### Gene polymorphisms in ULK1 and PIK3CA are associated with the risk of microscopic polyangiitis in the Guangxi Zhuang Autonomous Region in China

Yan Zhu<sup>1,2</sup>, Jinlan Rao<sup>1</sup>, jingsi Wei<sup>1</sup>, Liu Liu<sup>1</sup>, Shanshan Huang<sup>1</sup>, Jingjing Lan<sup>1</sup>, Chao Xue<sup>Corresp.,1</sup>, Wei Li<sup>Corresp.,1</sup>

<sup>1</sup> The Second Affiliated Hospital of Guangxi Medical University, Department of Nephrology, Nanning, Guangxi, 53000, China

<sup>2</sup> The First Affiliated Hospital, Department of Nephrology, Hengyang Medical School, University of South China, Hengyang, Hunan, 421001, China

Corresponding Authors: Chao Xue, Wei Li Email address: xccqh@126.com, liwei030514@126.com

**Background.** Microscopic polyangiitis (MPA) is a systemic autoimmune disease characterized by inflammation of small- and medium-sized blood vessels. Autophagy-related protein polymorphisms are involved in autoimmune disease. The aim of this study was to evaluate the effects of single-nucleotide polymorphisms (SNPs) in the *ULK1* and *PIK3CA* genes on the risk of MPA.

**Method:** A total of 208 patients with MPA and 211 controls in the Guangxi Zhuang Autonomous Region were recruited and analyzed. The SNPs selected were detected by polymerase chain reaction and high-throughput sequencing. The differences in allele and genotype frequency, various genetic models, and stratification analyses were evaluated, haplotype evaluation was performed after linkage disequilibrium analysis, and the interaction between gene alleles was analyzed.

**Result:** A statistically significant difference was detected in the genotypic distribution of two SNPs between the two groups: *ULK1* rs4964879 (p = 0.019) and *PlK3CA* rs1607237 (p = 0.002). The results of the genetic models revealed that *ULK1* rs4964879 and rs9481 are statistically significantly associated with an increased risk of MPA, whereas *PlK3CA* rs1607237 is associated with a reduced risk. The association between SNPs and MPA risk is affected by age, sex, and ethnicity. The *ULK1* haplotype (G-T-A-C-G-A) and *PlK3CA* haplotype (T-G) are associated with a reduced risk of MPA, while the *PlK3CA* haplotype (C-G) is associated with an increased risk.

**Conclusion:** In this study, polymorphisms in the autophagy-related genes *ULK1* and *PIK3CA* and their association with MPA were examined. The results showed that the polymorphisms in *ULK1* (rs4964879 and rs9481) and *PIK3CA* (rs1607237) were significantly associated with MPA risk in the Guangxi population. However, the molecular mechanisms are still unclear; basic science research and studies with larger samples are needed to confirm our conclusions and explore the underlying mechanisms.

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#### 37 Abstract

- **38** Background. Microscopic polyangiitis (MPA) is a systemic autoimmune disease characterized by
- 39 inflammation of small- and medium-sized blood vessels. Autophagy-related protein polymorphisms are
- 40 involved in autoimmune disease. The aim of this study was to evaluate the effects of single-nucleotide
- 41 polymorphisms (SNPs) in the *ULK1* and *PIK3CA* genes on the risk of MPA.
- 42 Method: A total of 208 patients with MPA and 211 controls in the Guangxi Zhuang Autonomous Region
- 43 were recruited and analyzed. The SNPs selected were detected by polymerase chain reaction and high-
- 44 throughput sequencing. The differences in allele and genotype frequency, various genetic models, and
- 45 stratification analyses were evaluated, haplotype evaluation was performed after linkage disequilibrium
- 46 analysis, and the interaction between gene alleles was analyzed.
- 47 Result: A statistically significant difference was detected in the genotypic distribution of two SNPs
- 48 between the two groups: ULK1 rs4964879 (p = 0.019) and PIK3CA rs1607237 (p = 0.002). The results of
- 49 the genetic models revealed that *ULK1* rs4964879 and rs9481 are statistically significantly associated
- 50 with an increased risk of MPA, whereas *PIK3CA* rs1607237 is associated with a reduced risk. The
- 51 association between SNPs and MPA risk is affected by age, sex, and ethnicity. The ULK1 haplotype (G-
- 52 T-A-C-G-A) and *PIK3CA* haplotype (T-G) are associated with a reduced risk of MPA, while the *PIK3CA*
- 53 haplotype (C-G) is associated with an increased risk.
- 54 Conclusion: In this study, polymorphisms in the autophagy-related genes *ULK1* and *PIK3CA* and their
- association with MPA were examined. The results showed that the polymorphisms in ULK1 (rs4964879
- and rs9481) and *PIK3CA* (rs1607237) were significantly associated with MPA risk in the Guangxi
- 57 population. However, the molecular mechanisms are still unclear; basic science research and studies with
- 58 larger samples are needed to confirm our conclusions and explore the underlying mechanisms.
- 59 60

### 61 Introduction

62 Antineutrophil cytoplasmic antibody (ANCA)-associated vasculitis (AAV) is a group of autoimmune diseases characterized by the inflammation of small- and medium-sized blood vessels. AAV 63 64 is divided into granulomatosis with polyangiitis (GPA), microscopic polyangiitis (MPA), and eosinophilic 65 GPA (EGPA). Each clinical phenotype is associated with the presence of circulating ANCAs, mainly 66 proteinase-3 (PR3) and myeloperoxidase (MPO) (Ramponi et al. 2021). AAV is more common in males 67 than females, and its incidence rate increases with age, especially in the range of 60–70 years (Geetha & 68 Jefferson 2020). Additionally, a notable geographic preponderance of MPA rather than GPA and EGPA is 69 observed in China (Chang et al. 2019).

70 The precise mechanism of AAV remains unestablished, but a genome-wide association study 71 (GWAS) identified the genetic component in the development of this autoimmune disease. A GWAS 72 conducted in a North American cohort demonstrated that GPA is significantly associated with single-73 nucleotide polymorphisms (SNPs) in the HLA region encoding major histocompatibility complex (MHC) 74 Class II (Xie et al. 2013). A European study showed that the genetic association with AAV is antigen 75 specificity. PR3-ANCA is associated with HLA-DP, which encodes SERPINA1 and PRTN3, while 76 MPO-ANCA is associated with HLA-DQ (Lyons et al. 2012). Consistent with previous studies, a new 77 large GWAS revealed that MHC and non-MHC gene variates are related to GPA/MPA susceptibility, and 78 changing the expression of genes and proteins is associated with the immune response (Merkel et al. 79 2017).

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80 Autophagy is an essential metabolic process in eukaryotic cells, and autophagy-related proteins are 81 involved in various pathologies, including disorders of immune regulation, inflammation, and cancer (Wu 82 & Adamopoulos 2017). The PI3K/AKT/mTOR/ULK1 signaling pathway is one of the main regulators of 83 autophagy. Uncoordinated 51-like kinase 1 (ULK1) is a serine/threonine kinase that plays a key role in 84 the formation of the ULK1 complex. The human ULK1 complex can induce the initiation of the 85 autophagy pathway and maturation of autophagosomes (Lin & Hurley 2016). PIK3CA encodes the p110 $\alpha$ 86 catalytic subunit of phosphatidylinositol 3-kinase (PI3K), which can inactivate mTOR through the 87 PIK3CA/AKT signaling pathway and lead to autophagy (Qu et al. 2016). PIK3CA and ULK1 are the core 88 components of this signaling pathway, and their mutations may alter the autophagy response and cause a 89 change in the incidence of disease risk (Morgan et al. 2012; Qu et al. 2016; Zhang & Zhou 2019; Zhang et 90 al. 2017).

91 Numerous studies have revealed that autoimmune diseases may share susceptibility genes. ULK1 has 92 been shown to be associated with ankylosing spondylitis (Zhang et al. 2017) and Crohn's disease (Morgan et al. 2012). The cooccurrence of systemic lupus erythematosus (SLE) and AAV reported in 93 94 cases suggests that these two diseases may have shared genetic factors, especially in MPO-ANCA-95 positive AAV (Hervier et al. 2012). Emerging evidence shows that autophagy-related gene 96 polymorphisms, such as mTOR (Saravani et al. 2020) and ATG5 (López et al. 2013), participate in SLE. 97 However, as an autoimmune disease, the role of autophagy-related gene mutations in AAV has not yet 98 been reported. Considering that MPA is the most common clinical subtype of AAV in China, we focused 99 on whether gene polymorphisms of ULK1 and PIK3CA play a role in susceptibility to MPA. In the 100 present study, SNP loci with a minor allele frequency (MAF) >5% in the functional region of the ULK1 101 and PIK3CA genes were selected, and the association between these two gene polymorphisms and 102 susceptibility to MPA was explored in a group of patients with MPA and a healthy control group from the 103 Guangxi Zhuang Autonomous Region in China.

104

#### 105 Materials & Methods

#### 106 Study population

107 A total of 208 eligible patients with MPA were recruited from September 2009 to April 2020 in the 108 Department of Nephrology of the Second Affiliated Hospital of Guangxi Medical University (formerly 109 Western Hospital of the First Affiliated Hospital of Guangxi Medical University). The inclusion criteria 110 were as follows: (i) all cases were classified and evaluated as MPA according to the 2012 Revised 111 International Chapel Hill Consensus Conference Nomenclature of Vasculitis (Jennette et al. 2013), (ii) 112 age  $\geq 18$  years, and (iii) all patients were born in the Guangxi Zhuang Autonomous Region and had no 113 blood relationship. Patients with secondary vasculitis, other autoimmune diseases, chronic disease and 114 malignant tumors were excluded. A total of 211 healthy volunteers matching the MPA group with respect 115 to age and sex were enrolled as the control group.

116 The basic clinical information of the patients with MPA and the healthy controls is presented in 117 Table 1. The age range at presentation was 18-82 years, with a mean age of  $54.6 \pm 14.9$  years, of which 114 cases were < 60 years, and 62.5% were female. The MPA group had 131 Han and 75 Zhuang 118 119 nationality populations. The mean BVAS at diagnosis was  $16.8 \pm 4.43$ . In this study, 36 biopsy specimens 120 (40.4%) were classified as focal, 9 (10.1%) as crescentic, 20 (22.5%) as mixed, and 24 (27%) as sclerotic. 121 Tubulointerstitial injury was graded as follows: 37 (41.6%) had a score of 1, 41 (46.1%) had a score of 2, 122 and 11 (12.4%) had a score of 3. The control group (mean age  $51.2 \pm 12.6$  years) consisted of 128 females 123 and 155 Han nationality populations. This study was approved by the Ethics Committee of the Second 124 Affiliated Hospital of Guangxi Medical University (No. 2018 KY-0100) and followed the principles of

- the Helsinki Declaration. Written informed consent was obtained from all participants.
- 126

### 127 DNA isolation

Blood (5 ml) was collected from the ulnar vein of each participant. Total genomic DNA was extracted from peripheral blood samples using a blood DNA extraction kit (Tiangen, Beijing, China) according to the manufacturer's instructions, and the quality was checked by a Nanodrop 2000 spectrophotometer (Thermo Scientific). Samples with an A260/A280 ratio of 1.7–1.9 were included in the study, and the isolated DNA was stored at -80 °C for further studies.

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### 134 Tag SNP selection

Six SNPs of the *ULK1* gene (rs10902469, rs12303764, rs4964879, rs7300908, rs7138581 and rs9481) and two SNPs of the *PIK3CA* gene (rs1607237 and rs9838117) were selected from genotype data of Chinese people in the 1000 Genomes (http://grch37.ensembl.org/). The selection criteria included the following: 1) sites located in the functional region, 2) previously reported associations with autoimmune or inflammatory diseases, 3) select tag SNPs as determined using HaploReg, and 4) MAF  $\geq$ 0.05.

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### 141 SNP genotyping assay

SNPs of the *ULK1* and *PIK3CA* genes were detected by polymerase chain reaction (PCR) and highthroughput sequencing (Sangon Biotech, Shanghai, China). The PCR amplification conditions were settled by the two-step method. HiSeq XTen sequencers (Illumina, San Diego, CA, USA) were used to perform paired-end sequencing of the library, and the data were analyzed using Samtools 0.1.18 software. Approximately 10% of the randomly selected samples were sequenced by Sangon Biotechnology Company (Shanghai, China) to verify the accuracy of genotyping, and the reproducibility rate of all SNP genotyping was 100%.

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#### 150 Statistical analysis

151 The genotypic and allelic frequencies in the MPA group and the control group were evaluated by the chi-square test or Fisher's exact test. Hardy-Weinberg equilibrium (HWE) in the control participants was 152 153 tested using the chi-square test for each SNP. Genetic models and stratification analyses with odds ratios 154 (ORs) and 95% confidence intervals (CIs) were analyzed to estimate the relationship between genetic 155 variation and the risk of MPA through online SNPstats software (https://www.snpstats.net/start.htm) 156 adjusted by age and sex. Pairwise linkage disequilibrium (LD) and haplotype blocks as measured by D' 157 were evaluated by online software (SHEsis) ((Shi & He 2005). The interactions between SNPs of the ULK1 gene and PIK3CA gene were evaluated using generalized multifactor dimensionality reduction 158 159 (GMDR). SPSS Statistics version 23.0 (IBM, Armonk, NY, USA) was used to analyze the data, and 160 p < 0.05 was considered statistically significant.

161

#### 162 **Results**

#### 163 Association of gene polymorphisms with MPA susceptibility

164 The genotyping results for quality control ranged from 97.18% to 99.76%. Detailed information on 165 all SNPs is provided in Table 2 (SNP IDs, locations and allele frequencies). In the selected SNPs, all

166 ANPs had a MAF of >5%, and the genotype distribution in the control group was in HWE (p > 0.05).

167 According to the single-SNP analyses, the allele frequencies of *PIK3CA* rs1607237 (C>T) were 168 significantly different between the MPA group and the control group (p = 0.011).

169 The association between the SNPs and the risk of MPA was identified by genetic models 170 (codominant, dominant, recessive, and overdominant) and genotype frequencies (Table 3). The results 171 adjusted by age and sex showed that rs4964879 in the ULK1 gene significantly increased the risk of MPA 172 with the GA genotype in the codominant model (GA versus AA, OR = 1.76, 95% CI: 1.15–2.70, and p =173 0.03), the dominant model (GA/GG versus AA, OR = 1.60, 95% CI: 1.07–2.40, and p = 0.022) and 174 overdominant model (GA versus AA/GG, OR = 1.68, 95% CI: 1.13–2.49, and p = 0.0096). The risk of MPA in the ULK1 gene rs9481 was 1.77 times that in healthy controls in the recessive model (GG versus 175 176 AA/AG, 95% CI: 1.06–2.94, and p = 0.027). The mutations of rs1607237 in the *PIK3CA* gene had a 177 lower incidence of MPA with the CT genotype in the codominant model (CT versus CC, OR = 0.47, 95%178 CI: 0.30–0.73, and p = 0.0039), the dominant model (CT/TT versus CC, OR = 0.55, 95% CI: 0.37–0.82, and p = 0.0031), and the overdominant model (CT versus CC/TT, OR = 0.49, 95% CI: 0.32–0.76, and p =179 (0.0013). No significant difference was observed for the other gene loci between the cases and controls (p 180 181 > 0.05).

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#### 183 Linkage disequilibrium analysis

184 Figure 1 shows the pattern of pairwise LD with respect to the analyzed SNPs of two genes in the 185 current study. The LD plot indicated that the ULK1 rs10902469, rs12303764, rs4964879, rs7300908, 186 rs7138581, and rs9481 loci formed six haplotypes (Table 4). Haplotype G-T-A-C-G-A was the most 187 commonly observed haplotype in the cases (49.2%) and in the healthy controls (56.1%) and was 188 associated with a reduced risk of MPA (OR = 0.749, 95% CI: 0.563-0.997, p = 0.047). Other haplotypes 189 did not exhibit an association with MPA. The SNP loci of the PIK3CA genes rs1607237 and rs9838117 190 also formed three haplotypes (Table 4). The results showed that the C-G haplotype was the most 191 commonly observed haplotype in the cases (73.3%) and in the healthy controls (66.7%) and was 192 associated with an increased risk of MPA (OR = 1.427, 95% CI: 1.050–1.939, and p = 0.023). The T-G 193 haplotype was significantly associated with a reduced risk of MPA (OR = 0.520, 95% CI: 0.339–0.799, 194 and p = 0.0025).

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#### 196 Stratification analysis based on age, sex and ethnicity

197 The analysis results showed that age, sex, and ethnicity significantly affected the association 198 between ULK1 and PIK3CA SNPs and MPA risk. The mutations of ULK1 rs4964879 (with GA genotype 199 in the overdominant model, OR = 1.65, 95% CI: 1.01–2.69, and p = 0.046) and rs9481 (with GG 200 genotype in the recessive model, OR = 1.88, 95% CI: 1.01–3.51, and p = 0.047) were associated with a higher incidence of MPA in the population aged < 60 years; *PIK3CA* rs1607237 was associated with a 201 202 decreased MPA risk under the codominant model (CT versus CC, OR = 0.23, 95% CI: 0.10–0.53, and p < 0.001), the dominant model (CT/TT versus CC, OR = 0.41, 95% CI: 0.20–0.85, and p = 0.016), and 203 204 the overdominant model (CT versus CC/TT, OR = 0.21, 95% CI: 0.09–0.49, and p < 0.001) in the 205 population  $\geq 60$  years (Table 5). The results were adjusted by sex.

The results also showed that sex significantly affected the association between SNPs and MPA risk (Table 6). *ULK1* rs4964879 in females under the dominant model (GA/GG versus AA, OR = 1.69, 95% CI: 1.02–2.82, and p = 0.042) and the overdominant model (GA versus AA/GG, OR = 1.74, 95% CI: 1.05–2.88, and p = 0.031), which could increase MPA risk. Marginal evidence revealed that rs9481 in females under the regressive model increased MPA risk (p = 0.05). *PIK3CA* rs1607237 was associated with a decreased MPA risk in the population, independent of sex. The results were adjusted by age.

In addition, the results showed that the Han population with the ULK1 rs4964879 mutation had a higher incidence of MPA with the GA genotype under the dominant model (GA/GG versus AA, OR =

214 1.78, 95% CI: 1.09–2.90, and p = 0.02, Table 7) and the overdominant model (GA versus AA/GG, OR =

215 1.70, 95% CI, 1.05–2.74, and p = 0.03); the Han population with *PIK3CA* rs1607237 could significantly 216 decrease MPA risk with the CT genotype in the codominant model (CT versus CC, OR = 0.42, 95% CI:

217 decrease MFA fisk with the CT genotype in the codominant model (CT versus CC, OR = 0.42, 95% CI: 0.29–0.78, and p = 0.0068), the dominant model (CT/TT versus CC, OR = 0.48, 95% CI: 0.29–0.78, and

p = 0.0031) and the overdominant model (CT versus CC/TT, OR = 0.44, 95% CI: 0.26–0.76, p = 0.0026).

- 219 The results were adjusted by sex and age.
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#### 221 Interaction of gene alleles with clinical characteristics

Generalized multifactor dimensionality reduction (GMDR) was used to analyze the interaction between the alleles of the *ULK1* gene (rs10902469, rs12303764, rs4964879, rs7300908, rs7138581, and rs9481) and *PIK3CA* gene (rs1607237 and rs9838117). The interaction showed that rs4964879 and rs1607237 were the best models for MPA prediction (cross-validation consistency: 10/10). The risk of MPA in the "high-risk" combination was 2.27 times that in the "low-risk combination" (Figure 2), but a margin testing *p* value was observed (p = 0.0547).

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#### 229 Discussion

In this study, polymorphisms in the autophagy-related genes *ULK1* and *PIK3CA* and their association with MPA were examined. The results showed that the *ULK1* SNPs rs4964879 and rs9481 were risk factors for MPA, and *PIK3CA* rs1607237 was a protective factor for MPA.

233 Autophagy is a fundamental intracellular biological process of eukaryotic cells that is essential for 234 the activation of innate and adaptive immune responses, including self-antigen presentation, phagocytosis, 235 maintenance of lymphocyte homeostasis, and regulation of cytokine production (Ye et al. 2019). It is well 236 established that the mammalian target of rapamycin (mTOR)/ULK1 pathway is one of the main regulators 237 of autophagy. Inhibition of mTOR results in dephosphorylation of ULK1 and upregulates autophagy, and 238 it is positively modulated through the PI3K/AKT pathway and negatively modulated by adenosine 239 monophosphate-activated protein kinase (Mohamed et al. 2021). Increasing studies have demonstrated 240 that autophagy is involved in the biology of neutrophils, which play a critical role in the acute injury of 241 AAV by releasing proteolytic enzymes via degranulation, producing reactive oxygen species and 242 extruding neutrophil extracellular traps (NETs) (Al-Hussain et al. 2017; Skendros et al. 2018). Li-Li Sha 243 et al. proved that autophagy activity is elevated in neutrophils treated with ANCAs, and the NET 244 formation rate increases or decreases in neutrophils pretreated with an autophagy inducer or inhibitor, respectively (Sha et al. 2016). Sha Tang et al. also demonstrated that NET formation is associated with 245 246 autophagy-related signaling in human neutrophils with AAV (Tang et al. 2015).

In this study, six SNPs (rs10902469, rs12303764, rs4964879, rs7300908, rs7138581, and rs9481) in ULK1 between healthy controls and MPA patients were evaluated. Our initial single SNP analysis detected a significant difference in the genotypic distribution (rs4964879, A > G) between the two groups. Subsequently, rs4964879 (codominant, dominant and overdominant model) and rs9481 (recessive model) of the ULK1 gene were significantly associated with the risk of MPA. In addition, the association between ULK1 gene polymorphisms and MPA risk was influenced by age, sex and ethnicity. Our findings indicated that rs4964879 and rs9481 variations (A>G) in the ULK1 gene may be able to increase
susceptibility to MPA.

255 *ULK1*, which is a serine/threonine protein kinase, plays a central role in initiating autophagy. It has 256 been reported that the knockdown of ULK1 in HEK293 cells is sufficient to inhibit the autophagy response (Chan et al. 2007). Mouse embryonic fibroblasts derived from ULK1<sup>-/-</sup> and ULK2<sup>-/-</sup> mice 257 258 blocked autophagy during amino acid starvation (Cheong et al. 2011). As expected by the role of ULK1 259 kinase in autophagy initiation, genetic variation in ULK1 could result in autophagy disorder. David J. 260 Horne et al. found that ULK1-deficient cells present decreased cytokine secretion and autophagy activity. 261 The study was also the first to report that the rs12297124 minor allele of the ULK1 gene contributes to an 262 80% reduction in latent tuberculosis infection risk in Asian participants (Horne et al. 2016). The ULKI 263 SNPs rs4964879 and rs9481 reported in this study are located in intron and 3'UTR regions, respectively. 264 Although introns are untranslated regions in mRNAs, mutations in introns may affect the binding of transcription factors and change the splicing modes or transcription of the ULK1 gene, ultimately altering 265 the sequence of amino acids (Kawasaki et al. 2018). The 3'UTR plays an important role in mRNA 266 transport, stability and posttranscriptional regulation. Trans-acting factors or microRNAs bind to cis-267 268 acting elements in the 3'UTR of the target transcript and regulate protein synthesis by affecting 269 transcription factors. Sequence variations in mRNA introns or 3'UTR regions in ULK1 may cause 270 abnormal expression of the gene (Zhang et al. 2019). Considering the role of ULK1 in the autophagy 271 pathway, we speculate that ULK1 (rs4964879 and rs9481) variations may lead to abnormal expression of 272 ULK1 and then initiate the autophagy response, eventually increasing the susceptibility to MPA.

273 In the present study, another autophagy-related gene, *PIK3CA* (rs1607237, C>T), showed significant differences in the allele frequency and genotypic distribution between the patients with MPA and the 274 275 healthy controls. A subject with at least one T allele has approximately half the risk for MPA compared 276 with a subject with a CC genotype (TT+CT vs. CC: OR 0.56, 95% CI: 0.37–0.83). Increasing findings 277 confirm that polymorphisms in the PI3K/AKT signaling pathway are related to the regulation of cell 278 proliferation, survival and death. Similar to the results of our study, a case-control study conducted by 279 Xing et al. found that the PIK3CA polymorphism is a defense factor against follicular thyroid cancer 280 (Xing et al. 2012). PIK3CA rs1607237 is also significantly associated with a small decrease in breast 281 cancer risk (Stevens et al. 2011). SNP rs1607237 is in the intron of PIK3CA gene. Although no published 282 literature has reported the feature of PIK3CA rs1607237, given the location of this SNP, we speculate that SNPs may affect the transcription of the PIK3CA gene by interrupting the process of translation and 283 284 splicing. The *PIK3CA* mutation increases the expression of the p110 $\alpha$  catalytic subunit of PI3K and then 285 activates AKT through the PI3K/AKT signaling pathway. As mentioned above, the PI3K/AKT pathway 286 positively regulates the mTOR/ULK1 pathway, and the activation of AKT may decrease the autophagy response. However, further research will be needed to provide strong evidence for this speculation. 287

This study has several limitations, which should be mentioned. First, the number of participants was relatively small because of the low incidence rate of MPA, especially for subpopulations after stratified analysis, which may provide insufficient evidence to provide definitive conclusions. Second, some follow-up information, such as the curative effect of glucocorticoids and immunosuppressants, renal survival rate, relapse rate, and mortality, was lacking. Third, we did not perform studies to explore the molecular mechanisms to verify the association between gene polymorphisms reported in this study and MPA.

295

#### 296 **Conclusions**

The present study indicated that the polymorphisms observed in *ULK1* (rs4964879 and rs9481) and *PIK3CA* (rs1607237) were significantly associated with MPA risk in the Guangxi population. However, the molecular mechanisms are still unclear, and studies designed with larger samples and basic research are needed to confirm our conclusions and explore the mechanisms

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# Figure 1

Graphical representation of the SNP locations and LD structure

A) LD plots containing 6 SNPs from ULK1; B) LD plots containing 2 SNPs from PIK3CA



# Figure 2

Distribution of high-risk and low risk genotypes in the best two-locus model

Dark gray and light gray boxed presented the high- and low- risk factor combinations, respectively. Left bars within each box represented case with positive score, right bars represented negative score. The higher the positive score, the greater the combination risk. GA in rs4964879 and CC in rs1607237 showed the most risk combinations.

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### rs4964879

### Table 1(on next page)

Demographic characteristics of the study participants

1 Table 1 Demographic characteristic of the study participants.

	MDA ( 200)	
Characteristic	MPA group (n=208)	control group (n=211)
Age (years)	54.6±14.9	51.2±12.6
<60	114 (54.8)	160 (75.8)
≥60	94 (45.2)	51 (24.2)
Gender (M/F)	78/130	83/128
Ethnicity (Han/Zhuang)	131/75	155/56
BVAS (mean ± SD)	16.8±4.43	-
Renal pathologic classification		
(Renal biopsy, n=89)		
Focal	36 (40.4%)	
Crescentic	9 (10.1%)	
Mixed	20 (22.5%)	
Sclerotic	24 (27.0%)	
<b>Renal tubulointerstitial</b>		
injury (Renal biopsy, n=89)		
Score 1	37 (41.6%)	
Score 2	41 (46.1%)	
Score 3	11 (12.4%)	



### Table 2(on next page)

Basic information about SNPs in ULK1 and PIK3CA and association with risk of AAV

Como	CND ID	Lastin	Allalas	M	AF	p for allele	p for
Gene	SNP ID	Location	Alleles	Case	Control	frequencies	genotypes
	rs10902469	12:132378133	G>C	0.079	0.069	0.571	0.832
	rs12303764	12:132399065	T>G	0.200	0.185	0.566	0.678
11111	rs4964879	12:132400309	A>G	0.406	0.356	0.143	0.019
ULKI	rs7300908	12:132405421	C>T	0.099	0.090	0.673	0.897
	rs7138581	12:132406666	G>C	0.174	0.167	0.781	0.853
	rs9481	12:132407089	A>G	0.442	0.378	0.060	0.070
DIV2CA	rs1607237	3:178950297	C>T	0.240	0.325	0.011	0.002
PIK3CA	rs9838117	3:178952507	G>T	0.168	0.165	0.901	0.765

1 Т	Fable 2 Basic	information	about SNPs in	ULK1	and PIK3CA	and their	association	with th	e risk	of AA	١V
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### Table 3(on next page)

The genotype frequencies of the studied *ULK1* and *PIK3CA* gene SNPs in the cases and the healthy controls

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			Control	AVV	OD (070/ CD	
SNP ID	Nidel	Genotype	n (%)	n (%)	OR (95% CI)	p
		AA	92 (44)	67 (32.4)	1.00	
	Codominant	GA	85 (40.7)	112 (54.1)	1.76 (1.15-2.70)	0.03
		GG	32 (15.3)	28 (13.5)	1.19 (0.65-2.16)	
111 121	Deminent	AA	92 (44)	68 (31.9)	1.00	
ULKI ma 406 4870	Dominant	GA+GG	117 (56)	145 (68.1)	1.60 (1.07-2.40)	0.022
184904879	Deservice	AA+GA	177 (84.7)	184 (86.4)	1.00	
	Recessive	GG	32 (15.3)	29 (13.6)	0.87 (0.50-1.51)	0.61
	Quantaminant	AA+GG	124 (59.3)	97 (45.5)	1.00	
	Overdominant	GA	85 (40.7)	116 (54.5)	1.68 (1.13-2.49)	0.0096
		AA	81 (38.8)	73 (34.3)	1.00	
	Codominant	AG	98 (46.9)	90 (42.2)	1.00 (0.65-1.54)	
		GG	30 (14.3)	50 (23.5)	1.76 (1.01-3.09)	0.086
1111/1	Dominant	AA	81 (38.8)	73 (34.3)	1.00	
ULK1	Dominant	AG/GG	128 (61.2)	140 (65.7)	1.18 (0.79-1.76)	0.36
rs9481	Deservice	AA/AG	179 (85.7)	163 (76.5)	1.00	
	Recessive	GG	30 (14.3)	50 (23.5)	1.77 (1.06-2.94)	0.027
		AA/GG	111 (53.1)	123 (57.8)	1.00	
	Overdominant	AG	98 (46.9)	90 (42.2)	0.83 (0.56-1.22)	0.34
		CC	101 (48.6)	138 (64.3)	1.00	
	Codominant	СТ	79 (38)	45 (22.6)	0.47 (0.30-0.73)	0.0039
		TT	28 (13.5)	26 (13.1)	0.78 (0.43-1.42)	
PIK3CA		CC	101 (48.6)	128 (64.3)	1.00	
rs1607237	Dominant	CT/TT	107 (51.4)	71(35.7)	0.55 (0.37-0.82)	0.0031
	Deservice	CC/CT	180 (86.5)	173 (86.9)	1.00	
	Kecessive	TT	28 (13.5)	26 (13.1)	1.01 (0.57-1.81)	0.97
	Quart i i	CC/TT	129 (62)	154 (77.4)	1.00	
	Overdominant	СТ	79 (38)	45 (22.6)	0.49 (0.32-0.76)	0.0013

1 Table 3 The genotype frequencies of the studied *ULK1* and *PIK3CA* gene SNPs in the cases and the healthy controls

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### Table 4(on next page)

The correlation between the haplotypes of *ULK1* and *PIK3CA* gene SNPs and the AAV susceptibility

Gene	Haplotype	AAV (n=214)	Control (n=211)	OR (95% CI)	p
	C-T-A-C-C-G	27.6 (6.7%)	27.1(6.5%)	1.039 (0.599~1.799)	0.893
	G-G-G-G-G	80.8 (19.5%)	67.0(16%)	1.285 (0.897~1.841)	0.171
11111	G-T-A-C-G-A	203.7 (49.2%)	234.5(56.1%)	0.749 (0.563~0.997)	0.047
ULKI	G-T-G-C-G-A	13.9 (3.4%)	10.6 (2.5%)	1.343 (0.596~3.024)	0.475
	G-T-G-C-G-G	26.8 (6.5%)	20.7 (4.9%)	1.340 (0.741~2.422)	0.331
	G-T-G-T-C-G	30.8 (7.4%)	29.9 (7.1%)	1.054 (0.624~1.780)	0.844
	C-G	291.5 (73.3%)	278.7(66.7%)	1.427 (1.050~1.939)	0.023
PIK3CA	T-G	36.5 (8.8%)	68.3 (16.3%)	0.520 (0.339~0.799)	0.0025
	Т-Т	62.5 (15.7%)	66.7 (16.0%)	0.993 (0.681~1.446]	0.9688

1 Table 4 The correlation between the haplotypes of *ULK1* and *PIK3CA* gene SNPs and the AAV susceptibility

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### Table 5(on next page)

Distribution of *ULK1* and *PIK3CA* polymorphisms in population of different ages and its association with risk of AAV

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1 Table 5 Distribution of *ULK1* and *PIK3CA* polymorphisms in population of different ages and its association with the risk of AAV.

SND ID	Madal	Geno		Age<60 years		Dualua		Age≥ 60 year	s	n voluo
SNP ID	Widdei	type	Control	Case	OR (95% CI)	<i>P</i> value	Control	Case	OR (95% CI)	<i>p</i> value
		AA	72 (45%)	39 (34.2%)	1.00		20 (40.8%)	28 (30.1%)	1.00	
	Codominant	GA	62 (38.8%)	58 (50.9%)	1.74 (1.02-2.96)	0.12	23 (46.9%)	54 (58.1%)	1.66 (0.78-3.53)	0.42
		GG	26 (16.2%)	17 (14.9%)	1.21 (0.59-2.49)		6 (12.2%)	11 (11.8%)	1.37 (0.43-4.35)	
11111	Dominant	AA	72 (45%)	39 (34.2%)	1.00		20 (40.8%)	28 (20.1%)	1.00	
ULNI ra4064870	Dominant	GA/GG	88 (55%)	75 (65.8%)	1.58 (0.96-2.60)	0.073	29 (59.2%)	65 (69.9%)	1.60 (0.77-3.30)	0.21
184904879	Deservive	AA/GA	134 (83.8%)	97 (85.1%)	1.00		43 (87.8%)	82 (88.2%)	1.00	
	Recessive	GG	26 (16.2%)	17 (14.9%)	0.90 (0.47-1.76)	0.77	6 (12.2%)	11 (11.8%)	1.01 (0.35-2.95)	0.98
	Overdeminent	AA/GG	98 (61.2%)	56 (49.1%)	1.00		26 (53.1%)	39 (41.9%)	1.00	
	Overdominant	GA	62 (38.8%)	58 (50.9%)	1.65 (1.01-2.69)	0.046	23 (46.9%)	54 (58.1%)	1.53 (0.76-3.08)	0.23
		AA	63 (39.4%)	40 (35.1%)	1.00		18 (36.7%)	32 (34.4%)	1.00	
	Codominant	AG	74 (46.2%)	47 (41.2%)	0.99 (0.57-1.70)	0.14	24 (49%)	40 (43%)	0.92 (0.42-1.99)	0.44
		GG	23 (14.4%)	27 (23.7%)	1.87(0.94-3.71)		7 (14.3%)	21 (22.6%)	1.73 (0.61-4.88)	
1111/1	Deminent	AA	63 (39.4%)	40 (35.1%)	1.00		18 (36.7%)	32 (34.4%)	1.00	
ULNI rc0491	Dominant	AG/GG	97 (60.6%)	74 (64.9%)	1.20 (0.73-1.98)	0.47	31 (63.3%)	61 (65.6%)	1.10 (0.53-2.27)	0.8
189481	D	AA/AG	137 (85.6%)	87 (76.3%)	1.00		42 (85.7%)	72 (77.4%)	1.00	
	Recessive	GG	23 (14.4%)	27 (23.7%)	1.88 (1.01-3.51)	0.047	7 (14.3%)	21 (22.6%)	1.81 (0.71-4.65)	0.2
	Orrendeminent	AA/GG	86 (53.8%)	67 (58.8%)	1.00		25 (51%)	53 (57%)	1.00	
	Overdominant	AG	74 (46.2%)	47 (41.2%)	0.81 (0.49-1.32)	0.39	24 (49%)	40 (43%)	0.77 (0.38-1.54)	0.45
DIV2CA		CC	76 (47.8%)	63 (58.3%)	1.00		25 (51%)	65 (71.4%)	1.00	
PIK3CA	Codominant	СТ	58 (36.5%)	32 (29.6%)	0.67 (0.39-1.15)	0.23	21 (42.9%)	13 (14.3%)	0.23 (0.10-0.53)	6e-04
181007257		TT	25 (15.7%)	13 (12%)	0.62 (0.29-1.32)		3 (6.1%)	13 (14.3%)	1.70 (0.44-6.51)	
	Dominant	CC	76 (47.8%)	63 (58.3%)	1.00		25 (51%)	65 (71.4%)	1.00	
PIK3CA	Dominant	CT/TT	83 (52.2%)	45 (41.7%)	0.65 (0.40-1.07)	0.09	24 (49%)	26 (28.6%)	0.41 (0.20-0.85)	0.016
rs1607237	Deservices	CC/CT	134 (84.3%)	95 (88%)	1.00		46 (93.9%)	78 (85.7%)	1.00	
	Recessive	TT	25 (15.7%)	13 (12%)	0.73 (0.35-1.49)	0.38	3 (6.1%)	13 (14.3%)	2.61 (0.70-9.71)	0.12

Overdominant	CC/TT	101 (63.5%)	76 (70.4%)	1.00		28 (57.1%)	78 (85.7%)	1.00	
Overdominant	СТ	58 (36.5%)	32 (29.6%)	0.74 (0.44-1.24)	0.25	21 (42.9%)	13 (14.3%)	0.21 (0.09-0.49)	2e-04

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Table 6(on next page)

Distribution of *ULK1* and *PIK3CA* polymorphisms in population of different genders and its association with risk of AAV

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1 Table 6 Distribution of ULK1 and PIK3CA polymorphisms in population of different sex and its association with the risk of AAV

CND ID	Madal	Geno-		Male		n volue		female		
SNP ID	Model	type	Control	case	OR (95% CI)	<i>p</i> value	Control	Case	OR (95% CI)	<i>p</i> value
		AA	31 (37.8%)	23 (29.1%)	1.00		61 (48%)	44 (34.1%)	1.00	
	Codominant	GA	38 (46.3%)	46 (58.2%)	1.63 (0.82-3.26)	0.32	47 (37%)	67 (51.9%)	1.86 (1.08-3.20)	0.08
		GG	13 (15.8%)	10 (12.7%)	1.03 (0.38-2.77)		19 (15%)	18 (13.9%)	1.28 (0.60-2.73)	
1111/1	Dominant	AA	31 (37.8%)	23 (29.1%)	1.00		61 (48%)	44 (34.1%)	1.00	
ULKI 11240(4970	Dominant	GA/GG	51 (62.2%)	56 (70.9%)	1.47 (0.76-2.86)	0.25	66 (52%)	85 (65.9%)	1.69 (1.02-2.82)	0.042
rs49648/9	Deservice	AA/GA	69 (84.2%)	69 (87.3%)	1.00		108 (85%)	111 (86%)	1.00	
	Recessive	GG	13 (15.8%)	10 (12.7%)	0.76 (0.31-1.87)	0.56	19 (15%)	18 (13.9%)	0.93 (0.46-1.88)	0.84
	Orrendominent	AA/GG	44 (53.7%)	33 (41.8%)	1.00		80 (63%)	62 (48.1%)	1.00	
	Overdominant	GA	38 (46.3%)	46 (58.2%)	1.61 (0.86-3.02)	0.13	47 (37%)	67 (51.9%)	1.74 (1.05-2.88)	0.031
		AA	29 (35.4%)	28 (35.4%)	1.00		52 (40.9%)	45 (34.9%)	1.00	
	Codominant	AG	43 (52.4%)	36 (45.6%)	0.89 (0.45-1.76)	0.5	55 (43.3%)	51 (39.5%)	1.04 (0.60-1.82)	0.14
		GG	10 (12.2%)	15 (19%)	1.49 (0.57-3.90)		20 (15.8%)	33 (25.6%)	1.90 (0.95-3.78)	
111111	Dominant	AA	29 (35.4%)	28 (35.4%)	1.00		52 (40.9%)	45 (34.9%)	1.00	
ULKI ma0.49.1	Dominant	AG/GG	53 (64.6%)	51 (64.6%)	1.00 (0.52-1.92)	0.86	75 (59.1%)	84 (65.1%)	1.27 (0.76-2.12)	0.36
189481	Deservice	AA/AG	72 (87.8%)	64 (81%)	1.00		107 (84.2%)	96 (74.4%)	1.00	
	Recessive	GG	10 (12.2%)	15 (19%)	1.60 (0.67-3.84)	0.25	20 (15.8%)	33 (25.6%)	1.86 (0.99-3.47)	0.05
	Overdeminent	AA/GG	39 (47.6%)	43 (54.4%)	1.00		72 (56.7%)	78 (60.5%)	1.00	
	Overdominant	AG	43 (52.4%)	36 (45.6%)	0.79 (0.42-1.47)	0.51	55 (43.3%)	51 (39.5%)	0.83 (0.50-1.38)	0.48
PIK3CA		CC	39 (47.6%)	51 (66.2%)	1.00		62 (49.2%)	78 (63.4%)	1.00	
rs1607237	Codominant	СТ	29 (35.4%)	15 (19.5%)	0.40 (0.19-0.84)	0.042	50 (39.7%)	30 (24.4%)	0.50 (0.28-0.88)	0.048
		TT	14 (17.1%)	11 (14.3%)	0.60 (0.25-1.47)		14 (11.1%)	15 (12.2%)	0.93 (0.41-2.11)	
	Dominant	CC	39 (47.6%)	51 (66.2%)	1.00		62 (49.2%)	78 (63.4%)	1.00	
PIK3CA	Dominant	CT/TT	43 (52.4%)	26 (33.8%)	0.46 (0.24-0.88)	0.017	64 (50.8%)	45 (36.6%)	0.59 (0.35-0.99)	0.063
rs1607237	Decessive	CC/CT	68 (82.9%)	66 (85.7%)	1.00		112 (88.9%)	108 (87.8%)	1.00	
	Recessive	TT	14 (17.1%)	11 (14.3%)	0.81 (0.34-1.91)	0.63	14 (11.1%)	15 (12.2%)	1.21 (0.55-2.66)	0.64

		CC/TT	53 (64.6%)	62 (80.5%)	1.00		76 (60.3%)	93 (75.6%)	1.00	
Overdominant	СТ	29 (35.4%)	15 (19.5%)	0.44 (0.21-0.91)	0.024	50 (39.7%)	30 (24.4%)	0.50 (0.29-0.88)	0.014	
	GA	59 (38.6%)	68 (52.3%)	1.70 (1.05-2.74)	0.03	26 (46.4%)	42 (5%)	1.42 (0.69-2.89)	0.34	

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### Table 7(on next page)

Distribution of *ULK1* and *PIK3CA* polymorphisms in population of different ethnicity and its association with risk of AAV

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1 Table 7 Distribution of *ULK1* and *PIK3CA* polymorphisms in population of different ethnicity and its association with the risk of AAV.

CND ID	Madal	Geno		Ethnicity = Ha	in	n volvo		Ethnicity = Zh	iuang	n voluo
SNP ID	wiodei	type	Control	Case	OR (95% CI)	<i>p</i> value	Control	Case	OR (95% CI)	<i>p</i> value
		AA	73 (47.7%)	43 (33.1%)	1.00		19 (33.9%)	24 (32%)	1.00	
	Codominant	GA	59 (38.6%)	68 (52.3%)	1.88 (1.12-3.17)	0.054	26 (46.4%)	42 (56%)	1.24 (0.56-2.76)	0.48
		GG	21 (13.7%)	19 (14.6%)	1.48 (0.71-3.07)		11 (19.6%)	9 (12%)	0.67 (0.23-1.98)	
111111	Dominant	AA	73 (47.7%)	43 (33.1%)	1.00		19 (33.9%)	24 (32%)	1.00	
ULNI ra4064870	Dominant	GA/GG	80 (52.3%)	87 (66.9%)	1.78 (1.09-2.90)	0.02	37 (66.1%)	51 (68%)	1.07 (0.50-2.28)	0.86
184904879	Desserius	AA/GA	132 (86.3%)	111 (85.4%)	1.00		45 (80.4%)	66 (88%)	1.00	
	Recessive	G/G	21 (13.7%)	19 (14.6%)	1.06 (0.54-2.07)	0.87	11 (19.6%)	9 (12%)	0.58 (0.22-1.55)	0.28
	Oriendominant	AA/GG	94 (61.4%)	62 (47.7%)	1.00		30 (53.6%)	33 (44%)	1.00	
	Overdominant	GA	59 (38.6%)	68 (52.3%)	1.70 (1.05-2.74)	0.03	26 (46.4%)	42 (5%)	1.42 (0.69-2.89)	0.34
		AA	60 (39.2%)	47 (36.1%)	1.00		21 (37.5%)	25 (33.3%)	1.00	
	Codominant	AG	72 (47.1%)	54 (41.5%)	0.94 (0.56-1.59)	0.18	26 (46.4%)	32 (42.7%)	1.07 (0.49-2.37)	0.62
		GG	21 (13.7%)	25 (22.3%)	1.74 (0.88-3.45)		9 (16.1%)	18 (24%)	1.61 (0.59-4.41)	
111121	Deminent	AA	60 (39.2%)	47 (36.1%)	1.00		21 (37.5%)	25 (33.3%)	1.00	
ULK1	Dominant	AG/GG	93 (60.8%)	83 (63.9%)	1.12 (0.69-1.83)	0.64	35 (62.5%)	50 (66.7%)	1.22 (0.58-2.54)	0.6
189481	р. <sup>.</sup>	AA/AG	132 (86.3%)	104 (77%)	1.00		47 (83.9%)	57 (76%)	1.00	
	Recessive	GG	21 (13.7%)	31 (23%)	1.80 (0.96-3.35)	0.064	9 (16.1%)	18 (24%)	1.55 (0.62-3.86)	0.34
	One dansing of	AA/GG	81 (52.9%)	79 (58.5%)	1.00		30 (53.6%)	43 (57.3%)	1.00	
	Overdominant	AG	72 (47.1%)	56 (41.5%)	0.79 (0.49-1.27)	0.33	26 (46.4%)	32 (42.7%)	0.91 (0.44-1.87)	0.8
		CC	76 (49.7%)	86 (68.2%)	1.00		25 (45.5%)	40 (56.3%)	1.00	
	Codominant	СТ	59 (38.6%)	27 (21.4%)	0.42 (0.24-0.73)	0.0068	20 (36.4%)	18 (25.4%)	0.59 (0.26-1.36)	0.47
DIVICI		TT	18 (11.8%)	13 (10.3%)	0.68 (0.31-1.49)		10 (18.2%)	13 (18.3%)	0.81 (0.30-2.18)	
PIK3CA	Deminent	CC	76 (49.7%)	86 (68.2%)	1.00		25 (45.5%)	40 (56.3%)	1.00	
IS100/23/	Dominant	CT/TT	77 (50.3%)	40 (31.8%)	0.48 (0.29-0.78)	0.0031	30 (54.5%)	31 (43.7%)	0.67 (0.32-1.37)	0.27
	р. <sup>.</sup>	CC/CT	135 (88.2%)	113 (89.7%)	1.00		45 (81.8%)	58 (81.7%)	1.00	
	Kecessive	TT	18 (11.8%)	13 (10.3%)	0.91 (0.43-1.97)	0.82	10 (18.2%)	13 (18.3%)	0.97 (0.38-2.51)	0.96

 Overdeminent	CC/TT	94 (61.4%)	99 (78.6%)	1.00		35 (63.6%)	53 (74.7%)	1.00	
 Overdominant	СТ	59 (38.6%)	27 (21.4%)	0.44 (0.26-0.76)	0.0026	20 (36.4%)	18 (25.4%)	0.63 (0.28-1.38)	0.24

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