

Screening and expression analysis of genes related to cold tolerance in *Dendroctonus valens*

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Dendroctonus valens (Coleoptera: Scolytidae) is a major pest of the genus *Pinus*. It has strong cold tolerance, but the molecular mechanisms underlying its response to low temperatures are not clear. In this study, we sequenced the transcriptomes of adult and larval *D. valens* in May (-12.1°C) and January (18.7°C) using the Illumina HiSeq platform. We detected 4,996 and 8260 differentially expressed genes (DEGs) between sampling dates in larvae and adults, respectively, and 1189 common DEGs, including genes encoding protein phosphatase, very long-chain fatty acids, cytochrome P450, and putative leucine-rich repeat-containing proteins. In a GO enrichment analysis, 1,189 genes were assigned to 43 terms, with significant enrichment for cellulase activity, hydrolase activity, and carbohydrate metabolism. KEGG classification and enrichment analyses showed that the lysosomal and oxidative phosphorylation metabolic pathways involved the most DEGs. We also detected significant enrichment for pentose and glucuronate interconversions and lysosomal processes. We identified 112 candidate genes associated with cold tolerance, including genes with established roles in this trait (e.g., genes encoding trehalose transporter, fructose-1,6-bisphosphatase, and trehalase). Our comparative transcriptome analysis of adult and larval *D. valens* in different conditions provides basic data for the discovery of key genes and molecular mechanisms underlying cold tolerance.

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27 Abstract

28 *Dendroctonus valens* (Coleoptera: Scolytidae) is a major pest of the genus *Pinus*. It has strong
29 cold tolerance, but the molecular mechanisms underlying its response to low temperatures are
30 not clear. In this study, we sequenced the transcriptomes of adult and larval *D. valens* in May (-
31 12.1°C) and January (18.7°C) using the Illumina HiSeq platform. We detected 4,996 and
32 8260 differentially expressed genes (DEGs) between sampling dates in larvae and adults,
33 respectively, and 1189 common DEGs, including genes encoding protein phosphatase, very
34 long-chain fatty acids, cytochrome P450, and putative leucine-rich repeat-containing proteins. In
35 a GO enrichment analysis, 1,189 genes were assigned to 43 terms, with significant enrichment
36 for cellulase activity, hydrolase activity, and carbohydrate metabolism. KEGG classification and
37 enrichment analyses showed that the lysosomal and oxidative phosphorylation metabolic
38 pathways involved the most DEGs. We also detected significant enrichment for pentose and
39 glucuronate interconversions and lysosomal processes. We identified 112 candidate genes
40 associated with cold tolerance, including genes with established roles in this trait (e.g., genes
41 encoding trehalose transporter, fructose-1,6-bisphosphatase, and trehalase). Our comparative
42 transcriptome analysis of adult and larval *D. valens* in different conditions provides basic data
43 for the discovery of key genes and molecular mechanisms underlying cold tolerance.

44 Introduction

45 *Dendroctonus valens* mainly attacks the base of the trunk of *Pinus tabulaeformis*. Adults
46 generally lay eggs in the phloem at the base of the trunk or 1.5 m below the base. After hatching,
47 larvae consume decaying phloem and form a common tunnel. Adults and larvae eat the phloem,
48 destroy the cambium, and cut off nutrient transport in swarms, thereby affecting tree growth or
49 even causing death. This damage reduces the economic and landscape value of the tree ([Yan et](#)
50 [al., 2005](#)).

51 *D. valens* was introduced to Shanxi Province in 1998 and spread rapidly due to the abundant
52 *Pinus* hosts and warm and dry climate ([Sun et al., 2013](#)). The species was introduced to Hebei
53 and Henan in 1999 ([Sun et al., 2004](#)), Shaanxi and Qinghai in 2001, and Beijing in 2005, and its

54 distribution continued to expand northward. By 2017, it reached to Chaoyang of Liaoning and
55 Chifeng of Inner Mongolia at approximately 41.5°N latitude. *D. valens* has evolved a unique
56 cold tolerance mechanism.

57 In view of the expansion of the distribution of *D. valens*, extensive research has focused on
58 biological characteristics (Liu, Xu & Sun, 1938; Zhang et al., 2010; Lindeman & Yack, 2015; Liu
59 et al., 2017), risk analyses (Liu, Xu & Sun, 1938), biological control (Storey, Storey & GuiJun,
60 2011; Yang, Wang & Zhang, 2014), symbiotic bacteria (Wang et al., 2012; Raffa, Hanshew &
61 Mason, 2015; Wang et al., 2017), and pheromones (Chen et al., 2010; Xu, Liu & Sun, 2014;
62 Kelsey & Westlind, 2018), but studies of the molecular mechanisms underlying cold tolerance in
63 *D. valens* are lacking. Cold tolerance is an important indicator of the population density,
64 diffusion, and distribution of insects and can explain adaptation to low temperatures in northern
65 regions. Genes related to cold tolerance in insects have been identified in cold-sensitive
66 *Drosophila melanogaster* (Hoffmann, 2010). A number of candidate genes and proteins related
67 to low-temperature responses (e.g., factors involved in metabolism, the epidermis, cytoskeleton,
68 immune function, and signal transduction (Vesala et al., 2012; Isobe, Takahashi & Tamura,
69 2013; Parker et al., 2015)) have been reported, including HSPs (Colinet et al., 2013; Enriquez &
70 Colinet, 2019) and Frost genes (Colinet et al., 2013; MacMillan et al., 2016).

71 In this study, high-throughput transcriptome sequencing was used to explore key genes and
72 metabolic pathways related to responses to low temperatures in *D. valens*. These results provide
73 a foundation for further molecular and functional studies of this trait and provide a theoretical
74 reference for the development of a prevention and control strategy.

75 **Materials and Methods**

76 **Experimental insects**

77 *D. valens* larvae and adults were collected from the field on January 19, 2019, and May 11,
78 2019, in Heilihe Town, Ningcheng County, Chifeng City, Inner Mongolia (Longitude: 118.43°;
79 Latitude: 41.41°; Altitude: 1050 m). The average temperature was -12.1°C and 18.7 °C on these
80 months respectively. The samples were stored in liquid nitrogen, brought to the laboratory, and
81 stored at -80°C until RNA extraction.

82

83 **RNA extraction, cDNA library preparation, and Illumina sequencing assembly**

84 Total RNA was extracted from *D. valens* collected in January and May using TRIzol reagent
85 (Ambion, Austin, TX, USA) and the RNeasy Plus Mini Kit (No. 74134; Qiagen, Hilden,
86 Germany) following the manufacturers instructions. Three biological repeats were evaluated per
87 sample. The purity, concentration, and integrity of the total RNA samples were measured using
88 the NanoDrop2000 (IMPLEN, Westlake Village, CA, USA), Agilent 2100 (Agilent
89 Technologies, Santa Clara, CA, USA) and 1.0% agarose gel electrophoresis. RNA samples of
90 sufficient quality were sent to Shanghai Majorbio Bio-pharm Technology Co.,Ltd for cDNA
91 library construction and Illumina sequencing. The Illumina platform converts sequenced image
92 signals into text signals through CASAVA Base Calling and stores these raw data in fastq
93 format. SeqPrep and Sickle were used to eliminate reads including sequencing adapter
94 sequences, low-quality reads, sequences with a high N rate (where N indicates uncertain base
95 information), and sequences that were less than 30bp. fastx_toolkit (version 0.0.14) was used to
96 estimate the Q20, Q30, and GC contents. Clean reads were assembled using Trinity (version
97 2.5.0), and optimized filtering was performed using TransRate (version 1.0.3) and CD-HIT. A
98 high-quality unigene library was obtained after evaluation using BUSCO (version 3.0.2) to
99 obtain references for subsequent analyses. All of the raw sequence data were deposited in the
100 NCBI Sequence Read Archive (SRA) database (<http://www.ncbi.nlm.nih.gov/subs/>) under
101 BioProject accession No. PRJNA609406.

102

103 **Functional annotation of genes**

104 BLAST was used to obtain homologous sequences by searching against several public protein
105 databases, including the National Center for Biotechnology Information (NCBI) non-redundant
106 (Nr) protein database, the Swiss-Prot protein database, the Protein Family (Pfam) database, the
107 Cluster of Orthologous Groups (COG) database, the Gene Ontology (GO) database ([Camacho et
108 al., 2009](#)), and the Kyoto Encyclopedia of Genes and Genomes (KEGG) database ([Kanehisa,
109 2000](#)).

110

111 **Differential gene expression analysis**

112 Bowtie was used to compare newly obtained sequence reads with sequences in the databases.
113 RSEM 1.2.31 was used to evaluate expression levels based on FPKM (fragments per kilobase of
114 transcript per million fragments mapped) values. The Benjamini–Hochberg method was used to
115 correct for multiple testing ([Benjamini & Yekutieli, 2001](#)). Differentially expressed genes

116 (DEGs) were defined as unigenes with \log_2 (fold change) > 1 or \log_2 (fold change) < -1 , with P
117 < 0.01 . These DEGs were evaluated by GO and KEGG functional classification and enrichment
118 analyses.

119

120 Gene expression validation by real-time quantitative PCR

121 To validate the reliability of RNA-Seq data, 15 common DEGs both larvae and adults were
122 selected for an qRT-PCR assay, using TUB and PRS as reference genes for larvae and adults,
123 respectively (data not published). Primers were designed using Primer 3Plus and synthesized by
124 Ruibo Xingke Biotechnology Co., Ltd. (Beijing, China) (Table S1). The target fragment was
125 amplified by conventional PCR and the optimal reaction conditions were explored. The SYBR
126 Green dye method was used for qPCR, and the procedure was performed according to the
127 instructions provided with the Fluorescence Quantitative Reaction Kit (Roche, Basel,
128 Switzerland). The reaction system (12.5 μL) included SYBR® Premix Ex TaqII (6.25 μL), 0.5
129 μL of 10 $\mu\text{mol/L}$ forward primer, 0.5 μL of 10 $\mu\text{mol/L}$ reverse primer, cDNA (1 μL), and ddH₂O
130 (4.25 μL), mixed well on ice. PCR conditions were as follows: 95°C for 3 min, 40 cycles at
131 95°C for 10 s, 60°C for 30 s, and 65°C to 95°C in increments of 0.5°C for 5 s to generate
132 melting curves. Each reaction was performed with three biological and technical replicates. The
133 experimental data were homogenized and analyzed by the $2^{-\Delta\Delta t}$ method (Livak & Schmittgen,
134 2001). SPSS19.0 was used for statistical analyses, and GraphPad Prism was used to generate
135 plots of real-time PCR results.

136 Results

137 Sequencing and assembly of *D. valens* transcriptomes

138 The Illumina sequencing platform was used to sequence 12 samples of *D. valens*. Over 6.1 Gb of
139 clean data were obtained for each sample, with $Q30 \geq 93.21\%$, $Q20 \geq 97.82\%$, and a GC content
140 of 42.98% (Table 1). Trinity was used to assemble all clean reads from scratch, and 110932
141 transcripts were obtained. After clustering and de-redundancy, 58956 unigenes were obtained.
142 The average transcript length after assembly was 1163.73 bp, N50 was 2101 bp, and the BUSCO
143 score was 96.3% (Table S2). Based on these parameters, the data quality and reliability were
144 high, meeting the requirements for further analyses.

145 Functional annotation of genes

146 The assembled sequences were compared with sequence data in the Nr, Pfam, COG, Swiss-Prot,
147 KEGG, and GO databases using BLAST ($e \leq 10^{-5}$). Functional annotations were obtained for
148 28218 sequences, accounting for approximately 47.86% of all unigene sequences in the
149 transcriptome (Fig. 1). Among these, 27,047 unigenes were annotated in the Nr database,
150 accounting for the highest proportion (45.88%), followed by Pfam (33.84%), GO (32.22%),
151 Swiss-Prot (33.32%), and KEGG (24.94%). The E-value distribution, identity distribution, and
152 species distribution were used to further analyze homology between Illumina sequences and
153 those in the Nr database. Based on the E-value distribution, 69.64% of the annotated unigenes
154 (18836) had very high homology with proteins in the Nr database (E-value $< 1e^{-30}$), and the
155 other sequences had matches with E-values ranging from $1e^{-30}$ to $1e^{-5}$ (Fig. 2A). Further
156 analysis showed that 86.99% of the sequences had similarities of $>60\%$ to those in the Nr
157 database (Figure 2B). With respect to species, the annotated sequences had the highest degree of
158 match to those of *Dendroctonus ponderosae*, with 12,848 sequences and a matching rate of
159 47.84% (Fig. 2C).

160 **Differentially expressed gene screening results of *D. valens* under different** 161 **temperature conditions**

162 The FPKM method was used to calculate expression levels, and genes with p-adjusted < 0.01
163 and $|\log_2(\text{fold-change})| > 1$ were identified as DEGs. Among 58,956 unigenes in the
164 transcriptome of *D. valens*, 4996 DEGs were found among the larvae sampled in January and
165 May. Compared with larvae collected in May, 2937 (58.79%) of genes collected in January were
166 up-regulated, 2059 (41.21%) were down-regulated, and 53,960 genes were not differentially
167 expressed (Fig. 3A). There were 8,260 DEGs in adults, including 4726 (57.22%) up-regulated
168 genes and 3534 (42.78%) down-regulated genes in January compared with May as well as
169 50,696 genes that were not differentially expressed (Fig. 3B).

170 As shown in a Venn diagram in Fig. 4, 1189 genes were differentially expressed between
171 January and May in both larvae and adults (Table S3), 7071 genes were differentially expressed
172 only in adults, and 3807 genes were differentially expressed only in larvae. Among the common
173 DEGs in adults and larvae, 175 lacked functional annotations in any database, 740 were down-
174 regulated in adults and larvae, and 92 were up-regulated. In addition, 349 DEGs were up-
175 regulated in larvae and down-regulated in adults, only 8 DEGs were down-regulated in larvae
176 and up-regulated in adults. We speculated that the common DEGs in adults and larvae under
177 different field temperatures might play an important role in the response to low temperatures;
178 accordingly, we focused on these genes in further functional analyses.

179 **Classification of common DEGs**

180 The 1189 common DEGs were assigned to three GO domains: biological process (BP), cellular
181 component (CC), and molecular function (MF), involving 43 total GO terms (Fig. 5). In the BP
182 category, DEGs were assigned to 20 GO terms; the most frequent terms were metabolic process
183 (234 genes), followed by cellular process (232 genes). In the CC domain, DEGs were assigned
184 to 12 GO terms, and the most frequent terms were membrane and membrane part (257 and 245,
185 respectively). In the MF category, DEGs were assigned to 11 GO terms, with catalytic activity
186 accounting for the largest proportion of genes (359 genes). A KEGG analysis revealed that 1527
187 unigenes belonged to 282 pathways (Table 2). Two metabolic pathways contained more than 20
188 genes: lysosome (26) and oxidative phosphorylation (21). There were 28 metabolic pathways
189 containing 10–20 genes, and the remaining pathways all had fewer than 10 genes. The pathways
190 identified in this analysis were mainly involved in the processes of translation, endocrine system,
191 transport catabolism, carbohydrate metabolism, signal transduction, nucleotide metabolism, and
192 cell growth and death, indicating that signal transduction and substance transport activities may
193 be important in *D. valens*.

194 **Enrichment analysis of common DEGs**

195 In an evaluation of the top 25 common DEGs (Table 3), 12 terms in BP, 8 terms in CC, and 5
196 terms in MF were significantly enriched. The entries carbohydrate metabolic process (GO:
197 0005975) and peptide metabolic process (GO: 0006518) were significantly enriched in BP,
198 indicating that carbohydrate metabolism in *D. valens* might contribute to overwintering. In the
199 CC category, the significantly enriched terms were organelle (GO: 0043226) and intracellular
200 organelle (GO: 0043229). In the MF category, the two most significantly enriched GO terms
201 were hydrolase activity, acting on glycosyl bonds (GO: 0016798) and hydrolase activity,
202 hydrolyzing O-glycosyl compounds (GO: 0004553), further illustrating that the molecular
203 activity of *D. valens* is strong under low temperatures. In these conditions, it is necessary to
204 rapidly synthesize a large number of small molecules, such as sugars, as antifreeze protectants.

205 Among the common up-regulated DEGs between sampling dates in adults and larvae, the top
206 three were iron ion binding (GO: 0005506), oxidoreductase activity, acting on paired donors,
207 with incorporation or reduction of molecular oxygen (GO: 0016705), monooxygenase activity
208 (GO: 0004497). Among the common down-regulated DEGs, carbohydrate metabolic process
209 (GO: 0005975), hydrolase activity, acting on glycosyl bonds (GO: 0016798), hydrolase activity,
210 hydrolyzing O-glycosyl compounds (GO: 0004553), cellulase activity (GO: 0008810), and other
211 terms were significantly enriched.

212 A KEGG analysis revealed that common DEGs could be classified into 282 pathways,
213 including three significantly enriched pathways (Fig. S1). The most significantly enriched

214 pathway was pentose and glucuronate interconversions (map00040); this pathway involved 14
215 unigenes. The conversion of these substances is related to glycerol synthesis. Glycerin, an
216 important small molecule, functions as an antifreeze substance in insects and thereby plays an
217 important role in cold tolerance. The lysosome (map04142) and galactose metabolism
218 (map00052) pathways, which belonged to transport and catabolic metabolism and carbohydrate
219 metabolism pathways, respectively, were significantly enriched in common DEGs. It is possible
220 that these processes contribute to overwintering in *D. valens*.

221 Among the common up-regulated DEGs between sampling dates in adults and larvae, the
222 highly enriched terms included thyroid hormone synthesis (map04918), glycine, serine and
223 threonine metabolism (map00260), antifolate resistance (map01523), and glutathione
224 metabolism (map00480). The enrichment results for common down-regulated DEGs in adults
225 and larvae were similar to common DEGs for adults and larvae.

226 **Screening of genes related to cold tolerance among common DEGs**

227 Based on previous studies of insect cold tolerance and the keywords obtained in manual searches
228 of the annotation information for the common DEG set, we selected 112 candidate genes related
229 to cold tolerance. In total, 44 genes encoded enzymes and proteins related to the degradation of
230 cryoprotectants (Fig.6), including 21 genes involved in the synthesis and metabolism of low-
231 molecular-weight polyols, 10 genes involved in trehalose synthesis, and 13 genes involved in
232 low-molecular-weight amino acids.

233 In addition, one gene encoding the cytoprotective protein *HSP70*, 11 genes encoding
234 cytoskeletal proteins, 1 gene with cuticular functions, 1 gene involved in antioxidant defense, 15
235 genes related to signal transduction, 6 genes related to apoptosis, and 31 genes associated with
236 other processes related to cold tolerance were annotated (Table 4).

237 **Expression level verification**

238 We selected 15 candidate genes with potential roles in cold tolerance, including 12 annotated
239 genes described in Table 4 and Fig. 6, and three genes with high differential expression in the
240 common DEG set but without functional annotation. Fifteen genes encoding staphylococcal
241 nuclease domain-containing protein 1 (TRINITY_DN22243_c0_g1), ATP synthase subunit
242 alpha (TRINITY_DN18757_c0_g2), protein phosphatase 1A (TRINITY_DN26187_c0_g1),
243 fructose-1,6-bisphosphatase 1 (TRINITY_DN21780_c1_g1) glyceraldehyde-3-phosphate
244 dehydrogenase 2 (TRINITY_DN22610_c0_g2), putative glutamate synthase
245 (TRINITY_DN27207_c0_g1), fatty acid synthase (TRINITY_DN27539_c3_g1), cathepsin L1
246 (TRINITY_DN18927_c0_g1), probable low-specificity L-threonine aldolase 2

247 (TRINITY_DN22377_c1_g1), serine/threonine-protein kinase (TRINITY_DN26090_c0_g1),
248 fatty acid desaturase (TRINITY_DN19691_c0_g1), E3 ubiquitin-protein ligase
249 (TRINITY_DN25425_c0_g4) and three genes annotated to unknown or uncharacterized proteins
250 (TRINITY_DN27537_c0_g1, TRINITY_DN20229_c0_g1, and TRINITY_DN22439_c0_g5)
251 were evaluated by qPCR (Fig. 7 and Fig. 8).

252 Based on the qPCR results, the expression differences in the 15 DEGs were consistent with
253 those detected by RNA-seq, indicating that the sequencing data were accurate and reliable and
254 can be used as a reference for subsequent research. Slight differences in fold change values may
255 be due to operational errors and the different principles of the analysis methods for transcriptome
256 sequencing and qPCR.

257 Discussion

258 Transcriptome sequencing quality and analysis of differentially expressed genes

259 Based on searches against the Nr protein database of NCBI, 27,047 genes (45.88%) were
260 homologous to known sequences, indicating that the transcriptome includes a large number of
261 new genes with unknown functions. These genes may be related to the unique biological
262 characteristics and environmental adaptability of the species. Of the 1189 common DEGs
263 between sampling dates in both larvae and adults, only 92 were up-regulated in both adults and
264 larvae and 740 (62.24%) were down-regulated, similar to the results of transcriptome analyses of
265 *Ericerus pela* and *Microdera punctipennis*, although the frequency of upregulated genes is low,
266 these results suggest that some physiological activities remain active or are initiated (Yu et al.,
267 2016; Tusong et al., 2017).

268 The 92 up-regulated genes in both adult and larvae encoded several proteins that may be
269 related to cold tolerance, including protein phosphatase, very long-chain fatty acids, E3 SUMO-
270 protein ligase, cytochrome P450, and putative leucine-rich repeat-containing protein. Studies
271 show that under low-temperature and freeze-thaw conditions, protein phosphorylation and
272 dephosphorylation are important regulatory mechanisms related to many metabolic functions.
273 Protein kinases and phosphatases can regulate the activity of many transcription factors and
274 participate in the differential expression of genes involved in cold and freeze resistance (Pfister &
275 Storey, 2006). Overwintering insects need to neutralize or prevent the production of harmful
276 metabolites. To remove toxic and harmful metabolites, cytotoxic P450 enzymes in *Belgica*
277 *antarctica* are up-regulated during recovery from dehydration (Benoit et al., 2009). We speculate
278 that cytochrome P450 plays a similar role in cold tolerance. In addition, fatty acid proteins and
279 leucine-rich repeat-containing proteins contribute to the synthesis and metabolism of low-

280 molecular-weight cryoprotectants, and other genes may be important for the maintenance of
281 dormancy and related physiological processes.

282 A large number of DEGs were related to the synthesis and metabolism of small
283 cryoprotectants. Freeze-resistant insects accumulate low-molecular-weight cryoprotectants, such
284 as polyols (e.g., glycerol), sugars (e.g., trehalose), or amino acids (e.g., proline), to confer
285 freezing tolerance. Elevated proline concentrations in the body can improve the survival of cold-
286 sensitive *Drosophila melanogaster* larvae at low temperatures, and trehalose, an antifreeze
287 protein, plays a role in cold tolerance in *Harmonia axyridis* and New Zealand alpine insects
288 (Imek et al., 2012; Wharton, 2011; Toxopeus et al., 2017). In addition, low-molecular-weight
289 cryoprotectants are related to anabolism genes, cytoskeleton protein genes, and repair-related
290 genes (Toxopeus & Sinclair, 2018), in addition to many unannotated genes. Although the specific
291 mechanism of action of these genes in insects is unclear, they may also play an important role in
292 cold resistance. Cold tolerance in insects is a complex process, involving interactions among
293 multiple genes (Toxopeus & Sinclair, 2018).

294 We identified 1189 unigenes with differential expression in both adults and larvae, accounting
295 for only 14.38% of the DGEs in adults and 23.8% of the DGEs in larvae. Most of the DGEs
296 were not expressed in adults and larvae but were involved in the synthesis and expression of
297 adaptation-related genes at low temperatures, such as some heat shock proteins, the sugar
298 transporter SWEET1, and calcium channel proteins. For cell survival, heat shock proteins are
299 important for survival during the winter. Many insects alleviate stress by up-regulating HSP
300 (Storey & Storey, 2011; King & Macrae, 2015; Toxopeus, Košťál & Sinclair, 2019; Li, Wang
301 & Jiang, 2019), but only a subset of HSP genes are up-regulated, consistent with previous
302 results (Zhang et al., 2011). In this study, HSP genes were up-regulated in both adult and larvae,
303 including *hsp20*, *hsp70*, and *hsp90*. The differentially co-expressed gene set only included
304 *hsp70*. Although the specific function of *hsp70* in survival at low temperatures in insects is not
305 clear, it improves the response to stress by re-folding damaged proteins and re-dissolving
306 insoluble proteins (Craig et al., 1985) as well as marking irreparable proteins for degradation
307 (Terlecky et al., 1992). The sugar transporter SWEET1 is a cold tolerance gene based on a
308 transcriptome analysis of *H. axyridis* at normal and low temperatures (Tang et al., 2017). In
309 larvae, calcium channel proteins are significantly up-regulated compared to levels in adults, and
310 calcium signaling pathways are also important in cold stress signaling (Reddy et al., 2011;
311 Denlinger et al., 2013; Wang et al., 2013).

312 Many studies show that cold tolerance in insects is directly related to antifreeze proteins
313 (AFP) and the inhibition of ice recrystallization (*Duman, 2015*). However, AFPs were not
314 included in our significant DEG sets. A previous study showed that cold tolerance depends on
315 the structure of AFP rather than the amount of protein (*Koštal et al., 2011*). It is possible that the
316 relationship between transcript and protein levels is complex, and transcriptome and proteome
317 analyses often show weak correlations between transcript and protein level (*Cui, 2017*). It is also
318 possible that the final transcriptome lacks AFP sequences as a result of unassembled reads or
319 short contigs (*Tang et al., 2017*).

320 DEGs involved in cold tolerance are expected to be up-regulated under cold stress; however,
321 most DEGs in our study were down-regulated. It is possible that genes and proteins related to
322 low temperature adaptation were upregulated at the beginning of the winter, with the saturation
323 of expression levels in late January. Therefore, protein expression levels should be a focus of
324 future research.

325

326 **Cold tolerance-associated GO enrichment analysis**

327 The terms iron binding, oxidoreductase activity, and monooxygenase activity were significantly
328 enriched in the up-regulated gene set in both adults and larvae. DEGs in *Galeruca daurica* under
329 cold stress were also significantly enriched for these terms. In addition, the term oxidoreductase
330 activity was also enriched in *Nilaparvata lugens* and *Sogatella furcifera* at low
331 temperatures (*Huang et al., 2017; Zhou et al., 2019*). Enrichment for iron ion binding suggests
332 that the base of the trunk and subsurface roots, where the overwintering of *D. valens* occurs, is a
333 low-oxygen environment, where molecular damage is caused by reactive oxygen species (ROS),
334 requiring the production of ferritin or transferrin to remove ROS from cells. In insects,
335 transferrin normally inhibits stress-induced apoptosis (*Lee et al., 2006; Chen et al., 2019*).
336 Overwintering *Escherichia coli* aggregates ferritin to remove ROS and neutralizes glutathione
337 (*Storey & Storey 2010*). We hypothesized that cold exposure would increase oxidative stress in
338 insects and induce antioxidant defense systems to resist oxidative stress.

339 Terms that were significantly enriched in the down-regulated gene set were carbohydrate
340 metabolism processes, hydrolase activity, cellulase activity, and so on. Under stress, metabolic
341 processes are down-regulated in many organisms under stress, and the down-regulation of
342 hydrolase and cellulase activity may be the result of metabolic down-regulation. The
343 downregulation of carbohydrate metabolism suggests that the relationship between energy
344 utilization and temperature is altered in insects during the winter by changes in thermal

345 sensitivity and the inhibition of metabolic rates; these insects adapt to low temperature by
346 changing energy metabolism (Storey & Storey 1990; Sinclair, 2015).

347

348 **Cold tolerance-associated KEGG enrichment analysis**

349 In the up-regulated gene set, a KEGG enrichment analysis showed enrichment for thyroid
350 hormone synthesis, glycine, serine and threonine metabolism, antifolate resistance, glutathione
351 metabolism, and so on, indicating that metabolic regulation in response to low temperatures is
352 very important. Three significantly enriched pathways (pentose and glucuronate interconversions
353 and galactose metabolism related to carbohydrate metabolism, and lysosome related to transport
354 catabolism) were detected in the common DEG set. The lysosomal pathway is similarly enriched
355 in the cold-accumulated *D. melanogaster* transcriptome and metabolome (MacMillan et al.,
356 2016). The three most significant enrichment pathways were similar to those for the co-
357 downregulated gene set in adults and larvae of *D. valens*, indicated that overwintering *D. valens*
358 may produce or consume less energy, consistent with the general response of winter insects to
359 low temperatures by inhibiting the metabolic rate (Sinclair, 2015).

360 In conclusion, we used RNA-Seq technology to analyze the *D. valens* transcriptome at
361 different periods in the field. We identified many common genes and pathways with potentially
362 important roles in overwintering in the species at -18.7°C, improving our understanding of the
363 molecular basis for survival in low temperatures. This study provides an overview of candidate
364 genes associated with cold tolerance in insects, and further validation and functional analyses are
365 needed. Our data will facilitate further molecular studies of cold tolerance in *D. valens* and
366 provide new insights into insect adaptation to harsh environments.

367 **Conclusions**

368 In a comparative transcriptome analysis of *D. valens* in January and May, we detected 4996 and
369 8260 DEGs in larvae and adults, respectively, including 1189 genes that differentially expressed
370 at both stages. During the overwintering process, one strategy for survival in low temperatures is
371 the synthesis of low-molecular-weight antifreeze substances, anti-oxidative stress factors,
372 molecular chaperones, and signal transduction factors. The DEGs were enriched for the GO
373 terms iron ion binding, oxidoreductase activity, cellulase activity, hydrolase activity, and
374 carbohydrate metabolism and for the lysosomal and oxidative phosphorylation metabolic
375 pathways. We screened 112 genes that may be related to cold tolerance, some of which are
376 associated with cold tolerance based on previous studies. The results provided basic data for the

377 subsequent discovery of key genes for cold tolerance in *D. valens* and the discovery of molecular
378 mechanisms underlying this trait.

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395 **Author Contributions**

396 ● Dongfang Zhao conceived and designed the experiments, performed the experiments,
397 analyzed the data, contributed reagents/materials/analysis tools, wrote original draft
398 preparation, prepared figures and/or tables, reviewed drafts of the paper.

399 ● Chunchun Zheng performed the experiments, contributed reagents/materials/analysis tools.

400 ● Fengming Shi performed the experiments, contributed reagents/materials/analysis tools.

401 ● Yabei Xu conceived and designed the experiments.

402 ● Jing Tao and Shixiang Zong conceived and designed the experiments, reviewed drafts of the

403 paper, approved the final draft.

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405 The following information was supplied regarding data availability:

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Table 1 (on next page)

Statistical summary of sequencing data for 12 cDNA samples from *D. valens*.

In sample names, CL indicates larvae collected in January, NL indicates larvae collected in May, CA indicates adults collected in January, and NA indicates adults collected in May.

1 **Table 1 :**

2 **Statistical summary of sequencing data for 12 cDNA samples from *D. valens*.**

Sample	Raw Bases	Clean bases	Error rate (%)	Q20 (%)	Q30 (%)	GC content (%)
CL_1	7625015592	7435581614	0.0258	97.81	93.21	42.31
CL_2	6606424254	6408262061	0.0258	97.82	93.23	42.14
CL_3	7213969734	7003588404	0.0253	98.01	93.71	43.54
NL_1	6855725858	6669592971	0.0247	98.16	94.46	49.37
NL_2	6275942634	6141265236	0.0245	98.22	94.58	46.91
NL_3	6658060516	6433890287	0.0251	97.96	94.05	50.28
CA_1	7973857302	7780530188	0.0235	98.61	95.64	42.34
CA_2	7687548920	7512555521	0.0237	98.55	95.48	42.12
CA_3	7478884134	7284498797	0.0234	98.67	95.72	39.96
NA_1	8155209510	7979742248	0.0235	98.63	95.62	38.6
NA_2	8403092318	8234779825	0.0233	98.71	95.86	40.07
NA_3	7578902910	7436520894	0.0236	98.62	95.59	38.13

3 In sample names, CL indicates larvae collected in January, NL indicates larvae collected in May, CA indicates
4 adults collected in January, and NA indicates adults collected in May.

5

6

Table 2 (on next page)

KEGG pathway analysis of common DEGs between sampling dates in *D. valens* larvae and adults.

1 **Table 2:**
 2 **KEGG pathway analysis of common DEGs between sampling dates in *D. valens* larvae and adults**
 3

Pathway ID	Secondary Category	Description	Count
map04142	Transport and catabolism	Lysosome	26
map00190	Energy metabolism	Oxidative phosphorylation	21
map04024	Signal transduction	cAMP signaling pathway	18
map04140	Transport and catabolism	Autophagy - animal	18
map04530	Cellular community – eukaryotes	Tight junction	17
map04141	Folding, sorting and degradation	Protein processing in endoplasmic reticulum	16
map04210	Cell growth and death	Apoptosis	15
map00040	Carbohydrate metabolism	Pentose and glucuronate interconversions	14
map04010	Signal transduction	MAPK signaling pathway	13
map04260	Circulatory system	Cardiac muscle contraction	13
map04510	Cellular community - eukaryotes	Focal adhesion	13
map00230	Nucleotide metabolism	Purine metabolism	12
map04145	Transport and catabolism	Phagosome	12
map04261	Circulatory system	Adrenergic signaling in cardiomyocytes	12
map04723	Nervous system	Retrograde endocannabinoid signaling	12
map00020	Carbohydrate metabolism	Citrate cycle (TCA cycle)	11
map04390	Signal transduction	Hippo signaling pathway	11
map00520	Carbohydrate metabolism	Amino sugar and nucleotide sugar metabolism	11
map04015	Signal transduction	Rap1 signaling pathway	11

map04921	Endocrine system	Oxytocin signaling pathway	11
map04919	Endocrine system	Thyroid hormone signaling pathway	11
map04910	Endocrine system	Insulin signaling pathway	11
map04810	Cell motility	Regulation of actin cytoskeleton	11
map00052	Carbohydrate metabolism	Galactose metabolism	10
map04020	Signal transduction	Calcium signaling pathway	10
map03013	Translation	RNA transport	10
map04013	Signal transduction	MAPK signaling pathway - fly	10
map04151	Signal transduction	PI3K-Akt signaling pathway	10
map04146	Transport and catabolism	Peroxisome	10
map04022	Signal transduction	cGMP-PKG signaling pathway	10

Table 3 (on next page)

GO enrichment of common DEGs between sampling dates in *D. valens* larvae and adults.

1 **Table 3:**2 **GO enrichment of common DEGs between sampling dates in *D. valens* larvae and adults**

GO category	Term type	GO code	Number	P-value
carbohydrate metabolic process	BP	GO:0005975	67	3.10E-07
peptide metabolic process	BP	GO:0006518	3	8.55E-06
peptide biosynthetic process	BP	GO:0043043	3	2.34E-05
cellular amide metabolic process	BP	GO:0043603	6	2.00E-04
external encapsulating structure organization	BP	GO:0045229	8	3.00E-04
peptide metabolic process	BP	GO:0006518	3	8.55E-06
translation	BP	GO:0006412	3	2.53E-05
amide biosynthetic process	BP	GO:0043604	4	7.55E-05
cell wall organization or biogenesis	BP	GO:0071554	8	3.50E-04
cell wall organization	BP	GO:0071555	8	3.50E-04
positive regulation of biological process	BP	GO:0048518	3	7.89E-04
cellular nitrogen compound metabolic process	BP	GO:0034641	68	1.18E-03
organelle	CC	GO:0043226	58	1.95E-06
intracellular ribonucleoprotein complex	CC	GO:0030529	8	2.34E-05
ribonucleoprotein complex	CC	GO:1990904	8	2.34E-05
cell part	CC	GO:0044464	186	2.34E-05
ribosome	CC	GO:0005840	3	2.00E-04
non-membrane-bounded organelle	CC	GO:0043228	9	1.46E-05
intracellular non-membrane-bounded organelle	CC	GO:0043232	9	1.46E-05

macromolecular complex	CC	GO:0032991	62	3.67E-04
cellulase activity	MF	GO:0008810	7	3.00E-04
nucleic acid binding	MF	GO:0003676	88	3.00E-04
hydrolase activity, acting on glycosyl bonds	MF	GO:0016798	49	3.10E-07
hydrolase activity, hydrolyzing O-glycosyl compounds	MF	GO:0004553	45	3.64E-07
structural constituent of ribosome	MF	GO:0003735	4	1.00E-04

3

4

Table 4(on next page)

Common differentially expressed gene set may be related to cold tolerance.

1 **Table 4:**2 **Common differentially expressed gene set may be related to cold tolerance**

Gene description	DEG number	Larval expression (number)	Adult expression (number)
Molecular chaperone			
HSP70	1	up (1)	down (1)
Cytoskeleton proteins and regulators			
tubulin	2	down (1); up (1)	down (2)
actin	5	down (4); up (1)	down (5)
myosin	4	down (3); up (1)	Down (4)
integrin	2	down (2)	down (2)
Cuticle protein			
cathepsin	1	down (1)	down (1)
antioxidants			
thioredoxin	1	up (1)	down (1)
Signaling genes			
guanine nucleotide-binding protein	3	down (1); up (1)	down (3)
rho guanine nucleotide exchange factor	4	down (3); up (1)	down (4)
calcium channel protein	8	down (2); up (6)	down (8)
Genes associated with cell cycle and division			
inhibitor of apoptosis	1	down (1)	down (1)
serine/threonine-protein kinase	5	down (4); up (1)	down (5)
Other genes			

ATP synthase subunit alpha	1	down (1)	down (1)
endonuclease-reverse transcriptase	3	down (2); up (1)	down (3)
E3 ubiquitin-protein ligase	7	down (5); up (2)	down (7)
zinc finger protein	8	down (6); up (2)	down (8)
NADH dehydrogenase	4	down (3); up (1)	down (4)
elongation factor	1	down (1)	down (1)
ribosomal protein	6	down (1); up (1)	down (6)
staphylococcal nuclease domain-containing protein 1	1	down (1)	down (1)

3

4

Figure 1

Statistical summary of the functional annotations of unigenes in public databases.

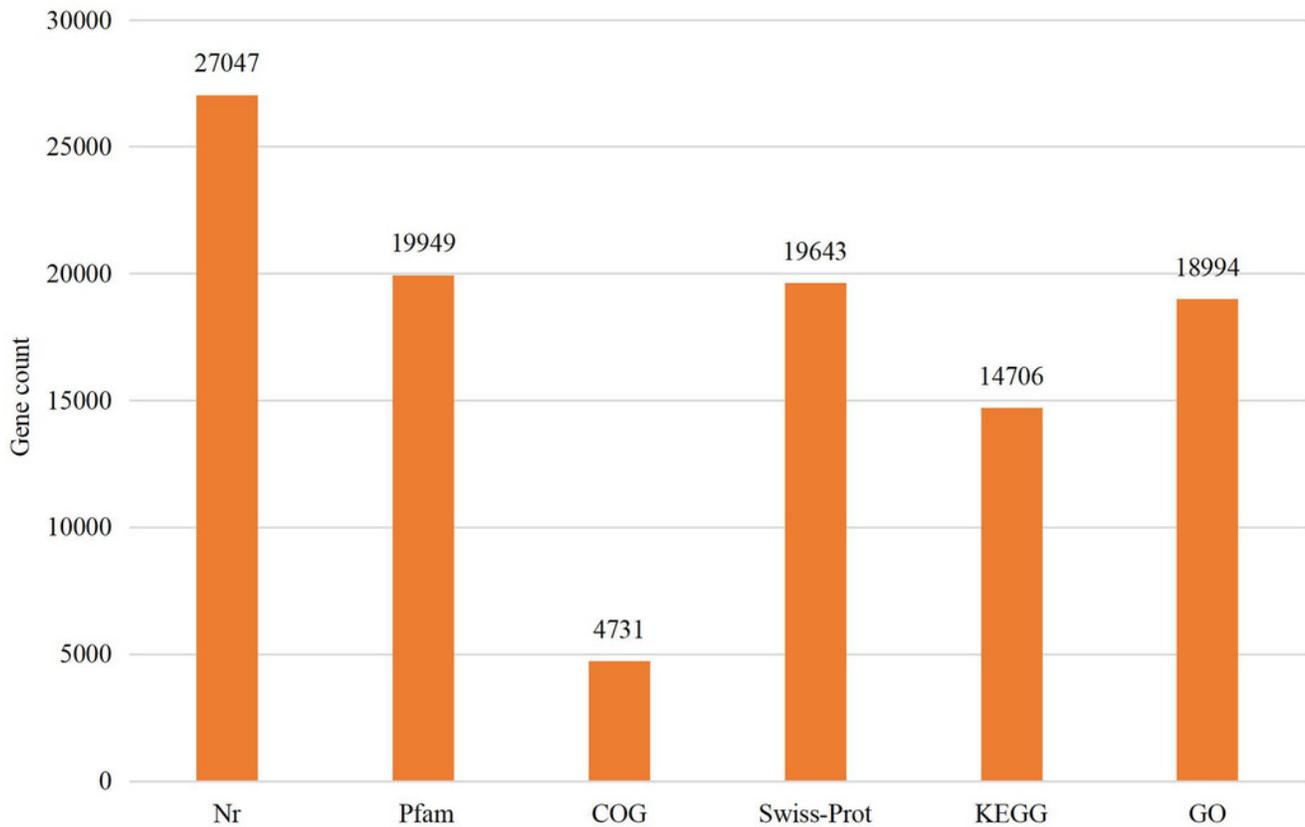


Figure 2

Pie charts showing distributions of BLAST matches for the *D. valens* unigenes with respect to (A) e-values (B) similarity, and (C) species.

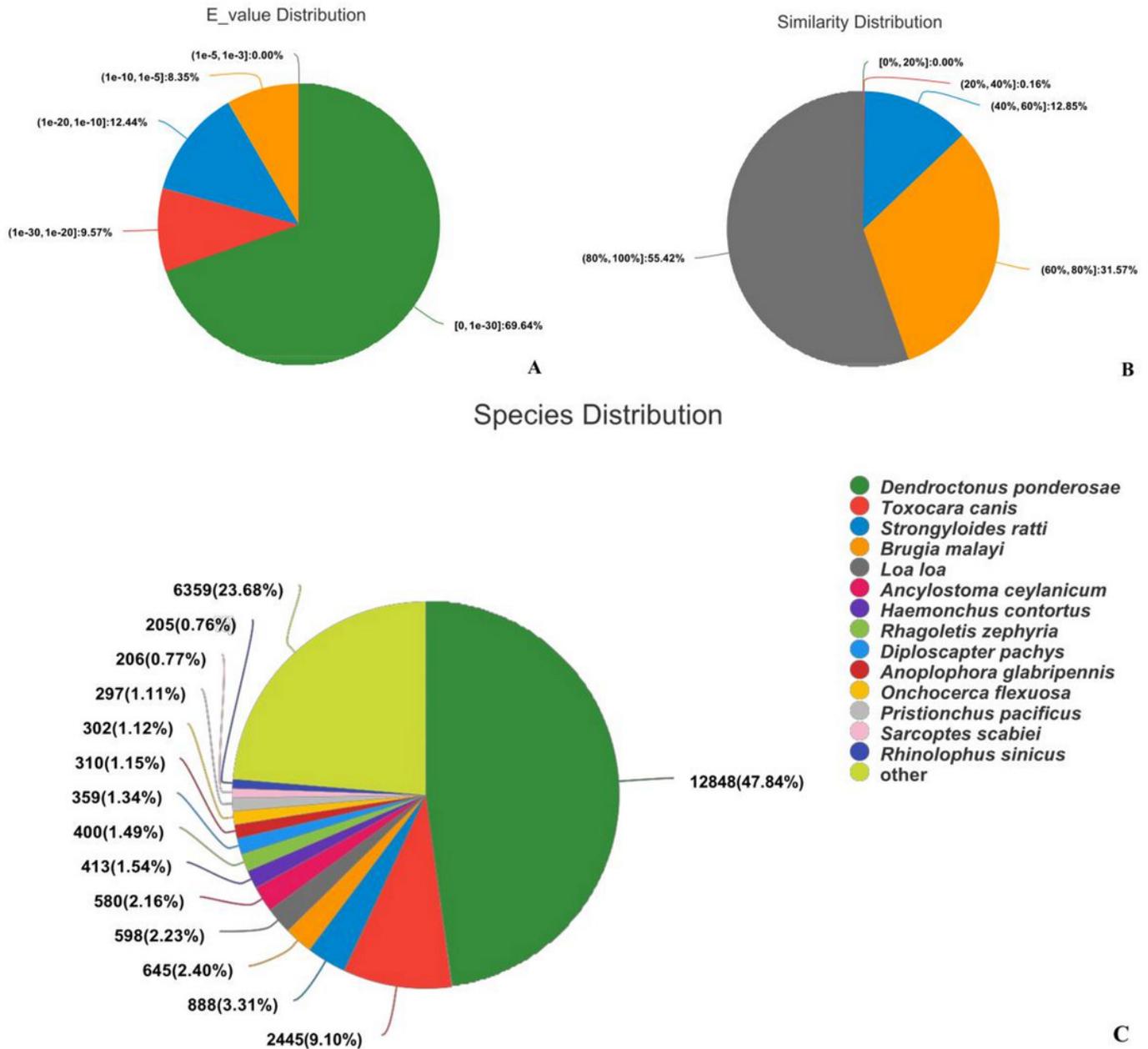


Figure 3

Distribution of DEGs between January and May in different sample types.

Distribution of DEGs between January and May in different sample types. (A) Distribution of DEGs in larvae. (B) Distribution of DEGs in adults. Volcano plot displays the relationship between the fold change and P-adjusted. Each point in the figure represents a specific unigene. Red dots represent significantly up-regulated unigenes, green dots represent significantly down-regulated unigenes, and gray dots represent unigenes that are not differentially expressed.

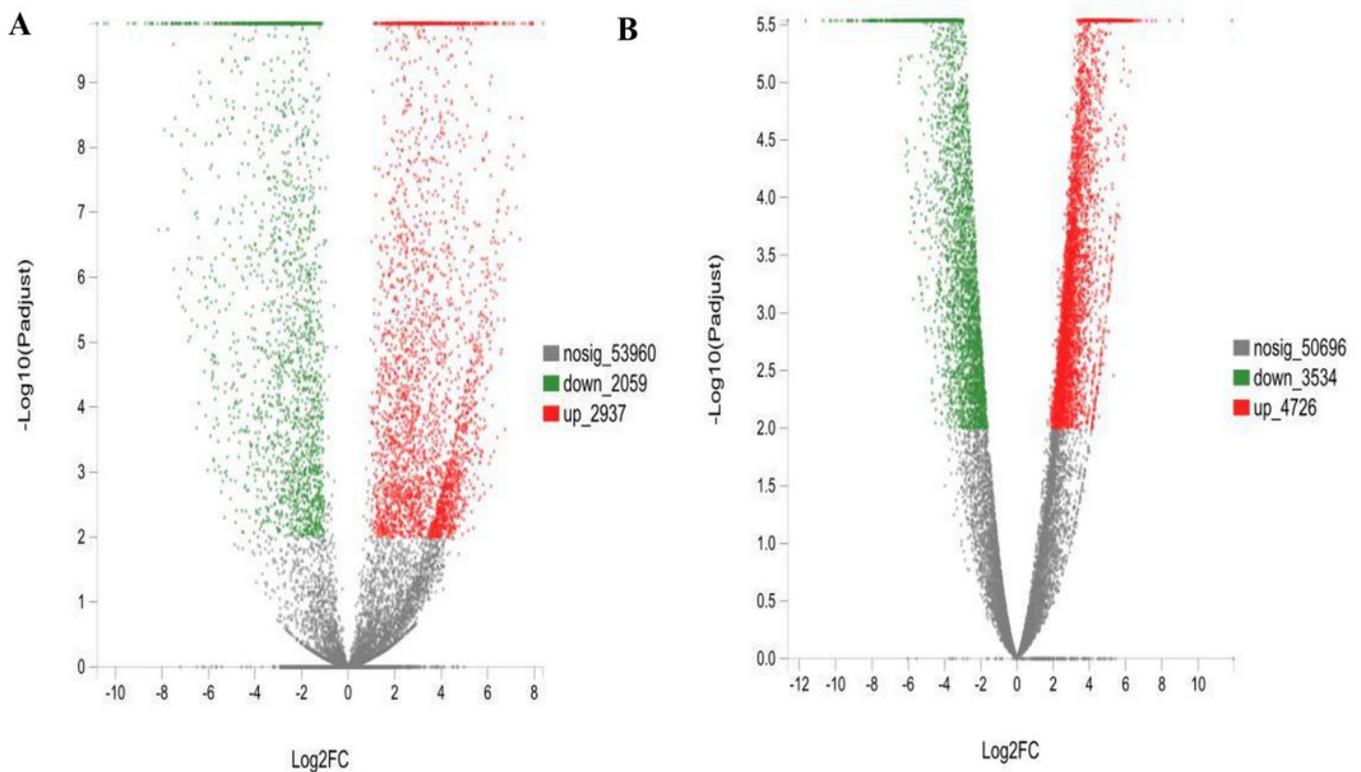


Figure 4

Venn diagram showing the number of commonly up- and down-regulated genes between the two different stages in *D. valens*.

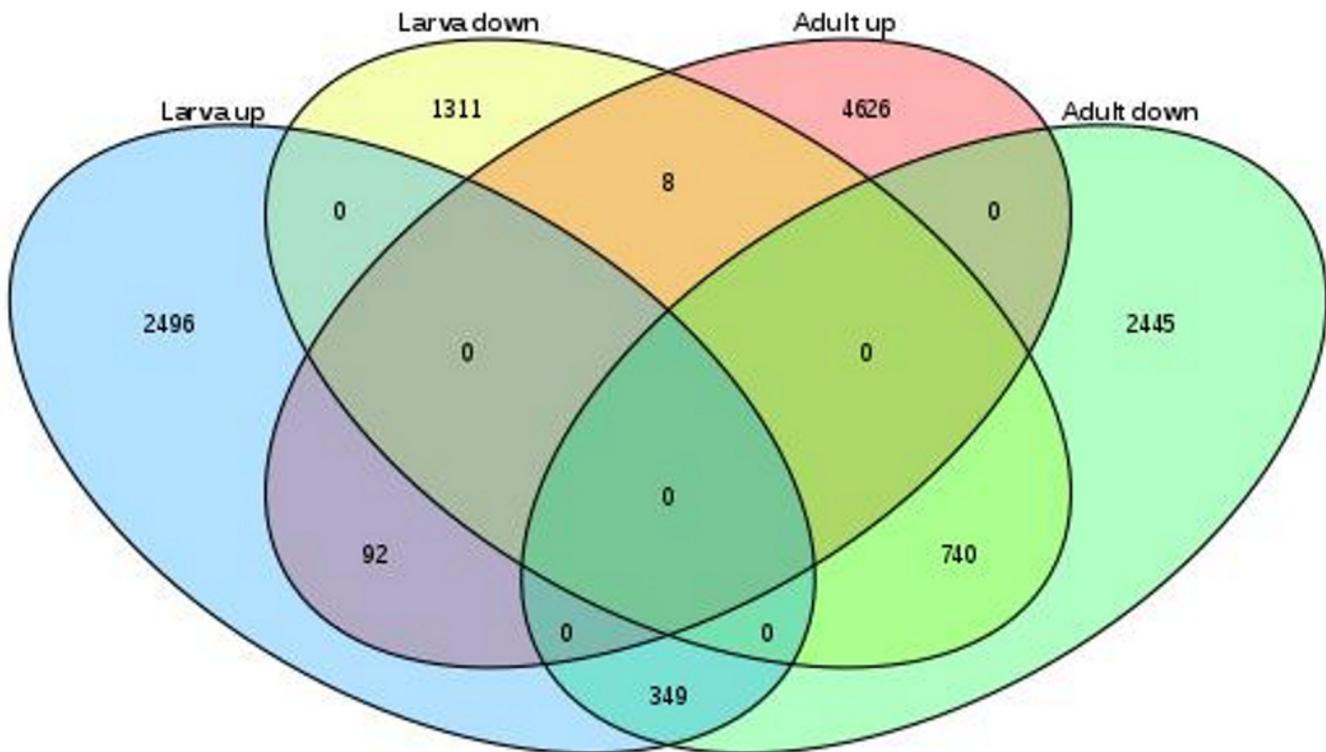


Figure 5

GO term assignments for common DEGs between collection times in *D. valens* larvae and adults.

The abscissa indicates the secondary GO classification. The left ordinate indicates the percentage of unigenes included in the secondary classification. The right ordinate indicates the number of unigenes in the secondary classification and the three colors represent the three major branches of GO (i.e., BP, CC, and MF).

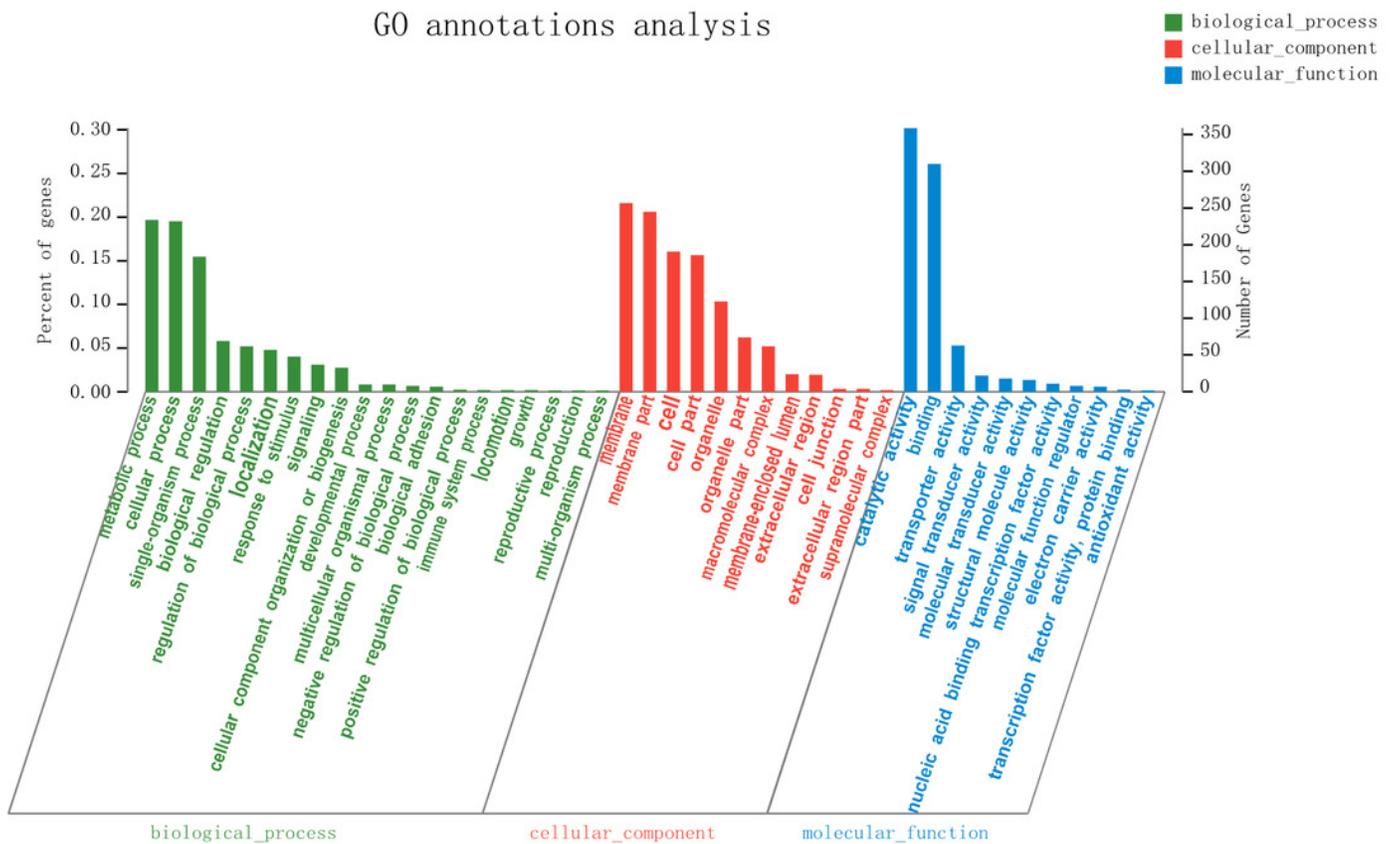


Figure 6

Heatmap of normalized FPKM values for DEGs related to cryoprotectant activity.

Heatmap of normalized FPKM values for DEGs related to cryoprotectant activity. The Z-score represents the number of standard deviations from the mean. Red indicates up-regulated expression, whereas green indicates down-regulated expression. FPKM: fragments per kilobase of transcript per million fragments mapped; CL: Larva in January; NL: Larva in May; CA: Adult in January; NA: Adult in May.

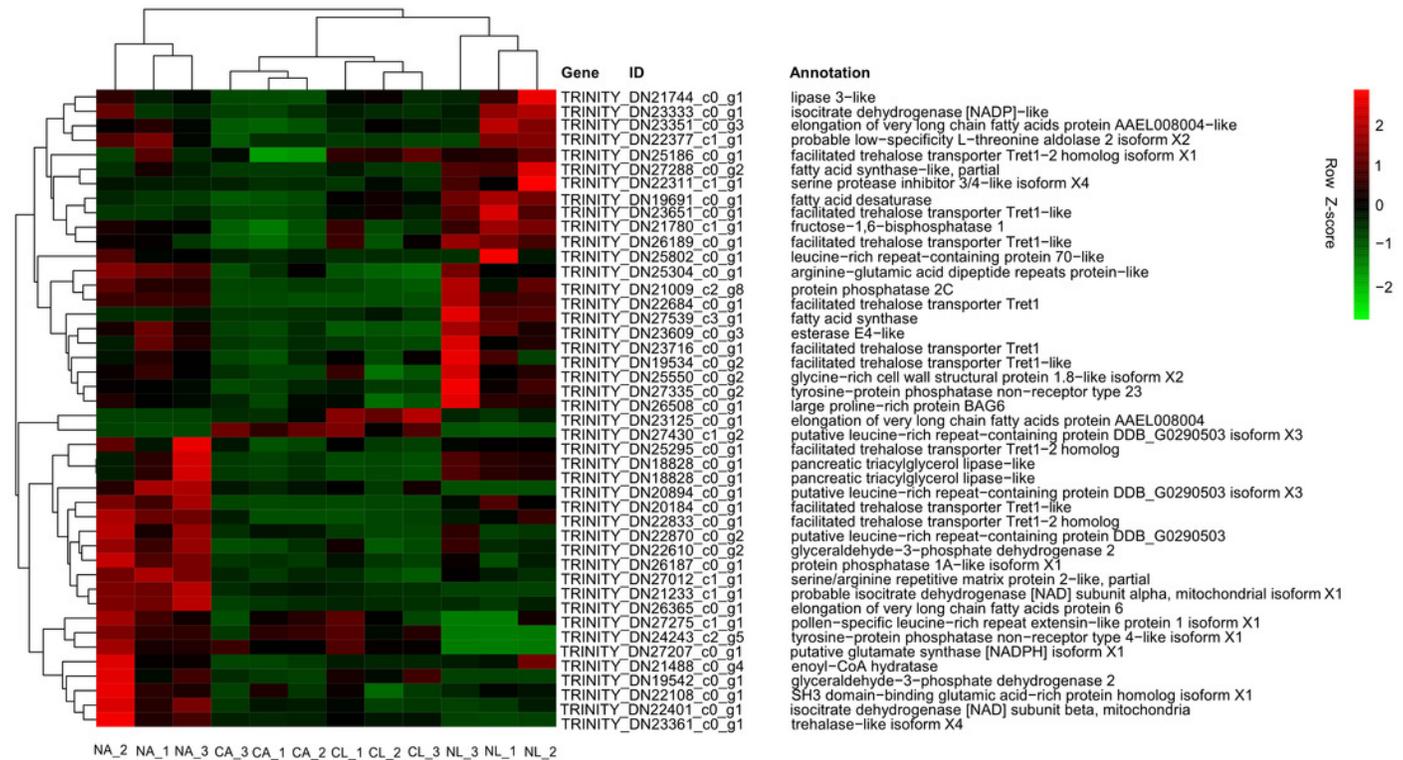


Figure 7

Validation expression patterns in *D. valens* adults by qPCR.

The abscissa indicates different collection dates. The left ordinate represents the qPCR-based expression levels and the right ordinate represents the RNA-seq-based expression levels.

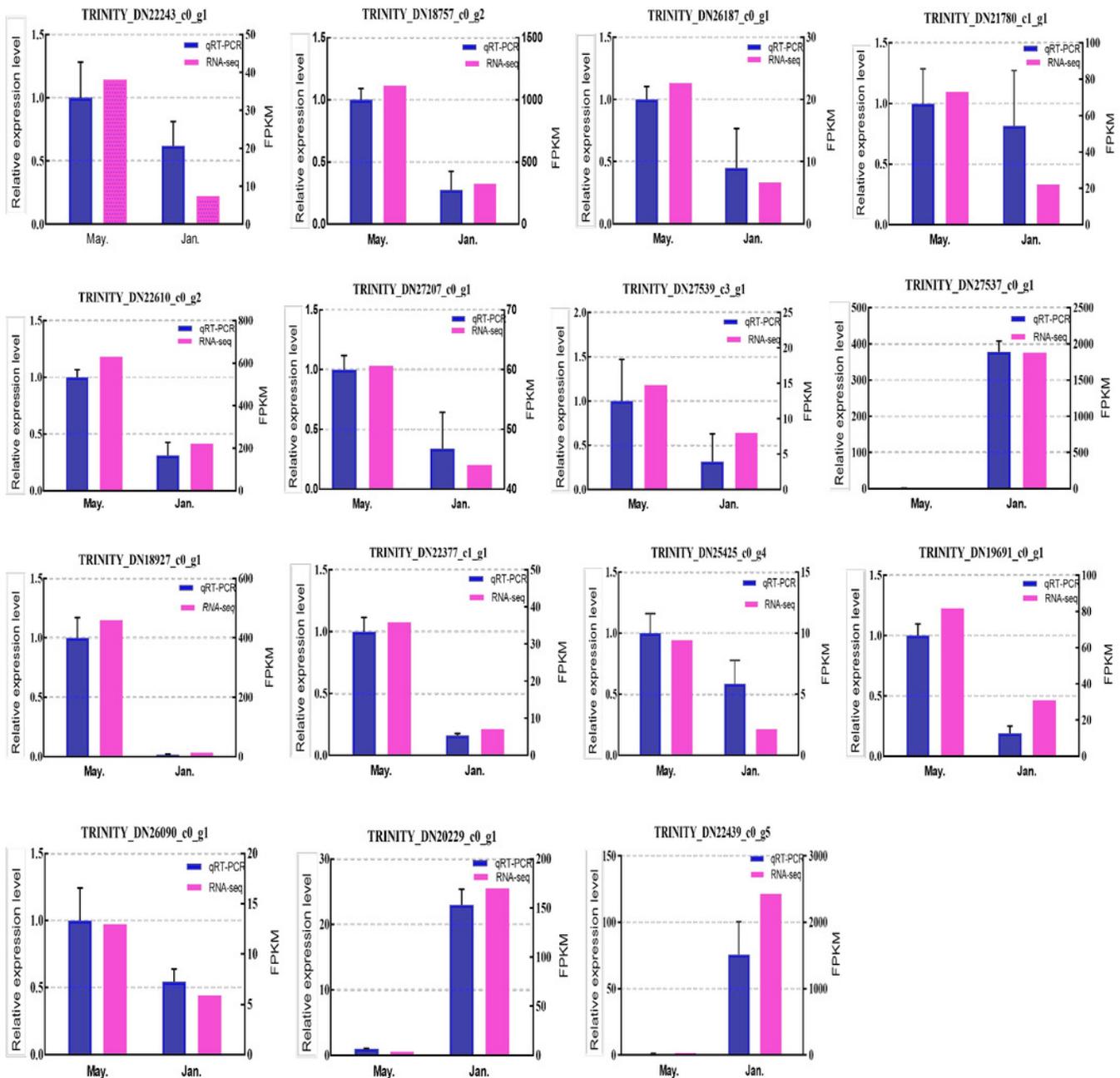


Figure 8

Validation of expression patterns in *D. valens* larvae by qPCR.

The abscissa indicates different collection dates. The left ordinate represents the qPCR measurements, and the right ordinate represents the RNA-seq measurements.

