

# Genome-wide identification of HSF Family in Peach and Functional analysis of *PpHSF5* involvement in Root and Aerial Organ Development

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**Background.** Heat shock factors (HSFs) play important roles during normal plant growth and development and when plants respond to diverse stressors. Although most studies have focused on the involvement of HSFs in the response to abiotic stresses, especially in model plants, there is little research on their participation in plant growth and development or on the HSF (*PpHSF*) gene family in peach (*Prunus persica*).

**Methods.** DBD (PF00447), the HSF characteristic domain, was used to search the peach genome and identify *PpHSFs*. Phylogenetic, multiple alignment and motif analyses were conducted using MEGA 6.0, ClustalW and MEME, respectively. The function of *PpHSF5* was confirmed by overexpression of *PpHSF5* into *Arabidopsis*.

**Results.** Eighteen *PpHSF* genes were identified within the peach genome. The *PpHSF* genes were nonuniformly distributed on the peach chromosomes. Seventeen of the *PpHSFs* (94.4%) contained one or two introns, except *PpHSF18*, which contained three introns. The in silico-translated *PpHSFs* were classified into three classes (*PpHSFA*, *PpHSFB* and *PpHSFC*) based on multiple alignment, motif analysis and phylogenetic comparison with HSFs from *Arabidopsis thaliana* and *Oryza sativa*. Dispersed gene duplication (DSD at 67%) mainly contributed to HSF gene family expansion in peach. Promoter analysis showed that the most common cis-elements were the MYB (abiotic stress response), ABRE (ABA-responsive) and MYC (dehydration-responsive) elements. Transcript profiling of 18 *PpHSFs* showed that the expression trend of *PpHSF5* was consistent with shoot length changes in the cultivar 'Zhongyoutao 14'. Further analysis of the *PpHSF5* was conducted in 5-year-old peach trees, *Nicotiana benthamiana* and *Arabidopsis thaliana*, respectively. Tissue-specific expression analysis showed that *PpHSF5* was expressed predominantly in young vegetative organs (leaf and apex). Subcellular localization revealed that *PpHSF5* was located in the nucleus in *N. benthamiana* cells. Two transgenic *Arabidopsis* lines were obtained that overexpressed *PpHSF5*. The root length and the number of lateral roots in the transgenic seedlings were significantly less than in WT seedlings and after cultivation for three weeks. The transgenic rosettes were smaller than those of the WT at 2-3 weeks. The two transgenic lines exhibited a dwarf phenotype three weeks after transplanting, although there was no significant difference in the number of internodes. Moreover, the *PpHSF5*-OE lines exhibited enhanced thermotolerance. These results indicated that *PpHSF5* might be act as a suppresser of growth and development of root and aerial organs.

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2 **Functional Analysis of *PpHSF5* involvement in Root and**  
3 **Aerial Organ Development**

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## 16 **Abstract**

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18 development and when plants respond to diverse stressors. Although most studies have focused  
19 on the involvement of HSFs in the response to abiotic stresses, especially in model plants, there  
20 is little research on their participation in plant growth and development or on the HSF (PpHSF)  
21 gene family in peach (*Prunus persica*).

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24 MEGA 6.0, ClustalW and MEME, respectively. The function of *PpHSF5* was confirmed by  
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27 were nonuniformly distributed on the peach chromosomes. Seventeen of the *PpHSFs* (94.4%)  
28 contained one or two introns, except *PpHSF18*, which contained three introns. The in silico-  
29 translated PpHSFs were classified into three classes (PpHSFA, PpHSFB and PpHSFC) based on  
30 multiple alignment, motif analysis and phylogenetic comparison with HSFs from *Arabidopsis*  
31 *thaliana* and *Oryza sativa*. Dispersed gene duplication (DSD at 67%) mainly contributed to HSF  
32 gene family expansion in peach. Promoter analysis showed that the most common cis-elements  
33 were the MYB (abiotic stress response), ABRE (ABA-responsive) and MYC (dehydration-  
34 responsive) elements. Transcript profiling of 18 *PpHSFs* showed that the expression trend of  
35 *PpHSF5* was consistent with shoot length changes in the cultivar ‘Zhongyoutao 14’. Further

36 analysis of the *PpHSF5* was conducted in 5-year-old peach trees, *Nicotiana benthamiana* and  
37 *Arabidopsis thaliana*, respectively. Tissue-specific expression analysis showed that *PpHSF5* was  
38 expressed predominantly in young vegetative organs (leaf and apex). Subcellular localization  
39 revealed that PpHSF5 was located in the nucleus in *N. benthamiana* cells. Two transgenic  
40 *Arabidopsis* lines were obtained that overexpressed *PpHSF5*. The root length and the number of  
41 lateral roots in the transgenic seedlings were significantly less than in WT seedlings and after  
42 cultivation for three weeks. The transgenic rosettes were smaller than those of the WT at 2-3  
43 weeks. The two transgenic lines exhibited a dwarf phenotype three weeks after transplanting,  
44 although there was no significant difference in the number of internodes. Moreover, the  
45 PpHSF5-OE lines exhibited enhanced thermotolerance. These results indicated that PpHSF5  
46 might be act as a suppresser of growth and development of root and aerial organs.

47

48 **Key Words** Heat shock factors family, Root development, Peach (*Prunus persica*), Aerial organ,  
49 Functional identification, *PpHSF5*

## 50 **Introduction**

51 Plant growth and development are affected by a range of abiotic stress, including cold, heat,  
52 salinity and drought stress (*Guo et al., 2016*). Heat shock factors (HSFs) act with heat shock  
53 proteins (HSPs) as key transcriptional activators during responses to abiotic stress (*Hu et al.,*  
54 *2009*). Recent studies indicated that HSFs act as key components of signal transduction in  
55 response to different abiotic stresses in plants (*Guo et al., 2016; Scharf et al., 2012*).

56 HSFs in plant genomes can be identified by a conserved DNA-binding domain (DBD). The  
57 DBD domain is located in the N-terminal of all HSFs and specifically binds to heat stress (HS)  
58 motifs in the promoters of target genes (*Wang et al., 2018*). The adjacent HR-A/B region is  
59 linked to the DBD by a connector of variable length (15-80 amino acid residues) that contains a  
60 bipartite heptad pattern of hydrophobic amino acid residues, which constitutes a coiled-coil  
61 domain for protein interaction. According to the number of amino acid residues inserted into the  
62 HR-A/B region, HSFs are divided into three main groups, each with subgroups, namely HSFA  
63 (A1-A9), HSFB (B1-B5) and HSFC (C1-C2) (*Koskull-Doring, Scharf&Nover, 2007; Yang et al.,*  
64 *2014*). HSFA members contain an acidic motif (AHA activation domain) at their C-terminus and  
65 act as transcriptional activators. The members of HSFB as transcriptional repressors.

66 In a wide range of plants, a number of HSFs have been shown to be involved in resistance to  
67 heat (*Guo et al., 2016*) and other abiotic or biotic stresses (*Yu et al., 2019*). Of the 21 HSF family  
68 members in *Arabidopsis*, a number act as pioneer regulators of the response to heat shock.  
69 HSFA1a, HSFA1b, HSFA1d, HSFA1e and HSFA2 play active regulatory roles in the response

70 to HS in plants (*Busch et al., 2005; Nishizawa et al., 2006*). In Arabidopsis, the assembly of the  
71 HSFA1/A2 super-activated complex regulates heat stress (HS) genes (*Chan-Schaminet et al.,*  
72 *2009*). *HSFB1* and *HSFB2b* participate in disease resistance regulation of Arabidopsis and  
73 expression of *Pdfl.2* (*Kumar et al., 2009*). *OsHSFB4b* and *OsHSFA2c* participate in the  
74 regulation of the heat shock response by regulating the expression of HSP100 (*Singh et al.,*  
75 *2012*). *OsHSFC1b* is related to the regulation of salt stress and plant development (*Schmidt et al.,*  
76 *2012*).

77 Several HSFs are stress-inducible transcriptional factors that participate in the growth and  
78 development of root and aerial organs in plant. Overexpression of *AtHsfB4* in Arabidopsis  
79 induces specific effects on root development, resulting in shortened roots (*Begum et al., 2013*).  
80 The over-expression of *BhHsf1* conferred growth retardation of aerial organs, producing a dwarf  
81 phenotype, although the primary roots were not obviously different from those of wild type (*Zhu*  
82 *et al., 2009*). Transgenic Arabidopsis plants with strong expression of *AtHsfA3* and *AtHsfA2*  
83 showed a severely dwarfed phenotype and increased tolerance to heat (*Ogawa et al., 2007;*  
84 *Yoshida et al., 2008*). The thermotolerant phenotype was also observed in the cotyledons, rosette  
85 leaves, inflorescence stems and seeds of transgenic Arabidopsis plants expressing *OsHsfA2e*  
86 (*Yokotani et al., 2008*).

87 The HSF family have been analyzed genome-wide in several plants, such as rice (*Oryza*  
88 *sativa*), Arabidopsis (*Arabidopsis thaliana*), cotton (*Gossypium hirsutum*), soybean (*Glycine*  
89 *max*), wheat (*Triticum aestivum*), pepper (*Capsicum annuum*), poplar (*Populus trichocarpa*),

90 *Brassica napus*, grape (*Vitis vinifera*) and Tartary buckwheat (*Fagopyrum tataricum*) ( Nover et  
91 al., 2001; Chauhan et al., 2011; Wang et al., 2014; Li et al., 2014; Guo et al., 2015; Xue et al.,  
92 2014; Zhang et al., 2016; Zhu et al., 2017; Liu et al.,2018; Liu et al., 2019). Peach (*Prunus*  
93 *persica* L.) is an important economical crop and a popular fruit with consumers. However, there  
94 are limited studies on peach HSFs. To remedy this, we identified the *HSF* family in the peach  
95 genome and conducted bioinformatics analysis of the 18 identified *PpHSFs*. Based on the latest  
96 transcriptome data (Lian et al., 2020), the expression patterns of the *PpHSF* genes were analyzed  
97 during development of the cultivar ‘Zhongyoutao 14’. ‘Zhongyoutao 14’ (derived from  
98 ‘SD9238’), is a temperature-sensitive peach that exhibits a shorter internode length and a smaller  
99 canopy when grown below 30°C (Lu et al., 2016). *PpHSF5* was further analyzed and found to  
100 function in the development of the root and aerial organs. Furthermore, the thermotolerant  
101 phenotype was analyzed in newly obtained transgenic *Arabidopsis* plants expressing *PpHSF5*.  
102 The genome-wide analysis of *PpHSF* gene family offers a basis for further investigation into the  
103 function and evolutionary history of peach *HSFs* and provides candidate genes for peach  
104 molecular breeding.

105

## 106 **Materials & Methods**

### 107 **Plant Materials**

108 Established peach trees (*Prunus persica*) cultivar ‘Zhongyoutao 14’ (‘Maotao’ as rootstock) have

109 been grown for 5 years at the Experimental Station of the Horticulture College, Henan  
110 Agricultural University (Zhengzhou, China). Samples from the apex, young and mature leaves,  
111 self-pollinated embryos, and fruit were collected, frozen in liquid nitrogen and stored at -80°C.  
112 Leaves from *Nicotiana benthamiana* were used for subcellular location of PpHSF5. *Arabidopsis*  
113 *thaliana* (L.) Heynh Columbia 0 (Col-0) was used for transformation with *PpHSF5*.

#### 114 **Identification and Chromosomal Location of HSF Genes in Peach**

115 The hidden Markov model (HMM) of the DBD domain (PF00447), characteristic of HSFs, was  
116 downloaded from the Pfam website (*Finn et al., 2016*) and used to identify HSF genes in peach.  
117 The peach genome files (v2.1) were downloaded from JGI database ([https://phytozome.jgi.  
118 doe.gov/pz/portal.html](https://phytozome.jgi.doe.gov/pz/portal.html)) (*Verde et al., 2013*), HSF protein sequences were obtained in peach  
119 genome by BLASTP and hmmsearch function, and then the DBD domain were further identified  
120 by Pfam analysis. The peach HSF gene and protein sequences were extracted from Phytozome  
121 v12.1. *PpHSF* genes were named according to physical location on the chromosomes. Positional  
122 information was retrieved from peach genome annotations obtained from Phytozome v12.1, and  
123 chromosome locations of the *PpHSFs* were drawn using the Circos software (*Krzywinski et al.,  
124 2009*). The isoelectric points and other physical properties were approximated from ExpASy  
125 ([http://web.expasy.org/compute\\_pi](http://web.expasy.org/compute_pi)). Gene structures were predicted using the Gene Structure  
126 Display Server 2.0 (<http://gsds.cbi.pku.edu.cn/>).

#### 127 **Phylogenetic and Motif Analysis of PpHSFs**

128 The amino acid sequences of 21 AtHSFs (*Arabidopsis thaliana*), 25 OsHSFs (*Oryza sativa*) and  
129 18 PpHSFs (*Prunus persica*) were gathered from Phytozome v12.1 using ClustalW with system  
130 default settings. The phylogenetic trees were formulated by the maximum likelihood method  
131 (ML) with Jones-Taylor-Thornton (JTT) model in MEGA 6.0  
132 ([http://www.megasoftware.net/download\\_form](http://www.megasoftware.net/download_form)).. Conserved motifs of HSF proteins in peach  
133 were identified using the MEME tool (<http://meme.nbcr.net/meme/cgi-bin/meme.cgi>) with  
134 default parameters in normal operation mode. The subcellular localization was predicted with  
135 Plant-mPLoc (<http://www.csbio.sjtu.edu.cn/bioinf/plant-multi/#>).

#### 136 **Gene Duplication and *Cis*-Element Analysis of *PpHSFs***

137 Gene duplication was analyzed using MCScanX (*Wang et al., 2012*). Genomic DNA sequences  
138 (2000 bps upstream of the start codons) for each *PpHSF* were obtained from the peach genome  
139 and skimmed in the PlantCARE database  
140 (<http://bioinformatics.psb.ugent.be/webtools/plantcare/html/>) for *cis*-acting elements analysis of  
141 the promoter in *PpHSFs*.

#### 142 **Gene Expression Analysis of *PpHSFs***

143 The FPKM (fragments per kilobase of exon per million fragments mapped) values of the 18  
144 *PpHSFs* (Supplemental Table S1-1) were obtained from our previous study of gene expression in  
145 shoots at four critical growth stages, namely initial period (IP), initial elongation period (IEP),  
146 rapid growth period (RGP) and stable growth period (SGP) of temperature-sensitive peach

147 cultivar ‘Zhongyoutao 14’ (*Lian et al., 2020*). The average maximum temperature of previous  
148 week (AMTPW) began to be higher than 30° C in the first day of RGP (*Lian et al., 2020*). The  
149 heat map was generated by TBtools (*Chen et al., 2020*).

### 150 **Quantitative Real-time PCR Analysis of *PpHSF5***

151 Total RNA of different tissues from ‘Zhongyoutao 14’ peach and leaves from T<sub>2</sub> transgenic  
152 Arabidopsis lines was isolated using the Spin Column Plant Total RNA Purification Kit  
153 (ShengGong, Shanghai, China). The cDNA was synthesized using FastQuant RT Kit (Tiangen  
154 Biotech, Beijing, China). qRT-PCR was implemented using an ABI PRISM 7500 FAST  
155 Sequence Detection System (Applied Biosystems, Madrid, CA, USA) with SYBR Select Master  
156 Mix (Applied Biosystems, USA). Primers of *PpHSF5* were designed using Primer Premier 5.0.  
157 *PpGAPDH* (Prupe.1G234000) and *AtUBC* (AT5G25760) were used as constitutive controls for  
158 either tissue-specific expression in peach or expression analysis in transgenic Arabidopsis,  
159 respectively. Primers are shown in Supplemental Table S1-2. The reaction mixture was as  
160 follows: 1 µL cDNA template (200 ng/µL), 1 µL of each primer (10 µM), 10 µL SYBR Premix  
161 and 7 µL ddH<sub>2</sub>O. Melting curve analysis was performed after the end of 40 cycles to insure  
162 proper amplification of the target. During the melting process, fluorescence readings were  
163 continuously collected from 60-90°C at a heating rate of 0.5 °C s<sup>-1</sup>. All analysis was repeated  
164 three times using biologically replicates. The relative expression levels of *PpHSF5* were  
165 calculated as 2<sup>-ΔΔCT</sup> method (*Schmittgen et al., 2008*). The relative expression levels of *PpHSF5*  
166 was calculated in SPSS using ANOVA at significance levels of *P* < 0.05.

## 167 **Subcellular Localization of PpHSF5**

168 *PpHSF5* without the termination codon was amplified by PCR using cDNA from ‘Zhongyoutao  
169 14’ as the template (Primer details in Supplemental Table S1-2). This coding region was cloned  
170 into the pSAK277-GFP vector to construct PpHSF5::GFP fusion proteins that were driven by the  
171 CaMV 35S promoter. The p35SPpHSF5::GFP and p35SGFP (control) vectors were transformed  
172 into *Agrobacterium tumefaciens* strain GV3101, which were then injected into leaves of *N.*  
173 *benthamiana* according to previously published protocols (*Sparkes et al., 2006*). The leaves were  
174 observed 48-72 h after injection using laser scanning confocal microscopy (Zeiss LSM700).

## 175 **Construction of Expression Vectors for Plant Transformation**

176 The CDS of *PpHSF5* was PCR-amplified and cloned into the pSAK277 vector using the  
177 restriction enzymes *Xho* I and *Xba* I (Primer details in Supplemental Table S1-2). The  
178 *p35S::PpHSF5* vector was transformed into *Agrobacterium tumefaciens* strain GV3101. The  
179 floral dip method was used to transform *Arabidopsis thaliana* (Col-0) (*Chung et al., 2000*).

## 180 **Phenotype of Overexpression *PpHSF5* in Arabidopsis**

181 The seeds from T<sub>2</sub> transgenic Arabidopsis lines were sterilized by 6.25% NaClO for 5 min, and  
182 then washed in sterilized ddH<sub>2</sub>O. The seeds were cultured at 4°C for 2 d and then transferred  
183 onto MS solid medium under 16/8 h light/dark cycle for one week on square plates. Three  
184 biological replicates (with three seedlings of each lines per square plate) were used for  
185 observation of root phenotype. The roots of different transgenic lines with three plants per line

186 were measured by a LA2400 Scanner at three weeks to determine the growth status. The leaves  
187 were cut from the transgenic seedlings for gene expression analysis. Other seedlings, germinated  
188 on agar and grown for one week, were transferred into the soil and grown under normal  
189 conditions. The length and width of rosettes (four leaves per plants, five repetition) and number  
190 of rosettes (five plants per line) in different transgenic lines and WT were measured and  
191 photographed at two weeks and at three weeks after transplanting, respectively. Moreover, the  
192 morphology of transgenic lines and WT, including the height of plants (eight plants per line) and  
193 the number of branches and blooms (five plants per line) were recorded, three weeks after  
194 transplanting.

### 195 **Heat Stress Treatment**

196 For performing heat stress treatment on the seeds germination and plants grown on the agar  
197 medium, seeds of WT and transgenic Arabidopsis lines sown on MS medium at 4°C for 2 d and  
198 in darkness for 2 d (22°C) were exposed to HS stress at 46°C for 30 min, and then were  
199 transferred into a climate chamber (22°C, 16 h light/8 h dark cycles). After HS treatment, the  
200 germination of seeds were counted daily and photographed. More than 50 seeds of each line  
201 were used in each plate with three replications. Difference in HS stress was confirmed using *t*-  
202 test.

### 203 **Statistical Analysis**

204 Data were analyzed by ANOVA, Tukey HSD<sup>a</sup> and Duncan<sup>a</sup>'s multiple range tests (at  $P < 0.05$ )

205 using IBM SPSS Statistics 20 (SPSS, USA).

206

## 207 **Results**

### 208 **Genome-wide Identification, Chromosomal Distribution and Gene Structures of HSF**

#### 209 **Genes in Peach**

210 Eighteen *HSF* gene family members were identified from the peach genome and then named  
211 *PpHSF1* to *PpHSF18* according to their physical locations (Table 1 and Supplemental Table S2-  
212 1). The *PpHSF* coding sequences ranged from 591 bp (*PpHSF8*) to 1608 bp (*PpHSF14*). In  
213 silico-translated PpHSF proteins showed divergent lengths [196 to 535 amino acids (aa)] with  
214 different molecular weights (22.36~59.56 kDa) and isoelectric points (4.67 to 8.75) (Table1). All  
215 PpHSFs were predicted to be nuclear-localized proteins.

216 Seven of the 8 peach chromosomes contained at least one *PpHSF*, with the exception being  
217 chromosome 6 (Fig. 1 and Table 1). Five *PpHSFs* were located on chromosome 1 (*PpHSF1-5*),  
218 and another five (*PpHSF13-17*) on chromosome 7. Chromosomes 2, 3 and 8 carried only one  
219 *PpHSF* gene each, while chromosome 5 had two, and chromosome 4 had three. The above  
220 results indicated that *PpHSFs* were unevenly distributed across the peach chromosomes.

221 The structural differences of the *PpHSF* genes were also analyzed. The number of introns  
222 ranged from one to three among the *PpHSFs*. The majority of the *PpHSFs* (66.67%) contained  
223 one intron, 27.78% contained two introns, and only *PpHSF18* contained three introns

224 (Supplemental Figure S1 and Supplemental Table S2-2). Interestingly, both *PpHSF18* and  
225 *PpHSF12* has predicted introns in the 5'-UTR and 3'-UTR, respectively.

### 226 **Gene Duplication Pattern Analysis of *PpHSFs***

227 To explain the expansion of the *PpHSFs* gene family, the gene duplication patterns of the  
228 *PpHSFs* were analyzed and compared across the peach genome (Supplemental Table S3). There  
229 were only two patterns of gene duplications, with 67% of the gene pairs derived from dispersed  
230 gene duplication (DSD) and the remaining gene pairs derived from whole-genome duplication  
231 (WGD). Three syntenic pairs were identified, and all originated from WGD. The syntenic genes  
232 were located on different chromosomes from their partner (Fig. 1).

### 233 **Classification, Phylogenetic and Motif Analyses of PpHSFs**

234 Among plant species, there are two characteristic amino acid domains in the HSF family, the  
235 DBD and adjacent HR-A/B region (Nover *et al.*, 2001). The PpHSFs were divided into three  
236 classes (PpHSFA, PpHSFB and PpHSFC), according to the number of amino acids between part  
237 A and part B of the HR-A/B domain (Fig. 2A). Multiple sequence alignment analysis of the  
238 PpHSF proteins indicated that an insertion of 21 amino acids was found in Class A (11 of the  
239 PpHSFs) and a shorter insertion of 14 amino acid in Class C (1 of the PpHSFs) between the HR-  
240 A and HR-B regions. Six of the PpHSFs had no aa insertion between the two domains (Class B).

241 Phylogenetic analysis among the HSF proteins from three plant species, namely 21 AtHSFs  
242 (*Arabidopsis thaliana*), 25 OsHSFs (*Oryza sativa*) and 18 PpHSFs (*Prunus persica*), was

243 conducted by constructing a phylogenetic tree. According to the phylogenetic tree, the 64 HSFs  
244 derived from the three plant species were divided into three classes and 15 subclasses (Fig. 2B).  
245 The peach proteins sorted into the classes of HSFs, within (11 members) in class HSFA, six in  
246 HSFB, and one in HSFC. Class A included nine subclasses (A1-A9), the largest number of  
247 subclasses. The PpHSFs were grouped into eight of the Class A subclasses, with no PpHSF in  
248 Class A7. Class B consisted of 18 total members and was divided into four subclasses (B1-B4).  
249 It is noteworthy that PpHSF8 clustered with Class B but as a single branch. Only six members  
250 were clustered into Class C, with two subclasses (C1-C2). No PpHSFs clustered with subclass  
251 C2.

252 The conserved motifs in the PpHSF proteins were analyzed using MEME. The results revealed  
253 that PpHSFs contained ten conserved motifs (Fig. 2C and Supplemental Table S4). Motifs 1-3  
254 were found in the N-terminals (the most conserved region) of each PpHSF. Motif 4 was found in  
255 Class A and Class B. Motif 5, which was found between the HR-A and HR-B regions, was  
256 observed in Class A and Class C. The motif analysis was consistent with the multiple sequence  
257 alignment and phylogenetic analyses.

### 258 **Analysis of the *Cis*-Acting Regulatory Elements in the *PpHSF* Gene Promoters**

259 The *cis*-acting elements within the promoters of the 18 *PpHSFs* were analyzed using PlantCARE.  
260 Every promoter contained at least two MYB elements (abiotic stress response) (Table 2). All but  
261 one promoter contained an ABRE (ABA-responsive element). The next most common elements  
262 were MYC elements (dehydration-responsive) (in 88.8% of the promoters), CGTCA- and

263 TGACG- motifs (83.3%), and ARE elements (anaerobic induction) (77.8%). ERE (ethylene-  
264 responsive element), MBS (drought inducible), MRE and P-box elements were also present in  
265 the promoters of some *PpHSFs*. The TCA-motif was observed in only five *PpHSFs*, namely  
266 *PpHSF1*, *PpHSF2*, *PpHSF5*, *PpHSF6* and *PpHSF13*. Previous studies reported several elements,  
267 including MYB, ABRE, MYC, play vital roles in stress responses in plants (*He et al., 2012; Li et*  
268 *al., 2012*). The different *cis-elements* in the promoter regions of these *PpHSFs* implied that the  
269 *PpHSFs* may function in plant development and stress responses.

#### 270 **The Expression Patterns of *PpHSFs* during shoot elongation in ‘Zhongyoutao 14’**

271 Based on our previous RNA-seq analysis (*Lian et al., 2020*), the expression patterns of *PpHSFs*  
272 were compared in four critical stages of shoot elongation of ‘Zhongyoutao 14’ grown under  
273 elevated temperature in the field (Fig. 3). Most of *PpHSFs* belonging to the A and C classes  
274 (except *PpHSF4* and *PpHSF11*) were maintained at lower expression level. The *PpHSFs* of B  
275 class exhibit diverse expression patterns. The FPKM values of *PpHSF8* and *PpHSF13* remained  
276 almost unchanged at the four stages. The transcripts of another three *PpHSFs* (*PpHSF15*,  
277 *PpHSF6* and *PpHSF12*) were present at lower levels during the IEP stage and then slightly  
278 increased during the RGP and SGP stages. The expression of level of *PpHSF5* showed higher in  
279 IEP stage and increased from the RGP to SGP stages. *PpHSF5* might participate in temperature-  
280 induced shoot growth of temperature-sensitive peach.

#### 281 **Expression Analysis of *PpHSF5* and Subcellular Localization of *PpHSF5***

282 The relative expression of *PpHsf5* was investigated by qRT-PCR in different organs of  
283 ‘Zhongyoutao 14’ (Fig. 4; supplement Table S5-1). The results showed that *PpHsf5* were  
284 expressed predominantly in young vegetative organs (leaves and apex), but barely detectable in  
285 embryos and mature leaves. This suggested that *PpHsf5* might participated in the growth and  
286 development of plants. The 35S::*PpHsf5*-GFP signal was evident in the cellular nucleus in *N.*  
287 *benthamiana* cells, indicating a nuclear localization (Fig. 5). The result was in concurrence with  
288 the prediction from Plant-mPLOC of subcellular localization (Table 1).

### 289 **Overexpression of *PpHsf5* in Arabidopsis Results in Dwarf Phenotypes**

290 To investigate the function of *PpHsf5*, an overexpression vector with *PpHsf5* was transformed  
291 into Arabidopsis. The phenotype of two transgenic lines and WT were recorded (Fig. 6). One  
292 week after germination on agar medium, the transgenic lines had shorter roots and a smaller  
293 number of lateral roots than WT seedlings (Fig. 6A). The average root length in WT was 7.13 cm,  
294 in transgenic line L1 was 3.08 cm, and in L2 was 3.50 cm (Fig. 6A and B; Supplemental Table  
295 S6-1). Two weeks after transplantation, there was no difference in the number of rosette leaves  
296 between the transgenic lines and WT (Fig. 6C and D-a and Supplemental Table S6-2), although  
297 the rosette leaves were significantly shorter and narrower in the transgenic lines (the average  
298 length and width; Fig. 6D-b, c and Supplemental Tables S6-3 and S6-4). The mRNA levels in  
299 the *PpHsf5*-OE lines were obviously higher than WT plants (Fig. 6D-d and Supplemental Table  
300 S5-2).

301 Three weeks after transplanting, the soil-grown transgenic lines had fewer rosette leaves and

302 the leaves were shorter and narrower than those in WT plants (Fig. 6F). Moreover, the two  
303 transgenic lines (L1 and L2) exhibited a dwarf phenotype (Fig. 6E and F). The average height of  
304 L1 (16.83 cm) was 40% shorter than that of the WT (26.77 cm). The number of rosette branches  
305 was much greater in WT than in transgenic lines, which had just one flowering stalk (Fig. 6F).  
306 There was no significant difference in the number of internodes (Fig. 6E and F), indicating that  
307 the dwarf phenotype of the transgenic lines might be caused by shorter internode length.

308 Shorter roots were also observed in the transgenic lines for cultivation three weeks after  
309 transplanting (Fig. 6G and H). Root length and root volume were significantly lower in  
310 transgenic lines compared to WT (Fig. 6G and H, Supplemental Table S6-12, 13). The average  
311 length of roots in Line 1 was 219.34 cm, which was 54% of the length in WT plants. The root  
312 volumes in the transgenic lines (Line 1 was 0.19 cm<sup>3</sup>, Line 2 was 0.36 cm<sup>3</sup>) was no more than  
313 20% of that in WT (1.95 cm<sup>3</sup>). Other root indexes output by the root scanner were also less in the  
314 PpHSF5-OE lines, including the forks, tips and crossings of roots (Fig. 6G and H, Supplemental  
315 Table S6-14, 15, 16). Between the two transgenic lines, the higher expression level of *PpHSF5*  
316 in L1 resulted in more obvious phenotypes compared to PpHSF5-OE L2 and WT (Fig. 6A, C,  
317 and E and Supplemental Table S5-2). The above results indicated that *PpHSF5* might participate  
318 in plant growth and development and that overexpression of *PpHSF5* results in a dwarf  
319 phenotype in transgenic Arabidopsis.

## 320 **PpHSF5-OE Lines Exhibit Enhanced Thermotolerance**

321 The thermotolerance of PpHSF5-OE lines was assayed with that of WT (Fig 7 and

322 Supplemental Table S7). As shown in Fig 7B and F, only 8.3% WT seeds germinated, whereas  
323 more than 93.3% of the transgenic seeds germinated after HS treatment 1 d. Nearly half of the  
324 WT seeds germinated after HS treatment 3 d, whereas 100% of the transgenic seeds were  
325 germinated (Fig 7C and F). After HS treatment 5 d and 7 d, 68.4% and 82.6% of WT seeds  
326 germinated, respectively (Fig 7D, E and F). Compared to WT seedlings, the PpHSF5-OE  
327 seedlings exhibited green cotyledons and vigor growth (Fig 7C, D and E). These results  
328 suggested that the overexpression of *PpHSF5* improves thermotolerance of PpHSF5-OE lines.

329

## 330 Discussion

### 331 Peach Contains Fewer HSF Gene Family Members among Several Plant Species

332 *HSFs* play vital roles in plant growth and defense. Through plant genome sequencing, *HSF* gene  
333 family members have been identified in several model organisms and more than 20 plant species  
334 (Supplemental Table S8). Only a single *HSF* was detected in yeast, nematodes and flies  
335 (Nakai, 1999; Nover, 1996). In this study, 18 *HSF* genes were identified in peach, which is less  
336 than in most other plant species, but more than in tea (*Camellia sinensis*), strawberry (*Fragaria*  
337 *vesca*), Chinese plum (*Prunus salicina*) and carnation (*Dianthus caryophyllus*) (Hu et al., 2015;  
338 Liu et al., 2016; Qiao et al., 2015; Li et al., 2019).

339 HSFs in each subgroup are highly similar to each other across a variety of plants. Among  
340 these species, Class A contains the largest number of HSFs, followed by Class B, and then Class

341 C. The same phenomenon was also observed in peach, which contained 11 *HSFAs*, six *HSFBs*  
342 and one *HSFCs*.

### 343 **The *HSF* Gene Family Expanded along with DSD in Peach**

344 The number of *HSFs* expanded markedly during plant evolution. The analysis of 51  
345 representative species indicated that the HSF gene family largely expanded along with WGD  
346 during plant evolution (*Wang et al., 2018*). In Chinese white pear (*Pyrus bretschneideri*), most  
347 *PbHSF* expansions dated back to a recent WGD (Qiao et al., 2015). On the other hand, *GmHSFs*  
348 in cotton expanded along single gene duplication events (*Wang et al., 2014*). Here, DSD (67%)  
349 was the primary type of duplication for the HSF gene family in peach. The same phenomenon  
350 was also seen for the E3 ligase gene family in peach (*Tan et al., 2019*). It is probably that peach  
351 has not undergone a recent WGD (*Verde et al., 2013*).

### 352 **HSF Gene Family was Classified into Three Classes**

353 Plant HSF proteins contain a few conserved characteristic domain (*Guo et al., 2016*). Generally,  
354 HSF families in plant species can be divided into three subfamilies, termed HSFA, HSFB, and  
355 HSFC (*Liu et al., 2018; Wang et al., 2018*). The classification of the PpHSF family was  
356 consistent with that in other plant species (Supplemented Table 7). Multiple sequence alignments  
357 revealed that an insertion occurred in the DBD domain near the N-terminus in the PpHSFA and  
358 PpHSFC groups. Like in other plants, the PpHSFA and PpHSFC genes contained inserted coding  
359 sequence for 21 and 7 aa in the HR-A/B region, respectively, while the HR-A/B region of

360 PpHSFB was compact (Nover, 1996; Scharf et al., 2012). The organization, composition,  
361 number of conserved motifs in the HSFs differed among plant species (Wang et al., 2018). In  
362 Chinese whit pear, Class A in PbHSFs contained the most conserved motifs, followed by class B  
363 and then class C (Qiao et al., 2015). In this study, the number of motifs in the different classes  
364 was consistent with those in Chinese white pear. This also showed that members of the same  
365 class often have similar sequence structures in peach. For example, motif 5 was present only in  
366 PpHSFA and PpHSFC, while all Class B and Class A HSFs contain motif 4. The presence of  
367 these motifs may lead to functional group specificity. The similar classifications of HSF families  
368 in diverse plants showed that the HSF family was highly conserved during long-term evolution.

#### 369 ***PpHSF5* Acts as Repressor of Organ Size in Plants**

370 In plants, organ size is primarily controlled by internal developmental signals (Mizukami, 2001;  
371 Dubrovsky et al., 2006; Spradling, 2001; West et al., 2004). Previous research in the model  
372 organism *Arabidopsis thaliana* indicates that plant hormones and transcription factors, including  
373 HSFs, play crucial roles in growth and development (Petricka et al., 2012; Begum et al., 2013).  
374 HSFs as key transcription factors protect plants from various abiotic stresses and then participate  
375 in the growth and development (Guo et al., 2016). For example, *OsHsfA1a*, *OsHsfA1b* and  
376 *OsHsfA1d* are the main positive regulators of gene expression on heat stress-responsive, and four  
377 HSFA proteins play significant roles in gene expression of plant growth and development  
378 (Yoshida et al., 2011). In poplar (*Populus trichocarpa*), the transcripts of three *PtHsf*s in the B4  
379 subfamily (-B4b, -B4c and -B4d) were maintained at higher levels during the leaf expansion

380 stages (Liu *et al.*, 2019). In carnation (*Dianthus caryophyllus*), five *DcaHsfs*, namely *DcaHsf-A1*,  
381 *A2a*, *A9a*, *B2a*, *B3a*, were involved in early flowering stages (Li *et al.*, 2019). Transgenic  
382 Arabidopsis plants overexpressing *AtHSFB4* contained massively enhanced levels of *AtHSFB4*  
383 mRNAs and exhibited shorter roots (Begum *et al.*, 2013). In this study, overexpression of  
384 *35S:PpHSF5* in Arabidopsis resulted in not only shorter roots but also in lesser root volume and  
385 fewer lateral roots and root forks compared to WT.

386 The root system of a plant is instrumental to its growth and productivity because it is  
387 responsible for the extraction of water and mineral nutrients from the soil and their transport to  
388 aboveground parts of the plant (Hochholdinger, 1998). In this study, the *35S:PpHSF5* transgenic  
389 lines produced smaller aerial organs compared with WT. For example, the size (length and width)  
390 of rosette leaves were smaller than WT two and three weeks after transplanting, while the  
391 number of rosette leaves was not affected. The height of the overexpression lines was  
392 significantly lower than that in WT, while the number of internodes was not. Overexpression of  
393 *OsHsfA2e* in rice caused a dwarf phenotype (Yokotani *et al.*, 2008). In plants overexpressing  
394 *BhHsf1*, the reduced organ size was mainly attributed to decreased cell proliferation (Zhu *et al.*,  
395 2009). The overexpression of *PpHSF5* in peach suggested that the dwarf phenotype of transgenic  
396 plants was caused by shorter internodes.

397 It is still unknown how *PpHSF5* regulates root and aerial organs development. *PpHSF5* is  
398 homologous to *AtHSFB4* and thus may play similar roles in root development. Confocal laser  
399 scanning of roots in *AtHSFB4*-overexpression transgenic lines showed that ectopic division of

400 the lateral root cap cells (LRC) occurred (*Begum et al., 2013*). Previous studies indicated that  
401 auxin acts in the production of lateral root primordium (LR) (*Casimiro et al., 2003; West et al.,*  
402 *2004*). In the promoter of *PpHSF5*, there are three *cis-acting* regulatory elements that contain the  
403 auxin-inducible TGACG-motif. Two auxin-inducible TGA-box elements in the *GmGH3*  
404 promoter were strong binding sites of plant nuclear proteins and improved the auxin inducibility  
405 of the *GmGH3* promoter (*Zhan-Bin et al., 1994*). Moreover, the HS assays indicated *PpHSF5*-  
406 OE lines exhibited enhanced thermotolerance compared to WT. Similarity results were observed  
407 in transgenic *Arabidopsis* plants with *AtHsfA3* and rice plants with *OsHsfA2e* (*Ogawa et al.,*  
408 *2007; Yokotani et al., 2008*). Therefore, *PpHSF5* might be as a responsive factor for temperature  
409 change and involved in auxin signal transduction due to the TGA motifs in its promoter and  
410 might serve to negatively regulate root elongation and lateral root development, ultimately  
411 affecting the growth of aboveground parts of the plant.

412

## 413 **Conclusions**

414 In this report, 18 *PpHSF* genes were discovered in peach and found to be nonuniformly  
415 distributed on the peach chromosomes. The *PpHSF* family could be classified into three classes  
416 (*PpHSFA*, *PpHSFB* and *PpHSFC*) through multiple alignment, motif analysis and phylogenetic  
417 comparison. The expansion of the HSF gene family in peach occurred through DSD (67%) and  
418 WGD (33%). *PpHSF5* was expressed in diverse tissues and organs of the peach cultivar  
419 ‘Zhongyoutao 14’, with higher levels in young vegetative organs (leaf and apex). Transgenic

420 Arabidopsis lines overexpressing *PpHSF5* showed massively enhanced levels of *PpHSF5*.  
421 Ectopic expression *PpHSF5* repressed the length and number of roots, length and width of  
422 rosette leaves, and the height of plants, and enhanced thermotolerance in Arabidopsis after heat  
423 stress treatment. Our results further supplied functional and annotation information of the HSF  
424 gene family in general and revealed potential roles, outside of the response to heat stress, for  
425 *PpHSF5* during plant development.

426

## 427 **Acknowledgements**

428 The authors would like to thank Anita K. Snyder for critical reading the manuscript.

429

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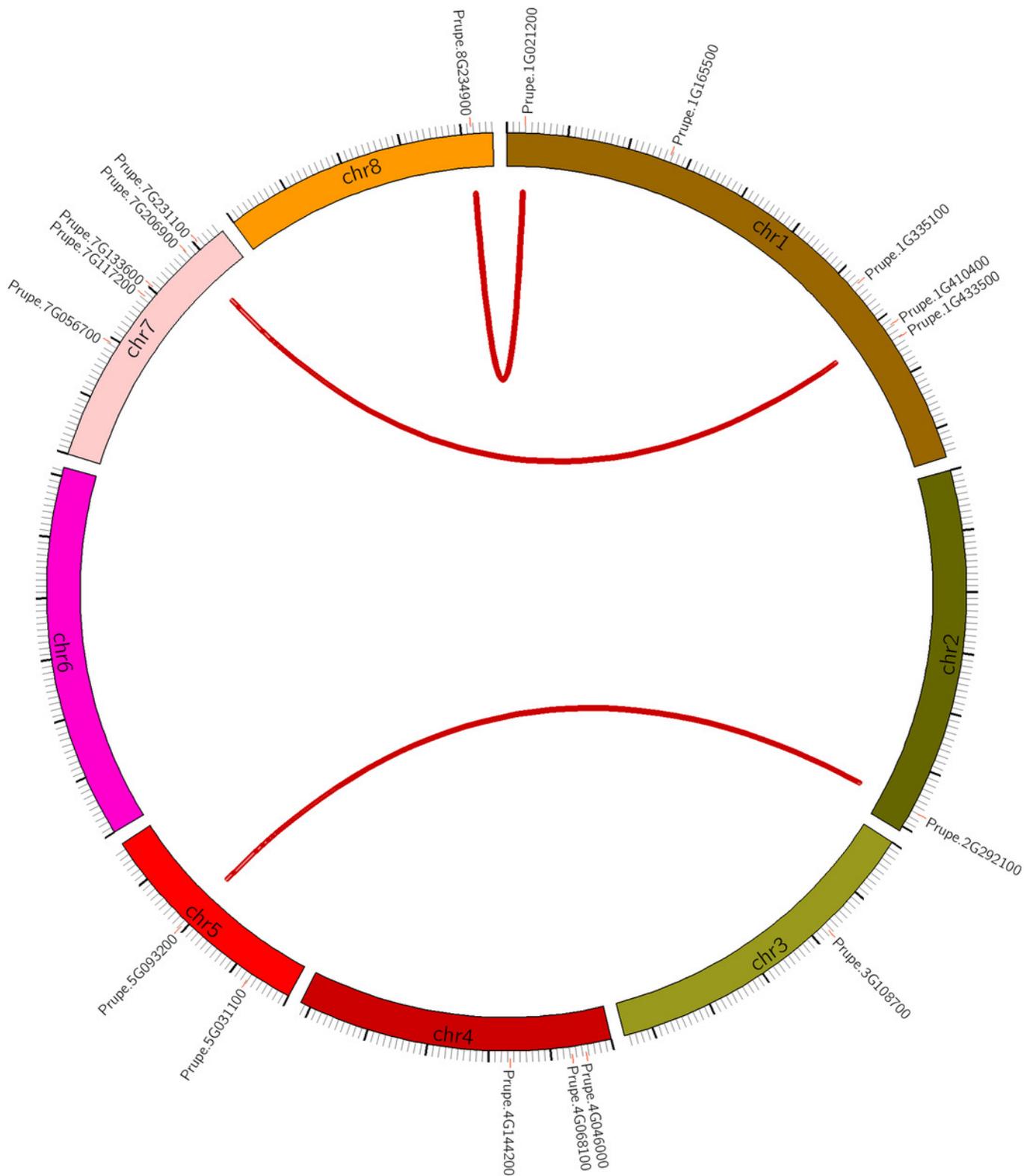
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591

# Figure 1

Chromosomal location of *HSF* genes in peach (*PpHSFs*).

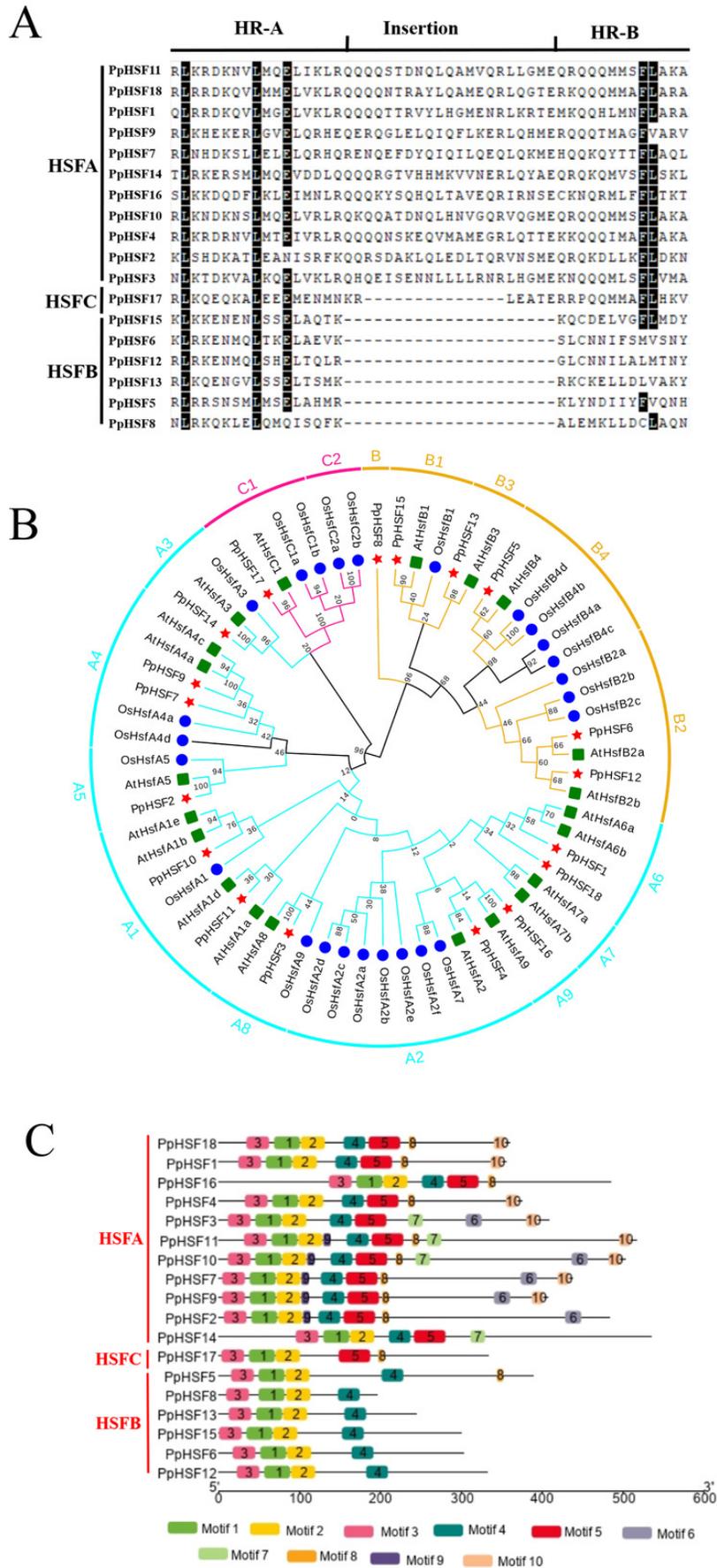
Three syntenic pairs are linked by red lines.



## Figure 2

Multiple sequence alignment of the HR-A/B regions (OD), conserved motif and phylogenetic analysis of PpHSFs

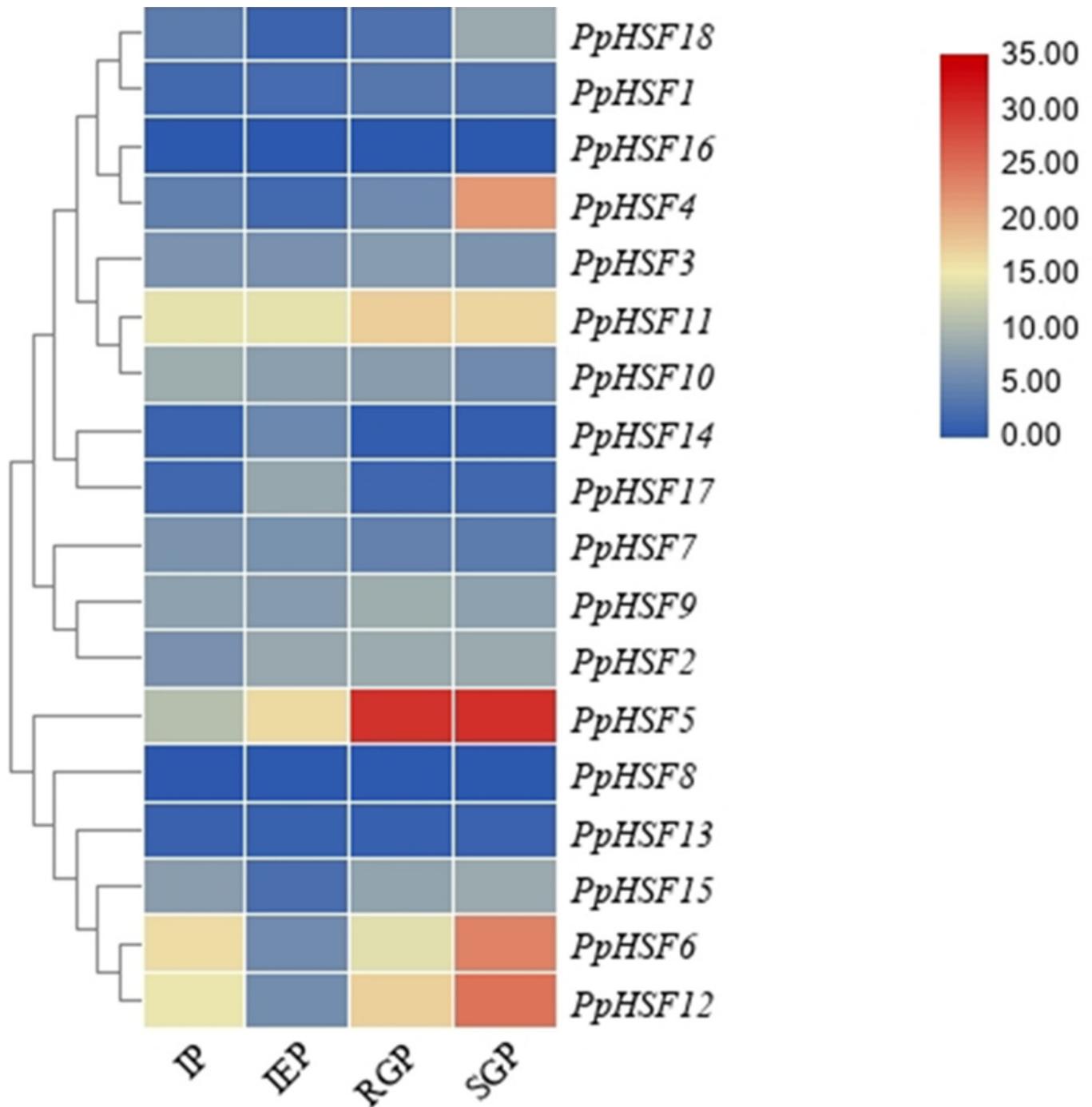
**A.** Multiple sequence alignment of the HR-A/B regions, from the start of the DNA-binding domain to the end of the HR-A/B region, of the HSF proteins were aligned with MEGA 6. **B.** Phylogenetic tree of HSFs from *Prunus persica* (Pp, red star), *Oryza sativa* (Os, blue circle) and *Arabidopsis thaliana* (At, green square) constructed by maximum likelihood method (ML) with Jones-Taylor-Thornton (JTT) model in MEGA 6.0. Both locus ID and subclass numbers are listed. **C.** Analysis of conserved motifs in the HSF gene family in peach. Proteins are organized according to the groups in Figure 2A. Ten motifs were found in the protein sequences as shown in Supplemental Table S4.



## Figure 3

Heatmap of transcript levels of HSF genes in peach.

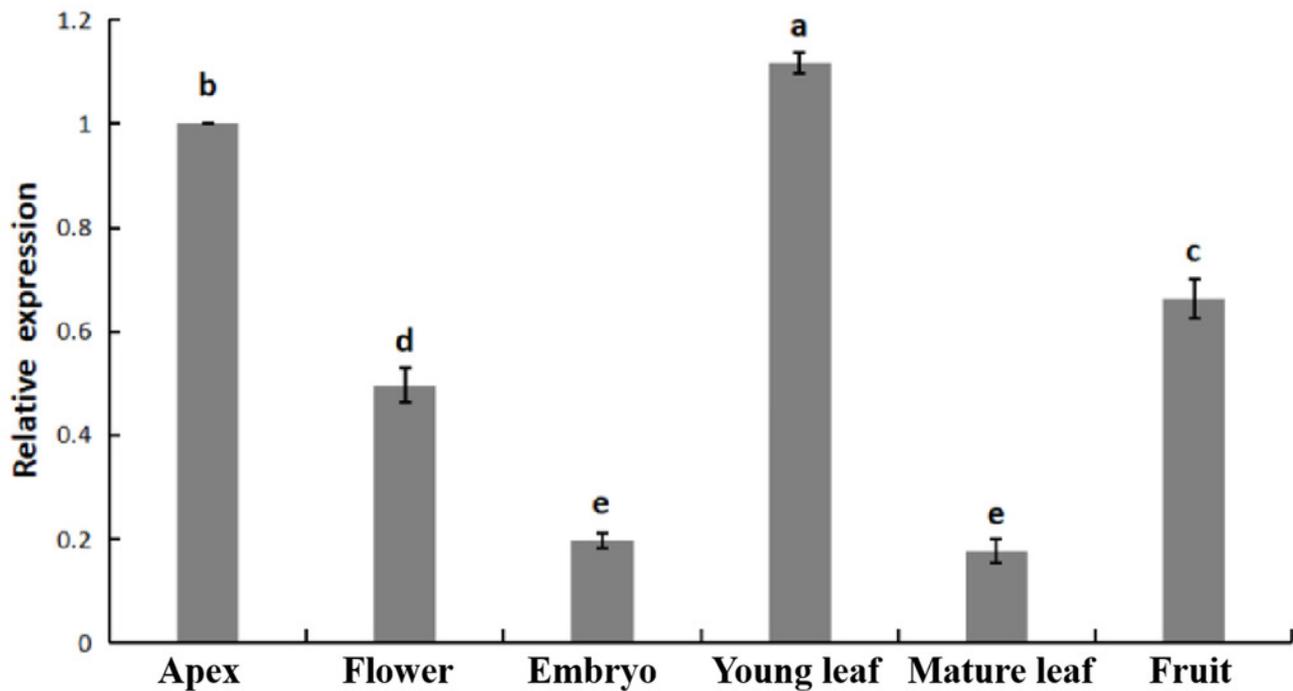
Transcriptome data were used to measure the expression level of *PpHSFs*. The gene names on the right are organized according to the different subclasses. Samples were harvest from shoots at the IP (initial period), IEP (initial elongation period), RGP (rapid growth period), and SGP (stable growth period), which are four key growth stages during temperature-sensitive peach shoot development. Color scale at the top represents FPKM values. Blue indicates low expression and red indicates high expression. Heatmap was generated using TBtools.



## Figure 4

Relative expression of *PpHSF5* in different tissues of 'Zhongyoutao 14' peach.

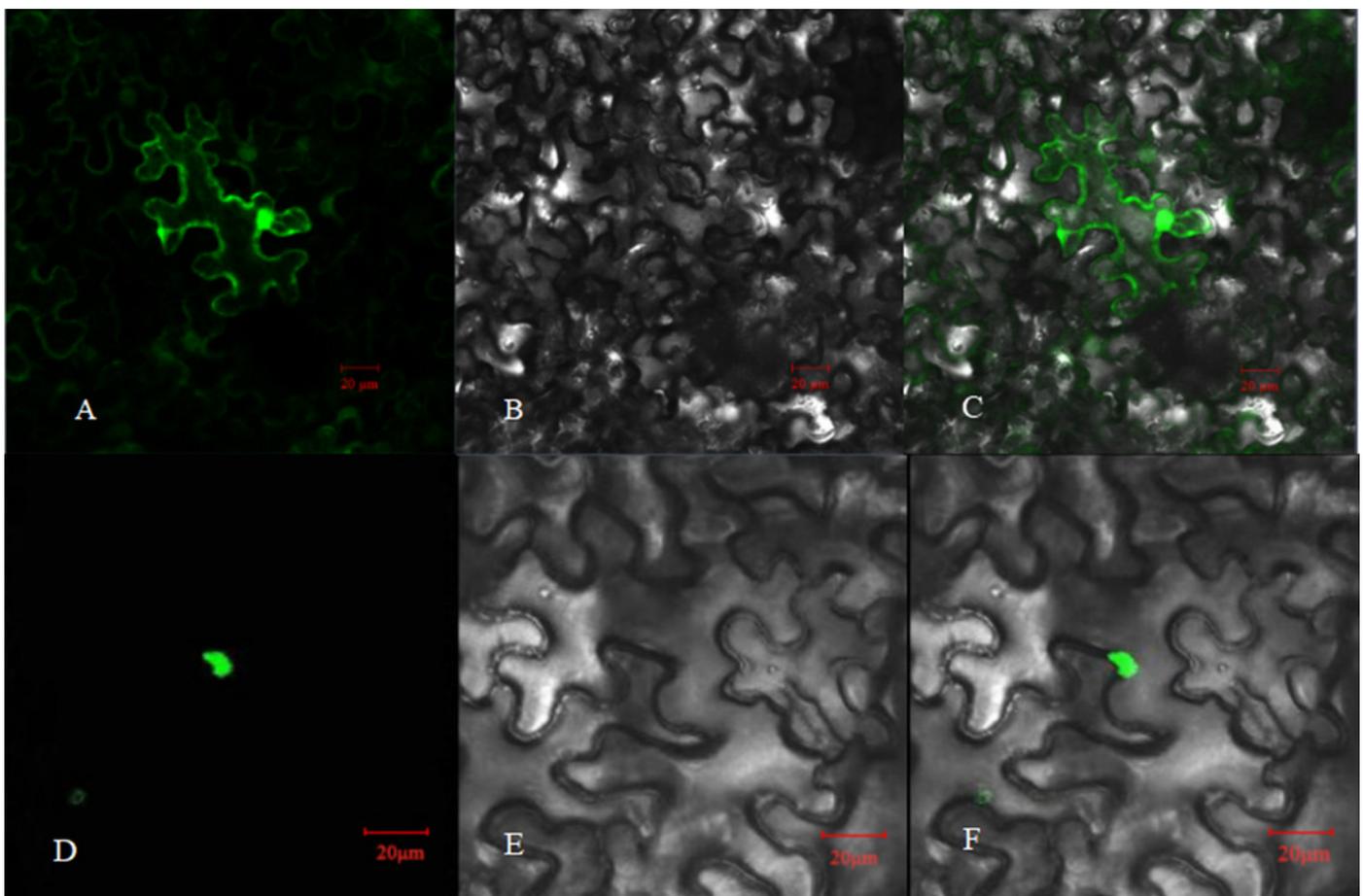
Established plants were grown under normal conditions. The analyzed tissues include the apex, flower, embryo, young leaf, and mature leaf, which were harvested at the same time. The relative expression levels were calculated using the  $2^{-\Delta\Delta CT}$  method.



## Figure 5

Subcellular localization of PpHSF5 in *N. benthamiana* epidermal cells.

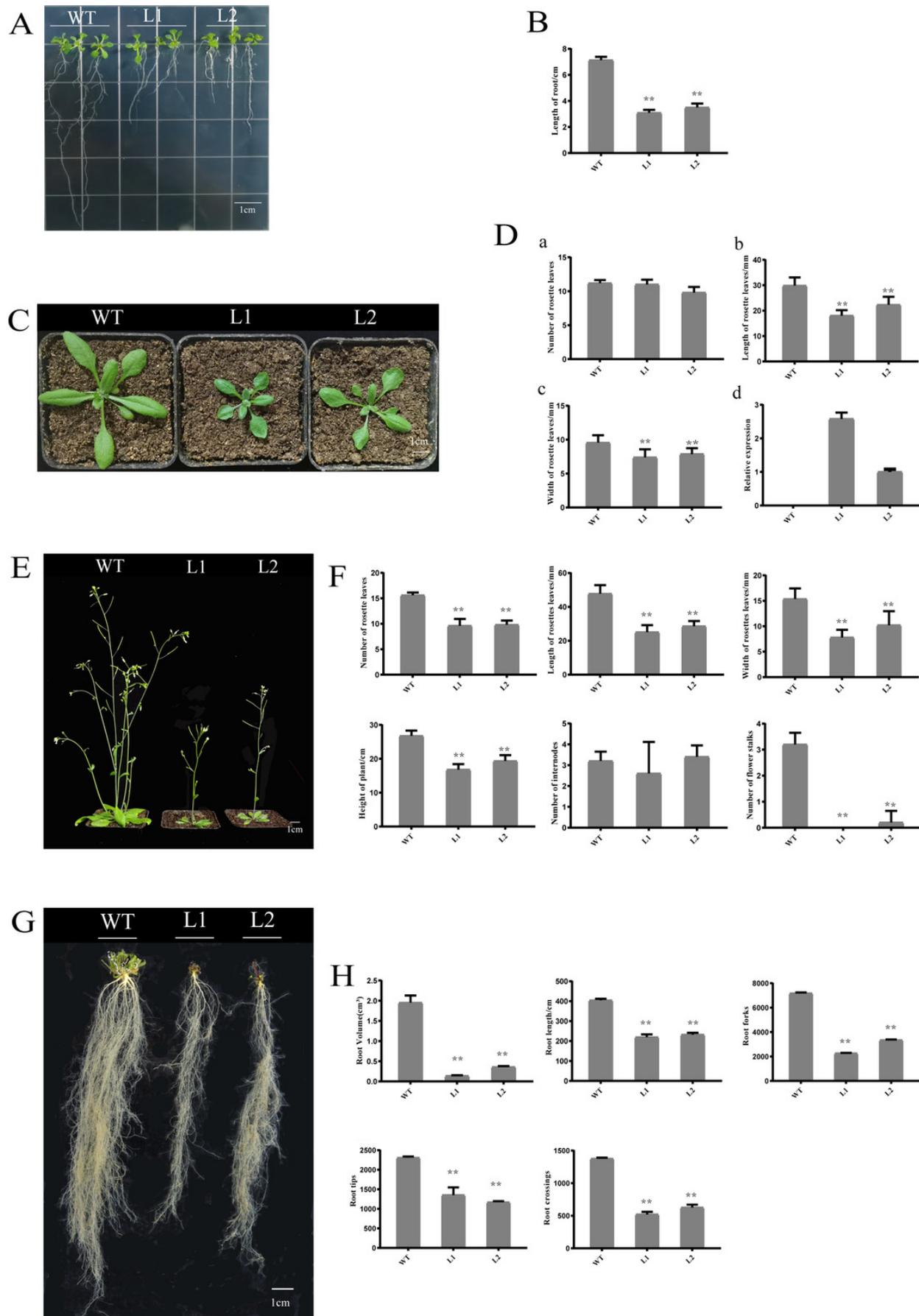
**A** and **D**: Images of green fluorescence from the GFP protein and the PpHSF5-GFP fusion protein in tobacco cells under the confocal microscope; **B** and **E**: Bright field image of tobacco epidermal cells; **C**: Overlay of A and B; **F**: Overlay of D and E.



## Figure 6

Phenotypic and expression analysis of transgenic *Arabidopsis* over-expressing *PpHSF5*

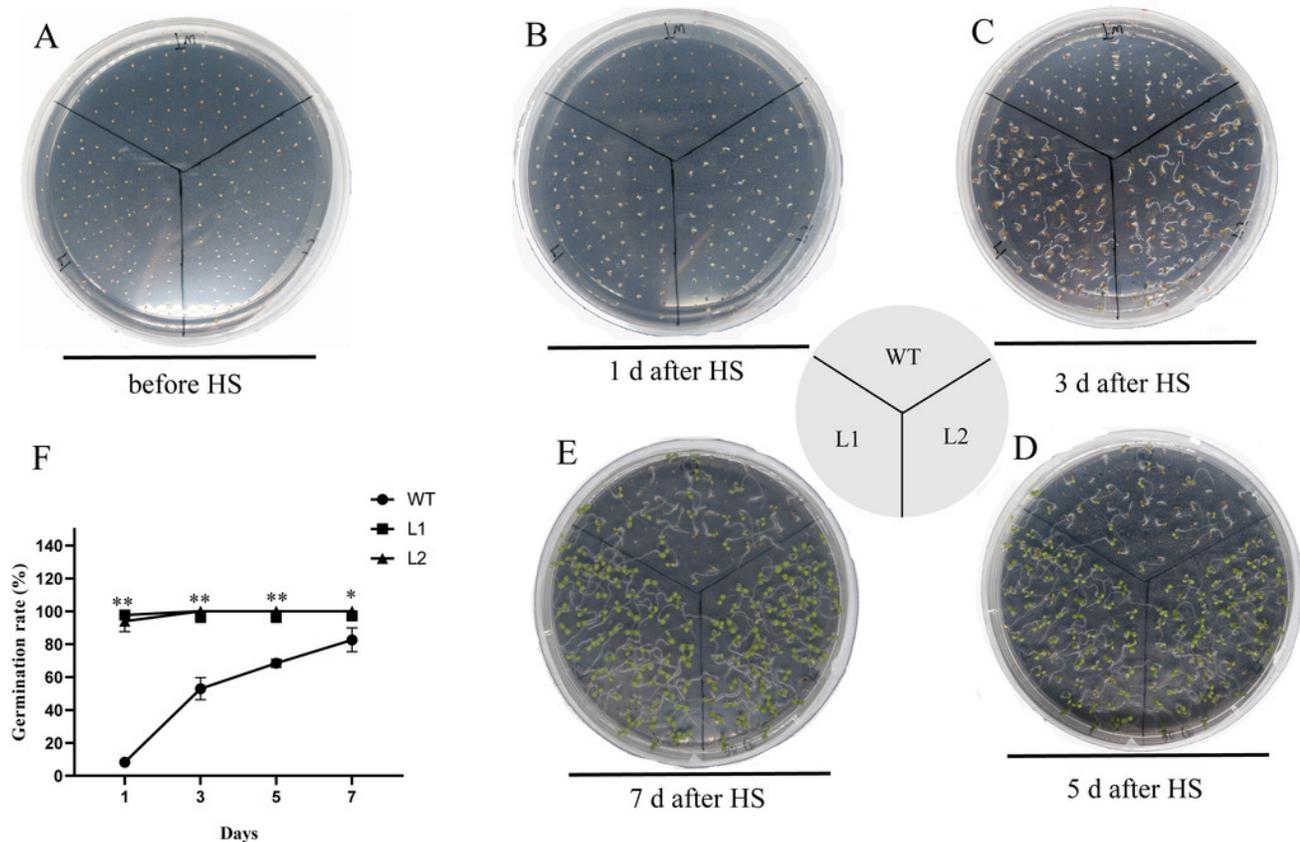
**A.** Phenotype of T<sub>2</sub> transgenic plants from two lines over-expressing *PpHSF5* after cultivation for one week. **B.** Root length of T<sub>2</sub> transgenic plants over-expressing *PpHSF5*. Three plants were measured in each biological replicate. **C.** Phenotype of T<sub>2</sub> transgenic plants from two lines over-expressing *PpHSF5* after cultivation for two weeks. Seeds were transferred to soil after germination and growth on agar for five days. **D.** The morphology and relative expression of T<sub>2</sub> transgenic plants with *PpHSF5* and WT after cultivation for two weeks. The number of rosettes (a), length (b) and width (c) after cultivation for two weeks. Relative expression of *PpHSF5* in transgenic *Arabidopsis* plants carrying *p35S:PpHSF5* (d); **E.** Phenotype of T<sub>2</sub> transgenic plants over-expressing *PpHSF5* after cultivation in soil for three weeks. **F.** The length, width and number of rosette leaves, number of internodes and flower stalks, and the height of plants after cultivation for three weeks. **G.** Phenotype of T<sub>2</sub> transgenic plant roots over-expressing *PpHSF5* after cultivation for three weeks. **H.** The root length, volume and other indexes were scanned after cultivation for three weeks.



## Figure 7

Thermotolerance of the *p35S::PpHSF5* plants.

**A:** Five-day-old seedlings of wild type and the *p35S::PpHSF5* plants were treated at 46 °C for 30 min. Photographs were taken before HS treatment. **B.** Photographs were taken after 1d in 22 °C. **C:** Photographs were taken after 3d in 22 °C. **D:** Photographs were taken after 5d in 22 °C. **E:** Photographs were taken after 7d in 22 °C. **F:** Comparison of germination rate among wild-type, *p35S::PpHSF5* transgenic plants after HS treatment. The number of germinated plants was counted daily after HS treatment. For three replication, more than 50 seedlings were used each lines (*t*-test significant at  $P < 0.05$  and  $P < 0.01$ , respectively).



**Table 1** (on next page)

Basic information of *PpHSF* gene family members

Gene name	Gene ID	Length of CDS (bp)	No. of amino acids (aa)	Molecular weight (Da)	Predicted isoelectric point (PI)	Chromosome location	Subcellular localization
<i>PpHSF1</i>	Prupe.1G021200	1068	355	41166.82	4.9	1	Nucleus
<i>PpHSF2</i>	Prupe.1G165500	1452	483	54128.99	5.61	1	Nucleus
<i>PpHSF3</i>	Prupe.1G335100	1227	408	46017.53	4.81	1	Nucleus
<i>PpHSF4</i>	Prupe.1G410400	1125	374	41952.84	4.95	1	Nucleus
<i>PpHSF5</i>	Prupe.1G433500	1170	389	43557.76	7.17	1	Nucleus
<i>PpHSF6</i>	Prupe.2G292100	912	303	33900.98	5.19	2	Nucleus
<i>PpHSF7</i>	Prupe.3G108700	1314	437	49855.78	5.13	3	Nucleus
<i>PpHSF8</i>	Prupe.4G046000	591	196	22364.46	8.75	4	Nucleus
<i>PpHSF9</i>	Prupe.4G068100	1224	407	46118.31	5.23	4	Nucleus
<i>PpHSF10</i>	Prupe.4G144200	1512	503	56052.61	4.78	4	Nucleus
<i>PpHSF11</i>	Prupe.5G031100	1551	516	56261.49	4.67	5	Nucleus
<i>PpHSF12</i>	Prupe.5G093200	996	331	36068.73	4.75	5	Nucleus
<i>PpHSF13</i>	Prupe.7G056700	735	244	28001.34	5.75	7	Nucleus
<i>PpHSF14</i>	Prupe.7G117200	1608	535	59567.89	4.98	7	Nucleus
<i>PpHSF15</i>	Prupe.7G133600	900	299	33339.83	5.07	7	Nucleus
<i>PpHSF16</i>	Prupe.7G206900	1458	485	53632.01	5.07	7	Nucleus
<i>PpHSF17</i>	Prupe.7G231100	1002	333	37851.52	5.68	7	Nucleus
<i>PpHSF18</i>	Prupe.8G234900	1080	359	40936.56	5.58	8	Nucleus

**Table 2** (on next page)

*Cis-elements* in the promoters of eighteen *PpHSF* genes

	ABRE	ARE	CGTCA-motif	ERE	MBS	MRE	MYB	MYC	P-box	TGACG-motif	TCA-element	LTR	TGA-element
<i>PpHSF1</i>	1	3	2	2	1	1	4	3	2	2	2	-	-
<i>PpHSF2</i>	1	2	2	1	-	-	3	1	-	2	1	3	1
<i>PpHSF3</i>	1	1	-	2	1	1	4	2	-	1	-	1	1
<i>PpHSF4</i>	3	6	3	-	-	-	3	-	1	3	-	2	-
<i>PpHSF5</i>	5	-	3	-	2	-	7	-	1	3	3	-	-
<i>PpHSF6</i>	4	-	3	-	1	-	7	5	2	3	1	-	-
<i>PpHSF7</i>	1	5	-	1	-	-	6	3	-	-	-	1	1
<i>PpHSF8</i>	3	3	3	1	1	1	3	8	1	3	-	-	3
<i>PpHSF9</i>	5	5	4	-	-	1	13	4	-	4	-	2	2
<i>PpHSF10</i>	3	1	5	2	-	-	2	6	-	5	-	-	2
<i>PpHSF11</i>	-	1	1	-	2	-	7	8	-	1	-	-	-
<i>PpHSF12</i>	6	-	1	1	1	1	13	3	-	1	-	-	1
<i>PpHSF13</i>	3	4	1	-	-	-	4	5	-	1	1	1	-
<i>PpHSF14</i>	3	4	3	-	3	-	11	3	-	3	-	1	-
<i>PpHSF15</i>	8	2	4	1	1	1	6	5	-	4	-	-	-

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<i>PpHSF16</i>	4	4	1	1	-	2	4	11	-	-	-	-	-
<i>PpHSF17</i>	11	2	2	1	1	-	4	6	-	2	-	-	-
<i>PpHSF18</i>	13	3	-	1	1	2	2	4	-	-	-	1	-

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