

Almost all known sauropod necks are incomplete and distorted (#63554)

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Almost all known sauropod necks are incomplete and distorted

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Sauropods are familiar dinosaurs, immediately recognisable by their great size and long necks. However, their necks are much less well known than is often assumed. Surprisingly few complete necks have been described in the literature, and even important specimens such as the Carnegie *Diplodocus* and *Apatosaurus*, and the giant Berlin brachiosaur, in fact have imperfectly known necks. In older specimens, missing bone is often difficult to spot due to over-enthusiastic restoration. Worse still, even those vertebrae that are complete are often badly distorted – for example, in consecutive cervicals of the Carnegie *Diplodocus* CM 84, the aspect ratio of the posterior articular facet of the centrum varies so dramatically that C14 appears 35% broader proportionally than C13. And even in specimens where the cervicodorsal sequence is preserved, it is often difficult or impossible to confidently identify which vertebra is the first dorsal. Widespread incompleteness and distortion are both inevitable due to sauropod anatomy: large size made it almost impossible for whole individuals to be preserved because sediment cannot be deposited quickly enough to cover a giant carcass on land; and distortion of presacral vertebrae is common due their lightweight hollow construction. This ubiquitous incompleteness and unpredictable distortion compromise attempts to mechanically analyze necks, for example to determine habitual neck posture and range of motion by modelling articulations between vertebrae.

1 **Almost all known sauropod necks are incomplete and** 2 **distorted**

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8

9 **Abstract**

10 Sauropods are familiar dinosaurs, immediately recognisable by their great size and long
11 necks. However, their necks are much less well known than is often assumed. Surprisingly few
12 complete necks have been described in the literature, and even important specimens such as the
13 Carnegie *Diplodocus* and *Apatosaurus*, and the giant Berlin brachiosaur, in fact have imperfectly
14 known necks. In older specimens, missing bone is often difficult to spot due to over-enthusiastic
15 restoration. Worse still, even those vertebrae that are complete are often badly distorted – for
16 example, in consecutive cervicals of the Carnegie *Diplodocus* CM 84, the aspect ratio of the
17 posterior articular facet of the centrum varies so dramatically that C14 appears 35% broader
18 proportionally than C13. And even in specimens where the cervicodorsal sequence is preserved,
19 it is often difficult or impossible to confidently identify which vertebra is the first dorsal.
20 Widespread incompleteness and distortion are both inevitable due to sauropod anatomy: large
21 size made it almost impossible for whole individuals to be preserved because sediment cannot be
22 deposited quickly enough to cover a giant carcass on land; and distortion of presacral vertebrae is
23 common due their lightweight hollow construction. This ubiquitous incompleteness and
24 unpredictable distortion compromise attempts to mechanically analyze necks, for example to
25 determine habitual neck posture and range of motion by modelling articulations between
26 vertebrae.

27

28 **Keywords:** sauropod, dinosaur, neck, cervical vertebrae, preservation, distortion, cervicodorsal
29 transition


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30 Introduction

31 In a paper on how the long necks of sauropods did not evolve primarily due to sexual
 32 selection (Taylor et al. 2011), one of the ideas we discussed is that sexual dimorphism between
 33 the necks of male and female sauropods, expressed as a ratio of neck lengths to shoulder height,
 34 might be an indicator of sexual selection. Rather despairingly, we wrote (Taylor et al. 2011:4):
 35 “Available samples of sauropod taxa are unfortunately not large enough to demonstrate bimodal
 36 distribution of morphological features within any sauropod species.”

37 Sauropod specimens are rarely found in a form complete enough to allow even relatively
 38 rudimentary measurements to be made – for example, neck length or shoulder height. In fact, the
 39 problem is more significant than is generally realised. It is not just that we do not have large
 40 populations of well-preserved sauropod individuals, capable of being subject to statistical
 41 analyses; even individual complete sauropods are extremely rare. This is true especially of the
 42 necks, which are composed of large, fragile vertebrae that are prone to disarticulation and
 43 distortion.

44 The consequent incompleteness of known sauropods’ necks, and the ubiquitous distortion of
 45 the elements that are available, had negative consequences for taxonomic work (since we are
 46 frequently unable to compare overlapping elements of different specimens), phylogenetic
 47 analysis (due to loss of character data), developmental studies (as we are frequently unable to
 48 determine for example how pneumatic features vary along the neck in patterns mimicking
 49 ontogeny), biomechanical function (since we do not have sufficiently precise [join](#) anatomy to
 50 accurately model neutral posture or range of motion) and ecological impact (using neck length as
 51 a proxy for feeding behaviour). 


52 In this paper I will first show that even the best-preserved and best-known sauropod
 53 specimens mostly have necks that are incomplete, then show that distortion of what cervical
 54 vertebrae we do have is ubiquitous and unpredictable, and finally explore the implications of this
 55 on what we can know of how these necks behaved in life.

56 Institutional Abbreviations

- 57 AODF — Australian Age of Dinosaurs Fossil, Winton (Australia)
- 58 BYU — Brigham Young University, Provo, Utah (USA)
- 59 CCG V — Chengdu College of Geology, Chengdu (China), vertebrate collection
- 60 CM — Carnegie Museum of Natural History, Pittsburgh, Pennsylvania (USA)
- 61 GCP — Grupo Cultural Paleontológico de Elche, Museo Paleontológico de Elche (Spain)
- 62 IVPP — Institute of Vertebrate Paleontology and Paleoanthropology, Chinese Academy of
63 Sciences, Beijing (China)
- 64 MACN — Museo Argentino de Ciencias Naturales ‘Bernardino Rivadavia’, Buenos Aires
65 (Argentina)
- 66 MAU-Pv — Museo Argentino Urquiza, Rincón de los Sauces, Neuquén (Argentina), vertebrate
67 palaeontology collection
- 68 MB.R — see MfN
- 69 MCT — Collection of the Earth Science Museum of the National Department of Mineral
70 Production, Rio de Janeiro (Brazil)
- 71 MfN — Museum für Naturkunde Berlin, Berlin (Germany): collection numbers for fossil
72 reptiles: MB.R.nnnn
- 73 MNBH — Musée National Boubou Hama, Niamey (Republic of Niger)
- 74 MOZ-Pv — Museo Provincial de Ciencias Naturales "Dr. Prof. Juan A. Olsacher", Zapala
75 (Argentina), vertebrate palaeontology collection
- 76 MPEF — Museo Paleontológico Egidio Feruglio, Trelew (Argentina): collection numbers for
77 fossil vertebrates: MPEF PV
- 78 MPM — Museo Padre Molina, Río Gallegos, Santa Cruz (Argentina): collection numbers for
79 fossil vertebrates: MPM PV
- 80 MUCPv — Museo de Geología y Paleontología de la Universidad Nacional del Comahue,
81 Neuquén (Argentina), vertebrae palaeontology collection
- 82 OMNH — Sam Noble Oklahoma Museum of Natural History, Norman, Oklahoma (USA)
- 83 PMU — Paleontological Museum, Uppsala, Sweden
- 84 QJGPM — Qijiang Petrified Wood and Dinosaur Footprint National Geological Park Museum,
85 Chongqing (China)
- 86 SMA — Sauriermuseum Aathal (Switzerland)
- 87 SSV — Shanshan Geological Museum, Shanshan (China)
- 88 USNM — National Museum of Natural History, Smithsonian Institution, Washington, D.C.
89 (USA)
- 90 YPM — Yale Peabody Museum, New Haven, Connecticut (USA)
- 91 ZDM — Zigong Dinosaur Museum, Zigong, Sichuan (China)

92 Incompleteness

93 A truly complete neck would consist of all vertebrae, each of them individually complete.
 94 Unfortunately, it is rarely possible to tell from published descriptions whether a given neck is
 95 complete in this sense: necks are sometimes described superficially as “complete” when all that
 96 is meant is that some portion of each vertebra is preserved. In the cases of necks that are
 97 described in detail, it is almost always apparent that a “complete” neck is complete only in this
 98 limited sense: for example, in the *Cathetosaurus lewisi* holotype BYU 9047, McIntosh et al.
 99 (1996:76) note that although all 12 cervicals are present, “10–12, particularly 12, have suffered
 100 such severe damage that it is impossible to restore them”. For the purposes of this paper, then we
 101 use “complete” in the unsatisfactory sense that at least a good part of each vertebra is present.

102 The determination of completeness of necks is also hindered by the problem that for many 
 103 species we do not know how many vertebrae would constitute a complete neck. When necks are
 104 not found in articulation but a probably complete set of cervicals is found scattered, the
 105 possibility that additional vertebrae were present in life cannot be discounted. And even a neck
 106 found in articulation may be impossible to evaluate as to its completeness, as with
 107 *Lavocatisaurus agrioensis* (see below).

108 With these caveats in mind, I now survey the known complete and nearly-complete sauropod
 109 necks.

110 Catalogue of complete necks

111 Unambiguously complete necks are known from published accounts of only a few sauropod
 112 specimens. In chronological order of description, the following specimens were found with their
 113 necks complete and articulated, and have been adequately described:

- 114 • CM 11338, a referred specimen of *Camarasaurus lentus* described by Gilmore (1925).
 115 This is a juvenile specimen, and thus does not fully represent the adult morphology.
 116 (McIntosh et al. 1996:76 claim that this specimen is the holotype, but this is not correct:
 117 YPM 1910 is the holotype — see below.)
- 118 • CM 3018, the holotype of *Apatosaurus louisae*, described by Gilmore (1936). The neck
 119 was separated from the torso but articulated from C1–C15, though the last three cervicals
 120 were badly crushed: see below for details.
- 121 • CCG V 20401, the *Mamenchisaurus hochuanensis* holotype, described by Young and
 122 Zhao (1972). Each vertebra is broken in half at mid-length, with the posterior part of each
 123 adhering to the anterior part of the its successor; and all the vertebrae are badly crushed in
 124 an oblique plane.
- 125 • ZDM T5402, a *Shunosaurus lii* referred specimen, described in Chinese by Zhang
 126 (1988), with English figure captions. Their figure 22 depicts the atlas. Unlike the
 127 holotype T5401, this specimen is mature.
- 128 • BYU 9047, the *Cathetosaurus lewisi* holotype, described by Jensen (1988). (Jensen
 129 incorrectly gives the specimen number as BYU 974.) This specimen was redescribed, and
 130 the species referred to *Camarasaurus*, by McIntosh et al. (1996). Although all 12
 131 cervicals are present, “10–12, particularly 12, have suffered such severe damage that it is
 132 impossible to restore them” (McIntosh et al. 1996:76).
- 133 • MACN-N 15, the holotype of *Amargasaurus cazau* MACN-N 15, described by Salgado
 134 and Bonaparte (1991) who described “22 presacral vertebrae articulated with each other

135 and attached to the skull and sacrum, relatively complete” (Salgado and Bonaparte
136 1991:335, translated.

- 137 • ZDM 0083, the holotype of *Mamenchisaurus youngi*, described in Chinese by Ouyang
138 and Ye (2002) with English figure captions. Their figure 14 depicts the atlas and axis.
- 139 • MUCPv-323, the holotype of *Futalognkosaurus dukei*, initially described by Calvo et al.
140 2007a and redescribed by Calvo et al. 2007b. The neck was found in two articulated
141 sections which fit together without needing additional vertebrae in between (Jorge O.
142 Calvo, pers. comm., 2021).
- 143 • SSV12001, the holotype of *Xinjiangtitan shanshanesis*, described by Zhang et al. (2018).
144 The original description of this specimen by Wu et al. 2013 included only the last two
145 cervicals, which were the only ones that had been excavated at that time.

146 A few additional specimens are known to have complete and articulated necks, but have not
147 yet been described:

- 148 • USNM 13786, a referred subadult specimen of *Camarasaurus lentus* recently mounted at
149 the Smithsonian. The specimen “was almost completely buried before the sinews had
150 allowed the bones to separate” (letter from Earl Douglass to William J. Holland, 22
151 August 1918), and photographs kindly supplied by Andrew Moore show that the atlas
152 was preserved.
- 153 • MNBH TIG3, the holotype of *Jobaria tiguidensis*. Sereno et al. (1999:1343) assert that
154 this species has 12 cervicals in all and say “One articulated neck was preserved in a fully
155 dorsiflexed, C-shaped posture”. Paul C. Sereno (pers. comm., 2021) confirms that the
156 articulated neck is MNBH TIG3
- 157 • SMA 002, referred to *Camarasaurus* sp. Tschopp et al. (2016), in a description of its feet,
158 say that this specimen “lacks only the vomers, the splenial bones, the distal end of the
159 tail, and one terminal phalanx of the right pes. The bones are preserved in three
160 dimensions and in almost perfect articulation”.
- 161 • MAU-Pv-LI-595, the “La Invernada” Titanosaur. Filippi et al. (2016) give a very brief
162 account in an abstract. Filippi (pers. comm, 2021) says that the entire preserved specimen
163 was articulated.
- 164 • MAU-Pv-AC-01, an unnamed titanosaur mentioned in abstracts by Calvo et al. (1997)
165 and Coria and Salgado (1999). The specimen was found in perfect articulation from skull
166 down to the last caudal vertebrae (Rodolfo A. Coria, pers. comm., 2021).

167 The first cervical (the atlas) in sauropods is very different in form from the other vertebrae,
168 and small and fragile. Consequently it is easily lost. Some further specimens have necks that are
169 complete and articulated from C2 (the axis) backwards:

- 170 • MB.R.4886, the holotype of *Dicraeosaurus hansemanni*, described by Janensch (1929),
171 has a neck that complete and well preserved from C2 to C12 (the last cervical). Janensch
172 referred to this as “specimen m” and writes “It was found articulated from the 19th
173 caudal vertebra to the 9th cervical vertebra inclusive. The proximal part of the neck from
174 the 8th cervical vertebra up to the axis was bent ventrally and lay at right angles to the
175 distal part of the neck.” (Janensch 1929:41).
- 176 • PMU 233, the holotype of *Euhelopus zdanskyi*, described by Wiman (1929) as “exemplar
177 a” and redescribed by Wilson and Upchurch (2009).
- 178 • ZDM T5401, the subadult holotype of *Shunosaurus lii*, described in Chinese by Zhang et

- 179 al. 1984. The quarry map (Zhang et al. 1984:figure 1) suggests that the atlas is missing.
 180 • MCT 1487-R, informally known as “DGM Series A”, described by Powell (2003).
 181 Gomani (2005:9) summarises as “12 cervical vertebrae, except the atlas, preserved in
 182 articulation with three proximal dorsal vertebrae”.
- 183 • GCP-CV-4229, the holotype of *Spinophorosaurus nigerensis*, described by Remes et al.
 184 (2009). This species is known from two specimens, of which the holotype was found in
 185 very good condition and well articulated from C2 to C13, the last cervical (Figure 1). The
 186 atlas seems to be missing (Kristian Remes, pers. comm., 2021; Ralf Kosma, pers. comm.,
 187 2021).

188 One other sauropod is complete from the first cervical, but probably not to the last:

- 189 • MOZ-Pv1232, the holotype of *Lavocatisaurus agrioensis*, described by Canudo et al.
 190 (2018). This is complete from C1-C11. Canudo’s guess is that this is complete neck (Jose
 191 I. Canudo, pers. comm, 2021), but the specimen doesn’t demand that conclusion and no
 192 known eusauropod has fewer than 12 cervicals.

193 Other sauropod specimens have necks that are complete and articulated from further back in
 194 the cervical sequence:


- 195 • YPM 1910, the holotype of *Camarasaurus lentus*, a mounted specimen described by Lull
 196 (1930). The neck is complete from C2 or C3, Lull was uncertain which.
- 197 • SMA 0004, *Kaatedocus siberi*, described by Tschopp and Mateus (2012). Cervicals 3-14
 198 are preserved.
- 199 • AODF 888 (informally “Judy”), probably referable to *Diamantinasaurus*, briefly
 200 described by Poropat et al. (2019). Preserved from C3 or maybe C4. “One posterior
 201 cervical (XIII or XIV) found several metres from articulated series, but appears to slot
 202 nicely into the gap between the articulated cervical series and the unprepared thoracic
 203 section, which might include at least one additional cervical (XIV or XV)” (Poropat, pers.
 204 comm. 2021).

205 Several necks are probably nearly complete, but it is not possible to know due to their not
 206 being found in articulation:

- 207 • CM 84, the holotype of *Diplodocus carnegii*, described by Hatcher (1901). C2–C15 are
 208 preserved, though not all in articulation; C11 may be an intrusion: see below for details.
- 209 • ZDM T5701, the holotype of *Omeisaurus tianfuensis*, described by He et al. (1988). The
 210 neck was not articulated (He et al. 1988:figure 1), and was missing “two elements or so”
 211 (He et al. 1988:120).
- 212 • QJGPM 1001, the holotype of *Qijianglong guokr*, described by Xing et al. (2015). On
 213 page 8, the authors say “The axis to the 11th cervical vertebra were fully articulated in
 214 the quarry. The atlas intercentrum and the 12th–17th cervical vertebrae were closely
 215 associated with the series.”
- 216 • MNBH TIG9, a referred specimen of *Jobaria tiguidensis*. Wilson (2012:103) writes that
 217 this specimen “includes a partially articulated series of 19 vertebrae starting from the axis
 218 and extending through the mid-dorsal vertebrae.”
- 219 • MNBH TIG6, another referred specimen of *Jobaria tiguidensis*, which has not been
 220 mentioned in the literature. Paul C. Sereno (pers. comm., 2021) says that it is “a subadult
 221 partial skeleton with excellent neck” and that “the sequence was articulated from C2–11.

222 Most of the ribs were attached as well.”


223 **Note.** The *Jobaria tigidensis* individuals previously had specimen numbers beginning MNN,
224 but the Musée National du Niger changed its name to Musée National Boubou Hama and the
225 specimen numbers have changed with it.

226 The breakdown of these complete and near-complete necks is interesting (Figure 2). Non-
227 neosauropods are quite well represented, both inside and outside of Mamenchisauridae 
228 although it is unfortunate many of these specimens are not well well described in English: two of
229 the ten are of *Jobaria*, for which the cursory summary of Sereno et al. (1999) remains the only
230 published description, and some of the Chinese sauropods are described only in Chinese.

231 Diplodocoids are surprisingly poorly represented, with only a single specimen in each of
232 Dicraeosauridae and Diplodocidae that is complete. Brachiosaurids have absolutely no
233 representation — see below on how unconvincing the neck of *Giraffatitan* is. More advanced
234 titanosauriforms are better represented, but there is still only one with a complete neck,
235 *Futalognkosaurus dukei*. By contrast, the single genus *Camarasaurus* is very well represented,
236 with five specimens of which four are fully complete (though only two of those have been
237 described). Probably this does not indicate a taxon-specific taphonomic signal, but follows from
238 the sheer abundance of *Camarasaurus* specimens — an abundance likely influenced by over-
239 lumping of multiple rather different species into a single genus.

240 It is surprising, though, that the second and third best represented sauropods in museums,
241 *Diplodocus* and *Apatosaurus*, are both barely represented in terms of complete necks. And while
242 the number of complete and nearly-complete necks among somphospondyls, including
243 titanosaurs, is encouraging, it is disappointing that so many of them are not yet described.

244 At the time of writing, the Paleobiology Database (<https://paleobiodb.org/>) lists more than 270
245 sauropod species. The nine unambiguously complete and articulated necks therefore represent
246 only one in 30 known sauropod species — and recall that even these are mostly “complete” only
247 in the weak sense of preserving some part of each cervical vertebra.

248 As best we can tell, only one sauropod species, *Camarasaurus lentus*,  is known from more
249 than a single complete neck. Of the two individuals, CM 11338 is a juvenile and USNM 13786 is
250 a subadult, so the mature morphology is unknown. If we allow necks missing the atlas, then there
251 are also two individuals of *Shunosaurus lii*: ZDM T5401, the subadult holotype, which is
252 missing its atlas; and ZDM T5402, an adult referred specimen whose neck is complete. (These
253 specimens have not been described in English.) With sample sizes this small, it is not possible
254 even in principle to determine whether there is a bimodal distribution in the length of any
255 sauropod’s neck.

256 Several well-known sauropod specimens are often thought of as having complete, undamaged
257 necks, but in each case the truth is less clear. I now discuss three important specimens.

258 ***Diplodocus carnegii* CM 84**

259 The Carnegie *Diplodocus* is one of the most recognised dinosaurs in the world: not only is the
260 original specimen, CM 84, on display as a mounted skeleton in the Carnegie Museum in
261 Pittsburgh, but casts are displayed in many other major museums (e.g. the Natural History
262 Museum in London, the Museum für Naturkunde Berlin and the Muséum National d’Histoire
263 Naturelle in Paris.) The neck appears complete in these mounted skeletons, with fifteen cervical

264 vertebrae, and is illustrated as such by Hatcher (1901: plate 8); Figure 3. However, the situation
265 is not as clear as it appears in these exhibits.

266 Holland (1900:816), in the first published account of the Carnegie *Diplodocus*, assigned to
267 this specimen only eleven cervicals, noting (on p. 817) that:

268 The cervicals were for the most part interarticulated, all lying in such position as to
269 show the serial order [...] Eleven are found in the specimen at the Carnegie Museum,
270 atlas and axis being as yet undiscovered.

271 Allowing for the missing atlas and axis, Holland concluded only that the cervical count was
272 “at least 13”.

273 However, Hatcher (1900:828–829) corrected this count later the same year:

274 About 45 feet (14 meters) of the vertebral column is preserved in our specimen.
275 When discovered the vertebrae did not lie in a connected and unbroken series, yet
276 there can be little doubt that they all pertain to the same individual [...]
277 Unfortunately no diagram was made, at the time of exhuming the remains, showing
278 the relative position of each of the vertebrae in the quarry [...] Early last spring, at
279 the request of the writer, Mr. W. H. Reed (who assisted in unearthing the skeleton),
280 while again on the ground, made a diagram of the quarry, showing the relative
281 positions, as he remembered them, of the various bones of the skeleton.

282 Despite this uncertainty, Hatcher asserted (p. 828–829):

283 “In all 41 vertebrae are represented, including 14 cervicals (all but the atlas) [...]
284 Assuming that no vertebrae are missing from our series the vertebral formula of
285 *Diplodocus* should now be written as follows: Cervicals, 15 [...] The number of
286 cervical vertebrae in *Diplodocus* is definitely fixed at at least 15.”

287 Hatcher’s 1900 paper is unsatisfactory in that it gives no reason for his revision of the cervical
288 count. Hatcher also hedged by leaving open the possibility of there being more than 15 cervicals.
289 The lack of a reliable quarry map is unfortunate.

290 In his subsequent monograph, Hatcher (1901:4) expanded on the completeness and condition
291 of the material as follows (emphasis added):

292 [*Diplodocus carnegii* holotype CM 84] has been entirely freed from the matrix and is
293 found to consist of [appendicular material and] forty-one vertebrae divided as
294 follows: fourteen cervicals including the axis, eleven dorsals, four sacrals, and twelve
295 caudals. These vertebrae are for the most part fairly complete, though unfortunately
296 the sacrals and **anterior cervicals are more or less injured**. This series of forty-one
297 vertebrae are believed to pertain to one individual and to form an unbroken series
298 from the axis to the twelfth caudal, although as was shown in a previous paper, **there**
299 **is some evidence that there are perhaps one or more interruptions in the series**
300 **and that one or more vertebrae are missing**. On the other hand, as will appear
301 later, **it is not entirely impossible that at least one vertebra of this supposed**
302 **series pertains to a second individual** belonging perhaps to a distinct genus.

303 Hatcher (1901:11) went on to quote a statement from A. S. Coggeshall, who had assisted in
304 the excavation, explaining in more detail how the elements of the neck were discovered:

305 [The] last (fifteenth) cervical was considerably removed from the succeeding dorsals
306 and less so from the preceding cervicals. Commencing with the next vertebra
307 (cervical fourteen), the direction of the entire cervical series was altered so that it lay
308 with its axis almost at right angles to that of the dorsal series. The cervicals extended
309 in an almost straight line from the fourteenth to the fifth, but there was a considerable
310 gap between cervicals eleven and ten, while the axis and cervicals three, four and
311 five were doubled back under the succeeding vertebrae.

312 This account almost explains why Holland underestimated the number of cervicals: the
313 anteriormost four, lying under more posterior cervicals, had not yet been found. However, if ten
314 cervicals (C6–C15) had been found and the atlas and axis were both missing, Holland
315 (1900:816) would surely have stated “Ten are found in the specimen at the Carnegie Museum,
316 atlas and axis being as yet undiscovered” rather than eleven. Some mystery remains: perhaps
317 Holland was aware of one of the anteriormost four preserved cervicals.

318 Coggeshall’s description is somewhat corroborated by Reed’s quarry map, which is included
319 as Plate 1 of Hatcher’s (1901) monograph (Figure 4). However, the map is in some respects at
320 odds with the description: for example, it shows all 13 vertebrae C2–C14 in a single straight line
321 rather than indicating that C2–C5 were doubled back; and it shows gaps both between C10 and
322 C11 (as stated), and also between C11 and C12 (not mentioned in the text).

323 Regarding the vertebra that might pertain to “a second individual belonging perhaps to a
324 distinct genus”, Hatcher (1901:22) explained: “*Eleventh Cervical*.—This vertebra is so unlike
325 either the immediately preceding or succeeding vertebrae that if it had been found isolated it
326 would have been unhesitatingly referred to a different genus. Mr. Coggeshall, however, assures
327 me that it was interlocked with the succeeding, or twelfth cervical.” Yet, as noted, the quarry
328 map suggests that there was some distance between C11 and C12, perhaps invalidating
329 Coggeshall’s assertion. It is to be lamented that both the map and the description were created
330 some time after the excavation actually took place, by which time memories had evidently
331 become unreliable.

332 In conclusion, *Diplodocus carnegii* most likely had fifteen cervicals, but may have had more
333 (if some vertebrae were not recovered), or maybe fewer (if C11 was misassigned). Furthermore,
334 the anterior cervicals are damaged in a way that is not at all apparent from Hatcher’s drawings
335 (plate III) or photographs (plate IV) because they were restored before these illustrations were
336 prepared. As Hatcher (1901:23) noted, “The work of freeing these vertebrae from the matrix and
337 restoring them was for the most part done during my absence in the field. Unfortunately no
338 drawings or photographs were taken prior to the process of restoring with colored plaster.” (In
339 the early 20th Century, it was routine to restore damaged fossils in ways that completely
340 obscured the degree of damage: see Figure 5.)

341 *Apatosaurus louisae* CM 3018

342 *Apatosaurus louisae* is the best known species of *Apatosaurus*, since its holotype CM 3018 is
343 much more complete and better preserved than that of the type species *A. ajax* (YPM 1680), or
344 that of the closely related *Brontosaurus excelsus* (YPM 1980).

345 The specimen was collected by Earl Douglass in 1909 and 1910, from what was then known
346 as the Carnegie Museum Dinosaur Quarry near Jensen, Utah, and is now Dinosaur National
347 Monument. It was mounted for exhibition in 1913, and somewhat belatedly named the type of a

348 new species in a brief initial description by Holland (1915). He noted that “the specimen consists
349 of a series of vertebrae, complete from the atlas to nearly the end of the tail” and appendicular
350 material; but also that “the cervical vertebrae had been separated from the dorsals and shifted,
351 but the entire series was found articulated in regular order” (p. 143). (Holland’s description also
352 mentioned that “a skull, which judging by its location, belongs to the specimen, was found
353 within eleven feet of the atlas. It does not differ greatly in form from the skull which belongs to
354 *Diplodocus*”. Had Holland stuck to his guns, *Apatosaurus* could have been restored with its
355 correct skull 63 years before Berman and McIntosh (1978) corrected Marsh’s long-standing and
356 influential misapprehension that it had a *Camarasaurus*-like skull.)

357 Holland stated (p. 144) that he had “in preparation a large monographic paper relating to the
358 genus, based in part upon [CM 3018]”. However, completion was long delayed, and Holland
359 died in 1932 before it was ready to be published. It was eventually brought to completion by
360 Gilmore (1936) and it is from this monograph that the species is primarily known.

361 Gilmore’s monograph explains that all is not as it seems in the neck of his specimen. He notes
362 (p. 191) that “there was some distortion due to the compression to which [the cervicals] had been
363 subject, but this has been largely corrected during preparation” – a questionable decision, as it
364 means that the shapes of the vertebrae as originally found are now lost, and cannot be subjected
365 to more modern retrodeformation techniques (e.g. Tschopp et al. 2013). He continues “Cervicals
366 thirteen, fourteen, and fifteen, however, were so badly crushed that it was thought best to replace
367 them in the mounted skeleton by plaster restorations of these vertebrae”, although he does claim
368 that “they are, however, sufficiently well preserved so that most of their important characteristics
369 can be determined”. The caption to Gilmore’s plate XXIV reads “Cervical vertebrae of
370 *Apatosaurus louisae*. Type, No. 3018 [...] Cervicals 13, 14 and 15 have been much restored
371 from badly crushed originals, and should be used with caution.” It is also evident from this plate
372 that most of C5 is also missing, although this is not acknowledged in the text. As noted by
373 Upchurch (2000), the poor condition of the posterior cervical vertebrae, and their replacement by
374 plaster models in the mounted skeleton, compromise the validity of biomechanical modelling
375 based on this specimen, such as that of Stevens and Parrish (1999).

376 In conclusion, while the articulation of the cervical sequence of CM 3018 leaves little doubt
377 that all cervicals are present and in the correct order, the crucial posterior cervicals are largely
378 uninformative.

379 ***Giraffatitan brancai* MB.R.2181**

380 This specimen is the paralectotype of *Giraffatitan brancai* (= “*Brachiosaurus*” *brancai*).
381 Much of the material is incorporated in the mounted skeleton in the atrium of the Museum für
382 Naturkunde Berlin, which for many decades was the largest substantially real mounted skeleton
383 of a terrestrial animal anywhere in the world. (There are larger mounts of sauropods, such as the
384 skeletons of *Patagotitan* at the AMNH and FMNH, but these are casts and sculptures, not real
385 material.) While most of the material of the Berlin brachiosaur mount is real fossil bones, the
386 presacral vertebrae are too heavy and fragile to mount: instead, high quality sculptures are used,
387 and the vertebrae themselves are held in collections.

388 The presacrals in the mount are based on real bones that from two specimens – the lectotype
389 MB.R.2180 (formerly known as SI) and the paralectotype MB.R.2181 (formerly SII). The
390 former includes cervicals 2–7, an assignment that can be accepted with some confidence because
391 the axis, C2, in sauropods is very distinctive, having a completely different anterior articular

392 surface from all the subsequent cervicals (see e.g. Janensch 1950:figs. 9–16, cf. figs. 17–48.)
 393 MB.R.2181 includes cervicals assigned by Janensch to positions 3–13 (although almost all of
 394 them are damaged, some very severely).

395 However, the two individuals MB.R.2180 and MB.R.2181 were found together in a single
 396 quarry (designated Quarry S). Bones of the two individuals were jumbled up together, with little
 397 articulation, as shown in the quarry map, redrawn by Heinrich (1999: figure 16; Figure 6) from
 398 an original drawn in the field by Werner Janensch. Any reconstruction – or even assignment of
 399 individual vertebrae to one specimen or the other – must be considered provisional.

400 I have previously suggested (Taylor 2009:800–801) that the distinctively high-spined dorsal
 401 vertebra usually considered the fourth of MB.R.2181 may not actually belong to that specimen,
 402 or even that taxon. Instead, this unusually tall vertebra may belong to an animal more closely
 403 resembling the Tendaguru titanosauriform briefly described by Migeod (1931) and which I plan
 404 to redescribe (Taylor 2005, Taylor in prep). If this vertebra is indeed not part of MB.R.2181 then
 405 the most likely inference is that it is part of MB.R.2180. This would be unfortunate if these two
 406 specimens were indeed representatives of different taxa. The smaller and less complete
 407 MB.R.2180, rather than the larger, more complete and better known MB.R.2181, is the lectotype
 408 (Janensch 1935–1936). Therefore, the ICZN rules dictate that the name *Giraffatitan brancai*
 409 would adhere to MB.R.2180, and that a new name would be required for the better-known
 410 MB.R.2181. Since this species was thought until relatively recently to be a species of the North
 411 American genus *Brachiosaurus* (see Taylor 2009), a further reassignment would mean that this
 412 charismatic and iconic specimen would become known by a third different name in not much
 413 more than a decade. To avoid this outcome, an ICZN petition may be warranted.

414 Janensch (1950:33) indicates that the confusion of the cervical vertebrae is not as bad as that
 415 of the dorsals, but the situation is still far from clear, as Janensch's description (somewhat at
 416 odds with the quarry map) explains:

417 The vertebrae from the 3rd to 15th presacrals [of MB.R.2181] lay in articulation in a
 418 consolidated lime sandstone lens; of them, the 3rd to 5th vertebrae are tolerably
 419 complete, the remaining 10 vertebrae were articulated with one another, with one
 420 interruption that arose when the 8th presacral vertebra rotated out of the series and
 421 was displaced. [Translation by Gerhard Maier.]

422 So there might have been other displaced cervicals, before or after the one designated “8th”
 423 that were not recovered. Neither can we be wholly confident that the anteriormost preserved
 424 cervical in the MB.R.2181 series is really C3. Its identification is based on the overlap with
 425 vertebrae of MB.R.2180, but we cannot be certain that MB.R.2180 is a member of the same
 426 species as MB.R.2181. Perhaps the anteriormost preserved cervical is really C4? Or perhaps
 427 some of the “MB.R.2181” cervicals really belong to MB.R.2180.

428 In conclusion: *Giraffatitan brancai* probably had thirteen cervicals, but may have had more,
 429 or possibly less; and the neural arches are only known for cervicals 3, 4, 5 and 8 in MB.R.2181
 430 (if these are the correct serial positions for those vertebrae). If MB.R.2180 is indeed a member of
 431 the same species then cervicals 2–7 are known from well-preserved elements, but no more. All
 432 of this uncertainty is exacerbated by the problem that no complete or even nearly complete neck
 433 of any other brachiosaur has been described.

434 To summarise this section, not only are complete sauropod necks in very short supply, even

435 those that are considered complete cannot generally be confidently considered so, and
436 complexities of interpretation bedevil the best-known specimens.

437 **Distortion**

438 Even in necks where most or all of the vertebrae are present and largely complete, extensive
439 distortion is common. This is difficult to quantify, even in principle, given the very complex
440 shapes of sauropod cervicals. But we can take tentative steps towards recognising the extent of
441 the problem by considering one simple aspect: the shapes of the cotyles of consecutive vertebrae.

442 In sauropod cervical vertebrae (and most dorsal vertebrae), the posterior articular face of the
443 centrum is called the cotyle, due to its distinctive hollow shape. The anterior articular face is
444 convex, and so is called the condyle. The cotyle of one vertebra and the condyle of the
445 succeeding one form a ball-and-socket joint (see Taylor and Wedel 2013b: figures 2–3), similar
446 to the condition in extant horses and camels (see Taylor and Wedel 2013b: figures 20–21) among
447 other animals.

448 In extant animals, including birds, crocodylians, lizards and mammals, the articular facets of
449 consecutive vertebrae are of much the same shape, varying only gradually along the neck. In
450 particular, the aspect ratio of the facet – its width:height ratio – remains constant or nearly so
451 (Figures 7–10). However, in the fossilised necks of sauropods, it's not unusual for even
452 consecutive vertebrae to be crushed in opposite directions, giving their cotyles (apparently)
453 wildly different aspect ratios.

454 Consider for example the *Giraffatitan brancai* lectotype MB.R.2180 (formerly HMN SI), one
455 of the best preserved sauropod neck series. Cervicals 4 and 6 of this specimen are shown in
456 posterior view in Figure 11. (The intermediate cervical 5 has part of its cotyle rim broken off,
457 and cannot be reliably measured.) Measuring from the photos, the width:height ratio of C4 (on
458 the left) is $683/722$ pixels = 0.95, and that of C6 (on the right) is $1190/820$ pixels = 1.45. So
459 these two vertebrae – from the same neck, and with only one other vertebrae coming in between
460 them – differ in preserved cotyle aspect ratio by a factor of 1.53.

461 As a second example, consider the single most studied sauropod neck specimen in the world,
462 that of the *Diplodocus carnegii* holotype CM 84. Figure 12 shows adjacent cervicals 13 and 14,
463 in posterior view. Note that the posterior part of the neck was considered well preserved by
464 Hatcher (1901), with only anterior vertebrae noted as having been damaged. Measuring from
465 Hatcher's photos, the width:height for C14 (on the left) is $342/245$ pixels = 1.40. For C13 (on the
466 right), it is $264/256$ pixels = 1.03. So C14 is apparently 35% broader than its immediate
467 predecessor.

468 There is no established metric for quantifying change in a measure, such as the aspect ratio of
469 articular surfaces, along a vertebral column. Table 1 offers two candidate metrics and shows how
470 they are worked out for six of the seven specimens discussed in this section. (The cat has too few
471 available vertebrae for the metric to be meaningful.) Using the first metric, average difference
472 between aspect ratios in consecutive vertebrae, the young juvenile giraffe, the monitor lizard, the
473 alligator and the ostrich all score in the range 4.2–6.3, while the two sauropods score 9.1 and
474 16.3. Using the second metric, average ratio between aspect ratios of consecutive vertebrae, the
475 four extant animals score in the range 6.7–8.9, and the sauropods 12.0 and 22.1. It would be
476 useful in future to calculate these metrics for larger sets of extant and fossil vertebrates, and to

477 see whether it is generally the case that the variation metrics are higher for fossils than for extant
478 vertebrates. For now, though, this tentative initial analysis corroborates the “eye-test” conclusion
479 that variation is significantly greater along sauropod necks.

480 It might be argued that variation on cotyle shape in sauropod necks arises from mechanical
481 factors. Since their necks were elongate, segmented cantilevers, they become broader towards
482 their base, and this could be reflected in cotyle shape. However, we would expect mechanical
483 influences such as this to produce gradual monotonic variation — cotyles either becoming
484 consistently broader or consistently taller towards the base of the neck. But this is not what we
485 observe: instead, the preserved aspect ratios of sauropod condyles vary erratically along the
486 neck. Such extreme variation in apparent aspect ratio of the cotyles of adjacent and near-adjacent
487 cervical vertebrae can only be the result of extensive and unpredictable crushing.

488 This variation in preservation of aspect ratios has implications for calculating the elongation
489 index (EI) of vertebrae, a calculated character widely used in phylogenetic analyses and
490 elsewhere. For example, Janensch’s (1950:39) table of measurements for the *Giraffatitan*
491 *brancai* lectotype MB.R.2180 (formerly HMN SI) gives the centrum length and cotyle height of
492 C4 as 45.7 and 13.8 cm, for an EI *sensu* Wedel et al. (2000:346) of 3.31. For C6, he gives
493 centrum length and cotyle height as 69.1 and 15.0, for a much greater EI of 4.6. But if the true
494 cotyle proportions of C6 were the same as those of C4, then the cotyle height corresponding to
495 its width of 22.1 would be a much taller 23.1 cm, yielding an EI of only 3.0. At the very least, we
496 need to be circumspect in our use of elongation indices as phylogenetic characters or ecological
497 correlates.

498 Deformation of the articular cotyle is only one example of the many ways in which sauropod
499 vertebrae, with their complex and fragile anatomy are subject to crushing. It is certain that other
500 parts of the vertebrae, especially the delicate lateral processes and zygapophyseal rami, were also
501 often distorted, and it is generally not possible to reliably restore to their undistorted state.

502 In addition, whole-vertebra distortion is common. For example, in CCG V 20401, the
503 holotype specimen of *Mamenchisaurus hochuanensis* for which the neck is complete and
504 articulated, every vertebra of the neck and trunk is sheared and rotated such that the left side is
505 displaced downwards (Taylor 2004, personal observation of mounted casts in Copenhagen,
506 Denmark; Trzic, Slovenia; and Chicago, USA). This distortion is illustrated for dorsal vertebra 2
507 by Young and Zhao (1972:figure 6); although they do not illustrate it for a cervical vertebra, it is
508 present throughout the column.

509 While the *Mamenchisaurus hochuanensis* distortion is very apparent, subtler distortions are
510 ubiquitous but easier to overlook. For example, the *Giraffatitan brancai* holotype cervical
511 vertebrae MB.R.2180 (formerly HMN SI) appear undistorted to the naked eye, but manual
512 articulation of C2 and C3 demonstrates that sufficient distortion has occurred to prevent the
513 bones being posed in ways that the live animal surely could have achieved (Figure 13).

514 **Location of cervicodorsal junction**

515 One further issue impedes our ability to analyse and compare the necks of different
516 sauropods, and that is the difficulty of identifying the last cervical vertebra — and therefore of
517 defining how many vertebrae make up the neck (and how many make up the dorsal series). In
518 general it is easy to tell cervical and dorsal vertebrae apart: for example, compare C13 and D3 of

519 the *Diplodocus carnegii* holotype CM 84 (Hatcher 1901:plates III and VII). The cervical vertebra
 520 is relatively low, its centrum is elongated, its neural spine is roughly triangular and its
 521 parapophysis hangs down below the centrum and has a cervical rib fused to it and the
 522 diapophysis (though this latter character is not consistent in sauropods: see below). By contrast,
 523 the dorsal vertebra is tall, its centrum is short, its neural spine is anteroposteriorly compressed its
 524 parapophysis is up on the dorsal half of the centrum, and no rib is fused to it. But the change in
 525 these characters is gradual, and at the transition it is much more difficult to distinguish between
 526 cervical and dorsal vertebrae. Compare C15 and D1 of CM 84 (Hatcher 1901:plates III and VII
 527 once more). Had Hatcher classified his C15 as the first dorsal, or his D1 as the last cervical, it
 528 would not be obvious that this was incorrect.


529 The difficulty of locating the cervicodorsal junction is exacerbated by the lack of a single
 530 standard definition. Several exist:


- 531 • **Rib fusion.** Hatcher (1901:25) writes “The dorsals are distinguished from the cervicals
 532 by supporting free instead of fixed ribs ...”
- 533 • **Ventral centrum shape.** Hatcher (1901:25–26) continues “... and in having the inferior
 534 surface of the centra regularly convex transversely instead of concave in either direction”.
- 535 • **Scapular support.** In his description of *Haplocanthosaurus* two years later, Hatcher
 536 (1903:8) uses a completely different definition: “That [D1] was a dorsal is conclusively
 537 shown not by the presence of tubercular and capitular rib facets showing that it supported
 538 on either side a free rib [...] The character in this vertebra distinguishing it as a dorsal is
 539 the broadly expanded external border of the anterior branch of the horizontal lamina [i.e.
 540 what we would now call the prezygadiapophyseal lamina ...] to give greater surface for
 541 the attachment of the powerful muscles necessary for the support of the scapula.” (See
 542 Figure 14.)
- 543 • **Parapophysis location.** Hatcher (1901:16) notes “In the fifth dorsal the capitular facet is
 544 on the middle of the neural arch, while in dorsals four and three it has shifted down to the
 545 centrum and encroached upon the pleurocentral cavities of these vertebrae. In dorsals two
 546 and one it lies wholly inferior to that cavity”, and his illustrations show that it is well
 547 below the centrum in all cervical vertebrae.
- 548 • **Gene expression.** Wilson (2002:226) notes that “the cervicodorsal transition in many
 549 tetrapods, for instance, appears to be defined by the expression boundary of the *Hoxc-6*
 550 gene”.

551 However none of these definitions is wholly satisfactory.


- 552 • Rib fusion is not a reliable criterion, as Hatcher (1903:8) notes: “there are in our
 553 collections of sauropods, skeletons of other dinosaurs fully adult but, with the posterior
 554 cervical, bearing free cervical ribs articulating by both tubercular and capitular facets as
 555 do the ribs of the dorsal region”. As one example, the *Mamenchisaurus hochuanensis*
 556 holotype CCG V 20401 has free ribs on its last three cervicals (Young and Zhao 1972;
 557 personal observation).
- 558 • Ventral centrum shape is highly variable between different sauropod taxa.
- 559 • The presence of a scapular support facet on the lateral face of the CPRL is difficult to
 560 detect, and evidently not trusted by Hatcher himself as he noted of C15 of *Diplodocus*

561 CM 84 that “the superior of the anterior blades of the horizontal lamina has its external
562 surface somewhat expanded and rugose. It no doubt served as a support for the muscular
563 attachment of the heavy scapular arch” (Hatcher 1901:25).


- 564 • While the parapophysis migrates dorsally across the centrum to the arch in successive
565 vertebrae of all sauropods, this migration typically does not commence until after the first
566 dorsal, making it useless to determining the location of the junction.
- 567 • The genetic definition is obviously useless for fossil organism 

568 In practice, most workers seem to use a combination of multiple criteria, often not explicitly
569 specified. For example, in considering the dorsal count of *Barosaurus*, McIntosh (2005:45)
570 writes “The eighth presacral is unquestionably a dorsal and the h a cervical”, but does not
571 explain why he makes these designations. He continues, “But what is the ninth? At first glance it
572 certainly appears to be a cervical — the parapophysis projects from the very bottom of the
573 centrum well below the pleurocoel. However [...] neither rib is co-ossified to the parapophyses
574 and diapophyses as in the cervical vertebrae anterior to it. Largely for this reason, I have
575 concluded that it is the first dorsal.” Here, McIntosh is making a judgement on the contradictory
576 evidence of the rib-fusion and parapophysis-location criteria, while not using ventral centrum
577 shape (perhaps because the ventral view of the centrum is not available) or scapular-support
578 facets (perhaps due to preservational problems making it impossible to assess). This example is
579 instructive, as it illustrates pervasive difficulties when dealing with bones as large, fragile and
580 difficult to manipulate as sauropod presacrals: very few such bones can be inspected from all
581 cardinal directions, and as noted above distortion and damage is ubiquitous.

582 Perhaps, then, the best we can hope for in identifying the cervicodorsal junction is to use a
583 combination of criteria according to what is available for study in the specimen in question, but
584 to be explicit about which criteria are used. As McIntosh’s example demonstrates, this
585 identification is important, as it determines the number of cervical vertebrae deemed to belong to
586 a neck: even in those very rare cases when a presacral vertebral sequence is complete and
587 undistorted, it is still to some degree a matter of judgement how many cervical vertebra
588 constitute the neck.

589 (In some older papers (e.g. Migeod 1931), a “shoulder vertebra” is referenced, an intermediate
590 between the last cervical and the first dorsal, but this terminology is not used in modern
591 literature. However, introducing this concept does not aid the quest for consensus over how the
592 junction is located: the issue simply mes the problems of locating the shoulder vertebra,
593 rather than locating the first dorsal.)

594 Discussion

595 All of the problems with sauropod neck preservation arise from the  nature of the animals.

596 First, sauropods are big. This is a recipe for incompleteness of preservation: small skeletons
597 are more easily destroyed by taphonomic processes, but if they survive are more easily preserved
598 whole, while large skeletons less rarely survive intact (Brocklehurst et al. 2012). It is no accident
599 that the most completely preserved individuals are small individuals such as CM 11338, the cow-
600 sized juvenile *Camarasaurus lentus* described by Gilmore, 1925. For an organism to be
601 fossilised, it is necessary for the carcass to be swiftly buried in mud, ash or some other substrate.
602 This can happen relatively easily to small animals, such as the many finely preserved small

603 theropods from the Yixian Formation in China, but is much less possible with a large animal
604 (Mannion 2010:284).

605 Cleary et al. (2015:528 and figure 6) showed that medium-sized ichthyosaurs preserve more
606 completely than either small or large individuals, but since these are aquatic animals their
607 preservational context is not applicable to the case of sauropods. Brown et al. (2012) found that
608 in the Dinosaur Park Formation, “large-bodied” dinosaurs preserved more completely than
609 smaller ones, but their sample contained no sauropods, their threshold for “large” was only 60
610 kg, and the largest animals included were 4.5-tonne hadrosaurs. It may be that if the methods of
611 Brown et al. (2012) were used to analyse the sauropod-bearing Morrison or Tendaguru
612 formations, the result would be similar to those of Cleary et al. (2015), with medium-sized
613 animals having the most complete preservation.

614 Except in truly exceptional circumstances, sediments simply are not deposited quickly enough
615 in terrestrial environments to cover a 25 meter, 10 tonne animal before it is broken apart by
616 scavenging, decay and water transport. Fossilisation of the very largest sauropods tends to
617 produce even more fragmentary remains. In light of this, it is not surprising that the very longest
618 sauropod necks are usually known from particularly inadequate specimens. The longest neck for
619 which we have direct evidence is that of the diplodocid *Supersaurus*, possibly 15 m long, but the
620 only cervical material of the largest specimen is a single 1.4 m cervical (BYU 9024, formerly
621 BYU 5003; Jensen 1985, 1987). Similarly, the giant basal titanosauriform *Sauroposeidon*
622 probably had a neck about 11 m long, but the only definite material belonging to it is a sequence
623 of three and a half cervicals from the middle of the neck (OMNH 53062; Wedel et al. 2000). The
624 longest known titanosaur necks are probably those of *Patagotitan*, *Puertasaurus* and
625 *Dreadnoughtus*, all at around 9–10 m, but the cervical material from which they are known is
626 meagre: only three vertebrae in the *Patagotitan* holotype MPEF-PV 3400, of which the longest is
627 120 cm long (supplementary information to Carballido et al. 2007); a single 118 cm
628 *Puertasaurus* vertebra, MPM-PV 10002 (Novas et al. 2005); and a single 113 cm vertebra of
629 *Dreadnoughtus* MPM-PV 1156 (Lacovara et al. 2014).

630 Secondly, even when complete sauropod skeletons are preserved, or at least complete necks,
631 distortion of the preserved cervical vertebrae is almost inevitable because of their uniquely
632 fragile construction. As in modern birds, the cervical vertebrae were lightened by extensive
633 pneumatization, so that they were more air than bone (Taylor and Wedel 2013a: figure 4), with
634 the air-space proportion typically in the region of 60–70% and sometimes reaching as high as
635 89% (Taylor and Wedel 2013a: table 2; Wedel 2005: figure 7.4C). While this construction
636 enabled the vertebrae to withstand great stresses for a given mass of bone, it nevertheless left
637 them prone to crushing, shearing and torsion when removed from their protective layer of soft
638 tissue. For highly pneumatized cervicals in particular, the chance of the shape surviving through
639 taphonomy, fossilisation and subsequent deformation would be tiny, as is also the case with the
640 vertebrae of highly pneumatic fossil birds.

641 Both the incompleteness and distortion of sauropod necks have grave consequences for our
642 ability to reason about sauropods. As noted above, the very small sample of complete necks
643 makes it quite impossible to perform meaningful statistical analyses. Similarly, the frequent,
644 unpredictable and sometimes dramatic distortion of what vertebrae we do have renders
645 mechanical analysis of neutral poses and ranges of motion extremely problematic. For vertebrae
646 small and robust enough to be manipulated by hand, this can be readily observed in physical
647 space (Figure 13). There is no reason to think that computer modelling of vertebrae and their

648 articulations (e.g. Stevens and Parrish 1999) should yield models any more informative than the
649 distorted fossils that they are based on.

650 On a more positive note, the lack of complete necks does not mean that we are without
651 information. For many sauropods that lack complete necks, enough vertebrae are preserved with
652 enough fidelity that we can have a good idea how morphology varies between anterior, middle
653 and posterior cervicals, even if precise identification of the vertebrae is not possible. Crucially,
654 this degree of completeness suffices for the majority of characters to be scored in phylogenetic
655 analyses: apart from a few characters specific to the atlas or axis, most such characters pertain
656 only to anterior, middle or posterior cervicals.

657 **Conclusion**

658 What does it all mean? Only this: we don't know as much as we may assume we do. We don't
659 even know how many cervical vertebrae well-known sauropods such as *Diplodocus* and
660 *Giraffatitan* had. We don't have complete necks for either of these sauropods, nor for almost any
661 others. Even those we do have are in some cases badly crushed (e.g. *Mamenchisaurus*
662 *hochuanensis*). We are woefully short of sauropod necks.

663 As scientists, we must carefully avoid blithely asserting factoids such as “*Diplodocus* had 15
664 cervicals and *Giraffatitan* only 13”. We simply don't know whether this is true. Evidence
665 supports it as a hypothesis – these numbers are certainly the best guesses for the taxa in question
666 – but a hypothesis is all it is. Hypotheses of neck posture and flexibility should be held even
667 more lightly, since they are based on inferences drawn from distorted elements whose true shapes
668 we may never know.

669 None of this is necessarily disastrous, so long as we properly acknowledge the degree of
670 uncertainty that afflicts our work. Problems arise when studies such as that of Stevens and
671 Parrish (1999) draw apparently firm conclusions about sauropod neck posture based on
672 specimens that are deficient in respects not acknowledged in the text, lending the results a veneer
673 of definitiveness that they do not merit. It might be argued that the venue of this study (*Science*)
674 is too constrained for space to allow a proper discussion of degrees of uncertainty; this may be
675 true, but is really only an argument that such venues are not suitable for the publication of
676 rigorous scientific work.

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912 Table Captions

913 **Table 1.** Serial variation in preserved aspect ratios of consecutive cervical vertebrae in extant
914 animals and sauropods from several major amniote clades. Mammalia: giraffe *Giraffa*
915 *camelopardalis*, young juvenile specimen, C2–C7. Lacertilia: savannah monitor, *Varanus*
916 *exanthematicus*, C3–C7. Crocodylia: alligator *Alligator mississippiensis*, juvenile specimen,
917 C5–D2; Aves: ostrich *Struthio camelus*. C5–C11. Sauropoda: *Diplodocus carnegii* holotype
918 CM84 and *Giraffatitan brancai* lectotype MN.R.2180 (formerly HMN SI). **Vertical** and
919 **horizontal** columns contain measurements of the posterior articular surfaces of the vertebrae,
920 except for the procoelous alligator vertebrae for which anterior articular surface was used. For
921 the saddle-shaped ostrich cervicals, the maximum height and width of the posterior articular
922 surface was used, rather than the shorter midline distances. Measurements are in arbitrary
923 units (e.g. mm or pixels in a photograph) but consistent within any one specimen. **V/H** is the
924 aspect ratio of the measured surface, the ratio of the vertical and horizontal measurements, so
925 that values less than 1.0 indicate a surface wider than tall, and values greater than 1.0 indicate
926 a surface taller than wide. Note that this ratio is independent of the measurement units. **1st**
927 **diff** measures the absolute value of the difference between the V/H ratios of each vertebra and
928 its successor. **Max diff** is the highest value of 1st diff for each specimen, and **Avg diff** is the
929 average value; **×100** is this value times 100, a measure of the serial variation along the
930 column. **1st ratio** is the “absolute ratio” of the V/H values of one vertebra and its successor,
931 normalized so that when the ratio is less than 1.0 its inverse is used. **Max ratio** is the highest
932 value of 1st ratio for each specimen, and **Avg ratio** is the average value; **–1×100** is this value

933 minus one, multiplied by 100, another measure of the serial variation along the column. Note
934 that by both measures, the variation in articular surface aspect ratios is greater in the two
935 sauropods than in any of the extant animals, even though these are among the best preserved
936 sauropod necks.

937 Figure Captions

938 **Figure 1.** *Spinophorosaurus nigerensis* holotype GCP-CV-4229 in situ during excavation in the
939 region of Aderbissinat, Thirozerine Dept., Agadez Region, Republic of Niger. Reproduced
940 from Remes et al. (2009: figure 1).

941 **Figure 2.** Simplified phylogeny of Sauropoda, showing counts of complete and near-complete
942 necks. Captions: **C**, complete and described; **U**, complete but undescribed; **-1**, missing the
943 atlas but otherwise complete; **O**, other near-complete necks (see text for details); **T**, total.

944 **Figure 3.** Neck of *Diplodocus carnegii* holotype CM 84, as reconstructed by Hatcher (1901:
945 plate XIII), with fifteen undamaged cervical vertebrae.

946 **Figure 4.** W. H. Reed's diagram of Quarry C near Camp Carnegie on Sheep Creek, in Albany
947 County, Wyoming. The coloured bones belong to CM 84, the holotype of *Diplodocus*
948 *carnegii*; other bones belong to other individuals, chiefly of *Brontosaurus*, *Camarasaurus* and
949 *Stegosaurus*. Modified (cropped and coloured) from Hatcher (1901: plate I). Cervical
950 vertebrae are purple (and greatly simplified in outline by Reed), dorsals are red, the sacrum is
951 orange, caudals are yellow, limb girdle elements are blue, and limb bones are green.

952 **Figure 5.** Three images of presacral vertebra 6 (probably dorsal 7) of *Brachiosaurus altithorax*
953 holotype FMNH P25107, in right lateral view, showing misleading restoration. **Left:** Field
954 Museum photograph CSGEO16166, photographer Charles Carpenter, taken in 1905, the year
955 after Riggs's (1904) descriptive monograph. Note the "crazy-paving" effect of the many
956 cracks and missing areas of bone surface. **Middle:** Illustration of the same vertebra in Riggs
957 (1904: plate LXXII). Note that the damage to the vertebral surface is not depicted. **Right:**
958 photograph of the same vertebra, taken by the author in 2005. Note that the damage apparent
959 in the 1905 photograph is no longer visible: the vertebra seems to have been painted to
960 conceal its incompleteness.

961 **Figure 6.** Quarry map of Tendaguru Site S, Tanzania, showing incomplete and jumbled
962 skeletons of *Giraffatitan brancai* specimens MB.R.2180 (the lectotype, formerly HMN SI)
963 and MB.R.2181 (the paralectotype, formerly HMN SII). Anatomical identifications of
964 MB.R.2181 are underlined. Elements of MB.R.2180 could not be identified on the map with
965 certainty. From Heinrich (1999: figure 16), redrawn by Heinrich from an original field sketch
966 by Werner Janensch.

967 **Figure 7.** Cervical vertebrae 5–11 of an ostrich, *Struthio camelus*, in posterior view, showing
968 that articular facet shape remains similar along the column. Specimen kindly provided by
969 Matt Cobley.

970 **Figure 8.** Cervical and dorsal vertebrae (C5–9 and D1–2) of a juvenile alligator, *Alligator*
971 *mississippiensis*, in anterior view, showing that articular facet shape remains similar along the
972 column. Specimen kindly provided by Matthew J. Wedel.

973 **Figure 9.** Sequences of cervical vertebrae of extant animals, showing that articular facet shape

974 remains similar along the column. **Top.** Cervical vertebrae 3–7 of a mature savannah monitor
975 lizard, *Varanus exanthematicus*, in anterior view. (The cervicals of monitor lizards, unlike
976 those of sauropods and most mammals, are procoelous, with the anterior facet being concave
977 and the posterior convex.) **Bottom.** cervical vertebrae 2–5 of a mature house-cat, *Felis catus*,
978 in posterior view.

979 **Figure 10.** Cervical vertebrae of a baby giraffe, *Giraffa camelopardalis*, in posterior view,
980 showing that articular facet shape remains similar along the column. Top row, left to right:
981 cervicals 7, 6 and 5; bottom row, left to right: cervicals 4, 3 and 2. Despite changes in the
982 vertebrae along the column, the flattened inverted pentagon shape of the articular facets
983 remains similar along the sequence. (Note that extensive cartilage caps existed on the articular
984 facets of this very young specimen, but were lost in preparation.)

985 **Figure 11.** Cervical vertebrae 4 (left) and 6 (right) of *Giraffatitan brancai* lectotype MB.R.2180
986 (formerly HMN SI), in posterior view. Note the dramatically different aspect ratios of their
987 cotyles, indicating that extensive and unpredictable crushing has taken place. Photographs by
988 the author.

989 **Figure 12.** Cervical vertebrae 14 (left) and 13 (right) of *Diplodocus carnegii* holotype CM 84, in
990 posterior view. Note the dramatically different aspect ratios of their cotyles, indicating that
991 extensive and unpredictable crushing has taken place.

992 **Figure 13.** Manipulation of consecutive sauropod vertebrae by hand. Cervicals 2 and 3 of
993 *Giraffatitan brancai* lectotype MB.R.2181 (formerly HMN SI). I attempted to articulate these
994 two vertebrae, and empirically determine the feasible range of motion. Due to subtle
995 distortion of the zygapophyses of these vertebrae, it was not possible to articulate C2 in a
996 more extended position relative to C3 than shown here. Photograph by Mathew J. Wedel.

997 **Figure 14.** Cervicodorsal transition of *Haplocanthosaurus priscus* holotype CM 572. Top row,
998 right lateral view; bottom row, anterior view. From left to right, D1, C15 and C14. Pink
999 highlights indicate the expanded lateral surface of the prezygadiapophyseal lamina, anchoring
1000 the supporting muscles of the scapula, which Hatcher considered diagnostic of the 1st dorsal
1001 vertebra. Modified from Hatcher (1903: plate I).

1002

1003

Table 1 (on next page)

Serial variation in preserved aspect ratios of consecutive cervical vertebrae in extant animals and sauropods from several major amniote clades.

Mammalia: giraffe *Giraffa camelopardalis*, young juvenile specimen, C2–C7. Lacertilia: savannah monitor, *Varanus exanthematicus*, C3–C7. Crocodylia: alligator *Alligator mississippiensis*, juvenile specimen, C5–D2; Aves: ostrich *Struthio camelus*. C5–C11. Sauropoda: *Diplodocus carnegii* holotype CM84 and *Giraffatitan brancai* lectotype MN.R.2180 (formerly HMN SI). **Vertical** and **horizontal** columns contain measurements of the posterior articular surfaces of the vertebrae, except for the procoelous alligator vertebrae for which anterior articular surface was used. For the saddle-shaped ostrich cervicals, the maximum height and width of the posterior articular surface was used, rather than the shorter midline distances. Measurements are in arbitrary units (e.g. mm or pixels in a photograph) but consistent within any one specimen. **V/H** is the aspect ratio of the measured surface, the ratio of the vertical and horizontal measurements, so that values less than 1.0 indicate a surface wider than tall, and values greater than 1.0 indicate a surface taller than wide. Note that this ratio is independent of the measurement units. **1st diff** measures the absolute value of the difference between the V/H ratios of each vertebra and its successor. **Max diff** is the highest value of 1st diff for each specimen, and **Avg diff** is the average value; **x100** is this value times 100, a measure of the serial variation along the column. **1st ratio** is the “absolute ratio” of the V/H values of one vertebra and its successor, normalized so that when the ratio is less than 1.0 its inverse is used. **Max ratio** is the highest value of 1st ratio for each specimen, and **Avg ratio** is the average value; **-1x100** is this value minus one, multiplied by 100, another measure of the serial variation along the column. Note that by both measures, the variation in articular surface aspect ratios is greater in the two sauropods than in any of the extant animals, even though these are among the best preserved

sauropod necks.

- 1 **NOTE.** This table was prepared as a spreadsheet, as it contains a lot of calculation. PeerJ flatly
- 2 refuses to let me submit it as one, so the best I can do I copy the contents of that spreadsheet and
- 3 paste them into a Word document. So here it is.
- 4
- 5 The spreadsheet is included in the submission as a “supplementary file” — which it is not.

| | Vertebra | Vertical | Horizontal | V/H | 1 st diff | Max diff | Avg diff | ×100 | 1 st ratio | Max ratio | Avg ratio | -1×100 |
|--|----------|----------|------------|-------|----------------------|----------|----------|--------|-----------------------|-----------|-----------|--------|
| Giraffe | | | | | | | | | | | | |
| | C2 | 437 | 638 | 0.685 | 0.086 | 0.151 | 0.062 | 6.201 | 1.126 | 1.208 | 1.086 | 8.636 |
| | C3 | 499 | 647 | 0.771 | 0.014 | | | | 1.018 | | | |
| | C4 | 471 | 600 | 0.785 | 0.014 | | | | 1.018 | | | |
| | C5 | 513 | 665 | 0.771 | 0.045 | | | | 1.062 | | | |
| | C6 | 531 | 731 | 0.726 | 0.151 | | | | 1.208 | | | |
| | C7 | 510 | 581 | 0.878 | | | | | | | | |
| | | | | 0.769 | | | | | | | | |
| Monitor | | | | | | | | | | | | |
| | C3 | 155 | 255 | 0.608 | 0.020 | 0.081 | 0.043 | 4.270 | 1.034 | 1.137 | 1.071 | 7.113 |
| | C4 | 181 | 308 | 0.588 | 0.007 | | | | 1.012 | | | |
| | C5 | 179 | 301 | 0.595 | 0.081 | | | | 1.137 | | | |
| | C6 | 194 | 287 | 0.676 | 0.062 | | | | 1.102 | | | |
| | C7 | 189 | 308 | 0.614 | | | | | | | | |
| | | | | 0.616 | | | | | | | | |
| Alligator | | | | | | | | | | | | |
| | C5 | 202 | 217 | 0.931 | 0.060 | 0.121 | 0.055 | 5.546 | 1.068 | 1.159 | 1.070 | 6.986 |
| | C6 | 203 | 233 | 0.871 | 0.016 | | | | 1.019 | | | |
| | C7 | 213 | 240 | 0.888 | 0.121 | | | | 1.159 | | | |
| | C8 | 203 | 265 | 0.766 | 0.073 | | | | 1.095 | | | |
| | D1 | 198 | 236 | 0.839 | 0.007 | | | | 1.008 | | | |
| | D2 | 203 | 244 | 0.832 | | | | | | | | |
| | | | | 0.854 | | | | | | | | |
| Ostrich (Measuring maximum distances) | | | | | | | | | | | | |
| | C5 | 156 | 207 | 0.754 | 0.036 | 0.092 | 0.063 | 6.298 | 1.048 | 1.135 | 1.089 | 8.903 |
| | C6 | 173 | 219 | 0.790 | 0.027 | | | | 1.035 | | | |
| | C7 | 177 | 232 | 0.763 | 0.084 | | | | 1.123 | | | |
| | C8 | 161 | 237 | 0.679 | 0.092 | | | | 1.135 | | | |
| | C9 | 172 | 223 | 0.771 | 0.048 | | | | 1.066 | | | |
| | C10 | 170 | 235 | 0.723 | 0.091 | | | | 1.126 | | | |
| | C11 | 180 | 221 | 0.814 | | | | | | | | |
| | | | | 0.756 | | | | | | | | |
| Diplodocus (CM 82) | | | | | | | | | | | | |
| | C2 | 33 | 36 | 0.917 | 0.087 | 0.243 | 0.091 | 9.130 | 1.105 | 1.139 | 1.120 | 11.955 |
| | C3 | 39 | 47 | 0.830 | 0.116 | | | | 1.139 | | | |
| | C4 | 52 | 55 | 0.945 | 0.107 | | | | 1.127 | | | |
| | C5 | 52 | 62 | 0.839 | 0.045 | | | | 1.054 | | | |
| | C6 | 61 | 69 | 0.884 | 0.009 | | | | 1.010 | | | |
| | C7 | 70 | 80 | 0.875 | 0.032 | | | | 1.036 | | | |
| | C8 | 68 | 75 | 0.907 | 0.032 | | | | 1.036 | | | |
| | C9 | 91 | 104 | 0.875 | 0.061 | | | | 1.069 | | | |
| | C10 | 102 | 109 | 0.936 | 0.123 | | | | 1.152 | | | |
| | C11 | 117 | 144 | 0.813 | 0.056 | | | | 1.069 | | | |
| | C12 | 139 | 160 | 0.869 | 0.048 | | | | 1.055 | | | |
| | C13 | 143 | 156 | 0.917 | 0.243 | | | | 1.361 | | | |
| | C14 | 134 | 199 | 0.673 | 0.228 | | | | 1.339 | | | |
| | C15 | 156 | 173 | 0.902 | | | | | | | | |
| | | | | 0.870 | | | | | | | | |
| Giraffatitan (MB.R.2180) | | | | | | | | | | | | |
| | C2 | 864 | 717 | 1.205 | 0.180 | 0.378 | 0.163 | 16.288 | 1.176 | 1.568 | 1.221 | 22.100 |
| | C3 | 695 | 678 | 1.025 | 0.018 | | | | 1.018 | | | |
| | C4 | 672 | 644 | 1.043 | 0.378 | | | | 1.568 | | | |
| | C5 | 675 | 1014 | 0.666 | 0.031 | | | | 1.047 | | | |
| | C6 | 828 | 1188 | 0.697 | 0.207 | | | | 1.297 | | | |
| | C7 | 640 | 708 | 0.904 | | | | | | | | |
| | | | | 0.923 | | | | | | | | |

Figure 1

Spinophorosaurus nigerensis holotype GCP-CV-4229 in situ during excavation in the region of Aderbissinat, Thirozerine Dept., Agadez Region, Republic of Niger.

Reproduced from Remes et al. (2009: figure 1).



Figure 2

Simplified phylogeny of Sauropoda, showing counts of complete and near-complete necks.

Captions: **C**, complete and described; **U**, complete but undescribed; **-1**, missing the atlas but otherwise complete; **O**, other near-complete necks (see text for details); **T**, total.

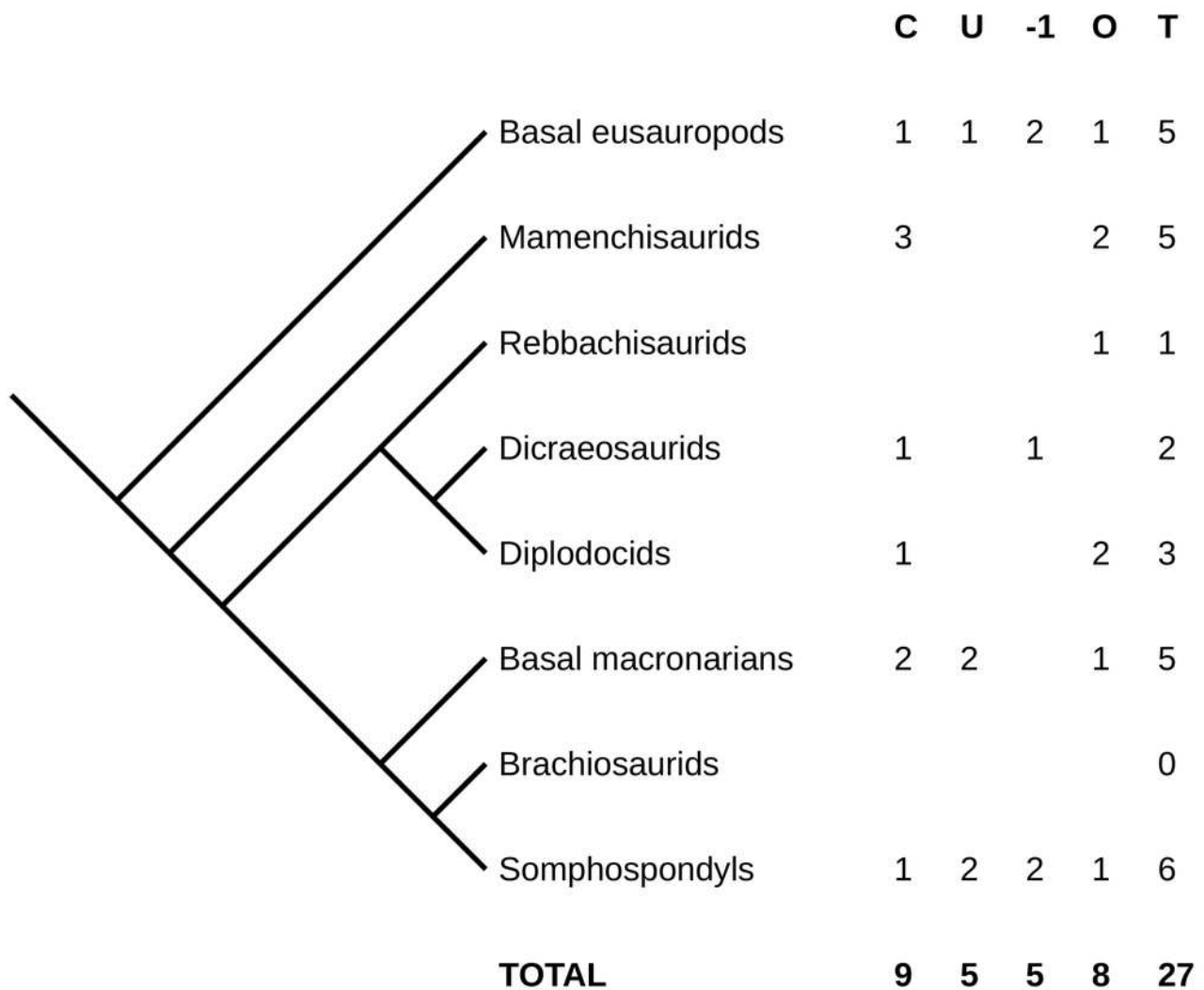


Figure 3

Neck of *Diplodocus carnegii* holotype CM 84, as reconstructed by Hatcher (1901: plate XIII), with fifteen undamaged cervical vertebrae.

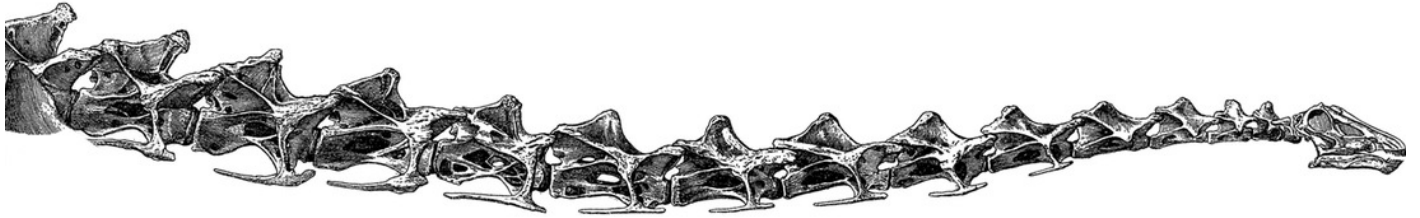


Figure 4

W. H. Reed's diagram of Quarry C near Camp Carnegie on Sheep Creek, in Albany County, Wyoming.

The coloured bones belong to CM 84, the holotype of *Diplodocus carnegii*; other bones belong to other individuals, chiefly of *Brontosaurus*, *Camarasaurus* and *Stegosaurus*. Modified (cropped and coloured) from Hatcher (1901: plate I). Cervical vertebrae are purple (and greatly simplified in outline by Reed), dorsals are red, the sacrum is orange, caudals are yellow, limb girdle elements are blue, and limb bones are green.

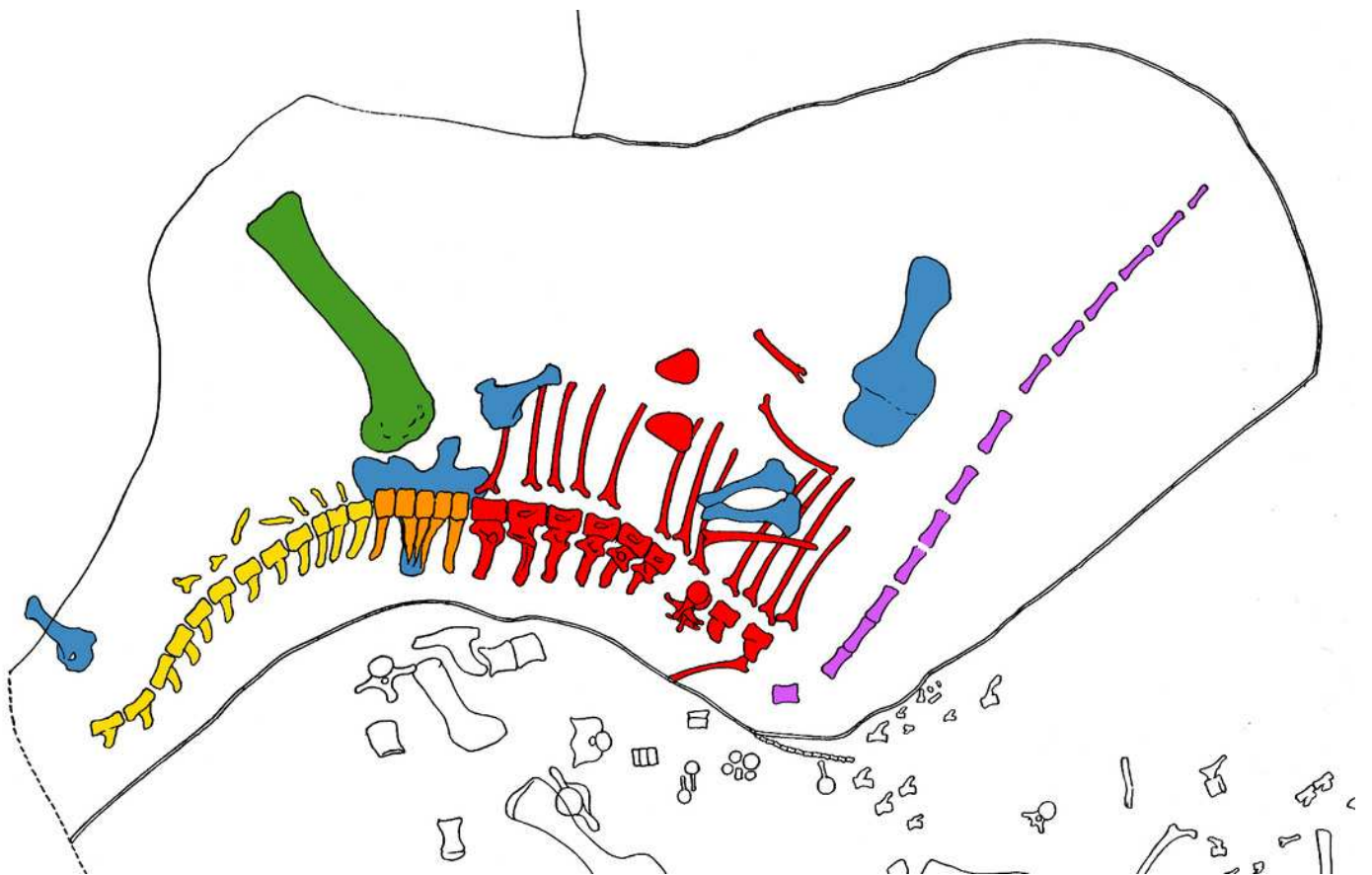


Figure 5

Three images of presacral vertebra 6 (probably dorsal 7) of *Brachiosaurus altithorax* holotype FMNH P25107, in right lateral view, showing misleading restoration.

Left: Field Museum photograph CSGEO16166, photographer Charles Carpenter, taken in 1905, the year after Riggs's (1904) descriptive monograph. Note the "crazy-paving" effect of the many cracks and missing areas of bone surface. **Middle:** Illustration of the same vertebra in Riggs (1904: plate LXXII). Note that the damage to the vertebral surface is not depicted. **Right:** photograph of the same vertebra, taken by the author in 2005. Note that the damage apparent in the 1905 photograph is no longer visible: the vertebra seems to have been painted to conceal its incompleteness.



Figure 6

Quarry map of Tendaguru Site S, Tanzania, showing incomplete and jumbled skeletons of *Giraffatitan brancai* specimens MB.R.2180 (the lectotype, formerly HMN SI) and MB.R.2181 (the paralectotype, formerly HMN SII).

Anatomical identifications of MB.R.2181 are underlined. Elements of MB.R.2180 could not be identified on the map with certainty. From Heinrich (1999: figure 16), redrawn by Heinrich from an original field sketch by Werner Janensch.

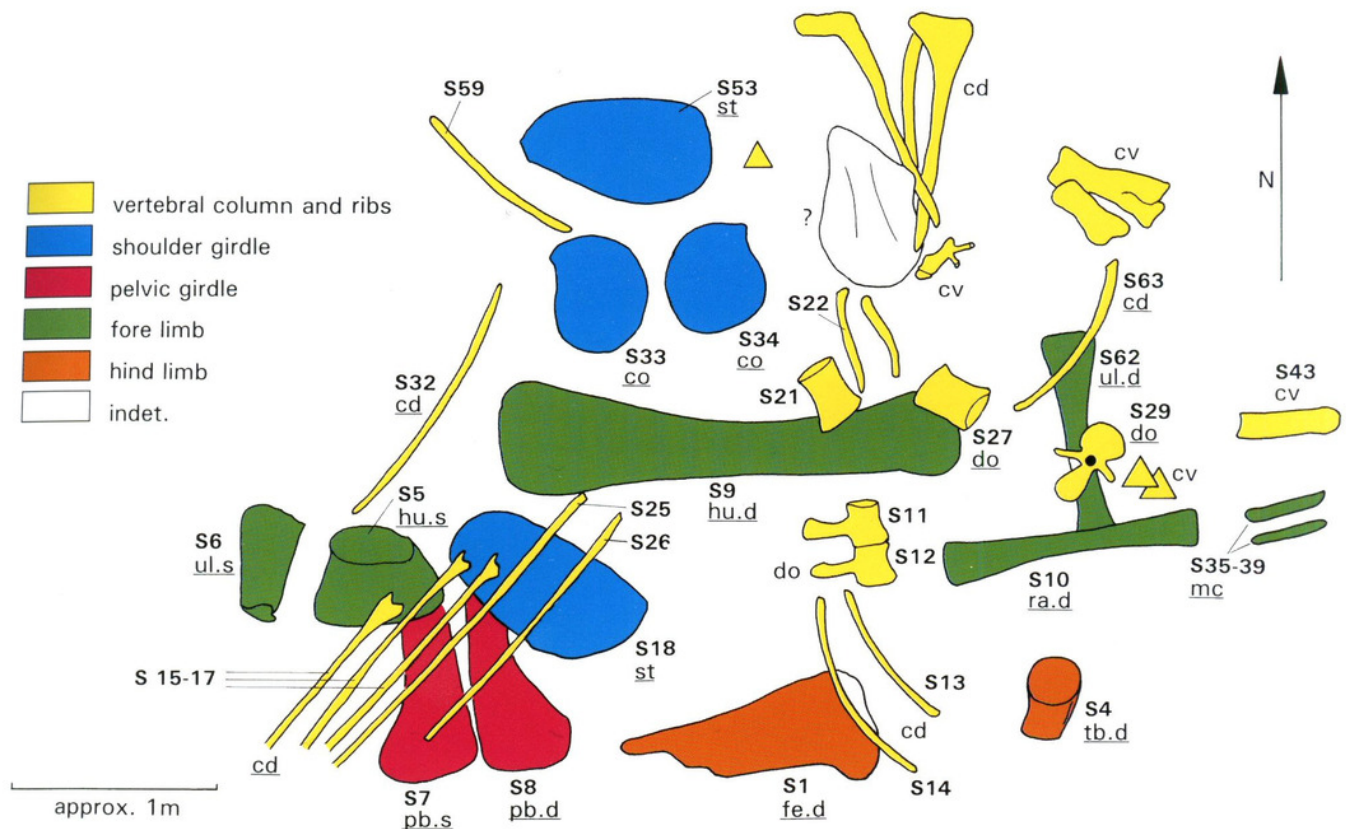


Figure 7

Cervical vertebrae 5-11 of an ostrich, *Struthio camelus*, in posterior view, showing that articular facet shape remains similar along the column.

Specimen kindly provided by Matt Cobley.



Figure 8

Cervical and dorsal vertebrae (C5-9 and D1-2) of a juvenile alligator, *Alligator mississippiensis*, in anterior view, showing that articular facet shape remains similar along the column.

Specimen kindly provided by Matthew J. Wedel.



Figure 9

Sequences of cervical vertebrae of extant animals, showing that articular facet shape remains similar along the column.

Top. Cervical vertebrae 3-7 of a mature savannah monitor lizard, *Varanus exanthematicus*, in anterior view. (The cervicals of monitor lizards, unlike those of sauropods and most mammals, are procoelous, with the anterior facet being concave and the posterior convex.)

Bottom. cervical vertebrae 2-5 of a mature house-cat, *Felis catus*, in posterior view.

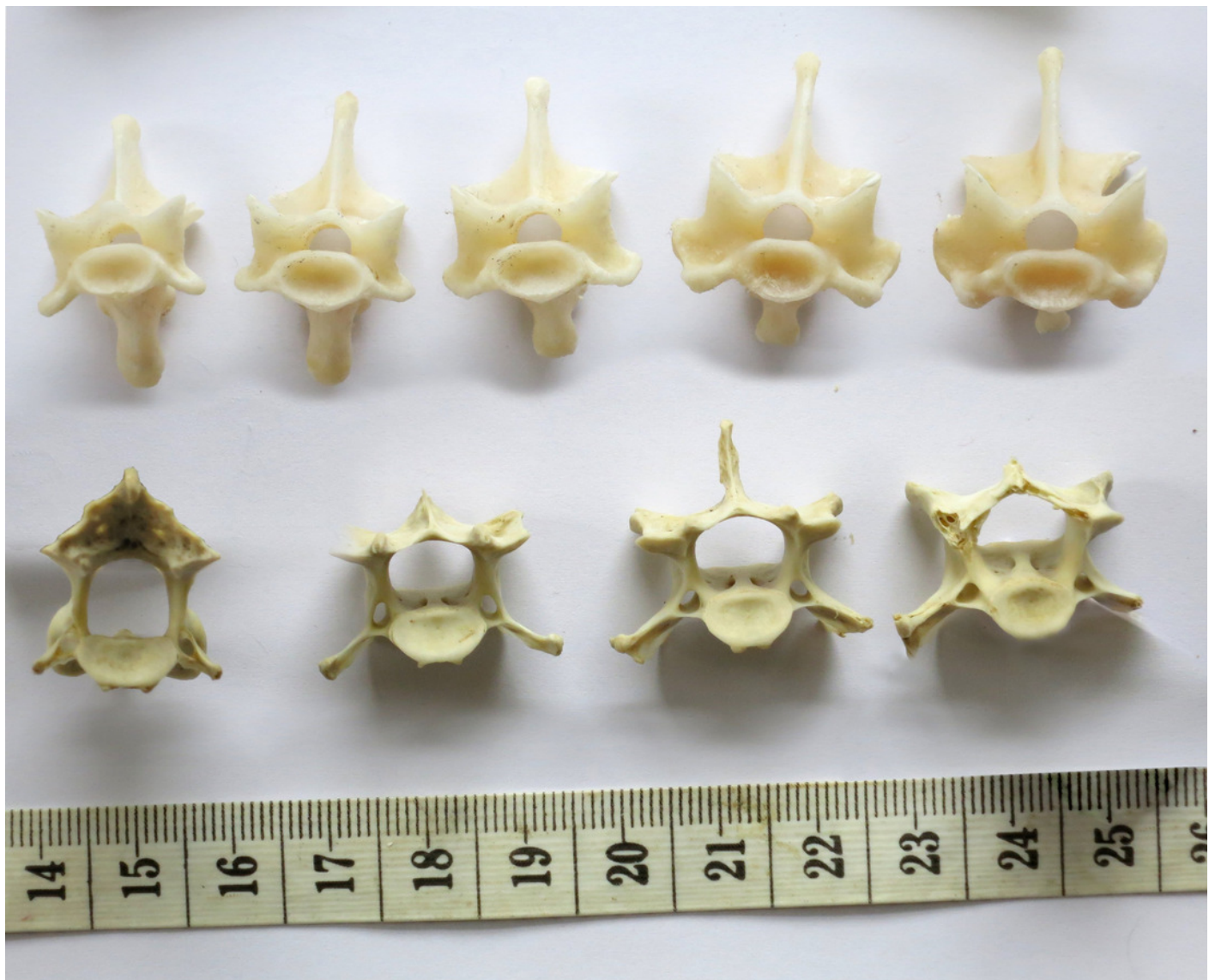


Figure 10

Cervical vertebrae of a baby giraffe, *Giraffa camelopardalis*, in posterior view, showing that articular facet shape remains similar along the column.

Top row, left to right: cervicals 7, 6 and 5; bottom row, left to right: cervicals 4, 3 and 2.

Despite changes in the vertebrae along the column, the flattened inverted pentagon shape of the articular facets remains similar along the sequence. (Note that extensive cartilage caps existed on the articular facets of this very young specimen, but were lost in preparation.)



Figure 11

Cervical vertebrae 4 (left) and 6 (right) of *Giraffatitan brancai* lectotype MB.R.2180 (formerly HMN SI), in posterior view.

Note the dramatically different aspect ratios of their cotyles, indicating that extensive and unpredictable crushing has taken place. Photographs by the author.



Figure 12

Cervical vertebrae 14 (left) and 13 (right) of *Diplodocus carnegii* holotype CM 84, in posterior view.

Note the dramatically different aspect ratios of their cotyles, indicating that extensive and unpredictable crushing has taken place.

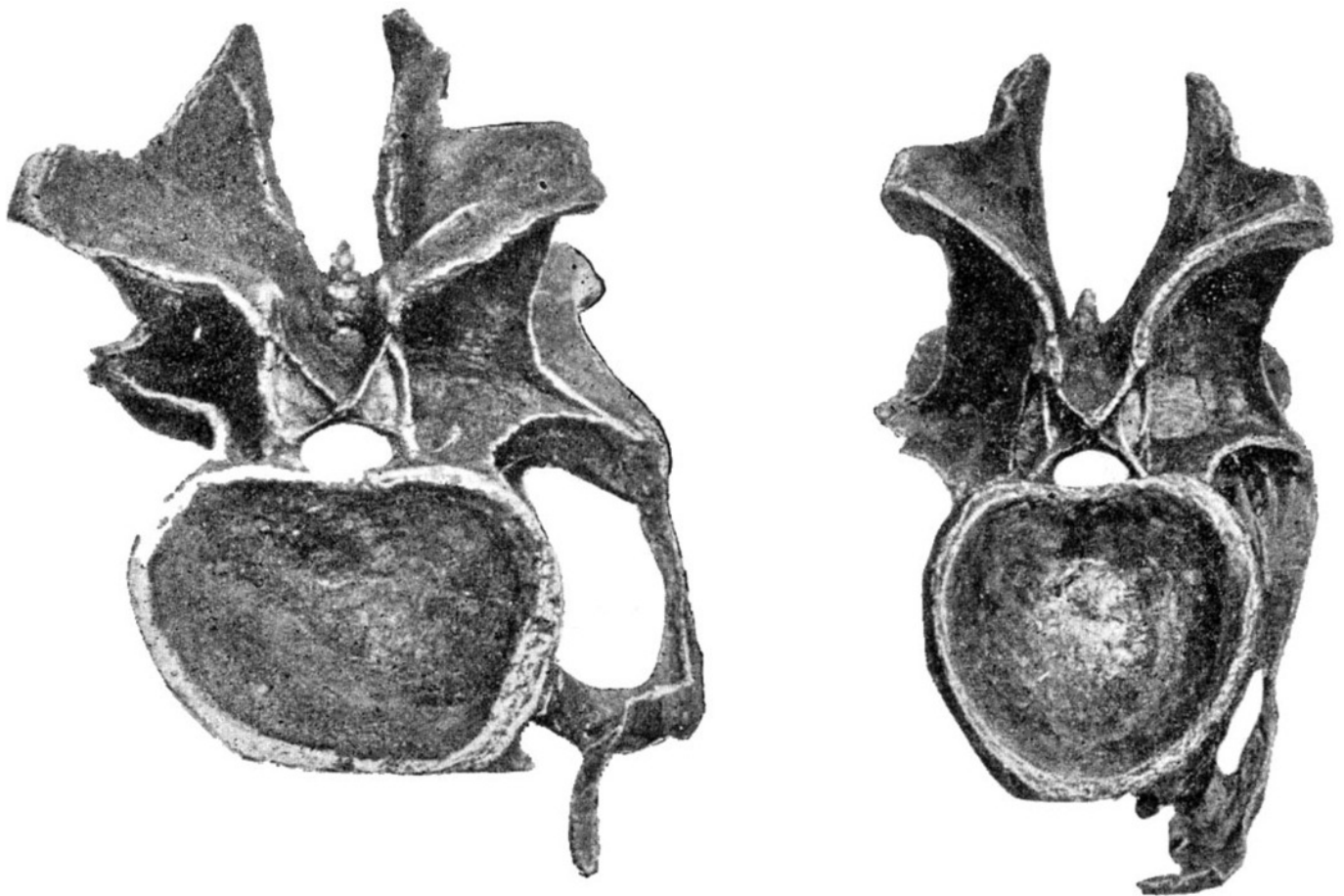


Figure 13

Manipulation of consecutive sauropod vertebrae by hand. Cervicals 2 and 3 of *Giraffatitan brancai* lectotype MB.R.2181 (formerly HMN SI).

I attempted to articulate these two vertebrae, and empirically determine the feasible range of motion. Due to subtle distortion of the zygapophyses of these vertebrae, it was not possible to articulate C2 in a more extended position relative to C3 than shown here.

Photograph by Mathew J. Wedel.



Figure 14

Cervicodorsal transition of *Haplocanthosaurus priscus* holotype CM 572.

Top row, right lateral view; bottom row, anterior view. From left to right, D1, C15 and C14. Pink highlights indicate the expanded lateral surface of the prezygadiapophyseal lamina, anchoring the supporting muscles of the scapula, which Hatcher considered diagnostic of the 1st dorsal vertebra. Modified from Hatcher (1903: plate I).

