

# Boll characteristics and yield of cotton in relation to the canopy microclimate under varying plant densities in an arid area

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Planting density affects crop microclimate and intra-plant competition, playing an important role on yield formation and resource use, especially in areas where the temperature resource is limiting such as in Xinjiang, China. However, more studies are needed to examine how the change in planting density affects the microclimate factors such as the fraction of light intercepted (FLI), air temperature (T) and relative humidity (RH) within different canopy layers, which in turn affect the boll number per plant (BNF), boll number per unit area (BNA), boll weight (BW), and boll-setting rate (BSR) at fruiting branch (FB) positions  $FB_{1-3}$ ,  $FB_{4-6}$ , and  $FB_{\geq 7}$  in cotton. To quantify the relationships between boll characteristics, yield, and microclimate factors, we conducted a 2-year field experiment in 2019-2020 in Xinjiang with six plant densities: 9 (P1), 12 (P2), 15 (P3), 18 (P4), 21 (P5), and 24 (P6) plants·m<sup>-2</sup>. With each 3 plants·m<sup>-2</sup> increase in density, the average FLI and RH across different canopy layers increased by 0.37 and 2.04%, respectively, whereas T decreased by 0.64°C. The BNF at  $FB_{\geq 7}$ ,  $FB_{4-6}$ , and  $FB_{1-3}$  decreased by 0.82, 0.33, and 0.5, respectively. The highest BNA was observed in the upper and middle layers in the P4 treatment (52.2 bolls·m<sup>-2</sup>), and in the lowest canopy layer with the P5 (66.5 bolls·m<sup>-2</sup>). The highest BW was measured in the middle canopy layer for P3, and the highest BSR was measured in the lower layer for P3. Plant density exhibited linear or quadratic relationships with FLI, T, and RH. Microclimate factors mainly affected the boll number in each layer, but had no significant effects on the BW in any layer or the BSR in the middle and lower layers. Cotton yield was non-linearly related to plant density. The 2-year maximum yield was achieved at a plant density of 21 plant·m<sup>-2</sup>, but the yield increase compared to the yield with a density of 18 plants·m<sup>-2</sup> was only 0.28%. Thus, we suggest that the optimal plant

density for drip-irrigated cotton in Xinjiang is 18 plants  $\text{m}^{-2}$ , which could help farmers grow machine-harvested cotton.

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## 22 **ABSTRACT**

23 Planting density affects crop microclimate and intra-plant competition, playing an important role  
24 on yield formation and resource use, especially in areas where the temperature resource is  
25 limiting such as in Xinjiang, China. However, more studies are needed to examine how the  
26 change in planting density affects the microclimate factors such as the fraction of light  
27 intercepted (FLI), air temperature (T) and relative humidity (RH) within different canopy layers,  
28 which in turn affect the boll number per plant (BNF), boll number per unit area (BNA), boll  
29 weight (BW), and boll-setting rate (BSR) at fruiting branch (FB) positions FB<sub>1-3</sub>, FB<sub>4-6</sub>, and FB<sub>≥7</sub>  
30 in cotton. To quantify the relationships between boll characteristics, yield, and microclimate  
31 factors, we conducted a 2-year field experiment in 2019-2020 in Xinjiang with six plant densities:  
32 9 (P1), 12 (P2), 15 (P3), 18 (P4), 21 (P5), and 24(P6) plants·m<sup>-2</sup>. With each 3 plants·m<sup>-2</sup> increase  
33 in density, the average FLI and RH across different canopy layers increased by 0.37 and 2.04%,  
34 respectively, whereas T decreased by 0.64°C. The BNF at FB<sub>≥7</sub>, FB<sub>4-6</sub>, and FB<sub>1-3</sub> decreased by  
35 0.82, 0.33, and 0.5, respectively. The highest BNA was observed in the upper and middle layers  
36 in the P4 treatment (52.2 bolls·m<sup>-2</sup>), and in the lowest canopy layer with the P5 (66.5 bolls·m<sup>-2</sup>).

37 The highest BW was measured in the middle canopy layer for P3, and the highest BSR was  
38 measured in the lower layer for P3. Plant density exhibited linear or quadratic relationships with  
39 FLI, T, and RH. Microclimate factors mainly affected the boll number in each layer, but had no  
40 significant effects on the BW in any layer or the BSR in the middle and lower layers. Cotton  
41 yield was non-linearly related to plant density. The average 2-year highest yield was achieved at  
42 a plant density of 21 plant·m<sup>-2</sup>, but the yield increase compared to the yield with a density of 18  
43 plants·m<sup>-2</sup> was only 0.28%. Thus, we suggest that the optimal plant density for drip-irrigated  
44 cotton in Xinjiang is 18 plants m<sup>-2</sup>, which could help farmers grow machine-harvested cotton.

45  
46 **Keywords:** Yield-density relationship; boll distribution; plant density; fraction of light  
47 intercepted; canopy temperature and humidity

## 48 INTRODUCTION

49 Cotton (*Gossypium hirsutum* L.) is an important cash crop grown worldwide as a major source of  
50 fibre (Constable *et al.*, 2015). China is one of the largest producers and consumers of cotton  
51 globally (Mao *et al.*, 2016). China's cotton imports, total supply, and use were higher than those  
52 of other cotton-producing nations including Brazil, India, and Pakistan (USDA, 2020). Xinjiang  
53 Uyghur Autonomous Region has become the most important cotton-growing region in China  
54 (Appiah *et al.*, 2014; Tian *et al.*, 2016). In 2020, the region produced 5.2 million tons of seed  
55 cotton from 2.5 million planted hectares (NBS, 2020), accounting for 87.33% of the production  
56 and 78.93% of the area planted in China. The average lint yield was 2063 kg·ha<sup>-1</sup>, benefiting  
57 from intensive management and new cotton varieties (Dai and Dong, 2014; Feng *et al.*, 2017). In  
58 Xinjiang, cotton is grown at relatively high plant densities. While increasing plant density  
59 increases the cotton yield, it also increases intra-plant competition, resulting in increased  
60 shedding and rotten bolls (Bednarz, 2006; Bai *et al.*, 2017). Considering yield and fibre quality  
61 for machine-harvested cotton, the cotton planting density must promote “easy, simplified,  
62 efficient, and sustainable” production (Dong *et al.*, 2018). However, the optimal machine-  
63 harvested plant density under drip irrigation is not clear.

64 Yield is the combined result of genetic factors and the external environment, whereas  
65 microenvironment variation within the canopy affects the ability of the crop to use available  
66 resources (Yang *et al.*, 2014). Cotton yield and quality are more susceptible to microclimate

67 conditions than other crops because the reproductive organs are distributed throughout the cotton  
68 canopy (*Schurr et al., 2006*). Plant density has a strong effect on cotton yield components  
69 (*Bednarz et al., 2005; Darawsheh et al., 2009*), canopy structure (*Zhang et al., 2004; Dong et al.,*  
70 *2010; Kaggwa-Asiimwe et al., 2013; Chapepa et al., 2020*), and light distribution, light  
71 interception, air temperature, and humidity within the canopy (*Brodrick, 2013; Yang et al., 2014;*  
72 *Yao et al., 2016; Xue et al., 2017*). Light interception plays a key role in photosynthesis, which is  
73 enhanced by a greater photon flux density within the canopy (*Aikman, 1989*). Light interception  
74 is always positively related to dry matter accumulation (*Ajayakumar et al., 2017*). High cotton  
75 planting densities decrease the light distribution in the lower canopy (*Brodrick et al., 2013*). A  
76 moderate planting density ( $3.0 \text{ plants} \cdot \text{m}^{-2}$ ) in the Yangtze River region, which has a mean daily  
77 air temperature of  $27.1^\circ\text{C}$  and daily relative humidity of 79.7% from June to October, resulted in  
78 high cotton yields (*Yang et al., 2014*).

79 Agriculture has strong regional characteristics. The cotton planting density in Xinjiang ranges  
80 from 15 to  $30 \text{ plants} \cdot \text{m}^{-2}$  (*Dong et al., 2018*), which is much higher than in other cotton-  
81 producing regions in China. Different climatic conditions, planting densities, and management  
82 measures will inevitably result in different growth microclimates. Especially with intensifying  
83 climate warming, crop growth and yield are significantly affected (*Cammarano and Tian, 2018;*  
84 *Fahad et al., 2021b*). As part of the arid zone in central Asia, Xinjiang is extremely scarce of  
85 water resources and sensitive to global climate change (*Yao et al., 2018*). Since 1997, the climate  
86 in Xinjiang has shifted from warm and wet to warm and dry (*Yao et al., 2021*). Given the  
87 intensive management of high planting density cotton in Xinjiang's extremely arid climate, it is  
88 necessary to study how altering the planting density affects the cotton canopy microclimate and  
89 boll setting characteristics.

90 Cotton bolls located at different fruiting branch (FB) positions experience different climate  
91 conditions (*Liu et al., 2015a*) and boll weight and fibre quality differ at different FB positions  
92 (*Zhao et al., 2011; Zhao and Oosterhuis, 2000*). To assess the optimal plant density under drip  
93 irrigation with machine harvesting, we conducted a 2-year field experiment with planting  
94 densities of 9 to  $24 \text{ plants} \cdot \text{m}^{-2}$ . Our objectives were to clarify the relationships among planting  
95 density, canopy microclimate, and yield under extremely arid conditions in Xinjiang and to  
96 determine optimal planting density for machine-harvested drip-irrigated cotton in Xinjiang.

## 97 MATERIALS AND METHODS

### 98 Experimental site

99 The 2-year field experiment was conducted in 2019 to 2020 at the experimental station of the  
100 Institute of Cotton Research of the Chinese Academy of Agricultural Sciences in Aral, Xinjiang  
101 (40°60'N, 81°31'E, altitude 1100 m.a.s.l.). The mean annual air temperature at the experimental  
102 site ranges from 8.4°C to 11.4°C, and the annual accumulated above 10°C ranges from 3450°C  
103 to 4432°C. The frost-free period lasts 180 to 221 days, and the mean annual precipitation is 48  
104 mm. The monthly precipitation and mean temperature during the 2019 and 2020 cotton growing  
105 seasons are shown in Table 1. The soil is sandy loam, and the soil nutrient concentrations at a  
106 depth of 20 cm prior to sowing are listed in Table 2.

### 107 Experimental design and field management

108 The experiment was established using a randomized complete block design with three replicates  
109 of each planting density of 9 (P1), 12 (P2), 15 (P3), 18 (P4), 21 (P5), and 24 (P6) plants·m<sup>-2</sup>. The  
110 plant distances for the six densities were 29.2, 21.9, 17.5, 14.6, 12.5, and 10.9 cm, respectively.  
111 The crop row orientation was north–south. Row spacing was wide+narrow i.e. 66 cm+10 cm,  
112 and the rows were covered with a 2.05 m wide transparent plastic film. Each plot was 47.9 m<sup>2</sup> (7  
113 × 6.84 m). The edges of the film were buried in the soil, leaving a 0.23 m wide bare soil between  
114 each sheet. The planting pattern, drip irrigation layout and film cover are illustrated in Figure 1.

115 The cultivar used in the experiment was hybrid cotton variety CRI88 with a growth duration  
116 of approximately 136 days. Cotton was sown on 18 April 2019 and 21 April 2020 using the  
117 manual hill-drop method after covering the rows with plastic film. Seedlings were manually  
118 thinned at the two-leaf stage to obtain the desired planting densities. The buds of the main stem  
119 were topped on 17 July 2019 and 13 July 2020. The cotton was harvested on 15 October 2019  
120 and 03 October 2020. Before sowing, fertilizer was applied at 4.8 t·ha<sup>-1</sup> organic fertilizer, 225  
121 kg·ha<sup>-1</sup> urea (46.4 % N), and 300 kg·ha<sup>-1</sup> primary calcium phosphate (46% P<sub>2</sub>O<sub>5</sub>). Fertilizer  
122 consisting of 150 kg·ha<sup>-1</sup> urea, 270 kg·ha<sup>-1</sup> diammonium phosphate (18% N, 46% P<sub>2</sub>O<sub>5</sub>), and  
123 112.5 kg·ha<sup>-1</sup> potassium dihydrogen phosphate (52% P<sub>2</sub>O<sub>5</sub>, 34% K<sub>2</sub>O) was applied as a top  
124 dressing with each irrigation. The plots were irrigated nine times over the growing period with a  
125 total of 4200 m<sup>3</sup>·ha<sup>-1</sup>. Other management actions followed the local farming practices.

### 126 Data collection

127 **Fraction of light intercepted within the canopy** Fraction of light intercepted (FLI) within the  
128 canopy was evaluated from the budding to boll opening stage in 2019 and 2020. Incident

129 photosynthetically active radiation ( $PAR_0$ ) and transmitted photosynthetically active radiation  
 130 ( $PAR_c$ ) were measured using a LI-191SA light quantum sensor and a LI-1400 data logger (LI-  
 131 COR, Lincoln, NE, USA). The canopy was divided into  $0.2\text{ m} \times 0.2\text{ m}$  vertical and horizontal  
 132 grids. The quantum sensor was placed perpendicular to the rows, and three replicate  
 133 photosynthetically active radiation measurements were taken in each plot. The intercepted light  
 134 rate ( $I_r$ ) of each sensor was computed using Equation (1). FLI was computed according to the  
 135 Simpson 3/8 integration rule (Xue *et al.*, 2017), using Equations (2) and (3), where  $A_i$  is the  
 136 amount of light in a certain cross-sectional area, the coefficient vector is  
 137  $\{1, 3, 3, 2, 3, 3, 2, \dots, 3, 3, 2, 1\}$ ,  $\Delta x$  is the vertical interval of the grid,  $\Delta y$  is the horizontal interval,  $i$  and  
 138  $j$  are grid node numbers, and  $G_{(i,j)}$  represents kriging interpolation points, FLI is the total light  
 139 interception rate in the certain area of the canopy. The canopy was divided into lower, middle, and  
 140 upper layers as shown in Figure 2.

$$I_r = 1 - PAR_c / PAR_0 \quad (1)$$

$$A_i = \frac{3\Delta x}{8} [G_{i,1} + 3G_{i,2} + 3G_{i,3} + 2G_{i,4} + \dots + 2G_{i,ncol-1} + G_{i,ncol}] \quad (2)$$

$$FLI \approx \frac{3\Delta y}{8} [A_1 + 3A_2 + 3A_3 + 2A_4 + \dots + 2A_{ncol-1} + A_{ncol}] \quad (3)$$

141 **Canopy air temperature and relative humidity** Canopy air temperature ( $T$ ) and relative  
 142 humidity ( $RH$ ) were monitored with an automatic Lascar EL-USB-2 data logger (Lascar  
 143 Electronics, Erie, PA, USA). The sensors were installed at approximately 1/3, 1/2, and 2/3 of the  
 144 canopy height at the position between wide and narrow rows at full squaring, and at the second  
 145 FB ( $FB_2$ ), fifth FB ( $FB_5$ ), and eighth FB ( $FB_8$ ) after the full blooming period. The data recorded  
 146 every 30 min from 10:00 to 21:00 and averaged to daily mean values.

147 **Spatial boll distribution** On 10 October 2019 and 28 September 2020, 30 plants in each plot  
 148 were selected to determine the spatial boll distribution. Bolls were divided into three groups  
 149 according to whether they were found on FBs 1–3 ( $FB_{1-3}$ ), FBs 4–6 ( $FB_{4-6}$ ), and FBs higher than  
 150 7 ( $FB_{\geq 7}$ ). Bolls number per plant were collected from  $FB_{1-3}$ ,  $FB_{4-6}$ , and  $FB_{\geq 7}$  in each plot. The  
 151 boll-setting rate (BSR) for different FBs was equal to number of setting bolls divided by the total  
 152 number of fruit nodes. Individual boll weights (BWs) at different FBs were determined after  
 153 drying the bolls in the sun to a constant weight.

154 **Seed cotton yield** Seed cotton in the area of  $14.35\text{ m}^2$  ( $7 \times 2.05\text{ m}$ ) with three repetitions were  
 155 handpicked on 15 October 2019 and 3 October 2020, and weighed after sun-drying.

**156 Data analyses**

157 SPSS 25.0 software (SPSS Inc., Chicago, IL, USA) was used to run non-linear regression and ANOVA.  
158 The least significant difference (LSD) test at the 0.05 level was used to compare the mean of different  
159 treatments. Graphics were created using origin 2018 graphics software (Origin LabInc., Northampton,  
160 MASS, USA).

**161 RESULTS****162 FLI within the canopy**

163 FLI within the canopy increased with the planting density, but decreased with the increase in  
164 canopy height (Fig. 3). Over the entire growth period, the maximum FLI in the upper layer was  
165 observed in the P5 (0.66) in the full-boll period in 2019 and in the P6 (0.35) in the full blooming  
166 period in 2020. P5 produced the highest 2-year average FLI in the middle (0.85) and lower layers  
167 (0.97) in the full-blooming period. Compared with the peak value in each treatment, FLI was  
168 reduced by 0.25–0.39, 0.17–0.40, and 0.07–0.30 in the upper, middle, and lower canopies,  
169 respectively, at the boll-opening period. Among the different planting densities, P1 and P2  
170 resulted in the greatest FLI reduction in the upper layer, whereas the smallest FLI reduction was  
171 in P4 and occurred in the middle and lower layers.

**172 Distribution of air T within the canopy**

173 Consistent with changes in the outside air T (control [CK]), the air T within the canopy increased  
174 and then decreased over the course of the growing season in both years (Fig. 4). For all  
175 treatments, T was higher than CK in the upper canopy layer. The higher the planting density, the  
176 lower the T within the canopy. Increasing the planting density not only advanced the time when  
177 the cooling effect appeared but also increased the cooling rate. At the middle canopy layer, the T  
178 of P6 at the full-blooming stage was 0.31°C lower than CK, while that for P5 was 0.16°C lower  
179 than CK at the full-boll stage. In the lower layer, Ts of P4, P5, and P6 at the full-blooming stage  
180 were 1.68, 1.64, and 2.11°C lower than CK, respectively, while T at P3 was 0.87°C lower than  
181 CK at the full-boll stage.

182 T was higher in the upper canopy layer than in the middle and lower layers, but the depression  
183 in T was greater between the upper and middle layers than that between the middle and lower  
184 layers. Over the 2 years, the T in the middle canopy layer in the P1–P6 treatments was 4.21,  
185 4.11, 3.19, 3.06, 2.72, and 2.49°C lower than that in the upper layer, respectively, but 1.29, 1.15,  
186 1.62, 1.49, 1.32, and 1.23°C higher than that in the lower layer.

**187 Distribution of RH within the canopy**

188 Across canopy layers, RH was highest during the full-boll period (Fig. 5). The peak RH in the  
189 upper, middle, and lower layers was 51.66, 63.88, and 70.57% in 2019, respectively, and 52.83,  
190 64.69, and 71.84% in 2020. At boll opening, the respective RH values decreased by 33.85, 36.10,  
191 and 37.92% in 2019, and 33.31, 39.65, and 41.84% in 2020 when compared to peak values.

192 Contrary to the variation in T within the canopy, RH throughout the canopy increased with  
193 planting density. In the upper layer, the canopy RH was higher than CK in the P5 and P6 plots,  
194 whereas it was lower than CK in the P1 plot depending on the growth period. In the middle and  
195 lower layers, the canopy RH of all treatments was higher than CK. As the planting density  
196 increased, the amplitude of RH variation between the middle and upper layers decreased. The 2-  
197 year average RH depression over the entire growth period was 10.16, 10.22, 9.39, 9.02, 8.14, and  
198 8.45% for plots P1 to P6, respectively. The amplitude of RH variation between the middle and  
199 lower layers showed no particular trend.

**200 Boll density, single boll weight, and boll setting rate at different FB positions**

201 Increasing the density reduced the number of bolls at different FB positions (Table 3). With each  
202 3-plants·m<sup>-2</sup> increment, the mean boll number per plant (BNF) at FB<sub>≥7</sub>, FB<sub>4-6</sub>, and FB<sub>1-3</sub>  
203 decreased by 0.83, 0.33, and 0.5 in 2019 and 0.86, 0.55, and 0.38 in 2020, respectively. BNF in  
204 plots P1 and P2 differed significantly from BNF in the P5 and P6 plots ( $P < 0.05$ ) at different FB  
205 positions. At FB<sub>≥7</sub>, the maximum boll number per area (BNA) was greatest in P4 plots in 2019  
206 and P3 plots in 2020, and these maxima were significantly higher than those in the P5 and P6  
207 plots ( $P < 0.05$ ). At FB<sub>4-6</sub>, the BNA in P4 plots was significantly higher than those in P1 and P2  
208 plots ( $P < 0.05$ ), with maxima of 63.0 bolls·m<sup>-2</sup> in 2019 and 64.8 bolls·m<sup>-2</sup> in 2020. At FB<sub>1-3</sub>, the  
209 2-year average BNA was highest in P5 plots (73.19 bolls·m<sup>-2</sup>), and it was also significantly  
210 higher than the BNA in P1 and P2 plots ( $P < 0.05$ ) but not significantly different from the BNA  
211 in P6 plots. Boll-setting rates (BSR) declined in the order FB<sub>1-3</sub> > FB<sub>4-6</sub> > FB<sub>≥7</sub>. With values of  
212 76.48% at FB<sub>1-3</sub> and 59.89% at FB<sub>4-6</sub>, the 2-year average BSR in P3 plots was significantly  
213 higher than those in the other treatments ( $P < 0.05$ ).

**214 Relationships of planting density to FLI, T, and RH**

215 Under different planting densities, FLI in the middle canopy layer and T and RH in all canopy  
216 layers showed linear relationships with planting density. The relationship between FLI in the  
217 upper and lower layers and density followed a quadratic curve pattern (Fig. 6). Regression fits  
218 are shown in Table 4. Increasing the density had no significant effect on FLI in the upper canopy

219 layer. There was a positive linear relationship between density and FLI in the middle layer, and a  
220 significant, negatively correlated conic relationship with FLI in the lower layer. T in each canopy  
221 layer declined with increased planting density, whereas RH increased.

### 222 **Correlations among canopy FLI, T, RH, BNF, BNA, BW, and BSR**

223 As shown in Figure 7, canopy T and RH in each layer were negatively correlated. In the upper  
224 layer, FLI was uncorrelated with T, RH, BNF, BNA, BW, and BSR. T was positively correlated  
225 with BNF, BNA, and BSR, whereas RH was negatively correlated with BNF and BSR. In the  
226 middle and lower layers, FLI was negatively correlated with T and BNF but positively correlated  
227 with RH and BNA. T was positively correlated with BNF but negatively correlated with BNA.  
228 RH was negatively correlated with BNF and positively correlated with BNA only in the lower  
229 canopy layer.

230 Significant interactions between the boll number, BW, and BSR were mainly found for the  
231 upper canopy layer. Among them, BSR was positively correlated with BNF, BNA, and BW,  
232 BNF was positively correlated with BNA, and BNA was positively correlated with BW.

### 233 **Yield**

234 Yield varied greatly with planting density (Fig. 8). The average 2-year yield increased by 0.28–  
235 24.33% when the planting density increased from 9 plants·m<sup>-2</sup> (P1) to 21 plants·m<sup>-2</sup> (P5). The  
236 highest yields were seen for P5 of 6644.52 kg·ha<sup>-1</sup> in 2019 and P4 of 6517.26 kg·ha<sup>-1</sup> in 2020.  
237 There was no significant difference in the yields of P4 and P5 ( $P > 0.05$ ), but they were  
238 significantly higher than the yields obtained in P1, P2, P3, and P6 in both years ( $P < 0.05$ ). The  
239 relationship between yield and planting density is shown in Figure 9. The fitting curve was  
240 parabolic and opened downwards, and the fitting coefficients  $R^2$  were all higher than 0.9 ( $P <$   
241 0.01). The curve simulation also showed that the P4 (18 plants·m<sup>-2</sup>) treatment had the maximum  
242 yield.

## 243 **DISCUSSION**

244 Higher plant density utilized solar radiation, nutrients and space, which ultimately improved the  
245 seed cotton yield. Although there were differences in the 2 years, the yield first increased with  
246 the plant density and then decreased (Fig. 9). It was highest at planting densities of 18 or 21  
247 plants·m<sup>-2</sup>, but the difference between the two treatments was not significant (Fig. 8). This  
248 substantiates the common opinion that increasing the planting density will not make the yield

249 continue to increase. The yield remained approximately the same or even decreased after a  
250 certain threshold was reached. The boll distribution at lower plant density increased the bolls at  
251  $FB_{\geq 7}$ , mainly because low-density treatment produced more bolls per plant with more FBs and  
252 stem nodes, which enabled more source and sink connections. Boll number per  $m^2$  (except  $FB_{\geq 7}$ ),  
253 BW, and BSR at different  $FB_s$  were all highest at 15 or 18 plants· $m^{-2}$  (Table 3). These three  
254 indicators decreased to varying degrees when the planting density exceeded 18 plants· $m^{-2}$ . The  
255 yield and boll characteristics were not as good under the crowded conditions encountered at high  
256 densities. This may be caused by intensified competition for limited resources and the  
257 impoverished environment (Li *et al.*, 2020).

258 More light was intercepted at higher planting densities in different canopy layers. This  
259 confirms the view that high planting densities can help with achieving high levels of radiation  
260 interception by the crop (Mao *et al.*, 2014; Zhang *et al.*, 2014). The vertical distribution of light  
261 within the canopy was not uniform; it was highest in the lower canopy layer and lowest in the  
262 upper layers (Fig. 3). A dense canopy with a high leaf area index was the main reason for the  
263 difference (Xue *et al.*, 2017). Moreover, we also showed that the highest light interception rate  
264 does not result in the highest yield. Due to the seed cotton yield was relation to light penetration  
265 and ventilation into the lower levels of the canopy (Kaggwa-Asiimwe *et al.*, 2013; Zhi *et al.*,  
266 2014). An appropriate density allows greater light penetration and gaseous exchange (Meredith,  
267 1984), which improves the utilization of light resources and maintains high crop productivity,  
268 and assists in the development of bolls in the canopy (Chapepa *et al.*, 2020).

269 Plant density alters the characteristics of the boundary between the leaves and surrounding air,  
270 as well as affecting canopy T and RH. Higher yields were obtained at a lower canopy T (Han *et*  
271 *al.*, 2007; Fan *et al.*, 2007), and canopy T and yield were negatively correlated in wheat (Amani  
272 *et al.*, 1996). In our study, there was negatively correlation between T and plant density, while  
273 the RH and plant density was positively correlated (Table 4), which means that T in the different  
274 canopy layers decreased with increasing planting density (Fig. 4), whereas RH increased (Fig. 5).  
275 This may be due to the fact the ground in high plant density is shaded from the sun, and the  
276 evapotranspiration is higher in higher plant density, resulting in lower canopy T. While lower  
277 plant density with an open canopy could allow more air flow for evaporation, thereby reducing  
278 RH. Therefore, increasing plant density played a significant role in cooling and humidifying the  
279 canopy environment.

280 We also found that compared with BW and BSR, boll number was significantly affected by  
281 canopy FLI, T, and RH (Fig. 7). Of these, FLI and RH were negatively related to boll number at  
282 the single-plant level, but positively related to population boll number, while the relationship  
283 between T and boll number was opposite that of RH. This means that the canopy microclimate of  
284 lower T, higher RH, and higher FLI formed at higher planting densities, especially in the middle  
285 and lower canopy layers, was not conducive to boll retention. This also explained why BW and  
286 BSR in the middle and lower canopy layers of the 18 and 21 plants·m<sup>-2</sup> treatments were lower  
287 than in the other treatments.

## 288 CONCLUSIONS

289 Increasing the planting density resulted in increased FLI and RH and a decrease in T in different  
290 canopy layers. Microclimate factors mainly affected the boll number in each layer significantly,  
291 but had no significant effects on BW in any layer or BSR in the middle and lower layers. The  
292 canopy microclimate of lower T, higher RH, and higher FLI formed at high planting densities  
293 negatively affected boll number, BW, and BSR. Although the 2-year average seed cotton yield  
294 was highest at 21 plants·m<sup>-2</sup>, it was only 0.28% higher than at 18 plants·m<sup>-2</sup>, and the difference  
295 was not significant ( $P>0.05$ ). Thus, we suggest that when using a 66 cm+10 cm planting pattern  
296 with drip irrigation and film mulching in Xinjiang, the appropriate planting density is 18  
297 plants·m<sup>-2</sup>.

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**Table 1** (on next page)

Meteorological conditions during the cotton growing seasons in 2019 and 2020

**1 Table 1 Meteorological conditions during the cotton growing seasons in 2019 and 2020.**

Variable	Year	April	May	June	July	August	September	October
Precipitation (mm)	2019	4.70	16.70	28.70	3.20	13.60	26.10	0.00
	2020	0.20	0.00	7.40	13.20	4.80	1.60	-
Mean temperature (°C)	2019	19.00	19.80	22.60	26.80	24.90	19.50	12.20
	2020	17.07	20.78	22.80	23.30	23.60	19.10	-

2

3

**Table 2** (on next page)

Nutrient contents of the experimental plot soil in 2019 and 2020.

**1 Table 2 Nutrient contents of the experimental plot soil in 2019 and 2020.**

Year	Total nitrogen (g·kg <sup>-1</sup> )	Organic matter (g·kg <sup>-1</sup> )	Available nitrogen (mg·kg <sup>-1</sup> )	Available phosphorous (mg·kg <sup>-1</sup> )	Available potassium (mg·kg <sup>-1</sup> )
2019	0.40	9.98	21.00	32.01	72.00
2020	0.48	10.02	51.40	36.70	94.00

2

**Table 3** (on next page)

Effects of planting density on boll number and boll weight at different fruiting branch position in 2019 and 2020.

*Each value represents the average of three replications. Values followed by a different letter in the same column are significantly different at  $P=0.05$  level.*

1 **Table 3 Effects of planting density on boll number and boll weight at different fruiting**  
 2 **branch position in 2019 and 2020.**

Fruiting branch (FB)	Treatment	Boll number				Boll weight		Boll setting ratio	
		(per plant)		(per m <sup>2</sup> )		(g/boll)		(% )	
		2019	2020	2019	2020	2019	2020	2019	2020
FB <sub>1-3</sub>	P1	5.03 a	5.17 a	45.27 c	46.53 c	6.13 c	6.07 c	62.92 ab	70.14 c
	P2	4.93 a	4.93 a	59.16 b	59.16 b	6.34 bc	6.48 b	64.91 a	78.72 b
	P3	3.93 b	5.03 a	58.95 b	75.45 a	6.87 a	6.94 a	68.60 a	84.36 a
	P4	3.23 c	4.40 b	58.14 b	79.20 a	6.64 ab	6.63 b	61.78 b	80.00 ab
	P5	3.17 c	3.80 c	66.57 a	79.80 a	6.07 c	6.16 c	67.38 a	69.09 c
	P6	2.53 d	3.27 d	60.72 ab	78.48 a	6.16 c	6.34 bc	64.96 a	58.33 d
FB <sub>4-6</sub>	P1	4.07 b	5.23 a	36.63 d	47.07 c	7.00 a	6.92 ab	41.78 d	58.80 a
	P2	4.67 a	4.50 b	56.04 ab	54.00 c	7.06 a	7.06 ab	56.91 ab	61.64 a
	P3	3.50 c	3.73 c	52.50 b	55.95 ab	7.07 a	7.28 a	57.30 a	62.57 a
	P4	3.50 c	3.60 c	63.00 a	64.80 a	7.17 a	7.23 a	52.50 b	62.43 a
	P5	2.53 d	3.00 d	53.13 b	63.00 a	7.04 a	6.73 b	46.06 c	51.14 b
	P6	2.40 d	2.47 d	57.60 ab	59.28 ab	6.96 a	6.64 b	40.45 d	42.53 c
FB <sub>≥7</sub>	P1	5.40 a	4.90 a	48.60 ab	44.10 b	6.50 a	6.33 ab	36.65 a	52.88 ab
	P2	3.73 b	4.83 a	44.76 bc	57.96 a	6.60 a	6.50 ab	33.04 b	49.83 b
	P3	2.70 c	3.87 ab	40.50 c	58.05 a	6.63 a	6.70 a	34.76 b	55.50 a
	P4	2.90 c	2.57 bc	52.20 a	46.26 b	6.85 a	6.53 ab	37.83 a	43.75 c
	P5	1.23 d	1.80 cd	25.83 d	37.80 c	6.55 a	6.04 bc	25.69 c	32.14 d
	P6	1.27 d	0.60 d	30.48 d	14.40 d	6.07 a	5.54 c	26.03 c	18.56 e



**Table 4** (on next page)

Regression equations of canopy variables with plant density.

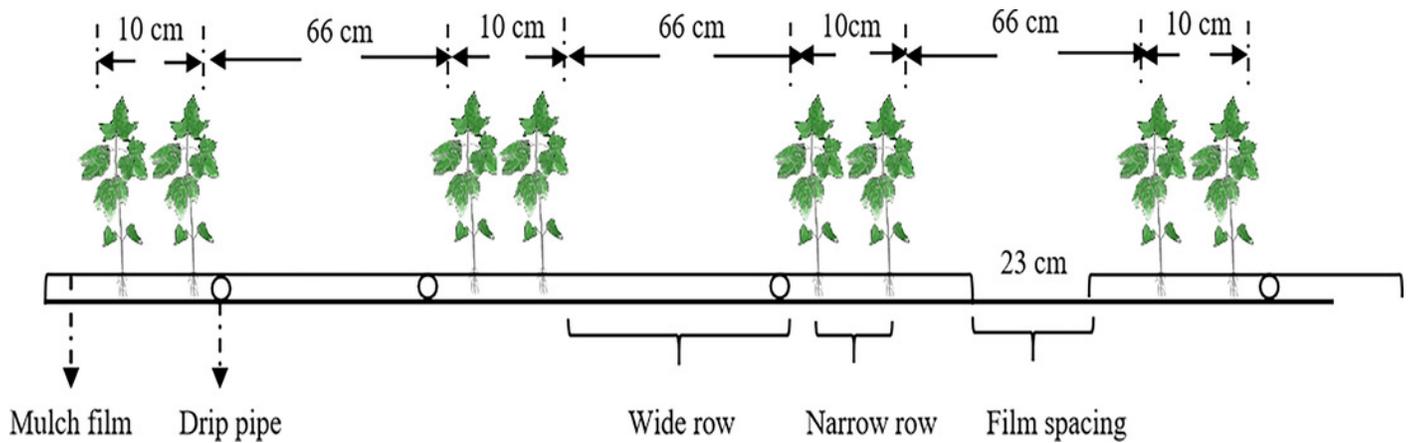
1 **Table 4 Regression equations of canopy variables with plant density.**

Layer	Factor	Fitting equation	Correlation coefficient	P-value	RMSE
Upper	Fraction of light intercepted (%)	$y = -0.0456x^2 + 1.6132x + 13.792$	0.8602	0.05	0.66
	Temperature(°C)	$y = -0.2885x + 38.867$	0.9951	0.00	0.13
	Relative humidity(%)	$y = 0.7742x + 30.055$	0.9757	0.00	0.77
Middle	Fraction of light intercepted (%)	$y = 0.67x + 44.774$	0.7143	0.03	2.66
	Temperature(°C)	$y = -0.1663x + 33.555$	0.9851	0.00	0.13
	Relative humidity(%)	$y = 0.6271x + 41.711$	0.9690	0.00	0.70
Lower	Fraction of light intercepted (%)	$y = -0.1052x^2 + 4.6195x + 31.291$	0.9538	0.01	1.97
	Temperature(°C)	$y = -0.1684x + 32.22$	0.9744	0.00	0.17
	Relative humidity(%)	$y = 0.6054x + 46.512$	0.9671	0.00	0.70

2

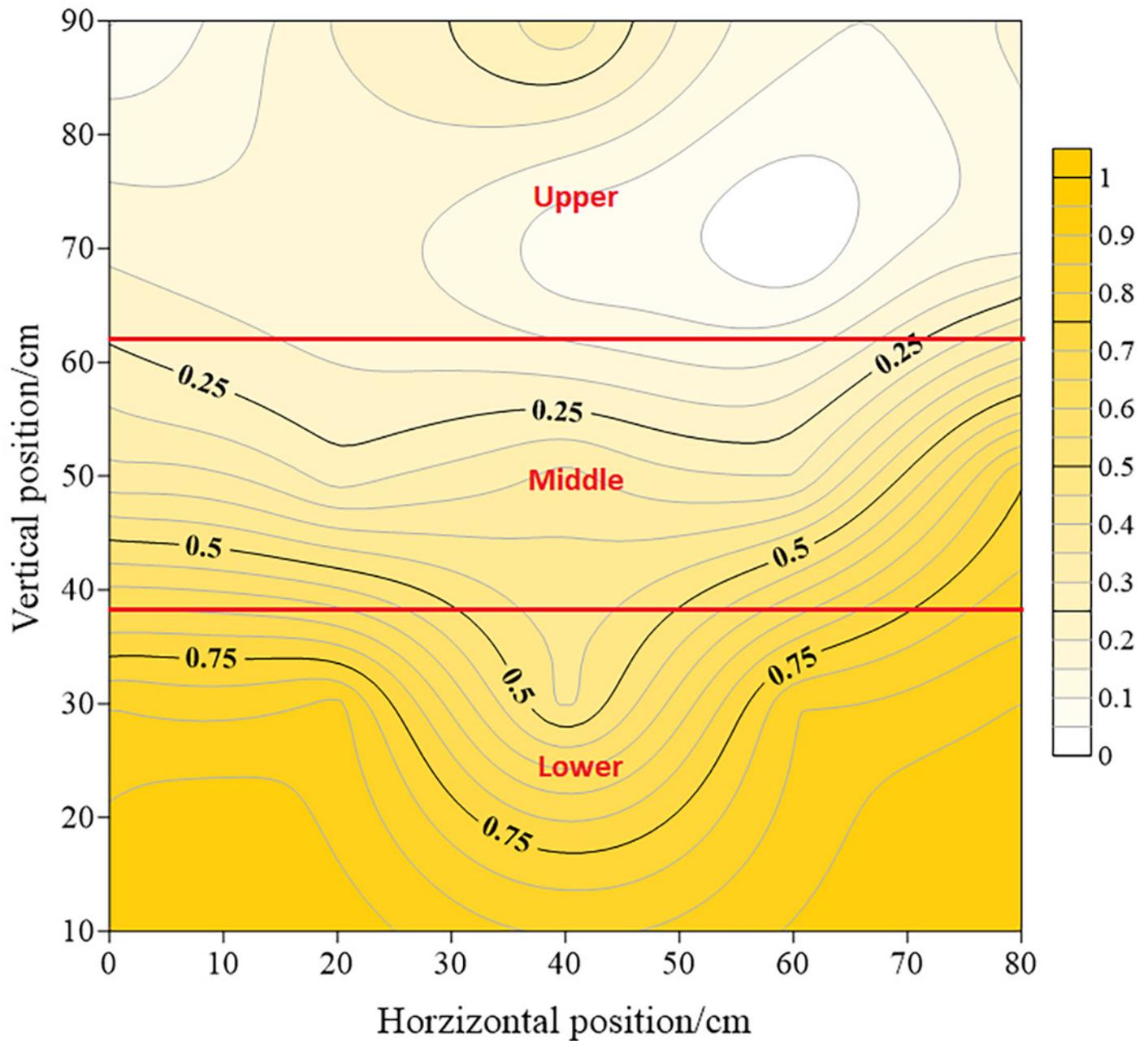
# Figure 1

The planting pattern and drip irrigation pipe layout.



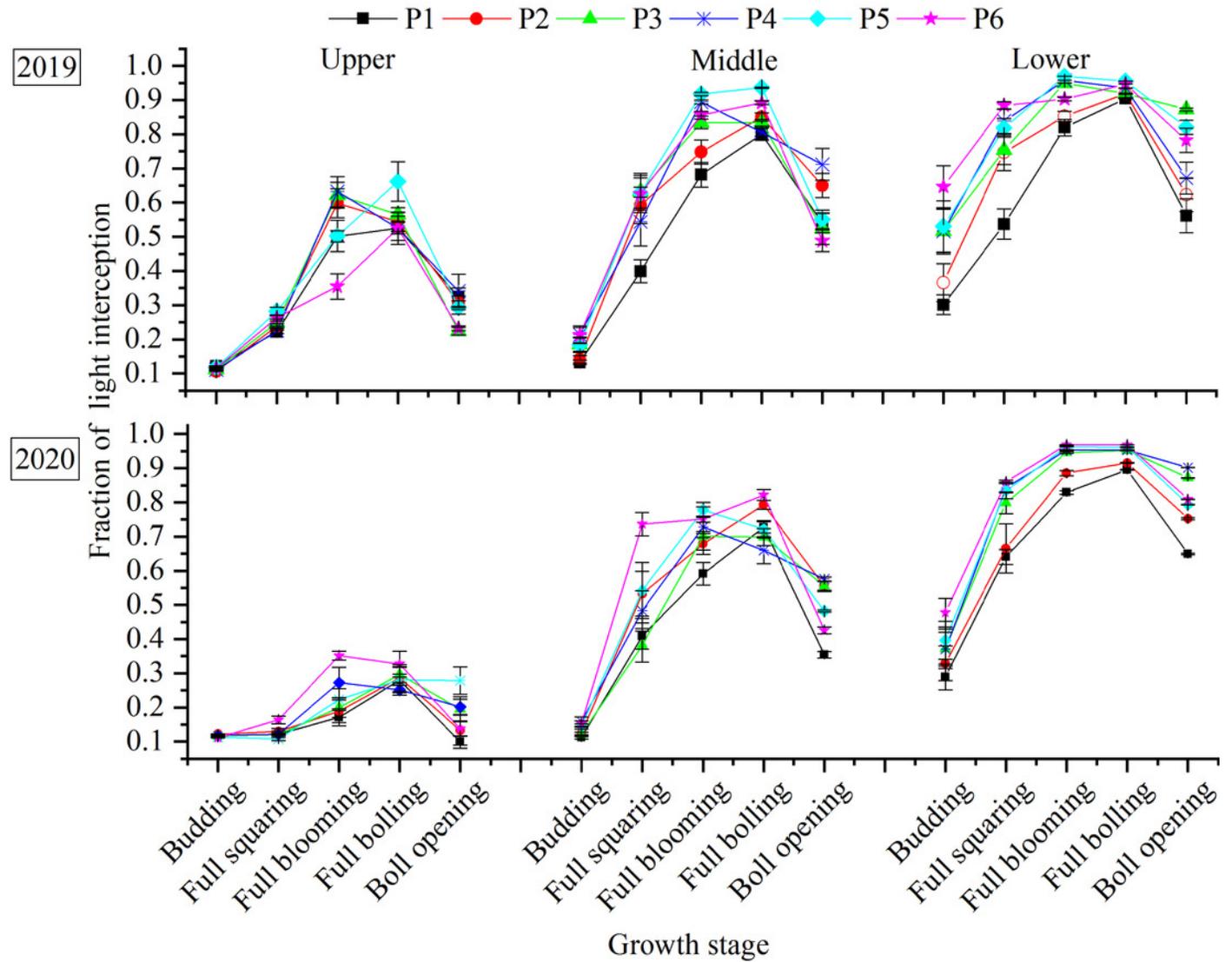
## Figure 2

Figure 2 Vertical distribution of cotton canopy layers.



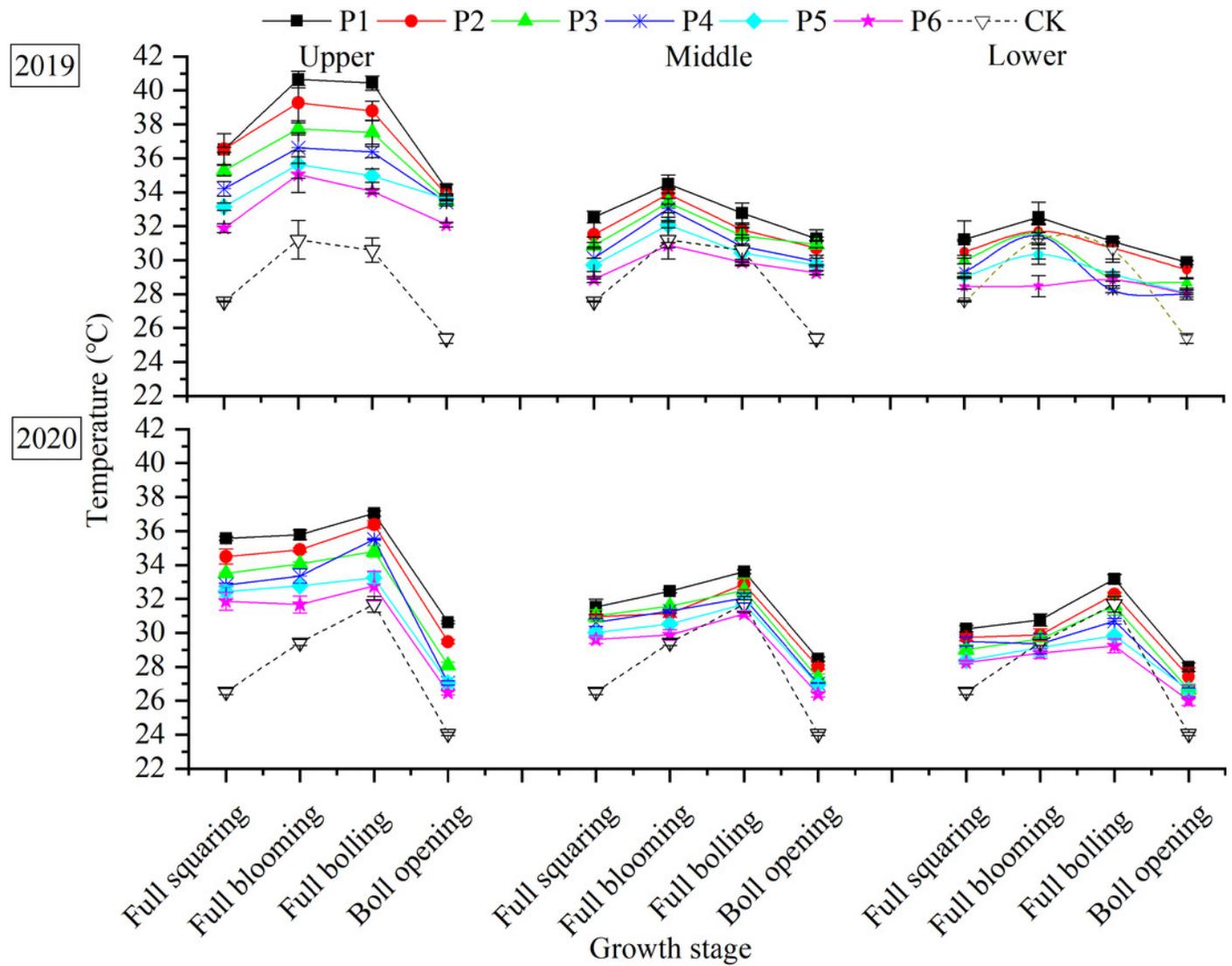
## Figure 3

Distribution of canopy FLI within the canopy in response to plant density.



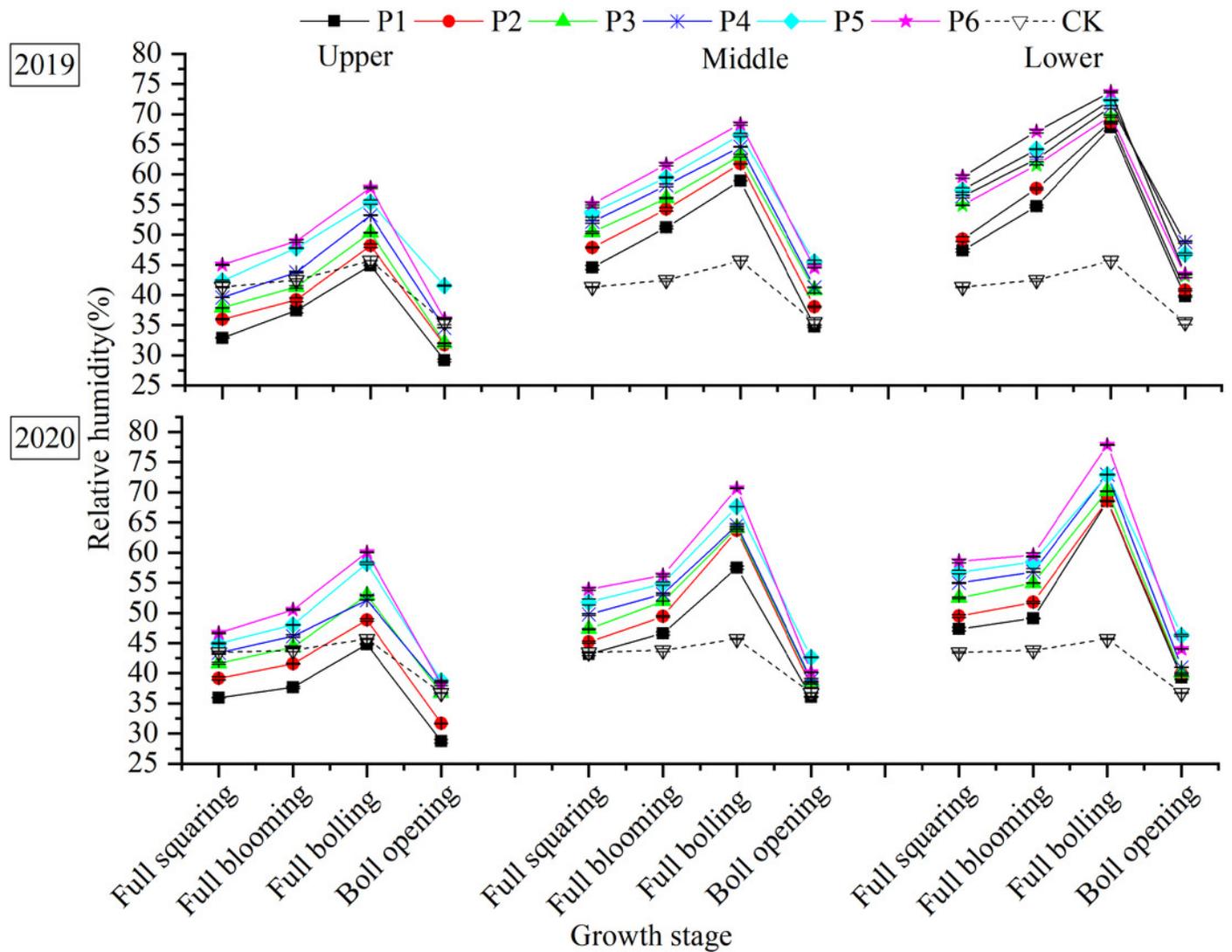
## Figure 4

Distribution of air T within the canopy in response to plant density.



## Figure 5

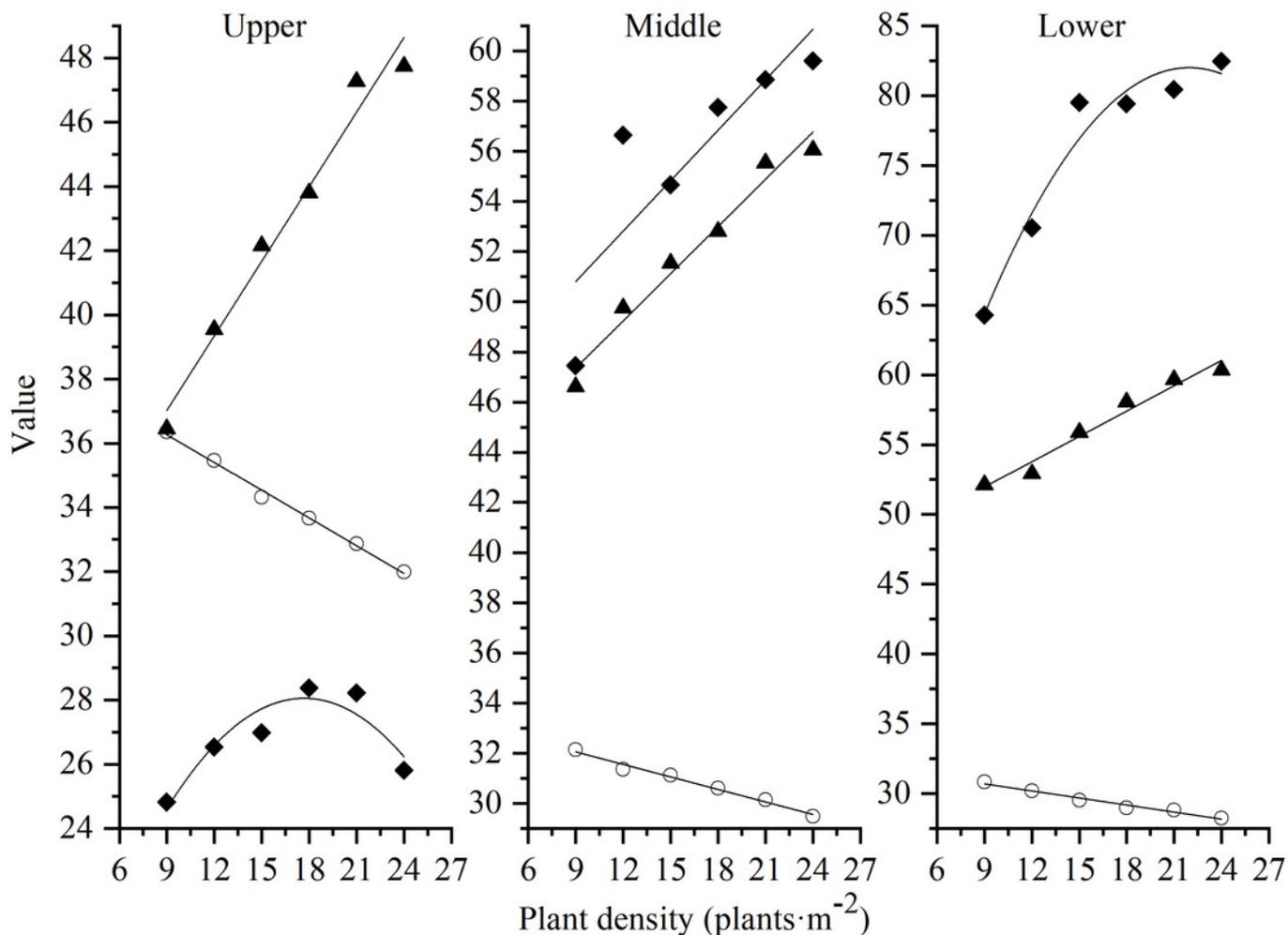
Distribution of RH within the canopy in response to plant density.



## Figure 6

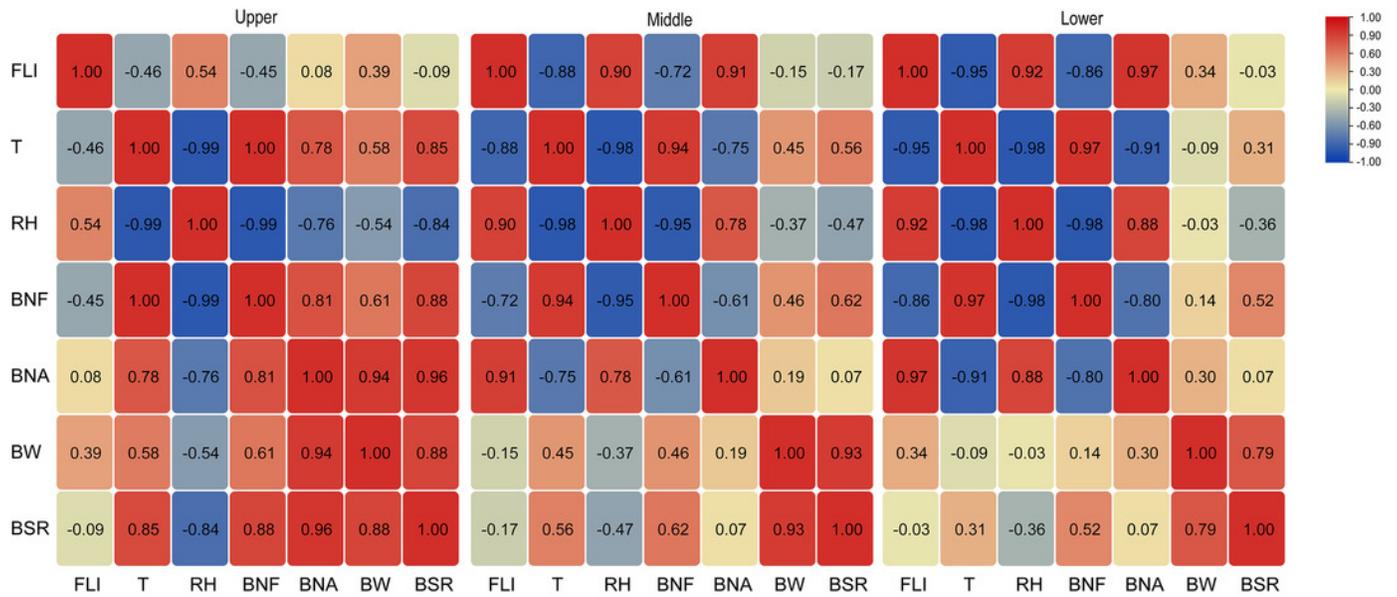
Fits of plant density with canopy FLI, T and RH

◆ Fraction of light intercepted ○ Temperature ▲ Relative Humidity — Fit curve



## Figure 7

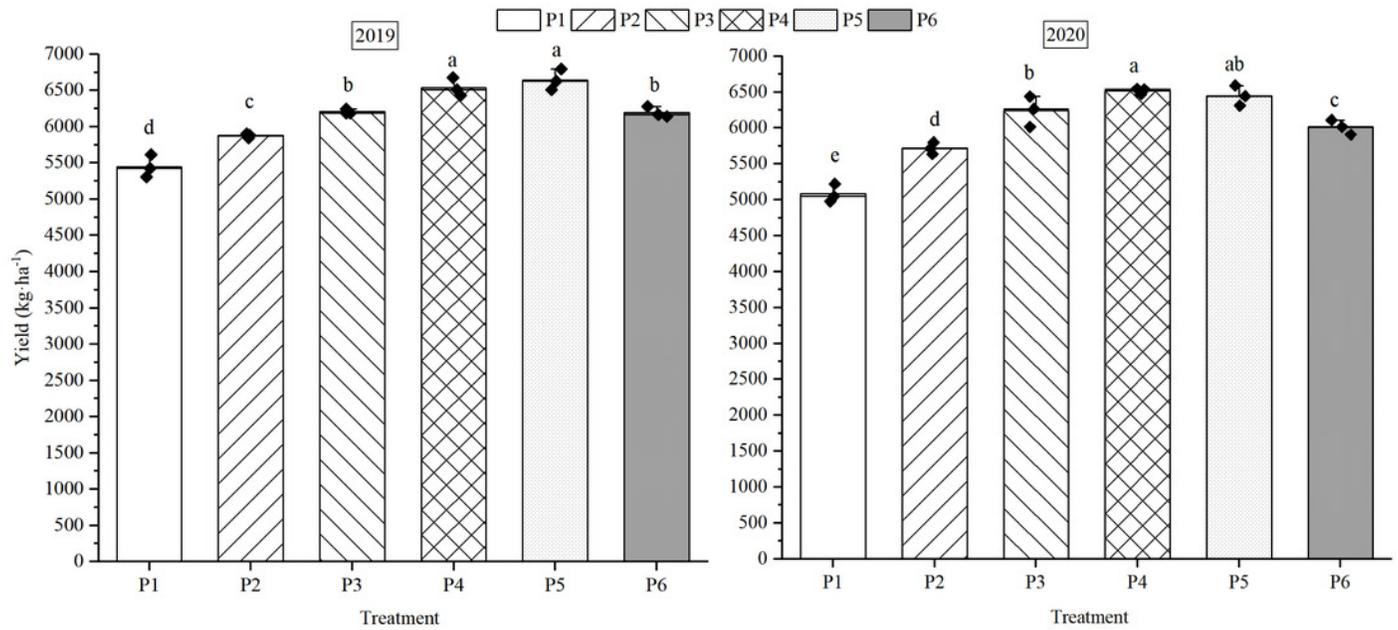
Correlations of canopy FLI, T, and RH with BNF, BNA, BW, and BSR.



## Figure 8

Seed cotton yield per unit area in 2019 and 2020.

*The different small letter above the columnar represents significant differences at  $P < 0.05$ .*



## Figure 9

Cotton yield in response to plant density in 2019 and 2020.

*Symbols in each year represent a single harvest seed cotton yield (n = 3)*

