

Genome-wide identification of HSF Family in Peach and Functional analysis of *PpHSF5* involvement in Root and Aerial Organ Development

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Background. Heat shock factors (HSFs) play important roles during normal plant growth and development and when plants respond to diverse stressors. Although most studies have focused on the involvement of HSFs in the response to abiotic stresses, especially in model plants, there is little research on their participation in plant growth and development or on the HSF (*PpHSF*) gene family in peach (*Prunus persica*).

Methods. DBD (PF00447), the HSF characteristic domain, was used to search the peach genome and identify *PpHSFs*. Phylogenetic, multiple alignment and motif analyses were conducted using MEGA 6.0, ClustalW and MEME, respectively. The function of *PpHSF5* was confirmed by overexpression of *PpHSF5* into *Arabidopsis*.

Results. Eighteen *PpHSF* genes were identified within the peach genome. The *PpHSF* genes were nonuniformly distributed on the peach chromosomes. Seventeen of the *PpHSFs* (94.4%) contained one or two introns, except *PpHSF18*, which contained three introns. The in silico-translated *PpHSFs* were classified into three classes (*PpHSFA*, *PpHSFB* and *PpHSFC*) based on multiple alignment, motif analysis and phylogenetic comparison with HSFs from *Arabidopsis thaliana* and *Oryza sativa*. Dispersed gene duplication (DSD at 67%) mainly contributed to HSF gene family expansion in peach. Promoter analysis showed that the most common cis-elements were the MYB (abiotic stress response), ABRE (ABA-responsive) and MYC (dehydration-responsive) elements. Transcript profiling of 18 *PpHSFs* showed that the expression trend of *PpHSF5* was consistent with shoot length changes in the cultivar 'Zhongyoutao 14'. Further analysis of the *PpHSF5* was conducted in 5-year-old peach trees, *Nicotiana benthamiana* and *Arabidopsis thaliana*, respectively. Tissue-specific expression analysis showed that *PpHSF5* was expressed predominantly in young vegetative organs (leaf and apex). Subcellular localization revealed that *PpHSF5* was located in the nucleus in *N. benthamiana* cells. Two transgenic *Arabidopsis* lines were obtained that overexpressed *PpHSF5*. The root length and the number of lateral roots in the transgenic seedlings were significantly less than in WT seedlings and after cultivation for three weeks. The transgenic rosettes were smaller than those of the WT at 2-3 weeks. The two transgenic lines exhibited a dwarf phenotype three weeks after transplanting, although there was no significant difference in the number of internodes. Moreover, the *PpHSF5*-OE lines exhibited enhanced thermotolerance. These results indicated that *PpHSF5* might be act as a suppresser of growth and development of root and aerial organs.

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2 **Functional Analysis of *PpHSF5* involvement in Root and**
3 **Aerial Organ Development**

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16 **Abstract**

17 **Background.** Heat shock factors (HSFs) play important roles during normal plant growth and
18 development and when plants respond to diverse stressors. Although most studies have focused
19 on the involvement of HSFs in the response to abiotic stresses, especially in model plants, there
20 is little research on their participation in plant growth and development or on the HSF (PpHSF)
21 gene family in peach (*Prunus persica*).

22 **Methods.** DBD (PF00447), the HSF characteristic domain, was used to search the peach genome
23 and identify *PpHSFs*. Phylogenetic, multiple alignment and motif analyses were conducted using
24 MEGA 6.0, ClustalW and MEME, respectively. The function of *PpHSF5* was confirmed by
25 overexpression of *PpHSF5* into *Arabidopsis*.

26 **Results.** Eighteen *PpHSF* genes were identified within the peach genome. The *PpHSF* genes
27 were nonuniformly distributed on the peach chromosomes. Seventeen of the *PpHSFs* (94.4%)
28 contained one or two introns, except *PpHSF18*, which contained three introns. The in silico-
29 translated PpHSFs were classified into three classes (PpHSFA, PpHSFB and PpHSFC) based on
30 multiple alignment, motif analysis and phylogenetic comparison with HSFs from *Arabidopsis*
31 *thaliana* and *Oryza sativa*. Dispersed gene duplication (DSD at 67%) mainly contributed to HSF
32 gene family expansion in peach. Promoter analysis showed that the most common cis-elements
33 were the MYB (abiotic stress response), ABRE (ABA-responsive) and MYC (dehydration-
34 responsive) elements. Transcript profiling of 18 *PpHSFs* showed that the expression trend of
35 *PpHSF5* was consistent with shoot length changes in the cultivar ‘Zhongyoutao 14’. Further

36 analysis of the *PpHSF5* was conducted in 5-year-old peach trees, *Nicotiana benthamiana* and
37 *Arabidopsis thaliana*, respectively. Tissue-specific expression analysis showed that *PpHSF5* was
38 expressed predominantly in young vegetative organs (leaf and apex). Subcellular localization
39 revealed that PpHSF5 was located in the nucleus in *N. benthamiana* cells. Two transgenic
40 *Arabidopsis* lines were obtained that overexpressed *PpHSF5*. The root length and the number of
41 lateral roots in the transgenic seedlings were significantly less than in WT seedlings and after
42 cultivation for three weeks. The transgenic rosettes were smaller than those of the WT at 2-3
43 weeks. The two transgenic lines exhibited a dwarf phenotype three weeks after transplanting,
44 although there was no significant difference in the number of internodes. Moreover, the
45 PpHSF5-OE lines exhibited enhanced thermotolerance. These results indicated that PpHSF5
46 might be act as a suppresser of growth and development of root and aerial organs.

47

48 **Key Words** Heat shock factors family, Root development, Peach (*Prunus persica*), Aerial organ,
49 Functional identification, *PpHSF5*

50 **Introduction**

51 Plant growth and development are affected by a range of abiotic stress, including cold, heat,
52 salinity and drought stress (*Guo et al., 2016*). Heat shock factors (HSFs) act with heat shock
53 proteins (HSPs) as key transcriptional activators during responses to abiotic stress (*Hu et al.,*
54 *2009*). Recent studies indicated that HSFs act as key components of signal transduction in
55 response to different abiotic stresses in plants (*Guo et al., 2016; Scharf et al., 2012*).

56 HSFs in plant genomes can be identified by a conserved DNA-binding domain (DBD). The
57 DBD domain is located in the N-terminal of all HSFs and specifically binds to heat stress (HS)
58 motifs in the promoters of target genes (*Wang et al., 2018*). The adjacent HR-A/B region is
59 linked to the DBD by a connector of variable length (15-80 amino acid residues) that contains a
60 bipartite heptad pattern of hydrophobic amino acid residues, which constitutes a coiled-coil
61 domain for protein interaction. According to the number of amino acid residues inserted into the
62 HR-A/B region, HSFs are divided into three main groups, each with subgroups, namely HSFA
63 (A1-A9), HSFB (B1-B5) and HSFC (C1-C2) (*Koskull-Doring, Scharf&Nover, 2007; Yang et al.,*
64 *2014*). HSFA members contain an acidic motif (AHA activation domain) at their C-terminus and
65 act as transcriptional activators. The members of HSFB as transcriptional repressors.

66 In a wide range of plants, a number of HSFs have been shown to be involved in resistance to
67 heat (*Guo et al., 2016*) and other abiotic or biotic stresses (*Yu et al., 2019*). Of the 21 HSF family
68 members in *Arabidopsis*, a number act as pioneer regulators of the response to heat shock.
69 HSFA1a, HSFA1b, HSFA1d, HSFA1e and HSFA2 play active regulatory roles in the response

70 to HS in plants (*Busch et al., 2005; Nishizawa et al., 2006*). In Arabidopsis, the assembly of the
71 HSFA1/A2 super-activated complex regulates heat stress (HS) genes (*Chan-Schaminet et al.,*
72 *2009*). *HSFB1* and *HSFB2b* participate in disease resistance regulation of Arabidopsis and
73 expression of *Pdfl.2* (*Kumar et al., 2009*). *OsHSFB4b* and *OsHSFA2c* participate in the
74 regulation of the heat shock response by regulating the expression of HSP100 (*Singh et al.,*
75 *2012*). *OsHSFC1b* is related to the regulation of salt stress and plant development (*Schmidt et al.,*
76 *2012*).

77 Several HSFs are stress-inducible transcriptional factors that participate in the growth and
78 development of root and aerial organs in plant. Overexpression of *AtHsfB4* in Arabidopsis
79 induces specific effects on root development, resulting in shortened roots (*Begum et al., 2013*).
80 The over-expression of *BhHsf1* conferred growth retardation of aerial organs, producing a dwarf
81 phenotype, although the primary roots were not obviously different from those of wild type (*Zhu*
82 *et al., 2009*). Transgenic Arabidopsis plants with strong expression of *AtHsfA3* and *AtHsfA2*
83 showed a severely dwarfed phenotype and increased tolerance to heat (*Ogawa et al., 2007;*
84 *Yoshida et al., 2008*). The thermotolerant phenotype was also observed in the cotyledons, rosette
85 leaves, inflorescence stems and seeds of transgenic Arabidopsis plants expressing *OsHsfA2e*
86 (*Yokotani et al., 2008*).

87 The HSF family have been analyzed genome-wide in several plants, such as rice (*Oryza*
88 *sativa*), Arabidopsis (*Arabidopsis thaliana*), cotton (*Gossypium hirsutum*), soybean (*Glycine*
89 *max*), wheat (*Triticum aestivum*), pepper (*Capsicum annuum*), poplar (*Populus trichocarpa*),

90 *Brassica napus*, grape (*Vitis vinifera*) and Tartary buckwheat (*Fagopyrum tataricum*) (Nover et
91 al., 2001; Chauhan et al., 2011; Wang et al., 2014; Li et al., 2014; Guo et al., 2015; Xue et al.,
92 2014; Zhang et al., 2016; Zhu et al., 2017; Liu et al.,2018; Liu et al., 2019). Peach (*Prunus*
93 *persica* L.) is an important economical crop and a popular fruit with consumers. However, there
94 are limited studies on peach HSFs. To remedy this, we identified the *HSF* family in the peach
95 genome and conducted bioinformatics analysis of the 18 identified *PpHSFs*. Based on the latest
96 transcriptome data (Lian et al., 2020), the expression patterns of the *PpHSF* genes were analyzed
97 during development of the cultivar ‘Zhongyoutao 14’. ‘Zhongyoutao 14’ (derived from
98 ‘SD9238’), is a temperature-sensitive peach that exhibits a shorter internode length and a smaller
99 canopy when grown below 30°C (Lu et al., 2016). *PpHSF5* was further analyzed and found to
100 function in the development of the root and aerial organs. Furthermore, the thermotolerant
101 phenotype was analyzed in newly obtained transgenic *Arabidopsis* plants expressing *PpHSF5*.
102 The genome-wide analysis of *PpHSF* gene family offers a basis for further investigation into the
103 function and evolutionary history of peach *HSFs* and provides candidate genes for peach
104 molecular breeding.

105

106 **Materials & Methods**

107 **Plant Materials**

108 Established peach trees (*Prunus persica*) cultivar ‘Zhongyoutao 14’ (‘Maotao’ as rootstock) have

109 been grown for 5 years at the Experimental Station of the Horticulture College, Henan
110 Agricultural University (Zhengzhou, China). Samples from the apex, young and mature leaves,
111 self-pollinated embryos, and fruit were collected, frozen in liquid nitrogen and stored at -80°C.
112 Leaves from *Nicotiana benthamiana* were used for subcellular location of PpHSF5. *Arabidopsis*
113 *thaliana* (L.) Heynh Columbia 0 (Col-0) was used for transformation with *PpHSF5*.

114 **Identification and Chromosomal Location of HSF Genes in Peach**

115 The hidden Markov model (HMM) of the DBD domain (PF00447), characteristic of HSFs, was
116 downloaded from the Pfam website (*Finn et al., 2016*) and used to identify HSF genes in peach.
117 The peach genome files were downloaded from JGI database (<https://phytozome.jgi.doe.gov/pz/portal.html>), HSF protein sequences were obtained in peach genome by BLASTP
118 search and Pfam analysis. The peach HSF gene and protein sequences were extracted from
119 Phytozome v12.1. *PpHSF* genes were named according to physical location on the chromosomes.
120 Positional information was retrieved from peach genome annotations obtained from Phytozome
121 v12.1, and chromosome locations of the *PpHSFs* were drawn using the Circos software
122 (*Krzywinski et al., 2009*). The isoelectric points and other physical properties were approximated
123 from ExpASy (http://web.expasy.org/compute_pi). Gene structures were predicted using the
124 Gene Structure Display Server 2.0 (<http://gsds.cbi.pku.edu.cn/>).

126 **Phylogenetic and Motif Analysis of PpHSFs**

127 The amino acid sequences of 21 AtHSFs (*Arabidopsis thaliana*), 25 OsHSFs (*Oryza sativa*) and

128 18 PpHSFs (*Prunus persica*) were gathered from Phytozome v12.1 using ClustalW with system
129 default settings. The phylogenetic trees were formulated by the maximum likelihood method
130 (ML) with Jones-Taylor-Thornton (JTT) model in MEGA 6.0
131 (http://www.megasoftware.net/download_form).. Conserved motifs of HSF proteins in peach
132 were identified using the MEME tool (<http://meme.nbcrc.net/meme/cgi-bin/meme.cgi>) with
133 default parameters in normal operation mode. The subcellular localization was predicted with
134 Plant-mPLoc (<http://www.csbio.sjtu.edu.cn/bioinf/plant-multi/#>).

135 **Gene Duplication and *Cis*-Element Analysis of *PpHSFs***

136 Gene duplication was analyzed using MCScanX (*Wang et al., 2012*). Genomic DNA sequences
137 (2000 bps upstream of the start codons) for each *PpHSF* were obtained from the peach genome
138 and skimmed in the PlantCARE database
139 (<http://bioinformatics.psb.ugent.be/webtools/plantcare/html/>) for cis-acting elements analysis of
140 the promoter in *PpHSFs*.

141 **Gene Expression Analysis of *PpHSFs***

142 The FPKM (fragments per kilobase of exon per million fragments mapped) values of the 18
143 *PpHSFs* (Supplemental Table S1-1) were obtained from our previous study of gene expression in
144 shoots at four critical growth stages, namely initial period (IP), initial elongation period (IEP),
145 rapid growth period (RGP) and stable growth period (SGP) of temperature-sensitive peach
146 cultivar ‘Zhongyoutao 14’ (*Lian et al., 2020*). The average maximum temperature of previous

147 week (AMTPW) began to be higher than 30° C in the first day of RGP (*Lian et al., 2020*). The
148 heat map was generated by TBtools (*Chen et al., 2020*).

149 **Quantitative Real-time PCR Analysis of *PpHSF5***

150 Total RNA of different tissues from ‘Zhongyoutao 14’ peach and leaves from T₂ transgenic
151 Arabidopsis lines was isolated using the Spin Column Plant Total RNA Purification Kit
152 (ShengGong, Shanghai, China). The cDNA was synthesized using FastQuant RT Kit (Tiangen
153 Biotech, Beijing, China). qRT-PCR was implemented using an ABI PRISM 7500 FAST
154 Sequence Detection System (Applied Biosystems, Madrid, CA, USA) with SYBR Select Master
155 Mix (Applied Biosystems, USA). Primers of *PpHSF5* were designed using Primer Premier 5.0.
156 *PpGAPDH* (Prupe.1G234000) and *AtUBC* (AT5G25760) were used as constitutive controls for
157 either tissue-specific expression in peach or expression analysis in transgenic Arabidopsis,
158 respectively. Primers are shown in Supplemental Table S1-2. The reaction mixture was as
159 follows: 1 µL cDNA template (200 ng/µL), 1 µL of each primer (10 µM), 10 µL SYBR Premix
160 and 7 µL ddH₂O. Melting curve analysis was performed after the end of 40 cycles to insure
161 proper amplification of the target. During the melting process, fluorescence readings were
162 continuously collected from 60-90°C at a heating rate of 0.5 °C s⁻¹. All analysis was repeated
163 three times using biologically replicates. The relative expression levels of *PpHSF5* were
164 calculated as 2^{-ΔΔCT} method (*Schmittgen et al., 2008*). The relative expression levels of *PpHSF5*
165 was calculated in SPSS using ANOVA at significance levels of *P* < 0.05.

166 **Subcellular Localization of *PpHSF5***

167 *PpHSF5* without the termination codon was amplified by PCR using cDNA from ‘Zhongyoutao
168 14’ as the template (Primer details in Supplemental Table S1-2). This coding region was cloned
169 into the pSAK277-GFP vector to construct PpHSF5::GFP fusion proteins that were driven by the
170 CaMV 35S promoter. The p35SPpHSF5::GFP and p35SGFP (control) vectors were transformed
171 into *Agrobacterium tumefaciens* strain GV3101, which were then injected into leaves of *N.*
172 *benthamiana* according to previously published protocols (Sparkes *et al.*, 2006). The leaves were
173 observed 48-72 h after injection using laser scanning confocal microscopy (Zeiss LSM700).

174 **Construction of Expression Vectors for Plant Transformation**

175 The CDS of *PpHSF5* was PCR-amplified and cloned into the pSAK277 vector using the
176 restriction enzymes *Xho* I and *Xba* I (Primer details in Supplemental Table S1-2). The
177 *p35S::PpHSF5* vector was transformed into *Agrobacterium tumefaciens* strain GV3101. The
178 floral dip method was used to transform *Arabidopsis thaliana* (Col-0) (Chung *et al.*, 2000).

179 **Phenotype of Overexpression *PpHSF5* in Arabidopsis**

180 The seeds from T₂ transgenic Arabidopsis lines were sterilized by 6.25% NaClO for 5 min, and
181 then washed in sterilized ddH₂O. The seeds were cultured at 4°C for 2 d and then transferred
182 onto MS solid medium under 16/8 h light/dark cycle for one week on square plates. Three
183 biological replicates (with three seedlings of each lines per square plate) were used for
184 observation of root phenotype. The roots of different transgenic lines with three plants per line
185 were measured by a LA2400 Scanner at three weeks to determine the growth status. The leaves

186 were cut from the transgenic seedlings for gene expression analysis. Other seedlings, germinated
187 on agar and grown for one week, were transferred into the soil and grown under normal
188 conditions. The length and width of rosettes (four leaves per plants, five repetition) and number
189 of rosettes (five plants per line) in different transgenic lines and WT were measured and
190 photographed at two weeks and at three weeks after transplanting, respectively. Moreover, the
191 morphology of transgenic lines and WT, including the height of plants (eight plants per line) and
192 the number of branches and blooms (five plants per line) were recorded, three weeks after
193 transplanting.

194 **Heat Stress Treatment**

195 For performing heat stress treatment on the seeds germination and plants grown on the agar
196 medium, seeds of WT and transgenic Arabidopsis lines sown on MS medium at 4°C for 2 d and
197 in darkness for 2 d (22°C) were exposed to HS stress at 46°C for 30 min, and then were
198 transferred into a climate chamber (22°C, 16 h light/8 h dark cycles). After HS treatment, the
199 germination of seeds were counted daily and photographed. More than 50 seeds of each line
200 were used in each plate with three replications. Difference in HS stress was confirmed using *t*-
201 test.

202 **Statistical Analysis**

203 Data were analyzed by ANOVA, Tukey HSD^a and Duncan^a's multiple range tests (at $P < 0.05$)
204 using IBM SPSS Statistics 20 (SPSS, USA).

205

206 **Results**207 **Genome-wide Identification, Chromosomal Distribution and Gene Structures of HSF**208 **Genes in Peach**

209 Eighteen *HSF* gene family members were identified from the peach genome and then named
210 *PpHSF1* to *PpHSF18* according to their physical locations (Table 1 and Supplemental Table S2-
211 1). The *PpHSF* coding sequences ranged from 591 bp (*PpHSF8*) to 1608 bp (*PpHSF14*). In
212 silico-translated PpHSF proteins showed divergent lengths [196 to 535 amino acids (aa)] with
213 different molecular weights (22.36~59.56 kDa) and isoelectric points (4.67 to 8.75) (Table1). All
214 PpHSFs were predicted to be nuclear-localized proteins.

215 Seven of the 8 peach chromosomes contained at least one *PpHSF*, with the exception being
216 chromosome 6 (Fig. 1 and Table 1). Five *PpHSFs* were located on chromosome 1 (*PpHSF1-5*),
217 and another five (*PpHSF13-17*) on chromosome 7. Chromosomes 2, 3 and 8 carried only one
218 *PpHSF* gene each, while chromosome 5 had two, and chromosome 4 had three. The above
219 results indicated that *PpHSFs* were unevenly distributed across the peach chromosomes.

220 The structural differences of the *PpHSF* genes were also analyzed. The number of introns
221 ranged from one to three among the *PpHSFs*. The majority of the *PpHSFs* (66.67%) contained
222 one intron, 27.78% contained two introns, and only *PpHSF18* contained three introns
223 (Supplemental Figure S1 and Supplemental Table S2-2). Interestingly, both *PpHSF18* and

224 *PpHSF12* has predicted introns in the 5'-UTR and 3'-UTR, respectively.

225 **Gene Duplication Pattern Analysis of *PpHSFs***

226 To explain the expansion of the *PpHSFs* gene family, the gene duplication patterns of the
227 *PpHSFs* were analyzed and compared across the peach genome (Supplemental Table S3). There
228 were only two patterns of gene duplications, with 67% of the gene pairs derived from dispersed
229 gene duplication (DSD) and the remaining gene pairs derived from whole-genome duplication
230 (WGD). Three syntenic pairs were identified, and all originated from WGD. The syntenic genes
231 were located on different chromosomes from their partner (Fig. 1).

232 **Classification, Phylogenetic and Motif Analyses of PpHSFs**

233 Among plant species, there are two characteristic amino acid domains in the HSF family, the
234 DBD and adjacent HR-A/B region (Nover *et al.*, 2001). The PpHSFs were divided into three
235 classes (PpHSFA, PpHSFB and PpHSFC), according to the number of amino acids between part
236 A and part B of the HR-A/B domain (Fig. 2A). Multiple sequence alignment analysis of the
237 PpHSF proteins indicated that an insertion of 21 amino acids was found in Class A (11 of the
238 PpHSFs) and a shorter insertion of 14 amino acid in Class C (1 of the PpHSFs) between the HR-
239 A and HR-B regions. Six of the PpHSFs had no aa insertion between the two domains (Class B).

240 Phylogenetic analysis among the HSF proteins from three plant species, namely 21 AtHSFs
241 (*Arabidopsis thaliana*), 25 OsHSFs (*Oryza sativa*) and 18 PpHSFs (*Prunus persica*), was
242 conducted by constructing a phylogenetic tree. According to the phylogenetic tree, the 64 HSFs

243 derived from the three plant species were divided into three classes and 15 subclasses (Fig. 2B).
244 The peach proteins sorted into the classes of HSFs, within (11 members) in class HSFA, six in
245 HSFB, and one in HSFC. Class A included nine subclasses (A1-A9), the largest number of
246 subclasses. The PpHSFs were grouped into eight of the Class A subclasses, with no PpHSF in
247 Class A7. Class B consisted of 18 total members and was divided into four subclasses (B1-B4).
248 It is noteworthy that PpHSF8 clustered with Class B but as a single branch. Only six members
249 were clustered into Class C, with two subclasses (C1-C2). No PpHSFs clustered with subclass
250 C2.

251 The conserved motifs in the PpHSF proteins were analyzed using MEME. The results revealed
252 that PpHSFs contained ten conserved motifs (Fig. 2C and Supplemental Table S4). Motifs 1-3
253 were found in the N-terminals (the most conserved region) of each PpHSF. Motif 4 was found in
254 Class A and Class B. Motif 5, which was found between the HR-A and HR-B regions, was
255 observed in Class A and Class C. The motif analysis was consistent with the multiple sequence
256 alignment and phylogenetic analyses.

257 **Analysis of the *Cis*-Acting Regulatory Elements in the *PpHSF* Gene Promoters**

258 The *cis*-acting elements within the promoters of the 18 *PpHSFs* were analyzed using PlantCARE.
259 Every promoter contained at least two MYB elements (abiotic stress response) (Table 2). All but
260 one promoter contained an ABRE (ABA-responsive element). The next most common elements
261 were MYC elements (dehydration-responsive) (in 88.8% of the promoters), CGTCA- and
262 TGACG- motifs (83.3%), and ARE elements (anaerobic induction) (77.8%). ERE (ethylene-

263 responsive element), MBS (drought inducible), MRE and P-box elements were also present in
264 the promoters of some *PpHSFs*. The TCA-motif was observed in only five *PpHSFs*, namely
265 *PpHSF1*, *PpHSF2*, *PpHSF5*, *PpHSF6* and *PpHSF13*. Previous studies reported several elements,
266 including MYB, ABRE, MYC, play vital roles in stress responses in plants (*He et al., 2012; Li et*
267 *al., 2012*). The different *cis-elements* in the promoter regions of these *PpHSFs* implied that the
268 *PpHSFs* may function in plant development and stress responses.

269 **The Expression Patterns of *PpHSFs* during shoot elongation in ‘Zhongyoutao 14’**

270 Based on our previous RNA-seq analysis (*Lian et al., 2020*), the expression patterns of *PpHSFs*
271 were compared in four critical stages of shoot elongation of ‘Zhongyoutao 14’ grown under
272 elevated temperature in the field (Fig. 3). Most of *PpHSFs* belonging to the A and C classes
273 (except *PpHSF4* and *PpHSF11*) were maintained at lower expression level. The *PpHSFs* of B
274 class exhibit diverse expression patterns. The FPKM values of *PpHSF8* and *PpHSF13* remained
275 almost unchanged at the four stages. The transcripts of another three *PpHSFs* (*PpHSF15*,
276 *PpHSF6* and *PpHSF12*) were present at lower levels during the IEP stage and then slightly
277 increased during the RGP and SGP stages. The expression of level of *PpHSF5* showed higher in
278 IEP stage and increased from the RGP to SGP stages. *PpHSF5* might participate in temperature-
279 induced shoot growth of temperature-sensitive peach.

280 **Expression Analysis of *PpHSF5* and Subcellular Localization of *PpHSF5***

281 The relative expression of *PpHSF5* was investigated by qRT-PCR in different organs of

282 ‘Zhongyoutao 14’ (Fig. 4; supplement Table S5-1). The results showed that *PpHSF5* were
283 expressed predominantly in young vegetative organs (leaves and apex), but barely detectable in
284 embryos and mature leaves. This suggested that *PpHSF5* might participated in the growth and
285 development of plants. The 35S::PpHSF5-GFP signal was evident in the cellular nucleus in *N.*
286 *benthamiana* cells, indicating a nuclear localization (Fig. 5). The result was in concurrence with
287 the prediction from Plant-mPLOC of subcellular localization (Table 1).

288 **Overexpression of *PpHSF5* in Arabidopsis Results in Dwarf Phenotypes**

289 To investigate the function of *PpHSF5*, an overexpression vector with *PpHSF5* was transformed
290 into Arabidopsis. The phenotype of two transgenic lines and WT were recorded (Fig. 6). One
291 week after germination on agar medium, the transgenic lines had shorter roots and a smaller
292 number of lateral roots than WT seedlings (Fig. 6A). The average root length in WT was 7.13 cm,
293 in transgenic line L1 was 3.08 cm, and in L2 was 3.50 cm (Fig. 6A and B; Supplemental Table
294 S6-1). Two weeks after transplantation, there was no difference in the number of rosette leaves
295 between the transgenic lines and WT (Fig. 6C and D-a and Supplemental Table S6-2), although
296 the rosette leaves were significantly shorter and narrower in the transgenic lines (the average
297 length and width; Fig. 6D-b, c and Supplemental Tables S6-3 and S6-4). The mRNA levels in
298 the PpHSF5-OE lines were obviously higher than WT plants (Fig. 6D-d and Supplemental Table
299 S5-2).

300 Three weeks after transplanting, the soil-grown transgenic lines had fewer rosette leaves and
301 the leaves were shorter and narrower than those in WT plants (Fig. 6F). Moreover, the two

302 transgenic lines (L1 and L2) exhibited a dwarf phenotype (Fig. 6E and F). The average height of
303 L1 (16.83 cm) was 40% shorter than that of the WT (26.77 cm). The number of rosette branches
304 was much greater in WT than in transgenic lines, which had just one flowering stalk (Fig. 6F).
305 There was no significant difference in the number of internodes (Fig. 6E and F), indicating that
306 the dwarf phenotype of the transgenic lines might be caused by shorter internode length.

307 Shorter roots were also observed in the transgenic lines for cultivation three weeks after
308 transplanting (Fig. 6G and H). Root length and root volume were significantly lower in
309 transgenic lines compared to WT (Fig. 6G and H, Supplemental Table S6-12, 13). The average
310 length of roots in Line 1 was 219.34 cm, which was 54% of the length in WT plants. The root
311 volumes in the transgenic lines (Line 1 was 0.19 cm³, Line 2 was 0.36 cm³) was no more than
312 20% of that in WT (1.95 cm³). Other root indexes output by the root scanner were also less in the
313 PpHSF5-OE lines, including the forks, tips and crossings of roots (Fig. 6G and H, Supplemental
314 Table S6-14, 15, 16). Between the two transgenic lines, the higher expression level of *PpHSF5*
315 in L1 resulted in more obvious phenotypes compared to PpHSF5-OE L2 and WT (Fig. 6A, C,
316 and E and Supplemental Table S5-2). The above results indicated that *PpHSF5* might participate
317 in plant growth and development and that overexpression of *PpHSF5* results in a dwarf
318 phenotype in transgenic Arabidopsis.

319 **PpHSF5-OE Lines Exhibit Enhanced Thermotolerance**

320 The thermotolerance of PpHSF5-OE lines was assayed with that of WT (Fig 7 and
321 Supplemental Table S7). As shown in Fig 7B and F, only 8.3% WT seeds germinated, whereas

322 more than 93.3% of the transgenic seeds germinated after HS treatment 1 d. Nearly half of the
323 WT seeds germinated after HS treatment 3 d, whereas 100% of the transgenic seeds were
324 germinated (Fig 7C and F). After HS treatment 5 d and 7 d, 68.4% and 82.6% of WT seeds
325 germinated, respectively (Fig 7D, E and F). Compared to WT seedlings, the PpHSF5-OE
326 seedlings exhibited green cotyledons and vigor growth (Fig 7C, D and E). These results
327 suggested that the overexpression of *PpHSF5* improves thermotolerance of PpHSF5-OE lines.

328

329 **Discussion**

330 **Peach Contains Fewer HSF Gene Family Members among Several Plant Species**

331 *HSFs* play vital roles in plant growth and defense. Through plant genome sequencing, *HSF* gene
332 family members have been identified in several model organisms and more than 20 plant species
333 (Supplemental Table S8). Only a single *HSF* was detected in yeast, nematodes and flies
334 (Nakai, 1999; Nover, 1996). In this study, 18 *HSF* genes were identified in peach, which is less
335 than in most other plant species, but more than in tea (*Camellia sinensis*), strawberry (*Fragaria*
336 *vesca*), Chinese plum (*Prunus salicina*) and carnation (*Dianthus caryophyllus*) (Hu et al., 2015;
337 Liu et al., 2016; Qiao et al., 2015; Li et al., 2019).

338 HSFs in each subgroup are highly similar to each other across a variety of plants. Among
339 these species, Class A contains the largest number of HSFs, followed by Class B, and then Class
340 C. The same phenomenon was also observed in peach, which contained 11 *HSFAs*, six *HSFBs*

341 and one *HSFCs*.

342 **The *HSF* Gene Family Expanded along with DSD in Peach**

343 The number of *HSFs* expanded markedly during plant evolution. The analysis of 51
344 representative species indicated that the HSF gene family largely expanded along with WGD
345 during plant evolution (*Wang et al., 2018*). In Chinese white pear (*Pyrus bretschneideri*), most
346 *PbHSF* expansions dated back to a recent WGD (Qiao et al., 2015). On the other hand, *GmHSFs*
347 in cotton expanded along single gene duplication events (*Wang et al., 2014*). Here, DSD (67%)
348 was the primary type of duplication for the HSF gene family in peach. The same phenomenon
349 was also seen for the E3 ligase gene family in peach (*Tan et al., 2019*). It is probably that peach
350 has not undergone a recent WGD (*Verde et al., 2013*).

351 **HSF Gene Family was Classified into Three Classes**

352 Plant HSF proteins contain a few conserved characteristic domain (*Guo et al., 2016*). Generally,
353 HSF families in plant species can be divided into three subfamilies, termed HSFA, HSFB, and
354 HSFC (*Liu et al., 2018; Wang et al., 2018*). The classification of the PpHSF family was
355 consistent with that in other plant species (Supplemented Table 7). Multiple sequence alignments
356 revealed that an insertion occurred in the DBD domain near the N-terminus in the PpHSFA and
357 PpHSFC groups. Like in other plants, the PpHSFA and PpHSFC genes contained inserted coding
358 sequence for 21 and 7 aa in the HR-A/B region, respectively, while the HR-A/B region of
359 PpHSFB was compact (*Nover, 1996; Scharf et al., 2012*). The organization, composition,

360 number of conserved motifs in the HSFs differed among plant species (*Wang et al., 2018*). In
361 Chinese whit pear, Class A in PbHSFs contained the most conserved motifs, followed by class B
362 and then class C (*Qiao et al., 2015*). In this study, the number of motifs in the different classes
363 was consistent with those in Chinese white pear. This also showed that members of the same
364 class often have similar sequence structures in peach. For example, motif 5 was present only in
365 PpHSFA and PpHSFC, while all Class B and Class A HSFs contain motif 4. The presence of
366 these motifs may lead to functional group specificity. The similar classifications of HSF families
367 in diverse plants showed that the HSF family was highly conserved during long-term evolution.

368 ***PpHSF5* Acts as Repressor of Organ Size in Plants**

369 In plants, organ size is primarily controlled by internal developmental signals (*Mizukami, 2001*;
370 *Dubrovsky et al., 2006*; *Spradling, 2001*; *West et al., 2004*). Previous research in the model
371 organism *Arabidopsis thaliana* indicates that plant hormones and transcription factors, including
372 HSFs, play crucial roles in growth and development (*Petricka et al., 2012*; *Begum et al., 2013*).
373 HSFs as key transcription factors protect plants from various abiotic stresses and then participate
374 in the growth and development (*Guo et al., 2016*). For example, *OsHsfA1a*, *OsHsfA1b* and
375 *OsHsfA1d* are the main positive regulators of gene expression on heat stress-responsive, and four
376 HSFA proteins play significant roles in gene expression of plant growth and development
377 (*Yoshida et al., 2011*). In poplar (*Populus trichocarpa*), the transcripts of three *PtHsfs* in the B4
378 subfamily (-B4b, -B4c and -B4d) were maintained at higher levels during the leaf expansion
379 stages (*Liu et al., 2019*). In carnation (*Dianthus caryophyllus*), five *DcaHsfs*, namely *DcaHsf-A1*,

380 *A2a, A9a, B2a, B3a*, were involved in early flowering stages (*Li et al., 2019*). Transgenic
381 Arabidopsis plants overexpressing *AtHSFB4* contained massively enhanced levels of *AtHSFB4*
382 mRNAs and exhibited shorter roots (*Begum et al., 2013*). In this study, overexpression of
383 *35S:PpHSF5* in Arabidopsis resulted in not only shorter roots but also in lesser root volume and
384 fewer lateral roots and root forks compared to WT.

385 The root system of a plant is instrumental to its growth and productivity because it is
386 responsible for the extraction of water and mineral nutrients from the soil and their transport to
387 aboveground parts of the plant (*Hochholdinger, 1998*). In this study, the *35S:PpHSF5* transgenic
388 lines produced smaller aerial organs compared with WT. For example, the size (length and width)
389 of rosette leaves were smaller than WT two and three weeks after transplanting, while the
390 number of rosette leaves was not affected. The height of the overexpression lines was
391 significantly lower than that in WT, while the number of internodes was not. Overexpression of
392 *OsHsfA2e* in rice caused a dwarf phenotype (*Yokotani et al., 2008*). In plants overexpressing
393 *BhHsf1*, the reduced organ size was mainly attributed to decreased cell proliferation (*Zhu et al.,*
394 *2009*). The overexpression of *PpHSF5* in peach suggested that the dwarf phenotype of transgenic
395 plants was caused by shorter internodes.

396 It is still unknown how *PpHSF5* regulates root and aerial organs development. *PpHSF5* is
397 homologous to *AtHSFB4* and thus may play similar roles in root development. Confocal laser
398 scanning of roots in *AtHSFB4*-overexpression transgenic lines showed that ectopic division of
399 the lateral root cap cells (LRC) occurred (*Begum et al., 2013*). Previous studies indicated that

400 auxin acts in the production of lateral root primordium (LR) (*Casimiro et al., 2003; West et al.,*
401 *2004*). In the promoter of *PpHSF5*, there are three *cis-acting* regulatory elements that contain the
402 auxin-inducible TGACG-motif. Two auxin-inducible TGA-box elements in the *GmGH3*
403 promoter were strong binding sites of plant nuclear proteins and improved the auxin inducibility
404 of the *GmGH3* promoter (*Zhan-Bin et al., 1994*). Moreover, the HS assays indicated *PpHSF5*-
405 OE lines exhibited enhanced thermotolerance compared to WT. Similarity results were observed
406 in transgenic *Arabidopsis* plants with *AtHsfA3* and rice plants with *OsHsfA2e* (*Ogawa et al.,*
407 *2007; Yokotani et al., 2008*). Therefore, *PpHSF5* might be as a responsive factor for temperature
408 change and involved in auxin signal transduction due to the TGA motifs in its promoter and
409 might serve to negatively regulate root elongation and lateral root development, ultimately
410 affecting the growth of aboveground parts of the plant.

411

412 **Conclusions**

413 In this report, 18 *PpHSF* genes were discovered in peach and found to be nonuniformly
414 distributed on the peach chromosomes. The *PpHSF* family could be classified into three classes
415 (*PpHSFA*, *PpHSFB* and *PpHSFC*) through multiple alignment, motif analysis and phylogenetic
416 comparison. The expansion of the HSF gene family in peach occurred through DSD (67%) and
417 WGD (33%). *PpHSF5* was expressed in diverse tissues and organs of the peach cultivar
418 ‘Zhongyoutao 14’, with higher levels in young vegetative organs (leaf and apex). Transgenic
419 *Arabidopsis* lines overexpressing *PpHSF5* showed massively enhanced levels of *PpHSF5*.

420 Ectopic expression *PpHSF5* repressed the length and number of roots, length and width of
421 rosette leaves, and the height of plants, and enhanced thermotolerance in *Arabidopsis* after heat
422 stress treatment. Our results further supplied functional and annotation information of the HSF
423 gene family in general and revealed potential roles, outside of the response to heat stress, for
424 *PpHSF5* during plant development.

425

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428

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590

Figure 1

Chromosomal location of *HSF* genes in peach (*PpHSFs*).

Three syntenic pairs are linked by red lines.

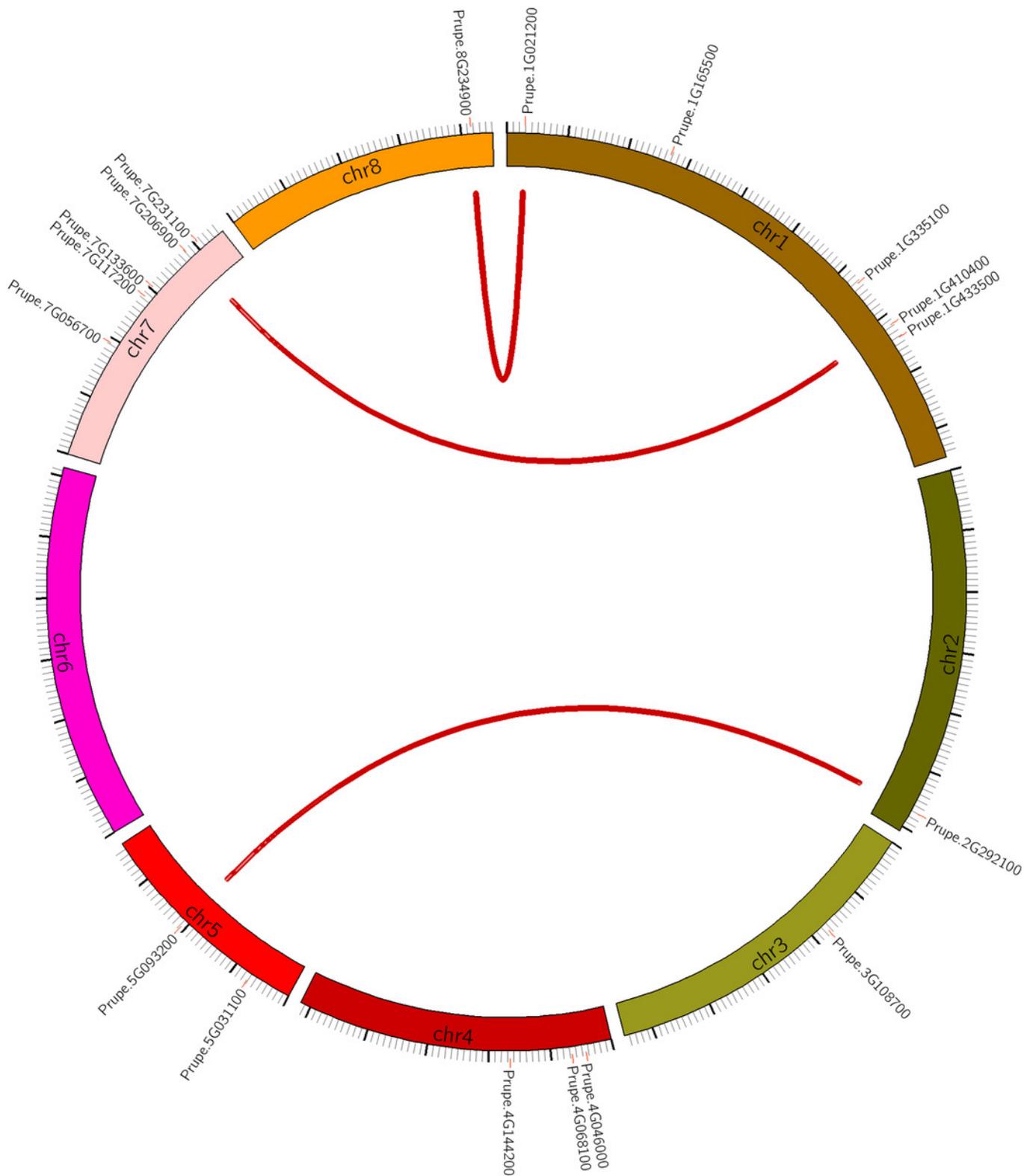


Figure 2

Multiple sequence alignment of the HR-A/B regions (OD), conserved motif and phylogenetic analysis of PpHSFs

A. Multiple sequence alignment of the HR-A/B regions, from the start of the DNA-binding domain to the end of the HR-A/B region, of the HSF proteins were aligned with MEGA 6. **B.** Phylogenetic tree of HSFs from *Prunus persica* (Pp, red star), *Oryza sativa* (Os, blue circle) and *Arabidopsis thaliana* (At, green square) constructed by maximum likelihood method (ML) with Jones-Taylor-Thornton (JTT) model in MEGA 6.0. Both locus ID and subclass numbers are listed. **C.** Analysis of conserved motifs in the HSF gene family in peach. Proteins are organized according to the groups in Figure 2A. Ten motifs were found in the protein sequences as shown in Supplemental Table S4.

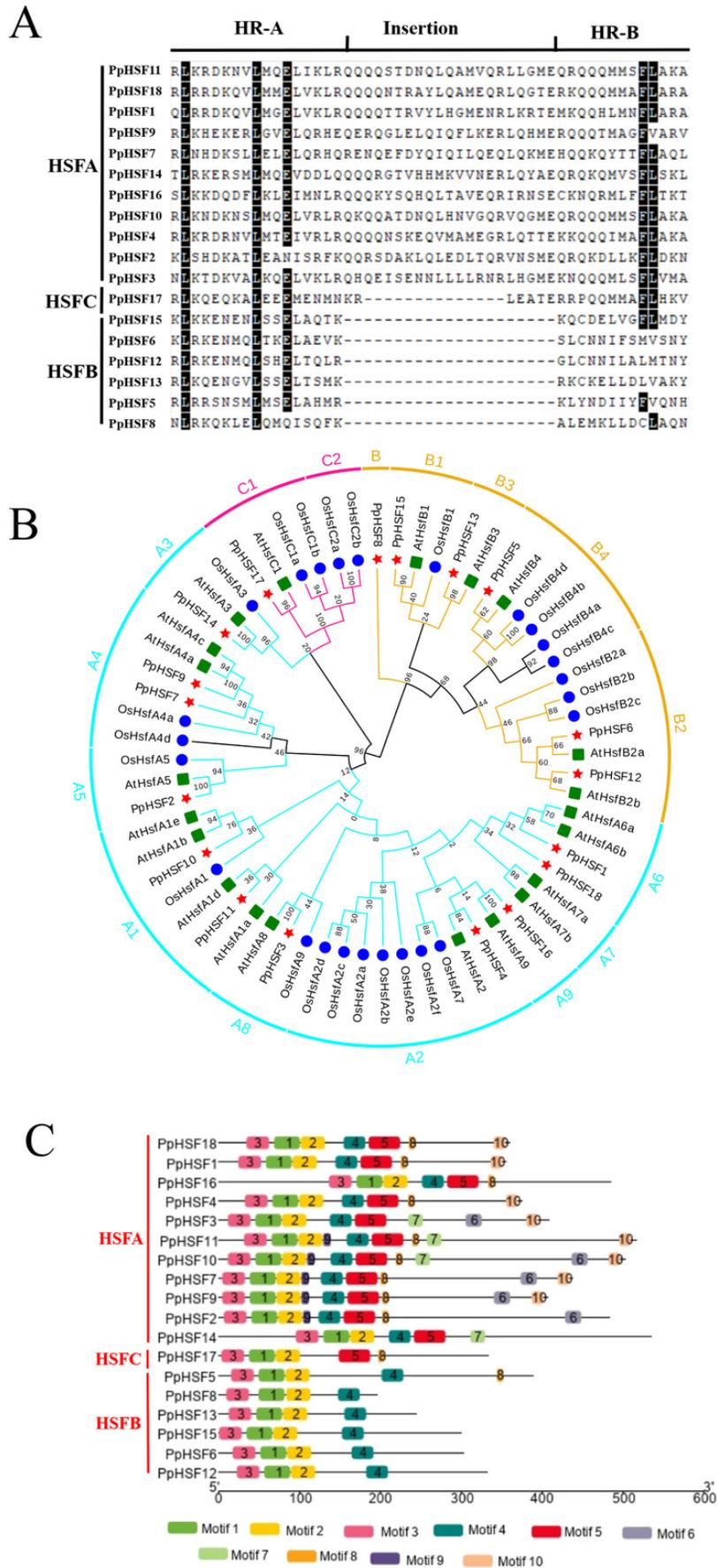


Figure 3

Heatmap of transcript levels of HSF genes in peach.

Transcriptome data were used to measure the expression level of *PpHSFs*. The gene names on the right are organized according to the different subclasses. Samples were harvest from shoots at the IP (initial period), IEP (initial elongation period), RGP (rapid growth period), and SGP (stable growth period), which are four key growth stages during temperature-sensitive peach shoot development. Color scale at the top represents FPKM values. Blue indicates low expression and red indicates high expression. Heatmap was generated using TBtools.

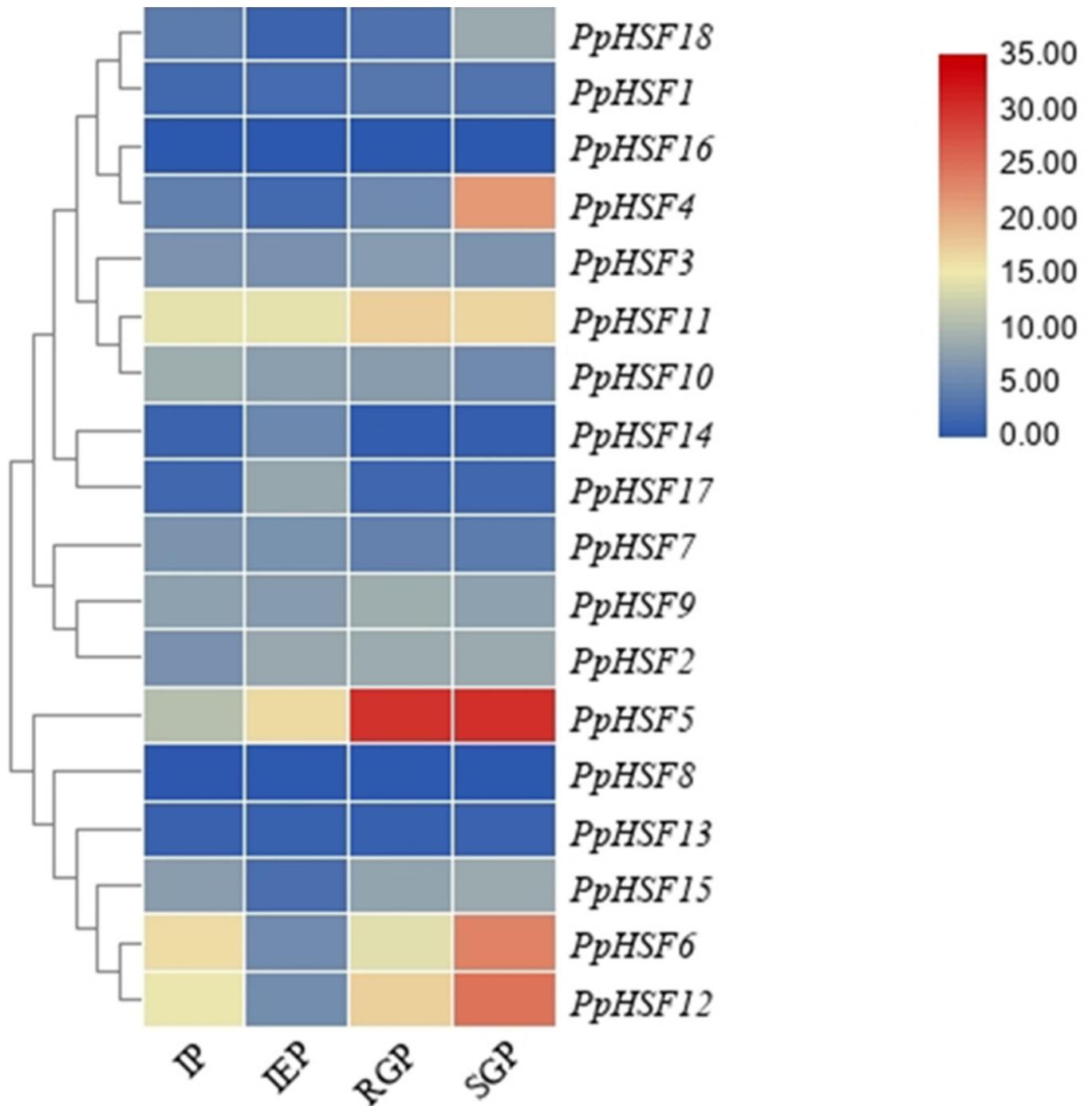


Figure 4

Relative expression of *PpHSF5* in different tissues of 'Zhongyoutao 14' peach.

Established plants were grown under normal conditions. The analyzed tissues include the apex, flower, embryo, young leaf, and mature leaf, which were harvested at the same time. The relative expression levels were calculated using the $2^{-\Delta\Delta CT}$ method.

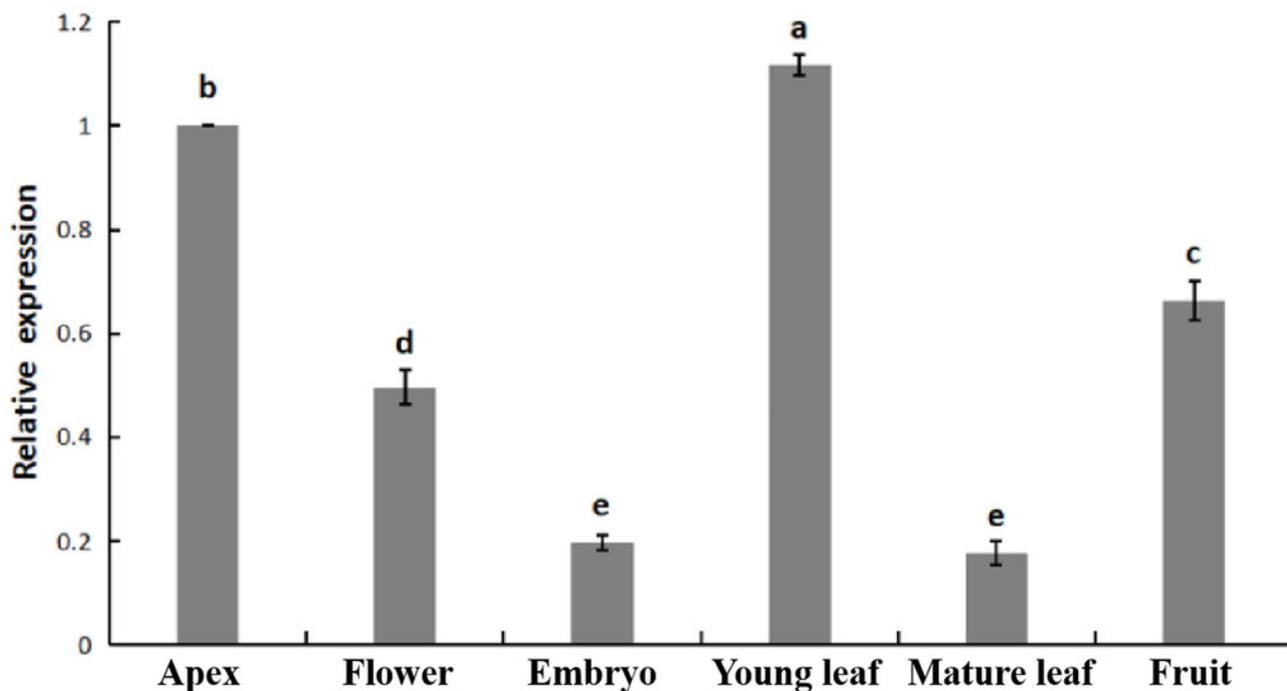


Figure 5

Subcellular localization of PpHSF5 in *N. benthamiana* epidermal cells.

A and **D**: Images of green fluorescence from the GFP protein and the PpHSF5-GFP fusion protein in tobacco cells under the confocal microscope; **B** and **E**: Bright field image of tobacco epidermal cells; **C**: Overlay of A and B; **F**: Overlay of D and E.

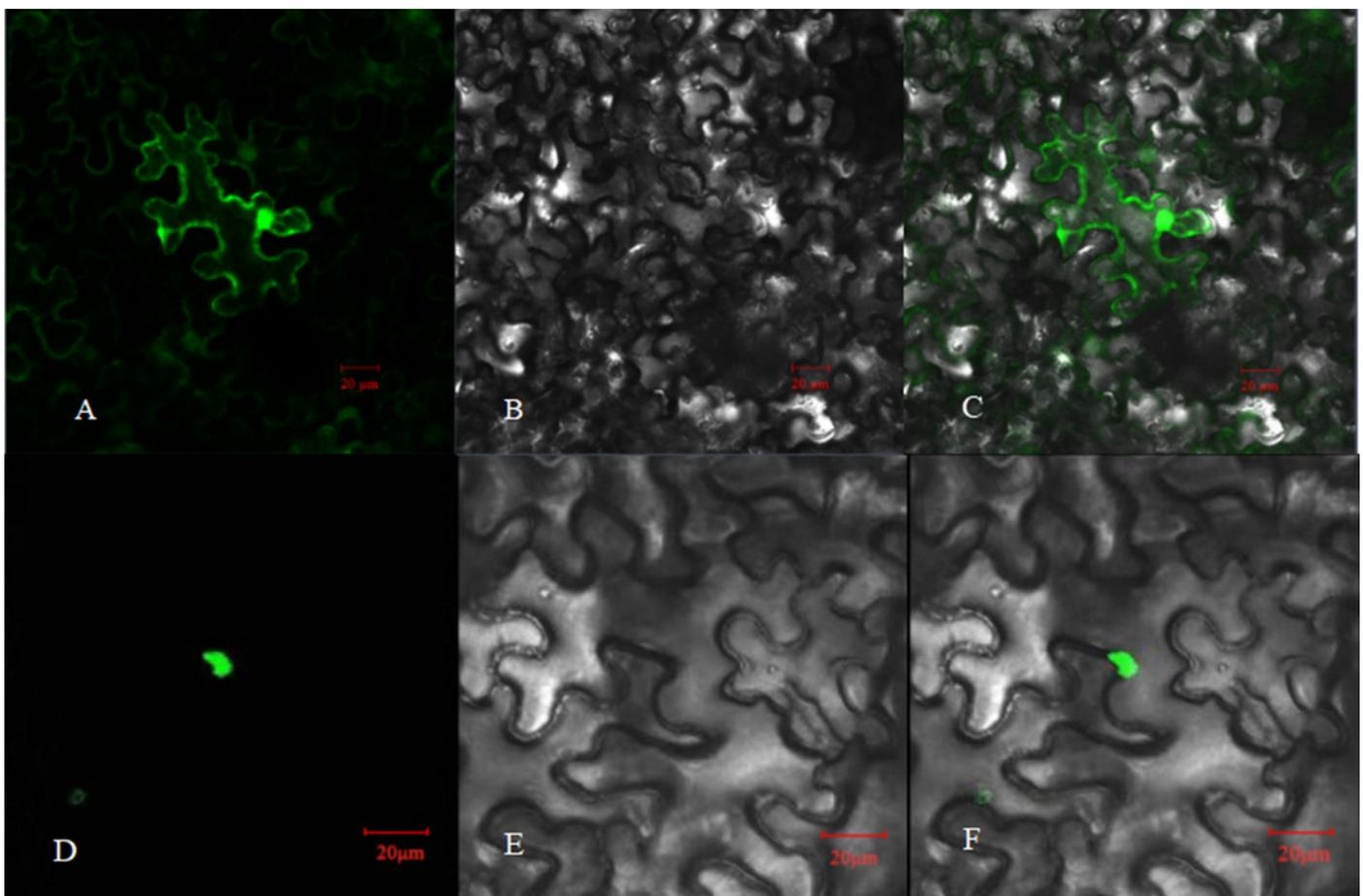


Figure 6

Phenotypic and expression analysis of transgenic Arabidopsis over-expressing *PpHSF5*

A. Phenotype of T₂ transgenic plants from two lines over-expressing *PpHSF5* after cultivation for one week. **B.** Root length of T₂ transgenic plants over-expressing *PpHSF5*. Three plants were measured in each biological replicate. **C.** Phenotype of T₂ transgenic plants from two lines over-expressing *PpHSF5* after cultivation for two weeks. Seeds were transferred to soil after germination and growth on agar for five days. **D.** The morphology and relative expression of T₂ transgenic plants with *PpHSF5* and WT after cultivation for two weeks. The number of rosettes (a), length (b) and width (c) after cultivation for two weeks. Relative expression of *PpHSF5* in transgenic Arabidopsis plants carrying *p35S:PpHSF5* (d); **E.** Phenotype of T₂ transgenic plants over-expressing *PpHSF5* after cultivation in soil for three weeks. **F.** The length, width and number of rosette leaves, number of internodes and flower stalks, and the height of plants after cultivation for three weeks. **G.** Phenotype of T₂ transgenic plant roots over-expressing *PpHSF5* after cultivation for three weeks. **H.** The root length, volume and other indexes were scanned after cultivation for three weeks.

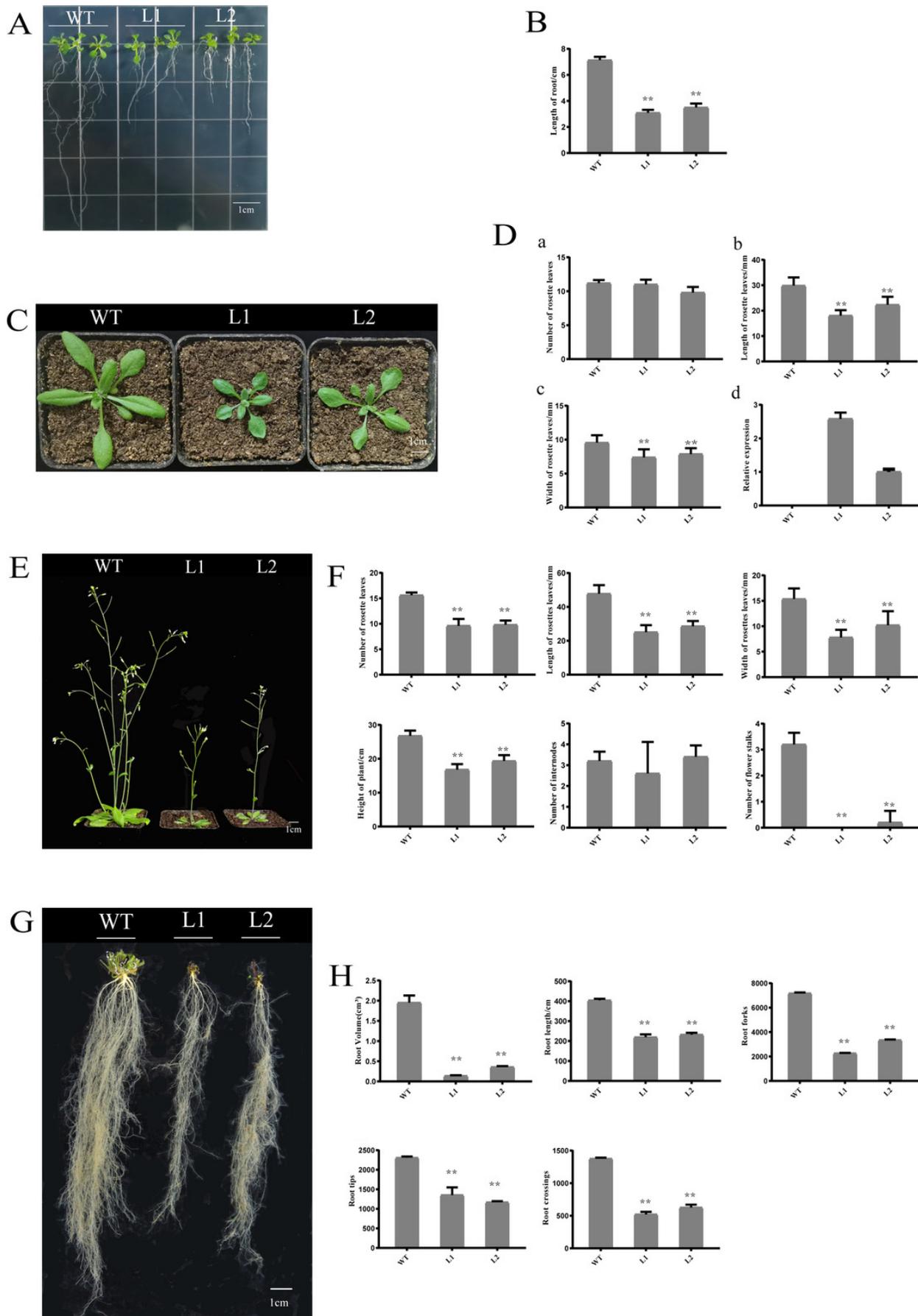


Figure 7

Thermotolerance of the *p35S::PpHSF5* plants.

A: Five-day-old seedlings of wild type and the *p35S::PpHSF5* plants were treated at 46 °C for 30 min. Photographs were taken before HS treatment. **B.** Photographs were taken after 1d in 22 °C. **C:** Photographs were taken after 3d in 22 °C. **D:** Photographs were taken after 5d in 22 °C. **E:** Photographs were taken after 7d in 22 °C. **F:** Comparison of germination rate among wild-type, *p35S::PpHSF5* transgenic plants after HS treatment. The number of germinated plants was counted daily after HS treatment. For three replication, more than 50 seedlings were used each lines (*t*-test significant at $P < 0.05$ and $P < 0.01$, respectively).

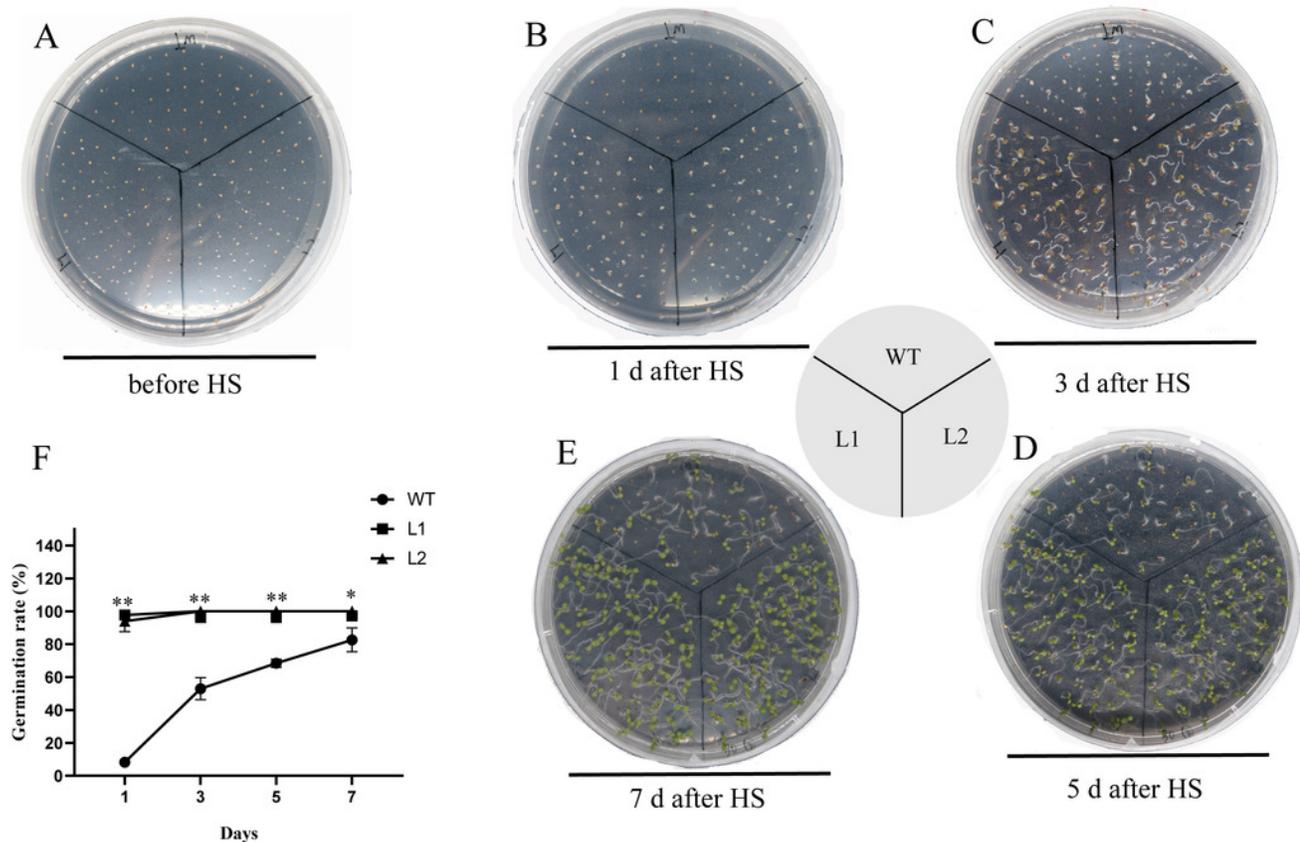


Table 1 (on next page)

Basic information of *PpHSF* gene family members

Gene name	Gene ID	Length of CDS (bp)	No. of amino acids (aa)	Molecular weight (Da)	Predicted isoelectric point (PI)	Chromosome location	Subcellular localization
<i>PpHSF1</i>	Prupe.1G021200	1068	355	41166.82	4.9	1	Nucleus
<i>PpHSF2</i>	Prupe.1G165500	1452	483	54128.99	5.61	1	Nucleus
<i>PpHSF3</i>	Prupe.1G335100	1227	408	46017.53	4.81	1	Nucleus
<i>PpHSF4</i>	Prupe.1G410400	1125	374	41952.84	4.95	1	Nucleus
<i>PpHSF5</i>	Prupe.1G433500	1170	389	43557.76	7.17	1	Nucleus
<i>PpHSF6</i>	Prupe.2G292100	912	303	33900.98	5.19	2	Nucleus
<i>PpHSF7</i>	Prupe.3G108700	1314	437	49855.78	5.13	3	Nucleus
<i>PpHSF8</i>	Prupe.4G046000	591	196	22364.46	8.75	4	Nucleus
<i>PpHSF9</i>	Prupe.4G068100	1224	407	46118.31	5.23	4	Nucleus
<i>PpHSF10</i>	Prupe.4G144200	1512	503	56052.61	4.78	4	Nucleus
<i>PpHSF11</i>	Prupe.5G031100	1551	516	56261.49	4.67	5	Nucleus
<i>PpHSF12</i>	Prupe.5G093200	996	331	36068.73	4.75	5	Nucleus
<i>PpHSF13</i>	Prupe.7G056700	735	244	28001.34	5.75	7	Nucleus
<i>PpHSF14</i>	Prupe.7G117200	1608	535	59567.89	4.98	7	Nucleus
<i>PpHSF15</i>	Prupe.7G133600	900	299	33339.83	5.07	7	Nucleus
<i>PpHSF16</i>	Prupe.7G206900	1458	485	53632.01	5.07	7	Nucleus
<i>PpHSF17</i>	Prupe.7G231100	1002	333	37851.52	5.68	7	Nucleus
<i>PpHSF18</i>	Prupe.8G234900	1080	359	40936.56	5.58	8	Nucleus

Table 2 (on next page)

Cis-elements in the promoters of eighteen *PpHSF* genes

	ABRE	ARE	CGTCA-motif	ERE	MBS	MRE	MYB	MYC	P-box	TGACG-motif	TCA-element	LTR	TGA-element
<i>PpHSF1</i>	1	3	2	2	1	1	4	3	2	2	2	-	-
<i>PpHSF2</i>	1	2	2	1	-	-	3	1	-	2	1	3	1
<i>PpHSF3</i>	1	1	-	2	1	1	4	2	-	1	-	1	1
<i>PpHSF4</i>	3	6	3	-	-	-	3	-	1	3	-	2	-
<i>PpHSF5</i>	5	-	3	-	2	-	7	-	1	3	3	-	-
<i>PpHSF6</i>	4	-	3	-	1	-	7	5	2	3	1	-	-
<i>PpHSF7</i>	1	5	-	1	-	-	6	3	-	-	-	1	1
<i>PpHSF8</i>	3	3	3	1	1	1	3	8	1	3	-	-	3
<i>PpHSF9</i>	5	5	4	-	-	1	13	4	-	4	-	2	2
<i>PpHSF10</i>	3	1	5	2	-	-	2	6	-	5	-	-	2
<i>PpHSF11</i>	-	1	1	-	2	-	7	8	-	1	-	-	-
<i>PpHSF12</i>	6	-	1	1	1	1	13	3	-	1	-	-	1
<i>PpHSF13</i>	3	4	1	-	-	-	4	5	-	1	1	1	-
<i>PpHSF14</i>	3	4	3	-	3	-	11	3	-	3	-	1	-
<i>PpHSF15</i>	8	2	4	1	1	1	6	5	-	4	-	-	-

<i>PpHSF16</i>	4	4	1	1	-	2	4	11	-	-	-	-	-
<i>PpHSF17</i>	11	2	2	1	1	-	4	6	-	2	-	-	-
<i>PpHSF18</i>	13	3	-	1	1	2	2	4	-	-	-	1	-

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