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Biogeographic evidence supports the Old Amazon hypothesis for the formation of the Amazon fluvial system

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The Amazon has high biodiversity, which has been attributed to different geological events such as the formation of rivers. The Old and Young Amazon hypotheses have been proposed regarding the date of the formation of the Amazon basin. Different studies of historical biogeography support the Young Amazon model, however, most studies used secondary calibrations or are performed at the population level preventing evaluation of a possible older formation of the Amazon basin. Here, we evaluate the fit of molecular phylogenetic and biogeographic data to previous models regarding the age of formation of the Amazon fluvial system. We reconstructed time-calibrated molecular phylogenies through Bayesian inference for six taxa belonging to Amphibia, Aves, Insecta and Mammalia. We used both nuclear and mitochondrial DNA sequence data and fossils as calibration points, and explored priors for both data sources. We detected the most plausible vicariant barriers for each phylogeny and performed an ancestral reconstruction analysis using areas bounded by major Amazonian rivers. Furthermore, we evaluated the effect of different dispersal rates over time based on geological and biogeographical information. The majority of the genes analysed fit a relaxed clock model. The lognormal distribution fits better and leads to more precise age estimation than the exponential distribution. The data suggested that the first dispersals to the Amazon basin occurred to Western Amazonia from 16.2–10.4 Ma, and the taxa covered most of the areas of the Amazon basin between 12.2–6.2 Ma. Additionally, we obtained evidence for two rivers, Tocantins and Madeira, acting as vicariant barriers. Given the molecular analyses, we suggest that the temporal range for the beginning and complete formation of the Amazon fluvial system might be older than previously proposed.

1 **Biogeographic evidence supports the Old Amazon**
2 **hypothesis for the formation of the Amazon fluvial**
3 **system**

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16

17

18 Abstract

19

20 The Amazon has high biodiversity, which has been attributed to different geological events such
21 as the formation of rivers. The Old and Young Amazon hypotheses have been proposed
22 regarding the date of the formation of the Amazon basin. Different studies of historical
23 biogeography support the Young Amazon model, however, most studies used secondary
24 calibrations or are performed at the population level preventing evaluation of a possible older
25 formation of the Amazon basin. Here, we evaluate the fit of molecular phylogenetic and
26 biogeographic data to previous models regarding the age of formation of the Amazon fluvial
27 system. We reconstructed time-calibrated molecular phylogenies through Bayesian inference for
28 six taxa belonging to Amphibia, Aves, Insecta and Mammalia. We used both nuclear and
29 mitochondrial DNA sequence data and fossils as calibration points, and explored priors for both
30 data sources. We detected the most plausible vicariant barriers for each phylogeny and
31 performed an ancestral reconstruction analysis using areas bounded by major Amazonian rivers.
32 Furthermore, we evaluated the effect of different dispersal rates over time based on geological
33 and biogeographical information. The majority of the genes analysed fit a relaxed clock model.
34 The lognormal distribution fits better and leads to more precise age estimation than the
35 exponential distribution. The data suggested that the first dispersals to the Amazon basin
36 occurred to Western Amazonia from 16.2–10.4 Ma, and the taxa covered most of the areas of the
37 Amazon basin between 12.2–6.2 Ma. Additionally, we obtained evidence for two rivers,
38 Tocantins and Madeira, acting as vicariant barriers. Given the molecular analyses, we suggest
39 that the temporal range for the beginning and complete formation of the Amazon fluvial system
40 might be older than previously proposed.

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46 Introduction

47

48 The Amazon basin harbors a high biodiversity, which has been attributed to different geological
49 events such as the formation of rivers in a scenario that was proposed by Wallace (1852) known
50 as the “riverine barrier hypothesis”. This hypothesis has been supported by different studies
51 (Maldonado-Coelho et al., 2013; d’Horta et al., 2013). Likewise, two geological models (Old and
52 Young Amazon) supporting this hypothesis have been proposed regarding the date of the
53 formation of the Amazon basin. The “Old amazon” model suggest that the fluvial system was
54 established during the late Miocene (~10–7 Ma, Hoorn et al., 1995; Figueiredo et al., 2009;
55 Hoorn et al., 2010, 2017, Bernal et al., 2019), while some authors consider an upper limit of 10.5
56 Ma (Figueiredo et al., 2010). The “Young Amazon” model covers a wider temporal range, with
57 studies agreeing that the basin was completely formed during the Plio-Pleistocene (~2.5 Ma,
58 Campbell, Frailey & Romero-Pittman, 2006; Horbe et al., 2013; Rossetti et al., 2015). Both
59 models have been evaluated using biogeographical approaches (e.g. Ribas et al., 2011;
60 Fernandes, Wink & Aleixo, 2012), which suggested that the Amazon basin was formed in the
61 Plio-Pleistocene, and therefore support the “Young amazon” hypothesis. Alfaro et al., (2015)
62 also proposed plausible dates for the formation of some main Amazonian rivers, providing a
63 deeper understanding of how the basin reached its current configuration.

64

65 The temporal range of the formation of the basin remains controversial. The changes in the
66 Amazonian landscape that led to the formation of the current Amazon basin have been attributed
67 to a series of geological events starting with the gradual uplift of the Eastern Cordillera in the
68 Central and Northern Andes, which caused the closure of the Western Andean Portal and created
69 a large watershed in the middle Miocene (Hoorn et al., 1993, 2010; Parra et al., 2009; Horton,
70 2018) known as the Pebas mega-wetland system (hereafter, PMWS). Located in the Western
71 Amazonia, the PMWS was connected to the Caribbean Sea and bounded by the Purus Arch on
72 the east (Figueiredo et al., 2009, 2010), but some details are still unresolved (Jaramillo et al.,
73 2017). In the late Miocene, the PMWS disappeared (Wesselingh et al., 2002) due to the uplift of
74 the Eastern Colombian Andes (~15–3 Ma, Mora et al., 2008), and as consequence, the Vaupes
75 Arch was drawn close to the Andes, causing the Orinoco-Amazonas separation event (Olivares et
76 al., 2013) and gradually reducing the water flux towards the Caribbean (Lundberg et al., 1998).
77 Finally the Amazon River extended eastward either by the subsidence of the Purus Arch
78 (Dobson, Dickens & Rea, 2001; Figueiredo et al., 2009, 2010; Nogueira, Silveira & Guimarães,
79 2013; Hoorn et al., 2017; van Soelen et al., 2017). The role of these events in the formation of
80 the modern Amazon basin has been highlighted in different studies (e.g. Matos-Maravi et al.,
81 2013; Horbe et al., 2013). In general. Most studies support a “Young Amazon” model (e.g.
82 Horbe et al., 2013; Rossetti et al., 2015), but others disagree (e.g. Figueiredo et al., 2009, 2010;
83 Hoorn et al., 2010).

84

85 The main idea in historical biogeography analyses is that geological events have influenced the
86 diversification of the biota, and therefore this diversification pattern is seen in the phylogeny as
87 biogeographical cladogenetic patterns, and from a Panbiogeographic view, those patterns will
88 agree for different taxonomic groups, following Croizat’s idea that the earth and biota have
89 evolved as a whole. To date, there are no biogeographical studies that incorporate different taxa.
90 Most of the studies that have addressed the Amazon basin formation used secondary calibrations
91 (e.g. Buckner et al., 2015) —which increase uncertainty in age estimates (Schenk, 2016)— or are

92 performed at the population level (e.g. Ribas et al., 2011), preventing evaluation of a possible
93 older formation of the Amazon basin. Furthermore, the number of species used has been low in
94 some studies (10–68% less than the number of species used in the present study) (e.g. Pramuk et
95 al., 2007; Maciel et al., 2010; Ramírez et al., 2010). Therefore, the goal of the present study is to
96 evaluate the fit of molecular phylogenetic and biogeographic data to the previously described
97 models regarding the age of formation of the Amazon fluvial system.

98

99 **Materials & Methods**

100

101 **Selection of taxa.** We selected taxa using the following criteria: (1) We considered only
102 monophyletic taxa with clades currently distributed in the Amazon basin; (2) The phylogeny
103 must include clades with divergence times covering the temporal ranges proposed by the two
104 models (1 – 11.8 Ma, Hoorn et al., 2010; Figueiredo et al., 2009, 2010; Ribas et al., 2011); (3) At
105 least 60% of the described species in the phylogeny must have both mitochondrial and nuclear
106 genes, and a minimum of three genes available at GenBank; (4) The taxa must have fossil
107 records available in the literature for the ingroup and/or outgroup (see Table S2), and the fossils
108 must not have uncertain phylogenetic positions (Kay & Maldrum, 1997; Hosner, Braun &
109 Kimball, 2016; Kay & Cozzuol, 2006) or poor stratigraphic information.

110

111 **Molecular and Distributional data.** We downloaded all nucleotide genes sequences available at
112 GenBank for each taxon (see Data S1). Each gene was aligned separately using MUSCLE
113 v3.8.31 (Edgar, 2004) with default settings. The nucleotide substitution models were selected
114 with the Akaike Information Criterion (AIC, Akaike, 1974) with the modelTest function in the R
115 package ‘phangorn’ (Schliep, 2011). For the distributional data, we used the available literature
116 and occurrences from the Global Biodiversity Information Facility (GBIF, see Table S1). The
117 dataset was checked removing points out of the known IUCN distributional range and
118 distributional range cited in literature.

119

120 **Phylogenetic reconstruction.** We carried out a partitioned phylogenetic analysis under Bayesian
121 Inference as implemented in MrBayes 3.2.6 (Ronquist et al., 2012) via the CIPRES Science
122 Gateway (Miller, Pfeiffer & Schwartz, 2010). For each partition, we applied the nucleotide
123 model previously selected, and we tested whether the evolutionary rate was constant across the
124 phylogeny (strict molecular clock) or it varies on each branch (relaxed molecular clock). Then,
125 we chose the model that best fit our data through Bayes Factors (Kass & Raftery, 1995). Once
126 the evolutionary rate of the model was settled, we modeled the relaxed clock using the
127 independent gamma rate model (Lepage et al., 2007; Bakiu, Korro & Santovito, 2015), which is
128 a continuous uncorrelated model of rate variation across lineages that assumes an independent
129 rate on each branch following a gamma distribution. We used a fossilized birth-death process
130 (Heath, Huelsenbeck & Stadler, 2014; Zhang et al., 2016) to model the cladogenetic process,
131 taking into account speciation, extinction and fossilization.

132

133 **Priors and clock dating.** For each calibration point, we tested two informative prior
134 distributions (exponential and log-normal) and chose the model that best fit the data through
135 Bayes Factors (Kass & Raftery, 1995). This step is crucial for the estimation of divergence times
136 of the species. It has been proposed that the reason for over-estimation of branch lengths is the
137 poor choice of the prior distribution (Rannala, Zhu & Yang 2011). Both prior distributions differ

138 in the location of the highest likelihood for the age of a node (Jones et al., 2013) and the
139 parameters established for each one (Ho & Phillips, 2009; Arcila et al., 2015).

140
141 We conducted two independent runs with 30 million generations using the selected parameters,
142 sampling every 2000 generations and discarding the first 25% samples (burn-in). Each run
143 consisted of four Metropolis-coupled Markov Monte Carlo chains with default temperatures
144 ($\Delta=0.09$ between heated chains). Each analysis was carried out until the average standard
145 deviation of split frequencies was below 0.05 (Ronquist et al., 2012). The convergence between
146 runs was assessed using the effective sample size as reported in TRACER v1.7 (Rambaut et al.,
147 2018) and the potential scale reduction factor (Ronquist et al., 2012). We also used the command
148 ‘mcmc data=no’ to perform the analyses without data and sample from the priors only
149 (Ronquist et al., 2012) to evaluate the impact of including only the priors on ages and
150 monophyly.

151
152 **Infinite sites.** We plotted the posterior means of each clade against the 95% posterior confidence
153 interval values (CIs, Yang & Rannala, 2006) for each phylogeny to evaluate the uncertainty
154 source in posterior estimates of the divergence times, either by molecular or fossil sampling. The
155 plot is based on the fact that even with infinitely long sequences, uncertainties will remain in the
156 posterior time estimates because the posterior converges to a one-dimensional distribution (Yang
157 & Rannala, 2006).

158
159 **Isolation barriers and ancestral area inference.** We determined the potential isolation barriers
160 for each clade following Hovenkamp (1997, 2001), who suggested that the only evidence of a
161 speciation process in a geographical context is the allopatric distributions. We used the
162 Vicariance Inference Program (VIP, Arias, Szumik & Goloboff, 2011) for this analysis. This
163 method does not require predefined areas, so the percentage of vicariant events can be higher
164 compared with other methods. Grid size was selected to minimize the under-sampling and low
165 resolution, and we used a strict non-overlapping rule.

166
167 We reconstructed the ancestral distribution using areas bounded by major Amazonian rivers
168 (Alfaro et al., 2015, Fig. 1, Fig. S1) and under the dispersal-extinction-cladogenesis model
169 (DEC, Ree et al., 2005; Ree & Smith, 2008) implemented in the R package ‘BioGeoBEARS’
170 (Matzke, 2013). We assessed two different biogeographic models, the base model with a
171 constant dispersal rate in which all areas have the same probability, and a stratified model with
172 different dispersal rates over time (Ree & Smith 2008), abruptly changing the dispersal rates
173 along the time span. Therefore, we can evaluate whether there are changes related to the time and
174 distribution of the ancestral areas in a given temporal range and if those changes are related to
175 the ranges proposed for the formation of the Amazon basin. We generated four models, with
176 three time slices for each one: (1) The “Young Amazon” model, in which the dispersal rates
177 were low before 11.8 Ma (proposed lower limit, code as 0.25), then started to increase (code as
178 0.50) during the temporal range in which the Amazon system began forming (11.8–2.5 Ma), and
179 the rates became maximal when the Amazon basin reached its current form and size (after 2.5
180 Ma, code as 1.0). (2) The “Old Amazon” model, where rates were intermediate (0.50), between
181 10–7 Ma, suggesting that the system was mostly formed during this time, and the Amazon basin
182 was completely established after 7 Ma (1.0). (3) The Lineage Through Time (LTT) model (Fig.
183 S2), based on a lineage-through-time plot for all taxa together, and assumed the rates were low

184 until 17 Ma (0.25) and became intermediate (0.50) where the PMWS existed between 17 and 10
185 Ma, then, for the maximal rates (1.0) in the last 10 Ma to the present, considering the end of the
186 PMWS and that the Amazon basin reached its present form. (4) The “River Model”, where the
187 rates were minimum until 10 Ma (0.25), intermediate from 10 to 7 Ma (0.50) when the Amazon
188 River flowed eastward, and the rates were minimum after 7 Ma (0.25) when the Amazon basin
189 was completed. We used a log-likelihood difference of two units to compare the models
190 (Edwards, 1992).

191

192 The methodology work flow can be found in the supplemental file Figure S3.

193

194 **Congruence between events.** To quantify the congruence, we relied on the approach presented
195 by Cunningham & Collins (1994), who proposed biogeographic congruence, namely,
196 synchronous vicariance or dispersal events that reflect shared historical processes. The use of
197 different taxa reduces the possible bias that a single taxon could exhibit. We obtained the
198 vicariant events associated with the formation of Amazonian rivers for each clade based on the
199 position of the rivers and through the reconstruction of the ancestral distribution and the
200 evaluation of the vicariant barriers based on grids, and therefore, we did not require prior
201 assumptions of areas. We checked whether the same river could be assigned as a vicariant barrier
202 for two or more taxa and if they shared similar divergence times for that event. The congruence
203 was evaluated for each method separately. Finally, we verified whether the two aforementioned
204 methodological approaches showed congruent vicariant events associated with the formation of
205 rivers.

206

207 Data and R-scripts implemented in this research are available at:

208 <https://github.com/karen9/Amazonia>

209

210 Results

211

212 **Taxa and calibration points.** The dataset was composed of six phylogenies representing four
213 different taxonomic groups (Table 1). For most of the taxa analyzed in this study, there are not
214 biogeographic studies evaluating the role of rivers as speciation barriers. However, for Cebidae,
215 there are analyses regarding the Madeira (Buckner et al., 2015), Negro, Branco, Tapajos, Xingu
216 and Tocantins rivers (Alfaro et al., 2015; Boubli et al., 2015). For mammals, we found fossil
217 information for the ingroup, while for the remaining groups, we used the fossils that were
218 available for the outgroup (Table S2).

219

220 **Molecular clock.** The strict molecular clock model was rejected for all genes (Table S3), except
221 for the ATP7A and COI for Stenodermatinae (log-difference of three to five, Kass & Raftery,
222 1995). We verified the individual topologies of each of the two genes to assess the overall impact
223 of these genes, which fit a strict model based on the total evidence topology. We found that they
224 produced unresolved topologies, therefore COI did not present common nodes and ATP7A
225 presented 2% common nodes with the total evidence topology. Nonetheless, removing the
226 ATP7A and COI genes was not useful, as the removal resulted in a less resolved topology (50%
227 of common nodes) with lower Posterior Probabilities (hereafter, PP).

228

229 **Prior and posterior estimates.** The exponential and log-normal distributions generated the same
230 topology, but differed in branch lengths. All calibration points for each phylogeny fit best to a
231 log-normal distribution, showing the narrowest 95% CIs without divergence date estimates (Fig.
232 2). Furthermore, for the majority of the calibration points were overlap between the prior and the
233 posterior distributions. For 16 calibration points, the prior overlapped with the posterior about
234 80–100%, and for nine of these 16 calibration points, the posterior was narrower (Fig. 3).

235

236 The only exception in which the prior and the posterior did not overlap was *Pampamy emmonsae*
237 Verzi, Vucetich & Montalvo, 1995 (Echimyidae), where the posterior distribution was older with
238 a difference of 3 Ma (media: 17.58, CI-95%: 21.58 – 14.09 Ma. Fig. 3). The absence of this
239 fossil in the analysis led to a wider 95% CI (median: 18.84, CI-95%:23.35 – 14.68 Ma) than the
240 analysis including it, with a difference of ~1 Ma. While, the PP did not change between both
241 analyses (100%). On the other hand, the incorporation of the new fossil record in the *Cebuella*
242 Gray, 1870 lineage (Cebidae) led to a narrower 95% CI (10 – 10.9 Ma) and a higher PP (100%)
243 than the analyses without this calibration point (CI-95%: 8.7 – 4.5 Ma, and PP: 73%).

244

245 Both, the posterior age means and the CIs fit best to a straight line under the exponential fossil
246 prior distribution (gray, Fig. 2), and led to older ages and a larger 95% CIs than the log-normal
247 distribution (red, Fig. 2). The taxa with the oldest ages and the widest CIs (lower precision) in
248 decreasing order were *Melipona*, Cracidae and *Rhinella*. While the taxon with most recent ages
249 and highest precision was Cebidae (Fig. 3).

250

251 Finally, the analyses using only priors resulted in unresolved topologies (results not shown),
252 although monophyly was enforced on the calibrated nodes (nodes constrained by fossil ages).

253

254 **Isolation barriers and ancestral reconstruction.** Dispersal is the main driver of most cases of
255 speciation (Fig. 4), and these events are concordant with dates proposed by another analysis
256 (Ribas et al., 2011). We obtained 55 isolation barriers for all analysed clades (264), which were
257 mostly concentrated in Echimyidae and *Melipona*. Seven of these 55 isolation barriers were
258 associated with some of the Amazonian rivers (Tocantins, Madeira, Amazonas, Marañón and
259 Ucayali, Fig. 5). However, only two of these nine barriers were congruent among different taxa
260 and matched the Tocantins (10.85–9.75 Ma) and Madeira (10.40–8.27 Ma) rivers. On the other
261 hand, the reconstruction of the ancestral distribution only reported one vicariant event associated
262 with the Negro river (Table S4). But, this vicariant barrier was not congruent between the taxa or
263 methodological approaches (VIP and BioGeoBEARS).

264

265 Although all taxa fit stratified models, four were better fitted to more than one stratified model
266 with a log-likelihood difference of 0.1–0.77 (Table S5). Otherwise, Cracidae and
267 Stenodermatinae only fit the “Young Amazon” model. For *Rhinella*, *Melipona*, Echimyidae and
268 Cebidae, the first dispersal to the Amazon basin occurred from the Atlantic Forest to some areas
269 of Western Amazonia (Napo, Marañón and Ucayali) in the range of 16.2–10.4 Ma, and all taxa
270 covered most of the areas of the Amazon basin from 12.2–6.2 Ma (Fig. 1D; Fig. 4).

271

272 Nevertheless, for Cebidae and Echimyidae, the first dispersal involved all areas of the Amazon
273 basin (Fig. 4), then experienced a contraction of the ancestral range, but there was no congruence
in the temporal range in which these events took place (Fig. 4). Both taxa experienced expansion

274 to some areas of Western Amazonia (Ucayali and Napo) following a contraction of the ancestral
275 range to the Atlantic Forest and the Andes, respectively. For the remaining two taxa, Cracidae
276 and Stenodermatinae, the first dispersal to the Amazon basin occurred from Central America and
277 the Andes, respectively (Fig. 4), in different temporal ranges for each taxon and out of the
278 suggested ranges for the taxa mentioned above (CI-95%: 3.3 – 0.99 Ma, and 7 – 3 Ma,
279 respectively).

280

281 Discussion

282

283 **Historical Amazon.** We found that species dispersal agrees with a progressively developing
284 Amazon drainage system from 16.2–10.4 Ma, the first dispersal to Western Amazonia, similar to
285 other studied groups (Sánchez-Herrera et al., 2020). This pattern recreates a new scenario that
286 suggests that the PMWS disappeared or was reduced for that time, allowing colonization of
287 these areas. We agree with Shephard et al., (2010), who suggested that the PMWS was greatly
288 reduced in the middle-late Miocene. Hence, we propose that the biota follow the development of
289 the Amazon drainage basin, which, given the data, likely reached its shape and size from 12.2–
290 6.2 Ma (Fig. 1), when the taxa extended their distributions to Eastern Amazonia. In general, the
291 temporal range proposed here agrees with the “Old Amazon” hypothesis, but we might consider
292 a wider temporal range than those proposed by Hoorn et al., (2010) and Hoorn et al., (2017).

293

294 Although both Cracidae and Stenodermatinae fit a stratified model of the “Young Amazon”
295 hypothesis where the dispersal rates are maximum after 2.5 Ma, the reconstruction of the
296 ancestral range indicates a different scenario in which the first dispersal to the Amazon basin
297 occurred before 2.5 Ma, and occurred at different ranges for each taxon. However, we cannot
298 discard the possibility that after the first dispersal to the basin, the number of dispersal events
299 could have increased in response to the complete establishment of this fluvial system.

300

301 The temporal range proposed here for the formation of the Amazon fluvial system agrees with
302 the geological process sequence that occurred during that time. Hoorn et al., (1995, 2010)
303 suggested that the formation of the Amazon River can be attributed to the Andean uplift, and our
304 findings are consistent with stratigraphic studies regarding the emergence of the Eastern
305 Cordillera of the Colombian Andes (Mora et al., 2008), and with paleobotanic observations,
306 which suggest that elevations of the Eastern Colombian Andes were homogeneous between the
307 early and middle Miocene and Pliocene (Gregory-Wodzicki, 2000), as well as with studies
308 regarding the sedimentation rates of the Foz do Amazonas, which highly increased since the
309 mid-Miocene (Figueiredo et al., 2009, 2010; Hoorn et al., 2017). On the other hand, our results
310 are also incongruent with other geological events, such as the date of activation of the Vaupes
311 arch in the Miocene (Olivares et al., 2013) and the subsidence of the Purus arch that allowed the
312 Amazon to flow to the east. Although in the present study we cannot establish the exact range of
313 its subsidence, we can say that it might be assigned to the mid-Miocene, which contrasts with
314 other proposals (e.g. Nogueira, Silveira & Guimarães, 2013). The geological events together
315 have been broadly accepted as the main causes of the disappearance of the PMWS.
316 Notwithstanding, our data and analyses do not allow us to establish the complete temporal range
317 in which the system existed.

318

319 Our results are not affected by the number of time slices and different probabilities of dispersal
320 in the range-inheritance scenarios (Ree & Smith, 2008), and consequently, we cannot establish
321 the temporal ranges in which there is a change in the dispersal rates according to the geological
322 ranges proposed for the two aforementioned hypothesis. Moreover, using the biogeographical
323 approach we demonstrated that the large Amazonian rivers can limit species distribution ranges
324 (Moraes et al., 2016; Oliveira, Vasconcelos & Santos, 2017; Godinho & Da Silva, 2018), and the
325 dates proposed here differ from Ribas et al., (2011) and Alfaro et al., (2015) —which suggested
326 that the Tocantins river was formed between 0.8–0.3— and Buckner et al., (2015) —which
327 suggested that the Madeira river was formed around 5 Ma—. It is worth noting that the methods
328 used in this study to identify rivers as vicariant barriers are based on different algorithms, and
329 therefore, different results could be expected, also, that the rivers dates proposed here are just
330 estimations and many rivers changed during time. The main differences between our findings
331 and those of other authors who proposed recent dates for rivers, are the type of analyses and the
332 implemented data. Some studies have incorporated populations and species (Ribas et al., 2011;
333 Buckner et al., 2015), while some only took into account mitochondrial genes (Alfaro et al.,
334 2015) or secondary calibrations (Alfaro et al., 2015; Buckner et al., 2015) and did not carry out
335 and exploration of priors.

336
337 **Ages estimates.** The chosen priors are reasonable for modeling our data as there is a
338 considerable overlap between the prior and the posterior density functions, with the posterior
339 more concentrated than the prior (Fig. 3, Nascimento et al., 2017), but priors only do not
340 determine the results. The infinite-sites plot (Fig. 2) suggests that the uncertainty in the posterior
341 age estimates is mainly due to limited molecular data (Yang & Rannala, 2006) and is not due to
342 the fossil points used, as is reflected in the regression value, which is low in most cases (with the
343 exception of Cracidae).

344
345 We cannot rule out the possibility that both an increased density of taxon sampling at genome
346 scale and fossil sampling could improve age estimates (Yang & Rannala, 2006). We noted that
347 incorporation of fossils for the clade in which posterior is older and out of the prior (e.g.
348 *Pampamys emmonsae*) led to more precise age estimation (Foote et al., 1999; Smith & Peterson,
349 2002; Sytsma, Spalink & Berger, 2014).

350
351 The molecular clock model used here does not incorporate uneven fossil sampling (Drummond
352 et al., 2012; Zhang et al., 2016), which could have impacted the posterior age estimates. Thus,
353 the ancient and less precise ages produced in the present study could be due to a lack of internal
354 node constraints, which leads to ancient ages (Bibi, 2013; Arcila et al., 2015). This could be
355 highlighted by the fact that the taxon with most fossils (Cebidae) presents more precise date
356 estimates for the entire dataset, and the amount of uncertainty added in the posterior CI is lower
357 (Fig. 2). On the other hand, in contrast with Heads (2012), our results show that the use of an
358 exponential distribution for the calibration points generates older and less precise posterior
359 estimates (Fig. 2), as was previously suggested (Heath, 2012; Sauquet et al., 2012; Arcila et al.,
360 2015).

361
362 **Conclusions**

363

364 Our results provide support for the “Old Amazon” hypothesis as well as for a middle-late
365 Miocene time origin for the Amazon drainage system. We only obtained evidence for the date of
366 formation of two rivers (Tocantins and Madeira), although there might be other rivers acting as
367 biogeographical barriers. For the temporal range studied here, the rivers did not structure the
368 Amazonian biota. It is likely that there are other physical factors involved in Amazonian biota
369 evolution, emphasizing the complexity and dynamics of the Amazonian system. It is, therefore,
370 necessary to consider this issue with different tools with multiple sources of data. Furthermore,
371 our analyses highlight the importance of including numerous fossil calibration points distributed
372 throughout the phylogeny and an exploration of priors, resulting in more precise age estimates.
373

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382

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Figure 1

Figure 1. The biogeographical pattern for formation of the Amazon basin.

Maps are modified from Hoorn et al. (2010). Dates for the closure of the Panama isthmus according to Bacon et al. (2015), Caribbean islands according to Ali (2012). The numbers in the bottom right correspond to Andean uplift according to Hoorn et al. (2010). The panels (A) and (B) correspond to the temporal events proposed by Hoorn et al. (2017) for the transcontinental rivers and the establishment of the Amazon fluvial system respectively. The panels (C) and (D) present the temporal events proposed in the present study, in which the first dispersals occur to Western Amazonia (Napo, Marañón, and Ucayali) and the expansion of the distributional range from Western Amazonia to Eastern Amazonia. Area labels as follows: Guiana (G), Imeri (I), Rondonia (R), Tapajos (T), Belem (B), Mata Atlantica (F), Xingu (X), Napo (N), Marañón (M), Ucayali (U), Jurua (J), Purus (P), Araguaia (A), Andes (D), Y area = Araguaia+Xingu+Tocantins+Rondonia.

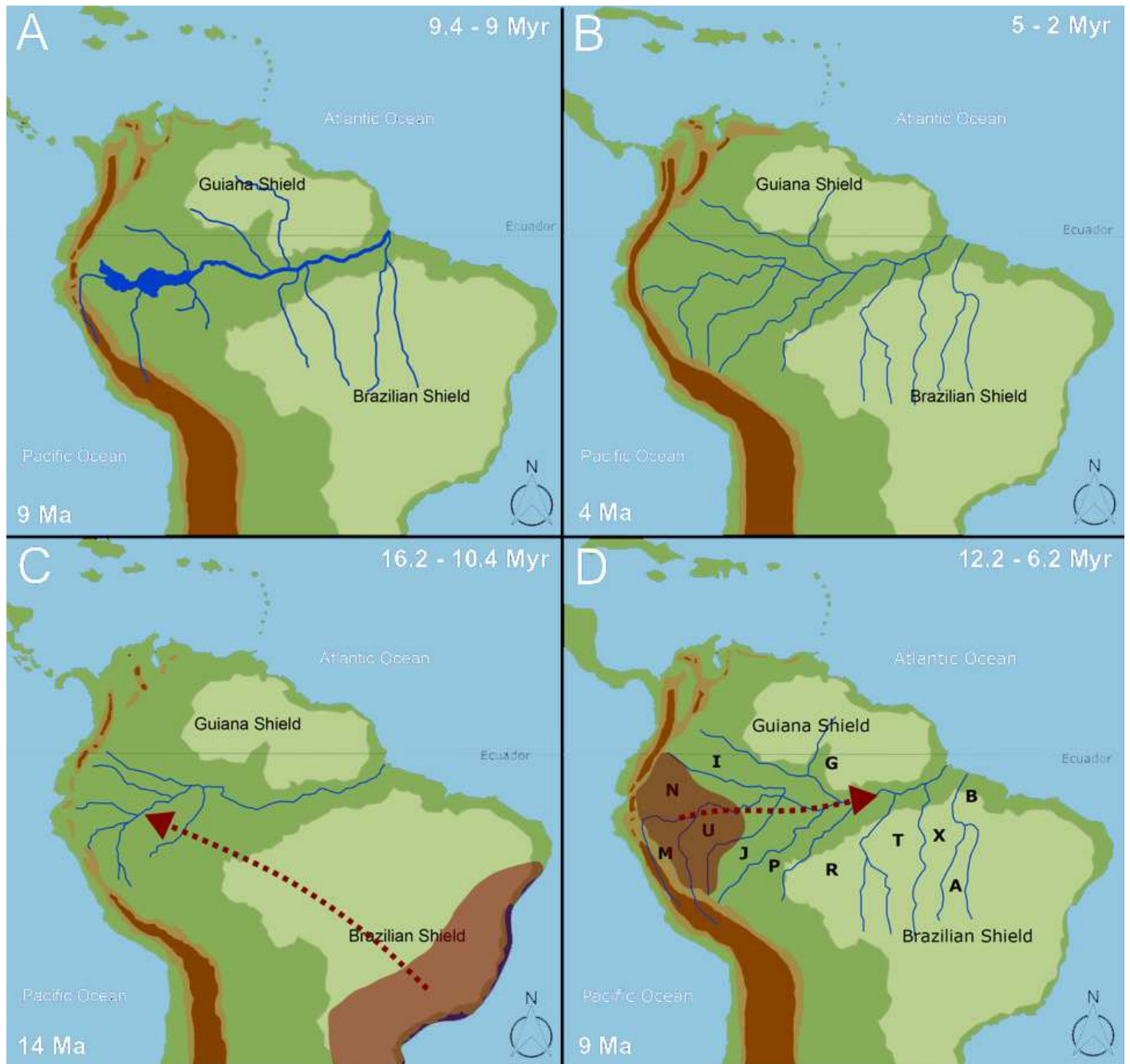


Figure 2

Figure 2. Infinite-sites plot for all taxa under lognormal and exponential distributions.

The x-axis is the posterior means of each node age, and the y-axis is the 95% posterior confidence interval (CI) width values. The slope (w) is a measure of fossil precision and represents the direct relationship between divergence time and uncertainty in the posterior CI (Yang & Rannala 2006).

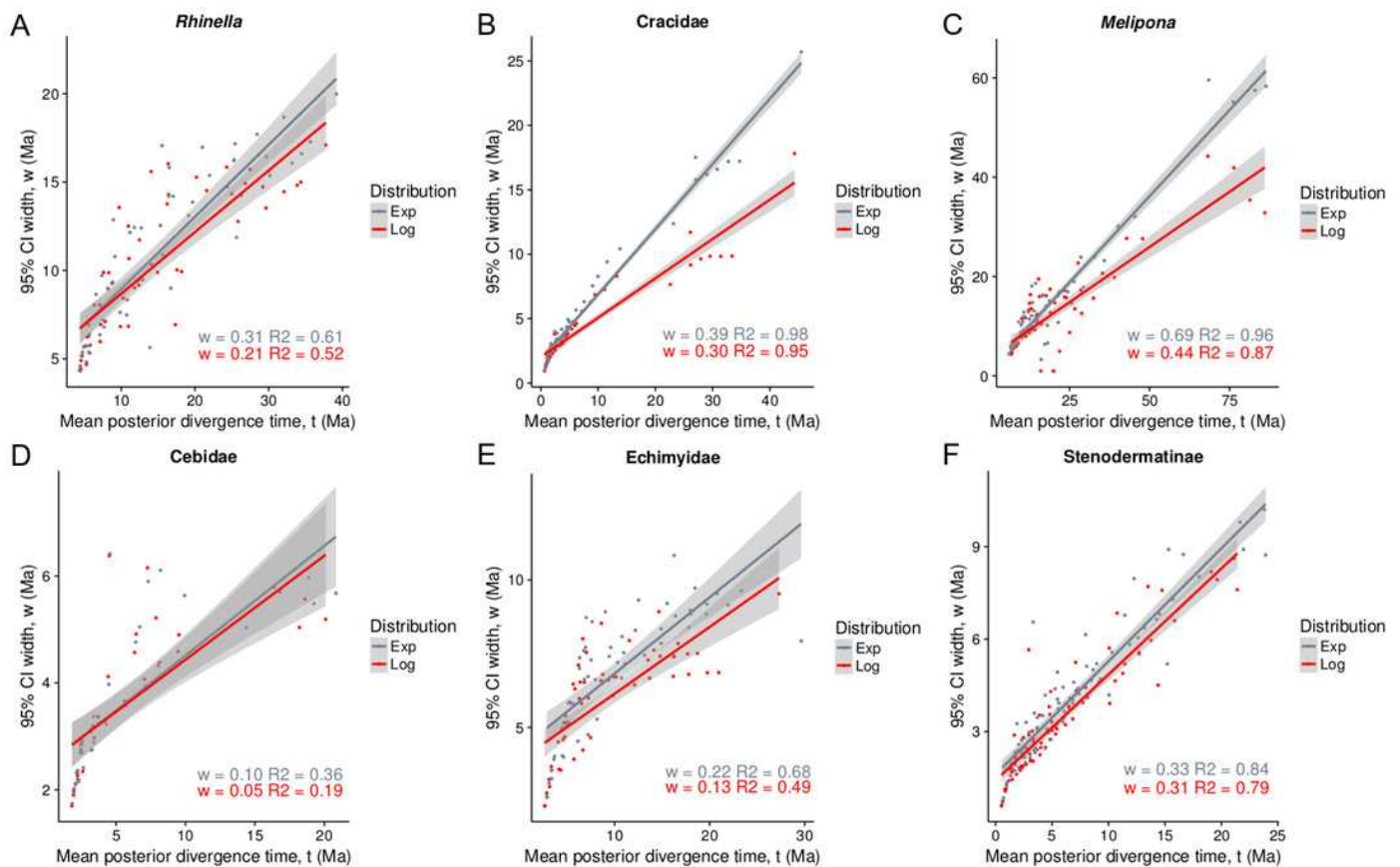


Figure 3

Figure 3. Prior age distributions and posterior age estimation for all the fossils used.

Red bars indicate the 95% posterior Confidence Interval (CI) under a lognormal distribution, and the points represent the posterior median values. Blue bars correspond to the temporal range for each prior.

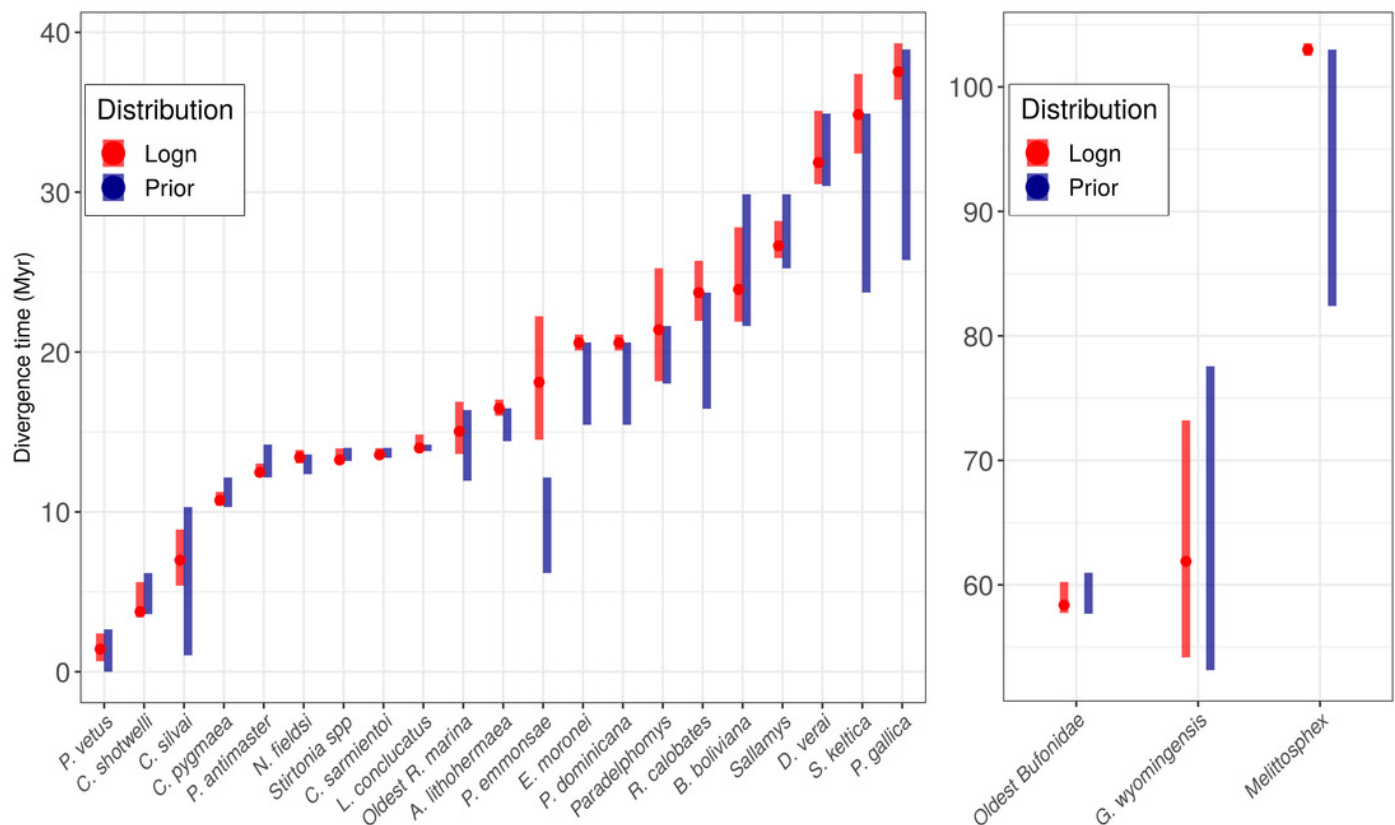


Figure 4

Figure 4. Ancestral Range Reconstruction under the model with the smallest likelihood value.

Melipona (A), *Echimyidae* (B), *Rhinella* (C), *Cracidae* (D), *Stenodermatinae* (E), and *Cebidae* (F). For *Cracidae*, *Stenodermatinae*, and *Echimyidae* the model with intermediate dispersal rates between 11.8–2.5 Ma (Million years ago) and maximal after 2.5 Ma, and for the rest of the taxa the non-stratified model. Area Y* = Araguaia+Xingu+Tocantins+Rondonia.

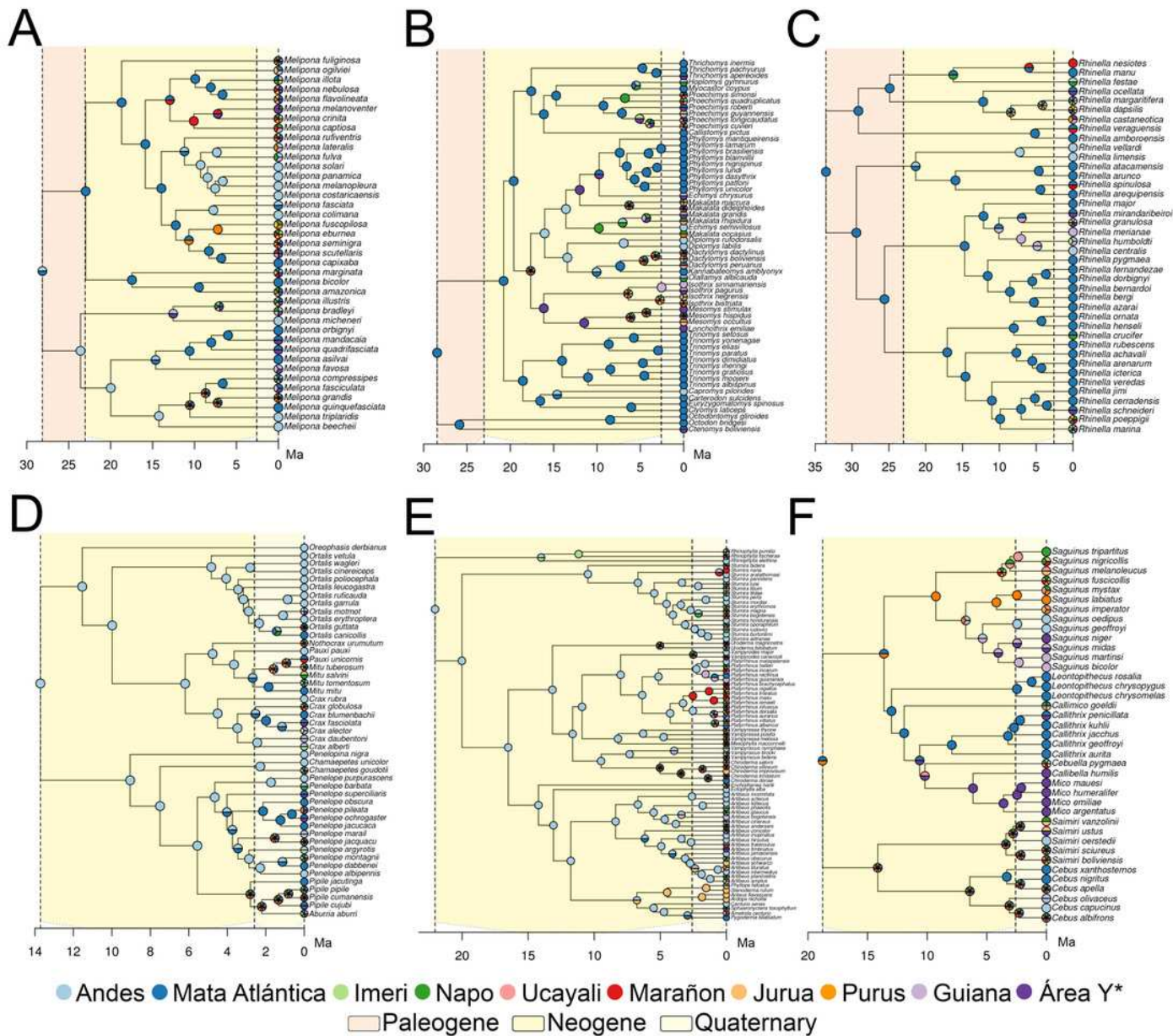


Figure 5

Figure 5. Rivers found as biogeographical barriers for each node, as determined under Hovenkamp's context (1997, 2001).

Rhinella-Ucayali (A), *Melipona-Madeira* (B), *Echimyidae-Tocantins* (C), *Rhinella-Marañon* (D), *Cebidae-Madeira* (E), and *Cracidae-Amazonas* (F).

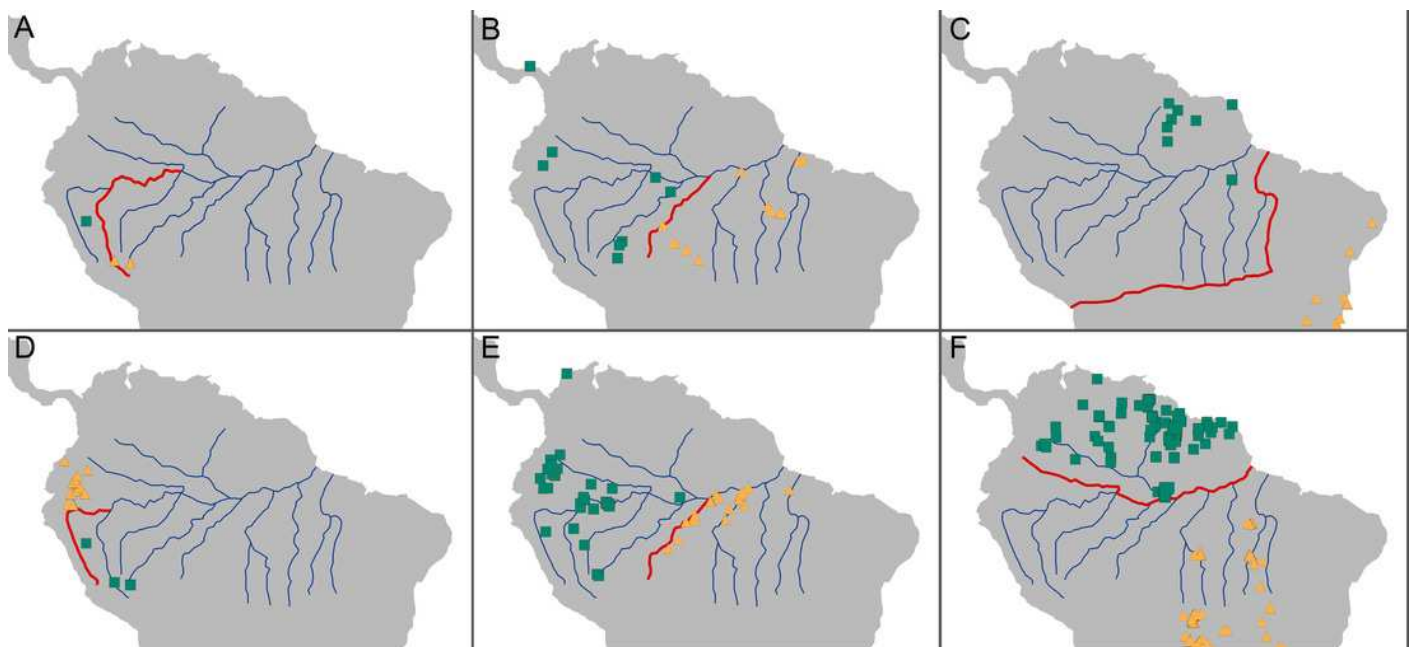


Table 1 (on next page)

Table 1. Groups used in the phylogenetic reconstructions.

The number of species used for each group, the percentage of those species that occurs in the Amazon basin and the calibration points used.

- 1 **Table 1. Groups used in the phylogenetic reconstructions.**
 2 The number of species used for each group, the percentage of those species that occurs in the
 3 Amazon basin and the calibration points used.

4

	Species in the phylogeny	Distributed in the Amazon basin	Calibration points
<i>Rhinella</i> Fitzinger, 1826	41	37%	2
<i>Melipona</i> Illiger, 1806	39	61%	4
Cracidae Vigors, 1825	47	53%	5
Cebidae Bonaparte, 1831	44	59%	6
Echimyidae Gray, 1825	58	43%	4
Stenodermatinae Gervais, 1856	78	49%	3

5