

Risk factors associated with prolonged viral clearance in patients with a refractory course of COVID-19—a retrospective study

Weitao Zhuang^{Equal first author, 1, 2}, Shujie Huang^{Equal first author, 1, 2}, Dongya Wang^{3, 4}, Lulu Zha^{3, 4}, Wei Xu⁵, Guibin Qiao^{Corresp. 1}

¹ Department of Thoracic Surgery, Guangdong Provincial People's Hospital, Guangzhou, Guangdong, China

² Shantou University Medical College, Shantou, Guangdong, China

³ General Hospital of Southern Theater Command, Chinese People's Liberation Army, Guangzhou, Guangdong, China

⁴ Huoshenshan Hospital, Wuhan, China

⁵ School of Public Health and Management, Chongqing Medical University, Chongqing, China

Corresponding Author: Guibin Qiao
Email address: guibinqiao@126.com

Background: This study aimed to characterize a cohort of patients with a refractory course of COVID-19, and to investigate factors associated with the duration of viral clearance (DoVC).

Materials & Methods: A total of 68 patients who had ≥ 2 COVID-19-related admissions were retrospectively enrolled from Huoshenshan Hospital. Univariate analysis and multivariate analysis were performed to examine the potential association between clinicopathologic characteristics and the DoVC.

Results: The median DoVC in the overall study cohort was 48 days (ranged from 11 to 104 days). Multivariate analysis indicated that fever at illness onset [Hazard ratio (HR) = 3.967, 95%CI, 1.583–9.942, $p = 0.003$], serum level of aspartate aminotransferase > 21.7 IU/L (HR = 3.188, 95% CI, 1.299–7.827, $p = 0.011$), and titer of SARS-CoV-2 IgG > 141.69 (HR = 3.718, 95% CI, 1.553–8.904, $p = 0.003$) were the three independent risk factors associated with delayed viral clearance.

Conclusion: Identification of risk factors associated with delayed viral clearance helped to provide customized information for clinical practice in patients with a refractory course of COVID-19. The current study suggested a longer observation period and serial follow-up testing after the first discharge are necessary for COVID-19 patients who had fever at illness onset, impaired hepatic function or increased titer of SARS-CoV-2 IgG.

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Weitao Zhuang^{1,2}, M.D., Shujie Huang^{1,2}, M.D., Dongya Wang^{3,4}, B.S.N., Lulu Zha^{3,4}, B.S.N., Wei Xu,
Ph.D.⁵, Guibin Qiao¹, M.D., Ph.D.

Affiliations:

1. Guangdong Provincial People's Hospital, Guangdong Academy of Medical Sciences, Guangzhou 510080, China;
2. Shantou University Medical College, Shantou 515041, China;
3. Huoshenshan Hospital, Wuhan 430113, China;
4. General Hospital of Southern Theater Command, Chinese People's Liberation Army, Guangzhou, 510010, China
5. School of Public Health and Management, Chongqing Medical University, Chongqing 400016, China

* Weitao Zhuang and Shujie Huang contributed equally to this work

Corresponding to:

Guibin Qiao, M.D., Ph.D.

Department of Thoracic Surgery

Guangdong Provincial People's Hospital, Guangdong Academy of Medical Sciences

106 Zhongshan Second Road, Guangzhou 510080, China.

Email: guibinqiao@126.com

Abstract

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Conclusion: Identification of risk factors associated with delayed viral clearance helped to provide customized information for clinical practice in patients with a refractory course of COVID-19. The current study suggested a longer observation period and serial follow-up testing after the first discharge are necessary for COVID-19 patients who had fever at illness onset, impaired hepatic function or increased titer of SARS-CoV-2 IgG.

Keywords: COVID-19; Readmission; Reinfection; Refractory; Viral Clearance

Introduction

As the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) sweeps across the globe, there is rising concern that the patients who recovered from COVID-19 may be at risk of reinfection. It was reported that a small percentage of patients were tested positive again after recovery from a previous episode of COVID-19 infection.^{1,2} However, the mechanism of reinfection/reactivation has not been clarified, which created an

uncertainty of the criteria to define clinical and virological recovery.³ Miscellaneous hypotheses are coming out to address this scientific question, such as false positive results from the leftover genetic material,^{4,5} persistence of virus within body, cross-contamination from another virus, incorrect sampling methods,⁶ thermal inactivation of samples,⁷ impaired immune response,⁵ even storage of virus in exosomes and extracellular vesicles.⁸ Given the false negative rates were as high as 30%–60% for SARS-CoV-2 PCR testing in upper respiratory tract or sputum specimens,^{9,10} it is not easy to distinguish between reinfection and prolonged viral clearance.^{11,12} Underlying mechanisms and corresponding patient characteristics of reinfection or prolonged viral clearance may be quite different, which requires personalized treatment strategy. As medical institutions are overwhelmed by the cumulating patients, what kind of care should be provided to reinfected patients, and how to deal with the patients with a refractory course of disease, remain to be answered by both physicians and researchers.

The median duration of viral shedding was reported to be about 20 days in many studies.^{13–17} However, prolonged viral clearance is not a rare phenomenon, with the longest duration being 83 days.^{18–20} In this regard, a longer period of post-discharged quarantine and medical observation has been suggested.^{1,18,20} Identifying the patients who are at risk of reinfection or delayed viral clearance might be the first important step to tackle the abovementioned challenge. This may help with optimization of treatment and prevention of transmission of the disease. Recently, studies are emerging to identify the risk factors associated with longer viral clearance.^{14,15,17,21} However, risk factors of prolonged duration of viral clearance (DoVC) in patients with a refractory course of COVID-19 are less clear and required further investigation.

In this study, we characterized a cohort of patients who had ≥ 2 COVID-19-related hospitalizations, with the purpose to investigate the clinicopathologic risk factors associated with the refractory course of COVID-19.

Patients and Methods

Participants

A total of 68 inpatients from Huoshenshan Hospital between February 8, 2020 to March 31, 2020 were enrolled in this retrospective study. All patients had a previous history of COVID-19-related hospitalization before admitted to Huoshenshan Hospital. The severity of disease was evaluated based on the sixth version of Chinese clinical guideline for COVID-19.²² Mild cases referred to mild clinical symptom with no sign of pneumonia on imaging. General cases were defined as positive clinical symptoms along with pneumonia on thoracic imaging. Patients who met any of the following criteria were classified as severe cases: respiratory rate ≥ 30 per minute; pulse oxygen saturation $\leq 93\%$ at resting status; arterial partial pressure of oxygen (PaO₂)/oxygen concentration (FiO₂) ≤ 300 mmHg; patients with $> 50\%$ progression of lesion volume within 24 to 48 hours on chest imaging. Patients who presented with any of the following conditions were defined as critical cases: Respiratory failure and requiring mechanical ventilation; shock; organ failure that requires ICU care. Patients could be discharged after they met the following criteria¹³: absence of fever for at least 3 days, remission of clinical symptoms or chest imaging, and two consecutive negative results for SARS-CoV-2 RNA in respiratory tract samples obtained at least 24 hours apart. This study was approved by the institutional review boards of Huoshenshan Hospital (No. IEC-AF-SL-02), Guangdong Provincial People's Hospital (No.GDRE20200141H) and General Hospital of Southern Theater Command (No.202039), and was carried out in accordance with the Declaration of Helsinki. Electronic form of informed consents to use their demographic and clinical information were collected from all participants in advance.

Data collection

A standardized sheet for data collection was used to retrieve the demographic, clinical, laboratory and treatment information from electronic medical records. Given the relatively high rate of false negative nucleic acid testing by nasal/pharyngeal swab in the previous studies,^{9,10} we raised the standard to define viral clearance, which was in accordance with the abovementioned standard criteria of discharge from hospital.¹³ Therefore, the DoVC was calculated from the date of illness onset (as stated in the history of present illness) to the date of discharge from Huoshenshan hospital. All data were double-checked by two researchers (W. Z. and S. H.) independently for accuracy.

Laboratory tests

Respiratory samples were tested for SARS-CoV-2 RNA by the real-time reverse transcriptase polymerase chain-reaction (RT-PCR) test (BioGerm, Shanghai, China), following WHO guideline for qRT-PCR.^{23,24} SARS-CoV-2 IgM and IgG antibodies in blood samples were measured using chemiluminescent immunoassay (Shenzhen Yahuilong Biotechnology Co., Ltd) as per manufacturer's the protocol. The titer larger than 10 of either IgM or IgG were considered positive infection for SARS-CoV-2.

Treatment

All patients were provided with necessary supportive care during the first and second hospitalizations, including but not limited to oxygen, antipyretics, antitussive, expectorant, antidiabetic, and antihypertensive agents. For etiological treatment, antivirals, antibiotics, traditional Chinese herbal tea or Chinese patent medicine were used either separately or in combination.

Statistical analysis

Data of baseline characteristics such as demographic information, reasons of readmission, symptoms and signs, comorbidities and treatment were presented as frequency (percentage), while data of laboratory results were presented as median (interquartile range, IQR). The medians of continuous variables were used as cut-off values to separate the patients into two groups for the sake of comparison, except for C-reactive protein and procalcitonin, where the upper limits of normal range were used. Univariate analysis was performed by using log-rank test to evaluate the association of patient characteristics with DoVC. All variables with p values < 0.1 were included in multivariate analysis. Subsequently, log minus log function was used to identify the covariates which did not satisfy the proportional hazard assumption, and these covariates were excluded for the subsequent multivariate analysis. Multivariate analysis was conducted using Cox regression model to identify independent risk factors of prolonged viral clearance. Cases with missing data were excluded from the Cox regression model. A sensitivity analysis was performed to assess the robustness of the results (see Supplementary table S1, S2). Finally, Kaplan-Meier method was used to visualize the impact of these risk factors on the DoVC.

Results

Baseline characteristics

The mean age of patients with a refractory course of COVID-19 was 52.4 years old, and 42 out of 68 patients were male. According to the sixth version of Chinese clinical guideline for COVID-19,²² 54 out of 68 (79.4%) patients were classified as general cases, while the others were severe cases. The number of patients who were readmitted to hospitals because of recurrence of symptoms, possible reinfection with positive nucleic acid testing again or referral with persistent symptoms was 3 (4.4%), 12 (17.6%) and 53 (78.0%), respectively. Fever (76.5%), cough (69.1%), shortness of breath (41.2%) and fatigue (32.4%) were the four most prevalent

sign and symptoms at illness onset among these patients. Hypertension (27.9%) was the most common comorbidity, followed by type II diabetes mellitus (17.6%) (Table 1).

Clinicopathologic information

Complete blood count and blood biochemistries such as inflammatory seromarkers, renal, cardiac and hepatic function tests were measured upon admission to Huoshenshan Hospital (Table 2). Of the 68 individuals, sixty had white blood cell counts in the normal range; 9 patients had increased platelet counts; 30 patients had increased C-reactive protein (CRP). Twelve out of 39 patients had increased procalcitonin (PCT). Almost all patients were normal regrading cardiac, renal and hepatic function tests. Thirty-six patients were tested for the serum antibodies to SARS-CoV-2, of which all patients were positive for SARS-CoV-2 IgG, while only 86.1% of patients were positive for SARS-CoV-2 IgM. In this study cohort, 28 out of 68 patients (41.2%) were treated with antiviral agents, including ribavirin, arbidol, oseltamivir and entecavir. Forty out of 68 patients (58.8%) were treated with antibiotics, which were mainly cephalosporin and floxacine. Notably, 95.6% of patients received traditional Chinese herbal tea or Chinese patent medicine, such as Lianhua Qingwen Capsule. Corticosteroid was mainly provided to the severe or critical cases. Twenty-seven out of 68 patients had low sleep quality and required prescription of hypnotics. The median time from illness onset to the second time of admission was 24 days (ranged from 3 to 65 days). The median DoVC in the overall study cohort was 48 days (ranged from 11 to 104 days). A half of the patients had a DoVC in the range of 31 to 60 days, and the DoVC was longer than 2 months in 30.9% of patients.

Risk factors associated with longer viral clearance

In univariate analyses, several clinicopathologic characteristics were found to be associated with the DoVC, including fever ($p = 0.016$), shortness of breath ($p = 0.024$), and fatigue ($p = 0.04$) at onset, as well as serum levels of CRP ($p = 0.0026$) and SARS-CoV-2 IgG ($p = 0.0096$) (Table 1, Table 2). Thirty-six patients with complete data were included in the Cox model. Sensitivity analyses suggested no statistically significant difference in baseline and clinical characteristics between overall study cohort and patients included in Cox model (see Supplementary table S1, S2). Multivariate analysis suggested that fever at illness onset [Hazard ratio (HR) = 3.967, 95%CI, 1.583–9.942, $p = 0.003$], serum level of aspartate aminotransferase > 21.7 IU/L (HR = 3.188, 95% CI, 1.299–7.827, $p = 0.011$), and titer of SARS-CoV-2 IgG > 141.69 (HR = 3.718, 95% CI, 1.553–8.904, $p = 0.003$) were the three independent risk factors associated with longer viral clearance in the refractory COVID-19 patients (Table 3). The proportional curves of positive viral test specified by three independent factors were depicted using Kaplan-Meier method (Figure 1). The estimated median DoVC was significantly longer in patients with fever at admission (53 days vs. 41 days, $p = 0.016$), with a titer of SARS-CoV-2 IgG higher than 141 AU/ml (68 days vs. 56 days, $p = 0.0096$). Patients with mildly impaired liver function (with AST > 21.7 IU/L) were deemed to have a statistically boundary significant longer DoVC (56 days vs. 42 days, $p = 0.051$).

Discussion

The DoVC is central for decision-making of nosocomial isolation precaution and post-discharge quarantine. Due to the high infectivity of virus and the overloaded medical system, a refractory clinical course of COVID-19 could be rather annoying for both patients and medical workers. However, there is still a lack of clinical

guideline to deal with this particular group of patients. The current study documented the demographical, epidemiological, laboratory, and many other clinical data in a cohort of patients with ≥ 2 COVID-19-related hospitalizations, with the purpose to identify risk factors of prolonged hospitalization or viral clearance in these patients. In this retrospective study, 12 out of 68 (17.6%) were considered as possible reinfection (Table 1), given that they were negative for viral RNA in the former two consecutive nucleic acid tests. However, there was no concrete evidence to support this assumption as the false negative rate of nucleic acid testing was too high. Therefore, they were collectively recognized as patients with a refractory clinical course of COVID-19, along with those with recrudescence or persistent symptoms. It should be noted that this definition did not necessarily signify a severe or critical disease.

Before the cross-study comparison, it is worth noting that the definition of duration of viral shedding or viral clearance has not been unified in the previous studies.^{14,17,21} In consideration of the high false negative rate of nucleic acid testing, we adopted a more conservative criteria to define the date of discharge as the endpoint of viral clearance. As two patients were still in hospitalization due to the complications from other organs despite their negative viral RNA results, DoVC may be slightly overestimated in this study cohort. The median DoVC was 48 days in our study, which was similar to the finding of one previous study (median = 53.5 days, IQR 47.75-60.5 days).¹⁸ The duration of viral shedding was about 20 days in many other studies, which was much shorter because the patients with multiple COVID-19-related admissions were usually not enrolled.¹³⁻¹⁷ It should be noted that nasal or pharyngeal swabs were mostly used for nucleic testing in these studies, but persistent SARS-CoV-2 RNA has been found elsewhere (e.g. anal swab or stool sample) after negative conversion of nasopharyngeal RT-PCR test.^{9,25}

In the current study, the DoVC had a very wide range (Table 2), which indicated that the further

stratification among this population might be helpful for personalized management. We have identified the presence of fever at illness onset, AST > 21.7 IU/L and titer of SARS-CoV-2 IgG > 141.69 to be the three independent risk factors for longer viral clearance in the refractory COVID-19 patients (Table 3, Figure 1). Several other studies have also revealed the presence of fever was significantly associated with prolonged DoVC.^{26,27} These patients were hypothesized to be more severely affected by the SARS-CoV-2 infection in the lungs and thus the prolonged DoVC.²⁶ Fever at illness onset may indicate a cytokine storm or a higher viral load at the time of symptom onset,²⁸ as the viral load was found to associated with more severe COVID-19 and longer virus-shedding period.²⁹ Mildly abnormal liver function test was frequently reported in COVID-19 patients, especially the elevated plasma AST.^{26,30,31} Although a serum level of AST > 21.7 IU/L in our study not necessarily signified clinical relevance, it was significantly associated with a delayed viral clearance (HR = 3.188, 95% CI, 1.299–7.827, p=0.011). Drug-induced liver injury should be taken into consideration,³⁰ because a longer hospital stay usually required more medication, which were potentially hepatotoxic. Other possible underlying mechanisms included direct viral hepatitis or immune-mediated inflammatory response.²⁶ The presence of angiotensin-converting enzyme 2 (ACE2) receptor on cholangiocytes and hepatocytes suggested a plausible mechanism of SARS-CoV-2 related hepatotoxicity.³² Interestingly, a high level of SARS-CoV-2 IgM was also found to be related to the prolonged viral shedding in a previous study,¹⁸ which was instead echoed by the level of IgG in our study. The larger magnitude of SARS-CoV-2 IgG, along with presence of fever at illness onset, might imply a higher viral load or stronger immune response to the virus. Nevertheless, the underlying mechanism requires further investigation.

Although less importantly, attention should also be paid to the symptoms such as shortness of breath, fatigue and the serum level of CRP (Table 1, Table 2). However, we did not observe the impact of age, comorbidities,

severity of disease and treatment on the DoVC. Previous studies revealed multiple risk factors associated with prolonged viral shedding, including age,^{14,21} sex,¹⁷ fever^{15,33} or chest tightness²¹ at admission, comorbidities such as hypertension and diabetes mellitus,²⁶ time from symptom onset to admission,^{15,17} albumin level,¹⁶ antiviral treatment,^{14,16} invasive mechanical ventilation,¹⁷ and length of hospital stay.¹⁵ What is noteworthy is that many studies directly divided the patients into two groups using a cutoff value in the DoVC and perform multivariate analysis by logistic regression, instead of using Kaplan-Meier method and Cox regression model.^{14,15,17} This might have led to a loss of detailed temporal information, and thus the inaccuracy of results.

Although this study is bolstered by its design and methodological strength, it is also limited by its retrospective nature and small sample size. Not all tests were performed and monitored during hospitalization in all patients, especially the serology testing for SARS-CoV-2. Therefore, patients with incomplete data were excluded from multivariable regression analysis, which limited its statistical power despite a sensitivity analysis has been done. The patients with multiple hospitalizations might potentially subject to recall bias in retrieving the treatment history. Additionally, we only enrolled patients with multiple COVID-19-related hospitalizations, therefore, the results and conclusion should be customized to this specific group of patients, which limits the generalizability of this study. Finally, the ability to associate clinicopathologic characteristics with DoVC might be limited by using a convenient instead of statistically determined sample size.

In conclusion, this study investigated the risk factors of delayed viral clearance in patients with more than one COVID-19-related hospitalizations. Patient characteristics such as fever at illness onset, mildly impaired liver function, and a high serum level of SARS-CoV-2 IgG were associated with delayed viral clearance. Therefore, a longer observation period and serial follow-up tests after the first discharge are necessary for COVID-19 patients who are at high risk of reinfection or prolonged viral clearance.

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Figure Legend

Figure 1. Proportional curves of positive viral testing. Comparison of duration of viral clearance between patients (A) with or without fever at onset; (B) with lower (≤ 141.69) or higher (> 141.69) levels of SARS-

337 CoV-2 IgG; (C) with lower (≤ 21.7 IU/L) or higher (> 21.7 IU/L) levels of aspartate aminotransferase (AST).

338 Log-rank test was used for comparison.

Table 1 (on next page)

Table 1 Baseline characteristics of patients with a refractory clinical course of COVID-19

1 **Table 1** Baseline characteristics of patients with a refractory clinical course of COVID-19

Baseline Characteristics	Study cohort = 68 Frequency (%) / Mean \pm SD	p value*
Age (years)	52.4 \pm 14.1	0.99
≤ 60	45 (66.2)	
> 60	23 (33.8)	
Male	42 (61.8)	0.64
Reasons of readmission		0.69
Recurrence of symptoms	3 (4.4)	
Possible reinfection with positive nucleic acid testing again	12 (17.6)	
Referral with persistent symptoms	53 (78.0)	
Symptoms and signs at illness onset		
Fever	52 (76.5)	0.016
Shortness of breath	28 (41.2)	0.024
Dyspnea	5 (7.4)	0.18
Chest tightness	11 (16.2)	0.58
Myalgia	13 (19.1)	0.90
Dry cough	16 (23.5)	0.41
Productive cough	31 (45.6)	0.56
Fatigue	22 (32.4)	0.04
Diarrhea	5 (7.4)	0.59
Headache	3 (4.4)	0.98
Comorbidities		
Hypertension	19 (27.9)	0.53
Type II diabetes mellitus	12 (17.6)	0.74
Coronary artery disease	4 (5.9)	0.31
COPD	3 (4.4)	0.91
Hepatitis B	3 (4.4)	0.52
Malignancy	3 (4.4)	0.65
Smoker	6 (8.8)	0.99
Alcohol user	3 (4.4)	0.46
Severity of COVID-19 pneumonia		0.42

Mild	0
General	54 (79.4)
Severe	14 (20.6)
Critical	0

2 *Univariate analysis to determine the association of baseline characteristics with duration of viral clearance by
 3 using log-rank test. COPD, chronic obstructive pulmonary diseases.

Table 2(on next page)

Table 2 Clinicopathologic characteristics of patients with a refractory clinical course of COVID-19

1 **Table 2** Clinicopathologic characteristics of patients with a refractory clinical course of COVID-19

Clinicopathologic characteristics	Study cohort = 68 Frequency (%) / Median (Q1, Q3)	Normal range	p value*
Laboratory results			
WBC # ($\times 10^9/L$)	5.95 (5.03, 7.10)	3.5–9.5	0.81
RBC # ($\times 10^{12}/L$)	4.10 (3.80, 4.45)	4.3–5.8	0.49
Platelet # ($\times 10^9/L$)	234.00 (192.25, 291.75)	125–350	0.053
NLR	2.30 (1.76, 3.36)		0.12
C-reactive protein (mg/L)	2.81 (1.49, 8.62)	0–4	0.026
Normal	38 (55.9)		
Increased	30 (44.1)		
Procalcitonin (not tested = 29) (ng/ml)	0.05 (0.03, 0.06)	0–0.05	0.17
Normal	27 (69.2)		
Increased	12 (30.8)		
Albumin (g/L)	38.15 (35.08, 40.55)	40–55	0.46
ALT (IU/L)	30.15 (16.40, 47.27)	9–50	0.25
AST (IU/L)	21.70 (16.97, 27.73)	9–60	0.051
HBDH (IU/L)	152.90 (128.60, 191.68)	24–190	0.54
LDH (IU/L)	181.15 (126.48, 239.65)	120–250	0.66
CK (IU/L)	45.55 (34.28, 69.68)	24–190	0.94
CK-MB (IU/L)	7.75 (6.40, 11.50)	0–24	0.93
SARS-CoV-2 IgM (not tested = 32) (AU/ml)	36.19 (16.75, 89.03)	< 10	0.27
SARS-CoV-2 IgG (not tested = 32) (AU/ml)	141.69 (114.55, 178.33)	< 10	0.0096
Treatment details			
Antivirals	28 (41.2)	—	0.052
Antibiotics	40 (58.8)	—	0.16
Traditional Chinese medicine	65 (95.6)	—	0.13
Corticosteroid	12 (17.6)	—	0.45
Hypnotics	27 (39.7)	—	0.57
Duration of viral clearance	48 (33, 65)	—	—
≤ 30 days	13 (19.1)		
31–60 days	34 (50.0)		

> 60 days

21 (30.9)

* Univariate analysis to determine the association of clinicopathologic characteristics with duration of viral clearance by using log-rank test. Patients were categorized into two groups based on the median value of laboratory results except for C-reactive protein and procalcitonin. ALT, Alanine aminotransferase; AST, Aspartate aminotransferase; HBDH, α -Hydroxybutyrate dehydrogenase; LDH, lactate dehydrogenase; CK, creatine kinase; CK-MB, creatine kinase isoenzyme.

Table 3(on next page)

Table 3 Multivariate analysis using Cox regression model (n=36)

Table 3 Multivariate analysis using Cox regression model (n=36)

Variables (Reference)	Hazard Ratio	95% Confidence Interval		p value
		Lower	Upper	
Fever (No)				
Yes	3.967	1.583	9.942	0.003
Shortness of breath (No)				
Yes	1.832	0.737	4.555	0.192
Fatigue (No)				
Yes	0.855	0.337	2.174	0.743
Use of antiviral medication (No)				
Yes	0.732	0.324	1.594	0.728
Platelet # ($\leq 234 \times 10^9/L$)				
$> 234 \times 10^9/L$	0.493	0.214	1.136	0.097
AST (≤ 21.7 IU/L)				
> 21.7 IU/L	3.188	1.299	7.827	0.011
SARS-CoV-2 IgG (≤ 141.69)				
> 141.69	3.718	1.553	8.904	0.003

CRP, C-reactive protein; AST, aspartate aminotransferase; SARS-CoV-2 IgG, Severe acute respiratory syndrome coronavirus 2 immunoglobulin G.

Figure 1

Figure 1 Proportional curves of positive viral testing.

