

1 **Identifying and prioritising climate change adaptation**  
2 **actions for greater one-horned rhinoceros**  
3 **(*Rhinoceros unicornis*) conservation in Nepal**

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19  
20 **Abstract**

21 Climate change has started impacting species, ecosystems, genetic diversity within species, and  
22 ecological interactions and is thus a serious threat to conserving biodiversity globally. In the  
23 absence of adequate adaptation measures, biodiversity may continue to decline, and many  
24 species will possibly become extinct. Given that global temperature continues to increase,  
25 climate change adaptation has emerged as an overarching framework for conservation planning.  
26 We identified both ongoing and probable climate change adaptation actions for greater one-  
27 horned rhinoceros conservation in Nepal through a combination of literature review, key  
28 informant surveys (n=53), focus group discussions (n=4) and expert consultation (n=9), and  
29 prioritised the identified adaptation actions through stakeholder consultation (n=17). The  
30 majority of key informants (>80%) reported that climate change has been impacting rhinoceros,  
31 and more than 65% of them believe that rhinoceros habitat suitability in Nepal has been shifting  
32 westwards. Despite these perceived risks, climate change impacts have not been incorporated  
33 well into formal conservation planning for rhinoceros. Out of 20 identified adaptation actions  
34 under nine adaptation strategies, identifying and protecting climate refugia, restoring the existing  
35 habitats through wetland and grassland management, creating artificial highlands in floodplains  
36 to provide rhinoceros with refuge during severe floods, and translocating them to other suitable  
37 habitats received higher priority. These adaptation actions may contribute to reducing the  
38 vulnerability of rhinoceros to the likely impacts of climate change. This study is the first of its  
39 kind in Nepal and is expected to provide a guideline to align ongoing conservation measures into  
40 climate change adaptation planning for rhinoceros. Further, we emphasise the need to integrate

41 likely climate change impacts while planning for rhinoceros conservation and initiating  
42 experimental research and monitoring programs to better inform adaptation planning in the  
43 future.

## 44 Introduction

45 Climate change is increasingly acknowledged as a critical threat for conserving global  
46 biodiversity, which is impacting almost every level of biological diversity including species,  
47 ecosystems, ecological interactions, and genetic diversity within species (Foden et al., 2019;  
48 IPBES, 2019). It is triggering changes in phenology, range shifts and species composition (Chen  
49 et al., 2011; Rasmussen et al., 2017; Haight & Hammill, 2020). These adverse impacts on  
50 biodiversity are likely to intensify in the future, given that the global average temperature is  
51 predicted to exceed 1.5°C by 2100 even under the lowest greenhouse gas emission scenario  
52 (IPCC, 2018; Newbold et al., 2020). Biodiversity continues to decline globally, and many  
53 species will possibly become extinct due to the synergetic effects of climate change and land use  
54 changes if adequate adaptation measures are not implemented (Da Silva et al., 2019; IPBES,  
55 2019; Hannah et al., 2020).

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59 Climate change adaptation is defined as adjusting to moderate or avoid the harm that is likely to  
60 arise from a current or projected change in climate and associated effects (Smit et al., 2000).  
61 Adaptation priorities of different systems may be different based on the magnitude of change a  
62 system has been experiencing or is projected to experience due to climatic stressors (Watson et  
63 al., 2013). Thus, the effectiveness of species conservation strategies relies not only on enhancing  
64 knowledge of species and ecosystem responses to these changes but also on envisaging the likely  
65 response of humans (Watson et al., 2013; Morecroft et al., 2019). Successful conservation needs  
66 to embrace multiple approaches to climate adaptation; however, these are seldom delivered in an  
67 integrated way to assist in conservation planning and implementation in the context of the  
68 inherent uncertainty associated with future climate conditions (Smit et al., 2000). Likewise, the  
69 management practices of today may not be relevant under future climate scenarios, and  
70 ecologists must go beyond finding the likely climate change impacts and start devising probable  
71 solutions (Hulme, 2005). In this context, priority should be given to developing adaptation  
72 options for the species that are most susceptible to changing climate (Abrahms et al., 2017;  
73 Morecroft et al., 2019).

74  
75 Greater one-horned rhinoceros (*Rhinoceros unicornis*; hereafter “rhinoceros”) is one of the five  
76 remaining species of rhinoceros in the world and is currently distributed in a few protected areas  
77 in southern Nepal and the northern foothills of India (Rookmaaker et al., 2016; Ellis &  
78 Talukdar, 2019). Rhinoceroses were widespread throughout the Indian subcontinent until the  
79 middle of the nineteenth century, but the population sharply declined to only 500 rhinoceros  
80 during the 1960s due to poaching and habitat loss (Rookmaaker et al., 2016; Pant et al., 2020b).  
81 However, the rhinoceros population in the wild has been gradually increasing in both India and  
82 Nepal over the last two decades following effective conservation initiatives, and the global  
83 rhinoceros population at present is more than 3,500 individuals (DNPWC, 2017; Ellis &  
84 Talukdar, 2019). Despite its population recovery from the brink of extinction, rhinoceros is still  
85 considered to be at high risk due to poaching and habitat alteration induced by climate change  
86 (Dinerstein, 2003; DNPWC, 2017; Pant et al., 2020b). However, the probable impacts of

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92 changing climate on rhinoceroses and their habitat have not been well documented (Pant et al.,  
93 2020b).

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95 Rhinoceros is a habitat specialist and prefers a mosaic of grassland and the riverine forests on  
96 alluvial floodplains along the foothills of the Himalayas, where green growth and water remain  
97 available throughout the year (Laurie, 1982; Dinerstein & Price, 1991; Jnawali, 1995; Pradhan et  
98 al., 2008). The insufficiency of suitable habitat is one of the limiting factors for rhinoceros  
99 conservation (Pant et al., 2020b), and the decline in both quality and quantity of rhinoceros  
100 habitat has been documented in rhinoceros-bearing protected areas in both India and Nepal  
101 (Sarma et al., 2009; Subedi, 2012; Medhi & Saha, 2014). In Nepal, the rhinoceros population has  
102 been gradually shifting westwards, which indicates the change in habitat suitability (Subedi et  
103 al., 2013) and climate change has been recently acknowledged as an emerging challenge for  
104 rhinoceros conservation (DNPWC, 2017). The decline in rhinoceros habitat is likely to be  
105 intensified in the future due to the impacts of climate change, given that nearly half of the current  
106 suitable habitat is predicted to become unsuitable in the next 50 years under the highest  
107 greenhouse gas emission scenario (Adhikari & Shah, 2020).

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109 Over the last few decades, climate change adaptation has been acknowledged as an overarching  
110 framework for biodiversity conservation (Glick et al. 2011; Stein et al., 2013) and the adaptation  
111 actions currently in practice for wildlife management are broadly focused on protected areas,  
112 invasive species, ecosystem services, adaptive management, biological corridors, and assisted  
113 migration (LeDee et al., 2021). There are several examples of adaptation planning for species  
114 conservation and ecosystem management from around the globe. For example, national fish,  
115 wildlife and plant climate adaptation strategy of the United States (Burns et al. 2021), climate  
116 change strategy and action plan for Greater Barrier Reef National Park, Australia (GBRMP,  
117 2012), climate change adaptation actions for Australian birds (Garnett et al. 2013), and climate  
118 change adaptation actions for vulnerable seabirds on Albatross Island in Tasmania (Alderman &  
119 Hobday, 2017) have been formulated. In Nepal, a national adaptation plan has recently been  
120 prepared that proposed 11 priority adaptation programs for forests, biodiversity and watershed  
121 conservation (GON, 2021). However, no specific adaptation actions have been developed to date  
122 for particular wildlife species conservation in Nepal.

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124 The aim of this study was to identify, describe and prioritise adaptation actions to moderate the  
125 likely effects of climate change on rhinoceros in Nepal. The specific objectives included (1)  
126 documenting the ongoing conservation interventions that possibly contribute to climate change  
127 adaptation planning, (2) identifying the probable climate change adaptation actions, and (3)  
128 guiding the future course of actions to align ongoing conservation measures into adaptation  
129 planning. Climate change has been acknowledged as an emerging threat for rhinoceros  
130 conservation given that the decline in rhinoceros habitat due to invasive plant species and drying  
131 up of wetlands has been documented, and climate-induced hazards including flash floods,  
132 prolonged droughts and forest fires are predicted to increase in those areas (Medhi & Saha, 2014;  
133 DNPWC, 2017; Adhikari & Shah, 2020; Pant et al., 2020b). Likewise, Pant et al. (2020a)  
134 recently reported that rhinoceroses in Nepal are likely to experience a 'moderate' level of climate  
135 change vulnerability owing to susceptibility to flash floods, habitat loss due to invasive plant  
136 species, increased forest fires and drying up of wetlands due to increased droughts. The findings  
137 of the present study, if converted into action, are expected to reduce these vulnerabilities to  
138 rhinoceros in the era of rapid climate change. Although our focus is on rhinoceros conservation,  
139 this study is equally important for adaptation planning for other wildlife species given that

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rhinoceros is a flagship as well as an umbrella species, its conservation could support in the protection of other naturally co-occurring species (Roberge & Angelstam, 2004; Amin et al., 2006; Cedric et al., 2016).

## Materials & Methods

### Study Area

Nepal extends over 147,516 km<sup>2</sup> in South Asia between longitudes of 80°04' to 88°12' east and latitudes of 26°22' to 30°27' north. We focused our study on all of the protected areas in Nepal with extant rhinoceros populations, namely Shuklaphanta, Bardia, Chitwan and Parsa National Parks, and their surrounding landscapes (Figure 1). Chitwan National Park (CNP; 95,000 ha) is a stronghold of rhinoceros, and the only source population of rhinoceros in the country (DNPWC, 2017). Recently, Parsa National Park (PNP; 62,700 ha) has been recently colonised by rhinoceros where 3-5 animals have migrated from adjacent CNP (Acharya & Ram, 2017). Nearly 100 rhinoceroses were translocated between 1986 and 2017 from CNP to Bardia National Park (BNP; 96,800 ha) and Shuklaphanta National Park (SNP; 30,500 ha) (DNPWC, 2018; Thapa et al., 2013). Based on the census conducted in 2015 (DNPWC, 2017), there were 645 rhinoceroses in four National Parks in Nepal, i.e. CNP (605), BNP (29), SNP (8) and PNP (3).

### Methods

This study was conducted with the research permission (075/76 ECO- 2124) from the Department of National Parks and Wildlife Conservation, Nepal and the University of Southern Queensland, Australia has also granted ethical clearance (H19REA001) for the research. We used a combination of literature review, key informant surveys (n=53), focus group discussions (n=4), expert consultation (n=9), and stakeholder consultation for priority ranking (n=17) as methods to identify and prioritise adaptation actions to conserve rhinoceros in the face of climate change (Figure 2). We collected primary data for this research between February and April 2019. We first developed a set of 11 proposed adaptation actions through a literature review. Later, we refined these actions with inputs from key informants and then finalised a list of 20 adaptation actions through focus group discussions during a stakeholder consultation workshop, where we grouped these actions into nine adaptation strategies. Further, we evaluated and validated the identified adaptation actions through expert consultation. We also documented key informants' insights related to climate change impacts on rhinoceros habitat including the shift in habitat suitability. Finally, we prioritised the identified adaptation actions based on priority ranking by stakeholders and experts.

**Deleted:** Although our focus is on Nepal, this study is equally important for rhinoceros conservation in India, more specifically in Kaziranga National Park and the surrounding landscape, which supports two-thirds of the global population of rhinoceros in the wild (Pant et al., 2020b). The habitat condition and the challenges faced by conservationists for conserving rhinoceros in Nepal's Chitwan National Park are similar to those in Kaziranga National Park in India (DNPWC, 2017; Puri and Joshi, 2018). ¶

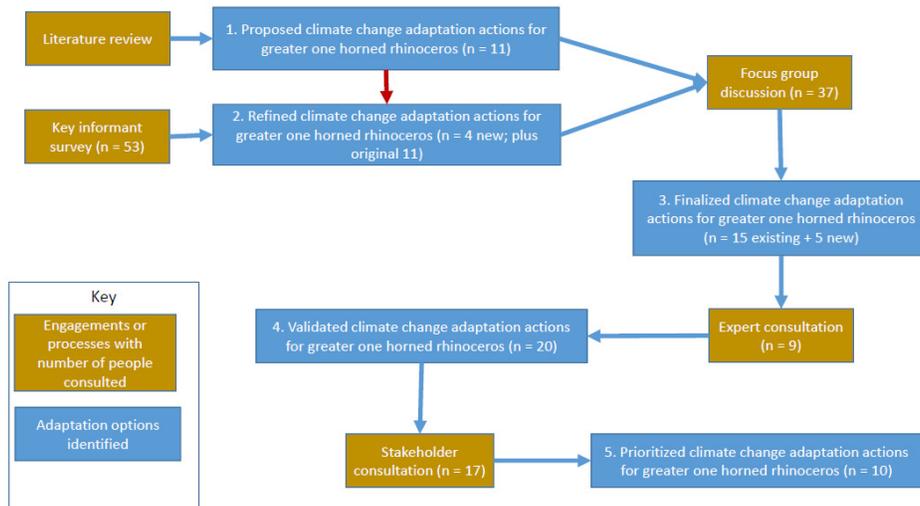
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## 195 Review of relevant literature

196 Climate change adaptation consists of planned actions aimed at reducing the risks and capitalises  
 197 on the possible opportunities linked with climate change, which is emerging as a key framework  
 198 for biodiversity conservation globally (Füssel, 2007; Glick et al., 2011). Adaptation planning is  
 199 regarded as a means to reduce the likely vulnerabilities to climate change and the projected  
 200 climate scenarios in the future (Thomas et al., 2019). Increasing resilience is an overarching  
 201 objective of adaptation strategies and principles (Morecroft et al., 2012). Decisions on climate  
 202 change adaptation to biodiversity primarily rely on expert judgement, with supplementary  
 203 information generated from climate models. This approach also considers managing biodiversity  
 204 in-situ followed by landscape-level interventions and finally ex-situ conservation through  
 205 translocation (Oliver et al., 2012). Adaptation is characterised by flexible management as a  
 206 component of well-designed adaptation strategies because of the uncertainties associated with  
 207 predicted climate change impacts on ecosystems and species (Glick et al., 2011).

208  
 209 Several adaptation approaches are used to incorporate climate change into conservation planning  
 210 and translating these principles and strategies of climate change adaptation into action. Although  
 211 various analytical techniques are used for adaptation planning, most of them follow similar steps,  
 212 including assessing vulnerabilities to the species in relation to the predicted climate change  
 213 scenarios, determining predicted range shifts for species, identifying promising adaptation  
 214 options, and then appraising and choosing adaptation actions (Stein et al., 2013; Abrahms et al.,  
 215 2017). We followed the participatory adaptation for conservation targets (ACT) framework, as  
 216 suggested by Cross et al. (2012), which considers the effect of climate change in deciding  
 217 conservation measures for species, ecosystem and ecological function. This framework is  
 218 founded on the principle that effective adaptation planning relies predominantly on indigenous

219 knowledge related to ecosystems, and there is no need for detailed forecasts of changing climate  
220 or its impacts. We first appraised the generic adaptation actions proposed for biodiversity and  
221 wildlife (see Mawdsley et al., 2009; Oliver et al., 2012; Abrahms et al., 2017), given that there  
222 were no specific adaptation actions already developed for rhinoceros. On the basis of the  
223 literature review, including those described in Pant et al. (2020b), we identified 11 adaptation  
224 actions relevant to rhinoceros conservation in Nepal.  
225

### 226 **Key informant survey**

227 We interviewed 53 key informants in person, including rhinoceros experts, managers of the  
228 protected areas, academics, participants from conservation agencies such as the International  
229 Union for Conservation of Nature (IUCN), Zoological Society of London (ZSL), World Wide  
230 Fund for Nature (WWF), National Trust for Nature Conservation (NTNC), and members of  
231 relevant community-based organisations. We purposely selected participants who were directly  
232 involved in rhinoceros conservation in Nepal and they were familiar about the ongoing changes  
233 in rhinoceros habitat over the years. We documented their understanding of the probable climate  
234 change impacts on rhinoceros habitat, and with their input, we identified interventions that are  
235 likely to serve as suitable climate change adaptation actions. Five interviewees (9%) were  
236 female, and 48 (91%) were male. The fewer number of female interviewees is attributed to the  
237 gender imbalance in the biodiversity conservation sector in Nepal. The majority of the  
238 participants (n=29; 54%) were government officials and 12 (23%) each from non-government  
239 organisations and community organisations. Most of the key informants (>55%) each had 15  
240 years of experience or more in the environmental management sector. These key informants  
241 identified four more adaptation actions which were discussed with focus groups during the  
242 stakeholder consultation workshop.  
243

### 244 **Focus group discussion**

245 We conducted focus group discussions on climate change adaptation planning for rhinoceros  
246 during a two-day workshop in Chitwan National Park, Nepal on 5-6 April 2019, which was  
247 attended by 37 stakeholders representing the department and protected area offices from the  
248 government sector, non-governmental organisations, universities and community-based  
249 organisations involved in rhinoceros conservation (**Figure 3**). The discussion on identifying the  
250 adaptation actions was conducted immediately after the vulnerability assessment presented in  
251 Pant et al. (2020a). The information on the existing practices for species-specific adaptation  
252 planning and adaptation actions relevant for rhinoceros conservation identified through literature  
253 review and key informant survey were provided to the workshop participants. In this session,  
254 participants were engaged in a group exercise for identifying the possible adaptation actions,  
255 primarily based on the identified climate change vulnerabilities for rhinoceros conservation in  
256 Nepal. During the plenary session, each group presented the details of adaptation actions that are  
257 expected to reduce the vulnerability of rhinoceros considering predicted climate change impacts,  
258 which were then finalised by consensus among all workshop participants. The participants  
259 finally agreed on 15 adaptation actions, though five additional potential adaptation actions were  
260 added for further discussion with experts.  
261

### 262 **Expert consultation**

263 We consulted a cohort of nine experts face-to-face to validate the outcomes of our climate  
264 change adaptation focus group exercise for rhinoceros. In doing so, we invited all of the known  
265 rhinoceros conservation experts in Nepal from the Department of National Parks and Wildlife  
266 Conservation (DNPWC) and NGOs, including the IUCN, WWF, NTNC and ZSL. Two of the

**Commented [NB2]:** Do you mean the focus group workshop? Otherwise these actions skip the next two steps.

**Deleted:** the details on assessing climate change vulnerability to rhinoceros in Nepal is

**Deleted:** The workshop began with an introductory presentation related to methods for assessing climate change vulnerability and the existing practices for species-specific adaptation planning. In the first technical session, participants engaged in a group exercise for determining the extent of rhinoceros vulnerability to climate change. In another session, stakeholders focused their attention on identifying climate change adaptation actions.

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278 experts were members of the IUCN Asian Rhino Specialist Group. In this face-to-face  
279 interaction with experts, adaptation actions identified for rhinoceros conservation were discussed  
280 and evaluated. We further prepared a summary report containing the key outcomes of the  
281 adaptation planning, which was sent to DNPWC officials and rhinoceros experts for their review  
282 and endorsement. Thus, the outcomes of the adaptation workshop were validated by nine experts  
283 from a range of GOs and NGOs in a series of face-to-face meetings.

### 285 Stakeholder consultation for priority ranking

286 In a subsequent engagement, we involved key stakeholders having more than ten years of  
287 experience in the biodiversity conservation sector in Nepal to assign a rank against each of the 20  
288 adaptation actions on a scale of 0 to 9 (0–Not in priority and 9–highest priority). Out of 23  
289 invitees, 17 stakeholders completed priority ranking individually. Of these 17 participants, 15  
290 (88%) were male, and two (12%) were female. We compiled the assigned ranking score for each  
291 of the adaptation actions and calculated the overall score of each adaptation action using the  
292 following formula adopted from Maraseni (2008),

$$i=17, j=9$$

294 Overall priority score =  $\sum_{i=1}^N (W_i * R_j) / N$

$$i=1, j=0$$

296 where,

297  $W_i$  = Number of participants selecting a particular adaptation action  $W$  ( $i=1-17$ ) corresponding  
298 to a particular rank  $R$  ( $j=0-9$ )

299  $R_j$  = Assigned a rank ( $j=0-9$ ) of a particular adaptation action

300  $N$  = Total number of participants,

**Deleted:** we evaluated and validated our findings with inputs from the experts, which contributed to refining and exploring possible reasons for our findings in this study. Our methodological approach therefore sourced information from the literature and key informants, discussed and refined the identified adaptation measures with stakeholders, validated them with experts, and obtained their peer review and endorsement of the outcomes.

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## Results

### Climate change impacts on rhinoceros and its habitat

The majority of the key informants (>80%) believed that climate change has already started impacting rhinoceroses and their habitat in Nepal (Figure 4a). Of the 53 key informants, only 6 (9%) had the opinion that the observed changes in rhinoceroses and their habitat dynamics are due to other natural processes over time, though four key informants (7%) were not aware of such changes. Likewise, more than 65% of the key informants considered that rhinoceros habitat suitability in Nepal has been shifting westwards due to climate change (Figure 4b). However, 11 key informants (20%) felt that the reasons behind this habitat shift were uncertain. Seven key informants (13%) did not know whether there has been a shift in rhinoceros habitat suitability in Nepal or not.

### Climate change adaptation actions for rhinoceros conservation

After reviewing the relevant literatures including Mawdsley et al. (2009); Oliver et al. (2012); Watson et al. (2012); Stein et al. (2013); Abrahms et al. (2017), we identified a preliminary set of 11 climate change adaptation actions for rhinoceros conservation under nine adaptation strategies that are expected to contribute in reducing likely climate change vulnerabilities. These adaptation actions include (i) expanding the existing protected areas, (ii) managing grasslands, (iii) managing wetlands, (iv) controlling invasive species, (v) restoring corridor and connectivity, (vi) conserving biodiversity at the landscape level, (vii) preparing a species conservation action plan, (viii) translocating species to other suitable habitats, (ix) strengthening anti-poaching operation, (x) controlling water pollution, and (xi) mitigating human-wildlife conflict. Similarly, four more adaptation actions identified by key informants are (i) establishing new protected areas, (ii) practicing controlled burning, (iii) managing buffer zone, and (iv) conducting periodic census and ID-based monitoring.

In addition, five potential adaptation actions were explored through focus group discussion, which include (i) identifying and protecting climate refugia, (ii) designing and constructing earthen mounds in floodplain grasslands, (iii) integrating climate change impacts in species conservation action plan, (iv) translocating species to future suitable habitats, and (v) initiating experimental research and monitoring of climate change effects. The final set of 20 adaptation actions under nine strategies for rhinoceros conservation in Nepal identified through literature review, key informant survey and focus group discussion, and validated through expert consultation is presented here in Table 1. Of the 20 adaptation actions, 15 (75%) are currently in practice for rhinoceros conservation in Nepal, but these are part of ongoing rhinoceros conservation activities and are not directly linked to climate change.

### Prioritisation of climate change adaptation actions

Out of the 20 identified climate change adaptation actions, ten actions prioritised through stakeholder consultation have been presented in Figure 5 along with their respective overall score. Among the others, 'identifying and protecting climate refugia' received the highest priority, with an overall priority score of >6, followed by 'managing wetlands', 'constructing earthen mounds', 'managing grasslands', and 'translocating rhinoceros to suitable areas'.

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The shift in rhinoceros habitat suitability¶

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Deleted: documented by other studies for biodiversity and wildlife (Mawdsley et al., 2009; Oliver et al., 2012; Abrahms et al., 2017), nine strategies were found most relevant for rhinoceros conservation in Nepal (Table 1). Likewise,

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## Discussion

The result of our study imply that climate change has already started impacting rhinoceros habitat in Nepal. In recent years, climate change has been acknowledged as an emerging threat to rhinoceros (DNPWC, 2017). Another study by Pant et al. (2020a) has revealed that rhinoceros in Nepal is likely to face a moderate level of vulnerability due to climate change because of severe floods, fragmented habitat, invasive plant species, droughts, small population size and forest fires. We considered these vulnerability factors while identifying the adaptation strategies and actions most likely to enhance its resilience against the impacts of climate change. Adaptation strategies and actions need to be revised regularly and should be considered a continual process and not a static endpoint (Stein et al., 2013), so our study provides a foundation for the integration of adaptation actions into conservation planning for rhinoceros in Nepal. These findings can be utilised to guide management interventions on the basis of the best information available today and refine these decisions in the future following the principle of adaptive management (Walsh et al., 2012).

Those engaged in our study reported a shift in suitable rhinoceros habitat in Nepal, and they considered it a likely climate change impact on rhinoceros. The rhinoceros population has been gradually moving to the western parts of CNP (Subedi et al., 2013), and a recent study supports the view that suitable rhinoceros habitat is likely to experience a considerable decrease and shift westwards due to the impacts of climate change (Adhikari & Shah, 2020). In general, suitable habitat of wildlife species with a moderate level of vulnerability due to climate-induced changes is likely to decline substantially (Anacker et al., 2013) but will not be at risk of immediate extinction (Foden et al., 2019). Thus, our findings suggest that rhinoceros will have a better chance of persistence through adaptation planning if we can protect both current and future suitable habitat for rhinoceros conservation.

Identifying and protecting climate refugia has been prioritised as one of the most important adaptation actions in this study. Climate refugia, or areas that may serve as a shelter in facilitating the persistence of species amidst climate change impacts are increasingly acknowledged as an important adaptation strategy (Morelli et al., 2020). The increased risk of flooding is an extreme event induced by climate change, which is likely to jeopardise conservation success (King, 2005). The entire Terai region is fed by rivers originating in the snow-covered Himalayan mountains, and increasing temperatures lead to increased river flow. Chitwan National Park in Nepal is highly susceptible to this kind of climate-induced flash flooding (Pant et al., 2020a). For example, thousands of wild animals were reported dead, including two rhinoceros, during a severe flood episode in August 2017 (CNP, 2017; WWF, 2020). Ten rhinoceros were also swept away through the Indian border and were transported back to the park (CNP, 2017). In response, a raised soil mound with dimensions of 40m x 30m x 2m was constructed in the buffer zone community forest as an experiment to see whether this type of structure can provide a safe refuge for rhinoceros and other wild animals during severe floods (WWF, 2020). We observed the site during our fieldwork in April 2019 and found that the area has been used by rhinoceros and other wild animals, however the effectiveness of these earthen mounds is yet to be evaluated. However, stakeholders and experts believe that such structures could provide safe high grounds for rhinoceros and other animals during flood events. Hence, the construction of earthen mounds in floodplain grasslands was considered to be one potential adaptation action for rhinoceros conservation in Nepal. This strategy is equally important for rhinoceros conservation in India, more specifically in Kaziranga National Park

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**Deleted:** In this study, we identified a set of 20 climate change adaptation actions for rhinoceros conservation under nine adaptation strategies that are expected to reduce likely climate change vulnerabilities. Many studies have documented climate change adaptation strategies for biodiversity conservation and wildlife management. Of the 16 adaptation strategies proposed by such studies (i.e. Mawdsley et al., 2009; Oliver et al., 2012; Watson et al., 2012; Stein et al., 2013; Abrahms et al., 2017), we found nine strategies that were relevant for rhinoceros conservation in Nepal. These include (i) increasing the coverage of protected areas, (ii) improving management and restoring of current protected areas, (iii) protecting biological corridors, stepping stones and refugia, (iv) managing and improving ecosystem function not only focusing on specific components, (v) increasing landscape permeability to assist species dispersal, (vi) allocating more resources for the conservation of species that are at risk of extinction, (vii) translocating species that might go extinct, (viii) reducing pressures on species from non-climatic sources, and (ix) evaluating and enhancing monitoring programs. And of the 20 adaptation actions identified under these strategies, only five actions are newly proposed, and the rest are already in practice for rhinoceros conservation in Nepal. It is evident from other studies that some of the identified adaptation options are novel (Bowman, 2012), while others are a continuation of ongoing conservation practices that include habitat restoration through active management, translocation of species at risk of extinction, and captive breeding (Dawson et al., 2011). ¶

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**Deleted:** Hence, the construction of earthen mounds in floodplain grasslands was considered to be one potential adaptation action for rhinoceros conservation in Nepal.

452 (KNP), given that an estimated 141 rhinoceros have been killed due to severe floods in KNP up  
453 until 2019 and 12 rhinoceros were found dead in the recent flood episode of July 2019 alone  
454 (Sharma, 2019). KNP and the surrounding landscape supports two-thirds of the global population  
455 of rhinoceros in the wild (Pant et al., 2020b) and the habitat condition and the conservation  
456 challenges in Nepal's Chitwan National Park are similar to those in Kaziranga National Park in  
457 India (DNPWC, 2017; Puri & Joshi, 2018).

458  
459 The findings of our study indicate that improving management and restoring existing protected  
460 areas are regarded as essential adaptation strategies for rhinoceros conservation. This could be  
461 achieved, in part, through active management of grasslands and wetlands to improve their  
462 resilience. Some of the climate change effects in protected landscapes are possible to offset  
463 through intensive management of habitat components (Mitchell et al., 2007). Grassland  
464 management and wetland restoration are key ongoing management activities for rhinoceros  
465 conservation in Nepal (DNPWC, 2017). Rhinoceros is primarily a grazer and prefers the habitat  
466 mosaic of grasslands, riverine forests and wetlands (Dinerstein, 2003). But the quality of  
467 grasslands in the entire rhinoceros habitat in CNP is degrading due to invasive plants such as  
468 *Mikania micarantha* (Murphy et al., 2013). The degradation of wetlands is another serious  
469 concern expected to intensify in the future as a result of climate change (DNPWC, 2017).  
470 Likewise, climate change favours the proliferation of invasive plants (Hellmann et al., 2008).  
471 Thus, the changes triggered by changing climate should be considered while restoring and  
472 maintaining the grassland and wetland habitats to be an effective adaption action for rhinoceros  
473 conservation.

474  
475 Translocation of rhinoceros to other suitable habitat was another prioritised adaption action in  
476 our study. Climate change can substantially reduce the availability of suitable habitat and species  
477 with low dispersal capacity will be at higher risk. In such cases, increasing landscape  
478 connectivity may not help for dispersal, so translocation of species should be considered as a  
479 better option (Hulme, 2005). Translocating species to places where they are not present is  
480 considered a 'last resort' if unassisted migration to suitable future habitat is very unlikely (Oliver  
481 et al., 2012). In Nepal, rhinoceroses were only present in CNP during the early 1980s (Thapa et  
482 al., 2013; DNPWC, 2017). To reduce the risk of losing rhinoceros from the likely catastrophic  
483 events, poaching and natural calamities, more than 90 rhinoceros were translocated to BNP and  
484 SNP between the late 1980s and 2017 (Thapa et al., 2013). Habitat suitability models suggest  
485 that BNP and SNP are suitable for rhinoceros, and the future suitable habitat is likely to increase  
486 (Adhikari & Shah, 2020). Therefore, continued translocation of rhinoceros to BNP and SNP is a  
487 recommended climate change adaptation action for rhinoceros conservation in Nepal.

488  
489 Expanding protected areas coverage is one of the core strategies for conserving biodiversity,  
490 thereby reducing extinction threats (Dinerstein et al., 2019). Nepal has made a remarkable  
491 achievement in expanding the extent of protected areas (Acharya et al., 2020), such that Banke  
492 National Park (BaNP; 55,000 ha) and an extended area of PNP (12,800 ha) are recent additions  
493 (DNPWC, 2018). The extended area of PNP encompasses the suitable habitat of rhinoceros and  
494 is currently occupied by rhinoceros (Acharya & Ram, 2017). However, BaNP does not have  
495 rhinoceros at present and there will be no habitat suitability for rhinoceros there in the future  
496 either (Oli et al., 2018; Adhikari & Shah, 2020). Thus, increasing the extent of protected areas  
497 may not serve as an effective adaptation action if we fail to include suitable habitat for a  
498 particular species. In this regard, a few patches of habitat suitable for rhinoceros have been  
499 identified in Bara and Rautahat districts to the eastern part of Parsa National Park, which has

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515 been used by the rhinoceros straying out from the protected areas (Acharya & Ram, 2017; Rimal  
516 et al. 2018; Adhikari & Shah, 2020). This area is likely to serve as an additional rhinoceros  
517 habitat for protected area expansion. However, further analysis is needed to ensure that poaching  
518 and conflict with humans will not jeopardise the conservation of rhinoceros and other wildlife  
519 species in those extended areas. Despite being a key adaptation option for biodiversity  
520 conservation, stakeholders did not rank the expansion of protected areas in top priority given that  
521 only a few patches of potential rhinoceros habitat remain outside the protected areas. >23% of  
522 the country is already under protected area system and most of the historical range of the  
523 rhinoceros outside protected areas are converted into human settlements (DNPWC, 2018;  
524 Adhikari & Shah, 2020; Pant et al. 2020b).

526 This study also acknowledges that corridor connectivity is an integral part of adaptation planning  
527 for rhinoceros. Landscape connectivity has also been regarded as a frequently cited adaptation  
528 strategy for biodiversity conservation. However, most of the connectivity planning does not  
529 directly account for climate-driven range shifts (Littlefield et al., 2019). In Nepal, landscape-  
530 level conservation has been practised for the last two decades to facilitate the movement of large  
531 mammals, including rhinoceros. The forest corridor in western terai between Bardia and  
532 Shuklaphanta National Parks is important for rhinoceros conservation given that it connects four  
533 rhinoceros-bearing protected areas in a transboundary landscape shared by both India and Nepal  
534 that collectively support at least 70 rhinoceros (Pant et al., 2020b). Landscape connectivity in  
535 this region is vital for rhinoceros conservation given that movement of rhinoceros from one  
536 protected area to another has been recorded (Talukdar & Sinha, 2013). Maintaining corridors for  
537 landscape connectivity can be an important adaptation action for rhinoceros conservation if it  
538 accounts for the likely shifts indicated by habitat suitability models.

540 In practice, it is not possible to develop separate adaptation actions for every wildlife species.  
541 However, a number of adaptation actions developed for rhinoceros conservation are expected to  
542 benefit other species sharing the same ecosystem given that rhinoceros, like other  
543 megaherbivores, require large areas to support viable populations, and their conservation  
544 requirements encompass the habitat components required for many other species (Amin et al.,  
545 2006). For instance, rhinoceros, tiger, and elephant are key wildlife species in Chitwan National  
546 Park (CNP, 2013). Maintaining grasslands and wetlands is a common strategy for conserving  
547 these wildlife species given that grassland is a key habitat component for rhinoceros, elephants,  
548 and the prey species of the tigers (CNP, 2013; Aryal et al., 2016; DNPWC, 2017). In addition,  
549 elephants are basically browsers and they require a large volume of fodder and plenty of water  
550 for drinking (Pradhan et al., 2008). On the other hand, rhinoceros require waterholes for  
551 wallowing to regulate their body temperature (Dinerstein, 2003). Thus, some of the adaptation  
552 actions identified for rhinoceros conservation can serve as adaptation actions for other wildlife  
553 species and more specific actions can be further developed based on ecological requirements of  
554 these wildlife species occurring in this region.

556 The implementation of the adaptation actions identified in this study is expected to ensure a  
557 greater chance of persistence for rhinoceros well into the future. However, there are a number of  
558 factors that are likely to hinder the effective implementation of these adaptation actions for  
559 rhinoceros conservation in Nepal. For example, expansion of protected areas and maintaining a  
560 functional corridor and connectivity are ideal options for rhinoceros conservation, but very  
561 limited suitable habitat for rhinoceros outside protected areas minimizes the potential for such  
562 intervention (DNPWC, 2018; Adhikari & Shah, 2020; Pant et al., 2020b). In this regard,

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578 restoring and maintaining the habitat components within protected areas and available biological  
579 corridor are among the most feasible options for conserving rhinoceros in the face of likely  
580 impacts of climate change that would also help in safeguarding other wildlife species in this  
581 region against the adverse impacts of changing climate. Thus, best possible efforts should be  
582 made in implementing the adaptation actions, acknowledging that the ideal situation may not be  
583 possible for managing large mammals in a human-dominated landscape.

584 ¶  
585 In adaptation planning, uncertainty is regarded as a reality given that many sources of  
586 uncertainty exist in ecological processes, including the uncertainties in predicting climate  
587 change, possible responses of the species to global warming, and consequences of adaptation  
588 actions (Stein et al., 2013). Our study, therefore, provides only general guidance in aligning the  
589 available adaptation options to adaptation planning for rhinoceros conservation in Nepal.  
590 Effective adaptation planning needs to be continually adjusted in such a way that even without  
591 having thorough clarity about impacts and consequences, some adaptation options could be  
592 implemented and assessed. This approach of 'learning while doing' is consistent with adaptive  
593 management principles (Gillson et al., 2019), based on the premise that complete understanding  
594 of natural systems is rarely possible, so it is wise to monitor the responses for learning from  
595 diversified management interventions (Williams & Brown, 2016). Because of its flexible  
596 approach and dynamic nature, adaptive management as a fundamental component of adaptation  
597 planning should be implemented with as much experimental rigour as possible (Abrahms et al.,  
598 2017). We expect that the findings of our study will be utilised by protected area managers to  
599 make choices based on current information and to refine management actions following an  
600 iterative learning process, and we hope that management authorities invest the necessary  
601 resources to undertake proper experimental approaches when implementing management  
602 activities for rhinoceros conservation.

603  
604 Adaptation strategies and actions to climate change for other wildlife species in different  
605 geographical areas can be formulated following a similar approach, and our research is  
606 particularly relevant for Kaziranga National Park in India, where the condition of the habitat and  
607 the issues associated with rhinoceros conservation are similar to Chitwan National Park in Nepal  
608 (DNPWC, 2017; Puri & Joshi, 2018; Ellis & Talukdar, 2019). Adaptation planning at the species  
609 and ecosystem levels are successfully implemented around the world. For instance, Alderman &  
610 Hobday (2017) developed a set of 24 climate change adaptation actions for vulnerable seabirds  
611 on Albatross Island in Tasmania. Likewise, the climate change strategy and action plan for the  
612 Great Barrier Reef National Park has been prepared and implemented (GBRMP, 2012). Such  
613 climate change adaption strategies and actions for wildlife species have not yet been formulated  
614 in Nepal. Our study is the first of its kind in Nepal and is expected to assist a vulnerable species  
615 to withstand the likely negative impacts of climate change. We focused on a single species given  
616 that the nature and degree of the impacts associated with changing climate are species-specific,  
617 even amongst closely related species. For example, two species of rhinoceros were affected  
618 differently by climate change in Kruger National Park – while births decreased and mortality  
619 increased for white rhinoceros, there were no such impacts on black rhinoceros due to the recent  
620 severe drought events (Ferreira et al., 2019).

## 621 **Conclusions**

622  
623 This study has identified, shortlisted, selected and ranked a suite of 20 plausible adaptation  
624 actions under nine adaptation strategies that are expected to enhance the resilience of rhinoceros

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626 to the likely adverse impacts of climate change. Of these, 75% of adaptation actions are already  
627 being implemented. However, these actions are implemented in different contexts without  
628 explicitly assessing the likely climate change impacts on the species and its habitat. Based on our  
629 findings on identifying and prioritising adaptation actions and analysis of the results from  
630 vulnerability assessment (Pant et al., 2020a), we recommend the following conservation  
631 interventions for effective climate change adaptation planning for rhinoceros in Nepal:

- 632 a. Protect identified climate refugia for rhinoceros conservation, particularly in western Nepal  
633 around Bardia and Shuklaphanta National Parks and further evaluate the habitats that are  
634 likely to become suitable for rhinoceros in the future, aiming to prioritise and spatially  
635 integrate these climate refugia. The priority should be given to restore biological corridors  
636 and maintain landscape connectivity to facilitate natural dispersal of rhinoceros between  
637 suitable habitats.
- 638 b. Identify areas in floodplain grasslands with the help of comprehensive flood modelling to  
639 create elevated refuges for rhinoceros during climate-induced flood episodes. This is  
640 particularly relevant for rhinoceros conservation in Chitwan National Park, which is highly  
641 susceptible to heavy rainfall and flash flooding.
- 642 c. Improve and restore the existing protected areas through active management of grasslands  
643 and wetlands including controlled burning, and invasive plant species control. This is  
644 particularly important in Chitwan National Park, which is likely to experience more climate-  
645 induced habitat alteration.
- 646 d. Translocate rescued rhinoceros to other suitable areas in the future. Where rescues are  
647 required, serious consideration should be given to releasing rescued rhinoceros into Bardia  
648 and Shuklaphanta National Parks rather than bringing them back to Chitwan National Park.
- 649 e. Increase the extent of protected areas, by either creating new protected areas or expanding  
650 existing ones. Priority should be given to including forest patches in Bara and Rautahat  
651 districts to the eastern part of Parsa National Park which is likely to serve as an additional  
652 habitat for rhinoceros conservation.
- 653 f. Revise the conservation action plan developed for rhinoceros conservation in Nepal,  
654 integrating the identified climate change adaptation actions that are expected to reduce the  
655 likely vulnerabilities to rhinoceros due to climate change.
- 656 g. Initiate experimental research related to aspects of rhinoceros ecology with the best chance of  
657 informing future climate change adaptation planning. This is expected to provide better  
658 insights on the likely consequences of climate change so it can be utilised in refining  
659 adaptation actions in the future following adaptive management principles.

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<#>Protect identified biological corridors and maintain landscape connectivity to facilitate natural dispersal of rhinoceros between suitable habitats, especially preserving the extant climate refugia identified by habitat suitability models. ¶  
<#>Identify areas in floodplain grasslands with the help of comprehensive flood modelling to create elevated refuges for rhinoceros during climate-induced flood episodes. This is particularly relevant for rhinoceros conservation in Chitwan National Park, which is highly susceptible to heavy rainfall and flash flooding. ¶  
<#>Translocate rescued rhinoceros to climatically suitable protected areas in the future. Where rescues are required, serious consideration should be given to releasing rescued rhinoceros into Bardia and Shuklaphanta National Parks rather than bringing them back to Chitwan National Park. ¶

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