

Second revision

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# Field and laboratory metabolism and thermoregulation in rhinoceros auklets

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Seabirds spend most of their lives at sea, except when visiting their breeding sites. Since the thermal conductivity of water is 25 times higher than that of air, seabirds resting on water lose heat and expend a considerable amount of energy for thermoregulation. Rhinoceros auklet (*Cerorhinca monocerata*), a medium-sized (480–620 g) alcid, spends most of its time floating on the sea. In order to estimate the cost of this behavior in terms of their daily energy expenditure (DEE), we studied rhinoceros auklets breeding on Teuri Island, Hokkaido Japan where we measured their resting metabolic rate (RMR) in air and on water by respirometry, and used the doubly labeled water method to measure DEE. RMR on water increased with decreasing water temperature, whereas there was no effect of air temperature (5.0–20.3°C) on RMR. The DEE of free-ranging auklets averaged 1005.5 kJday<sup>-1</sup> ( $\pm 130.2$ , n = 3). Since rhinoceros auklets breeding on Teuri Island rest on the sea at temperatures within their thermoneutral zone during chick-rearing period, their energy cost of resting on water would be dependent on the time on water per day.

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16

## 17 Abstract

18 Seabirds spend most of their lives at sea, except when visiting their breeding sites. Since the  
19 thermal conductivity of water is 25 times higher than that of air, seabirds resting on water lose  
20 heat and expend a considerable amount of energy for thermoregulation. Rhinoceros auklet  
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22 the sea. In order to estimate the cost of this behavior in terms of their daily energy expenditure  
23 (DEE), we studied rhinoceros auklets breeding on Teuri Island, Hokkaido Japan where we  
24 measured their resting metabolic rate (RMR) in air and on water by respirometry, and used the  
25 doubly labeled water method to measure DEE. RMR on water increased with decreasing water  
26 temperature whereas there was no effect of air temperature (5.0–20.3°C) on RMR. The DEE of  
27 free-ranging auklets averaged 1005.5 kJday<sup>-1</sup> (± 130.2, n = 3). Since rhinoceros auklets breeding  
28 on Teuri Island rest on the sea at temperatures within their thermoneutral zone during chick-  
29 rearing period, their energy cost of resting on water would be dependent on the time on water per  
30 day.

31

## 32 Introduction

33 The ability of endothermic animals to thermoregulate may affect their life history traits, foraging  
34 behavior, and their distributions (Jenssen, Ekker & Bech, 1989; Humphreys, Wanless & Bryant,  
35 2006; Lovvorn et al., 2009). Endothermic animals living in the sea expend considerable energy  
36 on thermoregulation even while resting on the surface because the thermal conductivity of water  
37 is 25 times great than that of air (Kaselloo & Lovvorn, 2005; Niizuma et al., 2007). Bio-logging

38 techniques have shown that adult seabirds spend significant amounts of time resting on the sea  
39 during their chick-rearing period (Wilson, Weimerskirch & Lys, 1995; Garthe, Grémillet &  
40 Furness, 1999; Falk et al., 2000; Daunt et al., 2002; Tremblay et al., 2003; Kato, Watanuki &  
41 Naito, 2003). In addition to measurements of high cost behavior of flying and diving (Elliott et  
42 al., 2013a), it is important to assess their energy expenditure during this behavior in order to  
43 understand more fully their energetics.

44 Seabirds may have physiological adaptations for floating on cold sea water, which include  
45 reducing their thermal conductance by means of a thick water-repellent plumage (Kooyman et  
46 al., 1976; Jenssen, Ekker & Bech, 1989; Dawson et al., 1999) and reducing heat flow to the  
47 periphery via vasoconstriction in the skin and appendages (Johansen & Bech, 1983; Folkow &  
48 Blix, 1987; Niizuma et al., 2007). The air trapped in the loose tangle of air pockets formed by the  
49 barbs and barbules of their plumulaceous inner vanes is the main component of plumage  
50 insulation for seabirds (Dawson et al., 1999). However, this insulation may constrain their rate of  
51 heat dissipation while flying between their nesting and foraging areas because birds produce  
52 excess heat during energy-intensive flapping flight (Elliott et al., 2013a; Guillemette et al., 2016;  
53 Nord & Nilsson, 2019). This may be especially significant in the temperate zone, where seabirds  
54 are less able to lose heat in the mild climate, yet endothermic animals must dissipate their  
55 metabolic heat to avoid reaching lethal body temperatures (Speakman & Król, 2010; Nilsson,  
56 Molokwu & Olsson, 2016).

57 The Alcidae seabirds, which breed from the temperate zone to the arctic, have relatively dense  
58 plumage, high wing loading and continuous fast flapping flight, deliver food to their nestlings  
59 during the chick-rearing period, but spend significant amounts of time resting on the sea (Wilson,  
60 Weimerskirch & Lys, 1995; Gaston & Jones, 1998; Garthe, Grémillet & Furness, 1999; Falk et  
61 al., 2000; Daunt et al., 2002; Tremblay et al., 2003; Kato, Watanuki & Naito, 2003). The  
62 rhinoceros auklet (*Cerorhinca monocerata*), a medium sized (480–620 g) member of the  
63 Alcidae, breeds on offshore islands in areas of temperate waters in the northern Pacific and  
64 migrates southward to wintering areas (Gaston & Jones, 1998). The auklets rearing chicks on  
65 Teuri Island, Hokkaido, Japan, spend 18% of their time landing at the colony, 14% flying, 13 %  
66 in diving related behavior, but 55 % floating on the sea (Kato, Watanuki & Naito, 2003). During  
67 their annual movement, they experience various water temperatures ranging from cold (4 – 6 °C)  
68 in early March, associated with their northward migration and early arrival on the breeding  
69 grounds, to mild (11 – 14 °C) during the winter from October to late February in the  
70 southwestern Sea of Japan Sea (Takahashi et al., 2015). The sea surface temperature around the  
71 Teuri Island breeding colony increases during the breeding season from about 5 °C in early April  
72 to 15 °C in early July (Ito et al., 2009). **The auklets spending up to 55% of their time resting on  
73 the sea can, therefore, be expected to expend considerable energy for thermoregulation.**  
74 However, little is known about how much energy rhinoceros auklets demand for their  
75 thermoregulation on resting water with various water temperature.

76 In this study, to elucidate the energy cost of the time spent resting on the sea for pelagic seabirds,  
77 the resting metabolic rate (RMR) and daily energy expenditure (DEE) of rhinoceros auklet were

78 estimated quantitatively. RMR both in the air and on water, at various ambient temperatures, was  
79 measured using respirometry - the most common technique for measuring energy expenditure  
80 (Halsey, 2011). The DEE of rhinoceros auklets rearing chicks was measured using the doubly  
81 labeled water (DLW) method - a common technique for measuring energy expenditure of free-  
82 living animals (Shaffer, 2010). These data were then used to assess their lower critical temperature  
83 (LCT) in air and on water, and the energy cost of resting on water as a proportion of their DEE  
84 while rearing chicks.

85

## 86 **Materials & Methods**

### 87 **Study area and species**

88 This study was carried out at Teuri Island (44°25' N, 141°52' E), in the northern Sea of Japan, off  
89 northwest Hokkaido, from May to July 2015–2107. About 300,000 pairs of rhinoceros auklets  
90 breed on the island, in the largest single breeding colony in the world (Watanuki & Ito, 2012).

91 To measure the RMRs **in air and on water of adult auklets in air and on water** using respirometry,  
92 43 auklets were captured, using landing nets, as they returned to their nests in the colony at night.  
93 Individual birds were used for only one measurement of their RMRs in air or on water. Capture  
94 and experiments were under license from the Ministry of the Environment, Government of Japan.

95 During the chick-rearing period in 2017 specifically, 16 rhinoceros auklets were caught by hand  
96 or landing net at the nesting colony or in their nest burrows, five for measurements of background  
97 and initial isotope enrichment, and 11 for measurements of DEE by means of the DLW method.

98 The procedures used in this study were approved by the Animal Experimental Committee of  
99 Meijo University (2015-A-E-5, 2016-A-E-10, 2017-A-E-2). The fieldwork was permitted by the  
100 Ministry of the Environment (21-26-0291 0292, 21-27-0367 0368 0369 0370 0371, 21-28-0344  
101 035 036 037) and the Agency of Cultural Affairs (26-4-2188, 27-4-1928, 29-4-18).

102

### 103 **Measurements of resting metabolic rate using respirometry in air and on water**

104 Oxygen consumption rate ( $\dot{V}O_2$ ) was measured using an open-flow respirometry system composed  
105 of an acrylic metabolic chamber and an oxygen analyzer (Xentra 4100, Servomex Ltd, UK) as  
106 previously described in Shirai et al. (2015). For the measurement of RMR in air, a 20-L metabolic  
107 chamber (20 cm long  $\times$  25 cm high  $\times$  40 cm wide) was submerged in a thermostatic water bath  
108 and maintained at 4.7–20.7 °C. For the measurement of RMR on water, a 72-L metabolic chamber  
109 (30 cm long  $\times$  60 cm high  $\times$  40 cm wide) was filled with freshwater (to a depth of 30 cm)  
110 maintained at 5.5–17.5 °C.

111 The wild-caught auklets were placed in darkened boxes (30 cm  $\times$  30 cm  $\times$  25 cm), transported  
112 from the colony to the field station situated within 10 min of the capture site, then kept for at least  
113 one hour to minimize the effects of capture stress on their metabolic rates (Shirai et al., 2013).  
114 After one hour, they were weighed, using a Pesola spring balance, to the nearest 5 g. They were  
115 then placed in the metabolic chamber to measure their RMR for 12 hours over night. After  
116 finishing the measurements, they were weighed again and released on the colony at night. We

117 assumed a linear decrease in body mass to estimate the body mass value used for calculating the  
118 mass-specific metabolic rate.

119 During measurements, the chamber was kept dark by covering it with a blackout curtain. The  
120 chamber temperature ( $T_c$ ,  $\pm 0.3^\circ\text{C}$ ) and atmospheric pressure ( $P_a$ ,  $\pm 1.5$  hPa) were recorded (using  
121 a TR-73U Thermo Recorder, T&D Corp.), and water temperature was measured every minute  
122 ( $\pm 0.3^\circ\text{C}$ , using a TR-52i Thermo Recorder, T&D Corp.). The rate of airflow ( $V_E$ ) through the  
123 chamber was controlled at  $2.0\text{ Lmin}^{-1}$  in air and  $3.0\text{ Lmin}^{-1}$  on water using a mass flow controller  
124 ( $\pm 2\%$ , Type HM1171A, Tokyo Keiso). The effluent air from the chamber was dried and a fraction  
125 of the dry outlet air was directed into the oxygen analyzer. Absorption of oxygen into water in the  
126 chamber was less than 0.0015% per minute (Allers & Culik, 1997). The oxygen analyzer was  
127 calibrated using dry effluent air (20.946% oxygen) and pure stock nitrogen (0.000% oxygen)  
128 before beginning each experiment. The oxygen concentration of the effluent air ( $F_{EO_2}$ ) was  
129 recorded every minute by computer.

130  $V_{O_2}$  was calculated using formula 3A in Withers (1977) as follows,

$$131 \quad V_{O_2} = \frac{V_E \times (F_{IO_2} - F_{EO_2})}{1 - (1 - RQ) \times F_{IO_2}}$$

132 RQ was a respiratory quotient. We assumed to be 0.8 based on Koteja (1996).  $F_{IO_2}$  was an  
133 oxygen concentration of influent air of 20.946%. A conversion coefficient was used  $20.1\text{ kJL}^{-1}$  in  
134 calculating energy expenditure (Schmidt-Nielsen, 1997). All results are given at standard  
135 temperature, pressure, and dryness (STPD).

136 As previously described in Shirai et al. (2013), we estimated RMR to be the minimum value  
137 recorded over a 20 min interval during the 12 h measurements (Supplemental Table 1).

138

### 139 Measurements of daily energy expenditure using the doubly labeled water method

140 We obtained estimates of DEE in rhinoceros auklets using the single-sample approach of the DLW  
141 method as previously described in Niizuma & Shirai (2015). The method allowed an estimation  
142 of initial isotope enrichment by a single blood sample and was a less invasive technique with lower  
143 impact on the behavior of study subjects (Schultner et al., 2010; Niizuma & Shirai, 2015). Recent  
144 validation studies have demonstrated that the precision of the DLW technique can be increased by  
145 using a longer sampling interval and/or by applying it to a species with a higher metabolic rate  
146 (Shirai et al., 2015; Kume et al., 2019). The DLW injectate used in our study contained 21.0 atom  
147 percent  $^{18}\text{O}$ , 10.5 atom percent  $^2\text{H}$ , and 0.9% NaCl.

148 Blood samples from five wild-caught auklets at 21:00 – 22:00 were used to determine mean  
149 background and initial levels of the  $^2\text{H}$  and  $^{18}\text{O}$  isotopes. After capturing the birds, 1 mL of blood  
150 was collected from the brachial vein as a background sample; then the DLW was injected into the  
151 body cavity. After the DLW injection, the auklets were kept individually in plastic boxes for 90  
152 minutes; then further 1 mL blood samples were collected from each individual as initial samples.  
153 After sampling, they were weighed with a Pesola spring balance accurate to the nearest 10 g; then  
154 released at the nesting site.



155 Eleven individuals were caught in their nest burrows with their chicks to investigate their DEE.  
156 DLW was injected into the abdominal cavity of each bird. After being weighed, all individuals  
157 were banded with individually numbered metal bands and released back into their nests. Four of  
158 the injected individuals were recaptured in their nest burrows at night after they had returned from  
159 foraging trips. Immediately after recapture, a final 1 mL blood sample was collected, and each bird  
160 was re-weighed. These procedures were conducted at night between 21:00 and 23:00 to avoid  
161 investigator disturbance to their breeding (Sun et al., 2020). **Due to lower field efforts for**  
**162 recapturing birds, therefore, four auklets recaptured from 11 injected birds were a low recovery**  
**163 rate compared to previous studies attached bird-borne data-loggers** (Kuroki et al., 2003; Kato,  
164 Watanuki & Naito, 2003; Matsumoto et al., 2008).

165 Weighing the syringe (to the nearest 0.0001 g) before and after each injection using an electronic  
166 balance in the field laboratory (following Speakman 1997), we quantified the injectate. On  
167 average, birds were injected with 3.1326 g DLW ( $\pm 0.0783$  s.d.). We heparinized and centrifuged  
168 (5 min, 6200 rpm) all blood samples. After centrifugation, we stored each serum sample at  $-25^{\circ}\text{C}$   
169 in a 0.5 mL screw-topped plastic vial with an O-ring (Asahi Techno Glass Co.) until isotopic  
170 analysis.

171 We diluted the serum and injectate samples were with distilled water measured with an  
172 electronic balance (Mettler-Toledo, Columbus, OH, USA) to the nearest 0.01 mg. We analyzed  
173 the  $^2\text{H}$  and  $^{18}\text{O}$  isotope concentrations of the serum, DLW injectate, and distilled water using  
174 isotope ratio mass spectrometry (IRMS; Hydra 20-20, Sercon, Crewe, UK; (Shirai et al., 2012,  
175 2015). We used the water equilibration method (Horita et al., 1989) to analyze the serum, DLW  
176 injectate, and distilled water in duplicate. Water standards (Iso-Analytical, Crewe, UK) were used  
177 to establish calibration curves for normalizing the values. Each sample was analyzed in duplicate.  
178 All isotope enrichments were measured in  $\delta$  per mille relative to the working standards and  
179 converted to an absolute ratio for  $^2\text{H}$  by using equation 14.4, and for  $^{18}\text{O}$  by using equation 14.9,  
180 from Speakman (1997). Absolute ratios were converted to ppm using equations from Speakman  
181 (1997): equation 14.8 for  $^2\text{H}$ , and equation 14.14 for  $^{18}\text{O}$ . All subsequent calculations in the DLW  
182 method were performed on the mean values of each sample analyzed in duplicate.

183  
184 **Calculation of  $\text{CO}_2$  production rates in the field**

185 Ideally, background and initial isotope levels should be determined for each subject (Speakman &  
186 Racey, 1987). However, since this increases both the handling time and disturbance of the subject,  
187 the background and initial isotope abundances were determined for just five individuals. The  
188 background isotope level averaged 2002.04 ppm (range 1999.75–2005.16 ppm) for  $^{18}\text{O}$  and 159.64  
189 ppm (range 156.22–165.77 ppm) for  $^2\text{H}$ . We used these mean background levels to calculate the  
190  $\text{CO}_2$  production rate ( $\text{rCO}_2$ ,  $\text{mL day}^{-1}$ ).

191 We also estimated initial isotope enrichment based on the relationship of increments for isotope  
192 injection ( $H_{\text{inc}}$  or  $O_{\text{inc}}$ , ppm) and body mass (BM, g) and respective DLW injectate established for  
193 the birds as previously described in Niizuma & Shirai (2015).

$$194 \quad H_{\text{inc}} = -1915.0 + 3.835 \times \text{BM} + 17661.0 \times H_{\text{inj}} - 27.141 \times \text{BM} \times H_{\text{inj}}$$

$$\begin{aligned}
 195 \quad & H_i = H_{inc} + H_b, \\
 196 \quad & O_{inc} = -3875.7 + 8.639 \times BM + 36186.2 \times O_{inj} - 60.213 \times BM \times O_{inj}, \\
 197 \quad & O_i = O_{inc} + O_b,
 \end{aligned}$$

198 where  $H_{inj}$  and  $O_{inj}$  represent the respective DLW injectate ( $^2\text{H}$  or  $^{18}\text{O}$ , mol),  $H_i$  and  $O_i$  represent  
 199 the estimated initial isotope enrichments and  $H_b$  and  $O_b$  represent the background isotope  
 200 enrichments ( $^2\text{H}$  or  $^{18}\text{O}$ , ppm). The  $H_{inc}$  equation has an adjusted  $R^2$  of 0.942, while the  $O_{inc}$   
 201 equation has an adjusted  $R^2$  of 0.952.

202 Using the DLW injectates, the background and the estimated initial isotope enrichments, we  
 203 calculated the isotope dilution spaces for  $^{18}\text{O}$  ( $N_o$ , mol) using the general equation:

$$204 \quad N_o = \frac{O_{inj} \times (O_i - O_d)}{O_b - O_i}$$

205 where  $O_d$  represents the isotope concentration ( $^2\text{H}$  or  $^{18}\text{O}$ , ppm) in the DLW injectate. To convert  
 206 the units of the isotope dilution spaces, we used a conversion factor of  $18.002 \text{ g mol}^{-1}$   
 207 (Speakman 1997).

208 The turnover rates for  $^2\text{H}$  and  $^{18}\text{O}$  ( $k_d$  and  $k_o$ , respectively,  $\text{day}^{-1}$ ) were determined using the  
 209 following general equations:

$$\begin{aligned}
 210 \quad & k_d = \frac{\ln(H_i - H_b) - \ln(H_f - H_b)}{t} \\
 211 \quad & k_o = \frac{\ln(O_i - O_b) - \ln(O_f - O_b)}{t}
 \end{aligned}$$

212 where  $H_f$  and  $O_f$  represent the respective isotope concentrations ( $^2\text{H}$  or  $^{18}\text{O}$ , ppm) of the final  
 213 samples and  $t$  represents the time interval between the injection and final samples (days; Lifson  
 214 and McClintock 1966; (Speakman, 1997).

215 As previously described in Shirai et al. (2012a), we used Speakman's (1997) one-pool model  
 216 for calculating  $r\text{CO}_2$  in this study as follows:

$$217 \quad r\text{CO}_2 = \frac{N}{2.078} (k_o - k_d) - 0.0062 \times k_d \times N$$

218 where  $N = N_o$ . To convert units in  $\text{mLCO}_2 \text{ day}^{-1}$  into energy equivalents, it was assumed that 1  
 219 mL of  $\text{CO}_2$  equals 25.11 J (Gessaman & Nagy, 1988).

220

## 221 Statistical analysis

222 All statistical analyses were performed in R 3.3.2 (R Development Core Team 2016). Mass-  
 223 specific metabolic rates of rhinoceros auklets resting in air and on water were tested for mean  
 224 differences among air and water temperatures using one-way analysis of variance (ANOVA).  
 225 When significant differences were observed among temperatures, the Tukey–Kramer multiple-  
 226 comparison test was applied to determine which means were significantly different.

227

## 228 Results

### 229 Measurements of Resting Metabolic Rate in air and on water

230 The RMR of rhinoceros auklet in air ( $555.6 \text{ g} \pm 39.6 \text{ s.d.}$ ,  $n = 27$ ) was not affected by air  
231 temperature ( $F_{3, 23} = 0.893$ ,  $P = 0.460$ ; Figure 1a). The RMR in air averaged  $0.0258 \pm 0.0033 \text{ kJ}$   
232  $\text{g}^{-1} \text{ h}^{-1}$  ( $n = 27$ ).

233 The RMR of the auklets on water ( $565.6 \pm 48.7 \text{ g}$ ,  $n = 16$ ) was affected significantly by water  
234 temperature ( $F_{2, 13} = 8.32$ ,  $P = 0.0047$ ; Figure 1b). While RMR on water did not vary significantly  
235 between  $10^\circ\text{C}$  ( $0.0366 \pm 0.0045 \text{ kJ g}^{-1} \text{ h}^{-1}$ ,  $n = 5$ ) and  $15^\circ\text{C}$  ( $0.0347 \pm 0.0036 \text{ kJ g}^{-1} \text{ h}^{-1}$ ,  $n = 6$ ) ( $t_{14}$   
236  $= 0.686$ ,  $P = 0.780$ ), it was significantly higher at  $5^\circ\text{C}$  ( $0.0460 \pm 0.0060 \text{ kJ kg}^{-1} \text{ h}^{-1}$ ,  $n = 5$ ) (5 -  
237  $15^\circ\text{C}$ :  $t_{14} = 3.894$ ,  $P = 0.0049$ ; 5 -  $10^\circ\text{C}$ :  $t_{14} = 3.071$ ,  $P = 0.0226$ ). Auklet RMR on water at  
238 combined temperatures of  $10^\circ\text{C}$  and  $15^\circ\text{C}$  was  $0.0356 \pm 0.0040 \text{ kJ g}^{-1} \text{ h}^{-1}$ ,  $n = 11$ ).

239

#### 240 Daily Energy Expenditure of chick-rearing Rhinoceros Auklets

241 Four birds were recaptured after foraging trips following DLW injection. Three were recaptured  
242 after one-day trips ( $24.1 \pm 0.3$  hours), but one was recaptured after a three-day (72.5 hours) trip  
243 and was found to have almost equal the final isotopic enrichment to the background abundance.  
244 Therefore, calculations of DEE were only possible for three individuals. The DEE of free-ranging  
245 auklets, which initially weighed  $556.3 \text{ g}$  ( $\pm 42.0$ ,  $n = 3$ ), averaged  $1005.5 \text{ kJ day}^{-1}$  ( $\pm 130.2$ ,  $n = 3$ ).  
246 The DEE/RMR ratio (based on RMR in air) was 2.9.

247

## 248 Discussion

249 The adult rhinoceros auklets did not increase their RMR in air but did their RMR on water as air  
250 or water temperature decreased (Figure 1). Our measurements of RMR in air is similar to the  
251  $0.0248 \text{ kJ g}^{-1} \text{ h}^{-1}$  for basal metabolic rate (BMR; Shirai et al., 2013) and the value estimated from  
252 the allometric equation for the Charadriiformes ( $\text{BMR} = 2.149 \text{ m}^{0.804} \text{ kJ/day}$ , where  $m$  is body  
253 mass ( $0.556 \text{ kg}$ ) (Ellis & Gabrielsen, 2002). The single sample method can minimize potential adverse  
254 effects due to avoid additional handling and bleeding (Schultner et al., 2010; Niizuma & Shirai, 2015).  
255 Although our DEE of rhinoceros auklets was measured from three birds due to less field efforts  
256 for recapturing them, the DEE was equal to 112% and within the confidence interval ( $577\text{-}1276$   
257  $\text{kJ day}^{-1}$ ) of the predicted DEE that was calculated (using latitude= $44^\circ$ , body mass= $556 \text{ g}$ , and  
258 breeding phase=Brood) from an allometric equation for seabirds (Dunn, White & Green, 2018).  
259 This suggests that the measured DEE of rhinoceros auklets is reasonable in comparison with  
260 previous studies among seabirds.

261

#### 262 Resting metabolic rate in air and on water

263 We were unable to demonstrate the existence of a lower critical temperature (LCT) in air for  
264 rhinoceros auklets in this study, but suspect that it would be at least lower than  $5^\circ\text{C}$ . The LCT in  
265 air of seabirds decreases with body mass and latitude. Although the LCT for adult rhinoceros  
266 auklets on Teuri Island was estimated to be  $13.6^\circ\text{C}$  from equation 11.9 in Ellis & Gabrielsen  
267 (2002), our results suggest that it is lower than the estimation. The LCT of rhinoceros auklets in  
268 air is similar to that of other seabird species such as common murre, thick-billed murre, dovekie  
269 (*Alle alle*), black guillemot (*Cephus grylle*) and black-legged kittiwake (*Rissa tridactyla*) that

270 breed in arctic regions (Johnson & West, 1975; Gabrielsen, Mehlum & Karlsen, 1988; Gabrielsen  
271 et al., 1991), but not northern fulmar (*Fulmarus glacialis*) which has an LCT in air of 9.0°C  
272 (Gabrielsen, Mehlum & Karlsen, 1988). Cassin's auklet breeding on Triangle Island, British  
273 Columbia, Canada (N 50), has an LCT in air of 16°C (Richman & Lovvorn, 2011) which is higher  
274 than that of the rhinoceros auklet. Despite breeding in the temperate zone, the rhinoceros auklets  
275 in this study had similar thermal properties at LCT in air to those breeding in the Arctic region.  
276 Their insulation properties would constrain their heat dissipation rate while they fly with flapping  
277 flight between their nesting and foraging areas, especially in the temperate zone (Guillemette et  
278 al., 2016; Nord & Nilsson, 2019). Alcidae are especially known to have an energy expenditure that  
279 is 31 times greater than BMR during flight, which is the highest known for any vertebrate (Elliott  
280 et al., 2013a). Since Teuri Island is at the southern limit of this species' breeding area in the west  
281 Pacific, rhinoceros auklets with a lower LCT in air would have difficulty in dissipating heat while  
282 flying with food from their foraging area to their nesting site due to their high level of insulation  
283 in air (Schraft, Whelan & Elliott, 2019).

284 In contrast to their RMR in air, we estimated the LCT on water of rhinoceros auklets between  
285 5°C and 10°C. Their LCT on water is lower than that for common murre, thick-billed murre and  
286 Cassin's auklet (Croll & McLaren, 1993; Richman & Lovvorn, 2011). This result could have  
287 important implications for their ecology. The sea surface temperature around Teuri Island  
288 increases from about 5°C in early April to 15°C in early July during the auklet breeding season (Ito  
289 et al., 2009). After breeding the auklets migrate to more southerly areas so from October to late  
290 February, they experience water temperature of between 11°C and 14°C, but for a short period from  
291 early March to April associated with their northward migration they experience 4 – 6°C sea surface  
292 temperatures (Takahashi et al., 2015). Therefore, they could rest on the sea at minimum energetic  
293 cost during most seasons due to their LCT on water being lower than the usual sea surface  
294 temperature. In field situation, however, the feeding behavior of auklets could make them to stay  
295 longer time to digest food following diving bouts (Elliott et al., 2014) and then to increase their  
296 metabolic rate for the obligatory component of the heat increment of feeding (Hawkins et al.,  
297 1997). The duration for the processes of food digestion and assimilation may be additional cost for  
298 resting on water.

299

### 300 The Energetic cost of resting on the sea surface

301 The DEE/RMR ratio provides an estimate of how much birds must increase its baseline costs to  
302 forage and thermoregulate in a particular environment and may be intrinsically set by  
303 physiological constrains (with in four times RMR in air) (Drent & Daan, 1980). The value in this  
304 study is below the proposed 'energetic ceiling' level and within the range among Alcidae (2.7-  
305 3.8 reviewed in Ellis & Gabrielsen, 2002).

306 Since the sea surface temperature around Teuri Island during the chick-rearing period was 8-13  
307 °C (Ito et al., 2009), which would be within their thermoneutral zone on water, rhinoceros auklets  
308 would not expend extra cost for thermoregulation for resting on water. The energy cost of resting  
309 on water in rhinoceros auklets would be dependent on the time on water per day (%). Rhinoceros

310 auklets **spend longer on water** up to 55% of their time (Kato, Watanuki & Naito, 2003) because  
311 they only deliver food to their chick once a day at most (Watanuki, 1987; Takahashi et al., 1999).  
312 Common murres at Witless Bay, Newfoundland have longer time resting on water (57.5%) (Cairns  
313 et al., 1990) than those at Hornøya (24.9%) (Tremblay et al., 2003). When capelin is present,  
314 common murres at Witless Bay have access to abundant food and can forage close to the colony  
315 within 10 km (Regular, Hedd & Montevecchi, 2013). Therefore, time on water per day (%) in  
316 rhinoceros auklets **would** vary with food abundance. Although we did not measure time on water  
317 per day (%) **of the auklets measured their DEE**, their energy expenditure while resting on the sea  
318 is estimated to be 261.4 kJday<sup>-1</sup>, or 26.0% of the DEE if they spend the same time resting on sea  
319 **for** previous study (Kato, Watanuki & Naito, 2003) and on sea within their LCT on water.

320

## 321 **Conclusions**

322 Many studies of seabird energetics have concentrated on quantifying the energetics of flying and  
323 diving because such locomotion is considered costly (Elliott et al., 2013b). Nevertheless, both  
324 during chick-rearing and wintering seasons seabirds rest floating on the sea. **In this study this**  
325 **energetic cost would be increased with the time on water per day when they are in waters within**  
326 **their LCT.**

327

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333

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503

# Figure 1

Figure 1. Resting metabolic rate (a) in air and (b) on water in rhinoceros auklets.

Figure 1. Resting metabolic rate (a) in air and (b) on water  rhinoceros auklets.

