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Genome-wide investigation of the AP2/ERF superfamily and their expression under salt stress in Chinese willow (*Salix matsudana*)

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AP2/ERF transcription factors (TFs) play indispensable roles in plant growth, development, and especially in various abiotic stresses responses. The AP2/ERF TF family has been discovered and classified in more than 50 species. However, little is known about the AP2/ERF gene family of Chinese willow (*Salix matsudana*), which is a tetraploid ornamental tree species that is widely planted and is also considered a species that can improve the soil salinity of coastal beaches. In this study, 364 AP2/ERF genes of *Salix matsudana* (*SmAP2/ERF*) were identified depending on the recently produced whole genome sequencing data of *Salix matsudana*. These genes were renamed according to the chromosomal location of the *SmAP2/ERF* genes. The *SmAP2/ERF* genes included three major subfamilies: AP2 (55 members), ERF (301 members), and RAV (six members) and two Soloist genes. Genes' structure and conserved motifs were analyzed in *SmAP2/ERF* family members, and introns were not found in most genes of the ERF subfamily, some unique motifs were found to be important for the function of *SmAP2/ERF* genes. Syntenic relationships between the *SmAP2/ERF* genes and AP2/ERF genes from *Populus trichocarpa* and *Salix purpurea* showed that *Salix matsudana* is genetically more closely related to *Populus trichocarpa* than to *Salix purpurea*. Evolution analysis on paralog gene pairs suggested that progenitor of *S. matsudana* originated from hybridization between two different diploid salix germplasms and underwent genome duplication not more than 10 Mya. RNA sequencing results demonstrated the differential expression patterns of some *SmAP2/ERF* genes under salt stress and this information can help reveal the mechanism of salt tolerance regulation in *Salix matsudana*.

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2 Chinese willow (*Salix matsudana*)

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4 Running title: AP2/ERF superfamily in Chinese willow

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30 **Abstract**

31 AP2/ERF transcription factors (TFs) play indispensable roles in plant growth, development, and
32 especially in various abiotic stresses responses. The AP2/ERF TF family has been discovered
33 and classified in more than 50 species. However, little is known about the *AP2/ERF* gene family
34 of Chinese willow (*Salix matsudana*), which is a tetraploid ornamental tree species that is widely
35 planted and is also considered a species that can improve the soil salinity of coastal beaches.

36 In this study, 364 *AP2/ERF* genes of *Salix matsudana* (*SmAP2/ERF*) were identified depending
37 on the recently produced whole genome sequencing data of *Salix matsudana*. These genes were
38 renamed according to the chromosomal location of the *SmAP2/ERF* genes. The *SmAP2/ERF*
39 genes included three major subfamilies: AP2 (55 members), ERF (301 members), and RAV (six
40 members) and two Soloist genes. Genes' structure and conserved motifs were analyzed in
41 *SmAP2/ERF* family members, and introns were not found in most genes of the ERF subfamily,
42 some unique motifs were found to be important for the function of *SmAP2/ERF* genes. Syntenic
43 relationships between the *SmAP2/ERF* genes and *AP2/ERF* genes from *Populus trichocarpa* and
44 *Salix purpurea* showed that *Salix matsudana* is genetically more closely related to *Populus*
45 *trichocarpa* than to *Salix purpurea*. Evolution analysis on paralog gene pairs suggested that
46 progenitor of *S. matsudana* originated from hybridization between two different diploid salix
47 germplasms and underwent genome duplication not more than 10 Mya. RNA sequencing results
48 demonstrated the differential expression patterns of some *SmAP2/ERF* genes under salt stress
49 and this information can help reveal the mechanism of salt tolerance regulation in *Salix*
50 *matsudana*.

51

52 **Abbreviations**

53 AP2/ERF: AP2-like ethylene-responsive transcription factor

54 FPKM: Fragments Per Kilobase of transcript per Million fragments mapped

55 HMM: Hidden Markov Model

56 Ka: Nonsynonymous substitution rate

57 Ks: Synonymous substitution rate

58 Mya: million years ago

59 qRT-PCR: Real-time Quantitative PCR

60 SDs: segmental duplication events

61 TDs: tandem duplication events

62 TFs: transcription factors

63

64 **Introduction**

65

66 APETALA 2/ethylene-responsive element binding factors (AP2/ERF) are important transcription
67 factors (TFs) coded by genes from the AP2/ERF superfamily. All of the members of this
68 superfamily possess AP2 domains and, according to the number and structure of AP2 domains,
69 the superfamily is divided into several categories, including AP2, ERF, RAV, and Soloist
70 (*Nakano et al., 2006*). Most of the AP2 gene family members have two AP2 domains and can be
71 further divided into AP2 and ANT groups; ERF family members have only one AP2 domain and
72 can also be subdivided into ERF and DREB subfamilies based on binding motifs in the promoter
73 of downstream genes. Members of the ERF and DREB subfamilies are classified into 12 groups
74 (groups A1–B6). DREB includes groups A1–A6, whereas ERF includes groups B1–B6 (*Nakano*
75 *et al., 2006*). In addition to one AP2 domain, RAVs also have one B3 domain. The Soloist group
76 contains a single AP2 domain with sequence divergence from the AP2 and ERF families and has
77 less than three members in most species (*Song et al., 2016*).

78 The AP2/ERF superfamily is plant-specific and has more than 100 members in many plant
79 species; for example, there are 147 members in *Arabidopsis*, 200 members in *Populus*
80 *trichocarpa*, and more than 500 members in the tetraploid crop *Brassica napus* (*Nakano et al.,*
81 *2006; Song et al., 2016; Zhuang et al., 2008*). Different members play various regulatory roles in
82 plant growth and development, defense response, fruit ripening, and metabolism (*Gu et al.,*
83 *2017*). Several recent reports demonstrated functions of AP2/ERF2 TFs in plant development.
84 For example, loss of DRNL function affects gynoecium development (*Duran-Medina et al.,*
85 *2017*); the function of *Populus* ERF139 (Potri.013G101100) in xylem cell expansion was
86 characterized by transgenic overexpression and dominant repressor lines of ERF139 (*Wessels et*
87 *al., 2019*); RhERF1 and RhERF4 play roles in petal abscission in rose (*Gao et al., 2019*); and a
88 maize AP2/ERF TF, ZmRAP2.7, is involved in brace root development. AP2/ERF TFs such as
89 ZmEREB94 and CitAP2.10 also play important roles in plant metabolism; ZmEREB94 acts as a
90 key regulator of starch synthesis in maize (*Li et al., 2017b*), and CitAP2.10 was characterized as
91 a regulator of (+)-valencene synthesis in sweet orange fruit (*Shen et al., 2016*).

92 The AP2/ERF superfamily plays major and crucial roles in abiotic stress tolerance, which is why
93 this superfamily has received special attention by plant scientists (*Gu et al., 2017; Srivastava &*
94 *Kumar, 2018*). Through extensive investigation on their regulatory mechanism, people want to
95 elucidate their potential applications in crop improvement (*Srivastava & Kumar, 2018*).
96 Members of this superfamily (primarily ERFs and DREBs) have been prominently used to
97 improve stress tolerance in plants. To improve salinity stress tolerance, many genes from
98 different species were identified. **IbRAP2-12**, an AP2/ERF gene cloned from the salt-tolerant
99 sweet potato, and **LkERF-B2** from *Larix kaempferi* promotes tolerance to salt and drought
100 stresses in overexpressing *Arabidopsis* lines (*Cao et al., 2019; Li et al., 2019b*). Overexpression
101 of **HARDY**, an AP2/ERF gene from *Arabidopsis*, improves drought and salt tolerance by
102 reducing transpiration and sodium uptake in transgenic *Trifolium al.alexandrinum* L (*Abogadallah*
103 *et al., 2011*). A soybean DREB ortholog, GmDREB1, enhances the salt tolerance in transgenic
104 *al.falfa* (*Jin et al., 2010*).

105 Comparative genomic analysis of model plants such as *Arabidopsis* have provided
106 unprecedented advantages for gene discovery and functional annotation of newly sequenced
107 plant genomes (*Brendel et al., 2002; Hall et al., 2002; Schranz et al., 2007*). By exploring the
108 available genomic data, AP2/ERF gene families from 50 species were discovered and classified,
109 and provide critical guidance for functional analysis (*Srivastava & Kumar, 2018*). For example,
110 in radish, cauliflower, and celery, whole genome identification and classification of AP2/ERF
111 gene family members were carried out; additionally, expression patterns of different members
112 under different stresses were revealed, and the function of candidate genes was verified (*Karanja*
113 *et al., 2019; Li et al., 2017a; Li et al., 2019a*).

114 *Salix matsudana* **Koidz.**, an allotetraploid member of Salicaceae, is an important ornamental tree
115 species native to northeastern China (*Zhang et al., 2016; Zhang et al., 2017*); it is widely
116 cultivated and considered an important economic plant because of its easy vegetative
117 propagation, rapid growth, and substantial biomass yields. *Salix matsudana* also plays an
118 important ecological role when grown along Chinese coastal beaches, where the salinity content

119 is high (Zhang *et al.*, 2016). This species can improve the beach soil and alleviate salinization.
120 Newly reclaimed beach soil has higher salinity and requires new germplasm with higher salinity
121 tolerance (Zhang *et al.*, 2017). Because the AP2/ERF gene family members have regulatory roles
122 in salinity tolerance, whole genome characterization of the AP2/ERF gene family in *Salix*
123 *matsudana* will reveal mechanisms underlying stress signal transmission and provide guidance
124 for selection or creation of new germplasm with higher salinity tolerance. In total, 200 and 173
125 AP2/ERF superfamily genes were identified from two species, diploid salix germplasm *Salix*
126 *arbutifolia* and *Populus trichocarpa*, respectively (Rao *et al.*, 2015, Zhuang *et al.*, 2008). The
127 *Salix matsudana* genome was recently sequenced and assembled (In preparation of publication in
128 Horticulture Research); as an allotetraploid, identification of the AP2/ERF gene family will
129 reveal the evolutionary relationship with poplar and other members of *Salix*, and the molecular
130 mechanisms responsible for salinity stress responses.

131 **Materials and Methods**

132 **Plant material and salt stress treatment**

133 The salinity stress treatments were carried out on *Salix matsudana* ‘yanjiang’ and *Salix*
134 *matsudana* ‘9901’. Our previous research results showed that ‘yanjiang’ was salt-sensitive
135 variety, while ‘9901’ was salt-tolerant variety (Zhang *et al.*, 2016). The two *salix* cultivars used
136 in this study were collected from the botany garden of Nantong University (Nantong, China).
137 The two *salix* cultivars were authorized for only scientific research purpose, and were deposited
138 in school of life science in Nantong University. The stem cuttings (length, 8–10 cm; coarse, 2–3
139 mm) of two materials were selected for hydroponic rooting for 20 days. The stems with new
140 generated roots were dipped into NaCl solution (150 mM) (only root and part of shoots were
141 immersed in the solution) for 4 h. All root samples were divided into four categories with three
142 biological replicate to do RNA sequencing: Sample1-1/Sample1-2/Sample1-3 (‘yanjiang’
143 without salt stress treatment, Yanjiang), Sample1N-1/Sample1N-2/Sample1N-3 (‘yanjiang’ with
144 salt stress treatment, Yanjiang NT), Sample2-1/Sample2-2/Sample2-3 (‘9901’ without salt stress
145 treatment, 9901), and Sample2N-1/Sample2N-2/Sample2N-3 (‘9901’ with salt stress treatment,

146 9901 NT).

147 **RNA isolation and Real-time Quantitative PCR (qRT-PCR) analysis**

148 Total RNA was extracted using TaKaRa MiniBEST Plant RNA Extraction Kit (Takara, Dalian,
149 China) from roots according to the manufacturer's instruction. Four samples (Yanjiang, Yanjiang
150 NT, 9901, 9901 NT) were collected from the salt treat experiment mimic RNA sequencing
151 sample collection. For each sample, 3 µg of total RNA was used to synthesize first-strand cDNA
152 with SuperScriptII reverse transcriptase (Takara, Dalian, China). For qRT-PCR, the reaction
153 preparation, application parameter settings and quantitative analysis were performed as
154 previously described (*Chen et al., 2018*). The reactions were performed using the ABI Prism
155 7000 Real-time PCR system (Applied Biosystems, USA). **The *Salix purpurea* Actin1 gene**
156 **(SapurV1A.0655s0050.1) were used as reference genes.** The gene-specific primers for the 13
157 selected genes are listed in Table S1.

158 **Genome sequence retrieval**

159 The *Populus trichocarpa* and *Salix purpurea* sequences were downloaded from JGI
160 (<http://www.phytozome.net/>). The *Salix matsudana* sequences were obtained from our
161 sequencing, and assembly results were obtained by Roche/454 and Illumina/HiSeq-2000
162 sequencing technologies (In preparation of publication in Horticulture Research).

163 **Identification of AP2/ERF genes in *Salix matsudana* and *Salix purpurea***

164 The Pfam accession number of AP2 domain is PF00847.16. We downloaded the Hidden Markov
165 Model (HMM) profile for the AP2/ERF TFs from the Pfam database (<http://pfam.xfam.org/>)
166 with Pfam accession number PF00847.16 as the search keyword. An alternative HMM profile
167 was built by sequence alignment using ClustalW (*Larkin, et al., 2007*). Two HMM profile files
168 were provided as supplemental file S1 and file S2. Using an in-house Perl script with two HMM
169 profiles as queries, hmmsearch was carried out by searching the *Salix matsudana* and *Salix*
170 *purpurea* protein databases with default parameters. To validate the putative accuracy of two
171 HMM search results, the candidate protein sequences were checked in three websites: SMART
172 (<http://smart.embl.de/#>), CDD (<https://www.ncbi.nlm.nih.gov/cdd/>), and Pfam

173 (<http://pfam.xfam.org/>). Candidate proteins with positive results from all three websites were
174 selected as AP2/ERF family members of *Salix matsudana* and *Salix purpurea*. Additionally,
175 putative AP2/ERF protein characteristics, including length, molecular weight, and isoelectric
176 point, were calculated by the ExPasy site (http://au.expasy.org/tools/pi_tool.html). The genes
177 CDS sequences were listed in supplemental file S3.

178 **Phylogenetic analysis and classification of AP2/ERF genes**

179 Using an in-house Perl script (domain_xulie.pl), the conserved AP2 core domains of putative
180 SmAP2/ERF proteins were obtained and subjected to multiple sequence alignment using
181 ClustalW (Larkin *et al.*, 2007). To better classify these SmAP2/ERF proteins, 48 AP2 domains
182 from known categories of *Arabidopsis* and *Populus trichocarpa* AP2 genes were selected to
183 carry out multiple sequence alignment with SmAP2/ERF proteins, and a phylogenetic tree based
184 on this alignment was built by MEGA 7.0 with the neighbor-joining method with default
185 parameters (Kumar *et al.*, 2016). Bootstrap value was set to 1000. Depending on the
186 phylogenetic tree constructed by SmAP2, PtAP2, and AtAP2 domains, these SmAP2/ERF
187 proteins were classified into different subfamilies and subgroups.

188 **Gene structure and conserved motif structure analysis**

189 The UTR–exon–intron structures of the *SmAP2/ERF* genes were obtained based on the gene
190 annotation gff3 files we assembled. Using the online website tool Gene Structure Display Server
191 (<http://gsds.cbi.pku.edu.cn/>), we obtained the gene structure diagrams (Hu *et al.*, 2014).

192 To characterize the structures of SmAP2/ERF proteins, the online tool MEME ([http://meme-](http://meme-suite.org/tools/meme)
193 [suite.org/tools/meme](http://meme-suite.org/tools/meme)) was used to search for conserved motifs (Bailey *et al.*, 2009). The
194 optimized parameters were employed as follows: any number of repetitions, maximum number
195 of motifs = 10, and the optimum width of each motif was 6–50 residues. The search result file
196 meme.xml was downloaded from the website and opened by TBtools v0.66831 to obtain the
197 gene structure diagram (Chen *et al.*, 2020).

198 **Gene position on chromosomes, and gene tandem and segmental duplication analysis**

199 Using the “Amazing Gene Location from GFF3/GTF File” tool of TBtools, the *SmAP2/ERF*

200 genes were mapped on 38 chromosomes of *Salix matsudana*. Because some scaffolds were not
201 assembled onto the chromosomes, not all *SmAP2* genes mapped onto the chromosomes (*Chen et*
202 *al.*, 2020).

203 *Salix matsudana* is a tetraploid willow. Gene duplication events are a common phenomenon in
204 the genome. There are two kinds of gene duplications in the genome: tandem duplication events
205 (TDs) and segmental duplication events (SDs). TDs refer to two or more adjacent homologous
206 genes located within 200 Kb on a single chromosome; SDs refer to homologous gene pairs
207 between different chromosomes (*Cannon et al.*, 2004). The gene duplication pairs were
208 identified in TBtools by the “Blast compare 2 Seq [sets] <Big File>” and “Quick McscanX
209 Wrapper” tools. The candidate duplicated genes should have $\geq 80\%$ coverage and $\geq 65\%$
210 similarity. The TDs of *SmAP2* genes were revealed on a chromosome using the “Amazing Gene
211 Location from GFF3/GTF File” tool of TBtools. The SDs of *SmAP2* genes were visualized by
212 the “Amazing Super Circos” tool of TBtools (*Chen et al.*, 2020).

213 **Divergence time calculation of duplicated genes**

214 After BLASTn analysis of CDS sequences and obtaining duplicated gene pairs, the
215 nonsynonymous substitution rate (Ka) and Synonymous substitution rate (Ks) of gene pairs were
216 calculated by the “Simple Ka/Ks calculator (NG)” tool of TBtools. The divergence time was
217 estimated with the formula: $T = Ks/2\lambda$. The clock-like rate λ value (9.1×10^{-9}) from *Populus* was
218 used in the calculation. (*Lynch & Conery 2000*)

219 **Collinearity analysis between *Salix matsudana* and the representative species**

220 To demonstrate the syntenic relationships of the orthologous *SmAP2/ERF* genes obtained from
221 *Salix matsudana* and other two selected plants (*Populus trichocarpa*, and *Salix purpurea*), the
222 syntenic analysis maps were constructed using the “Amazing Super Circos” tool of TBtools
223 (*Chen et al.*, 2020).

224 **RNA sequencing and a heat map generated by hierarchical clustering**

225 Transcriptome sequencing data of 12 samples were obtained by Illumina HiSeq sequencing.
226 Using TopHat2 software, the clean reads were mapped to the reference genome sequence of *S.*

227 *matsudana* (Kim et al., 2013). Gene expression levels were estimated by fragments per kilobase
228 of transcript per million fragments mapped (FPKM). The FPKM values of all genes from RNA
229 sequencing were available as supplemental file S4. Differential expression analysis of two
230 conditions/groups was performed using the DESeq R package (1.10.1). To identify DEGs, fold
231 change ≥ 2 and false discovery rate (FDR) < 0.01 were used as screening criteria. Using the
232 “Amazing HeatMap” tool of TBtools, a graph of the expression level of *SmAP2/ERF* family
233 genes with hierarchical clustering was generated (Chen et al., 2020).

234 Results

235 Identification, phylogenetic analysis, and classification of 364 AP2/ERF TF family 236 members in *Salix matsudana*

237 By HMM profile search against the *Salix matsudana* protein database, a total of 364 full-length
238 AP2/ERF family proteins containing at least one AP2/ERF domain were identified as AP2/ERF
239 superfamily members of *Salix matsudana* (Fig. 1). The name, protein length, molecular weight,
240 and isoelectric point of individual genes are listed in Supplementary Table S2.

241 The phylogenetic relationships of SmAP2/ERF proteins were inferred by multiple sequence
242 alignment of the AP2 domain, which included approximately 50–60 amino acids. The sequence
243 alignment of all *AP2/ERF* genes showed some conserved amino acids at specific positions, as
244 previously reported (Fig. S1). For example, the WLG element (58th–60th amino acids; 58–60AA)
245 was highly conserved in the ERF and RAV families; alternatively, in the AP2 family, the
246 conserved sequences from 58–60AA were converted into YLG elements (Liu et al., 2019). In
247 many species, these conserved amino acid profiles contribute to convincing classification of
248 *AP2/ERF* genes. Basing on multiple sequence alignments of 48 AP2/ERF proteins from
249 *Arabidopsis* and *Populus trichocarpa* with known categories and 364 *Salix matsudana* AP2/ERF
250 proteins, we constructed a phylogenetic tree using the neighbor-joining method to explore the
251 phylogenetic relationships of *Salix matsudana* AP2/ERF proteins. The phylogenetic tree showed
252 that there were 55 *AP2/ERF* genes that belong to the AP2 family, with 47 genes that encode
253 proteins with two AP2 domains and eight genes (*SmAP2-20*, *SmAP2-25*, *SmAP2-29*, *SmAP2-35*,

254 *SmAP2-36*, *SmAP2-40*, *SmAP2-41* and *SmAP2-55*) that encode proteins with a single AP2
255 domain (Fig. 1). Additionally, 301 genes that were predicted to encode proteins with a single
256 AP2 domain were members of the ERF family. The ERF family could be further classified into
257 two subfamilies, ERF and DREB. Of the 301 members, 166 and 135 genes belonged to the ERF
258 and DREB subfamilies, respectively. The ERF family genes from *Salix matsudana* were
259 distributed in B1–B6 subgroups; the DREB family genes from *Salix matsudana* were classified
260 into A1–A6 subgroups. The gene number and percentage of each subgroup are listed in Fig. 2
261 and Table S3. Six putative genes were classified as RAV subgroup genes that encode proteins
262 containing one *AP2/ERF* domain and one B3 domain (Fig. 1). Two genes were designated as
263 Soloist genes, whose *AP2/ERF*-like domain sequences had lower homology compared with other
264 *AP2/ERF* genes (Fig. 1).

265 The *AP2/ERF* genes number, classification and percentage of different subgroups from five plant
266 species, including the model plant *Arabidopsis*, *Populus*, and two *Salix* plants, are listed in Table
267 S3. The gene name of *AP2/ERF* genes from *Populus trichocarpa* and *Salix purpurea* are listed in
268 Table S4. As a tetraploid plant, the total number (364) of *AP2/ERF* genes was much larger in
269 *Salix matsudana* than in the other four species. The number of *AP2/ERF* genes in *Salix*
270 *matsudana* was 2.5-, 1.8-, 1.9-, and 2.1-fold higher than those in *A. thaliana* (Nakano *et al.*,
271 2006), *Populus trichocarpa* (Zhuang *et al.*, 2008), *Salix purpurea*, and *Salix arbutifolia* (Rao *et*
272 *al.*, 2015), respectively. For DREB and ERF subfamilies, the percentage of all *AP2/ERF* genes in
273 *Salix matsudana* was similar to those of *A. thaliana*, *Populus trichocarpa*, and *Salix purpurea*,
274 and the percentages of DREB and ERF subfamilies were 38% and 45%, respectively. In *Salix*
275 *arbutifolia*, the percentage of DREB (33%) was lower than that of the other four species,
276 whereas the percentage of ERF (50.8%) was higher. In *Salix matsudana*, the percentage of the
277 AP2 subgroup was highest among all five species (15%) and the numbers of most of gene sub-
278 classifications were doubled, including the Soloist gene; there were two Soloist genes in the
279 *Salix matsudana* genome. However, no duplications were observed in the RAV subgroup, and
280 only six RAV genes were found in the *Salix matsudana* genome.

281 **Gene structure and conserved motif analysis**

282 To understand the structural diversity of *SmAP2/ERF* genes in different clades, a different form
283 of phylogenetic tree of SmAP2/ERF family was constructed (Fig.3A). The intron and exon
284 structures of *SmAP2/ERF* genes were revealed by inputting Gff3 files into TBtools (Fig.3B). A
285 total of 55 genes of the AP2 subfamily had more exons than ERF and other subfamilies. Apart
286 from three exons in the *SmAP2-29* and four exons in the *SmAP2-20*, other members of the AP2
287 subfamily contained more than seven exons. The intron number was less than three in many
288 members of the ERF and RAV subfamilies. In total, 215 gene members did not have introns (Fig.
289 3B). The exon/intron structures of genes that were classified in the same clade were similar.
290 Many gene pairs were found in the phylogenetic tree that potentially originated from
291 allotetraploid evolution of *Salix matsudana*. Many gene pairs (approximately 70%) maintained
292 the same or similar gene structure during *Salix matsudana* evolution, which indicated that the
293 *SmAP2/ERF* genes were conserved at the DNA level after polyploidization.

294 TF proteins always contain many conserved motifs to activate gene expression. A total of 10
295 conserved motifs were detected in 364 SmAP2/ERF proteins using the online MEME software,
296 and a block diagram was constructed to characterize SmAP2/ERF protein structure (Fig. 3C, Fig.
297 S2). Motif-4, Motif-1, Motif-2, Motif-3, Motif-5, Motif-7, and Motif-9 were found in the AP2
298 domain regions. The Motif-5 region covered the region of Motif-4 and Motif-1, whereas Motif-7
299 included Motif2 and Motif3. Motif-9 is a specific motif that is only found in the second AP2
300 domain of the AP2 subgroup. Motif-1, Motif-2, Motif-3, and Motif-4 were detected in 90%
301 percent of the ERF subfamily proteins. Thirty proteins of the ERF subfamily lacked one or two
302 motifs of Motif-1–4. An extreme example is *SmERF B2-13*, which only had Motif-2. Motif-6,
303 Motif-8, and Motif-10 are motifs located outside of the AP2 domain. Motif-6 was primarily
304 found in the AP2 subfamily with only one exception, *SmERF B4-4*, which was in the ERF-B4
305 clade. In the AP2 subfamily, members with two AP2 domains had Motif-6 located between the
306 two AP2 domains. Motif-8 was found in 69 proteins of the AP2/ERF family, and its location was
307 adjacent to the carboxyl terminal of Motif-3. Many proteins from the DREB-A1, DREB-A4,

308 DREB-A5 clades had Motif-8. Motif-10 was found in 62 proteins of the AP2/ERF family, with
309 61 proteins from the ERF subfamily and only one from the AP2 subfamily. Motif-10 was mostly
310 distributed on the proteins from the ERF-B3, DREB-A2, and DREB-A4 clades. The functions of
311 these three motifs need to be elucidated by further experimental analysis.

312 Besides protein SmAP2-20, the entire AP2 domain was distributed in the amino terminal or in
313 the middle position of the proteins. In the two Soloist genes, only one motif, Motif-2, was found.
314 The conserved motif composition and gene structure of the same subfamily were similar, thus
315 verifying the reliability of the phylogenetic tree classification.

316 **Chromosome distribution and duplication of *SmAP2/ERF* superfamily genes**

317 The chromosome location of the identified SmAP2/ERF genes was constructed using TBtools. In
318 total, 310 genes from the AP2/ERF superfamily were unevenly distributed on 38 chromosomes
319 (Fig. 4); 54 other genes located on scaffolds were not illustrated in Fig. 4. The chromosome with
320 the largest number of AP2/ERF genes was Chr21, which had 22 genes. Only one *AP2/ERF* gene
321 each was located on Chr14 and Chr36. On the four chromosomes Chr1, Chr3, Chr22, and Chr27,
322 only two *AP2/ERF* genes were found. In 38 chromosomes, most of the *AP2/ERF* genes from
323 different subgroups were arbitrarily distributed, such as five of six RAV genes located on Chr15,
324 Chr37, Chr34, Chr31, and Chr11. Moreover, the two Soloist genes were distributed on Chr29
325 and Chr5. However, *SmERF* B3 subgroup members clustered together with three genes as a
326 cluster unit. We found 12 clusters in 12 chromosomes (Fig. 4), which accounted for 62% of the
327 whole *SmERF* B3 subgroup.

328 In addition, we also analyzed the tandem duplication events (TDs) of the *AP2/ERF* genes located
329 within in the 200-kb range of chromosomal regions of the *Salix matsudana* genome. Eleven TD
330 regions, which included 23 *SmAP2/ERF* genes, clustered into 11 linkage groups (LGs) of the
331 *Salix matsudana* genome (Fig. 4). LGs that contained cluster repeat genes were Chr7, Chr8,
332 Chr10, Chr13, Chr17, Chr19, Chr21, Chr24, Chr28, Chr31, and Chr33. All genes of the repeat
333 clusters were localized within a genomic segment of less than 20 Kb; for example, **SmDREB**
334 **A4-20** and **SmDREB A4-19** were localized on a 3.6-Kb segment of Chr24. On Chr8, three genes

335 clusters (**SmERF B3-6, SmERF B3-7, and SmERF B3-8**) located on a less than 12-Kb segment.
336 In 11 tandem repeats, six came from the **SmERF B3** subgroup, two came from the **SmDREB A4**
337 subgroup, and one each came from the **SmDREB A1 and AP2** subgroups. **SmERF B3-40** and
338 **SmERF B3-39** tandem repeat pairs had 97% protein sequence identity.

339 In addition to tandem duplications, many segmental duplication events (SDs) were found in *Salix*
340 *matsudana* by MCScanX (Fig. 5, Table S5). We found a total of 28,348 collinear gene pairs (not
341 shown) in the *Salix matsudana* genome, from which 298 *AP2/ERF* collinear gene pairs were
342 identified. Then, K_a , K_s , and K_a/K_s ratios of these 298 *AP2/ERF* collinear gene pairs were
343 calculated to estimate the divergence time (T value) and selection pressure among duplicated
344 *SmAP2/ERF* gene pairs (Table S6). All of the K_a/K_s values were below 1, which indicated that
345 these genes might have experienced strong purifying selective pressure during evolution. Among
346 the 298 *AP2/ERF* collinear gene pairs, 198 were located on duplicated segments on 38
347 chromosomes in *Salix matsudana* (Fig. 5 and Table S3). The collinear gene pairs in the *Salix*
348 *matsudana* genome were visualized by Circos, and the gene pairs were linked by lines (grey lines
349 indicated all gene pairs, red lines indicated *AP2/ERF* collinear gene pairs).

350 **Synteny analysis of *AP2/ERF* genes between *Salix matsudana* and two related Salicaceae** 351 **species, *Populus trichocarpa* and *Salix purpurea***

352 To further infer the phylogenetic mechanisms of the *SmAP2/ERF* family, we constructed two
353 comparative syntenic maps of *Salix matsudana* with two related species, *Populus trichocarpa*
354 (Fig. 6A) and *Salix purpurea* (Fig. 6B). Collinear *AP2/ERF* genes pairs between *Salix*
355 *matsudana* and other two species are listed in Supplementary Table S7. A total of 263
356 *SmAP2/ERF* genes showed syntenic relationships with 183 genes from *Populus trichocarpa*, and
357 248 *SmAP2/ERF* genes showed syntenic relationships with 144 genes from *Salix purpurea*. The
358 number of orthologous pairs between *Salix matsudana* and *Populus trichocarpa*, and *Salix*
359 *matsudana* and *Salix purpurea* were 423 and 292, respectively (Supplementary Table S7). Some
360 *PtAP2/ERF* and *SpAP2/ERF* genes were found to be associated with at least four syntenic gene
361 pairs. Interestingly, the number of collinear gene pairs identified between *Salix matsudana* and

362 *Salix purpurea* were less than that between *Salix matsudana* and *Populus trichocarpa*.
363 In the comparative syntenic map between *Salix matsudana* and *Populus trichocarpa*, syntenic
364 links were found between all 19 *Populus trichocarpa* chromosomes and all 38 *Salix matsudana*
365 chromosomes (Fig. 6A). Alternatively, in the comparative syntenic map between *Salix*
366 *matsudana* and *Salix purpurea*, there were no syntenic links between Chr1, Chr12, and Chr36
367 from *Salix matsudana*, and Chr15Z and Chr15W from *Salix purpurea* (Fig. 6B).

368 **Specific expression of AP2/ERF superfamily genes under salt stress**

369 To investigate the physiological roles of *SmAP2/ERF* genes in salt stress tolerance, we identified
370 the expression patterns of **SmAP2, SmERF, and SmDREB** subgroup genes from the RNA
371 sequencing data. By inputting the FPKM values (Fragments Per Kilobase of transcript per
372 Million fragments mapped) of these genes in TBtools, three heatmaps were constructed using
373 Log10-transformed values of the FPKM values to demonstrate the expression pattern change
374 under salt stress (Fig.7).

375 The expression patterns of 285 genes are illustrated in Fig. 7, and included 47 AP2 (Fig. 7A),
376 108 DREB (Fig. 7B), and 130 ERF subgroup genes (Fig. 7C). In the AP2 subgroup, the Log10-
377 transformed values of 31 genes were < 3, which indicated lower expression in the root and no
378 response to salt stress. Five genes had differential expression patterns. The expression levels of
379 four genes (*SmAP2-38*, *SmAP2-4*, *SmAP2-3*, and *SmAP2-33*) were induced by salt stress,
380 whereas the expression of gene *SmAP2-15* decreased after salt stress. In the DREB subgroup,
381 108 genes were present in the heatmap, and expression levels of 10 genes, such as *SmDREB A1-*
382 *10*, *SmDREB A1-9*, and *SmDREB A1-7*, were induced by salt stress and remained higher. In the
383 ERF subgroup heatmap, 130 genes were included. The expression levels of 13 genes were
384 upregulated by salt stress, but only the expression of *SmERF B4-1* was higher. Three genes were
385 downregulated by salt stress, including *SmERF B3-52*. In many paralog gene pairs, we found one
386 gene with higher expression, whereas the other gene had lower expression, such as *SmDREB-A9/*
387 *SmDREB-A10*, *SmAP2-33/SmAP2-39* and *SmERF-9/SmERF-10* gene pairs. Fourteen genes with
388 upregulated expression patterns were verified by qRT-PCR (Real-time Quantitative PCR) (Fig.8).

389 From the results, we found that most genes' expression patterns were consistent with the FRKM
390 values except one gene, *SmERF B3-42*. Both in 'Yanjiang' and '9901' samples, the expression
391 level of thirteen genes was induced after salt treatment, but the expression level of seven genes
392 (*SmAP2-33*, *SmDREBA4-24*, *SmDREBA1-4*, *SmDREBA1-7*, *SmDREBA5-23*, *SmERF B3-45* and
393 *SmERF B4-1*) was much higher induced in '9901' than that in 'Yanjiang', which was not found
394 in RNA sequencing results.

395 Discussion

396 *Salix* is one of the few woody plants with a large number of polyploid taxa, in *S. matsudana*,
397 both tetraploid and diploid individuals have been observed (Guo *et al.*, 2016). In our previous
398 experiment, we sequenced the *Salix matsudana*, an allotetraploid salix. Tetraploid *Salix* is
399 valuable because they have higher tolerance to abiotic stress than their diploid relatives;
400 therefore, they can be planted beachside to alleviate soil salinity and improve the ecological
401 environment (Zhang *et al.*, 2016). The molecular mechanism of salinity response regulation is
402 very complex, and AP2/ERF TFs are key regulators in plants (Xie *et al.*, 2019). Here, we
403 identified 364 AP2/ERF gene members in *Salix matsudana*, and characterized their classification,
404 chromosome location, gene structure, and syntenic relationships of these genes within the
405 genome and between other species. We also revealed the expression patterns under salt stress.
406 These efforts can serve as a first step in comprehensive functional characterization of AP2/ERF
407 genes by reverse genetic approaches and molecular genetics research.

408 As an allotetraploid species, *Salix matsudana* has more AP2/ERF gene members than other
409 plants selected for comparison, including three Salicaceae family relatives (Table S3). The total
410 number of genes is approximately double compared with poplar and two willow relatives, but the
411 proportions of some subgroups were slightly different. *Salix arbutifolia* had a higher percentage
412 (50.8% > 45%) of ERF subfamily members, but a lower proportion (33% < 38%) of DREB
413 subfamily members compared with other species (Table S3). For DREB-A1 and ERF-B2
414 subgroups, the highest percentage was found in *Salix purpurea*, and there were the same number
415 of or more members of these two subgroups compared with other species, including the

416 tetraploid *Salix matsudana*. For ERF-B3, *Salix arbutifolia* had the highest subgroup percentage
417 (18.5%). In *Salix matsudana*, ERF-B6 had the lowest percentage (5.7%), whereas the subfamily
418 AP2 had the highest percentage (15.1%). These data indicated that, during evolution, AP2/ERF
419 family subgroup members probably underwent gene duplication or loss and therefore evolved
420 into the specific AP2/ERF subgroup proportions in each species.

421 A phylogenetic tree that included 364 genes from *Salix matsudana* and 48 genes from *A.*
422 *thaliana* and *Populus trichocarpa* was constructed (Fig.1). All subgroups were clustered together.
423 Eight genes with one AP2 domain were classified into the AP2 family because of a close
424 phylogenetic relationship. This classification was similar to that in *Arabidopsis*, in which four
425 genes involved in the AP2 family contained a single AP2 domain (Nakano et al., 2006).

426 The gene intron/exon structure and conserved motifs were identified in the 364 SmAP2/ERF
427 members. Similar to that of the AP2/ERF genes from other species, such as cauliflower and
428 radish, the AP2 subfamily had more introns and the ERF subfamily had fewer (Karanja et al.,
429 2019; Li et al., 2017a). Previous studies found that intron number and distribution are related to
430 plant evolution, and introns of the ERF family genes were probably lost during evolution in
431 higher plants (Tang et al., 2016; Zhang & Li, 2018). In total, 215 of the 301 members (70%) of
432 the ERF family had no introns, which was a little less than that of tartary buckwheat (Liu et al.,
433 2019) and also consistent with previous finding 

434 Through the conserved domains and motifs, TFs play roles in gene expression regulation by
435 promoter binding, transcription activation, and protein–protein interactions (Liu et al., 2010).
436 Motif analysis showed that Motif-6, Motif-8, and Motif-10 were specifically detected in different
437 groups of the AP2/ERF subfamily; seven other motifs were all related to the AP2 domain (Fig.
438 3b). Motif-8 was specifically found in the DREB subgroup, such as in the DREB-A1, DREB-A4,
439 and DREB-A5 clades. Motif-10 was mostly found distributed on proteins from the ERF-B3,
440 DREB-A2, and DREB-A4 clades. Motif-6 was specifically located between the two AP2
441 domains of AP2 subgroup members. These results indicate that, although some motifs of the
442 AP2/ERF family genes were highly conserved and involved in DNA binding, such as motifs

443 from the AP2 domain, the functions of other subgroup-specific motifs are still unknown, and
444 more work is required to clarify their regulatory functions.

445 Based on the genome assembly data, 301 genes were anchored on the 38 chromosomes (LGs),
446 but they were unevenly distributed. Eleven TDs were found on 11 chromosomes, and seven
447 tandem duplication gene pairs came from the SmERF B3 subgroup, which included three
448 duplicated genes (*SmERF B3-6*, *SmERF B3-7*, and *SmERF B3-8*) that clustered together. Apart
449 from the tandem duplication cluster, SmERF B3 members typically clustered on a chromosome,
450 with three genes as a unit. In 12 clusters, 37 *SmERF B3* genes were found. This phenomenon
451 were also found in *Populus trichocarpa*, thirteen PtERF B3 genes located in 4 clusters, which
452 indicated that in the evolution of *Salix matsudana*, apart from the chromosome duplication,
453 segmental duplication were also happened.

454 Using MCScanX, we found a total of 28,348 collinear gene pairs in the *Salix matsudana* genome,
455 from which 299 AP2/ERF collinear gene pairs were identified; this indicated that, during
456 evolution, the *Salix matsudana* genome experienced a whole genome duplication event.
457 Population genetic theory predicts that, after duplication, some redundant duplicate copies will
458 be silenced and eliminated, and other retained paralogs will obtain sub- or neofunction by DNA
459 mutation in coding or regulatory sequences (*Adams et al., 2003; Hou et al; 2019; Xionglei &*
460 *Jianzhi, 2005*).

461 Then, we calculated Ka, Ks, and Ka/Ks ratios of these 298 AP2/ERF collinear gene pairs to
462 estimate the divergence time and selection pressure. All Ka/Ks values were below 1, which
463 indicated that these genes might have experienced strong purifying selective pressure during
464 evolution. It was previously reported that purifying selection would lead to the loss of redundant
465 genes (*Kondrashov et al., 2002*). Based on the gene number of most subgroups, we did not find
466 any obvious evidence of gene loss, but in the RAV subgroup, there was an exception; there were
467 only six members in *Salix matsudana*, which is identical to the gene number in *Arabidopsis*.
468 Based on the gene loss hypothesis, the duplication paralogs of RAVs may have been lost during
469 genome evolution because of their rapid evolutionary rate.

470 Approximately 52–59 million years ago (Mya), willow and poplar, which are two modern taxa,
471 originated from a diploid progenitor, but when and how *Salix matsudana* originated and
472 experienced chromosome duplication remains largely unknown (Hou et al., 2016). The
473 allotetraploid *Salix matsudana* may originated from hybridization between two diploid salix
474 germplasm and subsequently genome duplication. In our study, the divergence time (T Value) of
475 gene pairs can be classified mainly into two time periods, 2–8 Mya and 20–36 Mya (Table S6).
476 Gene pairs with a divergence time of 20–36 Mya were probably paralogs from two diploid
477 hybrid parents; whereas 2–8 Mya is probably the divergence time of paralogs after whole
478 genome duplication events. These data indicated that the two diploid progenitors of *Salix*
479 *matsudana* underwent hybridization and genome duplication not more than 10 Mya.

480 Similar to the findings of a previous report, alignment of a *Salix* linkage map to the *Populus*
481 genomic sequence revealed macrosynteny between willow and poplar genomes (Hanley et al.
482 2006) (Fig 6A, 6B). Synteny analysis of *Salix matsudana* vs *Populus trichocarpa*, and *Salix*
483 *matsudana* vs *Salix purpurea* revealed 423 and 292 orthologous pairs, respectively. In total, 263
484 *SmAP2/ERF* genes had syntenic relationships with 183 genes in *Populus trichocarpa*, whereas
485 248 *SmAP2/ERF* genes showed syntenic relationships with 144 genes in *Salix purpurea*.
486 Interestingly, the collinear gene pairs identified between *Salix matsudana* and *Salix purpurea*
487 were less than that from *Salix matsudana* and *Populus trichocarpa*. Syntenic links were found
488 between all 19 *Populus trichocarpa* chromosomes and all 38 *Salix matsudana* chromosomes, but
489 there were no syntenic links between Chr1, Chr12, and Chr36 from *Salix matsudana* and Chr15Z
490 and Chr15W from *Salix purpurea*. *Salix* has 300–500 species and considerable variation, ranging
491 from shrubs to trees (Argus, 1997); willow may evolve faster, which would lead to them being
492 more diverse. Researchers proposed that *Populus* might be evolutionarily more primitive than
493 *Salix* (Dai et al. 2014, Hou et al. 2019). From our results, we could infer the evolutionary
494 relationships of three Salicaceae species (*Populus trichocarpa*, *Salix matsudana*, and *Salix*
495 *purpurea*); *Populus trichocarpa* was the most primitive taxon, *Salix purpurea* was the most
496 derived taxon, and *Salix matsudana* was located between them but was genetically more closely

497 related to *Populus trichocarpa* than *Salix purpurea*.

498 Plants must adapt to various biotic and abiotic stresses because they are immobile in their life
499 cycles. For example, *Salix matsudana* must adapt to the soil salinity when grown along coastal
500 beaches. Consequently, some *AP2/ERF* TFs play important roles in plants by facilitating defense
501 against stress and improving resistance. From the RNA sequencing data, we extracted the
502 expression FPKM values and constructed expression heatmaps to show the expression patterns
503 under salt stress (Fig. 7). The expression levels of four genes from the AP2 subgroup, 10 genes
504 from the DREB subgroup, and 13 genes from the ERF subgroup were induced by salt stress, but
505 only the expression levels of four genes were downregulated after salt stress. The expression
506 patterns were verified by qRT-PCR. The expression pattern of many *AP2/ERF* gene pairs with
507 evolutionary relationships differed, which indicated that the *AP2/ERF* gene family may have
508 changed at the transcriptional regulation level following polyploidization. That finding provides
509 additional evidence that redundant duplicated gene pairs experienced functional divergence
510 based on expression pattern change. These differentially expressed *SmAP2/ERF* genes could be
511 selected as candidate genes; such as **SmDREB A1-4 and SmERF B3-45**, further exploration on
512 their roles under salt stress will reveal molecular mechanisms responsible for salinity stress
513 responses in *Salix matsudana*.

514 In conclusion, 364 *AP2/ERF* TFs were identified in *Salix matsudana*. Clustering and
515 phylogenetic analysis were conducted to classify these TFs into 15 subgroups. Chromosome
516 location, gene structure, and conserved motifs were identified for 364 *AP2/ERF* TFs.
517 Evolutionary relationships of these genes were revealed by tandem and segmental duplication
518 gene pair identification, divergence time estimation, and T value calculation, which indicated
519 that the progenitor of *Salix matsudana*, two diploid salix germplasms, underwent hybridization
520 and genome duplication not more than 10 Mya. Synteny analysis with other species showed
521 macrosynteny between willow and poplar *AP2/ERF* genes, and *Salix matsudana* was genetically
522 more closely related to *Populus trichocarpa* than *Salix purpurea*. The *AP2/ERF* TFs were also
523 confirmed to exhibit differential expression patterns during salt stress. The functions of these

524 genes should be investigated in future studies to better clarify the mechanism of salt tolerance
525 regulation in *Salix matsudana*, which will be helpful for breeders in salt tolerance varieties
526 selection.

527 **Conclusion**

528 In this study, 364 *SmAP2/ERF* genes of *Salix matsudana* were identified and renamed according
529 to the chromosomal location of the *SmAP2/ERF* genes. Gene classification, gene structure and
530 conserved motifs were analyzed in detail. Investigation results on syntenic relationships between
531 the *SmAP2/ERF* genes and AP2/ERF genes from other species elucidated that the progenitors of
532 *Salix matsudana* underwent whole genome duplication not more than 10 Mya and *Salix*
533 *matsudana* is genetically more closely related to *Populus trichocarpa* than to *Salix purpurea*.
534 Moreover, analyses on the differential expression patterns of *SmAP2/ERF* genes during salt
535 stress can help to reveal the mechanism of salt tolerance regulation in *Salix matsudana*.

536

537 **Acknowledgments** We thank Mallory Eckstut, PhD, from Liwen Bianji, Edanz Editing China
538 (www.liwenbianji.cn/ac), for editing the English text of a draft of this manuscript.

539 **ADDITIONAL INFORMATION AND DECLARATIONS**

540 **Funding**

541 This work was supported by the National Natural Science Foundation of China (31971681),
542 Jiangsu Province Forestry Science and Technology Innovation and Promotion Project (LYKJ
543 [2018]36) and Nantong University Scientific Research Start-up project for Introducing Talents
544 (18R08).

545 **Grant Disclosures**

546 The following grant information was disclosed by the authors:

547 National Natural Science Foundation of China: 31971681.

548 Jiangsu Province Forestry Science and Technology Innovation and Promotion Project: LYKJ
549 [2018]36.

550 Nantong University Scientific Research Start-up project for Introducing Talents: 18R08 .

551 **Competing Interests**

552 The authors declare there are no competing interests.

553 **Author Contributions**

554 Yanhong Chen conceived and designed the experiments, analyzed the data, prepared figures
555 and/or tables, authored or reviewed drafts of the paper, approved the final draft.

556 Jian Zhang, Yuna Jiang conceived and designed the experiments, performed the experiments,
557 analyzed the data, prepared figures and/or tables, authored or reviewed drafts of the paper,
558 approved the final draft.

559 Shizheng Shi conceived and designed the experiments, analyzed the data, authored or reviewed
560 drafts of the paper, approved the final draft.

561 Fei Zhong, Guoyuan Liu, Chunmei Yu, Bolin Lian performed the experiments, contributed
562 reagents/materials/analysis tools, authored or reviewed drafts of the paper, approved the final
563 draft.

564 **Data Availability**

565 The following information was supplied regarding data availability:

566 The latest **S. matsudana** genome including annotation gff file, coding sequences cds file and
567 protein sequences pep file are available at our laboratory website ([https://js-
568 garden.cn/?list_6/99.html](https://js-garden.cn/?list_6/99.html)); the FPKM values of all genes from RNA sequencing were available
569 as supplemental file S4; the raw data for quantitative real-time PCR were supplied as
570 supplemental file S5.

571

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719

720 **Figure Legends**

721 **Figure. 1 Unrooted phylogenetic tree and classification of 364 *SmAP2/ERF* genes and their**
722 **representative orthologs from *Arabidopsis* and *Populus*.**

723 The amino acid sequences of AP2 domains from 364 *SmAP2/ERF* proteins and 48 orthologs
724 from *Arabidopsis* and *Populus* were aligned by ClustalW, and the neighbor-joining tree was
725 constructed using MEGA 7.0 with 1000 bootstrap replicates. The evolutionary distances were
726 computed using the p-distance method. In total, 364 *SmAP2/ERF* members were classified into
727 15 smaller subgroups, and their names are labeled beside the tree.

728 **Figure. 2 Classification and subgroup proportions of *SmAP2/ERF* family genes.**

729 The size of each piece is proportional to the relative abundance to the *SmAP2/ERF* genes
730 assigned to this group.

731 **Figure. 3 Phylogenetic relationships, gene structure, and architecture of conserved protein**
732 **motifs in *SmAP2/ERF* superfamily members.**

733 A, The phylogenetic tree was constructed based on the amino acid sequences of the AP2 domain
734 from 364 *SmAP2/ERF* proteins using MEGA7.0. B, Motif composition of tartary buckwheat
735 *AP2/ERF* proteins. Motifs 1–10 are displayed in different colored boxes. The sequence
736 information for each motif is provided in Fig. S2. C, Exon/intron structure of *SmAP2/ERF* genes.
737 Yellow boxes indicate untranslated 5'- and 3'-regions; green boxes indicate exons; black lines
738 indicate introns. The protein length can be estimated using the scale at the bottom.

739 **Figure. 4 Schematic representations for the chromosomal distribution and tandem**
740 **duplication of *SmAP2/ERF* genes.**

741 The red lines indicate tandem duplicated AP2/ERF gene pairs, which are indicated in green. The
742 *SmERF-B3* subgroup members labeled with blue clustered on the same chromosome. The
743 chromosome number is indicated to the left of each chromosome. The orange color columns
744 outside the circle indicate gene density, the deeper color in the column, the higher gene density
745 in this chromosome region part.

746 **Figure. 5 Schematic representations of the segmental duplication and interchromosomal**
747 **relationships of *SmAP2/ERF* genes.**

748 Grey lines indicate all syntenic gene pairs in the *Salix matsudana* genome, red lines indicate
749 syntenic relationships between *SmAP2/ERF* genes. The orange color columns outside of the
750 circle indicated the gene density on each 38 chromosomes. The deeper color means the higher
751 density of genes.

752 **Figure. 6 Synteny analysis of *AP2/ERF* genes between *Salix matsudana* and two related**
753 **Salicaceae species, *Populus trichocarpa* and *Salix purpurea*.**

754 A, Synteny analysis of AP2 genes between *Salix matsudana* and *Populus trichocarpa*. B.

755 Synteny analysis of AP2 genes between *Salix matsudana* and *Salix purpurea*
756 Gray lines in the background indicate the collinear blocks within *Salix matsudana* and other
757 plant genomes, whereas red lines highlight syntenic *AP2/ERF* gene pairs.

758 **Figure. 7 Hierarchical clustering of AP2 genes and heatmap that demonstrates the**
759 **differential expression patterns of *SmAP2/ERF* genes in roots before and after salt stress.**

760 The Log10-transformed expression values of the FPKM values of 12 samples were used to draw
761 the heat map. The color bar indicates the gene expression level. A, Heatmap and hierarchical
762 clustering representation of 47 AP2 members. B, Heatmap and hierarchical clustering
763 representation of 108 DREB members. C, Heatmap and hierarchical clustering representation of
764 130 ERF members.

765 **Figure. 8 Verification of the *SmAP2/ERF* genes with differentially expressed patterns**
766 **under salt stress by quantitative real-time PCR**

767 For salt stress, ‘Yanjiang’ and ‘9901’ roots that were subjected to 20 days of hydroponic culture
768 and then treated with 150 mM sodium chloride for 4 h. The control was an untreated ‘Yanjiang’
769 sample. Three biological replicates for each sample were performed, and bars represent the
770 standard deviations of the mean. Asterisks on top of the bars indicate statistically significant
771 differences between stress treatment and the control ($*0.01 < P < 0.05$; $**p < 0.01$, Student’s t-
772 test). Gene expression profiles were evaluated using the $2^{-\Delta\Delta C_t}$ method, and the control value was
773 normalized to 1. YJ-CK and YJ-NT indicate the ‘Yanjiang’ roots treated with water and 150 mM
774 NaCl respectively; 9901-CK and 9901-NT indicate ‘9901’ roots treated with water and 150 mM
775 NaCl respectively.

Figure 1

Figure. 1 Unrooted phylogenetic tree and classification of 364 *SmAP2/ERF* genes and their representative orthologs from *Arabidopsis* and *Populus*.

The amino acid sequences of AP2 domains from 364 *SmAP2/ERF* proteins and 48 orthologs from *Arabidopsis* and *Populus* were aligned by ClustalW, and the neighbor-joining tree was constructed using MEGA 7.0 with 1000 bootstrap replicates. The evolutionary distances were computed using the p-distance method. In total, 364 *SmAP2/ERF* members were classified into 15 smaller subgroups, and their names are labeled beside the tree.

Figure 2

Figure. 2 Classification and subgroup proportions of *SmAP2/ERF* family genes.

The size of each piece is proportional to the relative abundance to the *SmAP2/ERF* genes assigned to this group.

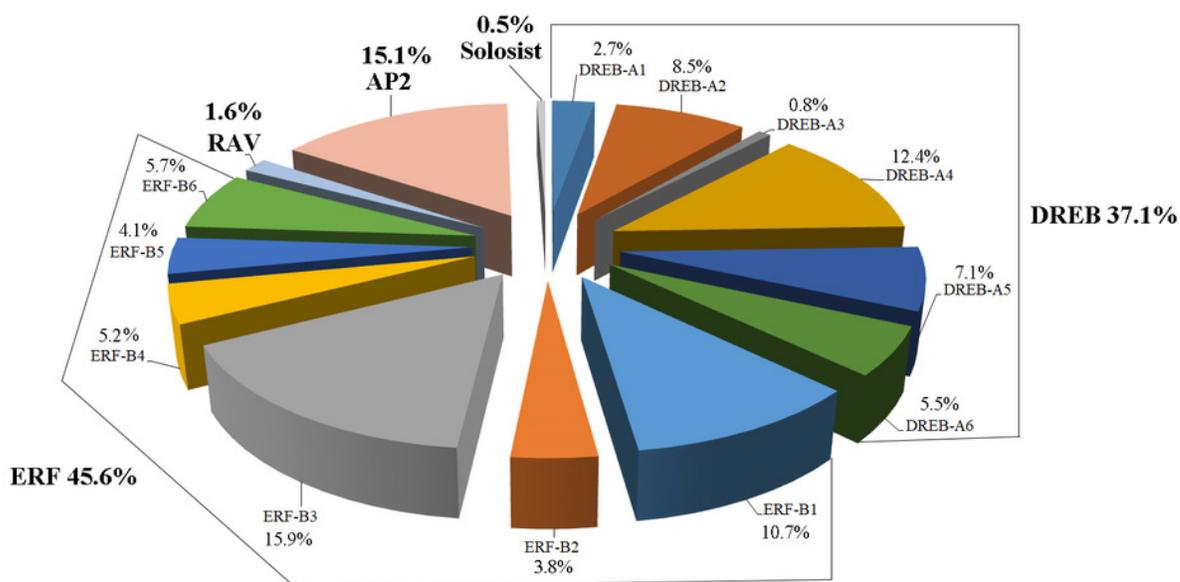


Figure 3

Figure. 3 Phylogenetic relationships, gene structure, and architecture of conserved protein motifs in *SmAP2/ERF* superfamily members.

A, The phylogenetic tree was constructed based on the amino acid sequences of the AP2 domain from 364 *SmAP2/ERF* proteins using MEGA7.0. B, Motif composition of tartary buckwheat *AP2/ERF* proteins. Motifs 1-10 are displayed in different colored boxes. The sequence information for each motif is provided in Fig. S2. C, Exon/intron structure of *SmAP2/ERF* genes. Yellow boxes indicate untranslated 5'- and 3'-regions; green boxes indicate exons; black lines indicate introns. The protein length can be estimated using the scale at the bottom.

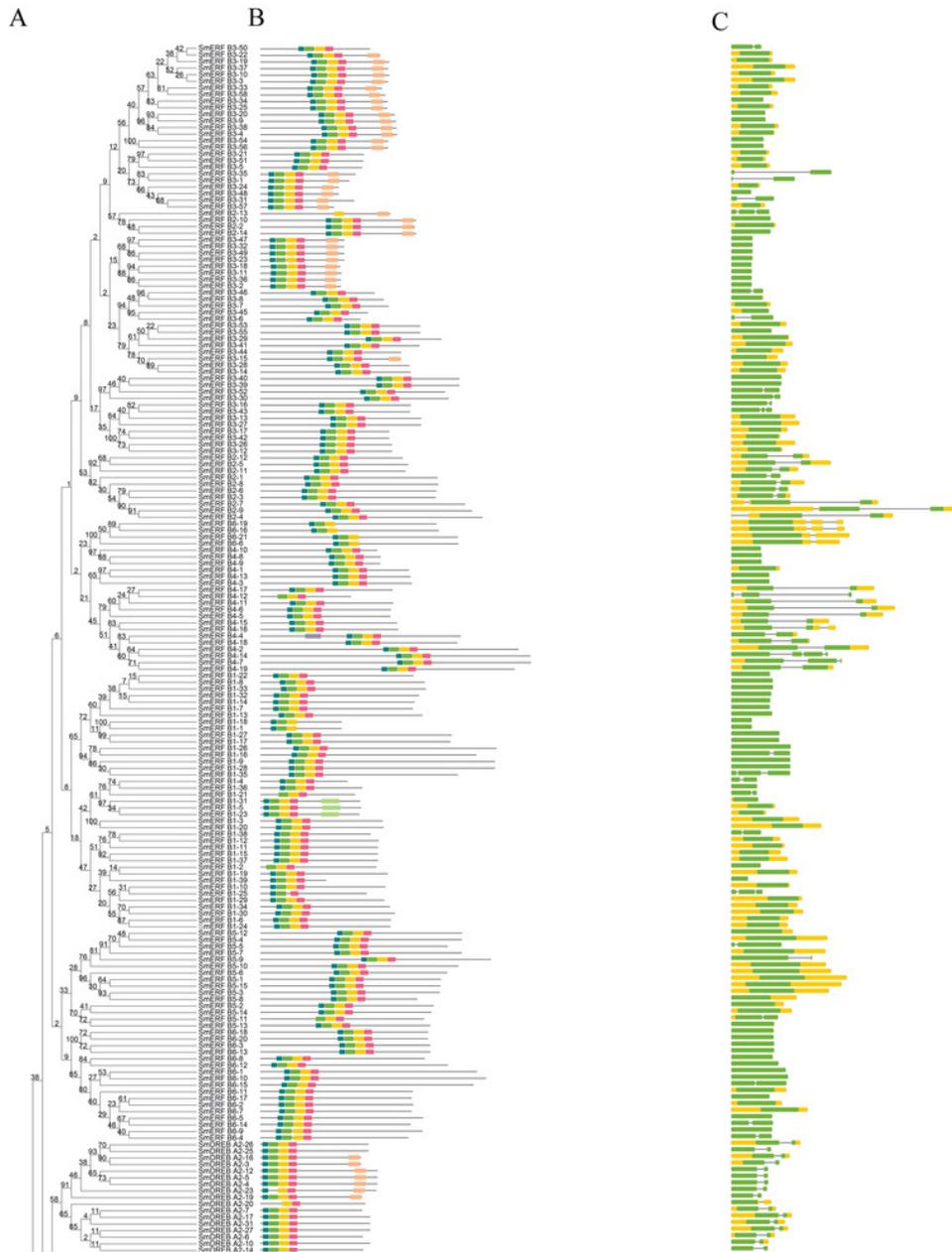


Figure 4

Figure 3 Phylogenetic relationships, gene structure, and architecture of conserved protein motifs in *SmAP2/ERF* superfamily members.(CONTINUED)

A, The phylogenetic tree was constructed based on the amino acid sequences of the AP2 domain from 364 *SmAP2/ERF* proteins using MEGA7.0. B, Motif composition of tartary buckwheat *AP2/ERF* proteins. Motifs 1-10 are displayed in different colored boxes. The sequence information for each motif is provided in Fig. S2. C, Exon/intron structure of *SmAP2/ERF* genes. Yellow boxes indicate untranslated 5'- and 3'-regions; green boxes indicate exons; black lines indicate introns. The protein length can be estimated using the scale at the bottom.

Fig. 3 Continued

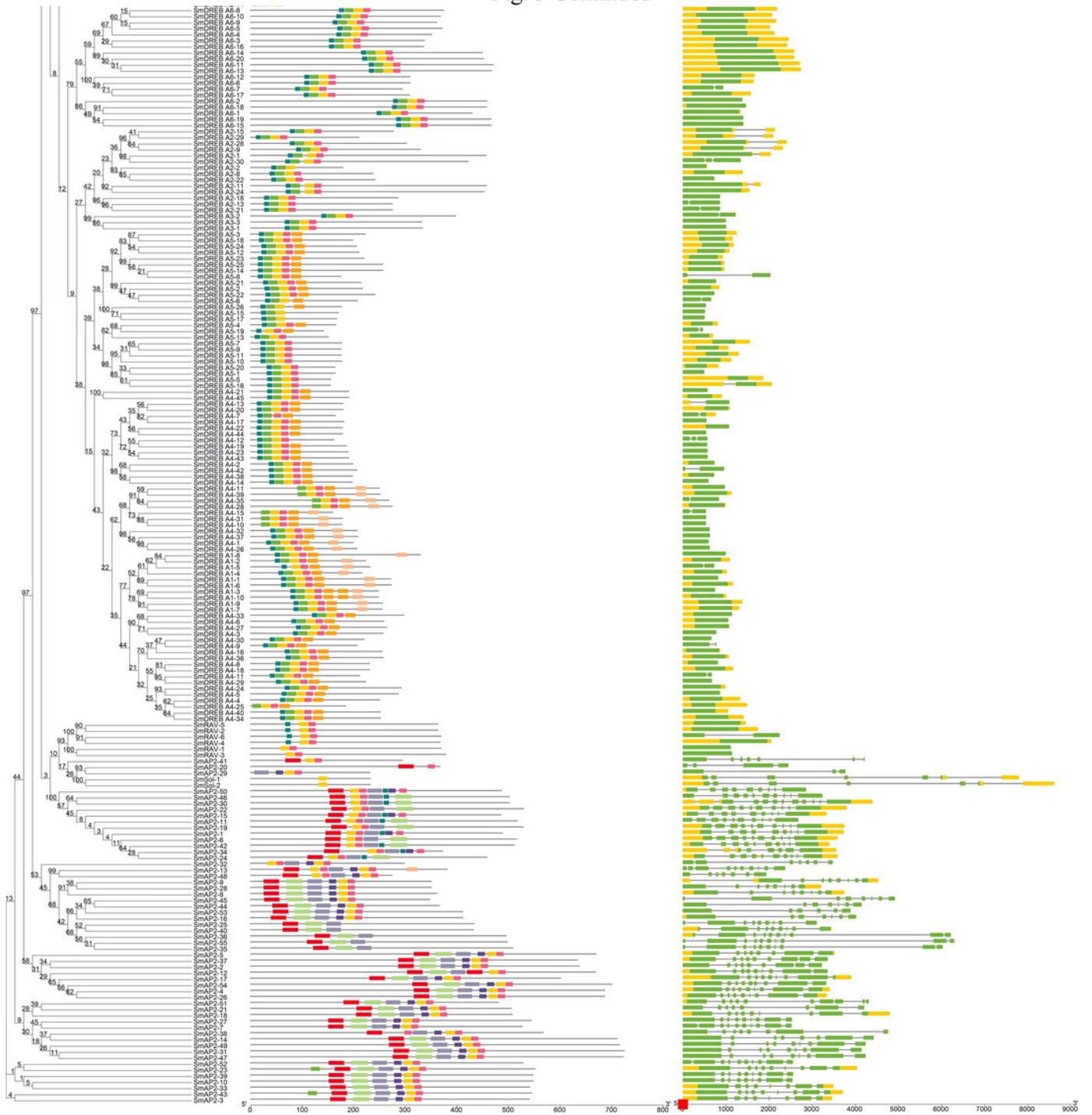


Figure 5

Figure. 4 Schematic representations for the chromosomal distribution and tandem duplication of SmAP2/ERF genes.

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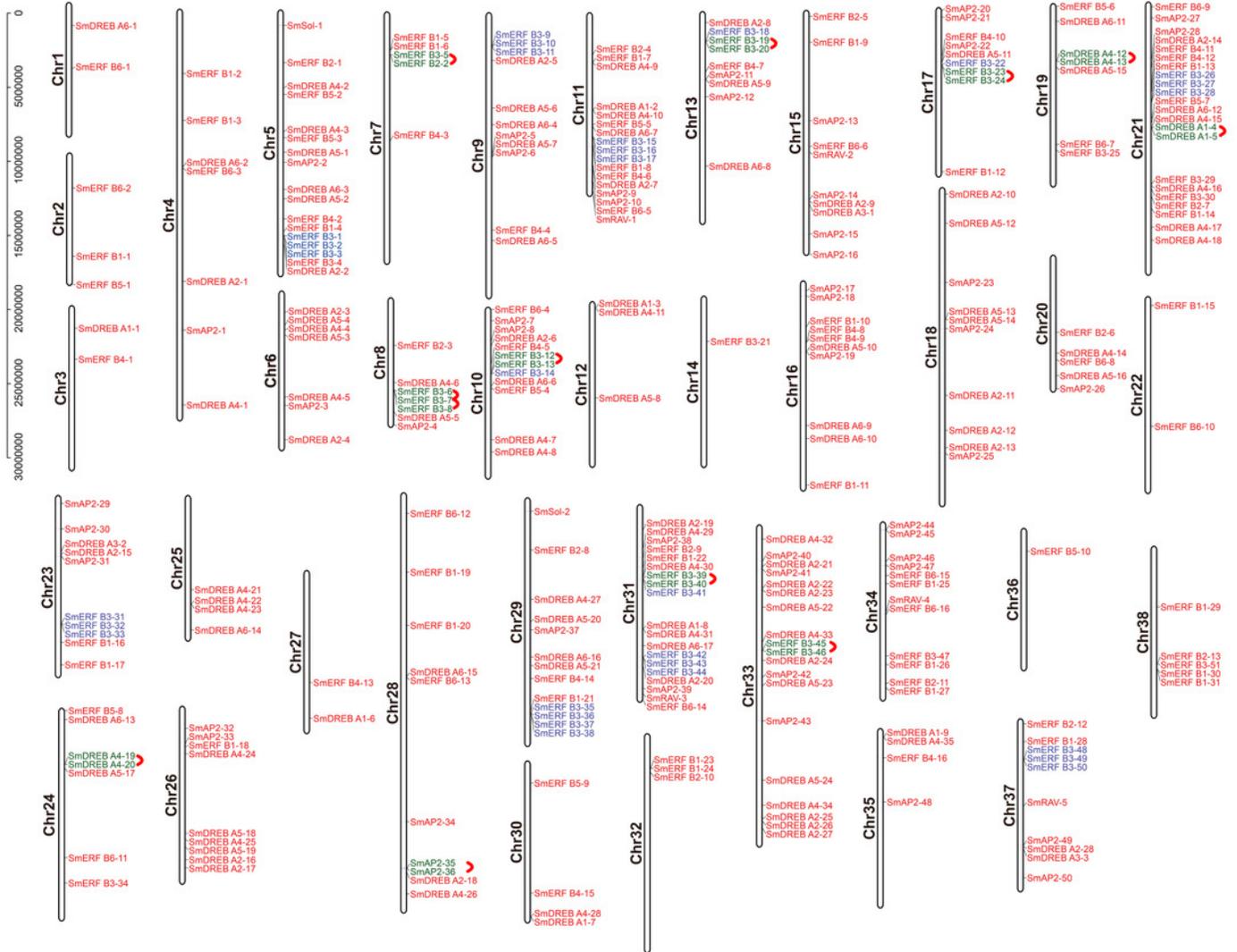


Figure 6

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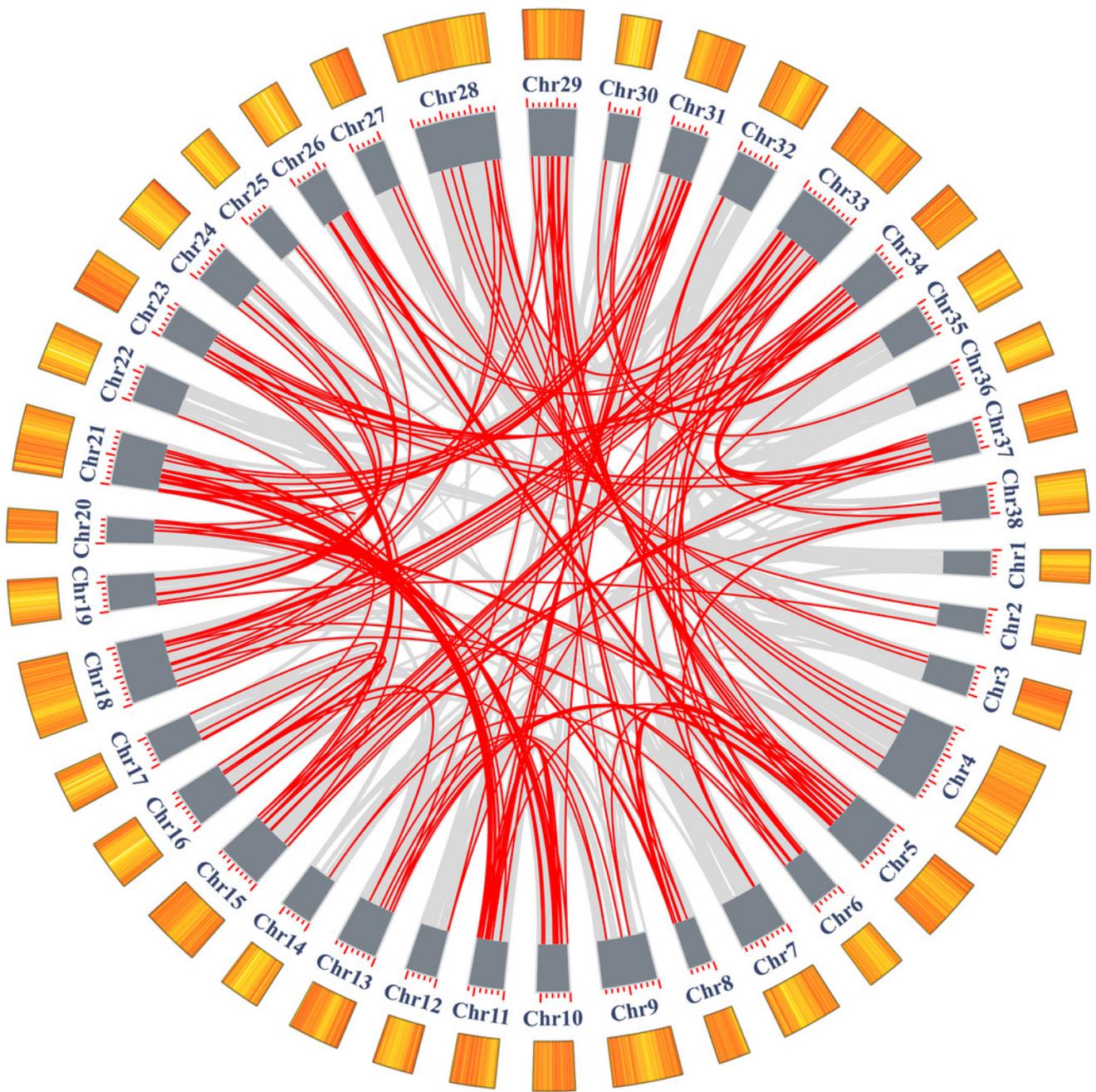


Figure 7

Figure. 6 Synteny analysis of AP2/ERF genes between *Salix matsudana* and two related Salicaceae species, *Populus trichocarpa* and *Salix purpurea*.

A, Synteny analysis of AP2 genes between **Salix matsudana** and *Populus trichocarpa*. B. Synteny analysis of AP2 genes between *Salix matsudana* and *Salix purpurea*. Gray lines in the background indicate the collinear blocks within *Salix matsudana* and other plant genomes, whereas red lines highlight syntenic AP2/ERF gene pairs.

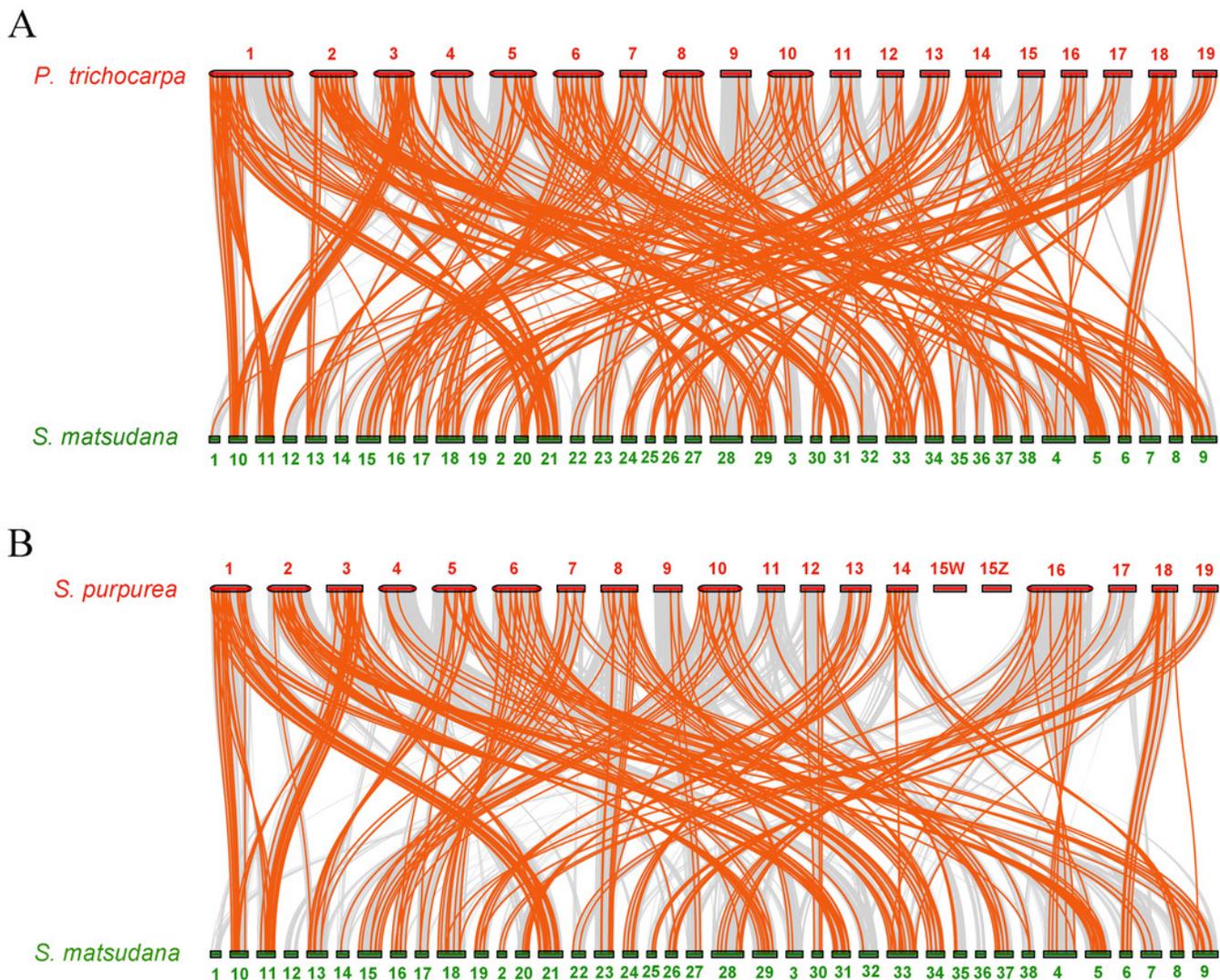


Figure 8

Figure. 7 Hierarchical clustering of AP2 genes and heatmap that demonstrates the differential expression patterns of *SmAP2/ERF* genes in roots before and after salt stress.

Figure. 7 Hierarchical clustering of AP2 genes and heatmap that demonstrates the differential expression patterns of *SmAP2/ERF* genes in roots before and after salt stress. The Log10-transformed expression values of the FPKM values of 12 samples were used to draw the heat map. The color bar indicates the gene expression level. a, Heatmap and hierarchical clustering representation of 47 AP2 members. b, Heatmap and hierarchical clustering representation of 108 DREB members. c, Heatmap and hierarchical clustering representation of 130 ERF members.

Figure 9

Figure. 8 Verification of the *SmAP2/ERF* genes with differentially expressed patterns under salt stress by quantitative real-time PCR

Figure. 8 Verification of the *SmAP2/ERF* genes with differentially expressed patterns under salt stress by quantitative real-time PCR For salt stress, 'Yanjiang' and '9901' roots that were subjected to 20 days of hydroponic culture and then treated with 150 mM sodium chloride for 4 h. The control was an untreated Yanjiang sample. Three biological replicates for each sample were performed, and bars represent the standard deviations of the mean. Asterisks on top of the bars indicate statistically significant differences between stress treatment and the control (* $0.01 < P < 0.05$; ** $p < 0.01$, Student's t-test). Gene expression profiles were evaluated using the $2^{-\Delta\Delta Ct}$ method, and the control value was normalized to 1.

