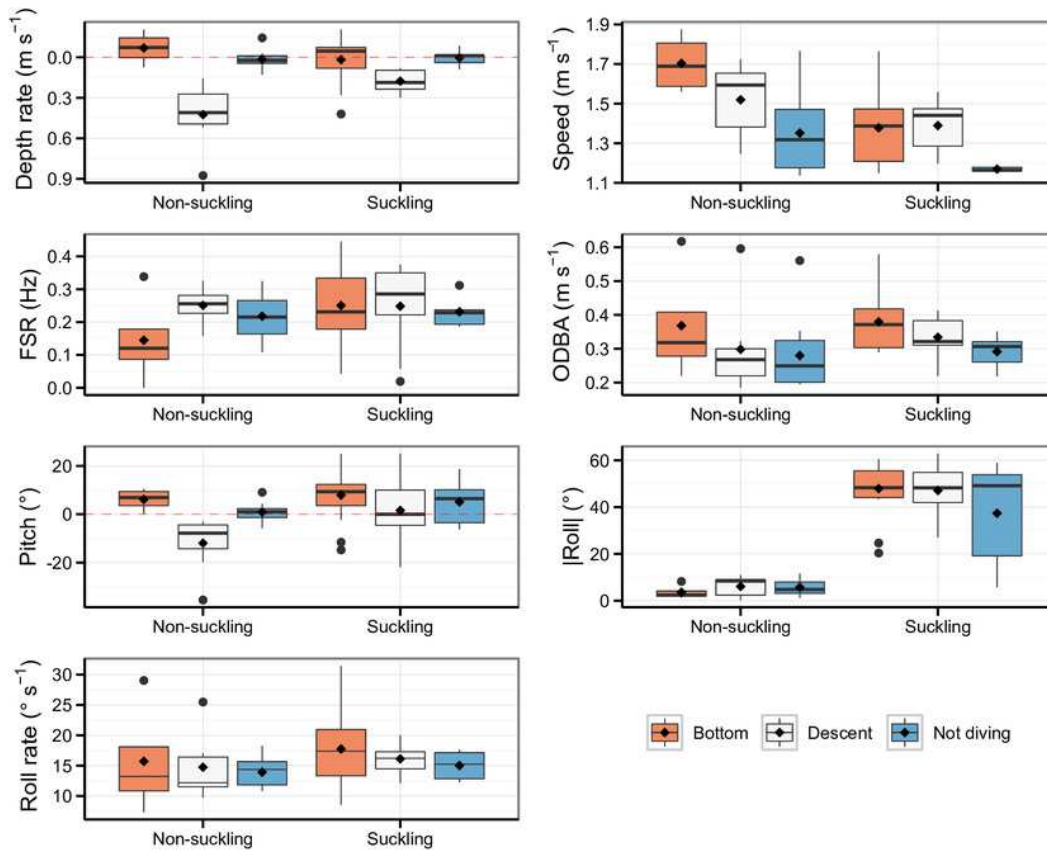


Figure 5

Models' performance in automatically identifying suckling blocks.

A 60:40 holdout training-testing split was used. (A) Sensitivity versus Precision plot of 7 models pre-selected using a Bayesian optimization approach. The symbols show the mean values and the SD. The models were pre-selected in the optimization procedure over 90 runs (30 for each type of classifier). (B) Example of global results from automatic identification of suckling behavior using the AdaBoostM1 model. (C) Example of results from automatic identification of suckling behavior using the AdaBoostM1 model for Calf1. (D) Performance of the AdaBoostM1 model when the training set size is reduced. The symbols show the mean values and the SD ($N = 30$ runs).

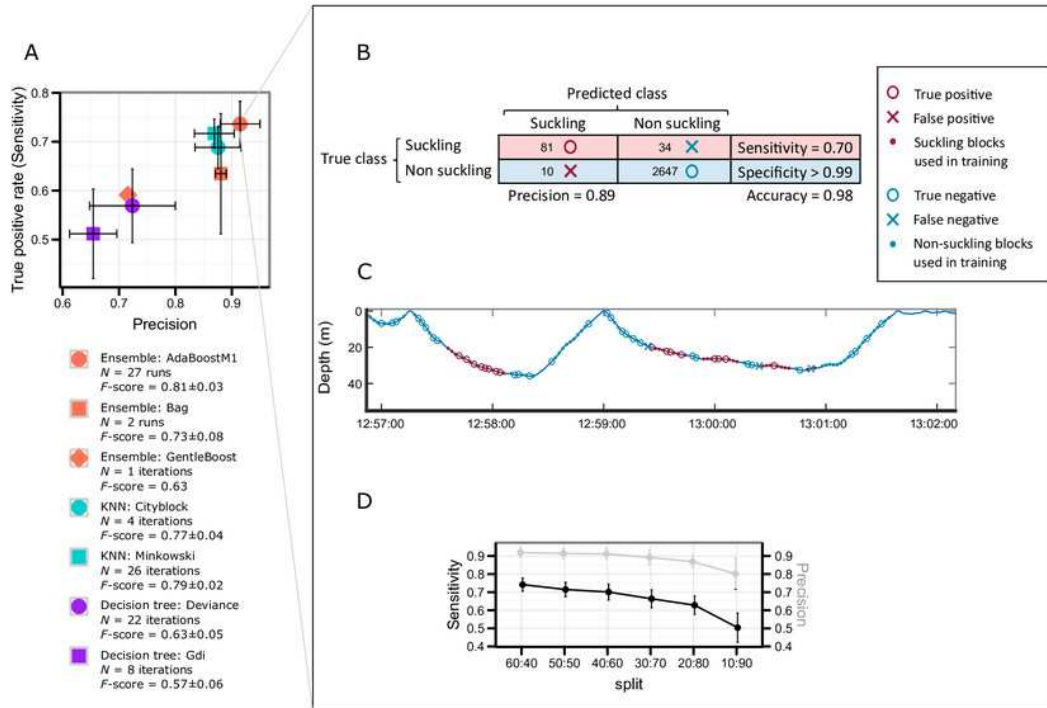


Figure 6

Sensitivity versus precision plot obtained from AdaBoostM1 model for suckling events using leave-one-out splits (data from two individuals used for training while one individual is kept unseen for test).

The plot shows the classifier's performance when all features were included (open symbols) versus when the features that contributed most to the inter-individual variation in terms of suckling were excluded (blue symbols). For comparison, mean results from 60:40 holdout split (purple symbol) and the worst scenario (red cross symbol) are showed.

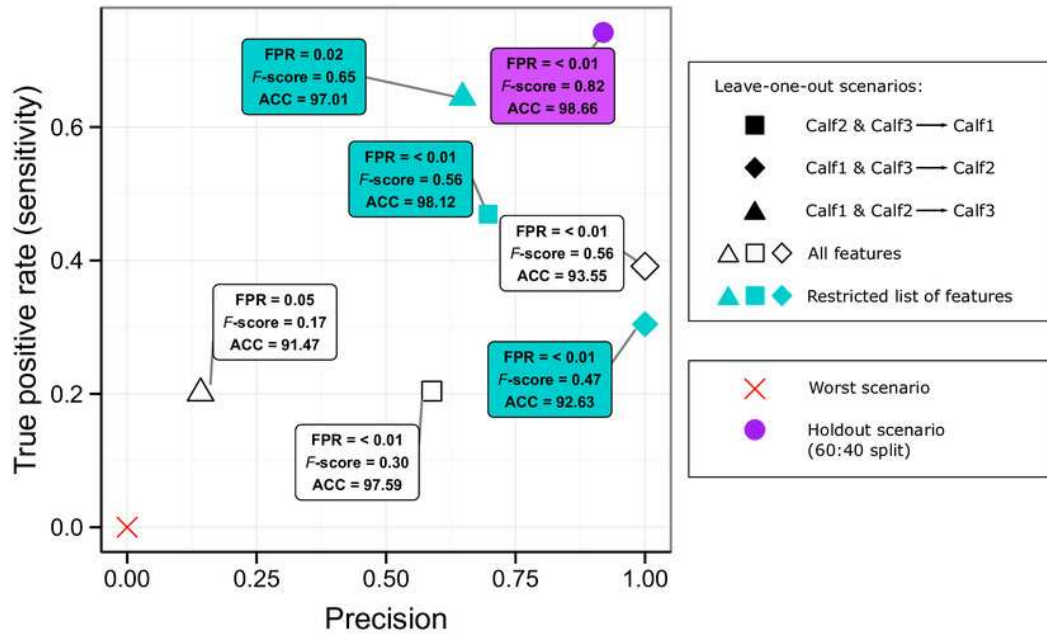


Figure 7

Analysis of the inter-individual difference between suckling blocks.

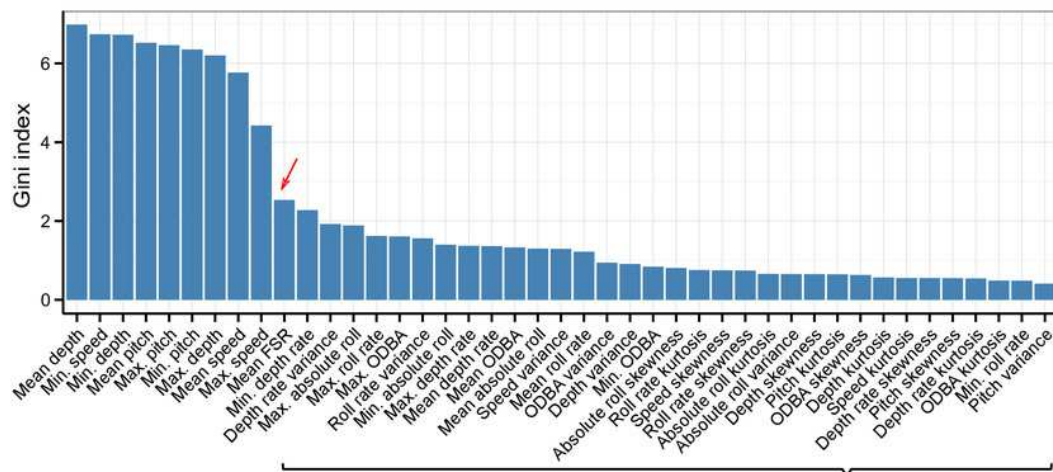
(A) Result of a random forest classification (confusion matrix) of the suckling blocks by individuals when all features were used. (B) Importance of the features in the classification by individuals. A sharp drop of the Gini index is noticeable after the 9th feature (red arrow). (C) Result of a random forest classification (confusion matrix) of the suckling blocks by individuals when the first 9 most important features were excluded.

A

		Predicted class		
		Calf1	Calf2	Calf3
True class	Calf1	49	0	0
	Calf2	3	41	2
	Calf3	0	6	189

Out-of-bag error = 3.79 %

B



C

		Predicted class		
		Calf1	Calf2	Calf3
True class	Calf1	33	3	13
	Calf2	3	33	10
	Calf3	23	16	156

Out-of-bag error = 23.45 %

Table 1 (on next page)

Characteristics of all suckling events for each calf.

Date-times are presented in the format DD/MM/YYYY hhmm - hhmm, and corresponds to date, tag attachment time, and tag detachment time. The letters d/b/a indicate the dive phase: descent/bottom/ascent. The letters R/L indicate the rolling side of the calf: Right/Left. The budget represents the proportion of time that the animal was observed suckling. The remaining values are presented following the format mean \pm SD (min ; max). Note the use of absolute value for roll, to emphasize the roll deviation from zero (regardless of the side).

ID	Date-time Occurrence	N (d/b/a) – (R/L)	Duration (s)	Budget (%)	Depth (m)	Depth rate (m s ⁻¹)	Speed (m s ⁻¹)	FSR (Hz)	Pitch (°)	Roll (°)	Roll rate (° s ⁻¹)	ODBA (m s ⁻²)
Calf1	14/09/2018 1134-1418	During dive 5 (2/3/0) – (3/2)	22±9.1 (8.8 ; 34.2)	1.2	28.2±3.8 (22.3 ; 31.8)	0.12±0.23 (-0.21 ; 0.42)	1.46±0.05 (1.39 ; 1.51)	0.22±0.06 (0.14 ; 0.29)	-8±13 (-22 ; 11)	38±12 (20 ; 48)	16±4 (12 ; 20)	0.39±0.09 (0.31 ; 0.54)
Calf2	06/08/2019 1057-1234	During dive 4 (1/3/0) – (3/1)	25±16.7 (6.2 ; 44.9)	1.7	25.1±5.8 (19.3 ; 32.6)	0.04±0.19 (-0.14 ; 0.28)	1.63±0.1 (1.56 ; 1.76)	0.16±0.07 (0.06 ; 0.23)	15±9 (4 ; 25)	51±8 (44 ; 63)	25±7 (16 ; 32)	0.5±0.07 (0.41 ; 0.58)
Calf3	09/08/2019 1003-1802	During dive 20 (6/14/0) – (10/10)	16.5±5.8 (4.8 ; 25.5)	1.4	15.43±3.78 (8.9 ; 21)	0.06±0.14 (-0.12 ; 0.3)	1.31±0.14 (1.15 ; 1.67)	0.28±0.12 (0.02 ; 0.45)	8±9 (-9 ; 25)	50±10 (25 ; 63)	16±4 (9 ; 24)	0.33±0.06 (0.22 ; 0.44)
		At surface 5 (-/-) – (3/2)	19.6±10 (7.9 ; 35)	0.4	6.2±2.7 (2 ; 8.4)	0±0.07 (-0.09 ; 0.09)	1.17±0.02 (1.15 ; 1.19)	0.23±0.05 (0.19 ; 0.31)	5±10 (-6 ; 19)	37±24 (6 ; 59)	15±3 (13 ; 17)	0.29±0.05 (0.22 ; 0.35)

1

Table 2 (on next page)

Comparison of the number of non-suckling dives during which calves remained under the mother for at least 5 s at least once, and the number of suckling dives.

Individuals	Number		Suckling dives	Total dives
	Non-suckling dives	Suckling dives		
	Calf observed staying in close proximity beneath the mother at least 5 s during the dive	Calf never observed staying in close proximity beneath the mother at least 5 s during the dive		
Calf1	17	18	2	37
Calf2	5	2	3	10
Calf3	20	21	9	50

1

Table 3(on next page)

Summary table of the mixed effect models for the characteristics of suckling events.

The models included the suckling status and diving phase as fixed effect and individuals as random effect (reference level = bottom and adjacent non-suckling, i.e. adjacent bottom non-suckling). Significant P (< 0.05 in this study) are marked in bold. CI: Confidence interval. SE: Standard Error of estimate.

Response	Fixed effect	Effect				
		estimate (β)	95% CI	SE	t(48)	P
Depth rate	(Intercept)	-0.05	[-0.19, 0.10]	0.07	-0.66	0.511
	Suckling	0.08	[-0.07, 0.23]	0.08	1.06	0.291
	Descent	0.49	[0.32, 0.65]	0.09	5.61	< 0.001
	Surface	0.08	[-0.08, 0.24]	0.08	0.98	0.326
	Suckling*Descent	-0.33	[-0.53, -0.13]	0.10	-3.21	0.001
	Suckling*Surface	-0.08	[-0.29, 0.13]	0.11	-0.78	0.438
Speed	(Intercept)	1.78	[1.58, 1.99]	0.10	17.38	< .001
	Suckling	-0.33	[-0.49, -0.18]	0.08	-4.19	< .001
	Descent	-0.22	[-0.40, -0.04]	0.09	-2.4	0.016
	Surface	-0.36	[-0.52, -0.19]	0.08	-4.2	< .001
	Suckling*Descent	0.22	[0.01, 0.44]	0.11	2.07	0.038
	Suckling*Surface	0.21	[-0.01, 0.43]	0.11	1.84	0.066
FSR	(Intercept)	0.14	[0.05, 0.24]	0.05	2.9	0.004
	Suckling	0.11	[0.00, 0.21]	0.05	1.94	0.053
	Descent	0.11	[-0.02, 0.23]	0.06	1.7	0.09
	Surface	0.07	[-0.04, 0.19]	0.06	1.26	0.208
	Suckling*Descent	-0.11	[-0.25, 0.04]	0.07	-1.46	0.145
	Suckling*Surface	-0.09	[-0.24, 0.06]	0.08	-1.21	0.227
ODBA	(Intercept)	0.42	[0.30, 0.53]	0.06	6.9	< .001
	Suckling	0	[-0.09, 0.10]	0.05	0.04	0.97
	Descent	-0.09	[-0.20, 0.02]	0.06	-1.63	0.104
	Surface	-0.09	[-0.20, 0.01]	0.05	-1.81	0.071
	Suckling*Descent	0.04	[-0.09, 0.17]	0.07	0.6	0.55
	Suckling*Surface	0.04	[-0.10, 0.17]	0.07	0.54	0.592
Pitch	(Intercept)	1.53	[-12.16, 15.22]	6.98	0.22	0.827
	Suckling	4.24	[-4.83, 13.30]	4.62	0.92	0.36
	Descent	-16.1	[-26.45, -5.75]	5.28	-3.05	0.002
	Surface	-3.75	[-13.37, 5.87]	4.91	-0.76	0.445
	Suckling*Descent	10.91	[-1.34, 23.17]	6.25	1.75	0.081
	Suckling*Surface	-0.85	[-13.61, 11.91]	6.51	-0.13	0.896
Roll	(Intercept)	3.31	[-7.37, 13.98]	5.45	0.61	0.544
	Suckling	44.42	[32.88, 55.96]	5.89	7.54	< .001
	Descent	2.61	[-10.60, 15.81]	6.74	0.39	0.699
	Surface	2.17	[-10.13, 14.46]	6.27	0.35	0.73
	Suckling*Descent	-3.34	[-19.01, 12.34]	8.00	-0.42	0.677
	Suckling*Surface	-12.85	[-29.06, 3.36]	8.27	-1.55	0.12
Roll rate	(Intercept)	16.7	[11.29, 22.12]	2.76	6.04	< .001
	Suckling	2.07	[-3.11, 7.25]	2.64	0.78	0.433
	Descent	-1.38	[-7.30, 4.53]	3.02	-0.46	0.647
	Surface	-1.73	[-7.23, 3.77]	2.81	-0.62	0.538
	Suckling*Descent	-0.26	[-7.27, 6.76]	3.58	-0.07	0.943
	Suckling*Surface	-0.14	[-7.43, 7.14]	3.72	-0.04	0.969