

# The value of diffusion weighted imaging-alberta stroke program early CT score in predicting stroke-associated pneumonia in patients with acute cerebral infarction

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**Background.** In this study, we aimed to investigate the value of Diffusion-Weighted Imaging-Alberta Stroke Program Early CT Score (DWI-ASPECTS) in predicting stroke-associated pneumonia (SAP) in patients with acute ischemic stroke. **Methods.** A total of 291 patients who suffered acute cerebral infarction for the first time were included in this retrospective study. DWI-ASPECTS was assessed and clinical data were collected in order to find the risk factors of SAP, and a logistic regression model was used to investigate the effect of predicting SAP. Furthermore, correlation analysis was used to explore the relationship between DWI-ASPECTS and the immune status of the body. **Results.** Among the 291 patients, 74 (25.4%) subjects were diagnosed with SAP. Compared with non-SAP, the patients with SAP were older and had a higher rate of atrial fibrillation (AF), National Institutes of Health Stroke Scale (NIHSS) scores. The SAP group also had a significantly lower DWI-ASPECTS than did the non-SAP group ( $P < 0.01$ ). In the multivariable logistic regression analysis, the DWI-ASPECTS (adjusted odds ratio [aOR] = 1.438; 95% CI: 1.158–1.787;  $P < 0.01$ ) remained significant after adjusting for confounders. What's more, the predictive ability of DWI-ASPECTS (AUC = 0.743 > 0.7, 95% CI 0.678–0.800) had acceptable discriminatory abilities. By the correlation analysis, DWI-ASPECTS was found to be negatively correlated with the count of white blood cell, neutrophils, monocytes, neutrophil-to-monocyte ratio and neutrophil-to-lymphocyte ratio, and positively correlated with the count of lymphocytes. **Conclusions.** DWI-ASPECTS grades could predict stroke-associated pneumonia for patients with acute ischemic stroke, and combining grade with age, AF, or NIHSS could predict SAP events more accurately.

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## 37 **Abstract**

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66 **Keywords:** Ischemic Stroke, Stroke-associated Pneumonia, Diffusion-Weighted Imaging-  
67 Alberta Stroke Program Early CT Score

68

## 69 Introduction

70 Stroke-associated pneumonia (SAP) is one of the most frequent complications following  
71 stroke, and it affects about 7% to 31.3% of all stroke patients (Chinese Expert Consensus Group  
72 on Diagnosis and Treatment of Stroke-associated Pneumonia, 2010; Chumbler et al., 2010;  
73 Harms et al., 2013; Nam et al., 2018; Singer., 2009). Evidence shows that SAP has a bad impact  
74 on patient outcome, lengthens the duration of hospitalization, and even increases long-term  
75 disability or mortality (Alberti et al., 2011; Hilker et al., 2003). There are several risk factors that  
76 relate to the development of pneumonia after stroke, all of which can be roughly grouped into  
77 two categories: the basic condition of the patient (e.g., age, past medical history) and the stroke  
78 incident itself (e.g., stroke severity or deficits such as conscious disturbance, dysphagia, and  
79 immunosuppression induced by stroke). Although there has been some progress in the treatment  
80 of SAP, early identification of patients of stroke with high risk of pneumonia might help to  
81 provide preventive measures and reduce the incidence of SAP (Kalra et al., 2015; Meisel et al.,  
82 2015; Westendorp et al., 2015).

83 Because of the SAP in early stage lack of special clinical manifestations, the pneumonia of  
84 these patients tends to be more serious when the SAP is diagnosed, which brings great challenges  
85 with regard to treatment. Several risk-scoring models have been proposed to help in the  
86 identification of patients at high risk of SAP (Harms et al., 2013; Hoffmann et al., 2013; Ji et al.,  
87 2013; Kwon et al., 2006). These predictive models are mostly based on clinical findings, but the  
88 clinical manifestations of SAP are frequently atypical and vague (Kishore et al., 2015; Li et al.,  
89 2014). Diffusion-Weighted Imaging-Alberta Stroke Program Early CT Score (DWI-ASPECTS),  
90 which comes from Alberta Stroke Program Early CT Score (ASPECTS), is simple and reliable  
91 and identifies stroke patients who are unlikely to make an independent recovery despite  
92 thrombolytic treatment (Barber et al., 2000; Morita et al., 2009). Researchers have adopted  
93 ASPECTS to evaluate the relationship between stroke localization and stroke-associated  
94 infection (SAI) (Morita et al., 2009). However, there is a lack of further study to confirm this  
95 value of predicting stroke-associated pneumonia for patients with acute ischemic stroke (AIS).  
96 The aim of this study was to assess the value of DWI-ASPECTS in predicting SAP for patients  
97 with AIS.

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## 101 **Materials & Methods**

### 102 **Patients and population**

103 The retrospective study identified AIS patients (n = 809) who suffered stroke for the first  
104 time in Renmin Hospital of Wuhan University between August 2017 and August 2019. We  
105 enrolled 291 AIS patients based on the inclusion criteria, which were: patients with AIS who  
106 were admitted to hospital within 3 days of onset, AIS diagnosed based on the WHO criteria, and  
107 AIS confirmed by brain magnetic resonance image (MRI). The exclusion criteria were: a length  
108 of hospital stay < 72 hours or the onset time of AIS > 72 hours (n = 243); diseases such as severe  
109 liver and kidney dysfunction, heart failure, tumour, and blood system and autoimmune diseases  
110 (n = 64); signs, symptoms, or reported suffering, at the time of admission, of chronic obstructive  
111 lung disease (COPD), asthma, or other pulmonary diseases (n = 61); a lack of diffusion-weighted  
112 image (DWI) sequence in MRI or no acute infarct on the MRI (n = 54); National Institutes of  
113 Health Stroke Scale (NIHSS) score = 0 (n = 96) (Figure 1).

114

### 115 **Data collection and clinical assessment**

116 The study protocol was approved by the ethics committee of Renmin Hospital of Wuhan  
117 University (2017K-C043). Informed consent, written or verbal, was obtained from all  
118 participants. The clinical data collection included prior medical history (hypertension, diabetes  
119 mellitus, and atrial fibrillation), result of routine blood test, partial blood biochemical  
120 examination (levels of glucose, lipids, albumin, homocysteine, C-reactive protein, serum  
121 amyloid A), head MRI, chest X-ray photographs or pulmonary CT, and NIHSS at admission.  
122 The neutrophil-to-lymphocyte ratio (NLR), known as a systemic inflammation and infection  
123 mark, has shown an excellent power for predicting pneumonia (Curbelo et al., 2017; Lee et al.,  
124 2016; Nam et al., 2009). Thus, we also evaluated the impact of NLR, as well as neutrophil-to-  
125 monocyte ratio (NMR), on patients with SAP. The NLR and NMR were calculated by dividing  
126 the absolute neutrophil count by the absolute lymphocyte and monocyte counts, respectively.

127 In this study, SAP was diagnosed according to the criteria of the Chinese Expert Consensus  
128 on Diagnosis and Treatment of Stroke-Associated Pneumonia (Chinese Expert Consensus Group  
129 on Diagnosis and Treatment of Stroke-associated Pneumonia, 2010). It included newly emerging  
130 or progressively infiltrating pulmonary lesions in AIS patients' chest images combined with more  
131 than two of the following clinical manifestations of infection: 1) body temperatures exceeding  
132 38°C (rule out other causes of fever); 2) new symptoms of cough, expectoration emerging, or  
133 pre-existing respiratory disease symptoms with or without chest pain or respiratory rate more  
134 than 25/min; 3) signs of pulmonary consolidation and/or moist rales; 4) Peripheral blood white  
135 blood cell count  $\geq 10 \times 10^9/L$  or  $\leq 4 \times 10^9/L$  with or without left shift of nucleus. Some pulmonary  
136 diseases (tuberculosis, pulmonary tumour, non-infective interstitial lung disease, pulmonary  
137 oedema, pulmonary embolism, and pulmonary atelectasis), which might be similar to pneumonia  
138 with respect to clinical manifestations, were excluded.

139 For anterior circulation stroke, we referred to the assessment method of Singer: the score  
140 calculated by the 10 regions shown in Figure 2 (Singer et al., 2009). We subtracted 1 point for

141 the area of early ischemic change for each of the defined regions. For posterior circulation  
142 stroke, we referred to the assessment method of Puetz , following the rule of subtracting 1 point  
143 for the regions of left or right thalamus, cerebellum, or PCA-territory, respectively; and  
144 subtracting 2 points for the regions of any part of the midbrain or pons (Puetz et al., 2008). A  
145 DWI-ASPECTS of 10 points meant no early ischemic change shown in the DWI scan; a 0 score  
146 implied ischemic involvement throughout the anterior circulation or the posterior circulation  
147 territory.

148

### 149 **Statistical analysis**

150 Data were analysed using SPSS statistics 22.0 for windows (SPSS Inc., Chicago, IL). The  
151 measurement data with normal distribution were presented as mean  $\pm$  standard deviation (SD),  
152 and median with interquartile range (IQR) were used for non-normal distribution. Continuous  
153 variables were analysed with Student's t-test or Mann-Whitney U-test, and categorical variables  
154 were analysed with Chi-square tests.

155 Factors with  $P < 0.10$  in the univariate analysis were entered into the multivariate analysis.  
156 Variables of neutrophil and monocyte, NLR and MNR, WBC were entered into three  
157 multivariate logistic regression models separately, because of the high correlation with each  
158 other in univariate analysis.

159 Receiver operating characteristic (ROC) curve analyses were performed using MedCalc  
160 Version 15.8.0.0 (Frank Schoonjans, Mariakerke, Belgium). Accuracy in predicting outcome  
161 measures was assessed by calculating the area under ROC curve. Comparison of the areas under  
162 ROC curves (AUC) was performed using MedCalc Version 15.8.0.0. Correlations were analyzed  
163 using Spearman's correlations. All hypotheses were 2-tailed, and a P-value of  $< 0.05$  was  
164 considered significant.

165

### 166 **Results**

167 Among the 291 patients, 74 (25.4%) subjects were diagnosed with SAP. The baseline  
168 characteristics between groups with and without SAP are presented in Table 1. An older age and  
169 a higher rate of atrial fibrillation (AF) presented in the SAP group. The SAP group also had  
170 higher initial NIHSS scores, WBC, neutrophil counts, levels of CRP, and SAA, while lower  
171 platelet (PLT) counts and levels of albumin (ALB) than those in the non-SAP group. What's  
172 more, the SAP group had a significantly lower DWI-ASPECTS than the non-SAP group (5 [3–7]  
173 versus 7 [6–8];  $P < 0.01$ ).

174 In the SAP group, 15 patients had posterior circulation infarction and 59 anterior circulation  
175 infarction (Table 2). And patients with infarction in the posterior circulation had lower DWI-  
176 ASPECTS than those in the anterior circulation ( $P < 0.05$ ). There was no significant difference in  
177 NIHSS between the groups of posterior circulation infarction and anterior circulation infarction.

178 In the multivariable logistic regression analysis, the DWI-ASPECTS (adjusted odds ratio  
179 [aOR] = 1.438; 95% confidence interval [CI], 1.158–1.787;  $P < 0.01$ ) remained significant after  
180 adjusting for confounders (Table 3). Age (aOR = 0.950; 95% CI, 0.918–0.984;  $P < 0.01$ ), AF

181 (aOR = 0.193; 95% CI, 0.071–0.526;  $P < 0.01$ ), and NIHSS score (aOR = 0.891; 95% CI, 0.830–  
182 0.956;  $P < 0.01$ ) were also significant, independent of DWI-ASPECTS (Table 3, Model 1). The  
183 results remained consistent after replacing WBC or NLR and NMR with the neutrophil and  
184 lymphocyte counts as a sensitivity analysis (Table 3, Models 2 and 3).

185 From the ROC analysis, we found that the predictive ability of DWI-ASPECTS (AUC=  
186 0.743  $> 0.7$ , 95% CI 0.678–0.800) had acceptable discriminatory abilities. The optimal cut-off  
187 value was 6 for DWI-ASPECTS, whose sensitivity was 74.32%, specificity was 70.51%,  
188 positive predictive value (PPV) was 46.22%, and negative predictive value (NPV) was 88.95%.  
189 Other independent risk factors (age, NIHSS, and AF) of SAP in our study were analysed to  
190 investigate the different powers of predictive performance (Figure 3). Although NIHSS (AUC =  
191 0.778, 95% CI 0.716–0.832) showed the highest AUC out of all the independent risk factors  
192 referred above, there was no significant difference between NIHSS and DWI-ASPECTS ( $P >$   
193 0.05). We further calculated the AUC which combined DWI-ASPECTS, age, NIHSS, and AF,  
194 and found that the AUC was significantly greater than age, NIHSS, AF, and DWI-ASPECTS  
195 alone for both outcomes (all  $p < 0.01$ ) in either case (Table 4).

196 This study further explored the correlation between DWI-ASPECTS and the immune status  
197 of AIS patients. Although the correlation was not strong, there was significant negative  
198 correlation with WBC ( $r = -0.21$ ,  $p < 0.01$ ), Neu ( $r = -0.26$ ,  $p < 0.01$ ), Mon ( $r = -0.17$ ,  $P < 0.05$ ),  
199 NLR ( $r = -0.12$ ,  $p < 0.05$ ), NMR ( $r = -0.30$ ,  $p < 0.01$ ) and SAA ( $r = -0.18$ ,  $p < 0.05$ ), and a  
200 significant positive correlation with lymphocyte counts ( $r = 0.19$ ,  $p < 0.01$ ) and PLT ( $r = 0.18$ ,  $P$   
201  $< 0.01$ ), shown in figure 4.

202

## 203 Discussion

204 Early and accurate diagnosis of SAP was regarded as necessary for timely and effective  
205 treatment. Originally, ASPECTS and posterior circulation Acute Stroke Prognosis Early CT  
206 Score (pc-ASPECTS) were used to identify the early ischemic change in the region of anterior  
207 circulation and posterior circulation, respectively. Sugimori reported that modified ASPECTS  
208 (m-ASPECTS) was the most predictive factor for determining the prognosis of post-cardiac  
209 arrest syndrome (PCAS) patients at day 30 and considered diffusion-weighted image (DWI) of  
210 MRI to be promising (Sugimori et al., 2012). Harms employed ASPECTS graded stroke  
211 localization to investigate ischemic lesion characteristics (size, localization) correlation with  
212 immune competence (monocytic human leukocyte antigen-DR [HLA-DR] expression) and post-  
213 stroke infections (Harms et al., 2011). Thus, considering it is more conclusive to find the stroke  
214 localization within a short time by DWI sequence in MRI, this study adopted DWI-ASPECTS to  
215 explore the relationship with SAP, and tested the value in predicting the risk of SAP for AIS  
216 patients. And found that DWI-ASPECTS has a good predictive value for the diagnosis of SAP  
217 and shows an excellent predictive ability when combined with age, AF, and NIHSS.

218 NIHSS was a 15-item impairment scale which recommended as a valid tool to assess stroke  
219 severity (Kasner et al., 2006), and shown as good predictive value as DWI-ASPECTS for the  
220 diagnosis of SAP in this study. To reduce the detection bias, clinicians needed to be trained in

221 how to accurate evaluation of NIHSS (Lyden et al., 2005). In contrast, the evaluation method of  
222 DWI-ASPECTS which calculated on the basis of special neuroanatomical area seemed to be  
223 more convenient. Assessors could follow the neuroanatomical areas to score objectively, when  
224 the DWI sequence in MRI was completed.

225 As we all know, there are various factors (consciousness disturbance, dysphagia, aspiration,  
226 over-activation of the sympathetic nerve system, stroke-induced immunodepression, etc.) that  
227 contribute to the occurrence of SAP. What's more, several studies have reported that special  
228 anatomy of ischemic lesions and infarct volume, which is associated with systemic  
229 immunodepression, might contribute to the high risk of post-stroke infection as well (Urta et al.,  
230 2017) . By comparing anterior circulation and posterior circulation in contributing SAP, there  
231 was no significant difference in this study. While the posterior circulation had lower DWI-  
232 ASPECTS than those in the anterior circulation in SAP group, which may suggest infarct size  
233 played a major role in the occurrence of SAP.

234 It has been reported that many brain functions may be carried out in a distributed manner  
235 (Rorden et al., 2004), the severity of the DWI-ASPECTS indicating the larger ischemic lesions  
236 which could impair immunity and favour SAI by damaging connecting tracts (Urta et al., 2017) .  
237 In other words, larger ischemic lesions might include certain brain function regions and impair  
238 functions to different extents that lower the infectious threshold, and the close similarities of the  
239 neuroanatomical correlations between SAP and dysphagia support this possibility. However, the  
240 notion that the anatomy of ischemic lesions possibly has an effect on the immune response  
241 remains debatable (Gendron et al., 2002; Kemmling et al., 2013; Laredo et al., 2018; Liesz et al.,  
242 2009; Minnerup et al., 2010) .

243 Stroke-induced immunosuppression is an important risk factor of SAP has been widely  
244 recognized (Chamorro et al., 2012; Hoffmann et al., 2017; Kemmling et al., 2013; Mracsko et al.,  
245 2014).A characteristic of stroke-induced immunosuppression is the impairment of immune  
246 function, such as a decrease in the number of circulating lymphocytes and deactivation of  
247 monocytes. Compared with non-SAP patients in our study, the SAP patients had higher levels of  
248 WBC and neutrophil and lower levels of lymphocyte, which was in line with previous studies.In  
249 addition (Feng et al., 2018; Nam et al., 2018; Westendorp et al., 2015), a high NLR was reported  
250 to show a good correlation with SAP events in patients with AIS. As is shown in Figure 4, these  
251 results indirectly suggest that DWI-ASPECTS has relation to immunosuppression, although the  
252 correlation was not strong.

253 Aspiration and stroke-induced immunosuppression were the two pathophysiologically  
254 postulated concepts on the development of SAP (Chamorro et al., 2012; Hoffmann et al., 2017).  
255 Swallowing disorder was the main cause of aspiration. And brainstem was thought to be an  
256 important regulatory center of swallowing reflex. Compared with these did not reach brainstem,  
257 ischemic lesions reached brainstem in AIS patients exerted no impact on the development of  
258 SAP. Thus, the results revealed that dysphagia did not all of the reason for SAP.

259 There were several limitations to this study. Firstly, this study was a retrospective study.  
260 Although a relatively large sample size was involved, about one third of the AIS patients who

261 suffered stroke for the first time were included in the analysis. Therefore, a possibility of  
262 selection bias inevitably existed. We adopted the Chinese Expert Consensus on Diagnosis and  
263 Treatment of Stroke-Associated Pneumonia as diagnostic criteria for SAP as well as Li (Li et al.,  
264 2014)), and calculated that the incidence rate of SAP was 25.4%, which was in accord with the  
265 result of Li (24.1%). The change in immune conditions between non-SAP and SAP patients  
266 concur with the opinion of stroke-induced immunosuppression. Taking these results, which align  
267 with previous research, into account, we believed that this research approach was reasonable and  
268 reliable. Secondly, the record of dysphagia screening was incomplete in this retrospective study,  
269 which might be a drawback. At the same time, there was a lack of specific recommendations on  
270 the standardized criteria for dysphagia screening in international stroke guidelines. Thirdly,  
271 DWI-ASPECTS based on the diffusion-weighted image of MRI was simpler and more sensitive  
272 than CT scan in finding the EIC, and it showed a strong predictive ability of SAP occurrence for  
273 AIS patients. But before generalization of the findings to clinical fields, a prospective,  
274 multicentre study was needed.

275

## 276 **Conclusions**

277 In conclusion, DWI-ASPECTS has a good predictive value for the diagnosis of SAP.  
278 Because the DWI-ASPECTS can be easily calculated from the DWI sequence in MRI  
279 examination, it may help to select high-risk patients to begin intervention in time. However, to  
280 prove its validity further, DWI-ASPECTS needs to be assessed prospectively and at multiple  
281 centres.

282

## 283 **Acknowledgements**

284 We declare no conflicts of interest.

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381

382 **Figure 1. Patient Flow-Chart.**

383 COPD = chronic obstructive pulmonary disease; MRI = magnetic resonance imaging; DWI =  
384 Diffusion-Weighted Imaging; NIHSS = National Institutes of Health Stroke Scale; TIA =  
385 transient ischemic attack.

386

387 **Figure 2. Diffusion-Weighted Imaging-Alberta Stroke Program Early CT Score (DWI-  
388 ASPECTS).**

389 (A) The anterior circulation stroke assessed by DWI-ASPECTS. C = caudate, I = insular ribbon,  
390 L = lentiform, IC = internal capsule, M1 = anterior portion of the MCA cortex, M2 = MCA  
391 cortex lateral to the insular ribbon, M3 = posterior MCA cortex, M4 = anterior MCA territories,  
392 M5 = lateral MCA territories, and M6 = posterior MCA territories. One point was subtracted for  
393 the area of early ischemic change for each of the defined regions; (B) The posterior circulation  
394 stroke assessed by DWI-ASPECTS. From 10 points, 1 or 2 points each (as indicated) were  
395 subtracted for early ischemic changes in the left or right thalamus, cerebellum, or PCA territory,  
396 respectively (1 point); any part of midbrain or pons (2 points).

397

398 **Figure 3. Comparison of area under curve (AUC) between DWI-ASPECTS and other  
399 independence factors in the prediction of SAP by Receiver Operating Characteristic (ROC)  
400 curves.**

401 The additive effect of DWI-ASPECTS, AF, Age and NIHSS in the prediction of SAP was  
402 calculated also.

403

404 **Figure 4. The correlation between DWI-ASPECTS and the immune status of patients with  
405 AIS.**

406 There was a negative correlation with WBC ( $R^2 = 0.04$ ,  $P = 0.00$ ), Neu ( $R^2 = 0.08$ ,  $P = 0.00$ ),  
407 and NLR ( $R^2 = 0.02$ ,  $P = 0.02$ ), NMR ( $R^2 = 0.09$ ,  $P = 0.00$ ) and a positive correlation with  
408 lymphocytes ( $R^2 = 0.03$ ,  $p = 0.00$ ), and PLT ( $R^2 = 0.04$ ,  $P = 0.00$ ). Abbreviations: AIS = acute  
409 ischemic stroke, WBC = white blood cell count, Neu = neutrophil count, Lyn = lymphocyte  
410 count, Mon = monocyte count, NLR = neutrophil-to-lymphocyte ratio, NMR = neutrophil-to-  
411 monocyte ratio, PLT = platelet count, SAA = serum amyloid A, CRP = C-reactive protein.

412

413 **Table 1 Baseline characteristics of the patients**

414 <sup>a</sup> Continuous variables were expressed as mean  $\pm$  standard deviation or as median (interquartile range).  
415 Categorical variables were expressed as frequency (percent); <sup>†</sup> Mann-Whitney U-test, <sup>‡</sup> Student's t-test, <sup>§</sup>  
416  $\chi^2$ -test. Abbreviations: NIHSS = National Institutes of Health Stroke Scale, DWI-ASPECTS = Diffusion-  
417 Weighted Imaging-Alberta Stroke Program Early CT Score, WBC = White Blood cell Count, RBC = Red  
418 Blood cell Count, PLT = Platelet Count, SAA = Serum Amyloid A, CRP = C-Reactive Protein, HCY =  
419 Homocysteine.

420

421 **Table 2 The characteristics of different ischemic region in SAP patients**

422

423 **Table 3 Logistic regression of associations between risk factors for SAP**

424 Abbreviations: AF = Atrial fibrillation, HDL = High-density lipoprotein, NLR = neutrophil-to-  
425 lymphocyte ratio, NMR = neutrophil-to-monocyte ratio. We brought sex which reported a risk  
426 factor of SAP into analysis, although it was shown no significant in this study.

427 Model 1: Adjusted with  $P < 0.10$  in univariate analysis (Sex, Age, DWI-ASPECTS, NIHSS, AF,  
428 Neutrophil, Lymphocyte, PLT, SAA, CRP, ALB, and HDL).

429 Model 2: Adjusted with  $P < 0.10$  in univariate analysis (Sex, Age, DWI-ASPECTS, NIHSS, AF,  
430 NLR, NMR, PLT, SAA, CRP, ALB, and HDL).

431 Model 3: Adjusted with  $P < 0.10$  in univariate analysis (Sex, Age, DWI-ASPECTS, NIHSS, AF,  
432 WBC, PLT, SAA, CRP, ALB, and HDL).

433

434 **Table 4 Pairwise comparison of ROC curves**

435

**Table 1** (on next page)

Baseline characteristics of the patients

<sup>a</sup> Continuous variables were expressed as mean  $\pm$  standard deviation or as median (interquartile range). Categorical variables were expressed as frequency (percent); <sup>†</sup> Mann-Whitney U-test, <sup>‡</sup> Student's t-test, <sup>§</sup>  $\chi^2$ -test. Abbreviations: NIHSS = National Institutes of Health Stroke Scale, DWI-ASPECTS = Diffusion Weighted Imaging-Alberta Stroke Program Early CT Score, WBC = White Blood cell Count, RBC = Red Blood cell Count, PLT = Platelet Count, SAA = Serum Amyloid A, CRP = C-Reactive Protein, HCY = Homocysteine.

**Table 1 Baseline characteristics of the patients**

Characteristics <sup>a</sup>	SAP (n = 74)	Non-SAP (n = 217)	P -Value
Male: Female <sup>§</sup>	47:27	142:75	0.764
Age, years <sup>‡</sup>	72.32±13.67	64.19±12.67	0.000
NIHSS <sup>†</sup>	12 (5–16)	4 (2–7)	0.000
DWI-ASPECTS <sup>†</sup>	5 (3–7)	7 (6–8)	0.000
Hypertension, n (%) <sup>§</sup>	47 (63.5)	137 (63.1)	0.953
Diabetes, n (%) <sup>§</sup>	17 (23.0)	71 (32.7)	0.115
Atrial fibrillation, n (%) <sup>§</sup>	17 (23.0)	22 (10.1)	0.005
Neutrophil (×10 <sup>9</sup> /L) <sup>†</sup>	6.08 (4.01–9.03)	4.72 (3.54–6.32)	0.001
Monocyte (×10 <sup>9</sup> /L) <sup>†</sup>	0.55 (0.42–0.73)	0.51 (0.41–0.68)	0.298
Lymphocyte (×10 <sup>9</sup> /L) <sup>†</sup>	1.43 (0.85–1.79)	1.55 (1.22–2.02)	0.017
WBC (×10 <sup>9</sup> /L) <sup>‡</sup>	8.70 ± 3.25	7.50 ± 2.36	0.005
RBC (×10 <sup>12</sup> /L) <sup>‡</sup>	4.47 ± 0.68	4.59 ± 0.62	0.159
PLT (×10 <sup>9</sup> /L) <sup>‡</sup>	193.21 ± 75.00	214.64 ± 66.93	0.024
SAA (mg/L) <sup>†</sup>	10.06 (5–52.51)	5.07 (5.00–9.76)	0.002
CRP (mg/L) <sup>†</sup>	5.06 (1.62–15.02)	2.13 (0.73–5.86)	0.001
Albumin (g/L) <sup>‡</sup>	39.97 ± 4.65	41.49 ± 3.75	0.006
Blood glucose (mmol/L) <sup>†</sup>	6.29 (5.20–7.80)	5.64 (4.80–7.21)	0.076
Triglyceride (mmol/L) <sup>†</sup>	1.2 (0.87–1.73)	1.37 (1.03–2.03)	0.079
High-density lipoprotein	1.1 (0.88–1.39)	1.03 (0.85–1.25)	0.054
Low-density lipoprotein	2.28 (1.82–3.06)	2.55 (2.05–3.08)	0.123
HCY (μmol/L) <sup>†</sup>	17.33 (12.74–22.60)	15.41 (12.91–19.65)	0.487
Ischemia region <sup>§</sup>			0.532
Anterior circulation, n (%)	59 (24.70)	180 (75.30)	
Posterior circulation, n (%)	15 (28.80)	37 (71.20)	
Brain stem infarcts, n (%) <sup>§</sup>	11 (14.9)	24 (11.1)	0.385



**Table 2** (on next page)

The characteristics of different ischemic region in SAP patients

† Continuous variables were expressed as media (interquartile range). Mann-Whitney U-test was used for statistical analysis.

1

Table 2. The characteristics of different ischemic region in SAP patients

	Anterior circulation (n = 59)	Posterior circulation (n = 15)	P
DWI-ASPECTS †	5 (3-6)	7 (5-8)	0.014
NIHSS †	13 (7-16)	8 (3-20)	0.215

**Table 3**(on next page)

Logistic regression of associations between risk factors for SAP

Abbreviations: AF = Atrial fibrillation, HDL = High-density lipoprotein, NLR = neutrophil-to-lymphocyte ratio, NMR = neutrophil-to-monocyte ratio. We brought sex which reported a risk factor of SAP into analysis, although it was shown no significant in this study. Model 1:

Adjusted with  $P < 0.10$  in univariate analysis (Sex, Age, DWI-ASPECTS, NIHSS, AF, Neutrophil, Lymphocyte, PLT, SAA, CRP, ALB, and HDL). Model 2: Adjusted with  $P < 0.10$  in univariate analysis (Sex, Age, DWI-ASPECTS, NIHSS, AF, NLR, NMR, PLT, SAA, CRP, ALB, and HDL). Model 3: Adjusted with  $P < 0.10$  in univariate analysis (Sex, Age, DWI-ASPECTS, NIHSS, AF, WBC, PLT, SAA, CRP, ALB, and HDL).

**Table 3** Logistic regression of associations between risk factors for SAP

Parameters	Model 1						Model 2						Model 3					
	B	SE	Wald	P	OR	95% CI	B	SE	Wald	P	OR	95% CI	B	SE	Wald	P	OR	95% CI
Sex	-0.231	0.444	0.271	0.603	0.794	0.332-1.895	-0.236	0.442	0.354	0.552	0.769	0.323-1.829	-0.253	0.443	0.326	0.568	0.777	0.326-1.850
Age	-0.051	0.018	8.259	0.004	0.950	0.918-0.984	-0.052	0.018	8.674	0.006	0.949	0.917-0.983	-0.052	0.018	8.724	0.003	0.949	0.917-0.983
DWI-ASPECTS	0.363	0.111	10.765	0.001	1.438	1.158-1.787	0.372	0.111	11.170	0.001	1.450	1.166-1.803	0.370	0.111	11.077	0.001	1.448	1.164-1.800
NIHSS	-0.116	0.036	10.269	0.001	0.891	0.830-0.956	-0.118	0.036	10.841	0.001	0.889	0.829-0.953	-0.120	0.036	10.840	0.001	0.887	0.826-0.953
AF	-1.647	0.512	10.337	0.001	0.193	0.071-0.526	-1.637	0.511	10.245	0.001	0.195	0.071-0.530	-1.635	0.512	10.203	0.001	0.195	0.071-0.532
Neutrophil	-0.053	0.089	0.359	0.549	0.948	0.797-1.128	--	--	--	--	--	--	--	--	--	--	--	--
Lymphocyte	0.157	0.339	0.214	0.644	1.170	0.602-2.271	--	--	--	--	--	--	--	--	--	--	--	--
NLR	--	--	--	--	--	--	-0.009	0.036	0.066	0.797	0.991	0.922-1.064	--	--	--	--	--	--
NMR	--	--	--	--	--	--	-0.021	0.061	0.117	0.733	0.980	0.870-1.103	--	--	--	--	--	--
WBC	--	--	--	--	--	--	--	--	--	--	--	--	-0.030	0.087	0.118	0.731	0.971	0.818-1.151
PLT	0.001	0.003	0.106	0.745	1.001	0.995-1.007	0.001	0.003	0.088	0.767	1.001	0.995-1.007	0.001	0.003	0.147	0.701	1.001	0.995-1.007
SAA	-0.003	0.004	0.609	0.435	0.997	0.990-1.004	-0.003	0.004	0.590	0.442	0.997	0.990-1.004	-0.003	0.004	0.559	0.455	0.997	0.990-1.004
CRP	-0.017	0.011	2.629	0.105	0.983	0.962-1.004	-0.018	0.011	2.940	0.086	0.982	0.962-1.003	-0.018	0.011	2.909	0.088	0.982	0.962-1.003
ALB	0.094	0.051	3.467	0.063	1.099	0.995-1.214	0.091	0.050	3.361	0.067	1.095	0.994-1.207	0.094	0.050	3.512	0.061	1.099	0.996-1.213
Blood sugar	-0.053	0.069	0.579	0.447	0.949	0.828-1.087	-0.053	0.070	0.585	0.445	0.948	0.826-1.087	-0.058	0.068	0.718	0.397	0.944	0.825-1.079
Triglyceride	0.055	0.230	0.058	0.810	1.057	0.673-1.660	0.071	0.229	0.096	0.757	1.073	0.685-1.683	0.078	0.228	0.117	0.732	1.081	0.692-1.690
HDL	-0.942	0.580	2.643	0.104	0.390	0.125-1.214	-0.939	0.590	2.533	0.111	0.391	0.123-1.243	-1.003	0.573	3.061	0.080	0.367	0.119-1.128

**Table 4** (on next page)

Pairwise comparison of ROC curves

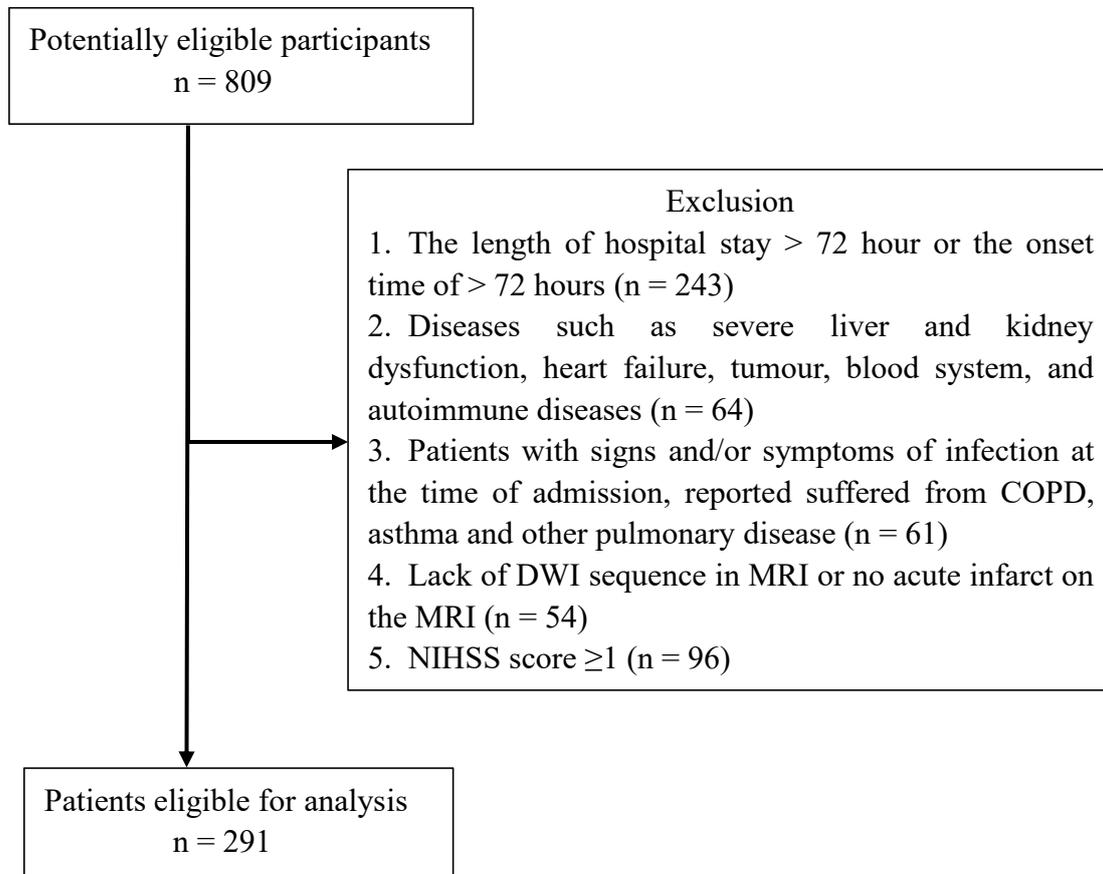
1 **Table 4** Pairwise comparison of ROC curves**Table 4** Pairwise comparison of ROC curves

Parameters		Difference between areas	Standard Error	95% Confidence interval	Z statistic	P -Value
AF	model_1	0.299	0.0379	0.225 - 0.373	7.900	0.000
	model_2	0.299	0.0378	0.225-0.373	7.912	0.000
	model_3	0.297	0.0380	0.222-0.372	7.811	0.000
Age	model_1	0.218	0.0447	0.130 - 0.306	4.872	0.000
	model_2	0.218	0.0447	0.130-0.305	4.867	0.000
	model_3	0.216	0.0448	0.128-0.304	4.815	0.000
DWI_ASPECTS	model_1	0.135	0.0372	0.0621 - 0.208	3.629	0.000
	model_2	0.135	0.0370	0.0622-0.207	3.640	0.000
	model_3	0.133	0.0370	0.0604-0.206	3.592	0.000
NIHSS	model_1	0.0995	0.0320	0.0368 - 0.162	3.111	0.002
	model_2	0.0992	0.0320	0.0366-0.162	3.106	0.002
	model_3	0.0974	0.0320	0.0347-0.160	3.044	0.002
DWI_ASPECTS ~ NIHSS		0.0356	0.0385	-0.0398 - 0.111	0.925	0.355

**Figure 1**(on next page)

Patient Flow-Chart

COPD = Chronic Obstructive Pulmonary Disease; MRI = Magnetic Resonance Imaging; DWI = Diffusion-Weighted Imaging; NIHSS = National Institutes of Health Stroke Scale; TIA = Transient Ischemic Attack.

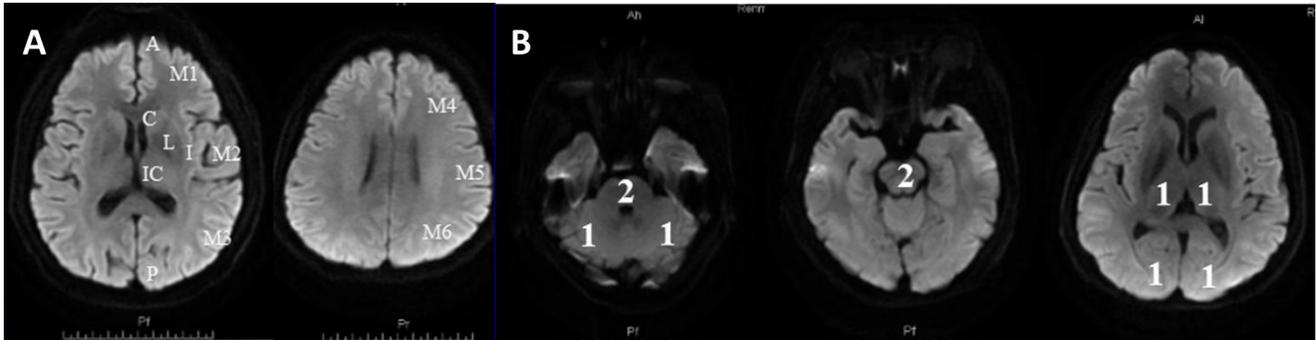
**Figure 1. Patient Flow-Chart.**

**Figure 2**(on next page)

## Diffusion-Weighted Imaging-Alberta Stroke Program Early CT Score (DWI-ASPECTS)

(A) The anterior circulation stroke assessed by DWI-ASPECTS. C = caudate, I = insular ribbon, L = lentiform, IC = internal capsule, M1 = anterior portion of the MCA cortex, M2 = MCA cortex lateral to the insular ribbon, M3 = posterior MCA cortex, M4 = anterior MCA territories, M5 = lateral MCA territories, and M6 = posterior MCA territories. One point was subtracted for the area of early ischemic change for each of the defined regions; (B) The posterior circulation stroke assessed by DWI-ASPECTS. From 10 points, 1 or 2 points each (as indicated) were subtracted for early ischemic changes in the left or right thalamus, cerebellum, or PCA territory, respectively (1 point); any part of midbrain or pons (2 points).

**Figure 2. Diffusion-Weighted Imaging-Alberta Stroke Program Early CT Score (DWI-ASPECTS).**

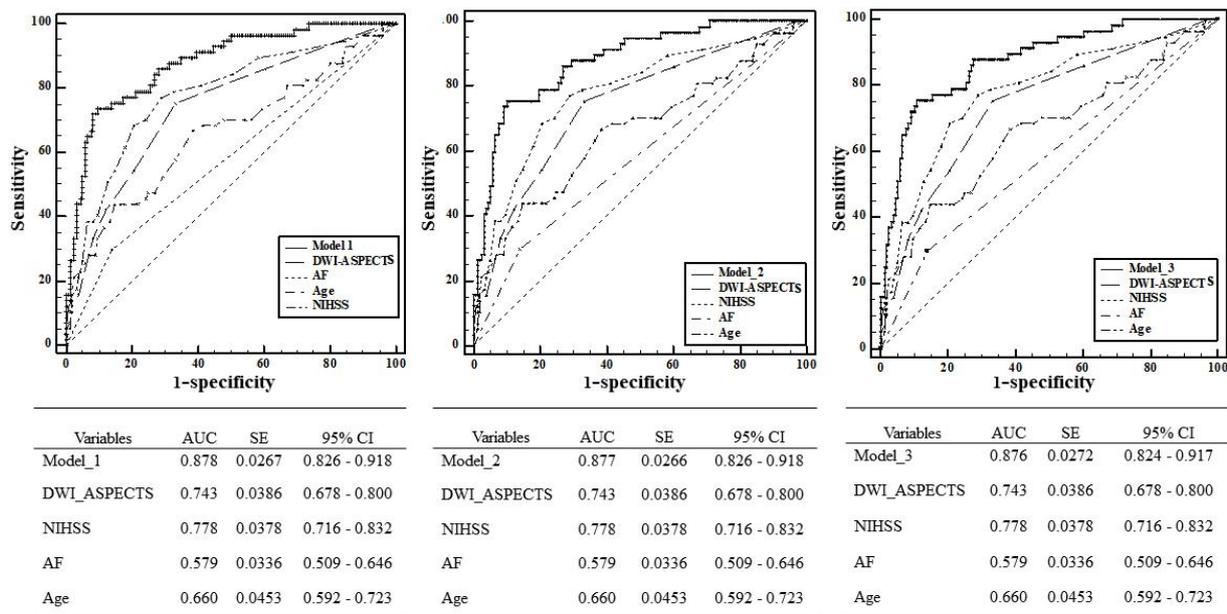


**Figure 3**(on next page)

Comparison of area under curve (AUC) between DWI-ASPECTS and other independence factors in the prediction of SAP by Receiver Operating Characteristic (ROC) curves

The additive effect of DWI-ASPECTS, AF, Age and NIHSS in the prediction of SAP was calculated also.

**Figure 3. Comparison of area under curve (AUC) between DWI-ASPECTS and other independence factors in the prediction of SAP by Receiver Operating Characteristic (ROC) curves.**



**Figure 4**(on next page)

The correlation between DWI-ASPECTS and the immune status of patients with AIS

AIS = acute ischemic stroke, WBC = white blood cell count, Neu = neutrophil count, Lyn = lymphocyte count, Mon = monocyte count, NLR = neutrophil-to-lymphocyte ratio, NMR = neutrophil-to-monocyte ratio, PLT = platelet count, SAA = serum amyloid A, CRP = C-reactive protein.

**Figure 4. The correlation between DWI-ASPECTS and the immune status of patients with AIS.**

